

Chromophore Distortions in Photointermediates of Proteorhodopsin visualized by DNP-enhanced solid-state NMR

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5 **DNP-enhanced solid-state NMR**

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Abstract

Proteorhodopsin (PR) is the most abundant retinal protein on earth and functions as a light-driven proton pump. Despite of extensive efforts, structural data for PR photointermediate states have not been obtained. Based on DNP-enhanced solid-state NMR, we were able to analyze the retinal polyene chain between positions C10 and C15 as well as the Schiff base nitrogen in the ground state in comparison to light induced, cryo-trapped K- and M-states. A high M-state population could be achieved by preventing reprotonation of the Schiff base through a mutation of the primary proton donor (E108Q). Our data reveal unexpected large and alternating ^{13}C chemical shift changes in the K-state propagating away from the Schiff base along the polyene chain. Furthermore, two different M-states have been observed reflecting the Schiff base reorientation after the de-protonation step. Our study provides novel insight into the photocycle of PR and also demonstrates the power of DNP-enhanced solid-state NMR to bridge the gap between functional and structural data and models.

Keywords

Membrane proteins, proteorhodopsin, photocycle, solid-state NMR, DNP, flash photolysis

Introduction

Rhodopsins are found in all phyla of life and represent the most abundant phototrophic systems.¹⁻⁴ By far the most abundant family members belong to the proteorhodopsins (PRs), which provided the first evidence for a bacterial retinal-based photoreceptor.¹ Blue and green light absorbing subfamilies have been found.⁵ Their identification through metagenomic screens of uncultured sea samples lead to many hundreds of PR-like sequences distributed in numerous microorganisms from different geographic areas.⁶⁻⁸ Their prevalent occurrence in microbial communities in the ocean's photic zone and their ability to act as light-driven proton pumps, which creates a transmembrane electrochemical gradient, makes retinal-based phototrophy a very important bioenergetic factor in marine ecosystems during nutrient deficient periods.^{9,10} These studies also triggered the discovery of new rhodopsins and helped to expand knowledge of their spectrum of functions and distribution.

PR features the typical heptahelical transmembrane bundle with a retinal chromophore covalently bound to Lys231 and is a DTE-motif ion pump with the primary proton acceptor Asp97 and the proton donor Glu108.¹¹⁻¹³ Furthermore Asp227 and Arg94 form together with the proton acceptor the Schiff base's counter-ion complex. Glu142 is proposed to be involved in proton release.¹⁴ In addition, proton-pumping efficiency is pH regulated due to the high pK_a of Asp97, which is close to the environmental pH. In contrast to other microbial rhodopsins, PR forms pentameric complexes supportive of its function.¹⁴⁻¹⁶ In order to perform proton transfer, PR undergoes a light induced photocycle with a number of characteristic intermediate states (Fig. 1A).^{6,10} Different from archaeal bacteriorhodopsin, only the *all-trans* retinal configuration is present in the dark and no dark-light adaptation step is observed. The photocycle is initiated by retinal isomerization from *all-trans, 15-anti* to *13-cis, 15-anti* around the C13=C14 double bond after which the K-state intermediate is formed. Subsequently, the protonated Schiff base (pSB) transfers its proton to Asp97 leading to the M-state with a reduced pi-electron delocalization, which yields a characteristic blue shift of the protein's absorption wavelength of 410 nm. Next, the SB is reprotonated by the proton donor Glu108, during the N-state. From there, the retinal reisomerizes back to *all-trans* in the O-state. Finally, PR returns to the ground state with proton uptake by Glu108 (Fig. 1A, for a review see Bamann et al.¹⁷).

Although PR has been extensively studied by advanced biophysical methods ranging from time-resolved optical and vibrational spectroscopy, via liquid- and solid-state NMR to X-ray crystallography^{10,11,14,15,17-21}, very little is known so far about conformational changes in the chromophore itself and the surrounding residues in the binding pocket during the photocycle. No structural data on photointermediates have been reported. Kinetic data for the photocycle are usually interpreted by analogy comparison with the less abundant, more exotic but

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3 extensively studied archaeal homologue bacteriorhodopsin (BR) from *Halobacterium*
4 *salinarium*.^{10,22} However, the photocycle of the two proteins show clear differences, e.g.
5 number and lifetime of the intermediate states and the retinal configuration in the dark state.
6 Further differences include a highly conserved histidine residue associated with the active
7 site of PR^{14,23,24}, a different proton release group and the lack of the highly ordered water 402
8 between Schiff base and proton acceptor, which facilitates proton transfer in BR¹⁴. In
9 addition, the discovery of many new rhodopsins has shown that the typical structural scaffold
10 provides the basis for a great variety of functions, including cation and anion pumps, sensors
11 and channels. These also manifest themselves in different optical properties, photocycle
12 kinetics or populated intermediate states, which indicate important differences in their energy
13 landscapes. These fine details need to be understood in order to comprehend how
14 apparently similar molecular designs can yield such a variety of functions.
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22 Solid-state NMR (ssNMR), especially in the form of magic angle sample spinning (MAS-
23 NMR), is a powerful method for the investigation of membrane proteins and has been
24 extensively applied to rhodopsins.^{15,18,25-34} The possibility to perform experiments on light-
25 induced and cryo-trapped photointermediate states directly within the lipid bilayers provides
26 unique opportunities to bridge the gap between the spectroscopic characterization of the
27 photocycle on the one hand and 3D structure analysis by crystallographic approaches on the
28 other. ssNMR reports on the structure and the electronic environment of the chromophore
29 and such data could be eventually linked to optical properties via quantum chemical
30 approaches. However, only very few ssNMR studies have been reported on
31 photointermediates due to the substantial challenges associated with the limited sensitivity of
32 such experiments. Available data are restricted to bacteriorhodopsin, sensory and visual
33 rhodopsin.^{29,34-37} Fortunately, the advent of dynamic nuclear polarization (DNP) enables new
34 perspectives: The sensitivity of ssNMR can be boosted by orders of magnitude by
35 magnetization transfer from suitable polarizing agents containing stable radicals to the nuclei
36 of interest.^{38,39} For membrane protein samples, up to 50 - 60 fold sensitivity enhancements
37 have been reported. Such an approach is of emerging importance for the detection of sub-
38 populations of cryo-trapped photointermediate states as demonstrated for bacteriorhodopsin
39 and channelrhodopsin-2.^{15,25}
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50 Here, we present DNP-enhanced MAS-NMR data on ¹³C-labelled retinal incorporated into
51 ¹⁵N-Lys-labelled green PR in the ground-, K- and M-states. In situ illumination protocols were
52 established and the M-state population was enriched by additionally introducing the primary
53 proton donor mutation E108Q, which does not cause any structural effects but elongates the
54 photocycle by preventing the reprotonation of the Schiff base. In order to follow changes
55 within the chromophore during the photocycle, the HC14-C15H single-bond dihedral angle in
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3 14,15-¹³C₂-*E*-retinal (¹³C₂-retinal, Fig. 1C) and ¹³C chemical shifts in 10,11,12,13,14,15-¹³C₆-*E*-retinal (¹³C₆-retinal, Fig. 1C) were determined. Our data reveal the existence of two M-states. Large chemical shift and dihedral angle changes were observed in the trapped photointermediates and reflect structural changes within chromophore and binding pocket. The response of the retinal polyene chain towards illumination during the first half of the PR photocycle is discussed in the context of time-resolved optical spectroscopy and in light of data from other microbial rhodopsins.

14 Results

15 *Cryo-Trapping of the K-Intermediate*

16 Proteorhodopsin was ¹⁵N-Lys labeled and reconstituted with ¹³C₂-retinal or ¹³C₆-retinal (Fig. 1C) so that retinal and Schiff base could be monitored in differently trapped states. All experiments were performed on proteoliposomes. DNP-enhanced, double-quantum filtered (DQF) ¹³C spectra of ¹³C₂- and ¹³C₆-retinal in the PR ground state are shown in Fig. 2. Using AMUPOL⁴⁰ as polarizing agent, a 50-fold signal enhancement for the ¹³C retinal resonances could be achieved routinely (Fig. 2A). Chemical shifts were assigned based on double-quantum single-quantum (DQ-SQ) correlation experiments⁴¹ (Fig. 2B, Table 1), which were also verified by ¹³C-¹³C proton-driven spin diffusion (PDS) correlation spectra (Fig. 6B).

31 In order to stabilize photocycle intermediates for structural analysis, a sample illumination protocol for cryo-trapping had to be established, which was adapted from procedures reported for bacteriorhodopsin^{25,27,30} and channelrhodopsin-2³¹. Samples were illuminated directly within the MAS rotor under cryogenic conditions or at room temperature followed by fast freezing (thermal trapping) (Fig. 1B). In all cases, sample transparency was identified to be the most crucial parameter for achieving a high trapping efficiency as it determines the size of the light-activated protein population in the lipid bilayer entering the photocycle.³¹ Best light penetration was achieved by evenly spreading a thin film of proteorhodopsin liposomes at a high lipid : protein ratio of 2 (w/w) on the inner surface of a transparent sapphire MAS rotor. Such a setup results in a greatly reduced total protein sample (1-1.5 mg) compared to a fully filled MAS rotor (12-20 mg), which makes the use of DNP-enhanced solid state NMR indispensable.

50 First, an efficient protocol for trapping the K-state was established by illuminating the sample directly within the DNP probe at about 100 K with a bright LED light source for 40 min (Fig. 1B). As a result, the DNP-enhanced DQF-spectra of ¹³C₂ or ¹³C₆-retinal show chemical shift changes as well as additional peaks (Fig. 3A and B). For example, in the ground state a chemical shift for C14 of 120.2 ppm is observed, while under the described illumination a population at 117.7 ppm arises (3A, B). The ¹⁵N chemical shift of the pSB is not affected (Fig.

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3 5A). Assigning this thermally trapped state as the K-state is further confirmed by Cryo-UV-
4 VIS spectra recorded under similar conditions (Fig. 3C). The dark-light difference spectrum
5 shows a decrease of the ground state absorption at 520 nm, while a population at 580 nm
6 arises, which is characteristic for the K-intermediate. As estimated from the NMR peak
7 intensities, approx. 30% of the sample could be trapped into the K-state. This incomplete
8 conversion is probably caused by equilibrium between K- and ground states, by the limited
9 quantum yield and by a fraction of the sample, which was not illuminated due to non-ideal
10 coupling of the light source into the DNP probe.
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15 *Cryo-Trapping of the M-Intermediate*

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17 Different possibilities exist - in principle - for trapping the M-state. One option would be
18 thermal relaxation from the K-state, another would be to populate the M-state at higher
19 temperature followed by thermal trapping.²⁹ A suitable NMR-spectroscopic readout is
20 provided by the ¹⁵N resonance of the Schiff base, which shifts by about 150 ppm upon de-
21 protonation in the M-state.²⁹ Unfortunately, all attempts to convert wild type PR from its
22 ground state into the M-state failed (Fig. S1). This is most likely due to the low population of
23 the M-intermediate in the PR photocycle, which has been reported before¹¹ and is also
24 shown here by time-resolved absorption measurements (Fig. 4A). All expected
25 photointermediates (K, M, N, O, and ground state) appear sequentially, but the population of
26 the M-state is rather low (Fig. 4C). A potential solution to this problem is offered by
27 preventing the decay of the M-state by replacing the primary proton donor E108 with the non-
28 titratable amino acid glutamine. The overall photodynamics of PR_{E108Q} is elongated and a
29 significant M-state accumulation is observed (Fig. 4B). These time-resolved data are fitted
30 with a global lifetime analysis (GLA) routine. Transient absorption changes at selected
31 wavelengths together with their respective fits are shown for PR and PR_{E108Q} in Figs. 4C and
32 D, respectively. According to this data analysis, the PR photocycle is best described by six
33 lifetimes: 5 μ s, 89 μ s, 0.6 ms, 3.4 ms, 24.5 ms, and 100.2 ms. The decay associated spectra
34 (Fig. 4E) of the two fastest lifetimes describe the formation of the M-state and simultaneously
35 the decay of the K-state. Subsequently, the M-state depopulates most likely with the two
36 intermediate time constants of 0.6 ms and 24.5 ms resulting in the formation of the late
37 intermediates. Finally, the photocycle completes with the depopulation of the N and O state
38 and the recovery of the ground state described by the 24.5 ms and 100.2 ms time constants.
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52 In contrast to wild type PR, the GLA analysis of PR_{E108Q} transient data results in four time
53 constants. The respective decay associated spectra (Fig. 4F) reveal a bi-exponential M-state
54 formation (70 μ s and 1.2 ms) and decay (210.3 ms and 2261.1 ms). However, in contrast to
55 the photodynamics of PR, the photocycle seems to end up without a detectable accumulation
56 of the late intermediates. To verify this observation, an additional time-resolved absorption
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3 measurement with a temporal resolution of 1 s has been conducted (Fig. S2). Even 40 s after
4 photoexcitation, there is no indication for the formation of the late intermediates. The high
5 population and extended lifetime of the M-state in the PR_{E108Q} mutant should enable efficient
6 thermal trapping of this photointermediate, which was attempted here by illumination at room
7 temperature followed by quick freezing and transfer into the DNP probe (Fig. 1B). Evidence
8 for successful generation of the M-state in PR_{E108Q} is provided by the detection of a ¹⁵N signal
9 of the de-protonated Schiff base nitrogen at around 316 ppm (Fig. 5A). The ¹⁵N resonance at
10 181 ppm indicates a remaining ground state population, which is probably caused by a
11 combination of factors such as back relaxation during the transfer step into the DNP probe
12 but also limited quantum yield and non-ideal light-coupling as mentioned above. Interestingly,
13 an accumulation of the M-state via thermal relaxation from the K-intermediate was not
14 possible in PR_{E108Q}. ¹³C-Spectra of ¹³C₂-retinal in the M-state are compared with the ground
15 and K-state in Fig. 5B. In the M-state, a new C14 resonance occurs, while the K-state
16 appears completely depopulated (Fig. 5B). Both C14 and C15 resonances are broadened
17 compared to the ground and K-states due to additional populations (see below).
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27 Although the M-state can now be trapped, the question arises, whether the E108Q mutation
28 introduces any structural changes, which would make it more difficult to relate the obtained
29 data to the characteristics of the M-state of wild type PR. Therefore, the ¹³C chemical shifts of
30 the retinal chromophore, which serve as a structural fingerprint of the active site, were
31 compared for PR and PR_{E108Q} in both ground and K-states (Figs. S3, S4). All chemical shifts
32 were found to be identical. The same observation was made for the ¹⁵N chemical shift of the
33 pSB (Fig. 5A), which indicates that the E108Q mutation does not introduce any detectable
34 structural changes at the chromophore. This observation fits well to the fact that position 108
35 is about ~15 Å away from the retinal binding pocket. Therefore, PR_{E108Q} instead of wild type
36 PR is used in the following for investigating the M-state by DNP-enhanced MAS-NMR.
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43 *DNP-enhanced NMR characterization of K- and M-intermediates*

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45 Based on the trapping procedures described above, we were able to assign and compare ¹³C
46 chemical shifts of the retinal cofactor in the ground state with those observed in the K- and
47 M-state. The assignment was derived from single bond double quantum correlations in ¹³C-
48 ¹³C DQ-SQ⁴¹ and cross peaks in PDSD⁴² correlation experiments on ¹³C₆-retinal in PR and
49 PR_{E108Q} as shown in Fig. 6. As described above, all retinal resonances in the PR ground
50 state could be assigned (Figs. 6A, B). A number of new resonances are observed. They
51 correlate with each other and taken together describe a nearly complete retinal signal set,
52 which we assign to the K-state (Figs. 6C, D). The reduced intensity of cross peaks with C13
53 is probably caused by the C20 methyl group relaxation under DNP conditions. The spectrum
54 also contains signals from the residual ground state population. In the K-state the
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3 chromophore of PR undergoes a *trans*-to-*cis* isomerization around the C13=C14 double
4 bond due to light absorption. This results in a significant de-shielding of C11 and C13 by -6.0
5 and -3.1 ppm, while C10, C12 and C14 experience an additional shielding of +2.4, 6.9 and
6 2.5 ppm, respectively (Table 1). Upon switching to the M-state new signals appear. They
7 comprise two different sets of retinal signals with a difference observed for C13 and C15
8 indicating the existence of two M-states M₁ and M₂ (Figs. 6E, F; Table 1). The largest
9 shielding effects are observed for C11 and C13, which change by 11.7 ppm and 19.1 / 21.6
10 ppm compared to the K-state. Resonances C12 and C14 are de-shielded by -3.9 and -6.4
11 ppm. For C15, one shielded (1.2 ppm) and one de-shielded (-3.1 ppm) population is
12 detected. The observed ¹³C chemical shift changes are illustrated in Fig. 7.
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19 The existence of two M-states should be also reflected in the ¹⁵N resonance of the Schiff
20 base nitrogen, but only one broad peak could be observed under our experimental conditions
21 in the 1D ¹⁵N-spectrum shown in Fig. 5A. Therefore, ¹⁵N-¹³C TEDOR dipolar through-space
22 correlation spectra were recorded in order to verify that the populations identified in the ¹³C
23 spectra of the retinal co-factor correlate with a deprotonated ¹⁵N Schiff base resonance.
24 Indeed, the data in Fig. 8 show that both ¹³C resonances of C15 correlate with two slightly
25 different ¹⁵N signals of the deprotonated Schiff base at 312 and 316 ppm, which overlapped
26 in the ¹⁵N 1D spectrum (Fig. 5A). Furthermore, correlations between the protonated Schiff
27 base nitrogen and C13 and the deprotonated Schiff base and C14 are detected. No
28 correlation between C13 and the deprotonated Schiff base could be observed, since the
29 longer distances result in a much smaller dipole coupling and therefore in a very small
30 TEDOR signal.
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38 The observation of two M-states could be a reflection of a reorientation of the Schiff base
39 during de- and reprotonation. Such an event could cause an additional conformational
40 change, e.g. an out-of-plane twist, at the end of the polyene chain. In order to test this
41 hypothesis, we took advantage of the ¹³C₂-retinal (Fig. 1C) and determined the H-C-C-H
42 torsional angle around the C14-C15 bond in PR and PR_{E108Q} by double quantum
43 spectroscopy.⁴³ This angle reflects the orientation of the retinal polyene chain plane with
44 respect to the Schiff base plane. In the ground state a significant out-of-plane twist of 158°±2°
45 is observed (Fig. 9A), which agrees with data previously reported by us³². For analyzing K-
46 state data, the C14 resonance (Fig. 5B) had to be deconvoluted into its ground and K-state
47 contributions. The resulting HCCH evolution curve could be well described by a slightly
48 altered torsion angle of 154°±3° (Fig. 9B). For the M-state, HCCH evolution curves were
49 obtained for C15₁ and C15₂ by separating the ground state contributions by spectral
50 deconvolution (Fig. S8). Data analysis for C15₁ (163.7 ppm) reveals an angle of 148°±3°
51 (Fig. 9C) and for C15₂ (159.4 ppm) a value of 160°±4° (Fig 9D). Torsion angle data are
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3 summarized in Table 1. The HCCH torsion angle in the ground state is identical in PR and
4 PR_{E108Q} (Fig. S5), which offers an additional verification that this mutant is structurally very
5 similar to the wild type. Furthermore deconvolution of residual ground state population in K-
6 as well as in M-state spectra results in the ground state torsion angle, verifying the robustness
7 of the experiment and the deconvolution procedure (Fig. S6).
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11 Discussion

13 *K*-state

15 In the *K*-state, no ¹⁵N chemical shift change for the pSB nitrogen is observed (Fig. 5A). This
16 is in contrast to data reported for other microbial rhodopsins: Upfield shifts of 8 ppm for BR²⁵
17 and 15.5 ppm for ChR2³¹ have been reported. These shielding effects could be explained by
18 an altered interaction between Schiff base and counter ion induced by the retinal
19 isomerization: A wealth of structural data on BR cumulates in a picture in which the highly-
20 ordered keystone water molecule 402 connects SB, Asp85 and Asp212 in the ground state.
21 Light-induced retinal isomerization disrupts the order of this water molecule in the *K*-state.⁴⁴
22 Subsequently, the H-bond between the SB and water 402 is destroyed, which would result in
23 a ¹⁵N upfield shift. However, for PR, no corresponding water molecule has been detected so
24 far, which could explain why the isomerization event does not affect the ¹⁵N Schiff base
25 chemical shift.
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33 In contrast to the Schiff base nitrogen, significant chemical shift changes are observed along
34 the chain from C10 to C14 (Fig. 7A). The largest effects are observed for C11, C12 and C13
35 and, most remarkably, the chemical shift changes in an alternating fashion between shielding
36 (C10, C12, C14) and de-shielding (C11, C13). In order to separate pure isomerization effects
37 from additional protein-retinal interactions, known chemical shift changes from all-*trans*/13-
38 *cis* model compounds are overlaid with our experimental findings in Fig. 7A (black bars). This
39 comparison reveals that the chemical shift changes of C12 and C14 are almost identical to
40 those in model compounds.⁴⁵⁻⁴⁷ The large shielding of C12 is a result of the reduced distance
41 between C12 and C15 in 13-*cis* compared to all-*trans* retinal⁴⁵⁻⁴⁷, which was also verified
42 under our experimental conditions (Fig. S7). However, the values for C10, C11 and C13
43 deviate significantly. These changes and the alternating shielding/de-shielding pattern could
44 be caused by an altered torsion of the polyene chain resulting in different chemical
45 environment on both sides of the chain and/or modified protein-chromophore interactions in
46 the *K*-state. The ground state crystal structure of the closely related blue PR¹⁴ shows that the
47 retinal is sandwiched in the binding pocket between the two tryptophane residues Trp98
48 (helix C) and Trp197 (helix F). Furthermore, Tyr200 (helix F) is in close proximity. Carbons
49 C11 and C13 are pointing towards Trp197 while C10, C12 and C14 are oriented towards
50 Trp98 (Fig. S7). These residues are highly conserved and also found in BR. The consensus
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3 model for the latter involves light induced movements of helix C towards G and a retinal
4 interaction with Trp182 (Trp197 in green PR) pushing helix F outward.⁴⁴ Time-resolved
5 WAXS data indicate at least similar conformational dynamics also in PR.⁴⁸ One could
6 therefore speculate that the de-shielding of C11 and C13 results from an increased distance
7 and shielding of C10 by a closer proximity to Trp197 or Tyr200 in helix F (Fig. S7). Moreover,
8 additional shielding effects arising from the delocalized pi-system in the retinal polyene chain
9 and a rearrangement of bound water molecules might contribute to the observed chemical
10 shift changes as well. Furthermore, the isomerization slightly increases the out-of-plane twist
11 of the retinal as observed by the change of the H-C14-C15-H dihedral angle (Figs. 9A, B).
12 This reflects orientation changes between polyene chain and Schiff base and might be the
13 first step towards the Schiff base orientation needed for the de-protonation step in the M-
14 state.
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22 In summary, the retinal in the PR K-state shows large chemical shift changes in the middle of
23 the polyene chain, which are different from pure isomerization effects while the Schiff base
24 linkers remains unaffected, a situation different from BR.²⁵
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27 ***M-state***

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29 We were able to trap the M-state by enhancing its low population by introducing the E108Q
30 mutation. Our control experiments show that this mutation does not cause any structural
31 changes within the retinal binding pocket and therefore offers a valid approach for cryo-
32 trapping the M-state. It is noteworthy that the much higher populated M-state of BR allows a
33 relatively straightforward trapping by choosing an appropriate illumination protocol without
34 the need of additional mutations^{25,29,49}. In contrast, a M-state in ChR2 could not be trapped.³¹
35 Interestingly, low populated M-states, i.e. deprotonated SB populations, are also observed in
36 photocycles of other microbial proton pumps such as xanthorhodopsin (XR)⁵⁰ and rhodopsin
37 from *Gloeobacter violaceus* (GR)⁵¹. On the contrary, pumps found in eukaryotic organism like
38 *Leptoshaeria* or *Neurospora rhodopsin* from fungi show higher M-state populations^{52,53}. Any
39 attempt to explain such differences would be highly speculative at this point but a correlation
40 between the M-state population and proton pumping efficiency might be assumed. However,
41 data to verify such a hypothesis are difficult to obtain. While BR can be considered as a
42 'robust' proton pump, the situation is less clear for PR under physiological conditions. PR
43 most significantly enhances growth of the host cells under starving conditions, but does not
44 increase growth of the cells in general⁵⁴⁻⁵⁶. It has also been suggested that PR is not
45 optimized for a fast photocycle due to the formation of the His75-Asp97 cluster, which might
46 be an indication for alternative/additional functions as a sensor or regulator.^{5,33,57}
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3 The successful trapping of the PR M-state was verified by the detection of a deprotonated
4 Schiff base ^{15}N resonance (Fig. 5A), which only appears in this part of the photocycle. The K-
5 state becomes completely de-populated (Fig. 5B) and resonances C11-15 change
6 significantly from K- to M-state (Fig. 7). The observation of two C13 and C15 populations (Fig
7 6) and two signals for the deprotonated SB (Fig. 8) provide evidence for the existence of two
8 sub-states M_1 (de-shielding of C15) and M_2 (shielding of C15), which agree well with optical
9 data presented here (Fig. 4) and elsewhere⁵⁸. The occurrence of two sub-states is also in
10 accordance with similar data reported for BR⁵⁹. They could be interpreted as a reflection of a
11 two-stage reorientation of the deprotonated SB during the photocycle from the extracellular
12 site directly after the de-protonation to the intracellular site just before reprotonation. This is
13 supported by the two torsion angles measured for the M-state (Fig. 8): M_1 could correspond
14 to the extracellular (148°) and M_2 to the intracellular orientation (160°). In M_1 , the SB and the
15 polyene chain plane seem highly twisted with respect to each other. Reorientation of the SB
16 to the intracellular site for reprotonation (M_2) decreases the out-of-plane twist, resulting in a
17 similar angle as in the ground state. A similar behavior has been observed for BR²⁷.

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27 The large chemical shift changes of C11, C12, C13 and C14 during the K- to M-state
28 transition reflect the de-protonation of the Schiff base. To which extent the de-protonation
29 event alone dominates the experimental data can be estimated from model compound
30 studies by comparing ^{13}C chemical shifts of deprotonated *all-trans* N-butyretinylideneimine
31 Schiff base with *all-trans* N-butyretinylideneimine Schiff bases in complex with different
32 counter ions (black bars in Fig. 7B).⁴⁵ Our comparison reveals that the large shifts of +11.7
33 ppm for C11, -3.9 ppm for C12 and +19.1/21.6 ppm for C13 with respect to the K-state are
34 fully within the range observed for these model compounds and can therefore be explained
35 on the basis of electronic effects caused by the Schiff base de-protonation alone. Therefore,
36 additional protein-retinal interactions can be excluded for this middle part of the polyene
37 chain. On the contrary, the observed changes for C14 and C15 clearly deviate from those in
38 model compounds. One reason could be found in counter ion differences, since e.g. chloride
39 and bromide are used in the model compounds in contrast to the carboxyl groups of the
40 residues forming the counter ion complex in PR. For C14 and C15_1, de-shielding has been
41 observed compared to the K-state, which is most likely caused by weaker interaction with the
42 counter ion complex due to protonation of Asp97. An additional factor could be an increased
43 distance to Asp227, as it has been reported for BR.²⁶ These factors could be less
44 pronounced in M_2 due to the reduced chain twist resulting in a small additional shielding of
45 C15_2.

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Our data for the transition from ground to K-state clearly illustrate that the changes in the
retinal conformation as sampled by ^{13}C chemical shifts and dihedral angles not only depends

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3 on the *all-trans/13-cis* isomerization, but is strongly coupled to the protein environment. In
4 contrast, the observed changes associated with the K to M transition are primarily controlled
5 by the de-protonation reaction alone. Interestingly, the comparison of our experimental
6 results with data from model compounds also suggests, that the chemical shift changes
7 induced by isomerization or de-protonation are additive. To elucidate the structural basis of
8 the observed chemical shift changes in more detail, further studies such as quantum
9 chemical calculations will be needed.
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13 14 **Conclusions**

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16 Here, we have presented the first structural data of the retinal chromophore in
17 proteorhodopsin in the K- and M-state based on DNP-enhanced solid-state NMR
18 spectroscopy. Our observations show that chemical shift changes are strongest in the middle
19 of the retinal polyene chain and less pronounced towards the Schiff base linkage, which
20 demonstrate a distorted retinal structure and significant protein-chromophore interactions
21 during the ground- to K-state transition. In the K-state, an alternating shielding/de-shielding
22 pattern propagating away from the Schiff base is observed indicating that especially the
23 middle part of the chain responds to the isomerization and experiences an altered
24 interaction within the retinal binding pocket. The M-state shows very large chemical shift
25 differences and splits into two populations reflecting the reorientation of the Schiff base
26 between de- and reprotonation events, which explains the results of time-resolved optical
27 spectroscopy. With the here introduced illumination and trapping protocol in combination with
28 DNP-enhanced solid-state NMR, it will be possible to fully resolve the retinal binding pocket
29 during the photocycle of PR and other microbial rhodopsins. Such data are required to
30 resolve how apparently similar architectures can encode such a diversity of functions ranging
31 from ion pumping, to gating and sensing.
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42 **Material and Methods**

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44 ¹³C-labeled retinal: 14,15-¹³C₂-*E*-retinal (¹³C₂-retinal); 10,11,12,13,14,15-¹³C₆-*E*-retinal (¹³C₆-
45 retinal); and 12,15-¹³C₂-*E*-retinal were synthesized as described elsewhere.⁶⁰ The ¹³C₆-retinal
46 was also contained ¹³C-methyl groups at positions C16, C17 and C18. Due to their relaxation
47 properties, these resonances could not be observed under DNP conditions and they were
48 therefore omitted.
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52 *Protein expression, purification and reconstitution:*

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54 PR (eBAC31A08) as well as PR_{E108Q} was expressed in C43 *E. coli* cells in a defined medium
55 containing all amino acids: 0.5g/l alanin, 0.4g/l arginine, 0.4 g/l aspartate, 0.05g/l cysteine,
56 0.4 g/l glutamine, 0.65 g/l glutamate, 0.55 g/l glycine, 0.1 g/l histidine, 0.23 g/l isoleucine,
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0.23 g/l leucine, 0.2 g/l ^{15}N -lysine, 0.25 g/l methionine, 0.13 g/l phenylalanine, 0.1 g/l proline, 2.1 g/l serine, 0.23 g/l threonine, 0.17 g/l tyrosine, 0.23 g/l valine, 0.5 g/l adenine, 0.65 g/l guanosine, 0.2 g/l thymine, 0.5 g/l uracil, 0.2 g/l cytosine, 1.5 g/l sodium acetate, 1.5 g/l succinic acid, 0.5 g/l NH_4Cl , 0.85 g/l NaOH , 10.5 g/l K_2HPO_4 , 20 g/l glucose, 4 mM MgSO_4 , 0.05 mM FeCl_3 , 10 ml/l trace metal solution (20 mg CaCl_2 , 20 mg ZnSO_4 , 20 mg MnSO_4 , 500 mg tryptophane, 500 mg thiamine, 500 mg niacin, 10 mg biotin), 0.1 mg/l kanamycin. In this way, specifically lysine labeled protein could be produced. Cells were grown in 0.5l cultures at 37°C until $\text{OD}_{600}=0.6-0.8$ was reached. Protein expression was induced by 1mM IPTG. Cells were grown at 37°C for another 3.5h and subsequently harvested. Cell disruption and membrane preparation took places as described before.³³ $^{13}\text{C}_2$ - or $^{13}\text{C}_6$ -retinal was added during solubilization in 1.5% n-Dodecyl β -D-maltoside (DDM) at 4°C overnight. Protein was purified by Ni-NTA and reconstituted in a 1,2-dimyristoyl-sn-glycero-3-phosphocholine (DMPC): 1,2-dimyristoyl-sn-glycero-3-phosphate (DMPA) (9:1 (w/w)) mixture in a lipid-to-protein ratio (LPR) of 2 (w/w) as described before.³³ The proteoliposomes were washed several times with buffer (50mM Tris, 5mM MgCl_2 , pH 9) to adjust the pH and pelleted in by ultracentrifugation afterwards. In order to achieve signal enhancement with DNP, the samples were incubated with 20mM AMUPOL⁴⁰ (60% D_2O , 30% d_8 -glycerol, 10% H_2O) for 14h at 4°C . The excess polarizing agent was removed next day. About 1.5 mg of protein was packed in a 3.2 mm sapphire rotor and spun in a rotor testing device for a few minutes at room temperature in order to spread the sample equally one the inner surface of the MAS rotor.

Illumination and intermediate trapping: For illumination high-power LEDs from Mightex were used. The K-state was trapped by direct illumination of PR samples within the DNP probe at 100K for 40 min. The light was transferred into the probe via a glass fiber. After illumination, the sample was directly measured without further illumination. Sample transparency was found to decrease slightly at lower temperature. Therefore, the use of slightly blue-shifted LED at higher power (470 nm, 3.3 W), which maximizes light scattering through the sample, was found to yield a higher trapping efficiency compared to a 525 nm LED (0.13 W) (for further details see Fig. S9). The M-state was trapped by illuminating $\text{PR}_{\text{E}108\text{Q}}$ samples outside of the DNP probe for 1.5 min using a 525 nm LED (0.13 W) at room temperature. Subsequently, samples were flash-frozen in liquid nitrogen within the pre-cooled rotor catcher and transferred into the DNP probe pre-cooled at 100K.

DNP-enhanced solid-state NMR experiments: All experiments were performed on a Bruker 400 DNP system consisting of a 400 MHz WB Advance II NMR spectrometer, a 263 GHz Gyrotron as microwave source and a 3.2 mm HCN Cryo-MAS probe. For 1D and 2D ^{13}C spectra a MAS frequency of 8 kHz and for 1D ^{15}N spectra 10 kHz was used. During DNP

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3 experiments the temperature was kept at around 100 K. ^{13}C and ^{15}N referencing was done
4 indirectly to DSS using ^{13}C signal of adamantane at 40.49 ppm at room temperature. For all
5 experiments 100 kHz decoupling using SPINAL-64 61 was applied during acquisition. The
6 initial ^{13}C CP step for the proton driven spin diffusion experiment 42 (PDSD) was achieved
7 using a contact time of 0.8 ms. A mixing time of 20 ms was used and a recycle delay of 3 s
8 was applied. In the indirect dimension, an acquisition time of 4.8 ms, 292 increments, and
9 192 scans per increment were used. ^{13}C -double quantum filter experiments (DQF-
10 experiments) were obtained using the POST-C7 62 sequence for double quantum excitation
11 and reconversion at 0.5 ms. 2D Double quantum single quantum (DQSQ) spectra 41 were
12 recorded with 254 increments in the indirect dimension with 384 scans and 17.86 μs dwell
13 time. For ^{15}N - ^{13}C correlation, a TEDOR sequence with z-filter and a mixing time $\tau_{\text{mix}}=6.8$ ms
14 was applied. 63 The ^{15}N offset was set between the signal of the protonated and the
15 deprotonated Schiff base at 10000 Hz. Spectra were recorded with 2048 scans in direct and
16 64 increments with 100 μs each in indirect dimension.
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24 *Dihedral angle determination:*

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27 For HCCH torsional angle measurements a double quantum heteronuclear local field
28 experiment 64 was applied (HCCH-experiment). In the experiment POST-C7 during two rotor
29 periods was used for double quantum excitation and reconversion, PMLG-9 65 at 106.2 kHz
30 was used for homonuclear ^1H decoupling and ^1H CW irradiation at 106.2 kHz was used
31 during the constant time periods. Each data point was recorded with 4096 scans. The peaks
32 corresponding to the different photointermediates were deconvoluted with TOPSPIN 2.1 (see
33 Fig. S6). The measured peak intensities are fitted with torsion angle data, which have been
34 simulated with SIMPSON 66 as it has been described before. 31
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41 *Time-resolved absorption spectroscopy:* The flash photolysis experiments have been
42 performed with an OPO (optical parametric oscillator) combined with an Nd:YAG laser
43 (Spitlight 600, Innolas Laser GmbH) for the generation of nanosecond pump pulses. The
44 probe pulses were generated by a Xenon flash lamp delivering microsecond white light
45 pulses. For detection, an intensified charge-coupled device camera (ICCD camera) was
46 deployed (PI-MAX 3, Princeton Instruments). The transient data are analyzed with a global
47 lifetime analysis (GLA) method, conducted with the program "OPTIMUS" 67 . In this routine,
48 data are analyzed based on a fixed set of exponential terms resulting in decay-associated
49 spectra for each lifetime 68 .
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55 **Acknowledgement:** The work was funded by the Cluster of Excellence Macromolecular
56 Complexes Frankfurt (DFG EXC 115) and DFG/SFB 807 'Transport and communication
57 across membranes' and. The DNP experiments were enabled through an equipment grant to
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3 CG provided by DFG (GL 307/4-1). AJL, LJB and RCDB acknowledge the EPSRC for
4 funding (EP/K039466/1, and EP/L505067/1).
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7 **Supporting Information:** Thermal relaxation data (Fig. S1); Transient absorption of PR_{E108Q}
8 (Fig. S2); Supporting PDS and DQSQ spectra of PR_{E108Q} (Fig. S3); NMR data of the
9 trapped K-state of PR_{E108Q} (Fig. S4); HCCH double quantum evolution curve for ¹³C₂-retinal in
10 PR_{E108Q} (Fig. S5); Spectral deconvolution for HCCH analysis (Fig. S6); Supporting data for
11 12,15-¹³C₂-*E*-retinal (Fig. S7); Supporting illustration for retinal-opsin interactions in PR (Fig.
12 S8); Optimized illumination for K-state trapping (Fig. S9). This material is available free of
13 charge via the Internet at <http://pubs.acs.org>.
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Figures and Legends

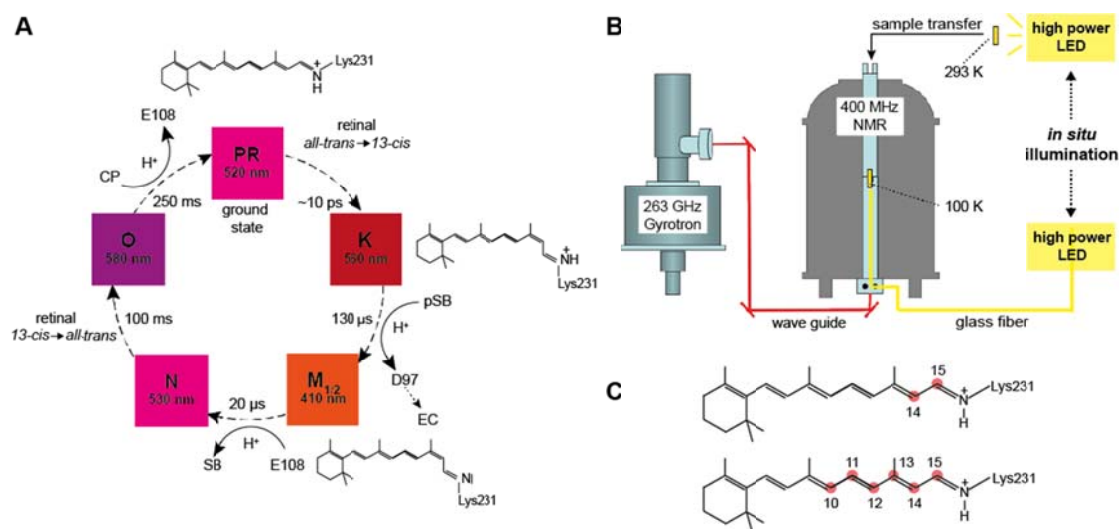


Figure 1: (A) The PR photocycle is initiated by the light-induced isomerization of retinal from *all-trans,15-anti* to *13-cis,15-anti* leading to the K-state. Next, the Schiff base transfers its proton to the primary proton acceptor Asp97 resulting in the blue shifted M-state. Subsequently, the Schiff base is reprotonated from the proton donor Glu108 leading to the formation of the N-intermediate. In the last two steps the retinal reisomerization takes place (O-state) followed by proton uptake from the cytoplasm and reprotonation of E108.¹⁰ (B) Two *in situ* illumination schemes used here for DNP enhanced NMR measurements. Samples can be illuminated directly within the MAS probe under cryogenic conditions or at room temperature followed by rapid freezing and subsequent sample transfer into the magnet. (C) Retinal labeling schemes 14,15- $^{13}\text{C}_2$ -*E*-retinal ($^3\text{C}_2$ -retinal) and 10,11,12,13,14,15- $^{13}\text{C}_6$ -*E*-retinal ($^{13}\text{C}_6$ -retinal).

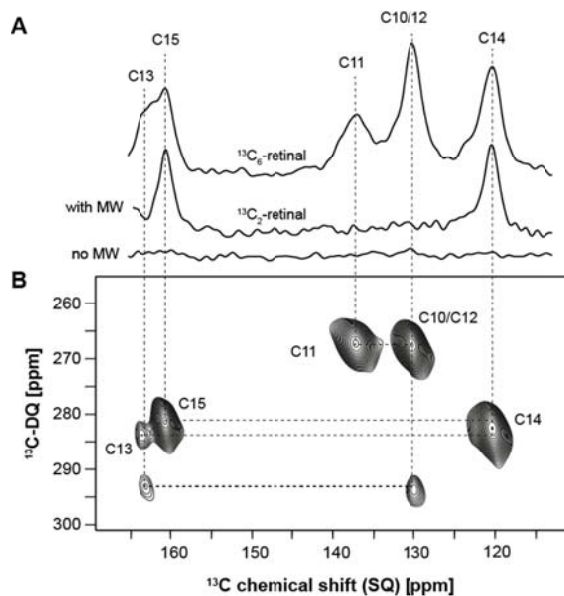


Figure 2: (A) DNP-enhanced, double-quantum filtered (DQF) ^{13}C spectra of PR with $^{13}\text{C}_2$ and $^{13}\text{C}_6$ retinal. DNP enhancement is approx. 50-fold. (B) DNP-enhanced DQ-SQ spectrum of $^{13}\text{C}_6$ -retinal in the PR ground state. The assigned chemical shifts are summarized in Table 1.

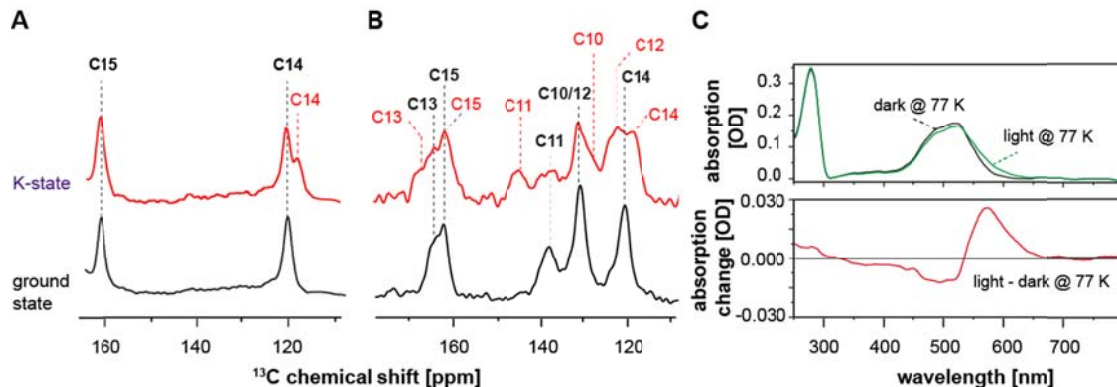


Figure 3: (A) DNP-enhanced ^{13}C -DQF spectra of $^{13}\text{C}_2$ -retinal and (B) $^{13}\text{C}_6$ -retinal in the PR ground state (black, bottom) and upon 40 min *in situ* illumination of the sample in the DNP-MAS probe at $\sim 100\text{K}$ (top). The new set of signals is assigned to the K-state. (C) Optical absorption (top) and difference spectra (bottom) of dark- and light-illuminated PR at 77 K under conditions close to the DNP experiment. The difference spectrum reveals the occurrence of the K-state.

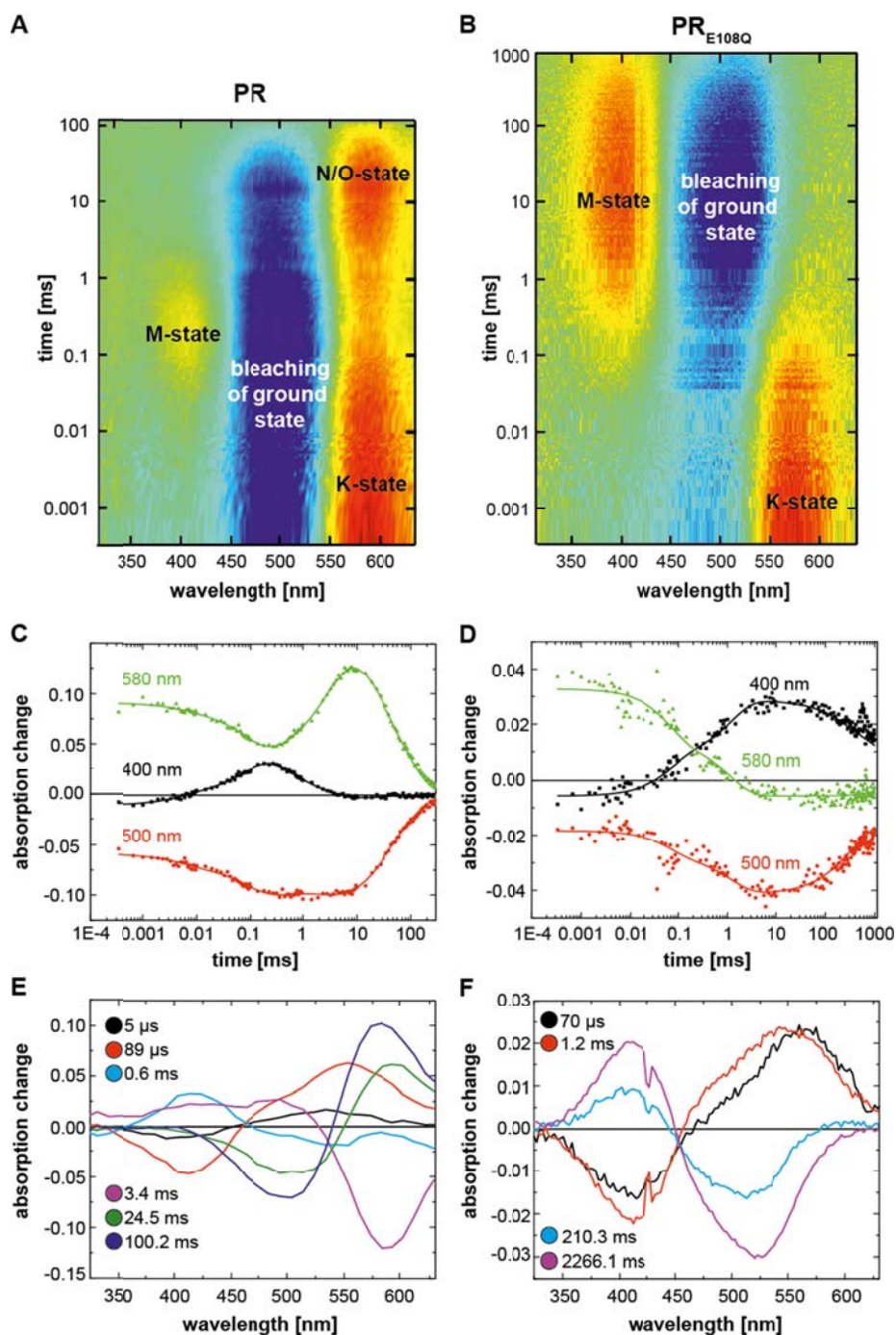


Figure 4: Photocycle of wild type PR in comparison to the PR_{E108Q} mutant. 2D plots of transient absorption in the UV-Vis spectral region of PR (A) and PR_{E108Q} (B). Red depicts positive, blue negative and green no absorption change. Transient absorption at 400 nm, 500 nm and 580 nm of PR (C) and PR_{E108Q} (D). The solid lines depict the fits of the data (dots). Decay associated spectra resulting from the global lifetime analysis for PR (E) and PR_{E108Q} (F).

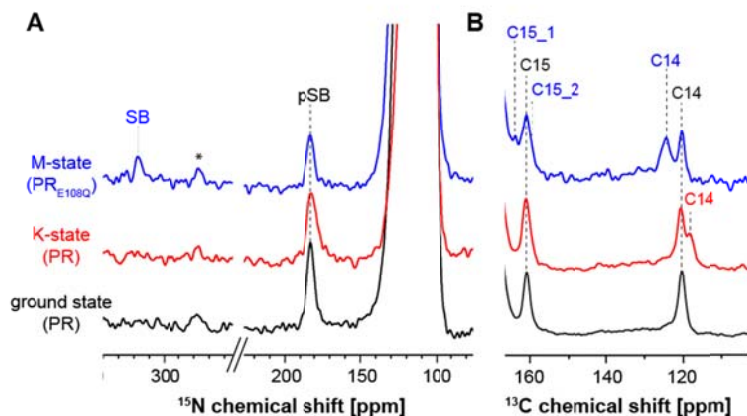


Figure 5: (A) DNP-enhanced ^{15}N spectra of PR and PR_{E108Q} in ground, K- and M-states. In the K-state, the chemical shift of the pSB at 181 ppm does not change compared to the ground state. In the M-state a deprotonated SB population at around 316 ppm is detected, which verifies the accumulation of the M-intermediate (* denotes a spinning side band). (B) Comparison of DNP-enhanced ^{13}C spectra of $^{13}\text{C}_2$ -retinal in PR in the ground- and K-states with $^{13}\text{C}_2$ -retinal in PR_{E108Q} in the M-state. An additional set of resonances for C14 and C15 occurs. The K-state becomes completely de-populated as seen for the C14 signals (the resonance above 162 ppm is from additional isotope labeling within the protein).

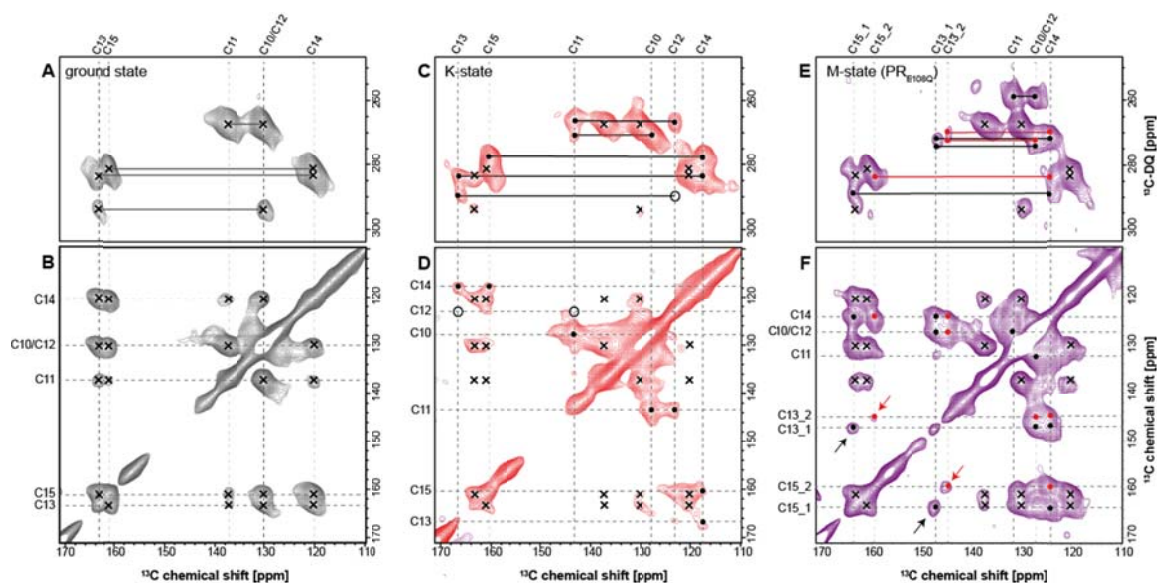


Figure 6: DNP-enhanced DQSQ (A, C, E) and PDSQ (B, D, F) spectra of $^{13}\text{C}_6$ -retinal within PR in the ground state (A, B), in the K-state (C, D) and in the M-state (E, F). The M-state was created by thermal trapping of $\text{PR}_{\text{E}108\text{Q}}$. For ground state PR, single bond double-quantum correlations ($\omega_1+\omega_2$) and cross peaks are highlighted by (□) in (A) and (B). In the K-state, single bond double-quantum correlations ($\omega_1+\omega_2$) and cross peaks are labeled with (•) in (C) and (D). Positions where residual ground state signal intensities are expected are indicated with (□). Correlations, which could not be detected due to low signal intensities are labeled with (○). The M-state spectrum contains residual ground state signals (□) and two sets of single bond double-quantum correlations ($\omega_1+\omega_2$) and cross peaks marked with (•) and (•). Arrows in (F) indicate two-bond correlations between C13_1/C15_1 and C13_2/C15_2 in order to label both M-state spin systems unambiguously. Chemical shifts are summarized in Table 1.

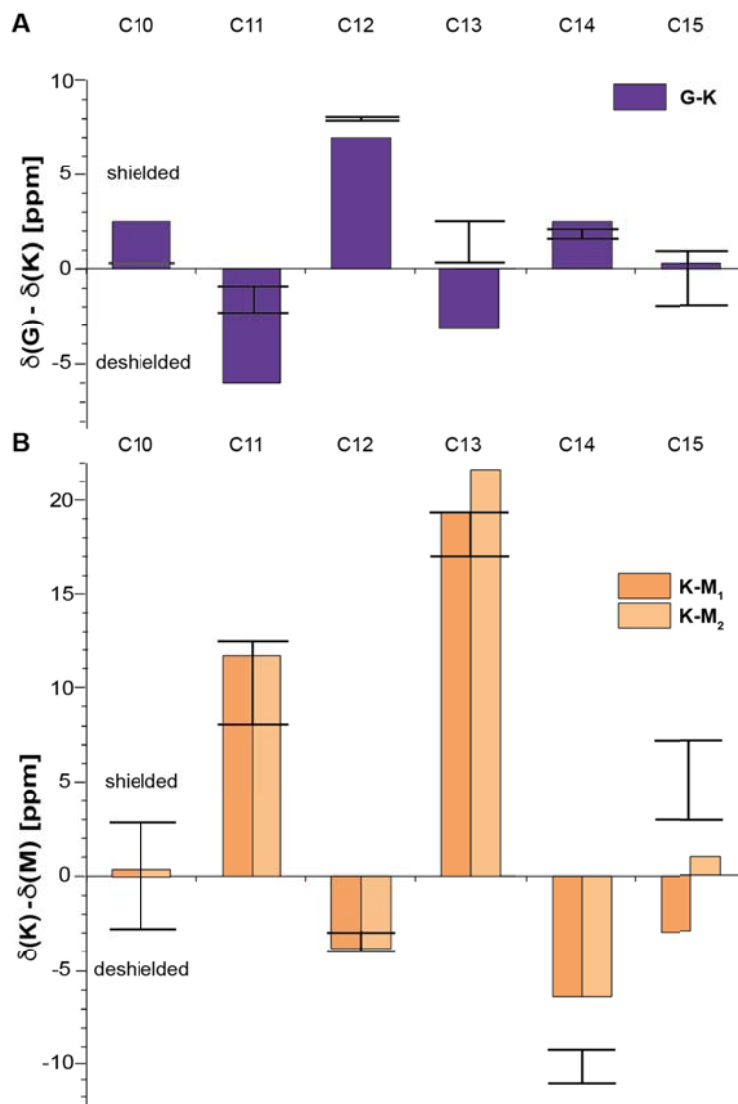


Figure 7: Light-induced chemical shift changes observed for retinal carbon atoms C10-C15 in PR plotted as the difference between ground- and K-state (A) and between K- and M₁/M₂-states (B). The black bars illustrate the effect of the all-*trans*-13-*cis* isomerization as reported for retinal model compounds⁴⁵⁻⁴⁷ (A) and the effect of de-protonation of Schiff base analogs (N-butyretinylideneimine⁴⁵, (B)).

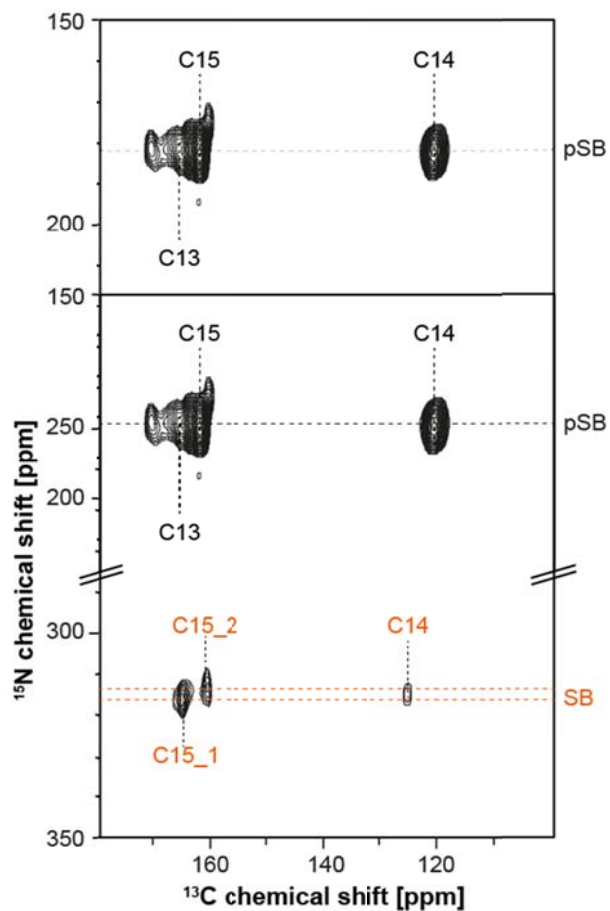


Figure 8: DNP-enhanced ^{15}N - ^{13}C TEDOR spectrum of $^{13}\text{C}_6$ -retinal in ^{15}N -Lys-PR_{E108Q} in the M-state ($t_{\text{mix}}=6.8$ ms). Here, magnetization is transferred via TEDOR from the ^{15}N of the pSB/SB to the retinal chain. Cross-peaks with C15 and C14 are detected in ground and M-state populations. In the M-state, two different correlations between the SB nitrogen and C15_1 and C15_2 are observed. A correlation with C13 is only detected in the ground state.

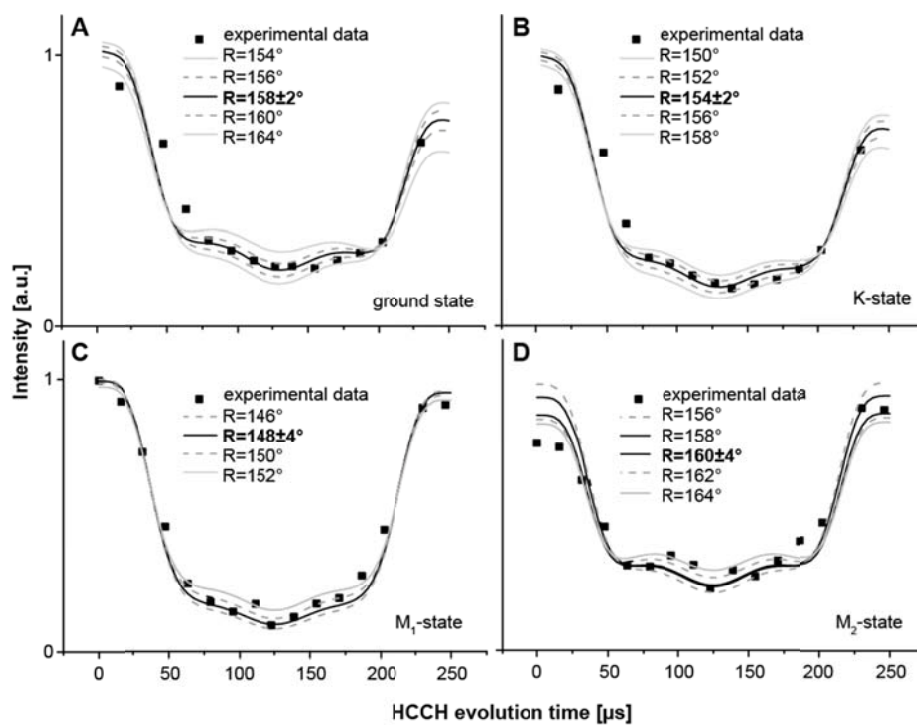


Figure 9: HCCH dephasing curves for the C14-C15 spin system in PR recorded over two rotor periods reporting on the HCCH dihedral angle: (A) ground state ($158^\circ \pm 2^\circ$); (B) K-state ($154^\circ \pm 2^\circ$), the dephasing curve was obtained by de-convolution of the C14 resonance (Fig. 5B); (C) M₁-state ($148^\circ \pm 4^\circ$) and (D) M₂-state ($160^\circ \pm 4^\circ$). The C15 resonance was de-convoluted into both M-state contributions (C15₁, C15₂) and separated from the remaining ground state population (see Fig. S6 for deconvolution details).

Table 1: Chemical shifts and torsion angles (H-C14-C15-H) for PR in ground and K-state and PR_{E108Q} in M-state.

Atom	ground state [ppm]	K-state [ppm]	M ₁ -state [ppm]	M ₂ -state [ppm]	G-K ⁽¹⁾ [ppm]	G-M ₁ /M ₂ ⁽²⁾ [ppm]	K-M ₁ /M ₂ ⁽³⁾ [ppm]
C10	130.0 ± 0.5	127.6 ± 0.5	127.0 ± 0.5	-	2.4	3.0	0.6
C11	137.3 ± 0.5	143.3 ± 0.5	131.6 ± 0.5	-	-6.0	5.7	11.7
C12	130.0 ± 0.5	123.1 ± 0.5	127.0 ± 0.5	-	6.9	3.0	-3.9
C13	163.2 ± 0.5	166.3 ± 0.5	147.2 ± 0.5	144.7 ± 0.5	-3.1	16.0/18.5	19.1/21.6
C14	120.2 ± 0.5	117.7 ± 0.5	124.1 ± 0.5	-	2.5	-3.9	-6.4
C15	160.8 ± 0.5	160.6 ± 0.5	163.7 ± 0.5	159.4 ± 0.5	0.2	-2.9/1.4	-3.1/1.2
¹⁵ N SB	181 ± 1	181 ± 1	316 ± 1	312 ± 1	0	-135/-131	-135/-131
H-C14-C15-H dihedral angle	158° ± 2	154° ± 3	148° ± 3	160° ± 4	4°	10°/-2°	6°/-6°

(1) Chemical shift difference between ground- and K-state

(2) Chemical shift difference between ground- and M₁/M₂-states

(3) Chemical shift difference between K- and M₁/M₂-states

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