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Synthesis and Properties of Open Fullerenes Encapsulating Ammonia and Methane

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Abstract: We describe the synthesis and characterisation of open fullerene (**1**) and its reduced form (**2**) in which CH₄ and NH₃ are encapsulated, respectively. The ¹H NMR resonance of endohedral NH₃ is broadened by scalar coupling to the quadrupolar ¹⁴N nucleus, which relaxes rapidly. This broadening is absent for small satellite peaks, which are attributed to natural abundance ¹⁵N. The influence of the scalar relaxation mechanism on the linewidth of the ¹H ammonia resonance is probed by variable temperature NMR. A rotational correlation time of $\tau_c = 1.5$ ps. is determined for endohedral NH₃, and of $\tau_c = 57 \pm 5$ ps. for the open fullerene, indicating free rotation of the encapsulated molecule. IR spectroscopy of NH₃@**2** at 5 K identifies three vibrations of NH₃ (ν_1 , ν_3 and ν_4) redshifted in comparison with free NH₃, and temperature dependence of the IR peak intensity indicates the presence of a large number of excited translational / rotational states. Variable temperature ¹H NMR spectra indicate that endohedral CH₄ is also able to rotate freely at 223 K, on the NMR timescale. Inelastic neutron scattering (INS) spectra of CH₄@**1** show both rotational and translational modes of CH₄. Energy of the first excited rotational state ($J = 1$) of CH₄@**1** is significantly lower than that of free CH₄.

Introduction

The potential for encapsulation of an atom or small molecule within the cavity of spherical fullerenes, has long been recognized.^[1] The inert and highly symmetric, three-dimensional environment of the cavity, means that enclosed (endohedral) species are expected to behave much as they would in the very

low pressure gas state, with preservation of free rotation down to cryogenic temperatures.^[2] Although direct synthesis of endohedral metallofullerenes,^[3] and fullerenes containing individual atoms (noble gas@C₆₀^[4] and the remarkable N@C₆₀^[5]) is possible in very low yield, currently the only high-yielding route to small molecule endofullerenes is *via* the process of 'molecular surgery'^[6] whereby chemical transformations are used to open a hole in the fullerene, a molecule is inserted, and a further series of reactions is then used to suture the opening and reform the pristine fullerene shell. To date, this has only been achieved for the incorporation of H₂,^[7] H₂O^[8] and HF,^[9] as well as their related isotopologues.

Encapsulated H₂ and H₂O have proven particularly interesting due to the interaction of their nuclear spin and rotational states. Due to the Pauli principle, the *ortho*- (nuclear spins aligned) and *para*- (nuclear spins opposed) allotropes are limited to odd and even rotational states respectively. For H₂O@C₆₀, isolation contributes to long lived *ortho*- and *para*-spin states and has allowed their interconversion and physical phenomenon such as spin dependent electric polarizability to be measured.^[10]

A range of larger molecules including N₂,^[11–13] O₂,^[14] CO,^[13,15] NH₃,^[16] CO₂,^[11] CH₄,^[17] CH₂O,^[18,19] CH₃OH,^[18] HCN,^[19,20] and HCCH^[20] have been incorporated into open fullerenes *via* a larger opening in the shell, but closure to reform the pristine fullerene cage has not yet been achieved for these examples. Although the high symmetry of the closed cage is lost in these open derivatives, many other properties including isolation are retained.^[21]

Encapsulated NH₃ and CH₄ are of particular interest because (as with H₂O and H₂) their symmetry leads to interaction between the rotational (J) and nuclear spin (I) quantum states. Methane exists as three nuclear spin isomers (with overall spin 2, 1 and 0) such that the ground rotational state ($J = 0$) can only be occupied by *meta*-CH₄ ($I = 2$), whereas the $J = 1$ state is limited to *ortho*-CH₄ ($I = 1$). Ammonia exists as the *para* ($I = 1/2$) and *ortho* ($I = 3/2$) nuclear spin isomers which dictate permitted transitions between rotational and vibrational states, with the 'umbrella' inversion being particularly interesting.

Herein we describe encapsulation of CH₄ in open fullerene **1**, and NH₃ in open fullerene **2** (Figure 1), and some properties of the resulting species CH₄@**1** and NH₃@**2**. Encapsulation of H₂O,^[12] CH₂O,^[18] CH₃OH,^[18] N₂,^[11] and CO₂^[11] in **1** has been reported, as has encapsulation of CH₂O,^[18] CO₂,^[11] O₂^[14] and N₂^[11] in **2** following reduction of **1** to avoid loss of the endohedral molecule. Both NH₃ and CH₄ have previously been incorporated in the unrelated open fullerene **3**.^[16,17]

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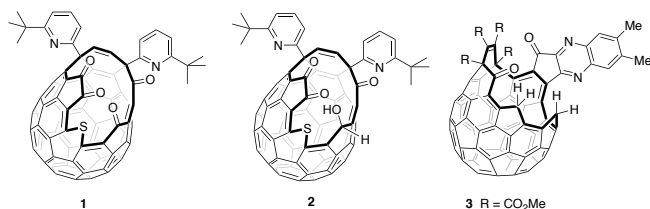
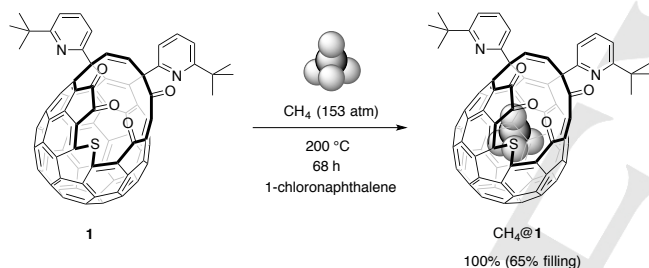


Figure 1. Open fullerenes.

Results and Discussion

Synthesis of CH₄@ open fullerene

Heating the open-cage fullerene **1**^[12] at 200 °C for 68 h, under 153 atm of methane gave CH₄@**1** with 65% encapsulation of the endohedral molecule in quantitative yield (Scheme 1). The filling factor of CH₄@**1** was established by comparison of integrated resonances for CH₄ and an exohedral alkene proton in the ¹H NMR spectrum. For comparison, CH₄@**3** was prepared by Iwamatsu and co-workers in 20% yield and 39% incorporation, after 20 h at 200 °C under 190 atm of CH₄.^[17]



Scheme 1. Filling of fullerene **1** with methane.

The rate of loss of CH₄ from CH₄@**1** was measured at several temperatures between 428 and 448 K and displayed the expected 1st order kinetics. From the linear Arrhenius and Eyring plots (Figure 2), an activation energy of 134.6 ± 5.0 kJ mol⁻¹ and pre-exponential factor log(A) of 10.9 was determined. The latter is low for a unimolecular reaction, but comparable for those previously observed for loss of endohedral atoms and molecules from endohedral fullerenes.^[13] The enthalpy and entropy of activation for CH₄ loss were determined to be $\Delta H^\ddagger = 131.0 \pm 5.0$ kJ mol⁻¹ and $\Delta S^\ddagger = -47.0 \pm 11.2$ J K⁻¹ mol⁻¹ giving a ΔG^\ddagger at 165 °C of 151.5 ± 0.1 kJ mol⁻¹. The values are in good agreement with those calculated by Density Functional Theory methods ($\Delta H^\ddagger = 135.9$ kJ mol⁻¹; $\Delta S^\ddagger = -31.5$ J K⁻¹ mol⁻¹; $\Delta G^\ddagger = 149.7$ kJ mol⁻¹ at 165 °C). The negative entropy of activation is unusual for a dissociative reaction, but reflects the loss of rotational and translational degrees of freedom as the endohedral molecule is constrained by its passage through the orifice.

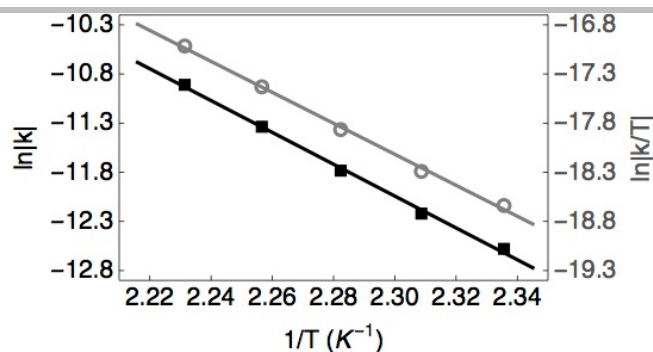


Figure 2. Arrhenius (black squares) and Eyring (grey circles) plots for thermal first-order dissociation of CH₄@**1**.

Physical properties of CH₄@ open fullerene

The ¹H resonance of endohedral CH₄ in CH₄@**1** appears as a sharp singlet at $\delta = -12.59$ ppm (500 MHz, 1,2-dichlorobenzene-*d*₄) or -12.33 ppm (500 MHz, CDCl₃), compared with $\delta = 2.17$ ppm for gaseous CH₄.^[22] The ¹H spectrum was acquired with a pulse delay (d1) of 30 s. following measurement of the experimental ¹H spin-lattice relaxation curve for CH₄@**1** which shows single exponential decay with a time constant of $T_1 = 7.53 \pm 0.03$ s. (see Supporting Information). Variable temperature proton NMR showed no line broadening down to 223 K (Figure 3) indicating free rotation of the endohedral methane on the NMR timescale. Temperature-dependence of the ¹H chemical shift is unexplained, but may be due to one or more configurations of different energy, in fast exchange on the NMR timescale.

The ¹³C resonance of endohedral CH₄ appears as a pentet, centred at $\delta = -19.47$ ppm with $^1J_{CH} = 124.8$ Hz, in the proton-coupled ¹³C NMR spectrum (125.7 MHz, 1,2-dichlorobenzene-*d*₄) compared with $\delta = -8.65$ ppm^[22] and $^1J_{CH} = 125.3$ Hz^[23] for gaseous CH₄.

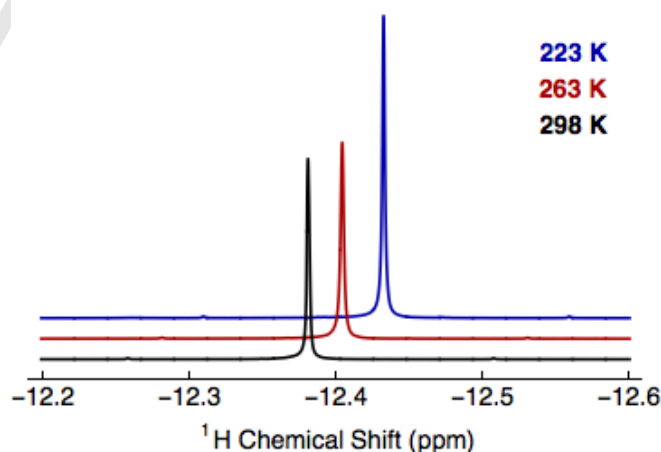


Figure 3. A section of the experimental ¹H NMR spectrum of 12.0 mM CH₄@**1** in degassed CDCl₃ solution acquired at 11.7 T (500 MHz) with 64 transients at each temperature; 223 K, 263 K and 298 K. ¹³C satellites for the endohedral methane resonance are visible in each spectrum.

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Although $\text{CH}_4@1$ is stable to loss of CH_4 at room temperature, the 'empty' open-cage fullerene component **1** (i.e. 35% of the material) was found to readily encapsulate water upon exposure to the atmosphere. Rapid exchange of a water molecule between the endo- and exohedral environments has been reported by Murata and co-workers^[12] and is characterized by a very broad ^1H resonance which we detect at $\delta = -11.62$ ppm in 1,2-dichlorobenzene- d_4 . Dry samples of the inseparable 65 : 35 mixture of $\text{CH}_4@1$: **1** were most readily obtained by removal of water as its azeotrope with THF.

INS spectra for dry $\text{CH}_4@1$, covering a wide range of energy transfer, were recorded using the IN-1 Lagrange, IN4c and IN6 spectrometers (Figures 4-6). INS spectra from a 'blank' sample of open fullerene **1** were subtracted from those recorded on the sample containing CH_4 .

IN6 spectra (Figure 4) are presented, recorded with temperatures 1.5, 5, 10 and 20 K. A matching pair of inelastic peaks centred on $|\Delta E| = 0.4$ meV are observed in NE gain and NE loss. With FWHM (full-width-half-maximum) linewidths of approximately 0.27 meV, the inelastic features are substantially broader than the resolution linewidth (FWHM 0.08 meV, as measured by the elastic line at zero energy transfer).

The IN4c spectrum (Figure 5) was recorded with incident wavelength $\lambda_i = 2.3$ Å and $T = 1.6$ K. A trio of peaks is observed in NE loss with energy transfer, $\Delta E = 2.95, 4.65$ and 6.85 meV. Finally, the IN1-Lagrange spectrum (Figure 6) provides access to a wide range of energy, $T = 2.7$ K. The same trio of low energy peaks observed on IN4c is evident, as well as a broad band at higher energy loss ($\Delta E = \sim 15$ meV). Narrower components are evident within this broad band and indeed at higher energies.

The CH_4 molecule is confined within the small space defined by the fullerene cage, so in addition to rotational degrees of freedom, the molecule also exhibits quantised translation. Analogously to INS investigations on other small molecule endofullerenes such as $\text{H}_2@C_{60}$ and $\text{H}_2\text{O}@C_{60}$,^[24] it is expected that the INS spectra of $\text{CH}_4@1$ will comprise peaks arising from transitions among the quantised rotational and translational eigenstates of CH_4 confined in its cage. However, unlike $\text{H}_2@C_{60}$ and $\text{H}_2\text{O}@C_{60}$, the open-cage environment of $\text{CH}_4@1$ means the cage potential experienced by the CH_4 rotor lacks symmetry and is anisotropic.^[25]

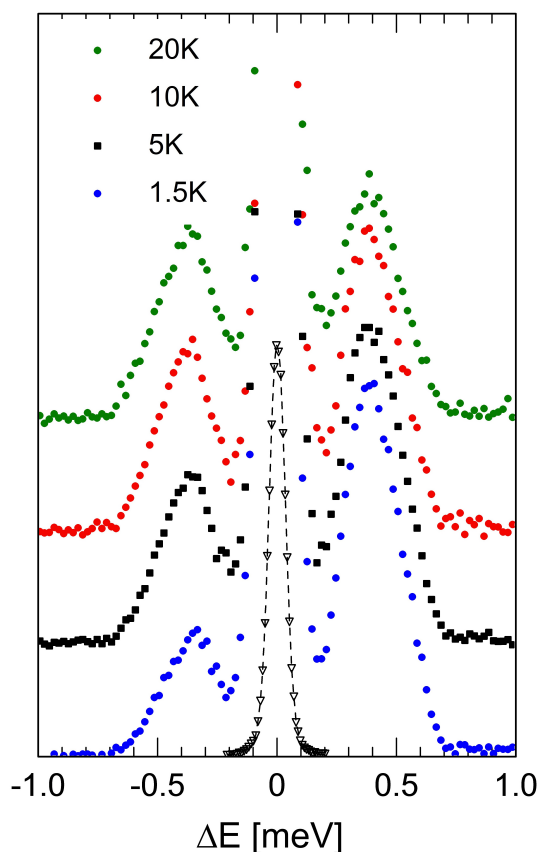


Figure 4. The INS spectrum of $\text{CH}_4@1$ recorded on the time of flight (t.o.f.) spectrometer IN6, $T = 1.5, 5, 10, 20$ K. Data with open black triangles depicts the elastic line with an intensity scaling factor $\times 0.04$.

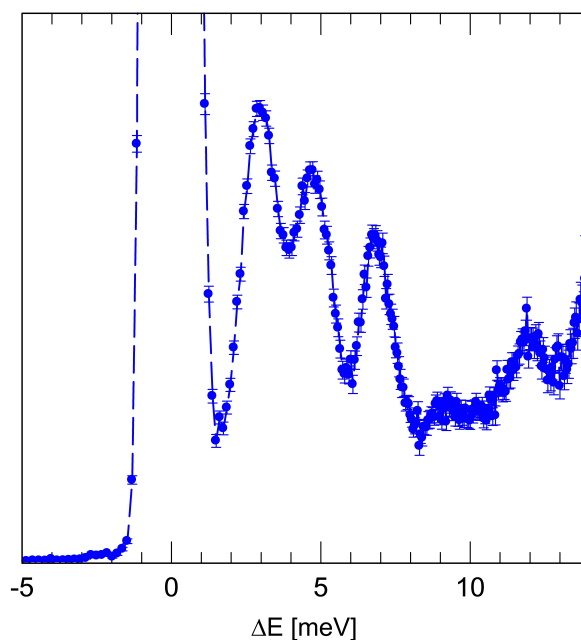


Figure 5. The INS spectrum of $\text{CH}_4@1$ recorded on the t.o.f. spectrometer IN4c, $\lambda_i = 2.3$ Å and $T = 1.6$ K.

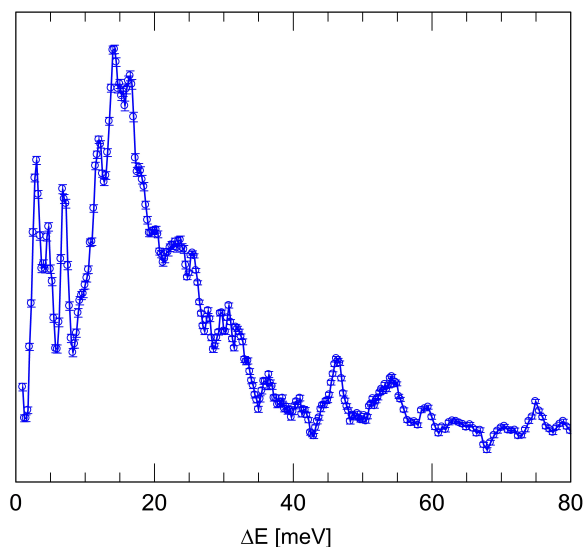


Figure 6. The INS spectrum of $\text{CH}_4@1$ recorded on IN1-Lagrange. $T = 2.7$ K.

As a quantum rotor possessing four indistinguishable ^1H nuclei (fermions), the permissible eigenstates of CH_4 are classified by the Pauli Principle and we identify nuclear spin isomers for which rotational and nuclear spin eigenstates are entangled. In the free-rotor limit (as applies to molecule of CH_4 in the gas phase), the ground rotational state with rotational quantum number $J = 0$ has A_1 symmetry and total nuclear spin $I = 2$. The first rotational excited $J = 1$ state has F_1 symmetry and $I = 1$, with energy 1.29 meV. The second excited state with $J = 2$ has energy 3.89 meV and comprises a degenerate pair of states, one with F_2 symmetry and $I = 1$, the second with E symmetry and $I = 0$. The $J = 3$ rotational state has energy 7.80 meV and comprises a trio of degenerate states with $I = 1$ and $I = 2$.^[26] While INS peaks of $\text{CH}_4@1$ are observed in the complementary energy range below 10 meV (Figures 4-6), it is evident that they do not conform to those of a free-rotor. Notably, the 0.4 meV excitation observed on IN6 (Figure 4) is much lower than the $J = 1$ level of the free-rotor. Indeed by analogy with investigations of hindered CH_4 rotors,^[26, 27] where the energy of the $J = 1$ state is significantly reduced from its free-rotor value, we may assign the pair of $|\Delta E| = 0.4$ meV peaks to the $J = 0$ to 1 rotational transition. The peaks at $\Delta E = 2.95, 4.65$ and 6.85 meV (Figures 5 and 6) are consistent with examples in the literature of $J = 0$ to 2,3 transitions for hindered CH_4 .^[26] However, a full assignment of the higher energy rotational peaks is beyond the scope of this preliminary report and will be the subject of a future publication. Nevertheless, the pattern of low energy peaks appears to be similar to that found for CH_4 in other hindered environments.^[26, 27]

The ground to first excited state translational excitations of H_2 , H_2O and HF confined in C_{60} are observed in the range $9 \leq \Delta E \leq 25$ meV.^[9a, 24d, 28] The precise values depend sensitively on the rotor mass and the cage potential. Additionally, given the latter is anisotropic for this open endofullerene, the degeneracy of the translational states is lifted and we expect to observe three translational peaks with different energy in the INS spectrum. We tentatively assign the broad band centred on 15 meV to

these non-degenerate translations and superimposed are some of the higher energy rotational states.

The anisotropy of the cage potential lifts the rotational degeneracy,^[25] leading to a splitting of the respective m_J sub-states. We find evidence for this in the IN6 spectrum (Figure 4), where the inelastic peaks are significantly broader than the resolution function, indicating unresolved fine structure. That these peaks are inhomogeneous and possess multiple components is indicated by the significant asymmetry of the NE peak at the lowest temperature 1.5 K, compared with the more symmetric shape observed at higher temperature. As determined by Boltzmann statistics, at 1.5 K different components on the high and low energy side of the 0.4 meV NE gain peak will differ in amplitude by a factor of order 3. This theoretical factor is consistent with the observed NE gain peak shape. At the higher temperatures these unresolved components have more equilibrated Boltzmann factors, as observed. Therefore, we tentatively assign the excess width of the $J = 0$ to 1 rotational peaks to rotational fine structure.

On the timescale of the INS experiments (hours) we did not notice any significant changes which might be attributable to a change in the population of the $J = 0$ (*m*- CH_4) and $J = 1$ (*o*- CH_4) states, following thermal equilibration. It has been reported that conversion between these states for CH_4 in an argon matrix, or in the interstices of C_{60} has $t_{1/2} \sim 1.5$ -2.5 h.^[26, 29]

Synthesis of $\text{NH}_3@$ open fullerene

DFT calculations gave the activation free energy for entry of ammonia into open fullerene **1** at STP as 62.3 kJ mol^{-1} , higher than that for water (30.7 kJ mol^{-1}) but indicating that both will enter rapidly at room temperature nonetheless. The free energy of binding of ammonia in **1** was calculated to be 25 kJ mol^{-1} more favorable than that for water. We were pleased to find that exposure of a sample of fullerene **1** in CDCl_3 to a 16% aqueous ammonia solution led to an 85 : 15 molar ratio of $\text{NH}_3@1$ and $\text{H}_2\text{O}@1$ by ^1H NMR, the spectrum displaying broad peaks at -12.44 and -11.52 ppm respectively, indicating selective encapsulation of ammonia in accord with the calculation of binding energies. In order to avoid contamination with H_2O -containing species, we switched to a methanolic solution of ammonia as methanol is too large to enter **1** at room temperature.^[18] To our delight, rapid formation of $\text{NH}_3@1$ was observed by NMR although attempts to isolate $\text{NH}_3@1$ gave only the empty open fullerene (**1**). In contrast, isolation of $\text{NH}_3@3$ (the only previously reported $\text{NH}_3@$ open fullerene species) was achieved by column chromatography with ammonia loss occurring only after several months at -10°C .^[16] Our observation of the instability of $\text{NH}_3@1$ to rapid ammonia loss at room temperature is in accord with Murata's conclusion^[11] that **1** behaves as if it has a larger orifice than **3**, despite the cage-opening being of nominally the same size, *i.e.* a 17-member ring.

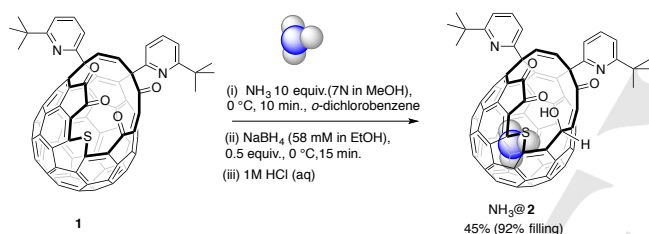
The selective reduction of a carbonyl group on the orifice of **1** to afford **2** has been used to block the escape of O_2 , N_2 and CO_2 guests from the fullerene cage.^[11, 14] We therefore sought to develop conditions for the encapsulation of ammonia by host fullerene **1**, with subsequent trapping of the endohedral NH_3 by *in situ* reduction of a rim carbonyl group to afford $\text{NH}_3@2$.

Treatment of a solution of **1** in 1,2-dichlorobenzene with 10 equiv. of a 7N solution of NH_3 in methanol at 0°C , followed by reduction with NaBH_4 , afforded $\text{NH}_3@2$ in 45% yield with 92%

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encapsulation of NH_3 (Scheme 2). The filling factor was calculated by comparison of the integrated ^1H resonance of the endohedral molecule, with that of an exohedral alkene proton. We found that the selective reduction step worked better in the 1,2-dichlorobenzene solvent reported by Murata,^[11] than in chloroform. The ammonia encapsulation step was found to be sensitive to the period of exposure to NH_3 , with an optimal reaction time of 10 min. Lower NH_3 encapsulation results from a shorter period (5 min. or 1 min. exposure to NH_3 gives 80% or 30% filling, respectively), but with a reaction time >10 min. we observed the formation of multiple fullerene derivatives by ^1H NMR. It is probable that these are hemiaminal by-products since treatment with 1M HCl (aq.) returns the mixture cleanly to a single compound whose ^1H NMR spectrum matches that of **1**. The formation of hemiaminal products in competition with NH_3 encapsulation is likely to limit the filling by 'blocking' the fullerene orifice but, importantly, the use of 1M HCl (aq.) to quench the two-step (encapsulation / reduction) procedure allows a clean mixture of NH_3 @**2** and starting material **1** to be obtained. Unsurprisingly the encapsulation / reduction was found to be somewhat capricious, giving filling factors for NH_3 @**2** in the range 74-92% under nominally identical reaction conditions. Pure NH_3 @**2** (74-92% filled) was readily obtained by column chromatography.



Scheme 2. Synthesis of NH_3 @**2**

Physical properties of NH_3 @ open fullerene

The NH_3 proton resonance of NH_3 @**2** was observed experimentally as a broad peak at $\delta = -12.35$ ppm (500 MHz, dichloromethane- d_2) with a 38.43 Hz linewidth (Figure 7). The spectral wings are attributed to natural abundance $^{15}\text{NH}_3$ @**2** (approx. 0.3% intensity), shifted in frequency by a secondary isotope effect (1.5 ppb) and separated by $|J_{15\text{NH}}| = 59.8$ Hz, as was confirmed by independent synthesis of $^{15}\text{NH}_3$ @**2** according to the method described above.

The broad proton peak of $^{14}\text{NH}_3$, and the very narrow proton peak of the $^{15}\text{NH}_3$ isotopologue, provides compelling evidence that the line broadening in the $^{14}\text{NH}_3$ case is due to rapid quadrupolar relaxation of the ^{14}N coupling partner. This broadens the $^{14}\text{NH}_3$ proton resonance through the scalar relaxation of the second kind (SR2K) mechanism.^[30] This broadening mechanism is negligible for the ^{15}N isotopologue, since the ^{15}N spin-lattice relaxation is on a much longer timescale, due to the absence of an efficient quadrupolar relaxation mechanism for ^{15}N .

The lineshapes are well simulated using *SpinDynamica*,^[31, 32] using a ^1H - ^{14}N scalar coupling of $|J_{14\text{NH}}| = 42.6$ Hz and ^{14}N quadrupolar relaxation with a spin-lattice relaxation time $T_1(^{14}\text{N}) = 2.66$ ms (Figure 7).

The ^{14}N quadrupolar relaxation may be treated by assuming isotropic rotational diffusion. We define the quadrupolar coupling constant by:

$$\omega_Q = \frac{e^2 q Q}{2I(2I - 1)\hbar}$$

where $I = 1$ for ^{14}N , eQ is the electric quadrupolar moment of the nitrogen nucleus, and eq is the electrical field gradient at the deuterium nucleus.^[33] The Frobenius norm of the quadrupole coupling tensor may be written as:

$$||A_Q|| = \omega_Q \left(\frac{1}{2} (3 + \eta^2) \right)^{\frac{1}{2}}$$

where η is the biaxiality (asymmetry) parameter of the electric field gradient tensor. The spin-lattice relaxation rate constant for quadrupolar relaxation, assuming isotropic rotational diffusion and the extreme narrowing limit, is given by:^[34]

$$T_1^{-1} = \frac{1}{5} (2I - 1)(2I + 3) ||A_Q||^2 \tau_c$$

which, for $I = 1$, is equal to:

$$T_1^{-1} = ||A_Q||^2 \tau_c \quad \text{Equation 1.}$$

The nuclear quadrupole coupling constant for $^{14}\text{NH}_3$ has been estimated by microwave spectroscopy to be: $\omega_Q/2\pi = 2.05$ MHz,^[35] with a biaxiality parameter of $\eta = 0$.^[36] The ^{14}N T_1 value of 2.66 ms, as inferred from the lineshape of the ^1H NMR spectrum, leads to an estimate of the rotational correlation time for endohedral ammonia molecules of $\tau_c = 1.5$ ps. If the overall tumbling of the fullerene cage is at least one order of magnitude slower as expected,^[37] this would indicate that NH_3 is (essentially) rotating freely. We therefore calculated the rotational correlation time (τ_c) of open fullerene **2** from the experimental relaxation time constant $T_1(^{13}\text{C}) = 0.54 \pm 0.06$ s. of the methine carbon located on the orifice of **2**, using equation 2 to define relaxation of the $^{13}\text{C}(\text{H})\text{OH}$ nucleus, as applicable for extreme-narrowing isotropic rotational tumbling, dominated by the ^{13}C - ^1H dipolar relaxation mechanism:

$$T_1^{-1}(^{13}\text{C}) = \frac{3}{2} \omega_{\text{CH}}^2 \tau_c \quad \text{Equation 2.}$$

where ω_{CH} is the dipole-dipole coupling constant for the interaction between the carbon and proton nuclei, and τ_c is the overall rotational correlation time for **2**.

By assuming an internuclear ^{13}C - ^1H distance of 108.9 pm, which corresponds to a dipole coupling constant of $\omega_{\text{CH}}/2\pi = -23.4$ kHz, we obtain an estimate of $\tau_c = 57 \pm 5$ ps. for the open fullerene **2**, which is more than an order of magnitude longer than the rotational correlation time for the endohedral ammonia molecule in NH_3 @**2**.

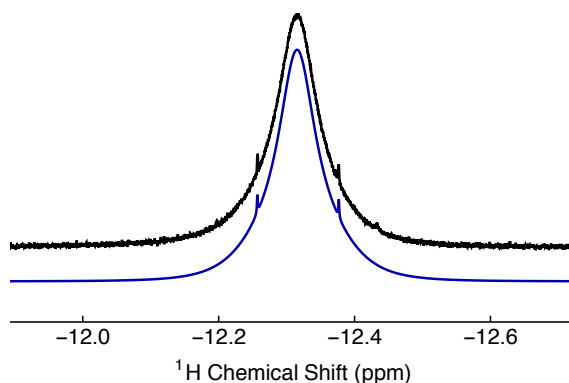


Figure 7. Black line: A section of the experimental ^1H NMR spectrum of 17.6 mM $^{14}\text{NH}_3@2$ in degassed dichloromethane- d_2 solution acquired at 11.7 T (500 MHz) and 25°C with 64 transients. Blue line: simulated spectrum obtained using the following parameters: $|J_{^{14}\text{NH}}| = 42.6$ Hz, $T_1(^{14}\text{N}) = 2.66$ ms.

Variable temperature solution proton NMR on $\text{NH}_3@2$ showed that the NH_3 line broadens as the temperature is increased (Figure 8). The increase in linewidth with temperature is consistent with the SR2K mechanism: a temperature increase leads to a shorter rotational correlation time τ_c , which leads to slower quadrupolar relaxation for the ^{14}N nucleus, according to equation 1. This leads in turn to a less effective averaging of the J_{NH} splittings, and hence to a broader proton peak.

The experimental ^1H spin-lattice relaxation curve for $\text{NH}_3@2$ shows single exponential decay with a time constant of $T_1 = 5.05 \pm 0.02$ s, (see Supporting Information for all spin-lattice relaxation curves).

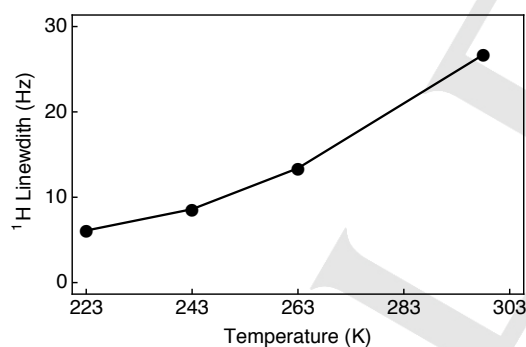


Figure 8. Experimental linewidth for the ^1H resonance of $^{14}\text{NH}_3@2$ plotted as a function of temperature. 64 transients were acquired per data point at a magnetic field of 11.7 T (500 MHz) using an 8 mM sample of $^{14}\text{NH}_3@2$ in degassed chloroform- d_3 solution.

We measured the IR spectra of endofullerene $\text{NH}_3@2$ and the empty open-cage species **2** between 600 and 9000 cm^{-1} in the temperature range 5 K to 300 K. The spectra of $\text{NH}_3@2$ show clear peaks present only in $\text{NH}_3@2$ and not in **2** in three spectral regions, around 1604 cm^{-1} , 3300 cm^{-1} , and 4700 cm^{-1} (Figures 9 and 10). The ~1604 cm^{-1} region contains a cluster of four well-resolved peaks, each of which may be fitted well with a Gaussian shape (Figure 9). The other two spectral regions contain peaks at 3196, 3288 and 3380 cm^{-1} (Figure 10a), and 4430 and 4970 cm^{-1} (Figure 10b). It should be noted that the

spectral regions between 900–950, 1550–1950 and 3400–3550 cm^{-1} are obscured by strong fullerene absorption.

The intensity of all peaks decreases rapidly as the temperature is increased above ~10 K (Figure 11), with a particularly strong decrease with temperature observed for the ~1604 cm^{-1} peak cluster. However, the members of this peak cluster always display the same *relative* intensities.

In the gas phase, NH_3 has two vibrations with hydrogen atoms moving in the triangular plane (ν_2 and ν_4), and two vibrations with out-of-plane motions of hydrogen atoms ν_1 and ν_3 (Table 1).^[38] The ν_1 and ν_2 vibrations are non-degenerate, while the ν_3 and ν_4 vibrations are doubly degenerate in the gas phase. The following tentative assignments of the NH_3 absorption peaks in $\text{NH}_3@2$ may be made; the cluster of peaks at ~1604 cm^{-1} is assigned to the ν_4 vibration, redshifted by ~24 cm^{-1} with respect to the same mode in NH_3 gas. The 3196 cm^{-1} peak is assigned to the fundamental ν_1 vibration, redshifted by 141 cm^{-1} with respect to the same mode in NH_3 gas. The 3288 cm^{-1} and 3380 cm^{-1} peaks are assigned to the ν_3 vibration. We postulate that the double degeneracy of the ν_3 mode is lifted by the asymmetric environment of the open cage. The mean frequency of these two peaks is 3334 cm^{-1} , indicating a redshift of 109 cm^{-1} with respect to the same mode in NH_3 gas. The peak at 4430 cm^{-1} is difficult to attribute to a known mode or feasible combination mode of NH_3 alone, and we tentatively assign it to a combination mode involving coupled vibrations of the endohedral molecule and a mode of the enclosing cage. The high-frequency peak at 4970 cm^{-1} is tentatively attributed to a combination mode, involving the ~1604 cm^{-1} ν_4 vibration and the 3380 cm^{-1} component of the ν_3 vibration.

Gaseous NH_3 has a ν_2 vibrational mode at 950 cm^{-1} . No analogous peak is observed in the spectrum of $\text{NH}_3@2$. The absence of this peak is probably due to the strong fullerene absorption in this spectral region.

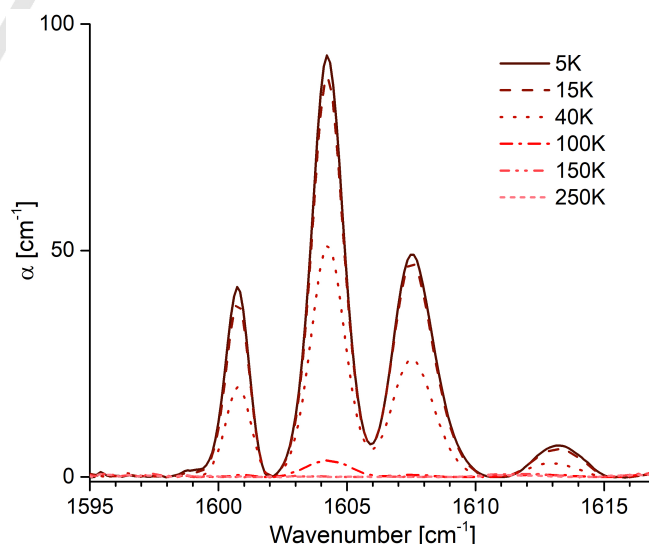


Figure 9. IR spectra of $\text{NH}_3@2$ recorded between 5 and 250 K, in the regions around 1600 cm^{-1} .

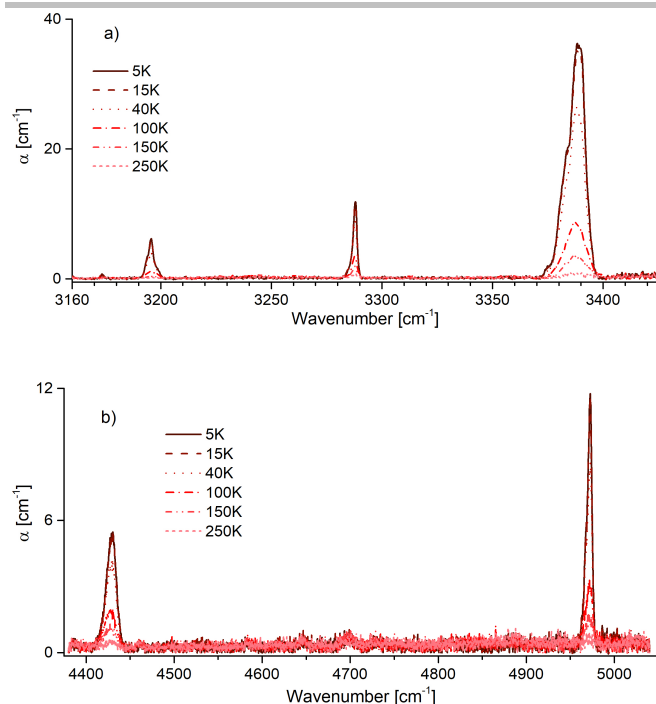


Figure 10. IR spectra of $\text{NH}_3@2$ recorded between 5 and 250 K, in the regions around (a) 3300cm^{-1} and (b) 4700cm^{-1} .

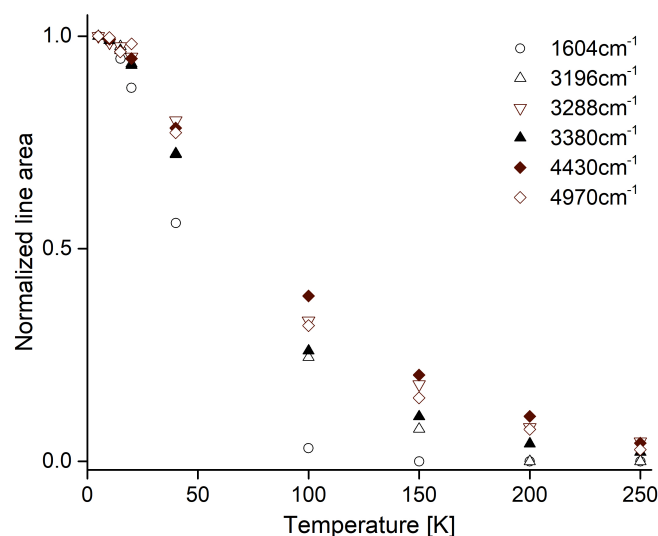


Figure 11. Temperature dependence of $\text{NH}_3@2$ normalized IR absorption line areas. Peaks are labeled by their frequencies. The normalized area of the 1604cm^{-1} peak is the normalized sum of four peak areas from Figure 9.

No obvious fine structure is observed on the IR peaks, with the exception of the $\sim 1604\text{cm}^{-1}$ peak cluster. The absence of rotational fine structure indicates that the potential generated by the confining asymmetric cage quenches the rotational freedom of the NH_3 molecule, at least for the small number of states that are significantly populated at cryogenic temperatures.

Table 1. Normal modes of NH_3 , their irreducible representations in point group C_{3v} , frequencies in the gas phase and measured frequencies in $\text{NH}_3@2$.

Mode	$\Gamma_i (C_{3v})$	ω_{gas} (cm^{-1}) ^[39, 40]	$\omega_{\text{NH}_3@2}$ (cm^{-1})	$(\omega_{\text{NH}_3@2} - \omega_{\text{gas}}) / \omega_{\text{gas}}$
ν_1	A_1	3337	3196	-0.042
ν_2	A_1	950	-	-
ν_3	E	3443	3288	-0.045
			3380	-0.018
ν_4	E	1628	1604	-0.015
			-	-

The strong decrease in peak intensities with increasing temperature (Figure 11) implies the existence of many levels above the ground state which become thermally populated at the expense of the ground state population. These higher levels presumably have a complex rotational/translational fine structure. As a result, the spectral absorption at elevated temperature is distributed over a very large number of unresolved spectral peaks, so that all identifiable spectral features disappear at high temperature.

An exception to the absence of fine structure is the peak cluster at $\sim 1604\text{cm}^{-1}$, which is tentatively assigned to the ν_4 vibration (Figure 9). Since the relative intensities of the sharp components appear to be temperature-independent, the fine structure must be due to a splitting of the excited state energy levels, with the ground state remaining unsplit. The origin of this splitting is unknown, but might be due to rotational fine structure, or a tunnelling process between two or more potential minima. However, it is unclear why such structure is not clearly displayed for all of the other peaks and, at present, we do not have a definitive explanation for the fine structure around $\sim 1604\text{cm}^{-1}$.

Conclusions

We have prepared and characterized open fullerenes encapsulating ammonia and methane. The encapsulated methane and ammonia display long ^1H spin-lattice relaxation times at room temperature, of 7.5 and 5.1 s. respectively. The variable temperature ^1H NMR spectra indicate that both endohedral molecules rotate freely within the cages at 223 K, on the NMR timescale.

In the INS spectra of $\text{CH}_4@1$ we find the first rotational peak at 0.4 meV and translational energy at approximately 15 meV for CH_4 . This is in qualitative agreement with CH_4 entrapped in interstitial sites of the C_{60} crystal,^[26] where the $J = 0$ to 1 rotational transition is centred on 0.6 meV, and the translational peak is centred on 10.9 meV. The differences are indicative of a stronger crystal field potential, and stronger confinement of the methane in $\text{CH}_4@1$. We did not observe properties resulting from the entanglement of nuclear spin and molecule rotation / vibration.

The broad NH_3 resonance in the ^1H NMR spectrum of $\text{NH}_3@2$ is associated with the quadrupolar relaxation of the ^{14}N nucleus, and is interpreted in terms of the ^{14}N spin lattice relaxation time: $T_1(^{14}\text{N}) = 2.66\text{ ms}$. The scalar relaxation of the second kind mechanism is verified by broadening of the ^1H linewidth with increasing temperature. A rotational correlation time of $\tau_c = 1.5\text{ ps}$ is estimated for endohedral NH_3 . An experimentally determined rotational correlation time of $\tau_c = 57 \pm 5\text{ ps}$ for the

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open fullerene **2** confirms free rotation of the encapsulated molecule.

The difference IR spectrum of NH_3 @**2** and **2** at 5 K, identified three vibrations of NH_3 (ν_1 , ν_3 and ν_4) redshifted in comparison with free NH_3 . A rapid decrease in IR peak intensity with increasing temperature indicates the presence of a large number of excited translational / rotational states which are populated above 50 K. The structures of these states is complex, so that no resolved IR peaks are observed. Nevertheless, rotational freedom is possible, in accordance with NMR observations.

Experimental Section

Synthesis and characterization of open-cage fullerene (OCF) derivatives

Reactions requiring dry conditions were conducted under an argon atmosphere using standard Schlenk and syringe techniques with freshly distilled solvents. All apparatus was dried in a hot oven ($>140^\circ\text{C}$, 12 h) before being cooled in a sealed desiccator over silica gel or assembled while hot and cooled under vacuum (0.1 mm Hg). 1,2-Dichlorobenzene was distilled from CaH_2 at 55°C under a vacuum of 15 mm Hg. Ethanol was dried over 3Å molecular sieves. All other reagents, solvents or gases were used as received from commercial suppliers. High-pressure reactions were conducted in a Parr® pressure vessel of 75 mL volume and 1 inch i.d., sealed with PTFE or graphite gasket. High-pressure reactions were heated using an external oil bath and temperature monitoring was conducted using an external thermostat. NMR spectra were recorded on Bruker AVII400, AVIIHD400 or AVIIHD500 FT-NMR spectrometers in the indicated solvent at 298K. ^1H chemical shifts are reported as values in ppm referenced to residual solvent. Spectra collected in 1,2-dichlorobenzene- d_4 are referenced to residual solvent at $\delta_{\text{H}} = 7.19$ ppm, $\delta_{\text{H}} = 6.94$ ppm; this solvent assignment is referenced to TMS ($\delta_{\text{H}} = 0$ ppm). The following abbreviations are used to assign multiplicity and may be compounded: s = singlet, d = doublet, t = triplet, q = quartet and m = multiplet. Coupling constants, J , are measured in Hertz (Hz). ^{13}C spectra are proton decoupled and referenced to solvent. ^{13}C chemical shifts are reported to 2 d.p. in order to distinguish closely neighbouring resonances. Low-resolution mass spectra were recorded using a MaXis mass spectrometer (Bruker Daltonics) equipped with Time of Flight (t.o.f.) analyzer using positive electrospray ionization. Samples were infused via a syringe driver at a constant flow rate of $3\ \mu\text{L}\ \text{min}^{-1}$. High-resolution mass spectra were obtained using a solarix FT-ICR mass spectrometer equipped with a 4.7T superconducting magnet, using positive electrospray ionization. Values of m/z are reported in atomic mass units.

Open fullerene **1**

Open fullerene **1** was prepared according to the method of Murata,^[12] our procedure differing only in purification which was carried out by column chromatography over SiO_2 eluted with a gradient of 2% → 5% EtOAc in toluene. Spectroscopic data were consistent with the published data.

 CH_4 @**1**

A Parr® pressure vessel equipped with glass reactor insert was charged with a solution of open fullerene **1** (305 mg, 0.27 mmol) in 1-chloronaphthalene (15 mL of $\geq 85\%$ technical grade containing $\sim 10\%$ 2-chloronaphthalene). The reactor vessel was sealed and flushed with CH_4 before charging with CH_4 to 101 atm at room temperature. The vessel was heated to 200°C (external oil bath temperature) and stirred at this temperature with an internal pressure of 153 atm for 68 h, then cooled to room temperature and the pressure slowly released. The residue was diluted with 1-chloronaphthalene (20 mL) and filtered through a short

SiO_2 column with CHCl_3 (elutes 1-chloronaphthalene near the solvent front) followed by EtOAc (elutes CH_4 @**1** near the solvent front). The EtOAc filtrate was concentrated *in vacuo* at room temperature to yield the title compound as a red/brown powder (310 mg of an inseparable 65:35 mixture of CH_4 @**1**: **1**, 100% yield).

Data for the CH_4 @**1** component of the mixed NMR spectra:

^1H NMR (400 MHz, $\text{THF}-d_8$) δ_{H} 7.72 (1H, t, $J = 7.8$ Hz), 7.62 (1H, t, $J = 7.8$ Hz), 7.32 (2H, d, $J = 7.8$ Hz), 7.21 (2H, d, $J = 7.8$ Hz), 7.02 (1H, d, $J = 10.2$ Hz), 6.30 (1H, d, $J = 10.2$ Hz), 1.23 (9H, s), 1.12 (9H, s), -12.43 (encapsulated CH_4 , 4H, s) ppm; ^{13}C NMR (125.7 MHz, $\text{THF}-d_8$) δ_{H} 190.68, 185.40, 182.11, 180.48, 169.23, 169.13, 164.99, 163.38, 156.73, 153.37, 152.21, 151.59, 151.18, 151.05, 150.84, 150.62, 150.55, 150.48, 150.35, 150.26, 149.96, 149.90, 149.85, 148.19, 147.09, 146.51, 146.47, 146.41, 145.96, 145.87, 145.80, 145.51, 144.71, 144.39, 144.31, 144.07, 143.54, 143.36, 142.73, 142.58, 142.15, 141.74, 140.46, 140.25, 139.78, 139.54, 139.27, 139.11, 138.87, 138.82, 138.79, 138.68, 138.45, 137.80, 137.74, 137.72, 137.61, 137.52, 136.21, 134.84, 134.60, 132.79, 132.30, 132.28, 129.97, 128.10, 126.58, 121.20, 120.77, 118.36, 118.19, 60.70, 55.62, 38.40, 38.37, 30.38, 30.27, -19.24 ppm. One overlapping resonance is not reported; ^1H NMR (500 MHz, 1,2-dichlorobenzene- d_4) δ_{H} -12.59 (encapsulated CH_4 , 4H, s) ppm, $T_1 = 7.53 \pm 0.03$ s; Proton-coupled ^{13}C NMR (125.7 MHz, 1,2-dichlorobenzene- d_4) δ_{H} -19.47 (encapsulated CH_4 , pentet, $J = 124.8$ Hz) ppm; ES+ m/z 1151.20 ($\text{C}_{83}\text{H}_{30}\text{N}_2\text{O}_4\text{S}$ (CH_4 @**1**) + H^+).

Data for the (minor) open fullerene **1** component of the mixed ^1H spectrum:

^1H NMR (400 MHz, $\text{THF}-d_8$) δ = 7.72 (1H, t, $J = 7.8$ Hz), 7.61 (1H, t, $J = 7.8$ Hz), 7.32 (2H, d, $J = 7.8$ Hz), 7.20 (2H, d, $J = 7.8$ Hz), 7.04 (1H, d, $J = 10.2$ Hz), 6.30 (1H, d, $J = 10.2$ Hz), 1.23 (9H, s), 1.11 (9H, s) ppm; ES+ m/z 1135.17 ($\text{C}_{82}\text{H}_{26}\text{N}_2\text{O}_4\text{S}$ (**1**) + H^+).

 NH_3 @**2**

Open fullerene **1** (26 mg, 0.023 mmol) was dried at 140°C (external oil bath temperature) for 2 h under a vacuum of 0.3 mm Hg, before cooling under argon and addition of degassed 1,2-dichlorobenzene (4 mL). The solution was cooled to 0°C (ice/salt bath) and NH_3 (33 μL of a 7N solution in methanol, 0.23 mmol) was added drop-wise. The resulting mixture was stirred at 0°C for 10 min. before addition of NaBH_4 (0.2 mL of a freshly prepared 58 mM solution in EtOH, 0.011 mmol) and stirring at 0°C for 15 min. further. 1M HCl (2 mL) was then added and the cooling bath removed. After warming to room temperature, the mixture was stirred overnight before separation of the organic phase and extraction of the aqueous phase with 1,2-dichlorobenzene (1 mL). The combined organic extracts were filtered through a short SiO_2 column with CHCl_3 (elutes 1,2-dichlorobenzene near the solvent front) followed by EtOAc (elutes NH_3 @**2** near the solvent front). The EtOAc filtrate was concentrated *in vacuo*. Purification by column chromatography (SiO_2 eluted with 94:4:2 toluene:EtOAc:AcOH) gave the title compound as a brown/black solid (12 mg, 45% yield, 92% NH_3 encapsulation).

Data for the NH_3 @**2** component of the mixed NMR spectra:

^1H NMR (500 MHz, 1,2-dichlorobenzene- d_4) δ_{H} 7.62 (1H, t, $J = 7.9$ Hz), 7.54 (1H, t, $J = 7.9$ Hz), 7.42 (1H, d, $J = 4.6$ Hz), 7.27 – 7.22 (3H, m), 7.20 – 7.13 (2H, m), 6.60 (1H, d, $J = 10.3$ Hz), 3.75, (1H, d, $J = 4.6$ Hz), 1.24 (9H, s), 1.13 (9H, s), -12.35 (encapsulated NH_3 , 3H, broad s, including approx. 0.3% overall intensity d, $J = 59.8$ Hz attributed to natural abundance $^{15}\text{NH}_3$ @**2**) ppm. Encapsulated NH_3 , $T_1 = 5.05 \pm 0.02$ s; ^{13}C NMR (125.7 MHz, 1,2-dichlorobenzene- d_4) δ_{H} 198.04, 186.30, 183.26, 169.08, 169.03, 164.53, 163.35, 157.99, 157.05, 153.97, 153.58, 151.36, 151.24, 151.16, 151.09, 151.01, 150.84, 150.82, 150.45, 150.13, 150.11, 149.92, 149.61, 149.16, 148.87, 147.68, 147.08, 145.86, 145.54, 145.44, 145.36, 145.31, 144.28, 144.13, 144.05, 143.93, 143.53, 143.51,

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142.65, 142.12, 141.96, 141.28, 141.27, 139.40, 138.83, 138.36, 138.26, 137.84, 137.70, 137.68, 137.66, 137.63, 137.56, 137.34, 137.27, 137.18, 136.90, 135.85, 135.14, 134.73, 134.00, 132.45, 131.31, 130.75, 130.39, 125.17, 120.52, 120.31, 118.00, 117.74, 83.08, 59.58, 55.01, 38.11, 38.08, 30.20, 30.13 ppm. Five overlapping resonances are not reported; ES+ m/z 1154.2103 ($C_{83}H_{31}N_3O_4S$ ($NH_3@2$) + H^+).

Data for the minor component of the mixed 1H spectrum in 1,2-dichlorobenzene- d_4 ('empty' open fullerene **2**) has spectroscopic data consistent with that published by Murata *et al.*^[14]

Measurement of 1H and ^{13}C spin-lattice relaxation

Experimental 1H spin-lattice relaxation curves were measured for $CH_4@1$ (17.4 mM) and $NH_3@2$ (17.6 mM) in degassed 1,2-dichlorobenzene- d_4 , and the experimental ^{13}C spin-lattice relaxation curve was measured for the C(H)OH methine carbon on the orifice of $NH_3@2$ (7.3 mM) in degassed $CDCl_3$. All spectra were acquired at 11.7 T and 25 °C, using a Bruker AVIIIHD500 FT-NMR spectrometer. Spin-lattice relaxation times T_1 were estimated using the saturation-recovery pulse sequence. The 90° pulse was calibrated for each sample using Bruker TopSpin and the saturation-recovery sequence employed a 16-point delay list (0.01, 0.05, 0.1, 0.2, 0.3, 0.5, 0.75, 1, 1.5, 2, 2.5, 5, 7.5, 10, 15, 30 s.). Signals of interest from the saturation-recovery experiments were integrated using Bruker TopSpin, and the data were fitted using Mathematica. Signal amplitudes was normalized to the last data point. The fitted curves have a single-exponential form.

IR Spectroscopy

Samples of $NH_3@2$ and $H_2O@2$ with filling factors of $f = 0.9$ ($NH_3@2$) and 0.35 ($H_2O@2$) were studied. The fraction of empty cages is $1 - f$. The sample of $NH_3@2$ contained a small amount of $H_2O@2$ so the resonance lines specific to NH_3 were identified by comparing the $NH_3@2$ and $H_2O@2$ spectra. Some spectral regions were opaque because of the absorption by the open fullerene. The samples were pressed into pellets of 3 mm diameter and of thickness $d = 155 \mu m$ ($NH_3@2$) and $190 \mu m$ ($H_2O@2$). Spectra were recorded with a Vertex 80v (Bruker Optics) spectrometer between 600 and 9000 cm^{-1} with a liquid nitrogen cooled HgCdTe detector. Sample temperature was controlled between 5 and 300 K with a continuous flow cryostat. Transmitted intensity through the sample, I_s , was referenced to the intensity through a 3mm diameter hole, I_0 . The absorption coefficient α was calculated from the ratio $Tr = I_s/I_0$ as $\alpha = -d^{-1} \ln[Tr(1 - R)^{-2}]$ where the factor $(1 - R)^{-2}$ with $R = (\eta - 1)^2/(\eta + 1)^2$ corrects for two back reflections, one from the sample front and one from the back face. We used $\eta = 2$ of solid C_{60} as the refraction index.^[41] The spectra in Figures 9 and 10 are difference spectra $\alpha(T) - \alpha(300K)$ with the base line removed.

Inelastic Neutron Scattering Spectroscopy

INS was performed at the high-flux reactor source of the Institut Laue-Langevin, Grenoble. Three spectrometers were employed; IN4c and IN6 are time-of-flight (t.o.f.) INS spectrometers and IN1-Lagrange is a triple-axis spectrometer equipped with a large area graphite analyser. IN4c and IN1-Lagrange have been described in earlier papers^[24a,b] IN6 is designed for quasi-elastic and inelastic neutron scattering. It operates on a cold neutron source and provides good resolution at low energy transfer, accessing both neutron energy (NE) gain and NE loss components of the spectrum. By convention the NE gain is defined with negative values of energy transfer ΔE . The powdered samples were wrapped in Al foil sachets for mounting in the cryostat. A 'blank' sample of open fullerene (**1**) with identical mass to that of the $CH_4@1$ sample was employed. In order to remove spectral features arising from fullerene cage modes and scattering from the construction materials of the cryostat, the INS spectra from the 'blank' were subtracted from those recorded on the sample containing CH_4 . The spectra were recorded at cryogenic temperatures

and the data is openly available [<http://doi.ill.fr/10.5291/ILL-DATA.7-04-148>].

Computational Experiments

Computational experiments were carried out using the Gaussian 09 software package.^[42] A model structure (**1b**) for open fullerene **1** in which the 6-*tert*-butyl pyridyl substituents were replaced by methyl substituents, was used. Structures and transition states were optimised using DFT with the M06-2X functional^[43] and Dunning's correlation consistent basis set cc-pVDZ.^[44] Frequency calculations were carried out for each stationary point to check that the optimised geometry corresponded to a minimum or a transition state, and to allow the Gibbs free energies and entropies to be calculated at defined temperatures and pressures using the Gaussian freqchk utility. Vibrations were not scaled and low frequency vibrations were not removed. Electronic energies were calculated at the above geometries using M06-2X with the cc-pVTZ basis set and were corrected for basis set superposition errors using the counterpoise method.^[45]

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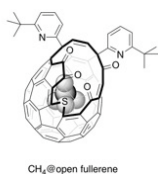
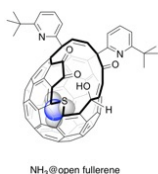
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Trapped Encapsulation of a single molecule of ammonia or methane in open-cage C₆₀ derivatives was achieved. Free rotation of NH₃ and CH₄ within each fullerene cage was determined by NMR spectroscopy and the complexes are further characterised by inelastic neutron scattering spectroscopy, and infra-red spectroscopy.

Sally Bloodworth, John Gräsvik, Shamim Alom, Karel Kouřil, Stuart J. Elliott, Neil J. Wells, Anthony J. Horsewill, Salvatore Mamone, Mónica Jiménez-Ruiz, Stéphane Rols, Urmas Nagel, Toomas Rõõm, Malcolm H. Levitt and Richard J. Whitby*

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Synthesis and Properties of Open Fullerenes Encapsulating Ammonia and Methane