

Acoustic Source Localization with Microphone Arrays for Remote Noise Monitoring in an Intensive Care Unit

Markus Müller-Trapet^{1,3,*}, Jordan Cheer¹, Filippo Maria Fazi¹, Julie Darbyshire², J. Duncan Young²

Abstract

An approach is described to apply spatial filtering with microphone arrays to localize acoustic sources in an Intensive Care Unit (ICU). This is done to obtain more detailed information about disturbing noise sources in the ICU with the ultimate goal of facilitating the reduction of the overall background noise level, which could potentially improve the patients' experience and reduce the time needed for recovery. This paper gives a practical description of the system, including the audio hardware setup as well as the design choices for the microphone arrays. Additionally, the necessary signal processing steps required to produce meaningful data are explained, focusing on a novel clustering approach that enables an automatic evaluation of the spatial filtering results. This approach allows the data to be presented to the nursing staff in a way that enables them to act on the results produced by the system.

*Corresponding author: markus.mueller-trapet@nrc.ca

¹Institute of Sound and Vibration Research, University of Southampton, University Rd, Southampton SO17 1BJ, United Kingdom

²Nuffield Department of Clinical Neurosciences, Level 6, West Wing, John Radcliffe Hospital, Oxford OX3 9DU, United Kingdom

³*Present address:* National Research Council, 1200 Montreal Road, Ottawa, ON K1A0R6, Canada

Keywords: Noise source localization, Microphone arrays, Intensive Care Unit

1. Introduction

High noise levels in Intensive Care Units (ICUs) have been reported as a possible contributing factor to patients' poor physiological recovery [1]. It is thought that these high noise levels can increase the risk of disturbed sleep patterns, hallucinations and periods of delirium [2, 3]. While the World Health Organization (WHO) recommends that sound levels in patient areas should remain below 40 dB(A) [4], this limit is often exceeded in ICU environments [5, 6].

A number of strategies have been attempted to reduce sound levels in ICUs. Building design and materials [7, 8], reducing patients' perception of noise with earplugs or headphones [9, 10] and staff education [11], have all shown variable effectiveness, as has the manipulation of alarm thresholds and volumes [12]. A recent review of noise-reducing measures comes to the conclusion that when patients experience lower noise levels through the use of earplugs, the risk of delirium can be reduced [13].

To better identify the contributing noise sources and explore ways of reducing them, a more detailed understanding of the distribution of acoustic sources over time and space is necessary. Currently, studies use individual sound level meters at discrete positions in the ICU to record the level variation over time [14]. While this offers insight into the periods over which the overall noise activity is higher, it cannot provide information about the distribution of specific sources in time or space. An additional problem is

23 that in order to obtain representative data, sound level meters have to be
24 installed close to the patients' beds. This often leads to spurious unrealisti-
25 cally high peak levels when staff come into contact with the audio equipment
26 or the supporting structure.

27 A solution to the problem of using local sound level meters lies in the
28 application of spatial filtering methods such as beamforming to discriminate
29 acoustic sources in space from a remote location [15]. The use of array signal
30 processing methods allows the ICU environment to be scanned for sources
31 with an (almost) arbitrary resolution in a non-intrusive way.

32 Microphone arrays in combination with spatial filtering methods have
33 found widespread usage in recent years. Typical scenarios where beamform-
34 ing is used include industrial and environmental noise source identification
35 as well as automotive and aeroacoustic applications [16, 17, 18, 19, 20]. In
36 most of these cases, a manual evaluation of the results in terms of source
37 maps per third-octave band is usually necessary, hence requiring an expert
38 to make sense of the data. Automatic source localization is a problem of-
39 ten encountered in speech signal processing [21, 22], but the approaches are
40 not always generally applicable because of underlying assumptions about the
41 array geometry or the number and type of source signals.

42 In this paper, a microphone array system designed for the task of auto-
43 matic source localization is presented and described in detail, with a specific
44 focus on the array signal processing. A modified formulation of the standard
45 Delay-and-Sum beamforming algorithm is presented to increase the compu-
46 tational performance. A deconvolution algorithm usually employed for aeroa-
47 coustic measurements is applied here in the context of the ICU environment,

48 leading to increased spatial selectivity. For an automatic evaluation of the
49 beamforming result, a novel clustering approach is described in detail, en-
50 abling a display of the results that is easy to understand for non-acousticians.

51 The outline of the paper is as follows: in Section 2 the setup of the audio
52 hardware of the array system is described. The design of the microphone
53 array is presented in Section 3. The beamforming strategies including the
54 data clustering approach are described in detail in Section 4 together with
55 simulated example data. In Section 5 selected results of measurements made
56 with the system installed in the adult ICU of the John Radcliffe Hospital in
57 Oxford are shown. The paper finishes with concluding remarks in Section 6.

58 The descriptions in this paper will be useful for replicating the presented
59 array system, and the clustering approach helps to automatically evaluate the
60 result of beamforming calculations and make it presentable to non-technical
61 personnel. Practical considerations for the array system as well as the re-
62 strictions imposed by the environment are addressed specifically.

63 **2. Hardware Setup**

64 To provide insight into the practical setup, a general plan of the ICU en-
65 vironment and the location of the hardware is shown by a schematic diagram
66 in Figure 1. This includes the location of the beds, microphones and cables.

67
68 As a cost-effective means to capture audio signals, electret microphones
69 were used. To obtain the best result under the circumstances prescribed by
70 the application, two steps had to be taken. Firstly, the microphone capsules
71 were connected with an electric circuit, which lowers the phantom voltage



Figure 1: Schematic of the floor plan of the ICU at John Radcliffe Hospital including the location of the beds (grey squares), the microphones (arrays: large red circles; individual: small red circles), the multi-core cables (black lines) as well as the hardware rack.

72 of 48 V down to the supply voltage of approximately 4 V, enables longer
73 cables between the microphones and the pre-amplifiers and provides a bal-
74 anced signal for improved interference rejection. Secondly, all microphones
75 were calibrated over the entire frequency range to match the level and phase
76 response for an optimum beamforming result. It has been shown that the
77 phase response has to be matched for all array microphones to obtain beam-
78 forming results of high quality [23]. The broadband calibration was carried
79 out in the small anechoic chamber at the ISVR by measuring the frequency
80 response of a Genelec 8010A [24] with each microphone and comparing this
81 to the response measured with a *Brüel & Kjær* 1/2" free-field measurement
82 microphone with a flat frequency response. The average sensitivity response
83 in dB re V/Pa is plotted in terms of the modulus and phase as a function
84 of frequency in Figure 2. The shaded area represents the standard devia-
85 tion across all microphones. The calibrated responses were combined with
86 the measured pre-amplifier gains and inverted to obtain equalization filters
87 that are applied to the audio input data in the frequency-domain to convert
88 digital signal levels into sound pressure levels before any further processing
89 takes place.

90 To avoid spending time developing bespoke hardware, off-the-shelf audio
91 equipment was chosen to provide the microphone pre-amplifiers and digital
92 conversion. To process the microphone signals and provide phantom power,
93 a total of nine Focusrite OctoPre MKII [25] were used, each converting the
94 input voltage of eight microphones into a digital ADAT stream. The eight
95 ADAT streams for the 64 array microphones were then combined into one
96 MADI stream with the RME ADI-648 [26]. For an additional eight micro-

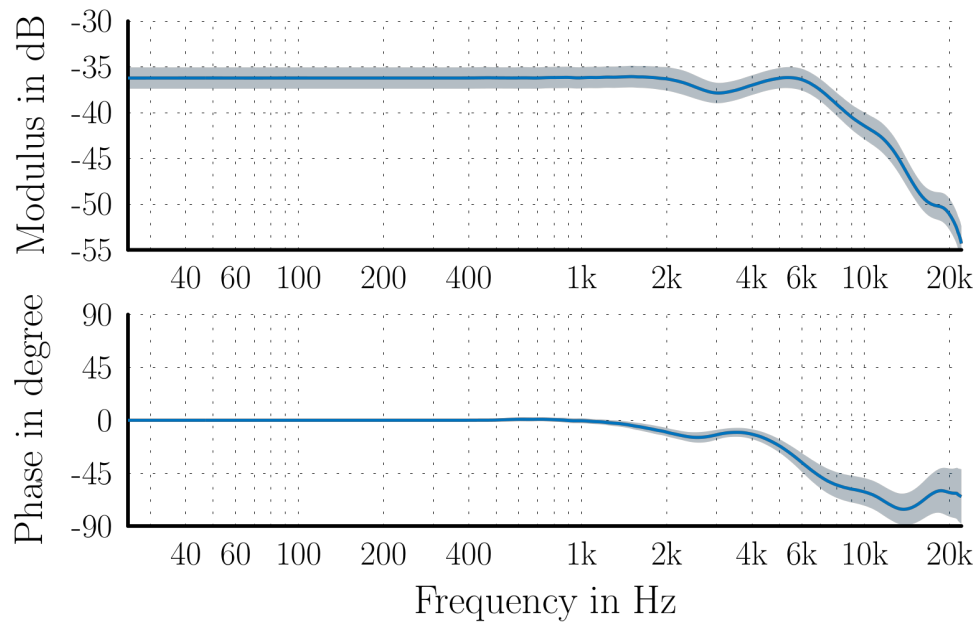


Figure 2: Microphone sensitivity in dB re V/Pa: average result, with standard deviation across all microphones represented by shaded region.

97 phones distributed in the ICU, a second MADI stream was provided by the
98 Ferrofish A16-MKII [27]. Both MADI inputs were fed to the RME MADI
99 FX sound card [28] to provide digital audio for further processing.

100 The connection between the hardware rack and the microphones was
101 achieved with five 16-channel multi-core cables of 30 m length each. Four
102 of these cables were used to connect to the four sub-arrays (see Section 3)
103 and the fifth combined the signals from the eight individual microphones
104 distributed in the ICU (see the schematic in Figure 1).

105 **3. Array Design**

106 As the microphone system was not supposed to interfere in any way with
107 the daily operation of the medical personnel or the patients, the ceiling was
108 chosen as the location of the microphones. This means that a planar array
109 configuration had to be used. Due to the large spatial extent of the ICU
110 (approximately $12\text{ m} \times 8\text{ m}$), four clusters of 16 microphones were distributed
111 throughout the ICU to cover all of the patients' beds (see Figure 1). For
112 an additional, separate level monitoring, eight individual microphones were
113 also installed in the ceiling above the beds and the nurses' station. The
114 individual microphones were used for stationary level monitoring above the
115 beds, including beds in two separate rooms that cannot be covered by the
116 array system.

117 For convenient installation, each of the four array clusters of 16 micro-
118 phones was fitted into a single ceiling tile, with approximate dimensions of
119 $0.6\text{ m} \times 0.6\text{ m}$. This — together with the number of microphones — was the
120 restriction for the design of the array configuration. The array performance

121 was measured with typical parameters based on the beampattern of the ar-
 122 ray [29, Section 2.4.1]: the Half-Power Beamwidth (HPBW) in degrees as
 123 a measure of spatial discrimination; the Maximum Sidelobe Level (MSL) in
 124 dB as a measure of the dynamic range of the beamforming result; and the
 125 Directivity Index (DI) in dB as a measure of the spatial focusing (see the plot
 126 in Figure 3 explaining the parameters for the beampattern of a linear array).
 127 For the data presented here, the performance parameters were evaluated on
 128 a hemi-spherical grid with a radius of 1 m and 1 deg angular resolution. The
 129 results were chosen as the worst-case across all directions, to obtain a lower
 limit of the performance.

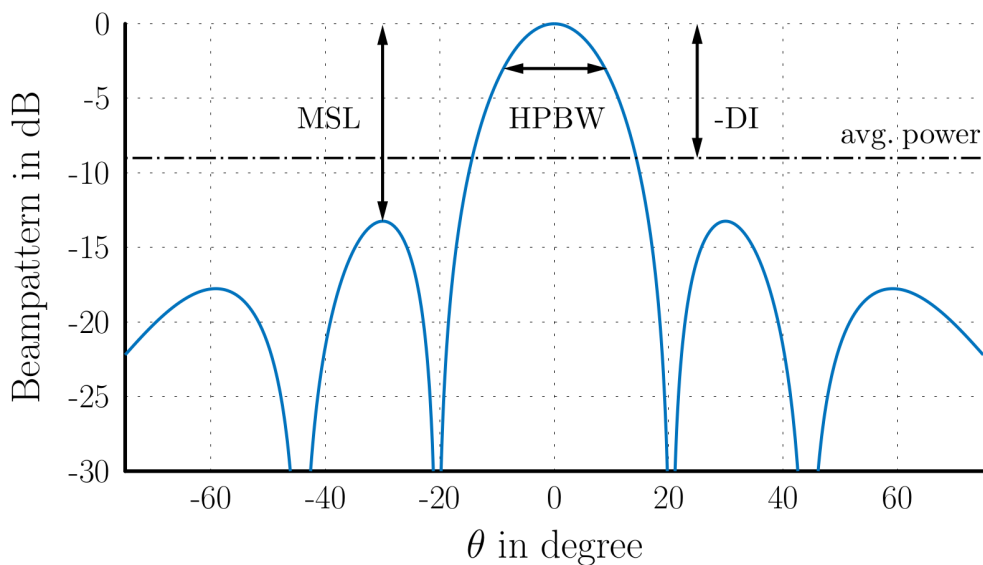


Figure 3: Beampattern for a linear array, and array performance parameters.

130

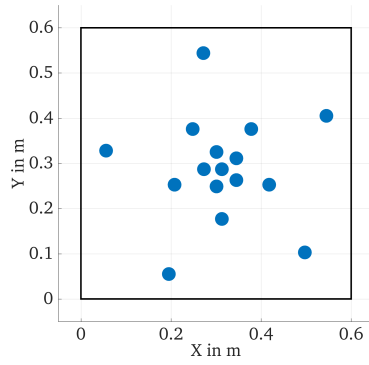
131 Of the many possible planar array geometries — such as the regular
 132 grid, cross grid, logarithmic spiral array, circular array and random array
 133 — the circular array and logarithmic spiral array were regarded as relevant

134 in this project. These two array geometries yield a spatial selectivity that
135 does not vary too much with the azimuthal steering angle and are relatively
136 easy to build. Several combinations of array radii and distribution of sensors
137 were tested for each of these two array types and rated according to the
138 aforementioned performance parameters.

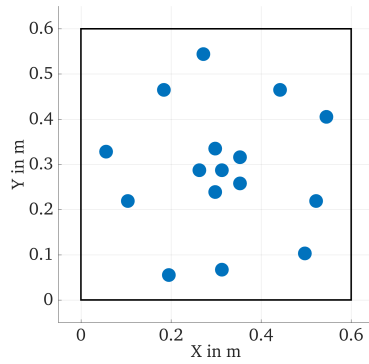
139 For a further analysis, three designs were selected: two versions of the
140 logarithmic spiral array (Figure 4a and 4b) and a circular array (Figure 4c).
141 In the plots, the arrays are shown together with the boundary of a ceiling
142 tile to indicate the design restrictions in terms of array size, where a safety
143 margin of 4 cm was left on all sides to prevent problems during the installation
144 of the tiles. The two logarithmic arrays differ by the radii of the concentric
145 circles: the array in Figure 4a has more microphones concentrated in the
146 center, whereas the one in Figure 4b has two circles with larger radii and the
147 rest of the microphones in the center.

148 Among the three selected designs, the array in Figure 4b offers the best
149 spatial resolution, due to the higher number of sensors with a larger ra-
150 dius. However, at the same time this also leads to the worst Maximum
151 Sidelobe Level (MSL) among the three tested arrays. The logarithmic spiral
152 in Figure 4a and the circular array in Figure 4c perform similarly, although
153 the logarithmic spiral has the best MSL at high frequencies, whereas the
154 circular array has a narrower beamwidth.

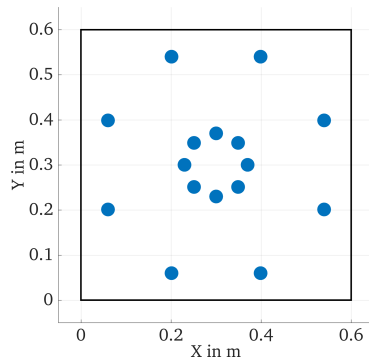
155 For the three designs chosen according to their broadband performance,
156 the improvement achieved by using frequency-dependent shading weights for
157 the individual circles of microphones in each array was analyzed. The op-
158 erating range of the array (400–10000 Hz) was chosen for this analysis. By



(a) Spiral Array 1



(b) Spiral Array 2



(c) Circular Array

Figure 4: Three array geometries selected for optimization of shading weights, shown together with the ceiling tile boundaries (rectangle).

159 choosing cut-on and cut-off frequencies for each of the circles of microphones,
 160 the effective outer diameter and number of sensors could be controlled and
 161 hence an optimal trade-off between the beamwidth and height of the sidelobes
 162 of the beampattern could be achieved. It was found that the exponential dis-
 163 tribution of the array radii of the first logarithmic spiral array (Figure 4a)
 164 is beneficial for frequency-dependent control of the beampattern. Also, since
 165 the logarithmic spiral array has one more circle of microphones compared
 166 to the circular array, this offers an additional degree of freedom for deriving
 167 the frequency-weights. Thus the logarithmic spiral array was chosen as the
 168 final layout. The design parameters for the ring radii as well as the shad-
 169 ing weights are listed in Table 1. The resulting performance parameters are
 shown as a function of frequency in Figure 5.

Table 1: Microphone ring radii and corner frequencies for the shading weights.

Radius (in m)	Cut-on Frequency (in kHz)	Cut-off Frequency (in kHz)
0.0	2 kHz	—
0.04	2 kHz	—
0.11	—	—
0.26	—	4 kHz

170

171 It can be seen that the shading weights provide an almost constant MSL
 172 (solid line in Figure 5) and DI (dashed line) and a smooth increase in resolu-
 173 tion towards higher frequencies, as can be seen from the decreasing HPBW
 174 values (dash-dotted line). This ensures an optimum performance in the op-
 175 erating frequency range under the given constraints.

176 For the construction of the array, the microphone positions were marked
 177 on the ceiling tile by a laser-cutting machine to achieve the highest precision.

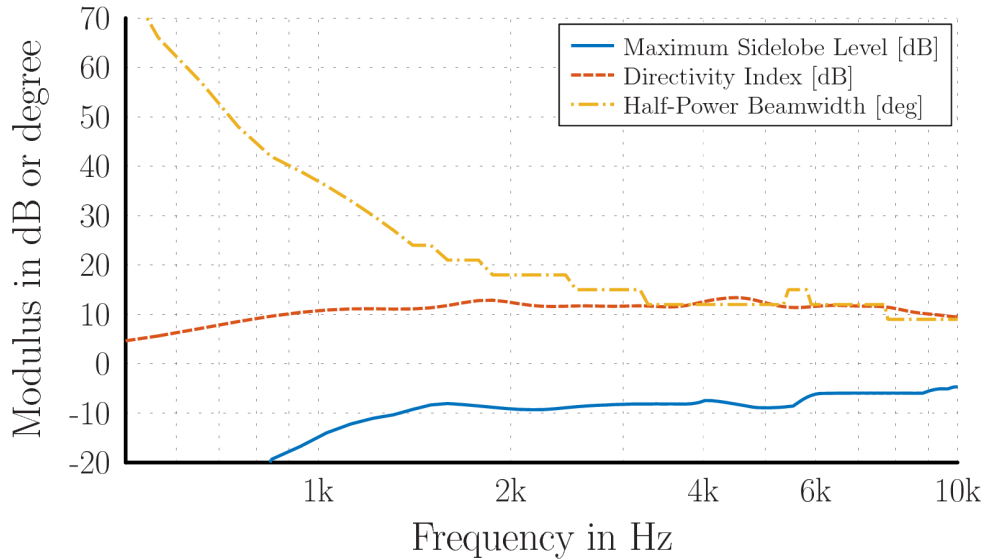


Figure 5: Array performance parameters of the array depicted in Figure 4a, using frequency-dependent shading weights.

178 The holes had to be cut by hand as the tile could not actually be cut by
 179 the laser. Nevertheless, it could be ensured for each 16-channel array that
 180 the positions were accurate within a tolerance of approximately 1 mm. To
 181 mount the microphones, a 3D-printer was used to build inserts that enable a
 182 precise microphone placement and an easy exchange in case of malfunction.
 183 The CAD model and the actual print with a microphone inserted are shown
 184 in Figure 6a and Figure 6b, respectively.

185 4. Beamforming

186 With the calibrated audio data available from the array microphones,
 187 beamforming was used to determine the location of the most prominent
 188 acoustic sources. Different approaches to combining the microphone signals
 189 of the four array clusters were tested, such as independent beamforming using

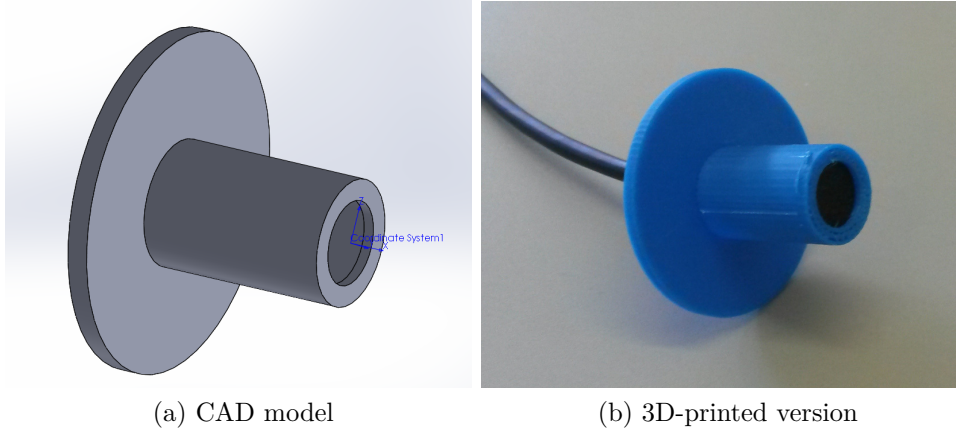


Figure 6: Microphone insert used to place microphones into the ceiling tile.

190 each cluster and the subsequent combination of the four outputs. However,
 191 the best results were achieved when all 64 microphones were used simul-
 192 taneously as a single large array. For the processing steps and the results
 193 presented in the rest of this paper, this configuration was chosen.

194 To include early reflections in the beamforming processing, a relatively
 195 large block size of 8192 samples at a sampling rate of 48 kHz (corresponding
 196 to a block length of 171 ms) was chosen. Including early reflections could lead
 197 to improved spatial filtering results when a beamforming algorithm that takes
 198 advantage of source-coherence is used, as the sound from early reflections is
 199 coherent with the original source signal. This will be discussed further in
 200 Section 4.2.

201 A running estimate of the cross-spectral matrix $\mathbf{C}_n(k)$ in the frequency
 202 domain is calculated based on the pressure data from all microphones $\mathbf{p}_n(k)$
 203 at time instant n , with a half-block overlap. Here, k is the wavenumber
 204 in rad/m. Using exponential smoothing, the estimate of the cross-spectral

205 matrix at time instant n is

$$\mathbf{C}_n(k) = (1 - \alpha) \mathbf{C}_{n-1}(k) + \alpha \mathbf{p}_n(k) \mathbf{p}_n^H(k), \quad (1)$$

206 where $()^H$ is the complex conjugate transpose and α is the smoothing factor;
207 its value was chosen here as 0.157, which corresponds to a filter decay time
208 of 0.5 s given the previously mentioned block size.

209 As most scan points are in the near-field of the array microphones, the
210 steering vector for spherical wavefronts without distance compensation is
211 used. An initial comparison showed that this method achieved an improved
212 spatial filtering result compared to that obtained with a plane-wave steering
213 vector, but this could be further explored for the installed system in a future
214 study. For all beamforming calculations, the steering vector is thus calculated
215 as (formulation I in [30]):

$$\mathbf{w}_i(k) = \frac{1}{M} e^{-jk\mathbf{d}_i}, \quad (2)$$

216 where M is the number of microphones and \mathbf{d}_i is the vector of Euclidean
217 distances between all microphones and the scan point with index i .

218 For this study, a total of 375 scan points on a rectangular grid at a height
219 of 1.2 m above the ground were chosen to cover the main area of the ICU
220 with a 0.5 m spacing. With a ceiling height of 2.6 m, the distance between
221 the plane of the array microphones and the scanning grid was 1.4 m. The
222 grid spacing of 0.5 m was chosen as a compromise between calculation times
223 and spatial resolution. An optimal value for the spacing of the scanning grid
224 could be determined in a more detailed follow-up study.

225 Source localization is achieved by performing calculations on the scan-
 226 ning grid in three consecutive steps, which are described in the following
 227 subsections.

228 4.1. Delay-and-Sum Beamforming

229 In the first step, the beamforming output power $B_{n,i}(k)$ with conventional
 230 Delay-and-Sum beamforming is calculated in the operating frequency range
 231 for the audio block with index n and for each scanning point with index i :

$$B_{n,i}(k) = \mathbf{w}_i^H(k) \mathbf{C}_n(k) \mathbf{w}_i(k). \quad (3)$$

232 As the continuous, real-time operation of the system is important, some
 233 considerations on the computational complexity were made to determine the
 234 best algorithm. It was found that the computation of the cross-spectral
 235 matrix (Eq. (1)) takes up most of the processing time, and hence a simpler
 236 version of Eq. (3) was also implemented:

$$B_{n,i}(k) = (1 - \alpha) B_{n-1,i}(k) + \alpha \left| \mathbf{w}_i^H(k) \mathbf{p}_n(k) \right|^2. \quad (4)$$

237 This version does not make use of the Cross-Spectral Matrix and hence no
 238 information about the cross-correlation between the microphones is avail-
 239 able. It does however give exactly the same result as in Eq. (3), because the
 240 cross-correlation is not actually exploited in that algorithm. In comparison
 241 to Eq. (3), the implementation of Eq. (4) saves approximately 75% of the
 242 computation time.

243 To give an idea of the processing output under ideal conditions, Figure 7

244 shows an example for a simulation of the ICU environment, including actual
 245 recorded sounds and a simple room acoustics model. The model uses image
 246 sources [31] for the early reflections and adds statistical reverberation for
 247 the late reflections, using noise that is shaped to match the measured rever-
 248 beration time in the ICU. In all of the following examples, the broadband,
 249 A-weighted sound pressure level (SPL) in dB(A) re $20\mu\text{Pa}$ is plotted. The
 250 broadband result for the simulated sound pressure magnitude $P_{n,i}(k)$ and the
 251 beamforming power output $B_{n,i}(k)$, respectively, is calculated for each scan
 252 point i as

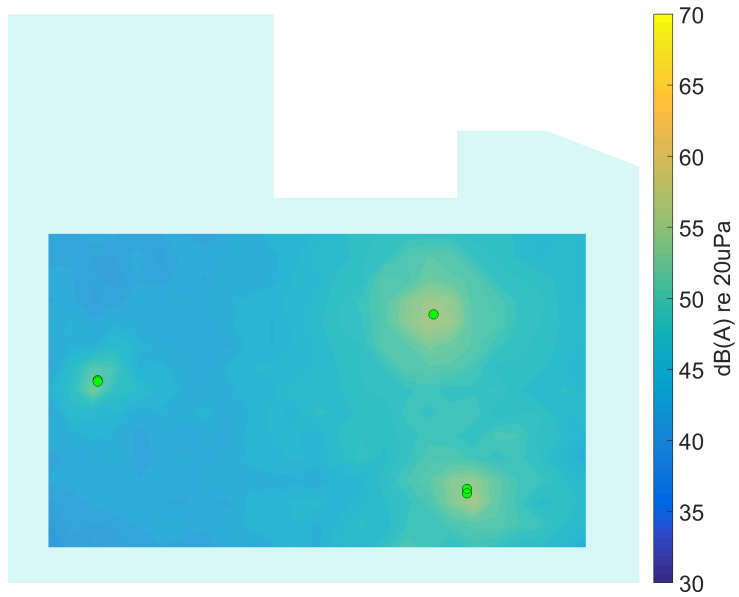
$$L_{P,n,i} = 10 \log_{10} \left(\frac{\sum_k w_A(k) P_{n,i}^2(k)}{p_0^2} \right), \quad (5)$$

253 and

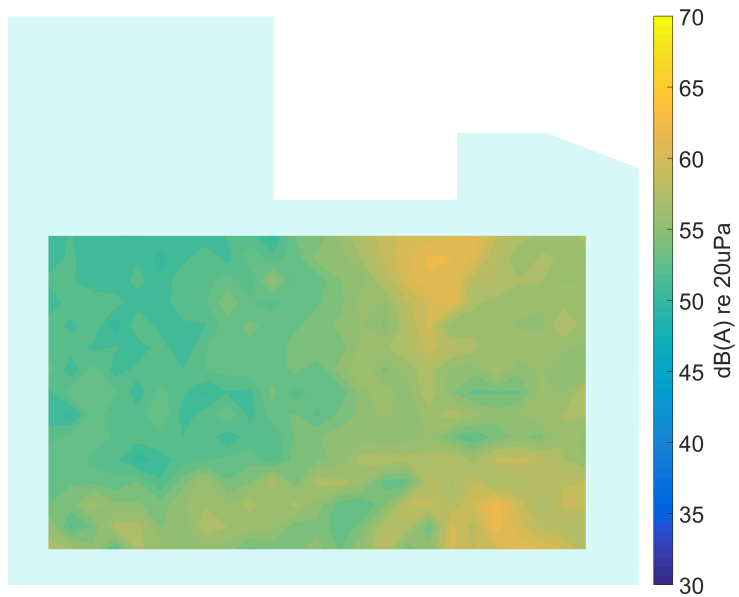
$$L_{B,n,i} = 10 \log_{10} \left(\frac{\sum_k w_A(k) B_{n,i}(k)}{p_0^2} \right), \quad (6)$$

254 where $p_0 = 20\mu\text{Pa}$ is the reference sound pressure and $w_A(k)$ is the squared
 255 magnitude of the A-weighting filter at wavenumber k [32].

256 In Figure 7a the broadband SPL is plotted at a chosen time instant with
 257 three active sources. Please note that the location of these sources has been
 258 chosen as a hypothetical example to illustrate the processing steps and the
 259 source positions are not consistent with those in the following experimental
 260 results. Figure 7b shows the broadband output level of the Delay-and-Sum
 261 beamformer. It becomes clear that this beamforming result cannot be used
 262 directly for an automatic, accurate source localization. The necessary post-
 263 processing steps are described in the following subsections.



(a) Sound Pressure Level in the room, active sources marked as green circles.



(b) Delay-and-Sum beamforming output

Figure 7: Processing example for a realistic simulation of the ICU environment (broadband levels are shown).

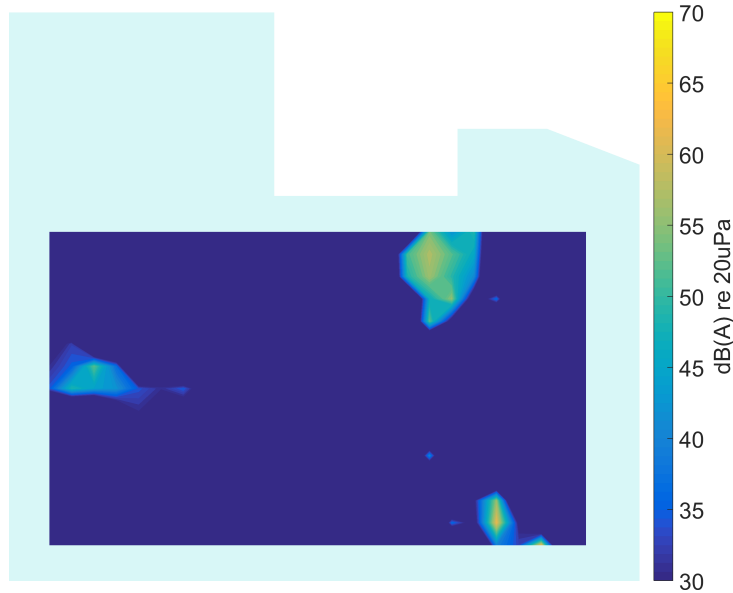


Figure 8: Broadband output level of the CLEAN algorithm for the simulated data in Figure 7.

264 *4.2. Deconvolution (CLEAN / CLEAN-SC)*

265 The result of the Delay-and-Sum beamformer for all scan points $\mathbf{B}_n(k)$
 266 can be regarded as the spatial convolution of the array response — including
 267 all sidelobes — with the source distribution $\mathbf{q}_n(k)$. It is of course this source
 268 distribution that is of interest for localization and identification.

269 The deconvolution problem can be solved in several ways. The DAMAS
 270 algorithm is a direct deconvolution approach, which can be calculated with
 271 the Gauss-Seidel algorithm [33], or by enforcing sparseness in the result, e. g.
 272 with the FOCUSS algorithm [34]. However, both approaches are very compu-
 273 tationally demanding, and so an iterative solution, such as the CLEAN [35]
 274 or CLEAN-SC algorithm [36] is usually favored. The iterative algorithms are
 275 faster than the direct approaches by two orders of magnitude, taking only
 276 approximately 25 % more time than the Delay-and-Sum beamformer.

277 While the original CLEAN algorithm performs a straight-forward decon-
278 volution based only on the array response, CLEAN-SC takes source cor-
279 relation into account by working with the cross-spectral matrix. Hence, a
280 trade-off between accuracy and computational demand can be made by the
281 choice of the algorithm. An important advantage of CLEAN is that the ar-
282 ray response can be pre-computed and thus processing time can be further
283 reduced. For the application in the ICU, it has been found that contrary
284 to expectations the inclusion of source coherence does not yield a significant
285 improvement and hence the CLEAN algorithm with the fast Delay-and-Sum
286 beamformer (Eq. (4)) is used. From this point on, only the CLEAN algo-
287 rithm will be mentioned, but all processing is of course equally applicable to
288 the CLEAN-SC version.

289 Regardless of the choice of CLEAN or CLEAN-SC, the result of this
290 step of processing is a sparse beamforming map with few (typically less than
291 10) active sources for each frequency bin. When the spectral information is
292 summed up to yield the broadband (A-weighted) level or other derived quan-
293 tities, the map becomes slightly less sparse as the location of the sources in
294 the scanning grid may not be absolutely stable across frequency. Neverthe-
295 less, the number of relevant sources is usually far less than the number of
296 scan positions.

297 In Figure 8 an example of the output from the CLEAN algorithm is shown
298 for the simulated data in Figure 7a. It can be seen that the main regions
299 of source activity can be identified. However, some spurious locations also
300 appear, probably from data in the low frequency range where the directivity
301 of the arrays is not high, as demonstrated in Figure 5. In the next subsection,

302 a data clustering approach is described to overcome this problem.

303 *4.3. Data Clustering*

304 The broadband result of the deconvolution — while relatively sparse —
305 may not be directly useful to determine source locations automatically. This
306 is a typical problem with beamforming results, which are usually interpreted
307 by inspection of color maps per frequency, or frequency band. The goal of this
308 project, however, is an automatic and autonomous localization of dominant
309 sources, which could be used by a non-expert user, such as a member of the
310 nursing staff.

311 To achieve this goal, the deconvolution results are combined using the
312 *k-means* clustering algorithm [37]. The initialization is carried out with the
313 *k-means++* algorithm [38]. In terms of input data for the clustering, two
314 approaches were implemented and tested:

315 *Variant 1:*. If only the broadband result of the CLEAN algorithm is available,
316 the importance of each non-zero point in the map can only be determined
317 from its level. The following steps are then taken:

- 318 1. Find non-zero elements and their indices in the broadband CLEAN
319 beamforming map
- 320 2. Sort results according to level
- 321 3. Select the N_{\max} highest level results
- 322 4. Extract the Cartesian coordinates corresponding to the indices deter-
323 mined in step 3

- 324 5. Perform *k-means* clustering while varying the maximum number of clus-
325 ters as

$$N_{\text{clusters}} = 1 \dots \min(N_{\text{max}} - 1, 5)$$

326 and select the result with the maximum average silhouette value as the
327 optimum [39]

- 328 6. Assign the sum of the powers of all points within each cluster as the
329 effective cluster power

330 The maximum number of clusters is chosen to be equal to five as it is unlikely
331 that there will be more than five active sources at the same time. Because the
332 optimal number of clusters is determined automatically, the value of N_{max} is
333 relatively unimportant, but here it is chosen to be 15.

334 The disadvantage of Variant 1 is that the only way to reject outliers, such
335 as spurious peaks, is by level. Hence, if outliers with large levels appear in
336 the beamforming map they will shift the cluster centroids and thus distort
337 the result. A better approach is described in the following paragraph.

338 *Variant 2:* If information about the non-zero indices can be obtained for
339 each frequency of interest, an additional pre-selection of the data can be
340 performed in the following way:

- 341 1. Find all non-zero indices in the frequency range of interest
- 342 2. Sort the data according to the relative number of occurrences of each
343 index across all frequencies
- 344 3. Select the indices that make up 80 % of the data (based on the cumu-
345 lative sum)
- 346 4. Continue with step 3 in Variant 1 above

347 The threshold of 80% was selected here as it yielded good results in terms
348 of a reliable estimate of the number of active sources, but it is a parameter
349 that can be adapted to the individual application.

350 By incorporating the number of occurrences across frequency, the data
351 can be sorted according to importance and thus it is easier to reject outliers.
352 The result of Variant 2 for the simulated data in Figure 8 is presented in
353 Figure 9. Clearly, the relevant sources have been identified and outliers have
354 been successfully suppressed. However, it should be said that in this example,
355 the CLEAN result (Figure 8) does not show too many spurious peaks, so in
356 this case Variant 1 gives the same result as Variant 2. This is different in
most real situations, as will be shown in the next section.

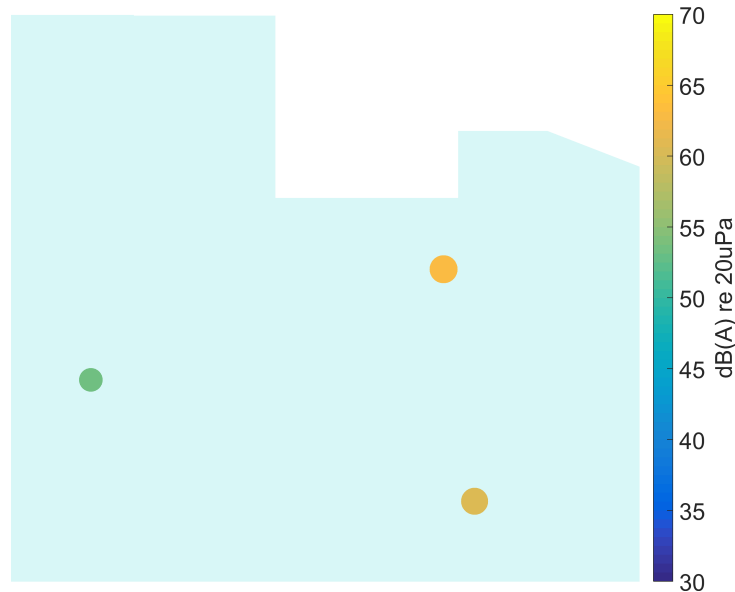


Figure 9: Clustering result of Variant 2 for the simulated data in Figure 8, see Figure 7a for the actual source locations.

357

358 5. Results in the ICU

359 The array system described above was installed in the adult ward of the
360 ICU at the John Radcliffe Hospital in Oxford at the end of October 2016. In
361 this section, representative results are presented to give an idea of the actual
362 system performance. Recordings were made during a typical shift with all
363 beds in the ICU occupied. After applying the broadband calibration filters
364 to the recorded data, the processing as described in Section 4 was applied.

365 It should be noted that the source locations identified from the recordings
366 in the ICU (Figure 10–14) are not expected to match the scenarios from the
367 simulated examples (Figure 7–9), as the simulations used purely hypothetical
368 source locations.

369 The beamforming map of the Delay-and-Sum algorithm at one chosen
370 time instant is shown in Figure 10. As already observed for the simulated
371 data in Section 4.1, it becomes clear that this result cannot be directly used
372 for source localization.

373 In Figure 11 the deconvolution result of the CLEAN algorithm is pre-
374 sented, yielding a much sparser map that shows the areas with dominant
375 sources. In comparison to the simulated data in Section 4.2 (Figure 8), the
376 measured data shows more spurious peaks, which may be due to additional
377 sources, or may be caused by array imperfections. It is precisely this behav-
378 ior that makes it necessary to further process the data before an automatic
379 localization can be achieved.

380 The deconvolution output is processed with Variant 1 of the clustering
381 algorithm (Section 4.3) and the result is shown in Figure 12. In comparison,
382 the result of Variant 2 of the clustering algorithm is shown in Figure 13

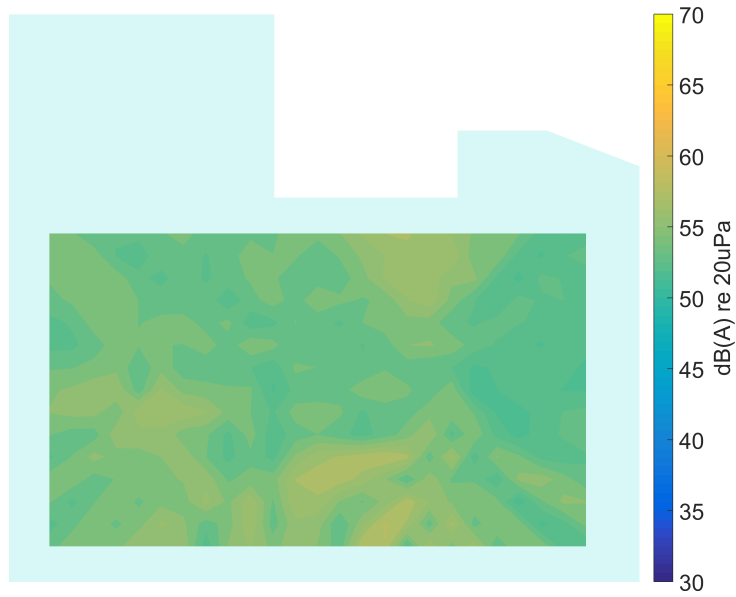


Figure 10: Processing result of Delay-and-Sum beamforming in the ICU environment (broadband levels are shown).



Figure 11: Broadband output level of the CLEAN algorithm for measured data in Figure 10.

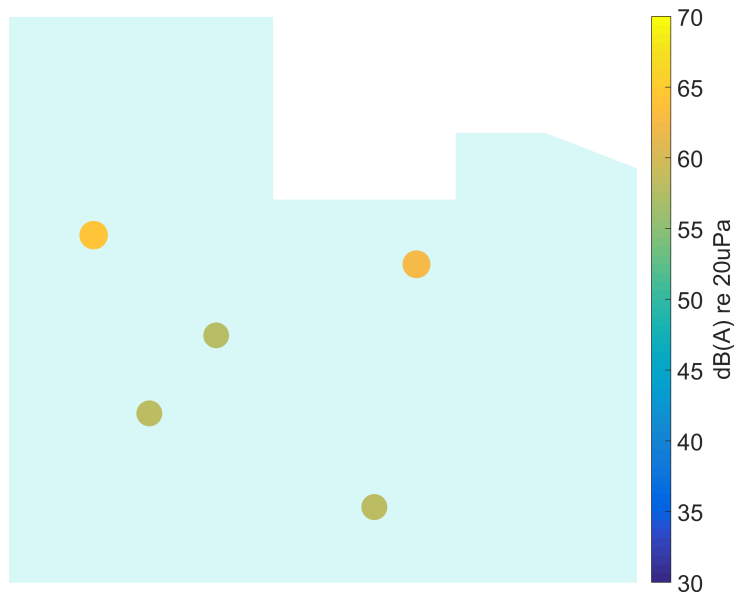


Figure 12: Clustering result of Variant 1 for the measured data in Figure 11.

383 and from this results it can be seen that by only taking into account the
 384 level information, more sources are detected than are actually present. This
 385 indicates the benefit of using the additional information across frequency, as
 386 is done in Variant 2.

387 It seems that the relevant sources were correctly localized by Variant 2
 388 of the clustering approach. However, it should be stressed here that the
 389 measurements were performed in a working ICU environment, which could
 390 not be controlled, so the precise number and location of active sources was not
 391 known. Further studies under controlled conditions will have to be carried
 392 out to establish the robustness of the presented approach and the ideal set
 393 of parameters.

394 The final display for the nursing staff, implemented in the JULIA pro-
 395 gramming language [40], is presented in Figure 14. In addition to the source

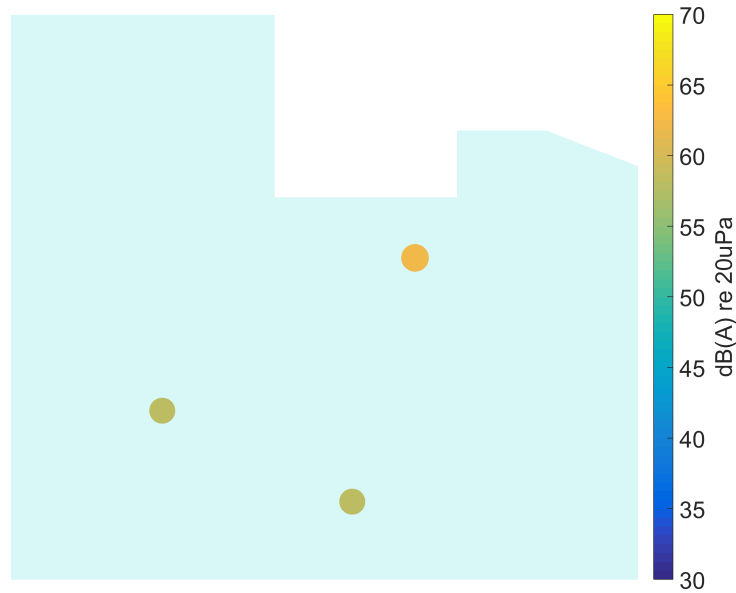


Figure 13: Clustering result of Variant 2 for the measured data in Figure 11.

396 locations, the average sound pressure level recorded by the microphones is
 397 shown in a bar graph on the right side of the display. The positions of the de-
 398 tected sources are also exported continuously, so that a statistical evaluation
 399 over time is possible.

400 6. Conclusions

401 In this paper a microphone array system has been presented that is used
 402 to remotely localize and quantify acoustic noise sources in an Intensive Care
 403 Unit. The hardware and array design for the system under the given con-
 404 straints have been described. An alternative beamforming formulation result-
 405 ing in faster computation has been developed and a clustering approach has
 406 been introduced to enable an automatic localization of the most dominant
 407 acoustic sources.

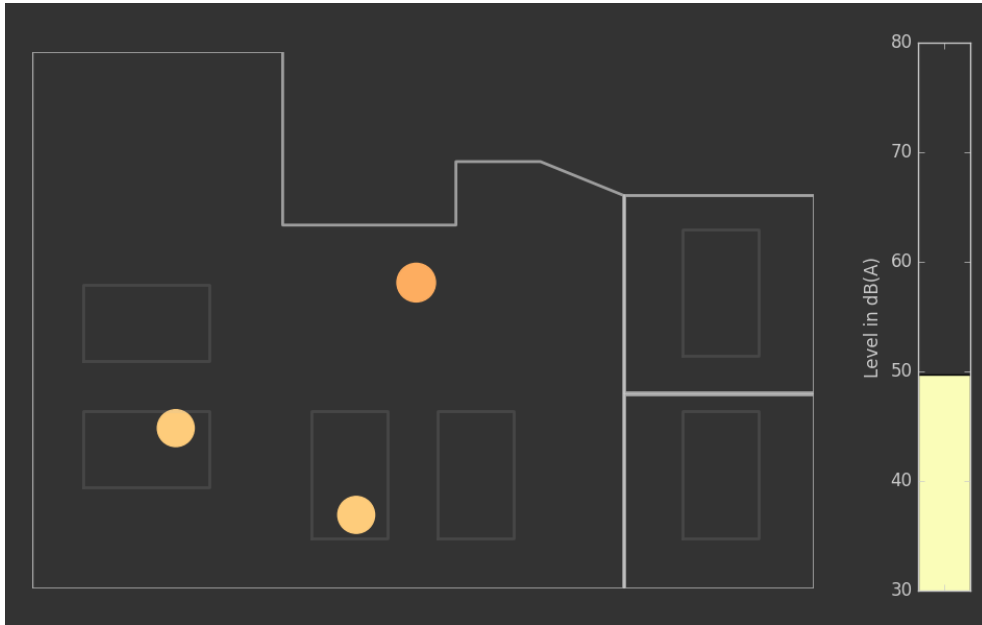


Figure 14: The data in Figure 13 as it appears in the display for the nursing staff.

408 It has been shown that the raw result of beamforming calculations is not
 409 directly useful for unsupervised localization. While the deconvolution ap-
 410 proach to beamforming results in a much sparser source map, spurious peaks
 411 can still influence and degrade the localization performance. The automatic
 412 clustering algorithm has been implemented in the array system. With the
 413 help of clustering, the influence of spurious peaks in the source map can be
 414 effectively suppressed. The successful application of the presented approach
 415 has been demonstrated through real measured data from the running system.

416 Further studies will be necessary to confirm the validity and robustness
 417 of the automatic localization approach, as the data gathered so far was not
 418 obtained under controlled laboratory conditions. The optimum number of
 419 clusters and its dependence on the environment and the number of array

420 sensors will have to be investigated.

421 The system described here is currently used in the John Radcliffe Hospi-
422 tal in Oxford to obtain a better impression of the acoustic source distribution
423 in the ICU. This information will be used to devise measures to provide an
424 overall reduction in background noise levels, hopefully leading to improved
425 patient recovery. At the moment, the possibility of classifying individual
426 sources by combining the spatial filtering presented here with machine learn-
427 ing is being investigated. This additional information would enable the nurs-
428 ing staff to, for example, distinguish between medical equipment and speech,
429 making it easier to implement changes to reduce the noise level.

430 Before the system described in this paper can be deployed in additional
431 ICUs or similar healthcare environments, its effectiveness and influence on
432 staff and patients will have to be determined. This will require a long-term
433 study, which will then hopefully establish whether the array-based system
434 can assist medical staff in reducing the ICU noise levels.

435 **7. Acknowledgments**

436 This paper summarizes independent research funded by the National In-
437 stitute for Health Research (NIHR) under its Research for Patient Benefit
438 Programme (Grant Reference Number: PB-PG-0613-31034). The views ex-
439 pressed are those of the authors and not necessarily those of the NHS, the
440 NIHR, or the Department of Health.

441 All measurements were performed and processed in MATLAB with the
442 ITA-Toolbox (<http://ita-toolbox.org>). The final software implementa-
443 tion was carried out in JULIA (<http://julialang.org>).

444 **8. References**

- 445 [1] B. B. Kamdar, D. M. Needham, N. A. Collop, Sleep deprivation in
446 critical illness: its role in physical and psychological recovery, *Journal*
447 *of Intensive Care Medicine* 27 (2) (2012) 97–111.
- 448 [2] J. N. Aaron, C. C. Carlisle, M. A. Carskadon, T. J. Meyer, N. S. Hill,
449 R. P. Millman, Environmental noise as a cause of sleep disruption in an
450 intermediate respiratory care unit, *Sleep* 19 (9) (1996) 707–710.
- 451 [3] N. S. Freedman, J. Gazendam, L. Levan, A. I. Pack, R. J. Schwab, Ab-
452 normal sleep/wake cycles and the effect of environmental noise on sleep
453 disruption in the intensive care unit, *American Journal of Respiratory*
454 *and Critical Care Medicine* 163 (2) (2001) 451–457.
- 455 [4] B. (ed) Berglund, T. Lindvall, D. Schwela, Guidelines for community
456 noise, Report, World Health Organisation (1999).
- 457 [5] J. L. Darbyshire, J. D. Young, An investigation of sound levels on in-
458 tensive care units with reference to the WHO guidelines, *Critical Care*
459 17 (5) (2013) R187.
- 460 [6] E. E. Ryherd, K. Persson Waye, L. Ljungkvist, Characterizing noise
461 and perceived work environment in a neurological intensive care unit,
462 *Journal of the Acoustical Society of America* 123 (2) (2008) 747–756.
- 463 [7] R. J. Berens, C. G. Weigle, Cost analysis of ceiling tile replacement for
464 noise abatement, *Journal of Perinatology* 16 (3 Pt 1) (1996) 199–201.

- 465 [8] T. S. Hargest, Clinical engineering practices: noise—the new hospital
466 contaminate, *Clinical Engineering* 7 (3) (1979) 38–40.
- 467 [9] A. Richardson, M. Allsop, E. Coghill, C. Turnock, Earplugs and eye
468 masks: do they improve critical care patients’ sleep?, *Nursing in Critical*
469 *Care* 12 (6) (2007) 278–286.
- 470 [10] G. H. Mills, R. S. Bourne, Do earplugs stop noise from driving critical
471 care patients into delirium?, *Critical Care* 16 (4) (2012) 139.
- 472 [11] M. M. Moore, D. Nguyen, S. P. Nolan, S. P. Robinson, B. Ryals, J. Z.
473 Imbrie, W. Spotnitz, Interventions to reduce decibel levels on patient
474 care units, *American Surgeon* 64 (9) (1998) 894–899.
- 475 [12] A. Konkani, B. Oakley, T. J. Bauld, Reducing hospital noise: a review
476 of medical device alarm management, *Biomedical Instrumentation and*
477 *Technology* 46 (6) (2012) 478–487. doi:10.2345/0899-8205-46.6.478.
- 478 [13] E. Litton, V. Carnegie, R. Elliott, S. A. R. Webb, The Efficacy of
479 Earplugs as a Sleep Hygiene Strategy for Reducing Delirium in the ICU:
480 A Systematic Review and Meta-Analysis, *Critical Care Medicine* 44 (5)
481 (2016) 992–999.
- 482 [14] R. M. Elliott, S. M. McKinley, D. Eager, A pilot study of sound levels in
483 an australian adult general intensive care unit, *Noise and Health* 12 (46)
484 (2010) 26–36.
- 485 [15] D. Johnson, D. Dudgeon, *Array Signal Processing: Concepts and Tech-*
486 *niques*, Prentice Hall, 1993.

- 487 [16] J. J. Christensen, J. Hald, Beamforming, Technical Review, Brüel &
488 Kjær, 2004.
- 489 [17] K. Washburn, T. Frazer, J. Kuno, Correlating Noise Sources Identified
490 By Beamforming With Sound Power Measurements, SAE International,
491 2005.
- 492 [18] S. Guidati, Advanced beamforming techniques in vehicle acoustic, Berlin
493 Beamforming Conference (BeBeC), 2010.
- 494 [19] J. A. Ballesteros, E. Sarradj, M. D. Fernández, T. Geyer, M. J. Balles-
495 teros, Noise source identification with Beamforming in the pass-by of a
496 car, *Applied Acoustics*, 93 (2015) 106–119.
- 497 [20] P. Sijtsma, Using Phased Array Beamforming to Identify Broadband
498 Noise Sources in a Turbofan Engine, *International Journal of Aeroa-
499 coustics*, 9 (3) (2010), 357 – 374.
- 500 [21] M. Omologo, P. Svaizer, Acoustic source location in noisy and reverber-
501 ant environment using CSP analysis, *IEEE International Conference on
502 Acoustics, Speech, and Signal Processing Conference*, 1996.
- 503 [22] H. Buchner, R. Aichner, J. Stenglein, H. Teutsch, W. Kellermann, Si-
504 multaneous localization of multiple sound sources using blind adaptive
505 MIMO filtering, *International Conference on Acoustics, Speech, and Sig-
506 nal Processing*, 2005.
- 507 [23] M. Müller-Trapet, P. Dietrich, M. Vorländer, Influence of various uncer-
508 tainty factors on the result of beamforming measurements, *Noise Control
509 Engineering Journal* 59 (3) (2011) 302–310.

- 510 [24] Genelec 8010A, Product Website <http://www.genelec.com/8010>, last
511 access: February 11, 2017.
- 512 [25] Focusrite OctoPre MKII, Product Website: [https://uk.focusrite.
513 com/mic-pres/octopre-mkii](https://uk.focusrite.com/mic-pres/octopre-mkii), last access: November 06, 2016.
- 514 [26] RME ADI-648, Product Website: [http://www.rme-audio.de/en/
515 products/adi_648.php](http://www.rme-audio.de/en/products/adi_648.php), last access: November 06, 2016.
- 516 [27] Ferrofish A16 MK-II, Product Website: [http://www.ferrofish.de/
517 en/en-a16-mk2.html](http://www.ferrofish.de/en/en-a16-mk2.html), last access: November 06, 2016.
- 518 [28] RME MADI FX, Product Website: [http://www.rme-audio.de/en/
519 products/hdspe_madi_fx.php](http://www.rme-audio.de/en/products/hdspe_madi_fx.php), last access: November 06, 2016.
- 520 [29] H. Van Trees, Optimum Array Processing: Part IV of Detection, Esti-
521 mation and Modulation Theory, John Wiley & Sons, 2002.
- 522 [30] E. Sarradj, Three-dimensional acoustic source mapping with different
523 beamforming steering vector formulations, *Advances in Acoustics and
524 Vibration* 1 (2012) 1–12.
- 525 [31] J. B. Allen, D. A. Berkley, Image method for efficiently simulating small-
526 room acoustics, *The Journal of the Acoustical Society of America* 65 (4)
527 (1979) 943–950.
- 528 [32] IEC 61672-1: Electroacoustics – Sound level meters – Part 1: Specifica-
529 tions, IEC, 2013.

- 530 [33] T. Brooks, W. Humphreys, A Deconvolution Approach for the Mapping
531 of Acoustic Sources (DAMAS) Determined from Phased Microphone
532 Arrays, *Journal of Sound and Vibration* 294 (4-5) (2006) 856–879.
- 533 [34] I. F. Gorodnitsky, B. D. Rao, Sparse signal reconstruction from lim-
534 ited data using focuss: a re-weighted minimum norm algorithm, *IEEE*
535 *Transactions on Signal Processing* 45 (3) (1997) 600–616.
- 536 [35] J. Högbom, Aperture Synthesis with a Non-Regular Distribution of In-
537 terferometer Baselines, *Astronomy and Astrophysics Supplement* 15 (3)
538 (1974) 417–426.
- 539 [36] P. Sijtsma, CLEAN Based on Spatial Source Coherence, *International*
540 *Journal of Aeroacoustics* 6 (4) (2007) 357–374.
- 541 [37] S. Lloyd, Least squares quantization in PCM, *IEEE Transactions on*
542 *Information Theory* 28 (2) (1982) 129–137.
- 543 [38] D. Arthur, S. Vassilvitskii, k-means++: The advantages of careful seed-
544 ing, in: *Proceedings of the eighteenth annual ACM-SIAM symposium*
545 *on Discrete algorithms*, Society for Industrial and Applied Mathematics,
546 2007, pp. 1027–1035.
- 547 [39] P. J. Rousseeuw, Silhouettes: A graphical aid to the interpretation and
548 validation of cluster analysis, *Journal of Computational and Applied*
549 *Mathematics* 20 (1987) 53 – 65.
- 550 [40] Julia programming language, Website: <http://julialang.org/>, last
551 access: November 07, 2016.