

Distributed Joint Source Coding and Trellis Coded Modulation for Symbol-Based Markov Sources

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Abstract—A distributed joint source-channel coding scheme based on a unity-rate code (URC)-assisted trellis coded modulation (TCM) is proposed, which exploits the spatio-temporal correlation of symbol-based sources. More specifically, asymmetric distributed source coding of two spatially correlated Markov sources is considered, where one of the sources is assumed to be perfectly decoded and to be available at the receiver of the other source as side information. In order to exploit the temporal correlation statistics, an iterative decoding process exchanging extrinsic information between the amalgamated URC-assisted TCM and a soft-symbol source decoder employing a modified symbol-based maximum *a posteriori* algorithm is invoked. Furthermore, the Slepian-Wolf (SW) bound of symbol-based sources having spatio-temporal correlation is derived and the benefits of exploiting the spatio-temporal correlation using the proposed coding scheme are demonstrated by our extrinsic information transfer chart analysis. It is shown from our simulation results that upon exploiting the spatio-temporal correlation of the sources, the proposed coding scheme is capable of operating within 0.02 bit of the SW bound.

Index Terms—Joint source-channel coding, distributed source coding, distributed source-channel coding, coded modulation, iterative decoding, Slepian-Wolf coding.

I. INTRODUCTION

MULTI-NODE communication networks such as wireless sensor networks support a number of spatially distributed nodes cooperatively working together for various purposes, e.g. environmental monitoring and recording. They exhibit promising features, but their main challenge is the limited power available for the nodes to operate. Thus, saving energy is crucial. Distributed source coding (DSC) constitutes a promising solution, since it is capable of exploiting the correlation of the sources for reducing the overall energy

consumption [1]–[27]. Furthermore, it shifts the power-hungry signal processing to the central base station, whilst ensuring a low complexity at the sensor nodes.

The theoretical framework of DSC was conceived in the seminal treatise of Slepian and Wolf [1] for lossless sources and later it was followed by Wyner and Ziv [2] for the case of lossy sources. In the late 1990s, a pioneering practical DSC solution based on the syndrome of channel codes was introduced by Pradhan and Ramchandran [3], [4]. In order to achieve a performance close to the Slepian-Wolf (SW) theoretical limit, DSC schemes based on capacity-approaching codes, e.g., turbo codes [9]–[11] and low-density-parity-check (LDPC) codes [12]–[14] were developed. Afterwards, a considerable amount of work has been dedicated to addressing the problem of transmitting a pair of correlated sources over noisy channels that led to the development of distributed joint source-channel coding (DJSCC) schemes aiming to perform close to the theoretical limit established by the combination of the Shannon and SW theorems [15]–[23]. A DSJCC scheme relying on a joint turbo equalizer and decoder scheme was developed for the so-called asymmetric¹ scenario in [17] and for the symmetric scenario in [19]. Recently, a bandwidth-efficient DJSCC scheme based on turbo trellis coded modulation (TTCM) was proposed in [22], which exhibits a significantly lower decoding complexity than those in [17], [19]. However, similar to all of the above-mentioned investigations, the correlation of binary rather than non-binary sources was considered. However, the symbol-to-bit conversion of non-binary sources may reduce the inherent source-symbol redundancy and subsequently may result in a reduced performance improvement. In this paper, we extend the scenario of binary sources [22] to a more general symbol-based DJSCC scheme capable of handling both binary and non-binary sources.

Apart from their spatial correlation, the information gathered by the sensor nodes may also be correlated in the time domain due to the nature of the physical phenomena that changes with time. The correlation of temporally-correlated sources can be exploited in conjunction with channel decoding using soft-bit source decoding [28]–[34] and by modifying the channel decoding algorithm to incorporate the source correlation knowledge [35]–[39]. The temporal correlation statistics can also be jointly exploited along with the spatial inter-source correlation for further enhancing the overall performance of

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¹Asymmetric DSC considers different compression rates between the spatially correlated sources and an extreme case of asymmetric DSC is the decoding with perfect side information. Meanwhile, symmetric DSC considers the same compression rate between the spatially correlated sources.

the network. However, as compared to the number of studies exploiting either the spatial or temporal correlation, there is a paucity of contributions on handling the spatio-temporal correlation [24]–[27]. These investigations have demonstrated that further performance enhancements can be achieved upon jointly exploiting both the spatial and temporal correlations compared to exploiting only the spatial correlation. However, the schemes proposed in [24]–[27] were designed for binary sources and for binary phase-shift keying (BPSK) transmission over either additive white Gaussian noise (AWGN) channels [24]–[26] or over Rayleigh fading channels [27].

Against this background, we introduce a bandwidth-efficient symbol-based DJSCC scheme for exploiting the spatio-temporal correlation which is specifically designed for the asymmetrical DSC scenario, where the spatially correlated information gleaned from the second source is assumed to be perfectly decoded and readily available at the receiver of the first source as side information. An M -ary symmetric channel (M -SC) is used for modeling the spatial correlation and the sources are characterized by a first-order Markov process in terms of the temporal correlation. Instead of using a TTCM-based DSC scheme as in [22], we employ a serial concatenated unity-rate code (URC) and a TCM scheme. This is justified, because we will show later in the paper that the proposed scheme has a higher performance advantage than the TTCM-based scheme, despite imposing a lower decoding complexity. Hence, our novel contributions can be summarized as follows:

- We propose a distributed joint source coding and URC-assisted TCM scheme exploiting the spatio-temporal source correlation (DJSUTCM-ST) that is capable of achieving a near-SW/Shannon (SW/S) performance. An iterative decoding process is invoked for exchanging the extrinsic information between the URC-assisted TCM and a source decoder, where the source symbol correlation obeys a first-order Markov model. Extrinsic information transfer (EXIT) chart analysis is invoked for finding the TCM coding parameters for various sources having both different number of bits/symbol and spatio-temporal correlation. The proposed scheme is capable of operating at a high code rate in conjunction with high-order modulation. Hence, it is well-suited for high-rate communication applications such as video streaming. We further develop the BPSK-aided AWGN scenario of [24]–[26] to more realistic uncorrelated Rayleigh fading channels and high-order modulation schemes.
- Additionally, in contrast to the DJSCC schemes of [24]–[27] that are only capable of exploiting the spatio-temporal correlation of binary sources, we consider a generalized symbol-based DJSCC for exploiting the spatio-temporal correlation of symbol-based sources, which can be binary or non-binary. A symbol-based maximum a-posteriori (MAP) algorithm [40] is invoked for URC and TCM decoding in order to avoid information loss due to bit-to-symbol or symbol-to-bit conversion between the source and channel decoders.
- A new SW bound is derived for sources exhibiting spatio-temporal correlation based on the M -SC model

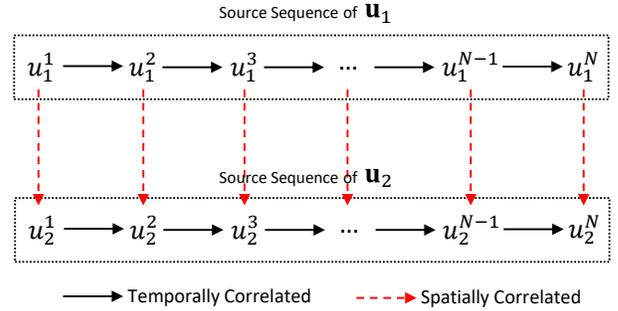


Fig. 1. Spatio-temporal correlation of a pair of source sequences.

and the first-order Markov chain, which are used for characterizing the spatial correlation and the temporal correlation of the sources, respectively. Based on this new SW bound, the SW/S limit is determined by using the discrete-input continuous-output memoryless channel (DCMC) capacity [41].

- We invoke the low complexity MAP decoding algorithm of [33] for the decoding of first-order Markov sources. Since the information exchanged by our proposed iterative decoding scheme is in the form of symbol probabilities, the MAP algorithm [33] originally developed for soft-bit source decoding is modified to take into account the symbol probabilities both at its input and output.

The proposed coding scheme might find fruitful applications in high-rate multimedia transmission over multi-node vehicular communication networks. Amongst the potential communication applications in a vehicular context are multispectral and hyperspectral imaging for detecting images acquired by multiple satellites or aircraft [42], [43], for environmental and traffic monitoring by vehicular sensor networks [44], [45], for various multimedia-rich applications in vehicular ad-hoc networks [46]–[49], and for real-time security monitoring around a video surveillance vehicle using a pair of cameras [50].

The remainder of this paper is organized as follows. The spatially and temporally correlated source models are described in Section II. The proposed joint decoding technique relying on the symbol-based source decoder conceived for the decoding of first-order Markov sources is detailed in Section III. Our simulation results characterizing the proposed technique are presented in Section IV, while our concluding remarks are provided in Section V.

II. SPATIO-TEMPORAL SOURCE CORRELATION MODEL

A pair of R_s bits/symbol source sequences having a length of N symbols, namely $\mathbf{u}_1 = \{u_1^1, u_1^2, \dots, u_1^N\}$ and $\mathbf{u}_2 = \{u_2^1, u_2^2, \dots, u_2^N\}$ are considered, as depicted in Fig. 1. Each symbol assumes one of the $M = 2^{R_s}$ legitimate values from the set of $\{0, 1, 2, \dots, M-1\}$. Both sequences are spatially correlated and an M -SC is used for modeling the spatial correlation. In contrast to the binary symmetric channel (BSC) having (2×2) possible state transitions, in M -SC there are

$(M \times M)$ state transitions and the corresponding transition probabilities can be represented by an $(M \times M)$ -element matrix as

$$\mathbf{A}^{\text{sp}} = [a_{i,j}^{\text{sp}}]_{M \times M} \quad i, j \in \{0, 1, \dots, M-1\}. \quad (1)$$

The component $a_{i,j}^{\text{sp}}$ of the matrix is defined as the transition probability from source u_1^t to u_2^t , i.e. $P(u_2^t = j | u_1^t = i)$ (or correspondingly from u_2^t to u_1^t , i.e. $P(u_1^t = j | u_2^t = i)$) at a time index t for $t = \{1, 2, \dots, N\}$ and it is given by

$$a_{i,j}^{\text{sp}} = \begin{cases} p_{\text{sp}} = 1 - p_e & \text{for } j = i \\ q_{\text{sp}} = \frac{p_e}{M-1} & \text{for } j \neq i, \end{cases} \quad (2)$$

where p_e is the error probability encountered in the spatial domain. The spatial correlation depends on the p_e value, which determines the values of p_{sp} and q_{sp} in (2). The correlation is zero when we have $p_{\text{sp}} = q_{\text{sp}}$ and as the difference between p_{sp} and q_{sp} increases, the correlation becomes higher. The spatial correlation coefficient ρ_{sp} can be determined from the p_{sp} value using

$$\rho_{\text{sp}} = \frac{p_{\text{sp}} - 1/M}{1 - 1/M}. \quad (3)$$

Again, first-order Markov sources model the temporal correlation both at Source 1 and Source 2. For Source 1, the value of u_1^t at a time index t depends on the previous value u_1^{t-1} and this transition can be represented by an $(M \times M)$ transition probability matrix

$$\mathbf{A}^{\text{te}} = [a_{i',i}^{\text{te}}]_{M \times M} \quad i', i \in \{0, 1, \dots, M-1\}, \quad (4)$$

where $a_{i',i}^{\text{te}} = P(u_1^t = i | u_1^{t-1} = i')$. In this work, we assume that for $i = i'$, the same transition probability value is specified for $i \in \{0, 1, \dots, M-1\}$ and this transition probability is defined as p_{te} . On the other hand, for $i \neq i'$, it is assumed that we have $a_{i',i}^{\text{te}} = q_{\text{te}} = (1 - p_{\text{te}})/(M-1)$. The temporal correlation coefficient of Source 1, ρ_{te} can be calculated as

$$\rho_{\text{te}} = \frac{p_{\text{te}} - 1/M}{1 - 1/M}, \quad (5)$$

and likewise for Source 2, the temporal correlation is denoted as $\rho_{\text{te}2}$, which can be determined when replacing p_{te} in (5) by $p_{\text{te}2}$, where $p_{\text{te}2}$ is the identical transition probability corresponding to $P(u_2^t = i | u_2^{t-1} = i)$ for $i \in \{0, 1, \dots, M-1\}$. Similar to Source 1, for $i \neq i'$, it is assumed that $P(u_2^t = i | u_2^{t-1} = i') = q_{\text{te}2} = (1 - p_{\text{te}2})/(M-1)$. Given the set of transition probabilities corresponding to the spatial correlation and to the temporal correlation of Source 1, the transition probability associated with the temporal correlation of Source 2 can be formulated as

$$P(u_2^t | u_2^{t-1}) = \sum_{u_1^t, u_1^{t-1}} P(u_2^t | u_1^t) \cdot P(u_1^t | u_1^{t-1}) \cdot P(u_1^{t-1} | u_2^{t-1}), \quad (6)$$

and from (6), we have $p_{\text{te}2} = p_{\text{te}} \cdot p_{\text{sp}}^2 + 2 \cdot (M-1) \cdot p_{\text{sp}} \cdot q_{\text{te}} \cdot q_{\text{sp}} + (M-1) \cdot p_{\text{te}} \cdot q_{\text{sp}}^2 + (M^2 + 2 - 3 \cdot M) \cdot q_{\text{te}} \cdot q_{\text{sp}}^2$.

In general, the entropy rate of a first-order Markov source with previous state i' and current state i can be calculated by $H = -\sum_{i',i \in \{0,1,\dots,M-1\}} \mu_{i'} a_{i',i} \log_2 a_{i',i}$, where $a_{i',i}$ is the transition probability and $\mu_{i'}$ represents the stationary

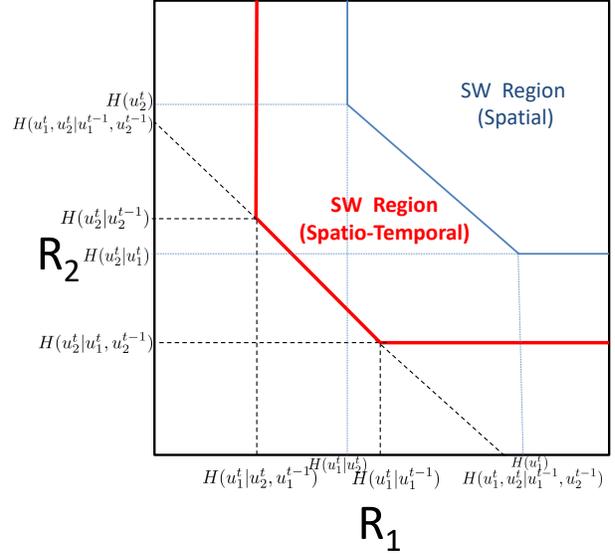


Fig. 2. Achievable rate region for sources exhibiting spatio-temporal correlation assuming $\mathcal{H}(\mathbf{u}_1) > \mathcal{H}(\mathbf{u}_1 | \mathbf{u}_2)$ and $\mathcal{H}(\mathbf{u}_2) > \mathcal{H}(\mathbf{u}_2 | \mathbf{u}_1)$.

state distribution [51]. Based on our model and on the assumptions considered above, the stationary state distribution for the Markov sources considered is given by $1/M$ for $i' \in \{0, 1, \dots, M-1\}$. Therefore, the conditional entropy rate of \mathbf{u}_1 given \mathbf{u}_2 , as well as the entropy rate of \mathbf{u}_1 and the entropy rate of \mathbf{u}_2 can be determined from

$$\begin{aligned} \mathcal{H}(\mathbf{u}_1 | \mathbf{u}_2) &= H(u_1^t | u_2^t), \\ &= -p_{\text{sp}} \cdot \log_2(p_{\text{sp}}) - (M-1) \cdot q_{\text{sp}} \cdot \log_2(q_{\text{sp}}), \end{aligned} \quad (7)$$

$$\begin{aligned} \mathcal{H}(\mathbf{u}_1) &= H(u_1^t | u_1^{t-1}), \\ &= -p_{\text{te}} \cdot \log_2(p_{\text{te}}) - (M-1) \cdot q_{\text{te}} \cdot \log_2(q_{\text{te}}), \end{aligned} \quad (8)$$

and

$$\begin{aligned} \mathcal{H}(\mathbf{u}_2) &= H(u_2^t | u_2^{t-1}), \\ &= -p_{\text{te}2} \cdot \log_2(p_{\text{te}2}) - (M-1) \cdot q_{\text{te}2} \cdot \log_2(q_{\text{te}2}), \end{aligned} \quad (9)$$

respectively, where $H(u_1^t | u_2^t)$ is the conditional entropy of u_1^t given u_2^t , which $H(u_1^t | u_1^{t-1})$ is the entropy of u_1^t given u_1^{t-1} and $H(u_2^t | u_2^{t-1})$ is the entropy of u_2^t given u_2^{t-1} . It is worth noting that due to the symmetrical nature of the M -SC model, we have $\mathcal{H}(\mathbf{u}_2 | \mathbf{u}_1) = \mathcal{H}(\mathbf{u}_1 | \mathbf{u}_2)$.

A. Slepian-Wolf rate region

Considering the spatial correlation only, the SW coding theorem [1] specifies the achievable compression rates, R_1 and R_2 for Source 1 and Source 2, respectively as: $R_1 \geq \mathcal{H}(\mathbf{u}_1 | \mathbf{u}_2)$, $R_2 \geq \mathcal{H}(\mathbf{u}_2 | \mathbf{u}_1)$ and $R_1 + R_2 \geq \mathcal{H}(\mathbf{u}_1, \mathbf{u}_2)$. As for the spatio-temporal correlation, the achievable SW rate region is illustrated in Fig. 2, where the rate region is bounded by the following three inequalities:

2

$$R_1 \geq H(u_1^t | u_2^t, u_1^{t-1}), \quad (10)$$

$$R_2 \geq H(u_2^t | u_1^t, u_2^{t-1}), \quad (11)$$

$$R_1 + R_2 \geq H(u_1^t, u_2^t | u_1^{t-1}, u_2^{t-1}). \quad (12)$$

Using Bayes' rule for the conditional entropy, R_1 can be expressed as

$$R_1 \geq H(u_2^t, u_1^{t-1} | u_1^t) + H(u_1^t) - H(u_2^t, u_1^{t-1}), \quad (13)$$

and by assuming that u_2^t and u_1^{t-1} are independent of each other given u_1^t , we have

$$R_1 \geq H(u_2^t | u_1^t) + H(u_1^{t-1} | u_1^t) + H(u_1^t) - H(u_2^t, u_1^{t-1}), \quad (14)$$

and substitute the joint entropy $H(u_2^t, u_1^{t-1})$ with $H(u_2^t | u_1^{t-1}) - H(u_1^{t-1})$ to yield

$$\begin{aligned} R_1 &\geq H(u_2^t | u_1^t) + H(u_1^{t-1} | u_1^t) + H(u_1^t) - H(u_2^t | u_1^{t-1}) \\ &\quad - H(u_1^{t-1}), \\ &\geq H(u_2^t | u_1^t) + H(u_1^{t-1} | u_1^t) - H(u_2^t | u_1^{t-1}). \end{aligned} \quad (15)$$

Using Bayes' rule of conditional entropy, $H(u_2^t | u_1^t) = H(u_1^t | u_2^t) - H(u_1^t) + H(u_2^t)$ and $H(u_1^{t-1} | u_1^t) = H(u_1^t | u_1^{t-1}) - H(u_1^t) + H(u_1^{t-1})$, (15) can be rewritten as:

$$\begin{aligned} R_1 &\geq H(u_1^t | u_2^t) + H(u_1^t | u_1^{t-1}) + H(u_2^t) + H(u_1^{t-1}) \\ &\quad - 2H(u_1^t) - H(u_2^t | u_1^{t-1}), \\ &\geq \mathcal{H}(\mathbf{u}_1 | \mathbf{u}_2) + \mathcal{H}(\mathbf{u}_1) + H(u_2^t) + H(u_1^{t-1}) \\ &\quad - 2H(u_1^t) - H(u_2^t | u_1^{t-1}). \end{aligned} \quad (16)$$

Furthermore, due to the symmetric and stationary nature of the Markov sources considered at both sources, $H(u_2^t) = H(u_1^{t-1}) = H(u_1^t) = R_s$, we have

$$R_1 \geq \mathcal{H}(\mathbf{u}_1 | \mathbf{u}_2) + \mathcal{H}(\mathbf{u}_1) - H(u_2^t | u_1^{t-1}). \quad (17)$$

It can be noted from (10) and (17) that the term $H(u_1^t | u_2^t, u_1^{t-1})$ can be expressed in terms of $\mathcal{H}(\mathbf{u}_2 | \mathbf{u}_1)$ and $\mathcal{H}(\mathbf{u}_1)$. Similarly for R_2 , the associated limit can be determined as

$$R_2 \geq \mathcal{H}(\mathbf{u}_2 | \mathbf{u}_1) + \mathcal{H}(\mathbf{u}_2) - H(u_1^t | u_2^{t-1}). \quad (18)$$

Meanwhile, the bound for $(R_1 + R_2)$ can be expressed as

$$\begin{aligned} R_1 + R_2 &\geq H(u_1^t, u_2^t | u_1^{t-1}, u_2^{t-1}), \\ &\geq H(u_1^t | u_2^t, u_1^{t-1}, u_2^{t-1}) + H(u_2^t | u_1^{t-1}, u_2^{t-1}), \end{aligned} \quad (19)$$

and since a first-order Markov process is considered, (19) can be simplified to

$$R_1 + R_2 \geq H(u_1^t | u_2^t, u_1^{t-1}) + H(u_2^t | u_2^{t-1}). \quad (20)$$

²Sources exhibiting spatio-temporal correlation result in higher compression rates than sources exhibiting spatial-only correlation. For example, the value of u_1^t depends not only on u_2^t but also on u_1^{t-1} . Hence, the compression rate for R_1 is bounded by the entropy of $H(u_1^t | u_2^t, u_1^{t-1})$. Similarly, for R_2 where the value of u_2^t depends not only on u_1^t but also on u_2^{t-1} . Hence, the compression rate for R_2 is bounded by the entropy of $H(u_2^t | u_1^t, u_2^{t-1})$. For $R_1 + R_2$, the value of both u_1^t and u_2^t depends on u_1^{t-1} and u_2^{t-1} due to the spatio-temporal correlation.

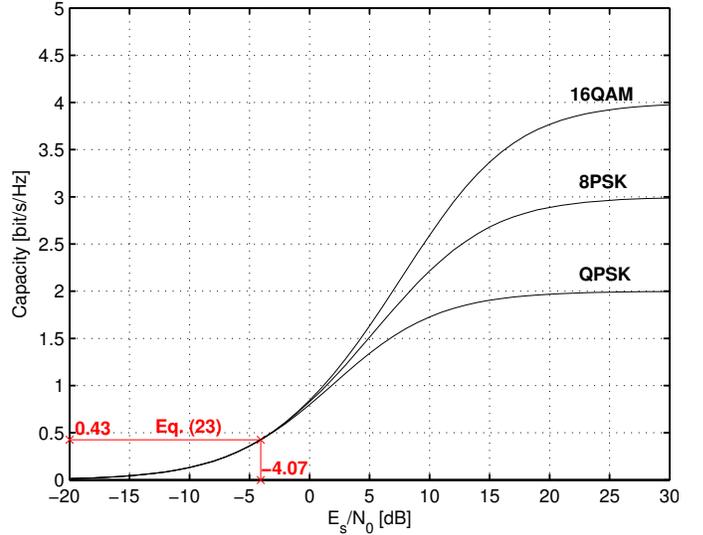


Fig. 3. QPSK, 8PSK and 16QAM DCMC capacity curves computed based on [41].

The first term of (20) is the same expression of R_1 as that given in (10). Hence, upon substituting the R_1 of (17) into (20), we arrive at

$$\begin{aligned} R_1 + R_2 &\geq \mathcal{H}(\mathbf{u}_1 | \mathbf{u}_2) + \mathcal{H}(\mathbf{u}_1) - H(u_2^t | u_1^{t-1}) + H(u_2^t | u_2^{t-1}), \\ &\geq \mathcal{H}(\mathbf{u}_1 | \mathbf{u}_2) + \mathcal{H}(\mathbf{u}_1) - H(u_2^t | u_1^{t-1}) + \mathcal{H}(\mathbf{u}_2). \end{aligned} \quad (21)$$

B. Slepian-Wolf/Shannon limit

The information of Source 1 is transmitted over an uncorrelated Rayleigh fading channel having an ergodic channel capacity of $C = \mathbf{E}\{\log_2(1 + \text{SNR})\}$, where $\text{SNR} = |h|^2 E_s / N_0$ is the signal-to-noise ratio at the receiver input, E_s is the energy per modulated symbol, N_0 is the noise power spectral density and the expectation is taken over the random variable of the channel coefficient h . Based on the SW bound given in (10), the transmission reliability of \mathbf{u}_1 over a noisy channel is subject to a certain condition given by

$$\begin{aligned} \frac{C \cdot R_s}{R_c \cdot R_m} &\geq H(u_1^t | u_2^t, u_1^{t-1}), \\ C &\geq \frac{R_c \cdot R_m \cdot (\mathcal{H}(\mathbf{u}_1 | \mathbf{u}_2) + \mathcal{H}(\mathbf{u}_1) - H(u_2^t | u_1^{t-1}))}{R_s}, \\ C &\geq \eta, \end{aligned} \quad (22)$$

where η is the overall throughput of the system, R_c is the channel coding rate and R_m is the number of bits represented by each modulation symbol. Following the terminologies used in [16], we will use the energy per generated source bit E_{s_0} in the simulation results and the relationship of E_{s_0} with the energy per information bit E_b as well as that of the energy per modulated symbol E_s is given by $E_{s_0} = H(u_1^t | u_2^t, u_1^{t-1}) \cdot E_b / R_s = E_s / (R_c R_m)$. The theoretical limit, which is expressed as $(E_{s_0} / N_0)_{\text{lim}}$, is determined by substituting the capacity equation of $C = \mathbf{E}\{\log_2(1 + |h|^2 E_s / N_0)\}$ into (22). The minimum E_{s_0} / N_0 required for approaching the SW/S limit can be determined using (22) and the DCMC

capacity curves plotted in Fig. 3 for quadrature phase shift keying (QPSK), 8-ary phase shift keying (8PSK), and 16-ary quadrature amplitude modulation (16QAM) [41].

For instance, $R_s = 2$ bits/symbol sources associated with $\rho_{te} = \rho_{sp} = 0.8$ (corresponding to $p_{te} = p_{sp} = 0.85$) having $\mathcal{H}(\mathbf{u}_1|\mathbf{u}_2) = \mathcal{H}(\mathbf{u}_1) = 0.85$ bit and $H(u_2^t|u_1^{t-1}) = 1.27$ bits will give $\eta = 0.43$. By referring to the 8PSK plot of Fig. 3, this corresponding to $(E_s/N_0)_{\text{lim}} = -4.07$ dB. Then, the $(E_{so}/N_0)_{\text{lim}}$ can be determined by $(E_s/N_0)_{\text{lim}} - 10 \log(R_c R_m) = -7.08$ dB.

III. SYSTEM DESIGN

Fig. 4 depicts the system model of the proposed DJSUTCM-ST scheme.³ Again, an asymmetrical communication system is considered, where the information sequence \mathbf{u}_1 of Source 1 is transmitted over a noisy channel and the spatially correlated information sequence \mathbf{u}_2 of Source 2 is assumed to be perfectly known at the decoder as side information. At Source 1, the N -symbol sequence \mathbf{u}_1 is first interleaved by a symbol interleaver Π_{ST} before being encoded by a TCM encoder, ENC_T having a code rate of $R_c = R_s/(R_s + 1)$. The encoded symbol sequence $\mathbf{v} = \{v_1, v_2, \dots, v_N\}$ is then interleaved by a symbol interleaver Π_{TU} , encoded by a symbol-based URC encoder, ENC_U [52] and modulated by an \mathcal{M} -ary PSK or \mathcal{M} -ary QAM signalling to form $\mathbf{x} = \{x_1, x_2, \dots, x_N\}$, where we have $\mathcal{M} = (2 \times M)$ corresponding to a modulation scheme having $R_m = R_s + 1$ bits/symbol. The modulated symbol sequence \mathbf{x} is transmitted over an uncorrelated Rayleigh fading channel to yield the received sequence $\mathbf{y} = \{y_1, y_2, \dots, y_N\}$ at the receiver. The received signal y_n of the n -th symbol index of the sequence is given by

$$y_n = h_n x_n + w_n, \quad (23)$$

where h_n is a complex-valued channel coefficient and w_n is a complex AWGN having a zero mean and a variance of $N_0/2$ per dimension of the n -th symbol index of the sequence.⁴

A. Proposed joint decoding technique exploiting the spatio-temporal source correlation

Iterative decoding is invoked for exploiting the spatio-temporal correlation, as shown in Fig. 4. More explicitly, the spatial correlation is exploited using the side information gleaned from \mathbf{u}_2 is fed into the source decoder, DEC_S as the *a priori* symbol probability $A_{SI}(\mathbf{u}_1)$. Furthermore, in order to exploit the temporal correlation, the first-order Markov

³It is also possible to use different number of bits/symbol for the source symbol and the TCM input symbol by adding a symbol-to-symbol converter between the source and the TCM encoder, and correspondingly between the source decoder and the TCM decoder. However, this may lead to loss of precious correlation information due to the conversion and may hence degrade the performance attained. Based on our experiments, for the same correlation and a specific number of modulation level, we found that using the same symbol-length for both the source and for the TCM input symbol would achieve a better performance than that using different symbol-length. Therefore, we opt for investigating the same symbol-length R_s for the source and TCM input symbols.

⁴Since we consider an uncorrelated Rayleigh fading channel (also known as the fast Rayleigh fading channel), the successive channel coefficients are uncorrelated and the fading obeys a Rayleigh distribution. It is assumed that the channel coefficients are known at the receiver.

decoding is carried out at source decoder DEC_S , as detailed in Section III(B).

DEC_U of Fig. 4 receives inputs both from the channel's symbol probability $Z(\mathbf{c})$ as well as from the *a priori* symbol probability $A_U(\mathbf{v}')$ and performs symbol-based MAP decoding for generating the extrinsic symbol probability output $E_U(\mathbf{v}')$. This extrinsic output is then de-interleaved by Π_{TU}^{-1} and fed to the TCM decoder, DEC_T as the *a priori* symbol probability $A_T(\mathbf{v})$. Together with the *a priori* information $A_T(\mathbf{u}'_1)$ inferred from the source decoder DEC_S , symbol-based MAP decoding is performed at DEC_T for producing a pair of extrinsic outputs, $E_T(\mathbf{v})$ and $E_T(\mathbf{u}'_1)$ to be fed to DEC_U and DEC_S , respectively. The information exchanging between DEC_U and DEC_T is defined as the inner iteration and it is performed until \mathcal{I}_{in} number of times. Then, the extrinsic information $E_T(\mathbf{u}'_1)$ is de-interleaved by Π_{ST}^{-1} and passed to DEC_S of Fig. 4. The three inputs are processed by DEC_S , which are the *a priori* symbol probability $A_S(\mathbf{u}_1)$ received from DEC_T , the side information $A_{SI}(\mathbf{u}_1)$ gleaned from Source 2 and the transition probability p_{te} , which is assumed to be perfectly known at the receiver.

The side information inferred from Source 2 is generated by first converting \mathbf{u}_2 to the symbol probability $A_2(\mathbf{u}_2)$, where $A_2(u_2^t = i) = 0$ if $i \neq u_2^t$ and $A_2(u_2^t = i) = 1$ if $i = u_2^t$ for $i = \{0, 1, \dots, M-1\}$. Before the *a priori* information $A_2(\mathbf{u}_2)$ is passed to the decoder of Source 1, it has to be corrected with the aid of the function f_c of Fig. 4, which updates the information $A_2(\mathbf{u}_2)$ as follows:

$$A_{SI}(u_1^t = i) = p_{sp} \cdot A_2(u_2^t = i) + \sum_{j=0, j \neq i}^{M-1} q_{sp} \cdot A_2(u_2^t = j), \quad (24)$$

for $i = \{0, 1, \dots, M-1\}$ and $t = \{1, 2, \dots, N\}$, where $A_{SI}(u_1^t)$ is the *a priori* symbol probability extracted from the side information. The M -SC transition probabilities, p_{sp} and q_{sp} are embedded into this correction function f_c with the objective of exploiting the spatial correlation. The transition probabilities can be estimated for example by the algorithm of [53], but in our work we assume that p_{sp} and q_{sp} are known to the decoder. The decoding process of DEC_S seen in Fig. 4 is performed using the MAP algorithm about to be presented in Section III(B), which then provides the extrinsic information $E_S(\mathbf{u}_1)$. The exchange of extrinsic information between DEC_S and $(\text{DEC}_U + \text{DEC}_T)$ of Fig. 4 is defined as the outer iteration and this process is repeated for \mathcal{I}_{out} number of times. At the end of each outer iteration, the *a priori* information of $A_T(\mathbf{v})$ and $A_U(\mathbf{v}')$ is reset to zero, before proceeding with the next round of \mathcal{I}_{in} inner iterations. Following the final outer iteration, a hard-decision is performed based on the *a posteriori* information output of DEC_S for obtaining the estimated information symbol sequence $\hat{\mathbf{u}}_1$. The proposed scheme can also be invoked for exploiting spatial-only correlation (defined as DJSUTCM-S) and in this case, DEC_S (as well as Π_{ST} and Π_{ST}^{-1}) of Fig. 4 are not needed, since the sources are now memoryless sources exhibiting no correlation in the time domain. The side information $A_{SI}(\mathbf{u}_1)$ can then be directly fed into DEC_T as $A_T(\mathbf{u}_1)$.

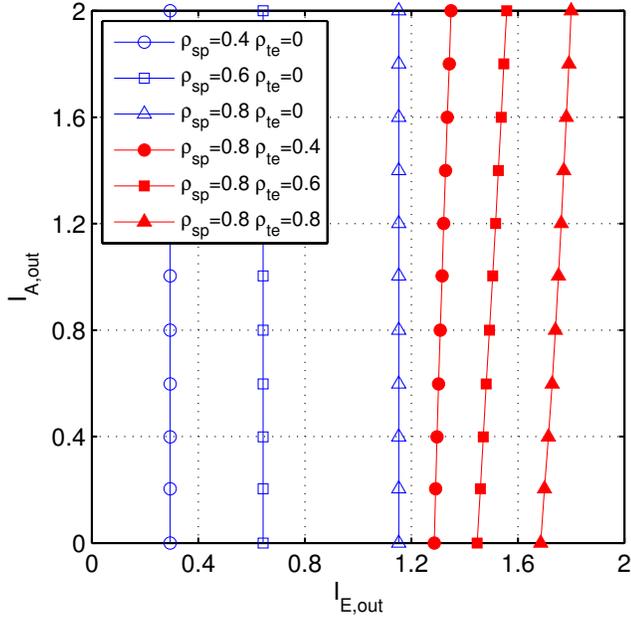


Fig. 5. EXIT characteristics of DEC_S for sources having spatial-only correlation $\rho_{sp} = \{0.4, 0.6, 0.8\}$ (corresponding to $p_{sp} = \{0.55, 0.70, 0.85\}$) and for sources with spatio-temporal correlation of $\rho_{sp} = 0.8$ and $\rho_{te} = \{0.4, 0.6, 0.8\}$ (corresponding to $p_{te} = \{0.55, 0.70, 0.85\}$).

from sources having $\rho_{te} = 0.4$ to sources having $\rho_{te} = 0.8$ and both sources having $\rho_{sp} = 0.8$.

Fig. 6 compares the EXIT curves of the URC+TCM decoder and TTCM decoder⁵ for various code memory lengths as well as $R_s = 2$ and 8PSK modulation. The generator polynomial (GP) of TCM or of the TCM component of TTCM can be expressed as $[g_r \ g_1 \ g_2 \ \dots \ g_{R_s+1}]$, where g_r is the feedback generator polynomial, whereas, g_k for $k = \{1, 2, \dots, R_s + 1\}$ represents the feed-forward generator polynomial corresponding to the first, second, ..., and $R_s + 1$ -th input bit, respectively. It can be observed from Fig. 6 that the proposed URC+TCM coding scheme exhibits a better EXIT curve matching with the source decoder than TTCM, since the curves of both 4-state and 8-state TTCM intersect with that of the source decoder. On the other hand, convergence to a vanishingly low symbol error rate (SER) can be expected for all curves of URC+TCM, but for 2-state TCM, a high error-floor is exhibited, although it starts to converge at the lowest E_{so}/N_0 threshold amongst the schemes. In this case, URC+4-state TCM would be the preferred choice for the inner code since it has a lower convergence threshold in terms of E_{so}/N_0 than URC+8-state TCM and an infinitesimally error floor can be expected at this point. Due to the sharp EXIT curve of DEC_S , four or five outer iterations are sufficient to reach the maximum $I_{E,in}$ value, as indicated by the Monte-Carlo simulation-based decoding trajectory in Fig. 6. Thus, we opted for $L_{in} = 15$ and $L_{out} = 5$ in our overall simulation results.

The EXIT chart of Fig. 6 was recorded for a strong correlation of $\rho_{sp} = \rho_{te} = 0.8$. However, we found that for weak correlation, the URC+8-state TCM scheme constitutes a the better code of choice due to the high error floor of URC+4-

⁵TTCM decoder was employed in [22] to exploit the spatial correlation

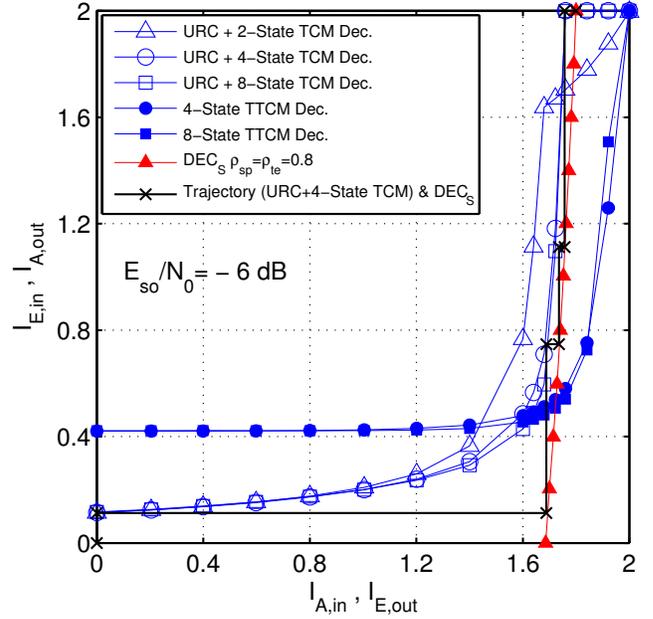


Fig. 6. EXIT chart comparison between the proposed URC+TCM and TTCM for different number of trellis states evaluated at $E_{so}/N_0 = -6$ dB. Based on the GPs suggested for 8PSK TCM/TTCM in [57], GPs (in octal notation) of $[3 \ 2 \ 0]_8$ were employed for 2-state TCM, $[7 \ 2 \ 4]_8$ for 4-state TCM (and TTCM) and $[13 \ 2 \ 4]_8$ for 8-state TCM (and TTCM).

TABLE I
THE PROPOSED GPs FOR TCM FOR SOURCES WITH DIFFERENT SYMBOL-LENGTHS

R_s	Modulation	No. of States / GP	
		$\bar{\rho} < \rho_{Th,R_s}$	$\bar{\rho} \geq \rho_{Th,R_s}$
1	QPSK	4-state / $[7 \ 4]_8$	2-state / $[3 \ 2]_8$
2	8PSK	8-state / $[13 \ 2 \ 4]_8$	4-state / $[7 \ 2 \ 4]_8$
3	16QAM	16-state / $[27 \ 2 \ 4 \ 10]_8$	8-state / $[11 \ 2 \ 4 \ 10]_8$
4	32QAM	16-state / $[37 \ 2 \ 4 \ 10 \ 20]_8$	

state TCM. Hence, the correlation coefficient threshold ρ_{Th,R_s} was determined for $R_s = 2$ empirically from EXIT charts in order to determine the condition when to use the URC+4-state TCM and URC+8-state TCM. We found that when the average correlation coefficient, $\bar{\rho} = (\rho_{sp} + \rho_{te})/2$ is lower than $\rho_{Th,2} = 0.3$ then URC+8-state TCM is preferred for the inner code, whereas, if $\bar{\rho} \geq \rho_{Th,2}$, URC+4-state TCM is suggested. In Table I, we provide the suggested GPs for TCM for $R_s = \{1, 2, 3, 4\}$, where it is found that $\rho_{Th,1} = 0.6$, $\rho_{Th,2} = 0.3$, $\rho_{Th,3} = 0.2$ and $\rho_{Th,4} = 0$ (since the same GP is proposed regardless of the source correlation for $R_s = 4$). The GPs that were included in our search list however are limited to the GPs proposed in [57]–[59] for conventional TCM/TTCM without exploiting the source correlation.

B. SER performance and rate region analysis

A series of computer simulations was performed for evaluating the proposed DJSUTCM-ST scheme. We conducted simulations using a frame length of $N = 10,000$ symbols and 5,000 frames were simulated for each E_{so}/N_0 point. The number of trellis states and GPs for TCM used in the simulations were based on the list provided in Table I. Fig. 7 compares

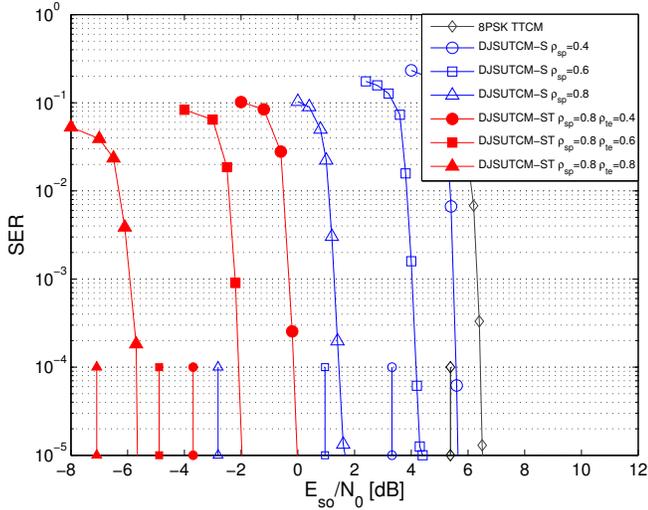


Fig. 7. SER performance of the proposed DJSUTCM-ST exploiting the spatio-temporal correlation, of the DJSUTCM-S exploiting spatial-only correlation and of the conventional 8PSK TTCM for $R_s = 2$ bits/symbol sources. The vertical lines indicate the associated capacities.

the SER performance of the proposed DJSUTCM-ST scheme exploiting the spatio-temporal correlation with the aid of our DJSUTCM-S scheme that exploits spatial-only correlation and the conventional 8PSK 8-state TTCM (based on the near-capacity TTCM design of [57]) for sources having $R_s = 2$ and having various correlations. Significant performance gains are achieved by using the proposed DJSUTCM-ST scheme over both benchmark schemes, namely over the DJSUTCM-S scheme that relies on spatial-only correlation and over the conventional 8PSK TTCM. In both the DJSUTCM-ST and DJSUTCM-S schemes, a better performance is attained upon relying on stronger source correlation. For example, as can be observed in DJSUTCM-ST, from $E_{so}/N_0 = -0.08$ dB at an SER of 10^{-4} for sources having $\rho_{sp} = 0.8$ and $\rho_{te} = 0.4$ to $E_{so}/N_0 = -5.65$ dB at an SER of 10^{-4} for sources associated with $\rho_{sp} = 0.8$ and $\rho_{te} = 0.8$.

The performance gain at an SER of 10^{-4} over the conventional 8PSK TTCM and the distance from the theoretical limit extracted from the results of Fig. 7 are summarized in Table II. For conventional 8PSK TTCM, memoryless and uniform sources are assumed and therefore $\mathcal{H}(\mathbf{u}_1|\mathbf{u}_2) = \mathcal{H}(\mathbf{u}_1) = H(u_2^t|u_1^{t-1}) = 2$ bits resulting in $\eta = 2$. For spatio-temporally correlated sources, the entropy is reduced as the correlation becomes stronger and correspondingly, a lower $(E_{so}/N_0)_{lim}$ value is resulted. It can be observed that for DJSUTCM-S, the distance from the SW/S limit becomes further for sources associated with stronger correlation from 2.28 dB for sources with $\rho_{sp} = 0.4$ to 4.32 dB for sources with $\rho_{sp} = 0.8$. However, when exploiting the spatio-temporal correlation using our DJSUTCM-ST scheme, the performance approaches the SW/S limit for stronger source correlation. This is exemplified by DJSUTCM-ST with $\rho_{sp} = 0.8$ and $\rho_{te} = 0.4$ which has an SER curve 3.61 dB away from the SW/S limit, while DJSUTCM-ST associated with $\rho_{sp} = 0.8$ and $\rho_{te} = 0.8$ has a performance curve 1.44 dB away from the limit.

The performance of DJSUTCM-S and DJSUTCM-ST for

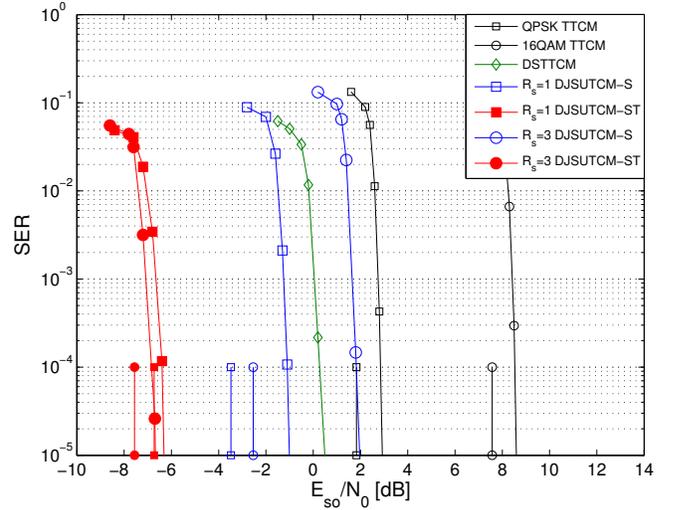


Fig. 8. SER performance of DJSUTCM-S and DJSUTCM-ST for $R_s = 1$ and $R_s = 3$ bits/symbol sources having $\rho_{sp} = 0.8$ and $\rho_{te} = 0.8$. The vertical lines indicate the associated capacities.

1 bit/symbol and 3 bits/symbol sources having $\rho_{sp} = 0.8$ and $\rho_{te} = 0.8$ is depicted in Fig. 8. The conventional QPSK and 16QAM TTCM were also included as a benchmark for the proposed coding schemes based on $R_s = 1$ and $R_s = 3$, respectively. An 8-state TTCM with GP $[13\ 6]_8$ was employed for the conventional QPSK TTCM, whereas, a 16-state TTCM with GP $[27\ 2\ 4\ 10]_8$ was employed for the conventional 16-QAM TTCM [57]. The proposed DJSUTCM-S scheme operating at $R_s = 1$ is compared to the TTCM-based coding scheme termed as DSTTCM, which was proposed for exploiting spatial-only correlation for binary sources in [22]. In this case, we set the puncturing rate of the DSTTCM scheme to unity in order to employ the same modulation scheme as our proposed DJSUTCM-S scheme. It can be seen in Fig. 8 for binary sources ($R_s = 1$) that our DJSUTCM-S arrangement outperforms the existing DSTTCM scheme by about 1.2 dB and has a performance closer to the SW/S limit. This performance advantage is achieved by using only 4-state TCM, while 8-state TTCM was employed by the DSTTCM scheme.

The summary of the performance gains over the conventional TTCM scheme and the distance from the SW/S limit for $R_s = \{1, 2, 3\}$ bits/symbol sources are tabulated in Table III. Similar to the findings shown in Table II, the DJSUTCM-ST scheme exploiting the spatio-temporal correlation has a performance closer to the theoretical limit than the DJSUTCM-S scheme. In both schemes, sources with $R_s = 1$ and QPSK signalling achieve a performance closest to the theoretical limit, outperforming the other sources associated with $R_s = 2$ (8PSK signalling) and $R_s = 3$ (16QAM signalling). Explicitly, DJSUTCM-S with $R_s = 1$ has a performance of 2.40 dB away from the limit and DJSUTCM-ST with $R_s = 1$ performs within 0.35 dB of the limit. Meanwhile, better E_{so}/N_0 gain is achieved for sources having more bits/symbol. More specifically, the DJSUTCM-S scheme outperforms the conventional TTCM by 4.04 dB and 6.70 dB for $R_s = 1$ and $R_s = 3$,

TABLE II
GAIN IN PERFORMANCE OVER THE CONVENTIONAL 8PSK TTCM AND DISTANCE FROM THE SW/S LIMIT FOR $R_s = 2$ BITS/SYMBOL SOURCES

Coding Scheme	η	$(E_{so}/N_0)_{\text{lim}}$ (dB)	$(E_{so}/N_0)_{10^{-4}}$ (dB)	Distance (dB)	Gain (dB)
8PSK TTCM	2.00	5.38	6.47	1.09	0
DJSUTCM-S $\rho_{sp} = 0.4$	1.71	3.32	5.60	2.28	0.87
DJSUTCM-S $\rho_{sp} = 0.6$	1.36	0.96	4.20	3.24	2.27
DJSUTCM-S $\rho_{sp} = 0.8$	0.85	-2.81	1.51	4.32	4.96
DJSUTCM-ST $\rho_{sp} = 0.8 \rho_{te} = 0.4$	0.75	-3.69	-0.08	3.61	6.55
DJSUTCM-ST $\rho_{sp} = 0.8 \rho_{te} = 0.6$	0.62	-4.86	-1.84	3.02	8.31
DJSUTCM-ST $\rho_{sp} = 0.8 \rho_{te} = 0.8$	0.43	-7.09	-5.65	1.44	12.12

TABLE III
GAIN IN PERFORMANCE OF DJSUTCM-S AND DJSUTCM-ST WITH $R_s = \{1, 2, 3\}$ OVER THE CONVENTIONAL 2^{R_s+1} -LEVEL MODULATION TTCM AND DISTANCE FROM THE SW/S LIMIT WHEN EXPLOITING SOURCE CORRELATION $\rho_{sp} = 0.8$ AND $\rho_{te} = 0.8$

Coding Scheme	R_s	η	$(E_{so}/N_0)_{\text{lim}}$ (dB)	$(E_{so}/N_0)_{10^{-4}}$ (dB)	Distance (dB)	Gain (dB)
DJSUTCM-S	1	0.47	-3.48	-1.09	2.40	4.04
	2	0.85	-2.81	1.51	4.32	4.96
	3	1.16	-2.54	1.87	4.41	6.70
DJSUTCM-ST	1	0.26	-6.73	-6.38	0.35	9.33
	2	0.43	-7.09	-5.65	1.44	12.12
	3	0.54	-7.56	-6.71	0.85	15.28

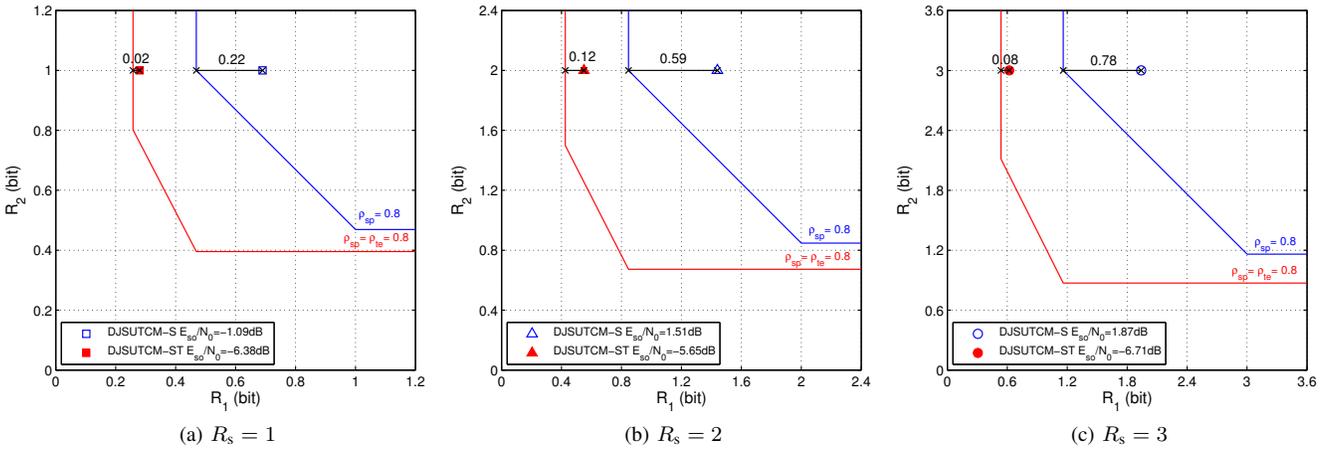


Fig. 9. Theoretical SW bound and achievable compression rates obtained using the proposed DJSUTCM-S and DJSUTCM-ST schemes for $R_s = \{1, 2, 3\}$.

respectively. Similarly, the DJSUTCM-ST scheme outperforms the conventional TTCM by 9.33 dB and 15.28 dB for $R_s = 1$ and $R_s = 3$, respectively.

From the results presented in Table III, the SW bound and the achievable compression rates obtained using the proposed DJSUTCM-S and DJSUTCM-ST schemes are illustrated in Fig. 9 for $R_s = \{1, 2, 3\}$. As expected, a larger rate region is resulted by exploiting the spatio-temporal correlation compared to spatial-only correlation. In our simulations, the source sequence from Source 2 has a temporal correlation of $\rho_{te2} = 0.51$ for $R_s = 1, 2$ and 3 .⁶ In this case, since \mathbf{u}_2 is uncompressed and it is perfectly known at the receiver, we have $R_2 = R_s$. Hence, the SW-gap with respect to the SW bound is shown in Fig. 9. It can be observed in Fig. 9 that the proposed DJSUTCM has the smallest gap with respect to the SW bound. Explicitly when $R_s = 1$, we have a SW-gap of 0.22 bit and of 0.02 bit, when exploiting the spatial-only and spatio-temporal correlation, respectively.

⁶The entropy rate $\mathcal{H}(\mathbf{u}_2)$ corresponding to $R_s = \{1, 2, 3\}$ are $\{0.80, 1.53, 2.18\}$ bits.

V. CONCLUSIONS

In this paper, a symbol-based DJSUTCM scheme exploiting the spatio-temporal correlation has been proposed. A first-order Markov chain was considered to model the temporal source correlation, while an M -SC model was assumed for characterizing the spatial correlation between Source 1 and Source 2. The SW bound was derived for symbol-based sources exhibiting spatio-temporal correlation. In order to exploit the temporal correlation statistics, an iterative decoding process exchanging extrinsic information between URC-assisted TCM and a soft-symbol source decoder employing the symbol-based MAP algorithm was invoked. The spatial correlation statistics were exploited by feeding the *a priori* information from the perfect side information of Source 2 to the receiver of Source 1. Our EXIT chart analysis demonstrated mutual information gains upon exploiting the spatio-temporal correlation using the proposed scheme. We evaluated the SER performance of our DJSUTCM scheme in both cases, relying on spatial-only and spatio-temporal correlation, and demonstrated performance gains over TTCM operating with-

out exploiting the correlation and the DSTTCM scheme that exploits the spatial-only correlation for binary sources. It was shown that upon exploiting the spatio-temporal correlation of sources having a symbol-length of 1 bit/symbol, the proposed coding scheme is capable of operating within 0.02 bit of the SW bound.

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