TOWARDS THERMOELECTRIC NANOSTRUCTURED ENERGY HARVESTER FOR WEARABLE APPLICATIONS

*In the memory of Dr Andy Cranny, a dedicated scientist and a good friend

E Koukharenko¹, S A Boden¹, N P Sessions², N Frety⁴, I Nandhakumar³, and N M White¹
1 School of Electronics and Computer Science, University of Southampton, Highfield,
Southampton, SO17 1BJ, UK

- 2 Optoelectronics Research Centre, University of Southampton, Highfield, Southampton, SO17 1BJ, UK
- 3 School of Chemistry, University of Southampton, Highfield, Southampton, SO17 1BJ, UK
- 4 Institut Charles Gerhardt-Equipe PMOF Université Montpellier II, France

Corresponding author. E-mail address: ak@ecs.soton.ac.uk

Abstract

Thermoelectric (TE) devices provide a clean and environmentally friendly technique for energy conversion. There is, however, limited published research addressing the optimal design and fabrication of flexible thermoelectric generators (TEGs), which use nanostructured materials and can conform to the contours of the geometry on which they are mounted. This paper describes a novel technological route that was found to be a promising approach for realising nanostructured energy harvesters on flexible substrates operating at small temperature gradients <20 K and suitable for wearable applications. By comparison, current commercial rigid TEGs operate at temperature gradients of 50-70 K.

The fabrication process reported here requires a combination of traditional silicon microfabrication techniques, electroplating and ion-track nanolithography. Polyimide nanotemplates, with pore diameters ranging from 30 nm to 120 nm and a high aspect ratio (1:1000), were fabricated from Kapton foil with a thickness of 20 μm. Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ nanowires (80 to 120 nm) were successfully electrodeposited into such templates. Both compounds had optimal microstructural properties for thermoelectric applications. While Bi₂Te₃ (n-type element) films had a close-to-stoichiometric composition (Bi_{2.17}Te_{2.81}), Bi_{0.5}Sb_{1.5}Te₃ (p-type element) samples exhibited significant deviations from their stoichiometric composition (from Bi_{0.37}Sb_{1.44}Te_{3.20} to Bi_{0.29}Sb_{1.43}Te_{3.27}.

Keywords

thermoelectric generator, nanowires, flexible, ion-track nanolithography, polyimide, wearables, high aspect ratio

1. Introduction

The energy requirements for modern societies, together with emerging ecological concerns, are constantly expanding. Additionally, the rapid increase in the development of remote wireless devices, embedded structures and portable electronic systems, illustrates the need for localised energy sources, such as batteries, which tend to be bulky, need replacement and have a limited shelf life. An alternative approach is to replace these by harvesting energy from ambient sources existing within the environment (e.g. solar, wind, vibration and thermoelectric). Thermoelectric power generation presents many advantages over other principles including solid-state operation (no moving parts), long life (~ 20 years), high reliability and no emission of toxic gases [1].

The major drawbacks of existing thermoelectric generators are their low efficiency (commercially available devices have an efficiency of~ 10%) and their relatively large size. As an example, typical electrical power output from mainstream commercial TE generators is about 2.1 W from a ~3800 mm³ device, which requires a temperature gradient in excess of 70 °C [2].

To date, the smallest thin film-based miniaturised chip-level thermogenerators have been manufactured by Micropelt. A preliminary data sheet for these devices, which still require further testing, shows that they have a volume of ~1400mm³ device and produce ~2-6 mW power for a temperature gradient between 20-30 K [3].

Importantly, at the present moment in time, existing commercial technologies for TE generators are only suitable for manufacturing onto rigid substrates, which can cause limitations for some possible application areas (e.g. rigid substrates are not well suited for wearable applications since they cannot conform to the required curved geometrical contours). Consequently, a strategy for improving the performance of TE devices, as well as a

development of flexible thermoelectric energy harvesters, which are compatible with wearable technologies is needed.

The efficiency of thermoelectric (TE) materials is described by the figure of merit ZT=(α^2 T/ ρ KT), where α , T, ρ and KT are the Seebeck coefficient, absolute temperature, electrical resistivity and thermal conductivity respectively [1]. In order to maximize ZT of a material, a high thermopower (absolute value of the Seebeck coefficient), high electrical conductivity, and low thermal conductivity are required. Therefore, the performance of these devices depends on the properties of the materials. The best TE materials commercially available today are Bi_{2-x}Sb_xTe₃ based which have a ZT of \sim 1 at room temperature. However, nanostructured materials have recently attracted great interest because theoretical analysis indicates that greatly improved efficiency can be obtained by realising nanoscale thermoelectric (TE) elements whose 'leg' diameter can be reduced in size to that at which quantum confinement and interface scattering effects will occur [4,5].

To date, various research groups have dedicated their work to either investigating physical properties of various TE nanostructures or developing technologies for miniaturized TE devices. Venkatasubramian et al. studied nanostructured thin-film superlattices of Bi_2Te_3 and Sb_2Te_3 and found that they exhibited $ZT \sim 2.4$ at room temperature [6]. Hoffmann et al. reported on the thermoelectric power of InSb quantum-dot structures [7]. Boukai et al. and Hochbaum et al. investigated properties of Si nanowires and demonstrated that they show promise as high-performance, scalable thermoelectric materials [8, 9]. Shi et al. and Lyeo et al. measured physical properties of a single wall carbon nanotube bundle, BiTe nanowires and a GaAs p-n junction [10, 11, 12]. Zou et al observed an enhancement of ZT as high as 1.34 in thin GaAs nanowires of a 1.1 nm-diameter, exhibiting more than 100-fold improvement over the bulk counterpart [13]

As far as fabrication processes for thermoelectric devices are concerned, to date Bi₂. xSbxTe3 nanostructures are being focused on and various traditional fabrication methods, such as laser ablation, solution-based synthesis, vapour-phase growth, thermal evaporation methods, and vacuum-based techniques (e.g. metal organic vapour deposition (MOVPD), molecular beam epitaxy (MBE)) [14,15,16,17,18] have been developed. All of these techniques require a complex parameter optimisation process and most of them are not compatible with silicon microfabrication processes and/or are very expensive. Hence there is currently great interest in alternative template-based synthesis to miniaturize thermoelectric materials using micro/nano-templates from porous alumina, micro/nanophotolithography [19, 20, 21, 22]. Although these technologies offer some unique advantages of precise size and shape control to fabricate nanowires, they still have limitations in size, and can be complex for parameter optimisation, resulting in a low density of thermoelectric elements and lowefficiency devices [23]. The current state-of-the-art MEMS thermoelectric devices fabricated by a template technology, i.e. electroplating and photolithography, were presented by Snyder et al., resulting in a total device volume of about 8000 mm3 and a maximum generated power of about 1 μW for a thermal gradient of ~3°C/μm over a thickness of 20 μm [20]. Whilst this is a promising start and enough to power devices such as wristwatches, it is not sufficient for many of today's wireless sensor applications. More recently, the fabrication of Bi and Bi₂-_xSb_xTe₃ (x=1.5) thermoelectric nanowires has been realised with alternative templates using ion-track irradiation lithography [24,25, 26, 27]. This technique uses heavy accelerated ions to damage a material, making it susceptible to chemical etching in the direction defined by the irradiation. It has been discovered that ion-track technology can produce low-cost templates for nanowires with a diameter < 50 nm and with high aspect ratios (>1000), which opens up a very promising technology for the fabrication of TE nanostructured devices [28]. Another advantage of this technology is that it can be integrated as a part of standard silicon microfabrication batch processes by using conventional UV-type photoresists such as polyimide and PMMA (Poly(methyl methacrylate)) [29, 30]. To date, however, ion-track technology has shown promising potential, but it has not been sufficiently explored for devices and has not become an application- driven technology.

In general, currently, there is a limited amount of published research dedicated to the development of thermoelectric nanostructured generators on flexible substrates, which offer not only a low-cost approach for depositing materials but can also expand the areas of wearable applications (where any curved geometry and non-planar shapes are present). The current state-of-the-art for the miniaturized thermoelectric devices onto flexible substrates has been limited to studies done either with low-efficiency thermoelectric materials (e.g. polymer composites; metal dichalcogenide) [31, 32], or microstructured/nanostructured Bi-Sb-Te fabricated by costly processes with the power outputs which is well below useful levels [33, 34]. Ya Yang et al developed a complex architecture for a flexible thermoelectric nanogenerator (TENG) that is based on a Te-nanowire/poly(3-hexylthiophene) (P3HT) polymer composite as the thermoelectric material, which under a temperature difference of 55 K, two TENGs can provide an output voltage of 38 mV in serial connection, or a current density exceeding 32 nA/mm2 in parallel connection. [31]

Wan et al developed flexible n-type thermoelectric materials by organic intercalation of layered transition metal dichalcogenide TiS2, which could potentially find application in wearable electronics with further optimisation, although the thermoelectric figure of merit, ZT, is only 0.28 at 373 K [32].

Goncalves et al. developed Bi₂Te₃ and Sb₂Te₃-based thermoelectric micro-coolers on a Kapton flexible substrate using thermal co-evaporation and shadow-masking, which is a very time consuming and challenging process with difficulties in obtaining stochiometric compounds [35]. Qu et al. reported a TE generator, with overall dimensions of 16x20x0.05

mm using Bi-Sb thermocouples on a flexible epoxy substrate, which produced an electrical output of 8.4 mVK-1 [36].

Francioso et al built a flexible Sb₂Te₃ and Bi₂Te₃-based microthermoelectric generator (μTEG) for wearable biometric sensors applications, which consisted of an array of 100 thin film thermocouples with microscale features. It generates an open circuit output voltage of 430 mV and an electrical output power up to 32 nW for a thermal gradient of 40 °C into a matched electrical load [37]. Du et al developed thermoelectric fabrics by using organic thermoelectric material EDOT:PSS, where device can generate thermoelectric voltage output of 4.3 mV at a temperature difference (DT) of 75.2 K.[38]

None of the above studies have addressed the development of TE generators fabricated onto flexible substrates using Bi-Sb-Te nanostructures and a template based approach.

Thus, the objective of the present work is a technological development for a thermoelectric nanostructured device on a flexible polyimide substrate, which is suitable for wearable applications. In order to achieve this, a combination of silicon microfabrication techniques and ion-track nanolithography is used. In addition, this research addresses several material science challenges associated with optimization of electroplating parameters for submicron Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ nanowires (30-120 nm diameters), deposited into high aspect ratio polyimide nanostructured templates. It also reports nano/microstructural properties (phase analysis, chemical composition and grain size) and physical properties (Hall effect, Seebeck coefficient) as well as the influence of annealing on the performance of thermoelectric materials. Preliminary test results of such flexible TE polyimide-based nanostructured device are also presented.

2. Experimental procedure

2.1 Microfabrication process

In order to realize the thermoelectric nanostructured generator, a 4-mask micromachining process was developed. The fabrication process can be summarised in the following way: The optimum processes and material parameters have been identified in our earlier work [24, 26]. Polyimide foils, ~20 µm thick (dry films of Kapton HN from Dupont) are exposed to the ion track irradiation and wet etched, resulting in the required nanotemplate consisting of high density (5x10¹⁹ pores/cm²) parallel tracks for nanowires electroplating (fig 1a). A thin layer of Cr/Au (20 nm/200 nm) is evaporated onto the underside of the polyimide foil, patterned using standard photolithography and plasma etching to define the bottom electrode pattern (1c) to act as a seed layer for future n-type Bi₂Te₃ and p-type Bi_{0.5}Sb_{1.5}Te₃ electroplating (fig 1e, fig 1g). These particular compounds have been selected as they are known to be the best negative (n-type) and positive (p-type) compositions for thermoelectric applications around room temperature [1]. Selective electroplating for n- and p-type materials is defined by a photolithographic process. In particular, next photolithographic step defined the template area for n-type Bi₂Te₃ electroplating (fig 1d) where the remaining surface of the nanotemplate is covered by photoresist to prevent electroplating there. The n-type Bi₂Te₃ metal nanowire structure will be then electroplated onto the Cr/Au-seed layer and filling the Kapton's mould (fig 1e). A subsequent photolithographic step is then defined the template area for p-type Bi_{0.5}Sb_{1.5}Te₃ electroplating (fig 1f). The p-type Bi_{2-x}Sb_xTe₃ metal nanowire structure will then be electroplated (fig 1g). Finally, an aluminium layer is evaporated and patterned to form the upper interconnect layers between individual TE elements (figure 1e). The polyimide template is then can be removed, 'freeing' the Bi₂Te₃ and BiSbTe nanoelements. Kapton can be successfully removed by using an NMP (N-methylpyrrolidone)-based solvent. Since the structural stability of the device is affected, a flexible version of the generator can be

produced by leaving the low thermal conductivity polyimide film as the main structural support. The fabrication process is diagrammatically illustrated in Fig. 1.

Fig.1: Process flow of a thermoelectric microgenerator on a flexible substrate

2.2. Polyimide Nanotemplates etching

All polyimide samples (20 μ m thick Kapton HN films from Dupont) were irradiated with Pb projectiles of ~2.3 GeV kinetic energy and fluences of $5x10^9$ ions/cm² at the heavy ion accelerator Unilac at the GSI (Darmstadt). Irradiated samples were pre-etched in H₂O₂ solution at 60°C or 90°C and subsequently etched in sodium hypochlorite (NaClO, 13%, pH~12.6) solution at 60°C.

2.3. Bi-Sb-Te nanowires electroplating

Electroplating of Bi_2Te_3 and $Bi_{0.5}Sb_{1.5}Te_3$ nanowires into polymide templates was carried out by cathodic electrochemical co-deposition of bismuth and tellurium powder dissolved in aqueous nitric acid using a three-electrode cell with an Autolab potentiostat/galvanostat [39, 40]. It was found that the electrodeposition conditions (such as current density, deposition potential and temperature) have a significant effect on the Bi_2Te_3 and $Bi_{0.5}Sb_{1.5}Te_3$ nanostructure and morphology. The samples were electrodeposited in the plating bath at room temperature. While Bi_2Te_3 compounds were deposited at a range of applied potentials from -0.22 V to 0.03 V vs. a Saturated Calomel Electrode (SCE), $Bi_{0.5}Sb_{1.5}Te_3$ films were electroplated between -0.06 V and -0.15 V vs. SCE [40].

2.4. Bi-Sb-Te nanowires liberation

After electrodeposition, the polyimide foils are removed by dissolution in organic solvent mixtures of NMP (N-methylpyrrolidone)/MEA (monoethanol-amine) from Sigma Aldrich. Various NMP/MEA compositions (wt %) were prepared prior selecting an optimal ratio for nanowires liberation. However, NMP/MEA compositions (wt %) of 90/10 and 30/70 were identified as the most promising. The NMP/MEA solutions were first well mixed with

magnetic stirrers for about 20 min to ensure a uniform polyimide template dissolution process. Polyimide foils with embedded nanowires were then placed into vials with the NMP/MEA organic solvent mixture at room temperature, which then was heated up to around 95 °C.

2.5 Bi-Sb-Te nanowires microstructural characterization

The grain size of the Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ nanowires were characterized using a JSM-6500F scanning electron microscope (SEM) operated at 20 kV. The crystal structure of the nanowires was investigated using a Siemens D5000 X-ray diffractometer with Cu K_{\alpha} radiation ($\lambda = 1.5406 \text{ Å}$). In addition, helium ion microscopy (HIM) [41, 42] was performed using a Carl Zeiss Orion Plus helium ion microscope, operated with a beam energy of 28.4 keV and a working distance of 7.1 mm. A conductive coating was not needed because an integrated electron flood gun was used to neutralize charge accumulation to enable high contrast imaging of the electrically-insulating sample [43]. The chemical composition of Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ films and nanowires was determined using EDS (energy dispersive spectrometry) with an accuracy of measurement of ~5%. The analysed volume was of the order of µm³ from the surface. Since this analysis provides an approximate value for chemical composition due to its measurement error, the elemental composition for the films was also investigated by microprobe analysis (Cameca, Castaing) to refine the results. Microprobe provides ~0.1 % in the accuracy of the measurements for heavy elements and the analysed volume corresponded to a thickness of the order of 1 µm from the film surface. In addition, this technique allows the study of the homogeneity of the chemical composition across the film surface, which is an important parameter for thermoelectric performance [49].

2.7 Thermoelectric performance of electroplated thin film structures

The Seebeck coefficient α ($\mu V/K$) was determined using a custom-made Seebeck measurement unit, which was calibrated against a reference standard. A differential method of thermopower measurements was used: the temperature difference ΔT between two points of the sample and the potential difference ΔU between the same two points were measured when the net current in the sample was zero, J=0. Therefore, the electrical field in the sample is due to the Seebeck effect only, $E=\alpha\cdot\Delta T$. Copper-constantan thermocouples were positioned directly onto the sample surface at "cold" and "hot" sides.

The Seebeck coefficients measurements were in-plane measurements and performed on the complete thin electroplated films. A schematic diagram of the of the Seebeck coefficients measurements set-up showing electrical connexions as well as the picture of the actual equipment are illustrated in Fig 2. The measurements were carried out as follows: a heated probe, whose tip is a thermocouple junction (type T- Copper-constantan, Cu-CuNi) is placed directly onto the surface of a sample measuring the temperature T₁. The sample is in good electrical and thermal contact with a heat sink and also is connected to a thermocouple measuring T₀.

A second thermocouple (Cu-Cu) positioned on the sample, located far from the heated probe and is placed on an ice-column, which acts as a "heat sink". The sample is in good electrical and thermal contact with a heat sink and second thermocouple measures temperature T_0 . The probe heats the sample in the vicinity of the tip leading to a temperature gradient and the voltage difference between the corresponding thermocouple branches is measured.

Thus, the voltages U_1 and U_2 are measured between the corresponding thermocouples branches respectively, yielding the Seebeck coefficient α_S according to the equations:

$$U_1 = (\alpha_S - \alpha_{Cu}) \cdot (T_1 - T_0) \tag{1}$$

$$U_2 = (\alpha s - \alpha c_{uNi}) \cdot (T_1 - T_0). \tag{2}$$

Combining Eqs. (1) and (2) we get

$$\alpha_{s} = \frac{U_{1}}{U_{2} - U_{1}} (\alpha_{Cu} - \alpha_{CuNi}) + \alpha_{Cu}, \qquad (3)$$

which is the Seebeck coefficient of the sample at the position of the probe tip [44].

The thermocouples that were used in the Seebeck coefficient measurement set-up were purchased from tc Direct ltd [45]. They are made from fine wires of copper and copper-constantan, the Seebeck coefficients of which are known to a high degree of accuracy and measuring temperatures with high degree of accuracies of about 0.5K [45].

Since the temperature gradient across the sample T1 (hot)-T(0)cold = $15 \, \mathrm{K}$ in our case , this leads to an accuracy of measurements to be within $5 \, \%$ when using a polycrystalline bismuth foil reference standard. The whole system was calibrated using copper-constantan thermocouples and a high precision Keithley DMM 2000/E digital multimeter with $0.1 \, \%$ accuracy. To ensure that the measured temperature is the exact surface temperature, appropriate levels of pressures were applied to ensure good thermal contact between the sample and thermocouples tips.

To characterize the transport properties of the films, values of Hall coefficient R_H (cm/C), electrical resistivity, ρ (Ω cm), mobility, $\mu_{e/n-p}$ (cm²/Vs) and carrier concentration, n/p (cm⁻³), were measured using a HMS 300 Hall effect measurement unit from Ecopia.

Fig. 2: a) Operational principle of the Seebeck coefficient measurements; b) Picture of custom-made measurement unit

3. Results and Discussion

3.1. Microstructural results for novel nanotemplates and nanomaterials

Figure 3 shows scanning electron microscopy (SEM) images of the resulting pores, which were produced by wet chemical etching of the ion-track irradiated polyimide films. The pore diameter varied between 30 nm and 120 nm for different areas of the polyimide samples. Then, a 200 nm of gold seed layer was evaporated for the subsequent electroplating process.

a) b)

Fig. 3: SEM images of polyimide, $5x10^9$ ions/cm² (Kapton HN, ~20 μ m thick), ion-track etched, 40 min in H_2O_2 (60°C) followed by a) 5 min and b) 7 min in NaOCl (60°C). The pores have diameters from 30 to 120 nm.

Since the aim of this work was to develop a nanostructured TE device onto a flexible substrate, which is very difficult to fabricate using existing standard MEMS processes, a combination of ion-track nanolithography with electroplating has been shown to be a very elegant alternative manufacturing solution. Ion-track nanolithography templates for electroplating with thick polymers, resulting in high pore densities (10⁹⁻¹⁰/cm²) of submicron size, are compatible with microfabrication technologies and result in very high-aspect ratio nanostructures (1:1000) [28].

Simultaneously, optimised electroplating parameters were established for submicron Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ nanowires fabrication. Figure 4 shows Bi₂Te₃ nanowires electroplated into polyimide nanostructured templates. Although the pore diameters varied from 30 to 120 nm, the average diameter of the electroplated nanowires was about 80-120 nm (Figure 4) due to capillary forces, which prevented penetration of plating solution into smaller areas. Some regions of samples showed an overgrowth (mushroom-type structure) due to different pore size, which induced a different electrodeposition rate across the whole area.

Fig.4: a) SEM image of a cross section of Bi_2Te_3 nanowires deposited at -0.2 V vs. SCE at room temperature into polyimide template; b) Bi_2Te_3 nanowires overgrowth structure

The electrodeposition rate is controlled by the Faraday law, expressed as the following:

$$Q = I \cdot t = \frac{nFW}{M} \tag{4}$$

where Q- quantity of electric charge passed through an electrochemical cell, Coulombs; I-

current, A; t- time, s; n- number of electrons involved in electrodeposition process; F- Faraday constant 96,485 C mol ⁻¹; W-weight of deposited material, g; M -atomic weight of deposited material.

The electroplating duration is controlled by the current, and the same amount of current is applied across the whole sample area. However, the pores' size is different, hence the rate of filling these pores across the whole surface area is different.

From the SEM images it is not conclusive what the relationship between the bigger pore size and a preferential overgrowth in them is.

Since the same amount of charge/current is simultaneously applied to both bigger and smaller pores (with an average pore diameter of 80 nm), than in principle, the smaller pores would be filled quicker and overgrowth occurs faster in these.

This can be explained from the following modified Faradays equation, which includes the plated surface area:

$$t = \frac{h \cdot a \cdot d}{ZI} \tag{5}$$

where h-height of the plated structure, cm; a-surface area, cm²; Z is the electrochemical equivalent, the constant of proportionality; I-current, A; t-time required to deposit material, s [46].

Then, in electrodeposition of nanostructures, there is a minimum pore size of around 50nm and below where diffusion mechanisms are limited which leads to a significant slower electrodeposition rate to fill in such pores. However, this is not applicable to our case, as the average pores size was 80nm, which means that diffusion will not be limited and will not affect the electrodeposition rate. Hence, in our case, it is expected that smaller size pores would be filled up first.

The overgrowth structure (shown in Fig.3) reveals the surface morphology of the electroplated nanostructures and is consistent with this of an electroplated film of the same

composition (i.e. Bi2Te3). The grain size in this region varies between \sim 100 nm up to \sim 2 microns. The information about the grain sizes of electrodeposited structures is important as it contributes to an optimisation of material's thermoelectric properties (electrical and thermal conductivities).

After dissolving for 5 min the nanowires were thoroughly liberated from the foils (Fig. 5). However, NMP/MEA compositions (wt %) of 90/10 were found to be too aggressive, because nanowires were partially destroyed (Fig. 5a). Indeed, as can be seen from Figure 5a, the liberated nanowire length was about 6.9 μm, only about a third of the length of the 20 μm long nanowires held in the polyimide template. For the NMP/MEA ratio of 30/70(wt %), the entire length of electrodeposited nanowires (~20 μm) was preserved. Thus, this ratio was found to be an optimum mixture for dissolving the polyimide template.

Fig. 5: SEM images of $Bi_{0.5}Sb_{1.5}Te_3$ nanowires liberated from Kapton foil by dissolving in NMP (N-methylpyrrolidone)/MEA (monoethanol-amine) (a) NMP/MEA compositions (wt %) of 90/10, resulting in 6.9 μ m long nanowires; (b) NMP/MEA compositions (wt %) of 30/70 resulting in 20 μ m long nanowires.

In addition, our investigations on electrochemically deposited Bi_{2-x}Sb_xTe₃ nanostructures reveal that the crystallinity and texture can be controlled by the deposition parameters. Scanning electron microscopy (SEM) associated with energy-dispersive spectrometry and X-ray diffraction, evidenced a microcrystalline structure with a single phase of Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ with a preferential crystalline orientation in (110) planes for both compounds, which is a preferred texture for maximizing the thermoelectric properties of Bi_{2-x}Sb_xTe₃ alloys (Figure 6).

Bi_{0.5}Sb_{1.5}Te₃ crystals with space group R⁻3m except for the peaks of Au, which come from the gold seed layer that was used as a working electrode. Therefore, polycrystalline single phase Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ compounds were successfully electroplated. According to XRD observations, the electroplated nanowires appear to have a preferential crystalline orientation in (110) planes.

Fig. 6: XRD of a) Bi_2Te_3 and b) $Bi_{0.5}Sb_{1.5}Te_3$ nanowire composition by electroplating

The HIM image in figure 6 reveals that the nanowires exhibit a hexagonal crystallographic structure. In addition to an important confirmation and revelation that the nanowires exhibit a hexagonal crystallographic structure, which is consistent with the crystallographic structure of these materials in other forms (e.g. thin films, single crystal), it can be seen that they charged up under the ion beam, indicating their low electrical conductivity. We had to use an electron flood gun to neutralise the surface charge in order to capture this clear image. Hence, this conclusion suggests that further nanowires optimisations might be needed in order to increase electrical conductivity, which is one of desired parameters for materials for thermoelectric applications.

Fig. 7: Helium microscope image of Bi_{0.5}Sb_{1.5}Te₃ nanowires with hexagonal crystallographic structure

The chemical composition of Bi_2Te_3 films and nanowires by EDS gave a constant Te content of ~ 59.05 at%, and a Bi content of 40.95 at %. As far as $Bi_{0.5}Sb_{1.5}Te_3$ is concerned, EDS resulted in 12 at%, 30 at% and 58 at% of Bi, Sb and Te contents respectively. Thus, according to the EDS technique, both types of alloys were nearly stoichiometric. Deviations in chemical composition, however, have been observed by microprobe analyses which revealed an excess of Bi for the binary Bi_2Te_3 alloy and a deficit in Bi and Sb for the ternary $Bi_{0.5}Sb_{1.5}Te_3$ alloy (table 1). These deviations from the nominal composition match previous observations [1, 47,

48]. According to Horak *et al.* this can be explained by native defects (antisite type) in the structures of Bi₂Te₃, Sb₂Te₃ and its solid solutions (Bi_{2-x}Sb_xTe₃). In the crystal lattice, excess Bi atoms take the place of the Te^{II} sites and thus there is the formation of antisite Bi'Te defects. Miller et al. showed experimentally that defects of antisite type predominate in samples with excess of Bi [49]. Previous density measurements by Przyluski et al.[50] and more recent heterodiffusion studies by Drabble et al. [51] showed that defects of antisite type should predominate in samples with an excess of Bi [1]. This type of Bi₂Te₃ compound is considered quasi-stoichiometric [35].

In order to reach a stoichiometric chemical composition, thermal treatment of the films was used to anneal native structural defects and to improve their thermoelectric performance. Consequently, Bi_{0.5}Sb_{1.5}Te₃ alloy was thermally treated at 260 °C for 30 min, which resulted in a very homogeneous distribution of Bi, Sb and Te (at %) across the samples, with variations of less than 3%, an acceptable value. Due to diffusion processes, heat treatment leads to the defects' annihilation, in particular, antisite defects, which induce a variation of chemical composition. However, deviations from stoichiometry for Bi_{0.5}Sb_{1.5}Te₃ remained significant after heat treatment. Consequently, Bi_{0.5}Sb_{1.5}Te₃, which is known to be an effective material for thermoelectric generation, probably has more complex defect mechanisms, which can include not only antisite defects but also dislocations. However, the studies by Eyidi et al. showed that defect structures in Bi₂Te₃ extend down to between 2 and 20 µm in depth [52]. This suggests that a small deviation from stoichiometric composition can be typically observed in the superficial layer of this material, and this may vary from one type of thin film fabrication technique to another. In fact, non-homogeneity of the evolution of the chemical composition through the sample thickness has been observed previously [53, 54]. Therefore, further analysis of the variation of chemical composition with depth is necessary.

In addition, the homogeneity of the chemical compositions, which is an important parameter for the performance of thermoelectric materials in raw Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃, across the electroplated surface was determined (figure 8). While the variations in concentration of Bi (at %) and Te (at %) across Bi₂Te₃ samples are small (in the range of 3%), these variations are quite significant across the Bi_{0.5}Sb_{1.5}Te₃ films resulting in 18 % and 22 % for Sb and Te (at %), respectively. Since, it has been demonstrated that Bi_{2.x}Sb_xTe₃ thermoelectric efficiency is related to the control of solid solution composition and stoichiometric deviations [55], an optimization of Bi_{0.5}Sb_{1.5}Te₃ chemical composition by electrodeposition process is required. However, both the deviation in stoichiometry and variations in concentration can be improved by the annealing of the native structural defects. Consequently, the Bi_{0.5}Sb_{1.5}Te₃ alloy was thermally treated at 260 μC for 30 min and the homogeneity of the chemical compositions across the samples showed a decrease to 3 % in Bi, Sb and Te (at %) variations, which is an acceptable value for Bi_{2.x}Sb_xTe₃ compounds [56,50]. This temperature has been chosen from an evolution of the Seebeck coefficient during annealing which occurred in the interval from 240 to 260°C.

Thus, new microprobe profiles show that thermal treatment has significantly improved the homogeneneity in the distribution of the elements across the surface for the Bi_{0.5}Sb_{1.5}Te₃ alloys (figure 8). However, it is evident that the annealed compound has equally exhibited a deficit in Bi and Sb and an excess in Te (table 1), which suggests that the electrodeposition process and thermal treatment conditions need further optimization.

Table 1: Chemical composition of Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ electroplated films surfaces by Microprobe

These chemical composition results show that for binary Bi₂Te₃ and ternary Bi_{0.5}Sb_{1.5}Te₃ alloys, the electroplating technique can be used to reach stoichiometry and achieve a homogeneous distribution of the elements across the surface.

Fig. 8: Microprobe profiles of a) $Bi_{0.5}Sb_{1.5}Te_3$ not annealed; b) $Bi_{0.5}Sb_{1.5}Te_3$ annealed electroplated films surfaces.

3.2. Thermoelectric properties performance and thermoelectric energy harvester testing

The Seebeck coefficient profile was measured along the surface of various raw electroplated Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ films and the profiles are presented in figures 9 and 10. Different symbols in the profiles in figures 9 and 10 represent different samples with the same chemical composition. In Fig. 9, samples 1-4 correspond to the 1st batch of the specimen Bi_{0.5}Sb_{1.5}Te₃ fabricated by electrodeposition while samples 5-8 were obtained from the 2nd batch. In Fig.10, profiles for the samples 1-3 correspond to Bi₂Te₃ obtained from a single electrodeposition batch. Each point on these graphs correspond to an average of a minimum of 3 measurements taken at each area. These profiles evidence the uniformity of the Seebeck coefficient across the surface of the Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ samples. Seebeck coefficients of Bi₂Te₃ films are negative and those of the Bi_{0.5}Sb_{1.5}Te₃ samples are positive, which is indicative of n- and p-type conductivity, respectively. The maximum values of Seebeck coefficients were -52 μV/K and 120 μV/K for raw Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ electroplated films, respectively. Deviation from stoichiometric composition can be one of the reasons that Bi_{0.5}Sb_{1.5}Te₃ Seebeck coefficients were below the maximum values previously achieved by electrodeposition [57].

Fig. 9: Seebeck coefficient profiles across the area of the $Bi_{0.5}$ $Sb_{1.5}$ Te_3 electroplated films (Samples 1-8 have the same chemical composition of $Bi_{0.5}Sb_{1.5}Te_3$. Any differences in Seebeck coefficient values are due to variations in electroplating processing).

Fig. 10: Seebeck effect profiles across the area of the Bi_2Te_3 electroplated films (Samples 1-3 have the same chemical composition of Bi_2Te_3 . Any differences in Seebeck coefficient values are due to variations in electroplating processing).

Table 2: Transport properties for Bi_2Te_3 and $Bi_{0.5}Sb_{1.5}Te_3$ films

The influence of annealing on the thermoelectric properties of the deposited material was also evaluated since the thermoelectric properties can be improved by eliminating various defects in the crystalline structure, which typically occur in $Bi_{2-x}Sb_xTe_3$ compounds [58]. The electrodeposited samples having a thickness of about 12–16 μ m were annealed for 30 minutes at temperatures ranging from 240 to 260 °C. The Seebeck coefficient of the annealed alloys was then measured and showed an increase from -51 to -60 mV/K for Bi_2Te_3 and from 120 to ~180 μ m/V for $Bi_{0.5}Sb_{1.5}Te_3$ electroplated films. Table 3 includes the Seebeck coefficient measured and compared with these of bulk values.

Seebeck coefficient	Examples of Seebeck	Seebeck coefficient	Examples of
measured in this	coefficient values for	measured in this	Seebeck coefficient
manuscript for	bulk Bi ₂ Te ₃ , μV/K	manuscript for	values for bulk
raw/annealed Bi ₂ Te _{3,}		raw/annealed	$Bi_{0.5}Sb_{1.5}Te_{3}$, $\mu V/K$
$\mu V/K$		$Bi_{0.5}Sb_{1.5}Te_{3}, \mu V/K$	·
-52 for raw films	-231 [59]	120 for raw films	180-190 [60,1]
-60 for annealed films	-210 [60]	~180 for annealed	132-180 [59]
	-170-220 [61]	films	
	-225 [1]		

Table 3: Seebeck coefficient for Bi_2Te_3 and $Bi_{0.5}Sb_{1.5}Te_3$ films compared with the values for bulk materials

From these comparisons of Seebeck coefficients values above, it appears that whilst the values for Seebeck coefficient of Bi_{0.5}Sb_{1.5}Te₃ electroplated films are comparable with those values for bulk materials, Seebeck coefficients of Bi₂Te₃ electroplated films are lower. As far as the comparison of Seebeck coefficients for electroplated Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ films is concerned, whilst these values for Bi₂Te₃ are comparable to the ones existing in the literature [59], Seebeck coefficient for Bi_{0.5}Sb_{1.5}Te₃ are higher in the present work [60].

Transport properties have been simultaneously evaluated. However, due to the fragile nature of these compounds, methods of samples preparation require optimization as various microcracks appeared while preparing ohmic contacts. As far as thermoelectric performance is concerned, while the Seebeck coefficient has been improved by ~50% for annealed alloys, transport properties measurements are yet to be optimised. High electrical resistivity and very low mobility (from 18 to 35 and from 1.4 to 22 cm²/Vs respectively) were observed for Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ annealed films (see table 2), which could be due to mechanical damage of the nanostructures while preparing samples for measurements.

Finally, a novel thermoelectric generator (figure 11) was tested by applying thermal gradients across the substrate and measuring the resulting voltage generated.

A schematic of the layout of the mask that was used to fabricate this device (as described earlier in the section *2.1 Microfabrication process*) shows 16 thermocouples connected in series. Whilst the oveall size of the prototype is 29mmx47mm, areas taken by each individual thermocouple where n- and p-types of nanowires were electroplated are 4.5mmx5.5mm. Mask design was realised by using a professional design software L-Edit.

This device was mounted on an aluminium block having a heat sink (340 heat sink compound from Dow Corning), which was then placed onto an iced surface. The temperature gradient, which was created between the ambient and cold side, resulted in a temperature difference of only ~13 °C, measured by a commercial type T thermocouple thermometer BAT-10 from Physitemp Instruments Inc. The voltage output from this device was observed on an oscilloscope, DS03062A. Thus, a novel TE generator was fabricated onto a flexible substrate, which consisted of nanowired thermoelectric elements with significantly higher aspect ratio (1:250) and density (5×10⁹ wires/cm²) than existing ones which have been produced both commercially and also in various research laboratories. This structure provides

increasing performance efficiency through enhanced charge carrier mobility by quantum confinement effects and by increased phonon scattering. In fact, while a typical value of the voltage from commercial TE generators is around 2.8V from a ~3500 mm³ device requiring a temperature difference of 50 - 70 °C [2], the generator described here produced 0.6 V in the open circuit from a significant smaller functional area (~16 mm³) and temperature difference (below 20 K).

Fig. 11: a) Photograph of a nanostructured TE prototype device onto a polyimide flexible substrate; b) high density 5×10^9 Bi_{0.5}Sb_{1.5}Te₃ nanowires/cm² released from nanotemplate for microstructural characterization; c) schematic of the layout of the mask design for a nanostructured TE prototype

Conclusion

We have demonstrated that a combination of ion-track nanolithography and electroplating is a promising technique for the realisation of nanostructured thermoelectric generators on flexible substrates. These devices are suitable for wearable applications as they can conform to the various contours of the geometry geometry upon which it is mounted. Polyimide (Kapton foil, 20 µm) was shown to be a promising material for the fabrication of ion-track nanostructured templates which have been successfully used to form electroplated Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ nanowires as n- and p-types elements. This polymer is compatible with standard silicon microfabrication batch processes, which provides an elegant solution for MEMS type TE generators using low-cost batch manufacturing.

The novel technological route developed here was found to be a promising approach for realising nanostructured energy harvesters on flexible substrates operating at temperature gradients below 20°C and suitable for wearable applications. By comparison, current commercial TE generators operate at temperature gradient of 50 - 70 °C and are fabricated on rigid substrates.

In addition, significant progress in nanotechnologies and materials science was achieved. Polyimide nanotemplates with 30-120 nm pore diameters and high aspect ratios (1:1000) were produced, and used for the growth of high-aspect-ratio Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ nanowires (80 and 120 nm diameter) by an electroplating process. Both electrodeposited compounds have very promising microstructural properties as they are of R $\bar{3}$ m crystallographic structure with the preferential grain orientation in (110), which is an optimal texture for the best thermoelectric performance. However, the chemical composition of the p-type element in Bi-Sb-Te materials needs to be optimised. While Bi₂Te₃ films have been deposited with close to stoichiometry composition, Bi_{0.5}Sb_{1.5}Te₃ samples exhibited significant deviations in chemical composition with a deficit in Bi (at %) and Sb (at %). Therefore, an optimisation of electroplating parameters to decrease deviation from the stoichiometry for Bi_{0.5}Sb_{1.5}Te₃ is necessary.

In addition, the physical properties performance of electroplated films Bi_2Te_3 and $Bi_{0.5}Sb_{1.5}Te_3$ needs to be improved further. While Seebeck coefficients of Bi_2Te_3 and $Bi_{0.5}Sb_{1.5}Te_3$ reached significant improvements of up to 20 and 50 %, respectively, using thermal treatment to remove various defects of the microcrystalline structure, transport properties still need to be optimised, as the Hall mobility was low (from 1.4 to 35 cm²/Vs) and carrier concentrations were high (10^{21} to 10^{22} cm⁻³).

Future work will include further optimisations of annealing parameters, measurements of Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ nanowires thermoelectric and properties and transport properties, further optimisation of device design and the test set-up for a flexible nanostructured generator, which includes detailed flexibility to explore devices mechanical robustness.

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Illustrations

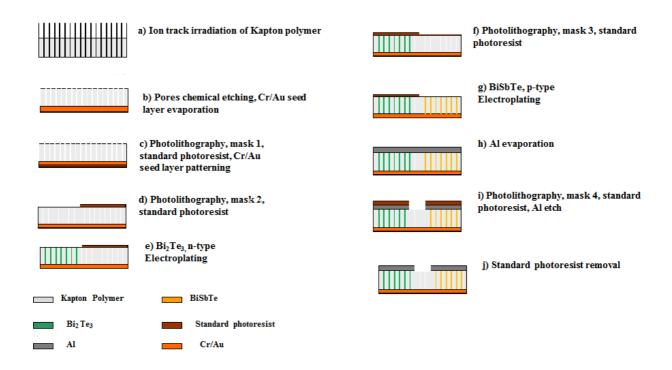


Fig.1: Process flow of a thermoelectric microgenerator on a flexible substrate

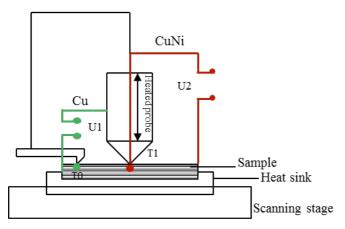
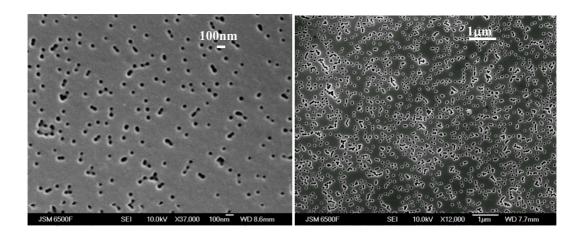




Figure 2b

Figure 2a

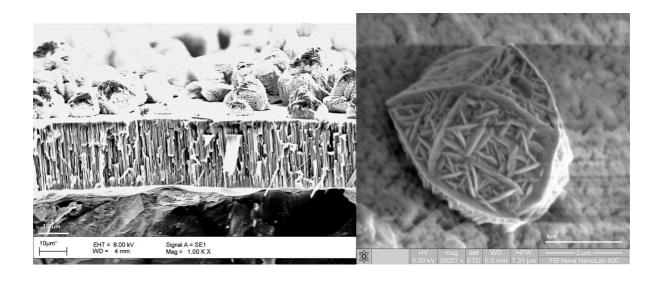
Fig. 2: a) Operational principle of the Seebeck coefficients measurements; b) Picture of custom-made measurement unit



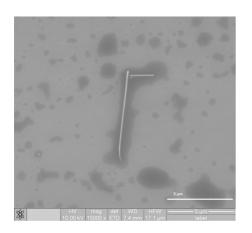
a) b)

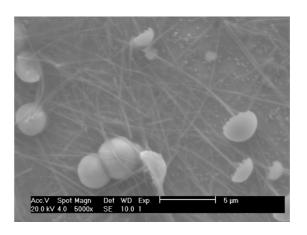
Fig. 3: SEM images of polyimide, $5x10^9$ ions/cm² (Kapton HN, ~20 μm thick), ion-track etched, 40 min in H_2O_2 (60°C) followed by a) 5 min and b) 7 min in NaOCl (60°C). The pores have diameters from 30 to 120 nm.

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a) b)
Fig.4: a) SEM image of a cross section of Bi₂Te₃ nanowires deposited at -0.2 V vs. SCE at room temperature into polyimide template; b) Bi₂Te₃ nanowires overgrowth structure





a) b)

Fig.5: SEM images of $Bi_{0.5}Sb_{1.5}Te_3$ nanowires liberated from Kapton foil by dissolving in NMP (N-methylpyrrolidone)/MEA (monoethanol-amine) (a) NMP/MEA compositions (wt %) of 90/10, resulting in 6.9 μ m long nanowires; (b) NMP/MEA compositions (wt %) of 30/70 resulting in 20 μ m long nanowires.

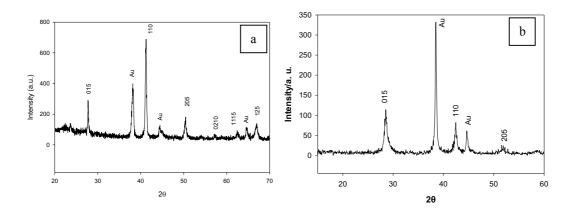


Fig. 6: XRD of a) Bi₂Te₃ and b) Bi_{0.5}Sb_{1.5}Te₃ nanowire composition by electroplating

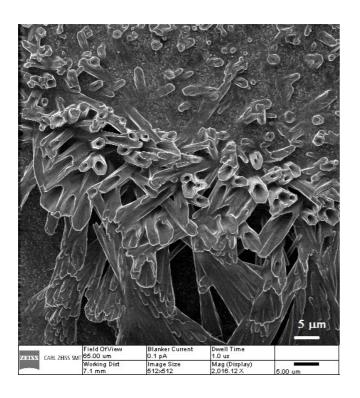
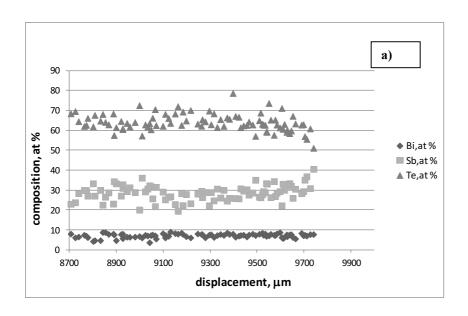


Fig. 7: Helium microscope image of $Bi_{0.5}Sb_{1.5}Te_3$ nanowires with hexagonal crystallographic structure

Theoretical formulation	Bi ₂ Te ₃	Bi _{0.5} Sb _{1.5} Te ₃		
Sample ID		Sample1	Sample2	Sample2,
				annealed
Bi concentration (at %)	43.37	7.39	5.95	6.5
Sb concentration (at %)	0	28.88	28.63	25.58
Te concentration (at %)	56.24	64. 1	65.41	67.9
Analysed composition	Bi _{2.17} Te _{2.81}	$Bi_{0.37}Sb_{1.}$	Bi _{0.29} Sb _{1.}	$Bi_{0.32}Sb_{1.}$
		$_{44}\mathrm{Te}_{3.20}$	$_{43}\mathrm{Te}_{3.27}$	$_{28}\text{Te}_{3.39}$

Table 1: Chemical composition of Bi2Te3 and Bi0.5Sb1.5Te3 electroplated films surfaces by Microprobe



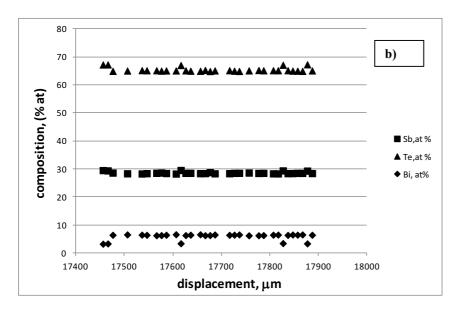


Fig. 8: Microprobe profiles of a) $Bi_{0.5}Sb_{1.5}Te_3$ not annealed; b) $Bi_{0.5}Sb_{1.5}Te_3$ annealed electroplated films surfaces.

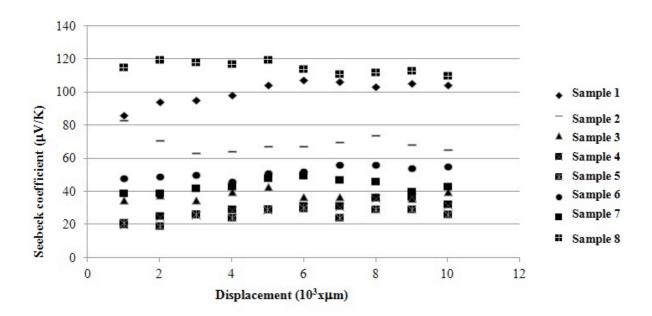


Fig. 9: Seebeck coefficient profiles across the area of the Bi_{0.5} Sb_{1.5} Te₃ electroplated films (Samples 1-8 have the same chemical composition of Bi_{0.5}Sb_{1.5}Te₃. Any differences in Seebeck coefficient values are due to variations in electroplating processing).

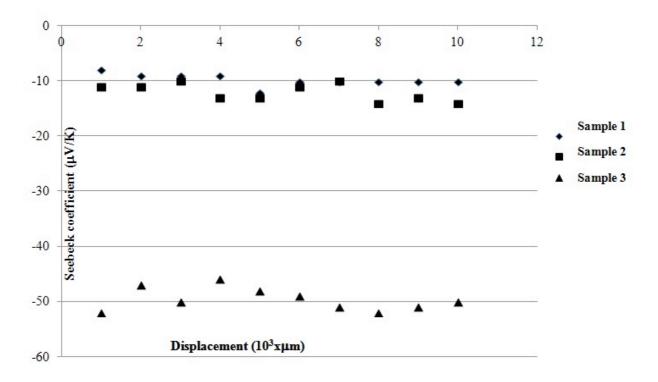


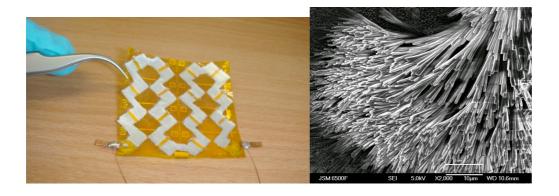
Fig. 10: Seebeck effect profiles across the area of the Bi₂Te₃ electroplated films (Samples 1-3 have the same chemical composition of Bi₂Te₃. Any differences in Seebeck coefficient values are due to variations in electroplating processing).

Chemical	Electrical	Hall coefficient	Mobility	Carrier	Type
composition	resistivity, ρ Ωcm	$R_{H'}$ cm/C	$\mu_{e,2}$	concentration, cm ⁻³	
			cm^2/Vs		
Bi ₂ Te ₃	3.4×10^{-5} to	-10^{-3} to -5.2×10^{-3}	18 to 35	$\begin{array}{cccc} -6.5 \times 10^{21} & \text{to} & -1.2 \times 10^{22} & \text{to} & -1.2 \times 10^{$	n
	3.4×10^{-5} to 8.1×10^{-5}	4		1.2×10^{22}	
$Bi_{0.5}Sb_{1.5}Te_3$	7.7×10^{-5} to 1.6×10^{-4}	10 ⁻³ to 10 ⁻⁴	1.4 to 22	1.7×10^{21} to 5.6×10^{22}	р
	1.6×10 ⁻⁴				

Table 2: Transport properties for Bi_2Te_3 and $Bi_{0.5}Sb_{1.5}Te_3$ films

Seebeck coefficient	Examples of Seebeck	Seebeck coefficient	Examples of
measured in this	coefficient values for	measured for measured	Seebeck coefficient
manuscript for	bulk Bi ₂ Te ₃ μV/K	in this manuscript	values for bulk
raw/annealed Bi ₂ Te _{3,}	, ,	raw/annealed	$Bi_{0.5}Sb_{1.5}Te_{3}$, $\mu V/K$
μV/K		$Bi_{0.5}Sb_{1.5}Te_{3}$, $\mu V/K$, ,
-52 for raw film	-231 [59]	120 for raw film	180-190 [60]
-60 for annealed film	-210 [60]	~180 for annealed film	132-180 [59]
	-170-220 [61]		
	-225 [1]		

Table 3: Seebeck coefficient for Bi_2Te_3 and $Bi_{0.5}Sb_{1.5}Te_3$ films compared with the values for bulk materials



Themocouple

Fig. 11: a) Photograph of a nanostructured TE prototype device onto a polyimide flexible substrate; b) high density 5×10^9 Bi_{0.5}Sb_{1.5}Te₃ nanowires/cm² released from nanotemplate for microstructural characterisation; c) schematic of the layout of the mask design for a nanostructured TE prototype

Figure legends

Fig.1: Process flow of a thermoelectric microgenerator on a flexible substrate

Fig. 2: a) Operational principle of the Seebeck coefficients measurements; b) Picture of custom-made measurement unit

a) b)

Fig. 3: SEM images of polyimide, $5x10^9$ ions/cm² (Kapton HN, ~20 μ m thick), ion-track etched, 40 min in H₂O₂ (60°C) followed by a) 5 min and b) 7 min in NaOCl (60°C). The pores have diameters from 30 to 120 nm.

a) b)

Fig.4: a) SEM image of a cross section of Bi₂Te₃ nanowires deposited at -0.2 V vs. SCE at room temperature into polyimide template; b) Bi₂Te₃ nanowires overgrowth structure **a) b)**

Fig.5: SEM images of $Bi_{0.5}Sb_{1.5}Te_3$ nanowires liberated from Kapton foil by dissolving in NMP (N-methylpyrrolidone)/MEA (monoethanol-amine) (a) NMP/MEA compositions (wt %) of 90/10, resulting in 6.9 μ m long nanowires; (b) NMP/MEA compositions (wt %) of 30/70 resulting in 20 μ m long nanowires.

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- Fig. 9: Seebeck coefficient profiles across the area of the Bi_{0.5} Sb_{1.5} Te₃ electroplated films (Samples 1-8 have the same chemical composition of Bi_{0.5}Sb_{1.5}Te₃. Any differences in Seebeck coefficient values are due to variations in electroplating processing).
- Fig. 10: Seebeck effect profiles across the area of the Bi₂Te₃ electroplated films (Samples 1-3 have the same chemical composition of Bi₂Te₃. Any differences in Seebeck coefficient values are due to variations in electroplating processing).
- Table 2: Transport properties for Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ films
- Table 3: Seebeck coefficient for Bi₂Te₃ and Bi_{0.5}Sb_{1.5}Te₃ films compared with the values for bulk materials

Fig. 11: a) Photograph of a nanostructured TE prototype device onto a polyimide flexible substrate; b) high density 5×10^9 Bi_{0.5}Sb_{1.5}Te₃ nanowires/cm² released from nanotemplate for microstructural characterization; c) schematic of the layout of the mask design for a nanostructured TE prototype

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