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RESEARCH

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Lee Silverman Voice Treatment versus standard speech and language therapy versus control in Parkinson's disease: a pilot randomised controlled trial (PD COMM pilot)

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Abstract

Background: Speech-related problems are common in Parkinson's disease (PD), but there is little evidence for the effectiveness of standard speech and language therapy (SLT) or Lee Silverman Voice Treatment (LSVT LOUD®).

Methods: The PD COMM pilot was a three-arm, assessor-blinded, randomised controlled trial (RCT) of LSVT LOUD®, SLT and no intervention (1:1:1 ratio) to assess the feasibility and to inform the design of a full-scale RCT. Non-demented patients with idiopathic PD and speech problems and no SLT for speech problems in the past 2 years were eligible. LSVT LOUD® is a standardised regime (16 sessions over 4 weeks). SLT comprised individualised content per local practice (typically weekly sessions for 6–8 weeks). Outcomes included recruitment and retention, treatment adherence, and data completeness. Outcome data collected at baseline, 3, 6, and 12 months included patient-reported voice and quality of life measures, resource use, and assessor-rated speech recordings.

Results: Eighty-nine patients were randomised with 90% in the therapy groups and 100% in the control group completing the trial. The response rate for Voice Handicap Index (VHI) in each arm was $\geq 90\%$ at all time-points. VHI was highly correlated with the other speech-related outcome measures. There was a trend to improvement in VHI with LSVT LOUD® (difference at 3 months compared with control: -12.5 points; 95% CI $-26.2, 1.2$) and SLT (difference at 3 months compared with control: -9.8 points; 95% CI $-23.2, 3.7$) which needs to be confirmed in an adequately powered trial.

Conclusion: Randomisation to a three-arm trial of speech therapy including a no intervention control is feasible and acceptable. Compliance with both interventions was good. VHI and other patient-reported outcomes were relevant measures and provided data to inform the sample size for a substantive trial.

Trial registration: International Standard Randomised Controlled Trial Number Register: ISRCTN75223808 registered 22 March 2012.

Keywords: Parkinson's disease, Pilot randomised controlled trial, Speech and language therapy

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42 Background

43 Speech problems affect 51–74% of patients with Parkinson's
44 disease (PD). [1–3] Speech changes can occur in the early
45 stages of the disease and difficulty in communication can
46 lead to social isolation. In a UK survey of 125 people with
47 mainly early PD, Miller and colleagues [4] found only 4.2%
48 reported no changes to their speech or voice and 82% were
49 dissatisfied with how they spoke. For 10%, speech was their
50 main concern amongst all the changes experienced due to
51 PD and 38% placed speech in their top four concerns.

52 Drug therapy has only modest effects on prosodic
53 aspects of parkinsonian speech, so other therapeutic
54 measures such as speech and language therapy (SLT)
55 could play a role in treatment. [5] Conventional SLT is
56 tailored to individual patients' needs and may include:
57 diaphragmatic breathing, pacing/rate control, word-
58 finding strategies, and voice/articulation exercises. [6]
59 One technique that has been used in PD is attention to
60 effort, where the speaker is asked to produce a loud
61 voice and focus their effort on attaining, monitoring,
62 and maintaining this. This technique was formalised in
63 an evidence-based commercially available programme
64 provided by the Lee Silverman Voice Treatment (LSVT
65 LOUD®) organisation from the late 1980s. [7] Several
66 studies [8–11] showed promising results of LSVT
67 LOUD® producing, not only louder voice, but also gains
68 in articulatory parameters which were sustained at
69 follow-up. Cochrane reviews have summarised the evi-
70 dence for the efficacy of various forms of SLT in PD, but
71 concluded that there was insufficient evidence to sup-
72 port the use of one form of SLT over another and rec-
73 ommended a large, methodologically sound randomised
74 controlled trial (RCT), with follow-up of at least
75 6 months and meaningful outcome measures. [12, 13]

76 The PD COMM pilot trial assessed the feasibility and
77 acceptability of a large-scale RCT to assess the clinical
78 and cost effectiveness of LSVT LOUD® versus standard
79 SLT versus no intervention in dysarthria associated with
80 PD. In accordance with guidance of the Medical Re-
81 search Council (MRC) for trials of complex interven-
82 tions [14] the following parameters were assessed: (1)
83 feasibility and acceptability of randomising PD patients
84 with problems of speech or voice to LSVT LOUD®, trad-
85 itional SLT interventions or no intervention control; (2)
86 patient eligibility, recruitment, and retention rates; (3)
87 numbers of sites and patients that need to be screened;
88 (4) time required to undertake a full-scale trial; (5) ac-
89 ceptability and adherence with LSVT LOUD®; (6) dose
90 and content of traditional SLT; (7) data completeness
91 and suitability of data collection methods; (8) assessment
92 of the most suitable primary outcome measure for the
93 full-scale trial and to obtain initial estimates to inform
94 the sample size calculation; and (9) pilot bespoke and
95 standard health economic evaluation questionnaires.

Methods

Study design

The PD COMM pilot trial protocol has been published.
[15] The trial was designed as a multicentre three-arm
parallel group randomised controlled pilot trial with
blinded assessor. It was sponsored by the University of
Birmingham, received ethical approval from the West
Midlands, Coventry and Warwick NHS Research Ethics
Committee (11/WM/0343), and local NHS R&D
approval at each site prior to the start of recruitment.
The trial was managed by the University of Birmingham
Clinical Trials Unit (BCTU). Due to the nature of the
intervention, therapists and patients were not blinded to
treatment allocation; however, assessors of the vocal
assessment outcome data were all blinded to treatment
allocation for the duration of the trial.

Patients

Eligibility criteria were idiopathic PD defined by the UK
Parkinson's Disease Society Brain Bank Criteria; [16] and
presence of patient or carer-reported problems with
speech. [1] Exclusion criteria were dementia as defined
clinically by the physician; evidence of laryngeal path-
ology including vocal nodules, a history of vocal strain,
or previous laryngeal surgery as LSVT LOUD® is not
appropriate for all of this group; [9] received SLT for PD
speech-related problems in the past 2 years; and the
investigator thought that the patient did not definitely
require SLT in the short term.

Consent and randomisation

Potential patients who met the eligibility criteria were
approached in their normal outpatient appointments. If
interested, they were given a patient information sheet
and time to consider the trial and discuss it with friends
and family. Following consent, patients completed base-
line assessments prior to randomisation. For practical
reasons, baseline vocal assessments were allowed to be
performed after randomisation, but had to be completed
prior to the start of therapy.

After completing the baseline questionnaires, patients
were randomised in a 1:1:1 ratio to the three groups via
the trials unit telephone randomisation service. This se-
cure central randomisation service was available from
9 am to 5 pm weekdays and ensured the concealment of
treatment allocation. A computer-generated randomisa-
tion list was used. Patients and therapists were informed
of the treatment allocation, but assessors of the vocal
assessments remained blind to treatment allocation. If
allocated to an intervention arm, referral to the appro-
priate speech and language therapist occurred immedi-
ately following randomisation. All personal information
obtained for the study was held securely and treated as
strictly confidential.

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148 Interventions

149 Both SLT and LSVT LOUD® were delivered either in
150 community-based healthcare places or in outpatient
151 neurology units in the UK.

152 LSVT LOUD® was administered in four sessions per
153 week for 4 weeks (i.e. 16 sessions in total) by state regis-
154 tered speech and language therapists with certification
155 in Lee Silverman Voice Therapy and appropriate re-
156 fresher courses working within the NHS. Each session
157 lasted 50–60 min. In addition, patients were asked to
158 complete 5–10 min of home practise on treatment days
159 and up to 30 min of home practise on non-treatment
160 days. LSVT LOUD® comprises maximum effort non-
161 speech and speech drills. The non-speech drills include
162 production of sustained ‘ah’ phonation at a single pitch
163 and pitch glides (moving from modal pitch to high pitch
164 and modal pitch and going down on production of sus-
165 tained ‘ah’). These exercises are for improving vocal ef-
166 fort and loudness for translation into functional speech.
167 The speech drills utilise a hierarchy of speech tasks mov-
168 ing from single words through phrases and onto conver-
169 sational speech. Each step in this hierarchy puts
170 increased demands on the speaker and challenges the
171 speaker to maintain maximal speech production. It is
172 important to note the intervention incorporates retrain-
173 ing the sensory system to improve loudness.

174 SLT was administered as per local practice by state-
175 registered speech and language therapists and was
176 expected to typically involve one session of 45 min per
177 week for 6–8 weeks of varying content as determined by
178 patient need. Treatments could include exercises target-
179 ing respiration, phonation, articulation [17, 18], behav-
180 ioural strategies to reduce prosodic abnormality [19],
181 and the use of augmentative and alternative communica-
182 tion (AAC) strategies and therapeutic devices to improve
183 functional communication [20].

184 Those individuals allocated to the control group con-
185 tinued with their standard PD care. They were excluded
186 from receiving SLT input for at least 6 months post-
187 randomisation, unless their clinician deemed it to be
188 medically necessary. After 6 months, people in the con-
189 trol arm were eligible to be referred for therapy if
190 required.

191 Training on trial processes was provided for trial ther-
192 apists by the clinical trial team to ensure uniformity of
193 trial procedures. Therapists providing the interventions
194 completed intervention record forms at each session, as
195 used in previous complex intervention trials, [21] to
196 allow monitoring of intervention delivery.

197 Sample size

198 As this was a pilot study, no formal sample size calcula-
199 tion was performed. The study aimed to recruit at least
200 20 patients in each group, a total of at least 60 patients.

Outcomes

201 Data on various outcome measures were collected to
202 assess appropriate outcome measures to be used in a
203 large-scale trial: patient-reported measures-Voice
204 Handicap Index (VHI) [22], Parkinson’s Disease
205 Questionnaire-39 (PDQ-39) [23], voice-related quality
206 of life scale (V-RQoL) [24], Living with Dysarthria
207 questionnaire (LwD) [25], EuroQol (EQ-5D) [26, 27],
208 ICECAP capability measure for older people (ICECAP-O)
209 [28], and resource usage; therapist measures-vocal loud-
210 ness, comprehension assessments, and Assessment of
211 Intelligibility of Dysarthric Speech (AIDS) [29]; carer-
212 reported quality of life (Parkinson’s Disease Question-
213 naire–Carer, PDQ–Carer [30]); and adverse events. The
214 questionnaires used were all validated and widely used
215 tools. Data were collected before randomisation and 3, 6,
216 and 12 months after randomisation. The bespoke resource
217 usage questionnaire was assessed at 3, 6, and 12 months
218 for suitability in a definitive trial. This included questions
219 on health and social care resource use, employment and
220 time off work, and out of pocket costs incurred by
221 patients.

222 Following a risk assessment, it was agreed that this
223 was a low risk trial and that only vocal strain or abuse
224 were likely to be related to the interventions. Therefore,
225 targeted treatment-related adverse events and serious
226 adverse events such as vocal strain or abuse were
227 collected.

Data analyses

228 As this was a feasibility study, definitive comparisons of
229 the interventions were not undertaken. Feasibility mea-
230 sures and outcome data were therefore summarised de-
231 scriptively. Details on patient screening, recruitment and
232 retention, withdrawals and those lost to follow-up, along
233 with reasons for non-completion, and adherence were
234 summarised using a CONSORT diagram (objectives 1–
235 5). Adherence with LSVT LOUD was assessed as the
236 proportion of patients who completed the intervention
237 as per the protocol (objective 5). Information on the in-
238 terventions including the median number and mean
239 duration of sessions was summarised descriptively
240 (objectives 5 and 6). The percentage of forms
241 returned and level of data completeness at each time
242 point was tabulated (objective 7). Assessment of the
243 most suitable primary outcome measure for the full-scale
244 trial and which outcomes to retain in a substantive trial
245 included (1) an assessment of data completeness and (2)
246 correlation methods to identify which outcome measures
247 were closely correlated (objective 8). To help inform the
248 sample size calculation, the mean and standard deviation
249 for each outcome was summarised at each time point and
250 an exploratory analysis of differences between the arms
251 (LSVT LOUD® versus no intervention, SLT versus no
252
253

254 intervention, and LSVT LOUD® versus SLT) was per-
 255 formed, calculating the mean difference at each time point
 256 and the mean change from baseline to 3, 6, and 12 months
 257 alongside 95% confidence intervals (CI) (objective 8).
 258 Missing values in PDQ-39 domain scores were imputed
 259 using an expectation maximisation algorithm.[31, 32] As
 260 is standard for phase III clinical trials, the pilot outcome
 261 data were analysed using intention-to-treat methods with
 262 patients analysed in the treatment group to which they
 263 were randomised regardless of adherence to the interven-
 264 tion or protocol. Statistical analysis was performed using
 265 SAS version 9.4 software.

266 Results

267 Patient acceptability, screening, recruitment, and 268 retention (objectives 1–4)

269 Sites reported screening 2223 patients, with 89 patients
 270 randomised into the PD COMM pilot trial from 12 centres
 271 between May 2012 and March 2014. Data on the potential
 272 participants screened showed variations in recruitment
 273 methods: some centres screened PD clinic populations se-
 274 quentially, whereas others recruited patients from therapist
 275 services. The reasons patients were not entered into the
 276 trial were no problems with speech or voice ($n = 1406$),
 277 had SLT or likely to ($n = 177$), dementia ($n = 176$), too un-
 278 well ($n = 119$), already in a trial ($n = 92$), very little English
 279 ($n = 21$), and declined ($n = 143$). Therefore, the main rea-
 280 son for non-entry into the trial was that the patient was
 281 not eligible (79%). Only 6% of screened participants
 282 declined the trial which suggests that the study was accept-
 283 able to patients.

284 Thirty patients were randomised to LSVT LOUD®, 30
 285 to SLT, and 29 to the control group, with 27 (90%), 27
 286 (90%), and 29 (100%) completing the trial, respectively
 F1 287 (Fig. 1).

288 Treatment fidelity, adherence, and content 289 (objectives 5 and 6)

290 In the LSVT LOUD® group, 26 of 30 patients started
 291 LSVT, with 22 (73%) completing LSVT as per proto-
 292 col (Fig. 1). Seven patients randomised to LSVT
 293 LOUD® either did not start ($n = 3$) or stopped therapy
 294 early (i.e. did not complete 16 sessions; $n = 4$). The
 295 four patients that stopped therapy received 1–3 ses-
 296 sions. Three of these seven patients withdrew from
 297 the trial citing the intensity and time commitment of
 298 LSVT LOUD® as the reason for withdrawal (Fig. 1).
 299 One patient randomised to SLT did not start therapy
 300 for family reasons and then withdrew from the trial.

301 In the LSVT LOUD® group, 47% of patients had their
 302 initial interview within 4 weeks of randomisation com-
 303 pared to 57% in the standard SLT group. Delivery of the
 304 intervention was good, with 96% in the LSVT LOUD®
 305 arm and 97% in the SLT arm starting treatment within

306 3 months, and 86% in the LSVT LOUD® arm and 73% in 306
 307 the SLT arm completing treatment within 3 months. In 307
 308 the LSVT LOUD® group, patients had a median of 16 308
 309 sessions lasting on average 61 min over 4.7 weeks. In the 309
 310 SLT group, patients had a median of 6 sessions lasting 310
 311 on average 54 min over 9.6 weeks. 311

Form return rates and data completeness (objective 7)

312 Data return rates were very good (> 90%). The combined 312
 313 response rates for VHI was 99, 93, 95, and 94% at base- 313
 314 line, 3, 6, and 12 months follow-up, respectively, and the 314
 315 return rates were balanced across the arms. Completion 315
 316 of the VHI forms was close to the planned time points, 316
 317 and data completeness was good. Similar return rates 317
 318 and levels of data completeness were seen across all the 318
 319 other outcome measures. In the V-RQoL, one question 319
 320 was not answered reliably (“I have trouble doing my job 320
 321 or practising my profession”), so this questionnaire has 321
 322 been dropped in the main trial. 322
 323

Patient characteristics at randomisation

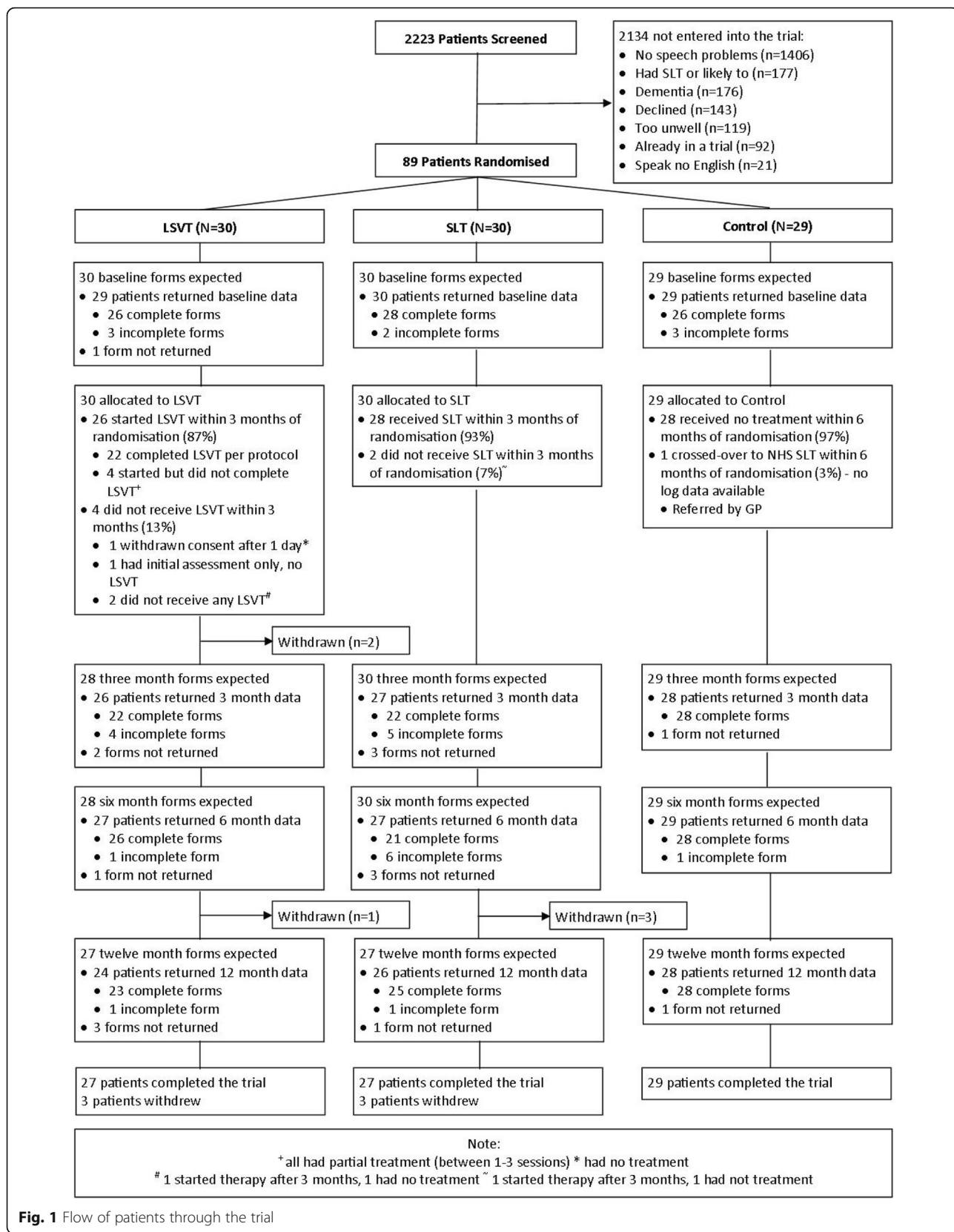
324 Patients entering the trial had a mean age of 67 years 324
 325 (male 78%; body mass index 27 kg/m²). Mean disease 325
 326 duration was 5.5 years with a baseline Hoehn and Yahr 326
 327 stage ≤ 2.0 in 66%. The mean baseline levodopa dose 327
 328 equivalent was 580 mg/day (Table 1). [32] Thirty-five 328
 329 patients had a regular carer of which 29 (83%) consented T1
 330 to enter the trial and complete the PDQ-Carer question- 330
 331 naire (13 LSVT, 11 NHS, 5 control). Most carers were 331
 332 female and spouses. 332
 333

Assessment of outcome measures for the full-scale trial (objective 8)

334 Correlations between the patient and therapist-assessed 334
 335 outcomes were varied (Table 2; range – 0.58 to 0.02), but 335
 336 patient-reported outcomes correlated well with each 336
 337 other ($r > 0.7$). Interestingly, vocal loudness did not 337
 338 correlate well with the patient-reported measures ($r < 0.2$). 338
 339

340 In a survey of patients with PD from our Patient and 340
 341 Public Involvement Group, we asked patients what was 341
 342 more important to them: vocal loudness or ability to 342
 343 communicate. The results showed that although vocal 343
 344 loudness was important, it was only one aspect of a 344
 345 complex problem which was also influenced by environ- 345
 346 mental factors (e.g. dry mouth, stress levels), and that 346
 347 patients preferred a more generic overall assessment of 347
 348 voice problems. 348
 349

350 Since VHI correlated best with therapist-assessed out- 350
 351 comes and the PDQ-39 is a well-validated questionnaire 351
 352 used in PD research, we investigated both the VHI total 352
 353 score and PDQ-39 communication domain further as 353
 354 possible primary outcome measures for the main trial. 354



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f1.2

Fig. 1 Flow of patients through the trial

Q6 t1.1 **Table 1** Patient characteristics at randomisation

		LSVT	SLT	Control	
t1.2					
t1.3	Number of patients randomised	30	30	29	
t1.4	Age (years)	Mean (SD)	67 (8.4)	68 (10.3)	65 (7.5)
t1.5	Gender	Male (N, %)	23 (77%)	23 (77%)	23 (79%)
t1.6	Body Mass Index (kg/m ²)	Mean (SD)	27.4 (4.3)	27.6 (4.8)	27.3 (4.2)
t1.7	Duration of PD (years)	Mean (SD)	6.1 (3.7)	5.6 (4.2)	4.9 (3.4)
t1.8	Hoehn and Yahr stage	≤ 2.0	20 (67%)	16 (55%)	20 (77%)
t1.9		2.5	5 (17%)	2 (7%)	5 (19%)
t1.10		3.0	4 (13%)	9 (31%)	1 (4%)
t1.11		≥ 4.0	1 (3%)	2 (7%)	0 (-)
t1.12	Levodopa equivalent dose (mg/day) ^a	Mean (SD)	695 (466.4)	533 (328.5)	502 (451.6)
t1.13	^a Levodopa equivalency formula from reference 32				

355 Data to inform the sample size calculation (objective 8)

356 There was a -12.5-point difference (95% CI -26.2,
357 1.2) in the VHI total score at 3 months between
358 LSVT LOUD[®] and control group, and a difference of
359 -9.8 points (95% CI -23.2, 3.7) in the VHI total
360 score at 3 months between SLT and control group.
361 For the PDQ-39 communication score, at 3 months,
362 there was a 7.5-point difference (95% CI -20.3, 5.2)
363 between the LSVT LOUD[®] and control group
364 (Table 3), and a 5.0-point difference (95% CI -16.7
365 to 6.8) between the SLT and control groups. The VHI
366 total score and PDQ-39 communication domain data
367 at baseline, and 3, 6, and 12 months are shown in
368 Figs. 2 and 3.

F3 F2

369 The minimum clinically important change (MCIC) for
370 the communication domain of the PDQ-39 is 4.2 points
371 [33]. The mean baseline score was 33.8, assuming no de-
372 terioration in the control arm, the MCIC corresponds to
373 detecting a conservative 12% difference and a small ef-
374 fect size of 0.17 SD. The differences between LSVT

LOUD[®] and SLT versus control at 3 months were 7.5 375
and 5.0 points, respectively. Although these differences 376
are greater than the MCIC (4.2 points), they are close 377
enough to the MCIC to make it difficult to justify 378
powering a definitive study on a larger difference than 379
the MCIC. If we used the PDQ-39 communication as 380
our primary outcome, at 80% power and using $\alpha = 0.025$ 381
(to adjust for multiple comparisons), we would need to 382
recruit 2028 patients to detect a difference of 4.2 points 383
(the MCIC), which is unfeasible. The MCIC for VHI has 384
not been established for this cohort of patients. The dif- 385
ferences in VHI total score at 3 months between LSVT 386
LOUD[®] and SLT versus control were 12.5 and 9.8 points, 387
respectively. Assuming a difference of 10 points between 388
therapy groups and control, along with the upper stand- 389
ard deviation of 26.3, the effect size is moderate at 0.38 390
SD. Due to the nature and cost of the interventions, and 391
the trial primarily comparing intervention versus control, 392
this justifies investigating a moderate effect size. 393
The VHI is also a questionnaire that specifically asks an 394

t2.1 Table 2 Pearson correlation coefficients of participant and therapist-rated outcomes

	Baseline				3 months				
	VHI-total score	PDQ-39 communication	V-RQoL	LwD	VHI	PDQ-39 communication	V-RQoL	LwD	
t2.2									
t2.3									
t2.4	Participant-rated								
t2.5	VHI-total score	1.00	-	-	-	1.00	-	-	
t2.6	PDQ-39 communication	0.73	1.00	-	-	0.74	1.00	-	
t2.7	V-RQoL	0.86	0.76	1.00	-	0.90	0.77	1.00	
t2.8	LwD	0.77	0.73	0.78	1.00	0.78	0.75	0.79	
t2.9	Therapist-rated								
t2.10	AIDS words	-0.64	-0.45	-0.46	-0.33	-0.53	-0.30	-0.31	-0.38
t2.11	AIDS sentences	-0.65	-0.43	-0.45	-0.35	-0.58	-0.34	-0.36	-0.35
t2.12	Rainbow passage	0.17	0.15	0.16	0.08	-0.11	0.02	-0.15	-0.12
t2.13	Cookie theft	-0.10	0.03	0.03	-0.14	-0.14	-0.02	-0.12	-0.18
t2.14	Vocal loudness	-0.09	-0.15	-0.06	-0.12	-0.16	-0.10	-0.12	-0.18
t2.15	VHI Voice Handicap Index, PDQ-39 Parkinson's Disease Questionnaire-39, V-RQoL voice-related quality of life score, LwD Living with Dysarthria score								

t3.1 **Table 3** Results of participant-rated and carer-rated outcomes

	Baseline			3 Months					
	LSVT	NHS	Control	LSVT	NHS	Control	LSVT vs. control	NHS vs. control	
t3.4	VHI total score	N = 26 42 (20.2)	N = 28 42 (25.5)	N = 26 42 (21.0)	N = 22 33 (22.4)	N = 22 36 (21.2)	N = 28 46 (25.1)	- 12.5 (- 26.2 to 1.2)	- 9.8 (- 23.2 to 3.7)
t3.5	PDQ-39 communication domain	N = 29 35 (23.3)	N = 30 33 (21.5)	N = 29 33 (19.5)	N = 26 27 (22.9)	N = 27 30 (19.4)	N = 29 35 (24.0)	- 7.5 (- 20.3 to 5.2)	- 5.0 (- 16.7 to 6.8)
t3.6	PDQ-39 summary index	N = 29 32 (15.5)	N = 30 28 (13.8)	N = 29 26 (14.1)	N = 26 29 (17.5)	N = 27 27 (13.8)	N = 29 29 (16.3)	- 0.2 (- 9.3 to 9.0)	- 2.1 (- 10.2 to 6.0)
t3.7	V-RQoL	N = 27 20 (8.9)	N = 25 20 (8.3)	N = 25 21 (7.1)	N = 21 18 (7.8)	N = 24 19 (5.6)	N = 28 22 (8.0)	- 3.5 (- 8.1 to 1.1)	- 3.2 (- 7.1 to 0.7)
t3.8	LwD	N = 27 28 (16.2)	N = 27 32 (21.9)	N = 26 27 (20.7)	N = 25 24 (21.6)	N = 24 28 (17.1)	N = 25 29 (20.4)	- 5.6 (- 17.6 to 6.3)	- 1.9 (- 12.8 to 8.9)
t3.9	EQ-5D QoL score	N = 29 0.59 (0.30)	N = 30 0.64 (0.23)	N = 29 0.72 (0.18)	N = 26 0.60 (0.27)	N = 27 0.70 (0.20)	N = 28 0.60 (0.29)	0.004 (- 0.15 to 0.16)	0.11 (- 0.03 to 0.25)
t3.10	PDQ-Carer summary index	N = 11 27 (19.7)	N = 11 26 (20.9)	N = 3 15 (18.2)	N = 11 32 (22.9)	N = 7 21 (13.4)	N = 4 18 (17.8)	15.8 (- 15.7 to 47.3)	5.9 (- 19.1 to 30.9)

t3.11 Mean difference (95% CI) for comparisons

t3.12 VHI ranges from 0 to 120; PDQ-39 ranges from 0 to 100; V-RQoL ranges from 10 to 50; LwD ranges from 0 to 90; PDQ-Carer ranges from 0 to 100, where low score is good. Negative difference favours treatment

t3.14 EQ-5D ranges from - 0.59 to 1, where high score is good. Positive difference favours treatment

395 individual to describe their voice and the effects of their
 396 voice on their life. We therefore chose the VHI total
 397 score as the primary outcome measure for the substan-
 398 tive trial. To detect a 10-point difference in VHI total
 399 score at 3 months (upper SD 26.3; 80% power; $\alpha = 0.025$)
 400 requires 399 patients (133 per group).

401 **Safety**

402 There were no adverse events or serious adverse events
 403 reported in the trial.

404 **Pilot health economic evaluation questionnaires**
 405 **(objective 9)**

406 A substantive trial should also contain a full economic
 407 evaluation to estimate the incremental cost-effectiveness
 408 of the LSVT LOUD® intervention versus SLT and no
 409 intervention. Piloting the bespoke resource use question-
 410 naire demonstrated that it was suitable, as the comple-
 411 tion rate was good. The EQ-5D and the ICECAP-O
 412 were confirmed to be suitable economic outcome mea-
 413 sures, to measure both health-related quality of life and
 414 broader aspects of capability.

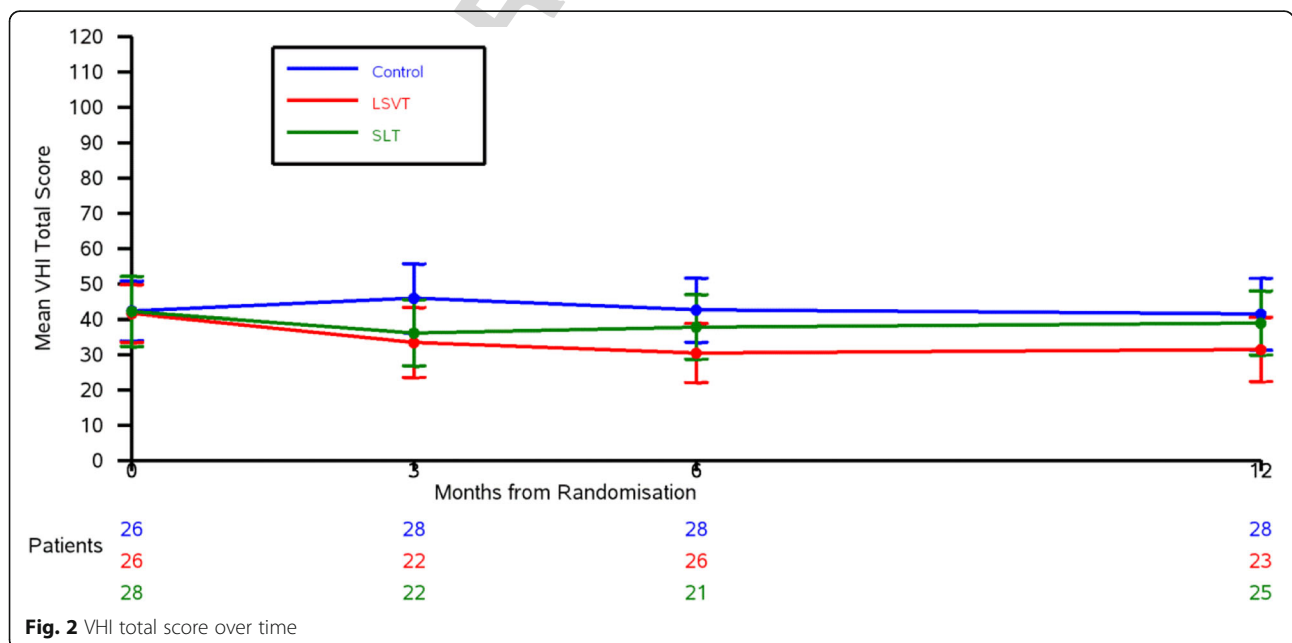


Fig. 2 VHI total score over time

f2.1
f2.2

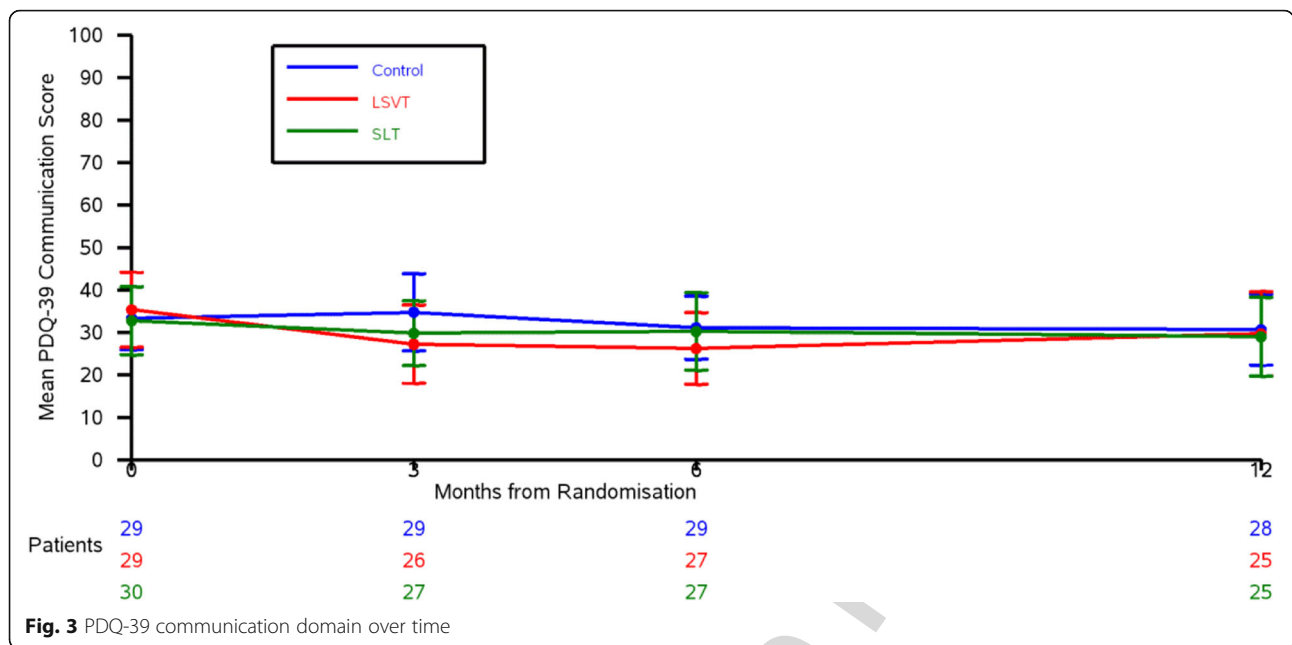


Fig. 3 PDQ-39 communication domain over time

f3.1
f3.2

415 **Discussion**

416 The results of the PD COMM pilot study have shown
 417 that a large-scale trial to evaluate the efficacy and cost-
 418 effectiveness of Lee Silverman Voice Treatment versus
 419 standard NHS speech and language therapy versus control
 420 for communication problems in PD is both acceptable
 421 and feasible. The UK Medical Research Council
 422 advises that in feasibility trials of complex interventions
 423 a number of parameters should be assessed which we
 424 discuss in the following paragraphs. [14]

425 We originally aimed to recruit 60 patients from four
 426 centres over 18 months, but expanded to 12 centres
 427 because of slow recruitment, eventually recruiting 89 pa-
 428 tients over 23 months.

429 The main reasons potential participants did not take
 430 part in the study were lack of speech problems (66%),
 431 dementia (8%), and declined consent (7%). The discrep-
 432 ancancy between the estimates of prevalence of problems
 433 and those in the recruiting NHS clinics is interesting
 434 and important for planning the full trial, however, it is
 435 unexplained at present.

436 Randomisation to a no treatment arm was acceptable
 437 to patients and clinicians, and retention rates during the
 438 whole trial were high at around 90%. There was a con-
 439 cern that the high intensity of LSVT LOUD® might lead
 440 to a high withdrawal rate. A number of patients decided
 441 not to enter the trial because of the intensity of LSVT
 442 LOUD® which did affect recruitment rates. Of those who
 443 entered the trial, seven in the LSVT LOUD® arm either
 444 did not start therapy or stopped LSVT LOUD® early,
 445 with three of these patients withdrawing from the trial.
 446 This compares with only one patient in the SLT arm
 447 who did not start therapy.

448 Our study has demonstrated the ability to successfully
 449 deliver two distinct complex SLT interventions for dys-
 450 arthria associated with PD which differed in session
 451 length, time to intervention, overall dose of therapy, and
 452 intervention duration. High intensity SLT therapy is not
 453 tolerated by all patients, and the results for trials
 454 employing such approaches amongst other patient
 455 groups have been confounded by significantly higher
 456 dropout rates (than seen in our study) from the high
 457 intensity groups [34]. Intervention delivery will be a chal-
 458 lenging issue during the substantive trial, particularly
 459 given the difficult financial situation within the National
 460 Health Service. However, delivery of the intervention in
 461 the pilot was good, with most patients starting and com-
 462 pleting the intervention within 3 months of randomisa-
 463 tion. It was noted that there was a slight difference in
 464 the number of patients completing treatment by
 465 3 months (86% in the LSVT LOUD® group compared
 466 with 73% in the SLT group); we will monitor this closely
 467 within the main trial.

468 A battery of patient and carer reported assessments
 469 were employed in the study to evaluate the feasibility,
 470 acceptability, sensitivity, and correlation of outcome
 471 measures. Data return and completeness for all outcome
 472 measures at each time point was excellent. Correlations
 473 between the patient and therapist-assessed outcomes
 474 were varied, but patient-reported outcomes correlated
 475 well with each other ($r > 0.7$). Vocal loudness did not
 476 correlate well with patient-reported measures ($r < 0.2$).
 477 Previous trials have used vocal loudness as the primary
 478 outcome measure, but it is not clear whether this cap-
 479 tures what is important to patients in terms of commu-
 480 nication. Our survey of a number of patients with PD

481 showed that patients preferred and wanted a more gen-
482 eric overall assessment of voice problems.

483 Since the VHI correlated best with the therapist-
484 assessed outcomes and the PDQ-39 is a well-validated
485 questionnaire used in PD research, we investigated both
486 the VHI total score and PDQ-39 communication domain
487 as possible primary outcome measures for the main trial.
488 The sample sizes for a full-scale trial using these out-
489 comes (with 80% power, $\alpha = 0.025$ (to adjust for multiple
490 comparisons)) were 2028 patients with the PDQ-39
491 communication domain and 399 patients with the VHI
492 total score. A 2000 patient trial was not feasible, and
493 based on the VHI asking an individual to describe their
494 voice and the effects of their voice on their life, which
495 came out as important from our patient survey, the VHI
496 total score was chosen as the primary outcome. To de-
497 tect a 10-point difference in VHI total score at 3 months
498 (upper SD 26.3; 80% power; $\alpha = 0.025$) will require 399
499 patients (133 per arm). To allow for 10% drop out, a
500 total of 450 patients (150 per arm) will be recruited.
501 From the feasibility study, six patients can be recruited
502 per site per year, so with 40 sites, 450 patients can be re-
503 cruited in just under 2 years.

504 Conclusions

505 PD COMM pilot is the largest trial to date of SLT in
506 PD. The three trials in the Cochrane review included a
507 total of only 63 patients [13] and the most recent trial of
508 LSVT LOUD® LOUD and ARTIC versus no therapy in-
509 cluded only 64 patients. [35] The PD COMM pilot trial
510 demonstrated that both LSVT LOUD® and SLT may be
511 effective in improving communication in PD, although
512 this needs to be confirmed in an adequately powered
513 trial. Our study established that such a substantive trial
514 is both feasible and acceptable to PD patients and thera-
515 pists treating their communication problems. A large-
516 scale trial (PD COMM) is now underway in the United
517 Kingdom.

518 Abbreviations

519 AAC: Augmentative and alternative communication strategies;
520 AIDS: Assessment of Intelligibility of Dysarthric Speech; BCTU: University of
521 Birmingham Clinical Trials Unit; CI: Confidence intervals; EQ-5D: EuroQoL;
522 ICECAP-O: ICECAP capability measure for older people; LSVT LOUD®: Lee
523 Silverman Voice Treatment; LwD: Living with Dysarthria questionnaire;
524 MCIC: Minimum clinically important change; MRC: Medical Research Council;
525 PD: Parkinson's disease; PDQ-39: Parkinson's Disease Questionnaire-39; PDQ-
526 Carer: Parkinson's Disease Questionnaire-Carer; RCT: Randomised controlled
527 trial; SLT: Standard speech and language therapy; VHI: Voice Handicap Index;
528 V-RQoL: Voice-related quality of life scale

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Authors' contributions

CS (chief investigator), CEC (co-chief investigator), CHS, CER, MCB, NI, SP, RW, 568
FD, SJ, and KW designed and ran the trial. CEC recruited patients. NI, SP, and 569
RW analysed the data. CMS, CEC, CHS, CER, MCB, NI, SP, RW, FD, SJ, and KW 570
interpreted the data and wrote the paper. RP and HR were co-applicants and 571
supported the trial. DK and GS supported therapy aspects of the trial. 572

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Consent for publication

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Competing interests

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