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## Fluid flow in porous media using image based modelling to parametrise Richards' equation

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The parameters in Richards' equation are usually calculated from experimentally measured values of the soil water characteristic curve and saturated hydraulic conductivity. The complex pore structures that often occur in porous media complicate such parametrisation due to hysteresis between wetting and drying and the effects of tortuosity. Rather than estimate the parameters in Richards' equation from these indirect measurements, image based modelling is used to investigate the relationship between the pore structure and the parameters. A three dimensional, X-ray computed tomography image stack of a soil sample with voxel resolution of 6  $\mu\text{m}$  has been used to create a computational mesh. The Cahn-Hilliard-Stokes equations for two fluid flow, in this case water and air, were applied to this mesh and solved using the finite element method in COMSOL Multiphysics. The upscaled parameters in Richards' equation are then obtained via homogenisation. The effect on the soil-water retention curve due to three different contact angles, 0°, 20° and 60°, was also investigated. The results show that the pore structure affects the properties of the flow on the large scale and different contact angles can change the parameters for Richards' equation.

## 1. Introduction

Richards' equation is widely applied to model partially saturated fluid flow through porous media, such as soil. Parametrisation of Richards' equation is challenging, primarily because of the difficulties in relating the parameters to easily measurable experimental values. The saturation form of Richards' equation for homogeneous soils [1] can be written in the form (from [2]) as,

$$\|\Omega_p\| \frac{\partial S}{\partial t_R} - \nabla \cdot [D_R(S) \nabla S - k_R K_R(S) \hat{e}_3] = 0, \quad (1.1)$$

where  $\|\Omega_p\|$  is the volume of the pore space per unit volume of soil,  $S$  is the relative water saturation of this pore space,  $t_R$  is time,  $D_R(S)$  is the soil moisture diffusivity,  $k_R$  is the relative permeability of the wetting fluid,  $K_R(S)$  is the hydraulic conductivity and  $\hat{e}_3$  is the unit vector in the vertical direction, defined as positive in the downward direction, i.e. the direction of gravitational drainage.  $D_R(S)$  and  $K_R(S)$  are often parametrised using an experimentally measured soil-water characteristic curve (SWCC).

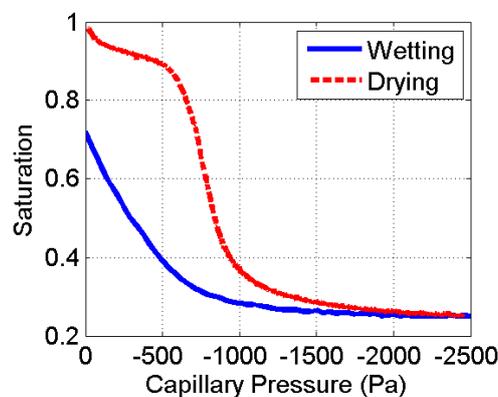


Figure 1: Experimentally measured soil water characteristic curve for a sand-textured Eutric Cambisol collected from Abergwyngregyn, North Wales, UK (53°14'N, 4°01'W). Detail of experimental procedure can be found in the supplementary material.

The SWCC relates the water content to the matric potential. The matric potential is the negative pressure that is applied to all water within a partially saturated porous media due to the surface tension at the air-water interfaces. The SWCC leads to an estimate of the pore structure, however the experimentally measured values can vary spatially within a sample and often bulk measurements are made in order to capture the large scale behaviour. The SWCC can be measured by applying a known pressure to a sample, allowing water to enter or leave the sample and then measuring the amount of moisture that remains in the sample. This results in several discrete points along the SWCC being measured and then interpolated by fitting models, such as Van Genuchten [3] or Brooks and Corey [4]. These models aim to replicate the intrinsic reverse 'S' shape of the SWCC, see figure 1, i.e. for a drying curve, a plateau at high saturations corresponding to small matric potential, a negative gradient as the water drains out of the soil, and a second plateau where large matric potential is required to fully dry the soil [5]. Wetting curves have a similar shape to the drying curves, but hysteresis due to pore shape and connectivity offsets the two curves. In some cases, the models include 2 or 3 fitted parameters that are not independent. These fitted expressions are empirical and not directly related to a particular physical property of the porous media. The pore structure characterised with these approaches is therefore incompletely described and poorly parametrised, creating challenges to subsequent modelling of water retention and (even more so) to fluid flow. As well as the intrinsic shape of

32 the SWCC, Haines' jumps are another behaviour that has been observed experimentally when  
33 wetting or drying porous media. Haines' jumps occur when a fluid phase moves abruptly from  
34 one configuration to another topologically different configuration due to the soil geometry and  
35 associated with this is a drop in capillary pressure [6].

36 Richards' equation was originally derived phenomenologically by observing the behaviour of  
37 hydraulic conductivity and matric potential [1]. More recently, Daly and Roose [7] have shown  
38 that Richards' equation can be derived by coupling the Cahn-Hilliard phase field equation [8,  
39 9, 10] with Stokes' equations and using homogenisation [11]. Homogenisation is a mathematical  
40 technique used to determine the macroscale properties of a problem described on the microscopic  
41 scale and has been used to model fluid flow in porous media [12, 13]. This resulted in a form  
42 of Richards' equation that is dependent on the underlying geometry of the porous media, the  
43 contact angle between the air-water interface and the solid material, and the initial location of  
44 the interface. The advantage of this method over empirical fitting of data is that it allows for the  
45 investigation of the underlying causes of the behaviour observed at the macroscale by accounting  
46 for the microscale explicitly. The equations could be applied to any porous medium within a  
47 suitable range of parameters. The derivation of the equations relies on the following assumptions  
48 [7]:

- 49 • The interface between the two fluids has a finite thickness which is small compared to the  
50 geometry to which the model is applied.
- 51 • The interface position is determined largely by capillary forces.
- 52 • The mass of both fluids is constant.
- 53 • There is a no-slip condition on the surface of the soil particles, i.e. the fluid velocity on  
54 the soil particle surfaces is zero. This is not essential, a finite slip condition could be used  
55 instead.
- 56 • The initial position of the fluid-fluid interface is known.
- 57 • The contact angle is constant and known.

58 The contact angle is the only experimentally measured value present in the non-dimensional  
59 equations for calculating the position of the interface, therefore, it is only the contact angle and the  
60 geometry, i.e. pore structure, that can affect the behaviour of the SWCC in this model. **Although**  
61 **water flow and retention in soils often assumes the contact angle is  $0^\circ$ , and is therefore considered**  
62 **not important, a large body of recent research has observed that contact angles between  $0^\circ$  and**  
63  **$90^\circ$  are commonplace in soils [14, 15].**

64 The contact angle is a challenging value to measure as it can be affected by particle geometry,  
65 surface morphology, surface chemistry and is also known to vary with hydration status [16].  
66 For porous media flow, the influence of the contact angle was initially derived based on the  
67 notion of bundles of smooth capillary tubes. As long ago as Philip [17] this was recognised as  
68 an 'over-simplification' of reality because of the impact of particle roughness. Since this early  
69 work, the impact of particle roughness on soil water contact angle has received considerable  
70 attention. This includes discussion of the difference between the small-scale (i.e. smooth)  
71 contact angle of a surface, versus the larger scale contact angle when many interacting particles  
72 and their macroscopic surface topology influence roughness [14, 15]. We therefore adopt two  
73 interpretations of the contact angle, which shall be called the theoretical and experimental contact  
74 angles. The theoretical contact angle is between the fluid-fluid interface and a single smooth soil  
75 particle surface and it is this angle that is required in the model. The experimental contact angle  
76 is the angle that is possible to measure in experiments with soils. Experimental approaches used  
77 to measure contact angle in soil and other porous media are either direct measures of a water  
78 drop contact angle (sessile drop), or indirect measures of immersion tests of flat surfaces coated  
79 with groups of particles (Whilhemmy plate) or the rate of liquid flow into a column (capillary rise)  
80 [18]. These provide different results due to differences in test geometry. Due to the difficulties in  
81 measuring the contact angle at a high enough resolution, i.e., against an ideal smooth soil particle  
82 surface, it is often assumed that the theoretical contact angle is the same as the experimentally

83 measured contact angle [14]. Here, to investigate the effect of different contact angles on the  
84 SWCC, three different contact angles, consistent with Czachor et al. [14] and McHale et al. [15],  
85 have been used to calculate the position of the water and air phases.

86 Daly and Roose [7] implemented the model on an idealised soil particle with contact angles  
87 of 70°, 90° and 110°. With high resolution imaging, it would be feasible to obtain realistic soil  
88 geometries and combine with computational modelling. In this way, different soil structures  
89 could be modelled to investigate how the geometry of the soil and contact angle impact the  
90 SWCC and the parameters for Richards' equation. This will allow for comparisons to be made  
91 between different soils that will improve our understanding of why they have different hydraulic  
92 properties. Imaging in combination with mathematical and numerical modelling has been used  
93 to investigate porous media. An example is a study by Tahmasebi et al. [19, 20] where the 3D  
94 morphology of a shale sample was derived from 2D images and Stokes' equation was used to  
95 model fluid flow through the pores in order to estimate the effective permeability of the sample.  
96 Daly et al. [13] used three dimensional X-ray computed tomography (3D XCT) images to estimate  
97 the hydraulic conductivity of soil samples and Daly et al. [21] used 3D XCT images to calculate  
98 the effective diffusion and nutrient uptake by roots and root hairs. Further examples of combining  
99 imaging and modelling in porous media research have been extensively reviewed in Blunt et al.  
100 [22] and Roose et al. [23].

101 Here, a proof of concept study is presented where the equations from Daly and Roose [7] were  
102 applied to a computational mesh created from a 6 µm resolution 3D XCT image of a sand-textured  
103 soil sample. An advantage of this method is that it makes optimisation of water movement  
104 possible, with respect to soil geometry and the contact angle between the fluid-fluid interface and  
105 solid soil particle surfaces. Determining which soil geometries or contact angles allow improved  
106 water uptake by plants makes it possible to select plants with root traits that can manipulate their  
107 environment to achieve these geometries, for example by root extension or root hairs, or contact  
108 angles, for example by the quantity of root exudate released. It also enables visualisation of fluid  
109 movement within the soil geometry leading to insights, in particular hysteresis, in the underlying  
110 behaviour of the system.

## 111 2. Method

### 112 (a) Imaging

113 The imaging data used in this study has previously been published in Daly et al. [21], so only a  
114 brief description is presented here. The soil was a sand-textured Eutric Cambisol collected from  
115 Abergwyngregyn, North Wales, UK (53°14'N, 4°01'W). The soil was sieved <5 mm, autoclaved  
116 and air dried at 23±1°C for two days and sieved to particle sizes between 1680 and 1000 µm  
117 [21]. The sieved soil was poured into a 6 mm diameter syringe barrel and given a few taps to  
118 settle it in the barrel. No compaction was applied resulting in a loose soil packing. This sample  
119 preparation results in a packed bed of soil aggregates, similar to a loose seedbed, consisting of a  
120 bimodal pore distribution of inter and intra aggregate pore space. The soil sample was imaged  
121 at the TOMCAT beamline at the Swiss Light Source with an image resolution of 1.2 µm and then  
122 downsampled to a resolution of 6 µm in order to remove noise and reduce computational cost. At  
123 this resolution only the inter aggregate pore space was visualised and pores less than ~6 µm were  
124 not resolved. The soil and pore space were segmented using the trainable plug-in WEKA in Fiji  
125 [24]. Further details of the soil, imaging parameters and segmentation techniques can be found in  
126 Daly et al. [21]. The segmented geometry was used to create computational meshes using ScanIP  
127 4.0 (Simpleware Ltd, UK), a commercial meshing software.

### 128 (b) Model overview

129 The model developed by Daly and Roose [7] shows that by homogenising the Cahn-Hilliard-  
130 Stokes two fluid equations, Richards' equation can be derived and parametrised by a series of cell

131 problems that account for the soil structure. This is useful for solving problems where calculating  
 132 the microscale equations on the full domain would be too computationally intensive to solve. Daly  
 133 and Roose [7] assumed that the porous media domain,  $\Omega$ , had a macroscale length of  $\tilde{L}_x$  and was  
 134 formed of regularly repeating microscale units with a length of  $\tilde{L}_y$  such that  $\tilde{L}_y/\tilde{L}_x = \epsilon \ll 1$ . This  
 135 is illustrated in figure 2. The method of homogenisation assumes that these two length scales are  
 136 independent of each other and results in a set of representative cell problems that can be solved  
 on a single microscale unit. The macroscale properties that apply to  $\Omega$  can then be determined.

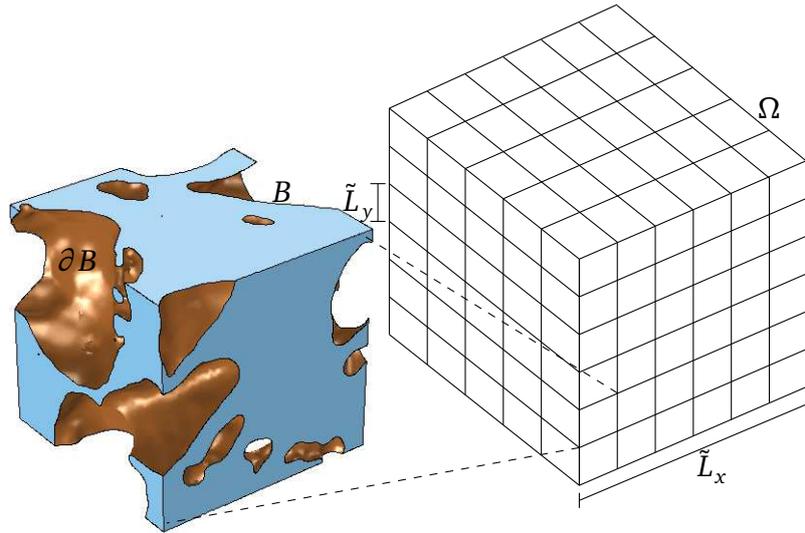


Figure 2:  $\Omega$  is the whole porous domain with macroscopic length scale  $\tilde{L}_x$ . It is made up of regularly repeating units of volume  $\tilde{L}_y^3$ .  $B$  is the total fluid domain, i.e. air and water, of the unit, which is assumed to be connected,  $\partial B$  is the surface of solid soil particles, which is assumed to be smooth.

137 **Daly and Roose [7] derived a dimensionless form of Richards' equation. The equations are**  
 138 **presented here in a rescaled form,**  
 139

$$140 \quad \|B\| \frac{\partial S}{\partial \tau_1} - \nabla_x \cdot \left[ \frac{a(S)}{Ca} K(S) \nabla_x S - b(S) \nabla_x p_0 - b_g(S) \hat{e}_3 g \right] = 0 \quad x \in \Omega, \quad (2.1a)$$

$$141 \quad \nabla_x \cdot \left[ \frac{a(S)}{Ca} \bar{K}(S) \nabla_x S - \bar{b}(S) \nabla_x p_0 - \bar{b}_g(S) \hat{e}_3 g \right] = 0 \quad x \in \Omega, \quad (2.1b)$$

143 where  $\|B\|$  is the volume of the pore space in the microscale unit,  $B$  is the total fluid domain of  
 144 the microscale unit,  $\tau_1$  is the slow time scale caused by macroscopic applied pressure gradients,  
 145  $\nabla_x$  is the gradient operator on the macroscale,  $p_0$  is the leading order term for combined pressure  
 146 and  $g$  is **the scaled gravitational acceleration**. Here,  $p_0$  is referred to as the combined pressure as it  
 147 enforces the incompressibility of the fluid, both air and water together, and includes the external  
 148 pressure applied to the system [7, 25]. The capillary number is defined as

$$149 \quad Ca = \frac{\tilde{L}_x}{\tilde{L}_y} \frac{\tilde{\eta}^{(1)}[\mathbf{u}]}{\alpha \tilde{\gamma}}, \quad (2.2)$$

150 where  $\tilde{\eta}^{(1)}$  is the viscosity of fluid 1,  $\alpha = 6\sqrt{2}$ ,  $\tilde{\gamma}$  is the surface tension and

$$151 \quad [\mathbf{u}] = \frac{\tilde{\rho}^{(1)} \tilde{g} \tilde{L}_y^2}{4\tilde{\eta}^{(1)}}, \quad (2.3)$$

152 is the velocity scaling, where  $\tilde{\rho}^{(1)}$  is the density of fluid 1 and  $\tilde{g}$  is gravity. The other functions are  
 153 given by,

$$154 \quad a(S) = -\frac{\delta\mu_0}{\delta S}, \quad (2.4a)$$

$$155 \quad K(S) = \int_B \phi_0 \boldsymbol{\kappa}_k^\mu \otimes \hat{\mathbf{e}}_k \, d\mathbf{y}, \quad (2.4b)$$

$$156 \quad b(S) = \int_B \phi_0 \boldsymbol{\kappa}_k^p \otimes \hat{\mathbf{e}}_k \, d\mathbf{y}, \quad (2.4c)$$

$$157 \quad b_g(S) = \int_B \phi_0 \boldsymbol{\kappa}^g \otimes \hat{\mathbf{e}}_3 \, d\mathbf{y}, \quad (2.4d)$$

$$158 \quad \bar{K}(S) = \int_B \boldsymbol{\kappa}_k^\mu \otimes \hat{\mathbf{e}}_k \, d\mathbf{y}, \quad (2.4e)$$

$$159 \quad \bar{b}(S) = \int_B \boldsymbol{\kappa}_k^p \otimes \hat{\mathbf{e}}_k \, d\mathbf{y}, \quad (2.4f)$$

$$160 \quad \bar{b}_g(S) = \int_B \boldsymbol{\kappa}^g \otimes \hat{\mathbf{e}}_3 \, d\mathbf{y}, \quad (2.4g)$$

162 where  $\mu_0$  is the leading order term for the capillary pressure and  $\delta/\delta S$  is the functional derivative  
 163 with respect to saturation.  $\boldsymbol{\kappa}_k^\mu$ ,  $\boldsymbol{\kappa}_k^p$  and  $\boldsymbol{\kappa}^g$  are the velocity coefficients of both the air and the water  
 164 driven by capillary pressure, the combined pressure and gravity, respectively.  $k = 1, 2, 3$  defines  
 165 the direction in which the unit force is applied with respect to the major axes. These coefficients  
 166 are the average velocities for a unit pressure drop. These terms are calculated from a series of  
 167 cell problems, which refers to the set of equations solved on the periodic unit cell [11], i.e. the  
 168 microscale domain. The cell problems derived for this set of equations are presented in Daly and  
 169 Roose [7] and will be described in detail in section (c).  $\phi_0$  is the leading order term of the phase  
 170 field, where  $\phi_0 = 1$  in fluid 1, e.g. water, and  $\phi_0 = 0$  in fluid 0, e.g. air, and  $\otimes$  is the tensor product.  
 171  $S$  is the relative water saturation defined as,

$$172 \quad S = \frac{1}{\|B\|} \int_B \phi_0 \, d\mathbf{y}, \quad (2.5)$$

173 where  $d\mathbf{y}$  is a 3D element. Equation (2.4a) for  $a(S)$  describes the gradient of the SWCC. Equations  
 174 (2.4b), (2.4c) and (2.4d) are the velocity coefficients for the water phase driven by capillary  
 175 pressure, combined pressure and gravity, respectively. Equations (2.4e), (2.4f) and (2.4g) are the  
 176 velocity coefficients for both air and water phases driven by capillary pressure, combined pressure  
 177 and gravity, respectively. By solving the cell problems on one of these regularly repeating units,  
 178 the parameters for Richards' equation can be determined based on the geometry of a single unit,  
 179 assuming that this is representative of the whole domain  $\Omega$ .

180 Daly and Roose [7] show that by assuming that the pressure of the air phase is constant,  
 181 equation (2.1a) reduces to the saturation form of Richards' equation, assuming  $\bar{K}(S)$  and  $\bar{b}_g(S)$   
 182 are small enough compared to  $\bar{b}(S)$  so that, for constant pressure, equation (2.1b) is approximately  
 183 satisfied. The relation between equations (1.1) and (2.1a) is discussed further in Daly and Roose  
 184 [7].

### 185 (c) Model Implementation

186 The parameters for Richards' equation are obtained by solving two sets of problems on the  
 187 computational mesh created from the segmented geometry of the soil, as described in section 2(a).  
 188 In this section, the two problems and how they are implemented are described. The first problem  
 189 determines the position of the air and water phases within the geometry. The second problem  
 190 describes the velocities of the air and water so that the parameters of Richards' equation can be  
 191 calculated by averaging these values. These two sets of problems were solved using COMSOL  
 192 Multiphysics 5.2 (COMSOL AB, Sweden), a commercial finite element software.

Parameter	Value	Description
$Ca/Pe$	1	Ratio of Capillary number to Peclet number
$\theta$	$0^\circ, 20^\circ, 60^\circ$	Contact angle
$\eta^{(0)}$	$2 \times 10^{-5}$ Pa·s	Viscosity of air
$\eta^{(1)}$	$1 \times 10^{-3}$ Pa·s	Viscosity of water
$\lambda$	$1 \times 10^{-3}$	Thickness of Interface

Table 1: Dimensionless parameter values used for the image based simulations. Where no units are reported the value is dimensionless. Note that  $Ca/Pe = 1$  has been used here to illustrate the model and that other values of  $Pe$  and  $Ca$  could be used and would correspond to different length scales. Changing the viscosities of the fluids, i.e. modelling fluids other than air and water, also impacts the values of  $Pe$  and  $Ca$ .

193 The first problem solves the leading order terms for the phase field equations. The fluid-fluid  
194 interface location is found by calculating the steady state solution to,

$$195 \quad \frac{\partial \phi_0}{\partial \tau_{-1}} - \frac{Ca}{Pe} \nabla_y \cdot M_0 \nabla_y \mu_0 = 0, \quad \mathbf{y} \in B, \quad (2.6a)$$

$$196 \quad \mu_0 = \frac{f'(\phi_0)}{\lambda} - \lambda \nabla_y^2 \phi_0, \quad \mathbf{y} \in B, \quad (2.6b)$$

$$197 \quad \hat{\mathbf{n}} \cdot \lambda \nabla_y \phi_0 = -h'(\phi_0), \quad \mathbf{y} \in \partial B, \quad (2.6c)$$

$$198 \quad \hat{\mathbf{n}} \cdot M_0 \nabla_y \mu_0 = 0, \quad \mathbf{y} \in \partial B. \quad (2.6d)$$

200 where an interface of a finite width,  $\lambda$ , is introduced between the two fluids to enable the  
201 computation model to solve in finite time.  $\tau_{-1}$  is the fast time scale, which corresponds to the  
202 time taken for the fluid-fluid interface to equilibrate, as opposed to the slow time scale,  $\tau_1$ ,  
203 which corresponds to the time required for the saturation to change due to pressure gradients.  
204 Effectively, we are making the standard porous media modelling assumption that the fluid inertia  
205 is negligible. The Peclet number is

$$206 \quad Pe = \frac{\tilde{L}_x \tilde{L}_y \tilde{\zeta}[\mathbf{u}]}{\alpha \tilde{\gamma}}, \quad (2.7)$$

207 where  $\tilde{\zeta}$  is the fluid-fluid drag coefficient.  $M_0 = \phi_0^2 (1 - \phi_0)^2$ ,  $\hat{\mathbf{n}}$  is the unit normal to the soil  
208 particle surface,  $h'(\phi_0)$  describes the effect of the contact angle,  $\theta$ , between the fluid-fluid interface  
209 and solid surfaces, where  $'$  indicates the functional derivative with respect to  $\phi$ ,  $\delta/\delta\phi$ . Physically,  
210 equation (2.6c) defines the angle between the fluid-fluid interface and the soil particle surfaces,  
211 for a small contact angle ( $\sim 0^\circ$ ) this would correspond to a hydrophilic surface, where as a  
212 large contact angle ( $>90^\circ$ ) would correspond to a hydrophobic surface. The function  $f(\phi_0) =$   
213  $\phi_0^2 (1 - \phi_0)^2$ . This set of equations couples together  $\phi_0$ , the leading order term of the phase field,  
214 and  $\mu_0$ , the leading order capillary term. To solve this set of equations it is necessary to define  
215 either  $\phi_0$  or  $\mu_0$  in order to determine the corresponding value for the respective variable. Using  
216 the model, this can be done in two ways:

- 217 • **Set** the relative water saturation in the geometry and calculate the capillary pressure,  
218  $\mu_0$ , required to achieve this. The position of the air and water phases at the defined  
219 relative water saturation will be dependent on the initial position of the air and water.  
220 The contact angle dependent boundary condition on the soil particles, equation (2.6c),  
221 influences the phase field close to the soil particle surface and this in turn affects the  
222 value of the capillary pressure required to hold the water in a particular position.
- 223 • **Set** the capillary pressure and compute the respective phase field. This is similar to  
224 the experimental method of applying a pressure and measuring the water content. The  
225 advantage of the model presented here, is that not only is the water content known but

also the location of the water and air phases within the geometry. This is difficult to observe physically due to the opaque nature of soil.

The values of  $\mu_0$  and  $\phi_0$  vary with respect to time,  $\tau_{-1}$ , but it is assumed that the movement of the fluid-fluid interface is the fastest time scale in the model and therefore only the steady state is of interest here.

Note that equations (2.6) are numerically stiff, i.e. there are multiple different time scales over which the variables are changing [26], due to the presence of  $M_0$ . At steady state  $\mu_0$  is constant and independent of  $M_0$ . **As only the steady state solution is of interested here, neglecting  $M_0$  does not affect the final solution, only how it is computed.** Therefore,  $M_0$  is neglected in order to increase efficiency and the following equations are solved,

$$\frac{\partial \phi_0}{\partial \tau_{-1}} - \frac{Ca}{Pe} \nabla_y^2 \mu_0 = 0, \quad \mathbf{y} \in B, \quad (2.8a)$$

$$\mu_0 = \lambda^{-1} f'(\phi_0) - \lambda \nabla_y^2 \phi_0, \quad \mathbf{y} \in B, \quad (2.8b)$$

$$\hat{\mathbf{n}} \cdot \lambda \nabla_y \phi_0 = -h'(\phi_0), \quad \mathbf{y} \in \partial B, \quad (2.8c)$$

$$\hat{\mathbf{n}} \cdot \nabla_y \mu_0 = 0, \quad \mathbf{y} \in \partial B, \quad (2.8d)$$

If  $\mu_0$  satisfies equations (2.8), then it will also satisfy the original equations (2.6). The new form used here has the advantage of being less stiff and more computationally efficient.

The equations presented here are reformulated from Daly and Roose [7] in order to improve the numerical stability, reduce stiffness and to make the finite element model more efficient. These minor modifications correspond to an altered initial free energy used in Daly and Roose [7]. There is still ambiguity in the theoretical physics community about the precise functional form of the free energy and any sensible formulation could be used as an input for the Cahn-Hilliard equation for the two fluid expression. Although in using the Cahn-Hilliard equations it is stated that the value of  $\phi = 0$  for fluid 0 and  $\phi = 1$  for fluid 1, and that the values in between correspond to the interface of finite thickness between the two fluids, it is possible for  $\phi$  to have values of  $1 \pm \lambda$  by the nature of the equations. The altered equations presented in the following paragraphs, which overcome this issue, could be obtained using the procedure presented by Daly and Roose [7] or used as inputs to the procedure, and would result in the same formulation of Richards' equation. Firstly, additional terms were added to the boundary condition expression involving the contact angle,  $h'(\phi_0)$ , presented in Daly and Roose [7]. This is necessary to prevent large values of  $\phi_0$  occurring at the edges of the geometry and to improve the stability of the fluid flow model.

$$h'(\phi_0) = \underbrace{\sqrt{2} \cos(\theta) \phi_0 (1 - \phi_0)}_{\text{original [7]}} \quad (2.9)$$

$$+ \underbrace{0.5 \left( 1 + \tanh \left( -\frac{\phi_0}{\lambda} \right) \right) \phi_0^2 \cos(\theta) - 0.5 \left( 1 + \tanh \left( -\frac{1 - \phi_0}{\lambda} \right) \right) (1 - \phi_0)^2 \cos(\theta)}_{\text{additional terms}}$$

This does not change the contact angle in the region of interest, i.e.  $\phi \in [0, 1]$ , as shown in figure 3.

Secondly, the numerical model formulation of the free energy previously used allows  $\phi_0$  to become slightly negative, which does not have a physical interpretation. To prevent negative viscosity values, the viscosity variation between the two fluids was implemented using,

$$\eta_0 = \frac{\eta^{(0)}}{\eta^{(1)}} + \frac{\eta^{(1)} - \eta^{(0)}}{\eta^{(1)}} \min(1, \max(\phi_0, 0)). \quad (2.10)$$

The solution to the phase field equations (2.8) was used as an input to the cell problems presented below. The cell problems are derived as part of the homogenisation procedure, which is described by Daly and Roose [7]. The equations calculate the first non-zero velocity term due to

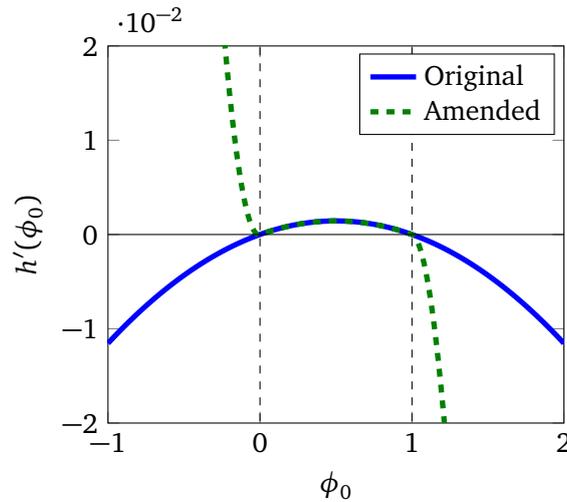


Figure 3: The original contact angle condition from Daly and Roose [7] compared to the amended condition, equation (2.9). The dashed black lines indicate the interval of interest where  $\phi \in [0, 1]$ . It can be seen that within this region the two conditions are the same.

external perturbations, such as capillary pressure gradients and other body forces, the first non-zero pressure term, the first order correction to the capillary pressure and phase field. It should be noted that the correction to the capillary term scales with  $\lambda$  and so becomes 0 for an infinitely thin interface and that it is not necessary to formally calculate the correction to the phase field.

To improve the numerical stability of the cell problems, the equations presented in Daly and Roose [7] were reformulated to define  $\bar{\omega}_k^\mu = \omega_k^\mu + \phi_0 \chi_k^\mu$  and  $\bar{\omega}_k^p = \omega_k^p + \phi_0 \chi_k^p$ , where  $\omega_k^\mu$  and  $\chi_k^\mu$  are local variations in the combined pressure and capillary pressure, respectively, caused by the macroscale capillary pressure.  $\omega_k^p$  and  $\chi_k^p$  are local variations in the combined pressure and capillary pressure, respectively, caused by the macroscale combined pressure. Also, the equations have been rescaled so that there is only one dimensionless coefficient. So, in order to calculate  $\kappa_k^\mu$  and  $\kappa_k^p$ , the cell problems were implemented as,

$$\kappa_k^\mu \cdot \nabla_y \phi_0 - \frac{Ca}{Pe} [\nabla_y \cdot M_0 \nabla_y \chi_k^\mu + \nabla_y \cdot M_0 \hat{e}_k] = 0 \quad \mathbf{y} \in B, \quad (2.11a)$$

$$\nabla_y \cdot \sigma_k^\mu - \nabla_y \bar{\omega}_k^\mu - \chi_k^\mu \nabla_y \phi_0 = \phi_0 \hat{e}_k \quad \mathbf{y} \in B, \quad (2.11b)$$

$$\nabla_y \cdot \kappa_k^\mu = 0 \quad \mathbf{y} \in B, \quad (2.11c)$$

$$\kappa_k^\mu = 0 \quad \mathbf{y} \in \partial B, \quad (2.11d)$$

$$\hat{\mathbf{n}} \cdot M_0 \nabla_y \chi_k^\mu + \hat{\mathbf{n}} \cdot M_0 \hat{e}_k = 0 \quad \mathbf{y} \in \partial B, \quad (2.11e)$$

where  $\sigma_k^\mu = \nabla_y \kappa_k^\mu + (\nabla_y \kappa_k^\mu)^T$  is the local variation in the stress tensor driven by the capillary pressure, and

$$\kappa_k^p \cdot \nabla_y \phi_0 - \frac{Ca}{Pe} \nabla_y \cdot M_0 \nabla_y \chi_k^p = 0 \quad \mathbf{y} \in B, \quad (2.12a)$$

$$\nabla_y \cdot \sigma_k^p - \nabla_y \bar{\omega}_k^p - \chi_k^p \nabla_y \phi_0 = \hat{e}_k \quad \mathbf{y} \in B, \quad (2.12b)$$

$$\nabla_y \cdot \kappa_k^p = 0 \quad \mathbf{y} \in B, \quad (2.12c)$$

$$\kappa_k^p = 0 \quad \mathbf{y} \in \partial B, \quad (2.12d)$$

$$\hat{\mathbf{n}} \cdot M_0 \nabla_y \chi_k^p = 0 \quad \mathbf{y} \in \partial B. \quad (2.12e)$$

$x = 0, 0.5$	$y = 0, 0.5$	$z = 0, 0.5$
$\partial_x \phi_0$	$\partial_y \phi_0 = 0$	$\partial_z \phi_0 = 0$
$\partial_x \mu_0 = 0$	$\partial_y \mu_0 = 0$	$\partial_z \mu_0 = 0$

Table 2: Symmetric boundary conditions for phase field, equations (2.8).  $\phi_0$  is the leading order of the phase field and  $\mu_0$  is the leading order of the capillary pressure.

294 where  $\sigma_k^p = \nabla_y \kappa_k^p + (\nabla_y \kappa_k^p)^T$  is the local variation in the stress tensor driven by the combined  
 295 pressure. For a small enough interface width  $\kappa^g = \kappa_3^\mu$  [7].

296 A requirement of the above model is that the porous domain is made up of regularly repeating  
 297 units, i.e. the structure is periodic. This is not the case for real soil samples. The periodicity is  
 298 introduced by reflecting the cubic geometry in 3 sides, one in each of the  $x, y$  and  $z$  directions. This  
 299 is done mathematically by introducing symmetric boundary conditions on the outer boundaries  
 300 of the fluid domain. This results in the cubic geometry being effectively 8 times larger. The  
 boundary conditions are summarised in tables 2 and 3. **Enforcing periodicity on the soil sample**

	$x = 0, 0.5$	$y = 0, 0.5$	$z = 0, 0.5$
$k = 1$	$\partial_x u_1^j = 0$	$\partial_y u_1^j = 0$	$\partial_z u_1^j = 0$
	$v_1^j = 0$	$v_1^j = 0$	$\partial_z v_1^j = 0$
	$w_1^j = 0$	$\partial_y w_1^j = 0$	$w_1^j = 0$
	$\omega_1^j = 0$	$\partial_y \omega_1^j = 0$	$\partial_z \omega_1^j = 0$
	$\chi_1^j = 0$	$\partial_y \chi_1^j = 0$	$\partial_z \chi_1^j = 0$
$k = 2$	$u_2^j = 0$	$u_2^j = 0$	$\partial_z u_2^j = 0$
	$\partial_x v_2^j = 0$	$\partial_y v_2^j = 0$	$\partial_z v_2^j = 0$
	$\partial_x w_2^j = 0$	$w_2^j = 0$	$w_2^j = 0$
	$\partial_x \omega_2^j = 0$	$\omega_2^j = 0$	$\partial_z \omega_2^j = 0$
	$\partial_x \chi_2^j = 0$	$\chi_2^j = 0$	$\partial_z \chi_2^j = 0$
$k = 3$	$u_3^j = 0$	$\partial_y u_3^j = 0$	$u_3^j = 0$
	$\partial_x v_3^j = 0$	$v_3^j = 0$	$v_3^j = 0$
	$\partial_x w_3^j = 0$	$\partial_y w_3^j = 0$	$\partial_z w_3^j = 0$
	$\partial_x \omega_3^j = 0$	$\partial_y \omega_3^j = 0$	$\omega_3^j = 0$
	$\partial_x \chi_3^j = 0$	$\partial_y \chi_3^j = 0$	$\chi_3^j = 0$

Table 3: Symmetric boundary conditions for cell order problems, equations (2.11), (2.12) where  
 $j = \mu, p$ .  $k = 1, 2, 3$  corresponds to the direction in which the body force is being applied,  $u_k^j, v_k^j$   
 and  $w_k^j$  are the components of the velocity vector  $\kappa_k^j$ ,  $\omega_k^j$  is the first non-zero term of the combined  
 pressure and  $\chi_k^j$  is the first order correction to the capillary pressure.

301  
 302 means that the geometry for the numerical model no longer represents the imaged soil. However,  
 303 assuming the soil is isotropic the errors induced by this assumption exist only on the boundaries  
 304 of the domain. Hence, as the size of the domain increases the relative contribution of this error will  
 305 decrease and the volume become representative [13]. The method for ensuring the representative  
 306 elementary volume (REV) is large enough is described in the next section (d). This is an approach  
 307 that has been used in previous studies [21, 27].

### 308 (d) Representative Elementary Volume

309 The microscale unit has to be representative of the macroscale geometry. To determine the size  
 310 of the REV, increasing sizes of the microscale geometry were modelled. To find a suitable unit

size ( $\tilde{L}_y$ ), five cubes were used with increasing side lengths: 0.114 mm, 0.234 mm, 0.354 mm, 0.474 mm and 0.594 mm. These sizes were selected as they create cubes with an integer number of voxels. The meshes were all created from the centre of the image stack where the image quality is best. These meshes were used to calculate the wetting and drying curves by solving equations (2.8). The initial condition for each saturation was taken from the previous saturation, apart from for the first model run where the initial condition was set manually. The saturation was increased or decreased in steps of 1%. The wetting and drying curves were calculated by fixing the saturation value and running the model to steady state to evaluate the corresponding capillary pressure. If the wetting and drying curves did not form a closed loop, i.e. the wetting and drying behaved differently at high or low water potentials it was assumed that the manually set initial conditions were inaccurate and either the wetting or drying curve was recalculated. The percentage difference of the wetting curves and drying curves was calculated between each of the five cubes and the cube with length size 0.594 mm using,

$$\mathcal{E} = \left( \sum_{i=n}^N \left( \frac{2(v_i - u_i)}{(v_i + u_i)} \right)^2 \right)^{1/2} \times \frac{100}{N - n}. \quad (2.13)$$

Here,  $n$  is the minimum saturation, in this case 20%,  $N$  is the maximum saturation, in this case 80%,  $v_i$  is the capillary pressure for saturation  $i$  of each different cube size.  $u_i$  is the capillary pressure for saturation  $i$  for the cube with length size 0.594 mm. A fully wetted condition, i.e.  $\theta = 0$ , was used for the boundary condition.

After selecting the appropriate geometry size, a mesh refinement study was carried out using meshes created in ScanIP. Initially a mesh is generated based on the resolution of the images, with maximum edge length 6  $\mu\text{m}$  and minimum edge length 3  $\mu\text{m}$  (559,169 elements), then this mesh is coarsened by increasing the element edge lengths. The coarseness was initially decreased in steps of -5 until the coarseness setting of -10 and then in steps of -10 until the coarseness setting of -50 (edge lengths: max. 60  $\mu\text{m}$ , min. 24  $\mu\text{m}$ , 66,672 elements) was reached. The mesh coarseness for all subsequent models was chosen when the percentage difference between the resulting values for  $\kappa_k^p$  was less than 5%, as with the REV study.

### (e) Soil-water characteristic curve

After selecting the appropriate REV and mesh size, the capillary pressures were calculated for every 1% saturation between 2% and 95%. The mesh was used to calculate the soil-water retention curve for the imaged soil sample for fully wetted soil particle surfaces, contact angle  $0^\circ$ , and also contact angles of  $20^\circ$  and  $60^\circ$ . The full set of equations, (2.8), was used for the representative microscale unit size, mesh refinement study and to calculate the  $20^\circ$  contact angle wetting and drying curve. For the fully wetted surface model the set of equations was reduced to,

$$\frac{\partial \phi_0}{\partial \tau} = f'(\phi_0) - \lambda^2 \nabla_y^2 \phi_0 - \lambda \mu_0 \quad \mathbf{y} \in B \quad (2.14a)$$

$$\phi_0 = 1 \quad \mathbf{y} \in \partial B \quad (2.14b)$$

$$\int_B \phi_0 \, d\mathbf{y} = S \int_B 1 \, d\mathbf{y} \quad (2.14c)$$

The value of  $S$  is specified. These equations are sufficient for calculating  $\phi_0$  and  $\mu_0$  with the advantage that they are faster and require less memory to compute. The addition of the  $\frac{\partial \phi_0}{\partial t}$  term to equation (2.14a) forces a unique  $\mu_0$  for a given initial condition. The model is run from the results of the previous saturation to steady state. We note that to calculate the dimensional capillary pressure,  $\tilde{\mu}$ , it is necessary to use the scaling,

$$\tilde{\mu} = \frac{\alpha \tilde{\gamma}}{\tilde{L}_y (N - 1)} \mu_0 \quad (2.15)$$

where  $N$  is the number of dimensions.

355 The parameters for Richards' equation were calculated for each saturation. To do this, firstly,  
356 the phase field equations (2.8) were computed on the Iridis 4 Supercomputing cluster at the  
357 University of Southampton using the batch nodes (16 processors, up to 64 GB RAM) which used  
358 15 minutes to 5 hours of run time and 7 GB of RAM. The phase field calculations had to be  
359 evaluated in series as each saturation used the solution for the previous saturation as the initial  
360 condition. Secondly, three further calculations for the three directional components of each of the  
361 two cell problems, equations (2.11) and (2.12) were calculated on the Iridis 4 Supercomputing  
362 cluster on the high memory nodes (32 processors, up to 256 GB RAM) and on two bespoke high  
363 memory desktops (24 processors, up to 512 GB RAM, 16 processors, up to 768 GB RAM) using 45  
364 minutes to 3 hours run time and 160 GB of RAM.

### 365 3. Results

#### 366 (a) Representative microscale unit size

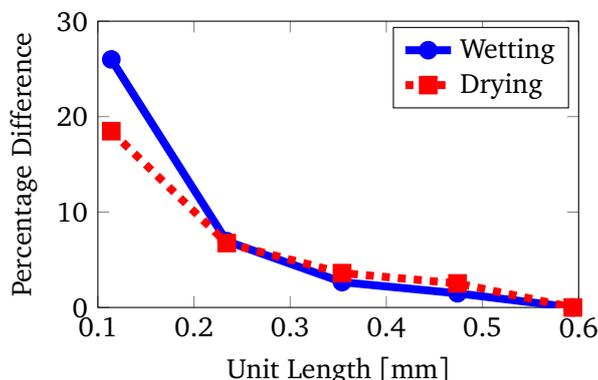
367 Figure 4a illustrates the model output of the percentage difference of wetting and drying curves  
368 between the different cube sizes and the largest cube size. It was decided that the unit with side  
369 length 0.474 mm would be used as the results were less than 5% different to the larger unit with  
370 length size 0.594 mm, but took between 30 minutes and 3 hours per saturation value compared to  
371 the larger unit size, which took between 5 and 25 hours per saturation value.

372 The results of the mesh refinement study are shown in figure 4b and 4c. A mesh coarseness  
373 of -5 is considered acceptable for the modelling purposes presented here, since the percentage  
374 difference between two models was less than 5%. The final mesh had a boundary layer of 0.002  
375 mm, 750955 elements, with maximum edge size 0.0359 mm and target minimum edge size 0.0051  
376 mm.

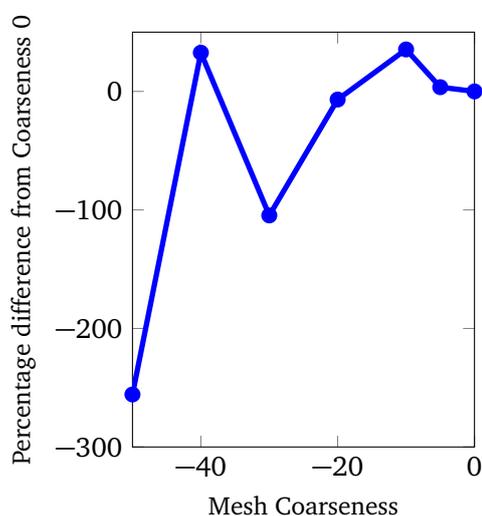
#### 377 (b) Soil-water characteristic curve

378 The SWCCs for the three contact angle cases can be seen in figure 5. The curves all show hysteresis  
379 effects with different capillary pressures required to wet or dry the soil. Haines' jumps occur in all  
380 three contact angle cases. Higher contact angles cause greater hysteresis effects and more Haines'  
381 jumps than the fully wetted condition, see figure 5. The contact angles of  $60^\circ$  and  $20^\circ$  produce  
382 SWCCs that drain at much smaller pressures than the  $0^\circ$  case. There are also more Haines' jumps  
383 and larger hysteresis loops than the fully wetted surface condition. The fully wetted condition,  $0^\circ$   
384 contact angle, shows the negative gradient and plateau of the intrinsic shape of the SWCC, but  
385 not the plateau at high saturations.

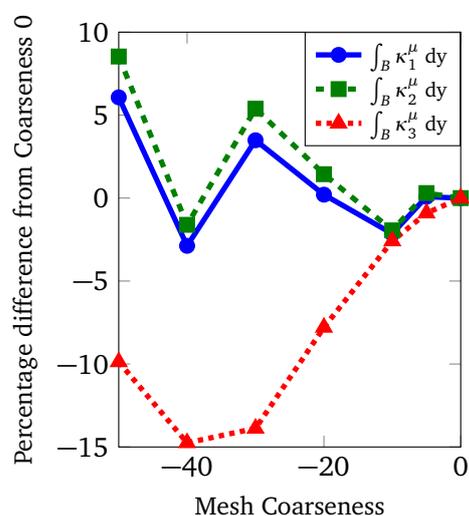
386 In figure 6a, the SWCC for the  $0^\circ$  case is compared to the Young-Laplace model calculated  
387 from the image stack used for the modelling and the results of the experimental study (see  
388 supplementary material for experimental methods). The experiment was carried out *a priori* on  
389 a larger soil sample than was imaged. The imaged soil sample and experimental soil sample  
390 had a porosity of 0.6 and 0.62, respectively. The Haines jumps present in the model results are  
391 highly geometry dependent and since it was not possible to use exactly the same geometries for  
392 testing, it is not unexpected that Haines jumps are invisible in the experimental data. The model  
393 shows good agreement with the Young-Laplace equation, particularly at high water potentials.  
394 The capillary pressure calculated by the model is different by approximately a factor of two  
395 compared to the experimental data. This would mean that by halving the surface tension used to  
396 dimensionalise the capillary pressure, the model and experimental results could be brought closer  
397 together, as shown in figure 6b. This factor of two difference between the experiment and model  
398 results could also be related to the assumption in the model that there is only pure water within  
399 the soil. However, in the experiment there would be a soil solution, rather than pure water phase.  
400 This means that contact angle and surface tension values are most probably affected. Further, the  
401 contact angle of the air-soil solution interface with the soil particles is unknown and as can be seen  
402 by comparing the  $0^\circ$  and  $20^\circ$  contact angles results, higher contact angles decrease the capillary



(a) The percentage difference, as given by equation (2.13), for the wetting curves and drying curves between 20% and 80% saturation from unit length 0.594 mm



(b) Mesh refinement results for capillary pressure



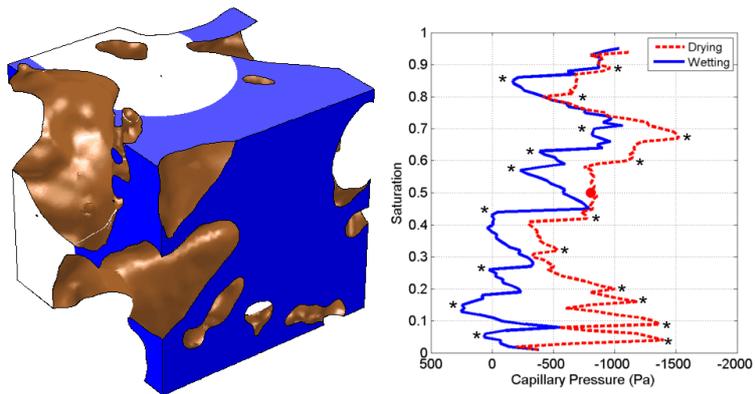
(c) Mesh refinement study results for  $\bar{K}(S)$

Figure 4: The percentage differences were used to select appropriate REV and mesh requirements. Mesh coarseness relates to the maximum and minimum element edge lengths of the mesh. e.g. Mesh coarseness 0: max. 6  $\mu\text{m}$ , min. 3  $\mu\text{m}$  (559,169 elements) and mesh coarseness -50: max. 60  $\mu\text{m}$ , min. 24  $\mu\text{m}$  (66,672 elements).

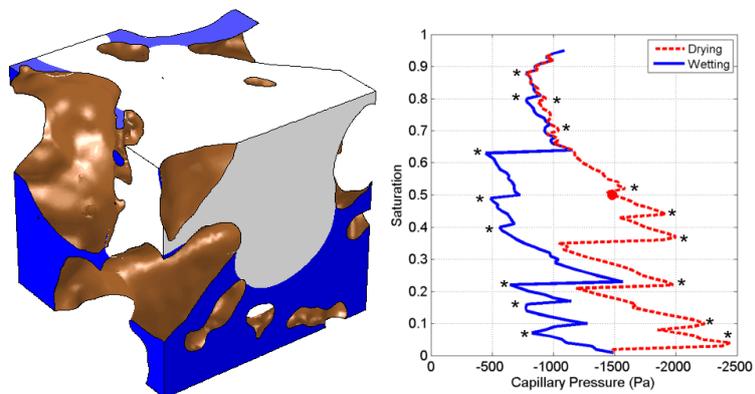
403 pressure required to drain the soil. Full validation of the model would require high precision  
 404 measurements of all these properties, which would be novel in themselves; this is outside the  
 405 scope of the current paper.

406 (c) Parameters for Richards' equation

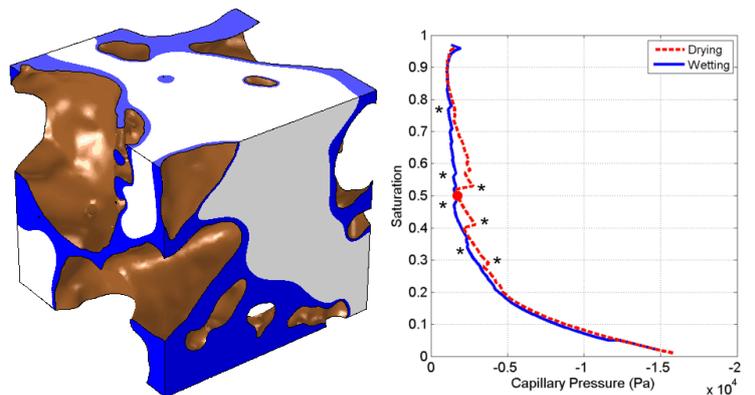
407 The parameters for Richards' equation are calculated using equations (2.4). To assist with the  
 408 analysis of the results, a summary of the parameter descriptions is presented in table 4. Figure 7  
 409 shows the diagonal elements of the tensor parameters of Richards' equation calculated using the  
 410 model with 20° and 0° contact angles. Note that, by symmetry, the off diagonal elements of  $K(S)$ ,  
 411  $b(S)$ ,  $\bar{K}(S)$  and  $\bar{b}(S)$  are 0. The graphs reflect the behaviour of the SWCC results, with the results



(a) 60° contact angle.

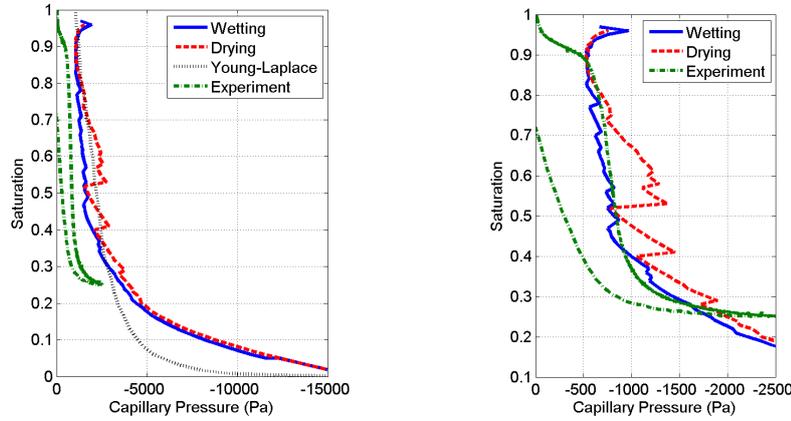


(b) 20° contact angle.



(c) Fully wetted, 0° contact angle.

Figure 5: Wetting and drying curves produced from the phase field equations (2.8) and (2.14). A representative image from the simulation at 50% saturation, corresponding to the circle on the graph, is also shown to highlight the difference that the contact angle makes to the interface position. The water is shown in blue, the air in white and the brown shows the surface of the soil particles. The SWCC exhibits Haines’ jump effects, some of which are highlighted by asterisks. Videos of the soil units wetting and drying can be found in the supplementary material online.



(a) Comparison of model results to Young-Laplace approximation and experimental data.

(b) Experimental data compared to model capillary pressures scaled by a factor of 2.

Figure 6: Comparison of experimentally measured and computed SWCCs

Parameter	Description
$S$	Relative water saturation of the pore space
$a(S)$	Gradient of capillary pressure with respect to saturation
$K(S)$	Average of water velocity driven by capillary pressure
$b(S)$	Average of water velocity driven by combined pressure
$\bar{K}(S)$	Average of both water and air velocities driven by capillary pressure
$\bar{b}(S)$	Average of both water and air velocities driven by combined pressure

Table 4: Description of Richards' equation parameters in the form derived by Daly and Roose [7].

412 for  $20^\circ$  being less monotonic compared to the results for  $0^\circ$ , and the parameters show similar  
 413 trends to the results of an idealised geometry in Daly and Roose [7].  $a(S)K(S)$ , in equation (2.1a),  
 414 takes the place of the soil moisture diffusivity in equation (1.1). From the results presented here,  
 415 neglecting Haines' jumps,  $a(S)$  and  $K(S)$  are both negative, therefore  $a(S)K(S)$  is positive. This  
 416 agrees with the expected value for the soil moisture diffusivity, which would be positive.

417 There are some values of  $\bar{K}(S)$  which are positive. The reason for this is demonstrated in  
 418 figures 8. Figure 8a shows the the air phase, coloured by the velocity value, at 49% saturation  
 419 during the wetting process. Note that the water phase is not plotted so that the topological  
 420 differences between the figures can be clearly demonstrated. To calculate  $\bar{K}(S)$ , the water phase  
 421 is being driven by the capillary pressure in the negative  $x$ -direction, see right hand side of  
 422 equation (2.11b). This produces a velocity in the water, which in turn produces a velocity in the  
 423 air phase. The arrows in figure 8a show the direction of flow in both the water and air phases,  
 424 the size is scaled by the velocity magnitude, and are predominately in the same direction as the  
 425 applied force, the negative  $x$ -direction. Therefore, the value of  $\bar{K}(S)$ , calculated by integrating the  
 426 velocities of both air and water phases over the microscale domain, is negative. In figure 8a, the  
 427 air phase is connected in the  $x$ -plane, i.e. the air phase crosses the whole unit volume. However,  
 428 in figure 8b, which shows the air phase after the saturation has been increased by 1%, it can be  
 429 seen that a Haines' jump has occurred, i.e. the topological configurations between figures 8a and  
 430 8b are different. The air phase is no longer connected in the  $x$ -plane and the dominant direction  
 431 of flow in the air has changed direction to the positive  $x$ -direction. The air velocity is often higher

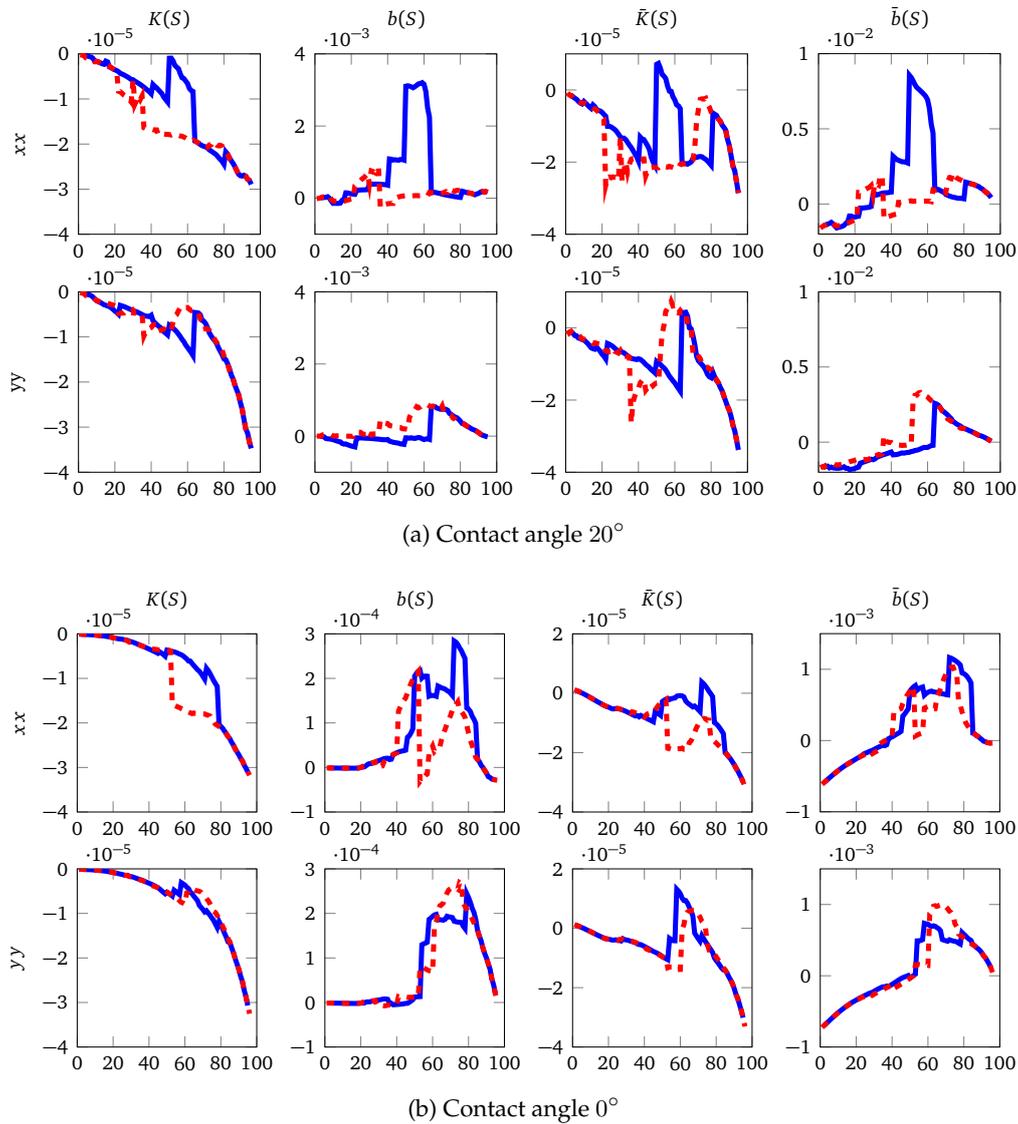


Figure 7: Components of  $K(S)$ ,  $b(S)$ ,  $\bar{K}(S)$  and  $\bar{b}(S)$  defined in equations (2.4).  $S$  is the relative water saturation of the pore space,  $K(S)$  and  $b(S)$  are the average velocities of the water for a unit drop in capillary and combined pressure, respectively.  $\bar{K}(S)$  and  $\bar{b}(S)$  are the average velocities of both the water and the air for a unit drop in capillary and combined pressure, respectively. Full results can be found in the supplementary materials.

432 than the water velocity as it is less viscous, so when integrating the velocities of both air and water  
 433 phases the air velocity dominates, resulting in a positive value for  $\bar{K}(S)$ .

434 The positive values occur at Haines' jumps, where the air phase is not connected in the plane  
 435 parallel to the direction of the driven fluid. This is demonstrated in figure 8 where the velocity of  
 436 the air has been plotted with the unit cube. For the  $20^\circ$  contact angle case the value of  $\bar{b}(S)$  is one  
 437 to two orders of magnitude larger than  $\bar{K}(S)$  apart from saturations between 37-41% and 95%. At  
 438 these saturations,  $\bar{b}(S)$  would dominate the flow behaviour and therefore a constant fluid pressure  
 439 could be assumed. This assumption reduces equation (2.1a) to the saturation form of Richards'

440 equation, as discussed in Daly and Roose [7]. This is also true for the  $0^\circ$  case, for saturations  
 441 between 55-85%.

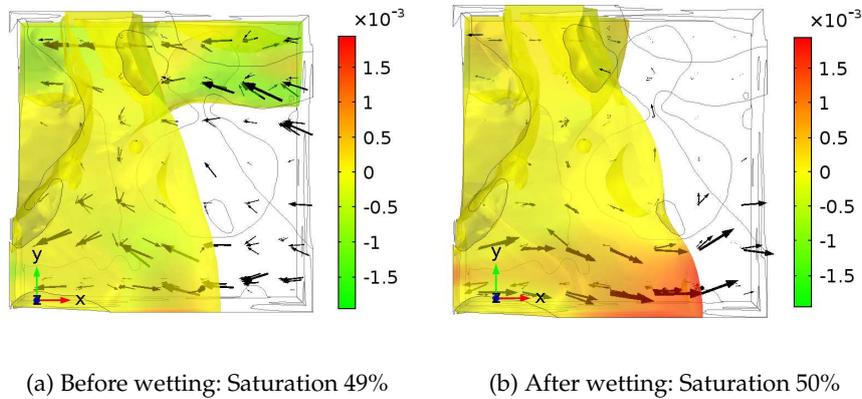


Figure 8: Air phase velocity (m/s) either side of Haines' jumps that cause  $\bar{K}(S)$  to be positive. Note that the water phase and soil particles are not coloured so that the topology of the air phase can be displayed clearly. The grey lines show the outline of the soil geometry, The black arrows show direction of flow in both water and air phases and are scaled with the velocity magnitude. The axes indicate the positive direction. These figures are from the  $20^\circ$  contact angle case.

442 The level of anisotropy between the  $xx$ ,  $yy$ , and  $zz$ , directions for  $K(S)$  and  $\bar{K}(S)$ , see  
 443 supplementary figure for full results, does not appear to be very great. This implies that it may  
 444 be possible to estimate the anisotropic tensors with isotropic ones. However, the soil image to  
 445 create the geometry for the model had been prepared with the aim of making the sample as  
 446 homogeneous as possible. Whether or not you can approximate the anisotropic tensors with  
 447 isotropic ones will depend on the isotropy of the underlying geometry and the angle of the  
 448 samples with respect to the coordinate axes.

#### 449 4. Discussion

450 We have used the model of Daly and Roose [7] to investigate the influence of contact angle on pore  
 451 scale water retention and flow in real soil structures obtained using micron scale noninvasive 3D  
 452 imaging. The effect on the SWCC of using three different contact angles has been investigated. It is  
 453 possible to model experimentally observed physical behaviour such as Haines' jumps. The jumps  
 454 occur when a pore rapidly drains or fills. This can be visualised in the model results and gives  
 455 direct insight into how the water moves in a specific soil sample. The calculated SWCC was then  
 456 used to calculate parameters for Richards' equation, which were also found to exhibit hysteresis  
 457 and 'jumping' behaviour.

458 It has been shown with this model and other computational models of multiphase flow in soil  
 459 [28, 29], that although various different contact angles have been measured experimentally, a  $0^\circ$   
 460 contact angle gives the closest simulation to the intrinsic shape of the SWCC. It is particularly  
 461 unlikely that the SWCC resulting from a contact angle of  $60^\circ$  is realistic as it requires higher  
 462 capillary pressures to drain very high saturations compared to low saturations.

463 Image based modelling can only be as accurate as the images that are used to create the  
 464 geometry. In this case, the resolution of the images means that pores less than  $\sim 6 \mu\text{m}$  are not  
 465 resolved and so are not captured by the model. The pressure difference,  $\Delta p$ , required to drain a

466 pore of radius,  $a$ , is described by the Young-Laplace equation,

$$467 \quad \Delta p = \frac{2\gamma \cos \theta}{a}. \quad (4.1)$$

468 This gives pressure differences of 48, 45.1 and 24 kPa for  $0^\circ$ ,  $20^\circ$  and  $60^\circ$  contact angles,  
469 respectively, which are required to drain pores with a diameter of  $6 \mu\text{m}$ . These pressure differences  
470 are similar to conditions in a freely drained field soil. Since the capillary pressures calculated for  
471  $60^\circ$  and  $20^\circ$  contact angle are below their respective values, the results presented in figures 5a  
472 and 5b would be affected by smaller pores. Including the small pores would increase the value  
473 of the saturation for the range of capillary pressure presented in figure 5. **A standard problem,**  
474 **when assuming that the position of the interface is mainly determined by capillary forces, is**  
475 **that an infinite pressure would be required to completely wet or dry the soil. Therefore, the**  
476 **accuracy of the model at high and low water potentials requires further investigation.** A further  
477 limitation is that the soil particles are assumed solid (akin to individual sand grains). The inter-  
478 aggregate pores would contribute to the SWCC and the pore structure between aggregates will  
479 change due to cycles of wetting and drying or overburden stresses [30]. Our modelling approach  
480 could be applied to more realistic pore structures, but intact specimens were not used for this  
481 study to allow for repeatable high resolution scanning and subsequent model development. The  
482 main bottleneck of the current method is the time required to complete the computations for  
483 each saturation. The total computational time in this first of a kind study, was 4 weeks using a  
484 supercomputer and high memory bespoke desktops.

485 **Full validation of this model with experiments is required to determine the accuracy of the**  
486 **method. Validation would require the SWCC and hydraulic conductivity tensor to be measured**  
487 **at multiple saturations and for the hydraulic conductivity to be measured in all three directions**  
488 **for the exact same soil sample that was imaged. This would mean conducting the measurements**  
489 **on a soil sample with the 6 mm diameter syringe barrel used for imaging. This is high precision**  
490 **experimental set-up would be in itself highly novel and is outside the scope of the current paper.**

491 The results are very sensitive to the contact angle. The  $60^\circ$  contact angle gave results that  
492 are unlikely to be realistic despite being within the range measured experimentally [14]. At the  
493 microscale conditions of the model, however, contact angles can be less than half the values  
494 measured with traditional approaches due to surface roughness impacts. The results for the  $20^\circ$   
495 show that despite being well below the limit defined as hydrophobic, i.e. less than  $90^\circ$ , the model  
496 is exhibiting hydrophobicity, in the context of water flow and retention in soil. The contact angle  
497 can be altered due to the presence of plant and microbial exudates in the soil. Carminati [31]  
498 showed that the soil around the roots wetted slower around older root segments than newer  
499 segments. This could be due to a change in pore size distribution or higher quantities of root  
500 exudates around older roots that has increased the contact angle causing it to rewet more slowly.  
501 The  $0^\circ$  contact angle gives the SWCC with the most conventional shape. The  $0^\circ$  contact angle  
502 causes there to be a film of water on the surface of the soil particles at all times. This could be  
503 capturing real soil behaviour that is not accounted for in the physics of the Cahn-Hilliard-Stokes  
504 model derived in [7], such as adhesive forces being involved in the initial wetting process [1] and  
505 unresolved pore space  $< 6 \mu\text{m}$  that will also influence the SWCC.

## 506 5. Conclusion

507 Using the model of Richards' equation from [7] with image based geometry, it has been shown  
508 that the hydraulic properties of a soil are strongly related to the geometry and contact angle of the  
509 fluid-fluid interface and the soil particle surfaces. Larger contact angles lead to more hysteresis  
510 of pore water retention between wetting and drying and Haines' jumps. The application of a  
511  $0^\circ$  contact angle still resulted in Haines' jumps and hysteresis that are related to the underlying  
512 geometry. It has been shown that it is possible to parameterise Richards' equation for a specific soil  
513 using the model and it provides more detailed information in comparison to what is realistically  
514 achievable experimentally. In the future, this model could be applied to investigate how plants

515 affect the fluid flow in soil by investigating the effects of exudates on the properties of water. This  
 516 would improve our fundamental understanding of water movement in the soil and uptake by  
 517 plants leading to improvements in agricultural practises.

518 **Data Accessibility.** Data supporting this study are available on request from the University of Southampton  
 519 repository at <http://dx.doi.org/10.5258/SOTON/405744>.

520 **Authors' Contributions.** L.J.C. co-developed the COMSOL implementation, conducted the numerical  
 521 simulations and drafted the manuscript. K.R.D. co-developed the COMSOL implementation and was  
 522 consulted on the original mathematical derivation. M.N. and N.K. were consulted on the physical properties  
 523 of soil and interpretation of the results. P.D.H., A.G.B., T.S.G., and T.R. designed the study and were consulted  
 524 on the physical properties and of soil and interpretation of the results. P.D.H. carried out the experiments. All  
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526 **Competing Interests.** The authors have no conflict of interest to declare.

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