

Effects of heat treatment atmosphere on the structure and activity of Pt₃Sn nanoparticle electrocatalysts: a characterisation case study

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Comprehensive identification of the phases and atomic configurations of bimetallic nanoparticle catalysts are critical in understanding structure-properties relationships in catalysis. However, control of the structure, whilst retaining the same composition, is challenging. Here, the same carbon supported Pt₃Sn catalyst is annealed under air, Ar and H₂ resulting in variation of the extent of alloying of the two components. The atmosphere-induced extent of alloying is characterised using a variety of methods including TEM, XRD, XPS, XANES, and EXAFS and is defined as the fraction of Sn present as Sn⁰ (XPS and XANES) or the ratio of the calculated composition of the bimetallic particle to the nominal composition according to the stoichiometric ratio of the preparation (TEM, XRD, and EXAFS). The values obtained depend on the structural method used, but the trend air < Ar < H₂ annealed samples is consistent. These results are then used to provide insights regarding the electrocatalytic activity of Pt₃Sn catalysts for CO, methanol, ethanol, and 1-butanol oxidation and the roles of alloyed Sn and SnO₂.

Introduction

PtSn bimetallic nanoparticles (NPs) have attracted considerable attention in heterogeneous and electrocatalyst catalyst research, since the addition of Sn species increases the selectivity of hydrogenation and dehydrogenation for certain molecules^{1–3} and substantially lowers the activation energy for CO oxidation^{4–6}. The latter is a key to more CO-tolerant catalysts for the anodes of reformat fuelled H₂ PEM fuel cells and direct alcohol fuel cells. Fundamental studies have shown that CO oxidation occurs at reduced overpotential at Sn and SnO₂ (or its hydrated form) modified Pt^{7–10} and at Pt₃Sn^{11–14} compared to Pt electrodes. Similarly, Sn modified Pt surfaces and Pt₃Sn catalysts have also shown enhanced activity over Pt for methanol^{15–17},

ethanol^{18–21}, and butanol²² oxidation. In each case the nature of the Sn species, SnO₂ or metallic Sn, and the extent of mixing of the Pt and Sn, alloy formation and the resulting Pt:Sn ratio at the surface, may play a role in determining the activities of the electrocatalysts. An understanding of these parameters is important in developing structure-property relationships. However, the simultaneous control of composition and structure is difficult for such nanoparticle bimetallic catalysts. One recent approach has been to take a parent Pt_xSn catalyst with a defined Pt:Sn ratio and then to subject this to heat treatment/annealing under various atmospheres such as air to induce surface oxidation or H₂(g) to cause reduction^{23–26}.

Structural characterisation of Pt_xSn catalysts seeks to determine the extent of alloy formation, any variations in lattice parameters, determine the extent of surface segregation of either of the components, and to identify the presence or absence of a separate SnO₂ phase. Various structural methods such as transmission electron microscopy (TEM), X-ray diffraction (XRD), X-ray photoelectron spectroscopy (XPS), and X-ray absorption spectroscopy (XAS) may each be used, but each will have its own limitations and will, therefore, provide a different perspective.

An often-noted limitation of XRD is that only the crystalline components are observed. The SnO₂ in PtSn bimetallic catalysts are sometimes found to be “XRD amorphous”. For example, Colmati *et al.*²⁷ investigated a range of compositions for Pt_xSn alloy catalysts prepared via formic acid reduction and studied their structures via XRD. The formation of Pt-Sn alloys and

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extent of alloy formation were suggested by an expanded Pt lattice parameter, and no tin oxides were found. Similarly, Lim *et al.*²⁸ prepared Pt_xSn catalysts over a wider range of atomic ratios using borohydride (a much stronger reductant than formic acid) reduction and subsequent hydrothermal treatment. These PtSn NPs exhibited expanded Pt lattice parameters similar to those measured by Colmati *et al.*, implying a similar extent of alloy formation. However, a set of obvious diffraction peaks from SnO₂ were also found by Lim *et al.* over the entire composition range explored. The “XRD amorphous” SnO₂ implied from Colmati’s study is not a unique case in the literature and can also be found in studies of Pt-SnO₂ core-shell structures²⁹ and, more commonly, for catalysts with low Sn content^{24, 30, 31}.

Kim *et al.*³¹ applied XRD, XPS, XANES, and EXAFS to investigate the influence of Sn content in carbon supported Pt_xSn catalysts. Like Colmati’s and Lim’s studies above, the expansion of the Pt lattice from XRD was found to be proportional to the amount of Sn used in the preparation, indicating alloy formation. In contrast, only oxidised Sn was found in the XPS spectra, and the coordination number of the Pt-Sn scattering path from EXAFS fitting did not vary systematically with composition. Such mismatches between techniques were also found in other detailed characterization studies^{32, 33}, including our previous study²¹, where we calculated the alloying degree as the proportion of Sn present in the bimetallic particles compared to the nominal composition of a Pt₃Sn alloy NPs (3~4 nm) to be ~90% from the lattice parameters according to Vegard’s law^{34, 35}, but XPS and XANES spectra indicated at least 50% Sn atoms are oxidized.

In this work, the variation in heat treatment atmosphere approach described above is taken to provide a series of related PtSn catalysts with the same nominal composition of Pt₃Sn, but with different surface compositions. A variety of structural characterisation methods were then employed to characterise the resulting nanoparticles and the results compared. The alloy phase and SnO₂ were preliminarily characterised via high-resolution transmission electron microscopy (HRTEM) and conventional XRD. XPS, XANES (X-ray absorption near edge structure) and EXAFS (extended X-ray absorption fine structure) spectra then were used to acquire additional information regarding the oxidation state and atomic environment, respectively. The CO, methanol, ethanol, and 1-butanol oxidation activities of the catalysts were measured in H₂SO₄ solution. Activity enhancements attributed to alloyed Sn and SnO₂ are then discussed.

Experimental Section

Pt₃Sn nanoparticles were deposited on a carbon support to yield a loading of 20 wt% metals via a conventional polyol method^{36, 37}. Vulcan XC-72R carbon black was functionalized by refluxing in nitric acid³⁸. 200 mg of the functionalized carbon black was dispersed into 50 ml H₂O/ethylene glycol (1:3 v/v) containing 200 mg sodium hydroxide by 15 min stirring and sonication. To this mixture, 110 mg H₂PtCl₆·6H₂O and 16 mg SnCl₂·2H₂O were

added, followed by stirring for 1 hour and then sonicating for 15 min. The suspension was heated to 160 °C in an oil bath and maintained at this temperature for 2 hours. The mixture was then cooled down to room temperature and 50 ml isopropanol was added to precipitate nanoparticles. The catalyst was then collected by centrifuge, washed with 50% ethanol aqueous solution and dried under vacuum at 80 °C. SEM-EDX (FEI XL30 ESEM equipped with UltraDry detector) was used to verify the composition, which was found to be Pt_{2.43}Sn, which is close to the nominal ratio of Pt₃Sn. The parent Pt₃Sn/C catalyst was then divided into four parts and three parts were treated by annealing at 250 °C under either air, Ar, or H₂ (5%, balanced with N₂). The three treated samples are denoted as the air, Ar and H₂ samples. Full structural characterisation for the parent Pt₃Sn/C catalyst is provided in the supporting information.

A 20 wt% Pt/C reference catalyst, supplied by Johnson Matthey, with an average particle size of ~2.8 nm (by TEM) was used for comparison.

Transmission electron microscopy (TEM) and high-resolution transmission electron microscopy (HRTEM) were performed on a FEI Tecnai 12 at 80 kV and a JEOL JEM 2010 at 200 kV (Bristol University), respectively. Power X-ray diffraction (PXRD) patterns were acquired on a Bruker D2 PHASER diffractometer using Cu K_α radiation ($\lambda=1.5418$ Å) at a voltage of 30 kV and a current of 10 mA.

X-ray photoelectron spectra (XPS) were acquired on a Kratos Axis Ultra Hybrid spectrometer (Queen’s University Belfast). XPS data deconvolution was performed using XPSPEAK 4.1. The background was corrected using the Shirley method³⁹ and the percentage of Gaussian contribution in peak shape was fixed in range of 20% to 30%. XPS spectra were calibrated using the C 1s peak associated with adventitious carbon at 284.8 eV. In the Pt 4f spectra, the spin-orbit splitting energy and the ratio of the peak area between Pt 4f_{7/2} and Pt 4f_{5/2} are constrained to be 3.35 eV and 4:3, respectively. For the Sn 3d spectra, the spin orbit splitting energy and the ratio of the peak area between Sn 3d_{5/2} and Sn 3d_{3/2} are constrained to be 8.4 eV and 3:2, respectively.

X-ray absorption (XAS) measurements were carried out at the B18 beamline at Diamond Light Source (UK) using the Quick EXAFS mode. The samples for XAS measurements were prepared by grinding and mixing with boron nitride to form a homogeneous mixture, and then being compressed into pellets. XAS spectra of these pellets were measured in transmission mode at both Pt L₃-edge and Sn K-edges in air. Additional spectra were measured in an atmosphere of H₂(g) at room temperature for the H₂ annealed sample. Pt or Sn foil was measured in the reference channel along with the samples to provide energy calibration. The measured XAS spectra were processed and analysed using the Demeter software package (ATHENA and ARTEMIS)⁴⁰. The edge step of each spectrum was normalized by subtracting the linear pre-edge and quadratic post edge function, and the energy shift was calibrated by choosing the zero crossing in the second derivative of all spectra to be E₀ and then assigning the E₀ of reference spectra to the

corresponding tabulated value provided by ATHENA. The background of EXAFS was subtracted using the AUTOBK algorithm present in ATHENA. A theoretical model of SnO_2 was also used as a standard to guide the background function through the XANES region at Sn K-edge. Linear combination fitting at Sn K-edge was also performed using ATHENA with SnO_2 and Sn foil as standards.

First-shell fitting of the extended X-ray absorption fine structure (EXAFS) was performed in ARTEMIS. The Pt L_3 -edge and Sn K-edge spectra of each sample were co-analysed with the half scattering path (R , referred as bond length for a single-scattering path) and mean square relative displacement (σ^2) of Pt-Sn and Sn-Pt first single scattering path ($R_{\text{Pt-Sn}} = R_{\text{Sn-Pt}}$, $\sigma_{\text{Pt-Sn}}^2 = \sigma_{\text{Sn-Pt}}^2$) set to be equal at both edges. Fits were carried out in an R -range of 1.1–3.3 Å at Pt L_3 -edge, and 1.1–3.1 Å at Sn K-edge. Values for amplitude reduction factor were determined to be 0.872 for Pt and 1.034 for Sn extracted from fitting the Pt foil and SnO_2 standards, respectively.

The electrochemical measurements were conducted in a standard three-electrode cell connected to PGSTAT12 potentiostat (Metrohm Autolab). A Pt mesh electrode was used as the counter electrode, and a $\text{Hg}|\text{Hg}_2\text{SO}_4$ electrode (MMS) in 1M H_2SO_4 was used as the reference electrode and equipped with a Luggin capillary. The potentials reported here are with respect to the reversible hydrogen electrode (RHE) for convenience. The working electrode was prepared by drop casting 10 μL of catalyst ink on the surface of a glassy carbon electrode (5 mm diameter) and drying at room temperature. The catalyst ink was prepared by dispersing the sample powder, 2 mg cm^{-3} , in a mixture of water, isopropyl alcohol and Nafion solution (5 wt%, Sigma Aldrich) with a volume ratio of 8:2:0.01.

All the electrochemical experiments were performed in O_2 -free (N_2 purged) 0.1 mol dm^{-3} H_2SO_4 solution at room temperature. The electrochemical active surface area (ECSA) was measured using CO-stripping cyclic voltammetry. Before the measurement, 20 cycles between 0.05 and 0.8 V vs. RHE were performed. High-purity CO (99.9%) was then bubbled through the electrolyte for 20 min while the potential was held at 0.2 V vs. RHE. N_2 was then bubbled to remove the free CO in the electrolyte. After that, the potential was swept to 1.0 V vs. RHE to oxidize the absorbed CO and then cycled between 0.05 and 1.0 V vs. RHE to confirm the complete removal of CO_{ads} . The electrochemical behaviour for alcohol oxidation was evaluated using cyclic voltammetry in 0.1 mol dm^{-3} of the alcohol (methanol, ethanol, or 1-butanol) + 0.1 mol dm^{-3} H_2SO_4 electrolyte. The potential was cycled between 0.1 and 1 V vs. RHE for at least 20 cycles, and the peak currents for the 10th cycle were used to compare the activities.

Results and discussion

The morphologies of the heat treated Pt_3Sn catalysts were characterized using TEM (Fig 1). The images show good dispersion of the nanoparticles on the carbon support, and that the diameters of nanoparticles show some dependency on the

annealing atmosphere: average particle diameters were ~ 3 nm for the sample annealed under air, ~ 5 nm for Ar and ~ 6 nm for H_2 . The approximate compositions of these nanoparticles were then measured using the spacing of lattice fringes observed in HRTEM images (top inset of Fig. 1). The spacing was interpreted in Fourier-transformed space. The distance from the resulting bright spots to the centre, which is the reciprocal of the spacing, was measured and indexed to possible crystal facets with the help of centrosymmetric rings (bottom inset of Fig. 1). Whilst the (111) plane and the (200) facet (for the air sample) of face-centric cubic (fcc) Pt or Pt_3Sn alloy are observed in all samples, the (110) facet of rutile SnO_2 was only be found for the Ar and air samples. Pt and the Pt_3Sn alloy have similar crystal structures, which cannot be distinguished from each other in HRTEM images.

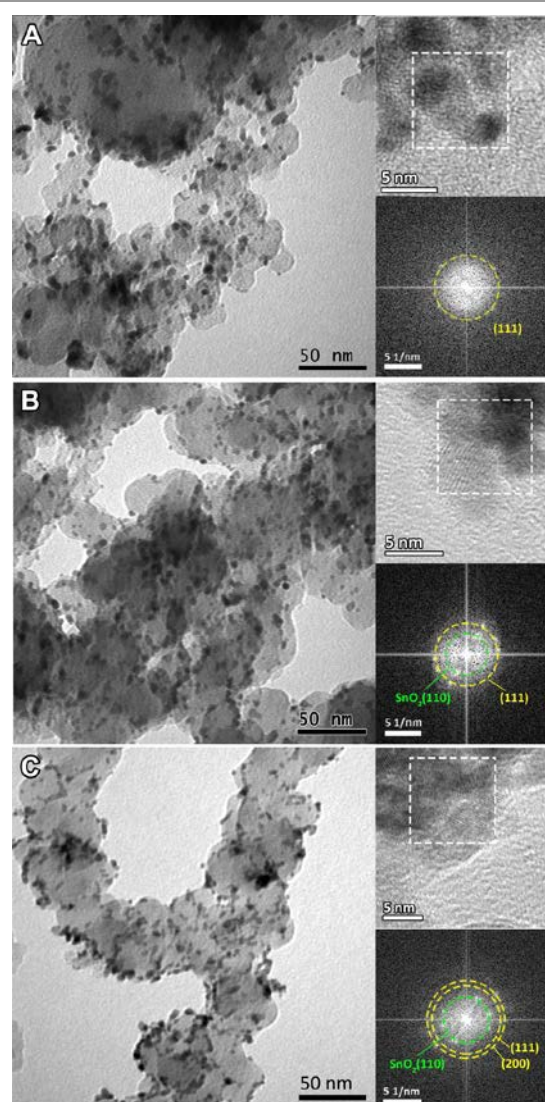


Fig. 1 TEM images of Pt_3Sn nanoparticles annealed under (A) H_2 , (B) Ar and (C) air. The upper insets are the corresponding HRTEM images and the bottom insets are the fast-Fourier transform patterns on the selected area (white dashed square) in the corresponding HRTEM images. The dashed rings are drawn to connect bright spots at the same distance to the centre and represent the lattice fringes of the selected areas.

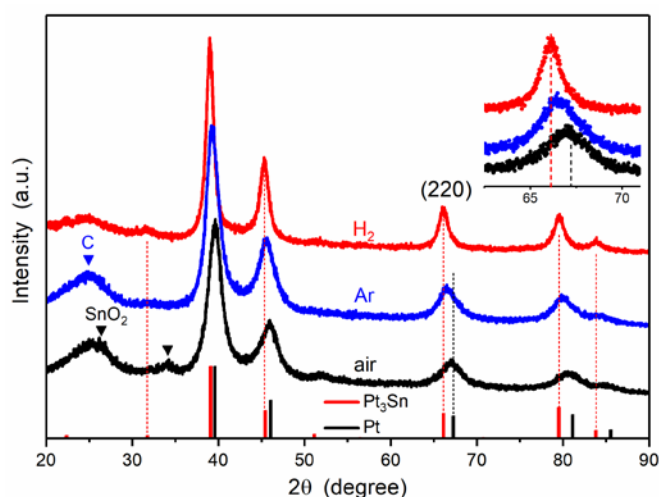


Fig. 2 XRD patterns of Pt₃Sn nanoparticles annealed under H₂, Ar and air. The inset shows the magnified region of (220) peak. The standard patterns of Pt₃Sn (35-1360) and Pt (04-0802) were obtained from JCPDS database.

The powder XRD for each of the treated catalysts are shown in **Fig. 2**. The Pt₃Sn catalysts annealed under different atmospheres show features similar to the fcc structure of platinum, with strong diffraction peaks at $\sim 39^\circ$, $\sim 46^\circ$, $\sim 67^\circ$ and $\sim 80^\circ$, in agreement with lattice fringes observed in HRTEM images. The XRD pattern of the air annealed sample more closely matches that of Pt standard (JCPDS no. 04-0802) with additional peaks present at $\sim 29^\circ$ and $\sim 34^\circ$ attributed to SnO₂. The latter peak is not present in the XRD for the Ar and H₂ annealed samples. The peak positions for the H₂ annealed sample more closely match those of the Pt₃Sn standard (JCPDS no. 35-1360), with the positions of the peaks for the Ar sample lying between those of the air and H₂ annealed samples (inset of **Fig. 2**). The lattice parameters were calculated using the peak positions of (220) plane and found to be 3.996 Å for the H₂ annealed sample, 3.971 Å for the Ar sample, and 3.951 Å for the air sample.

Combining the results from HRTEM images and XRD patterns, the existence of a SnO₂ phase is still ambiguous and only clearly confirmed for the air annealed sample. The existence of SnO₂ in the H₂ and Ar annealed samples cannot be excluded, as Sn is relatively dilute (~ 3 wt%) and amorphous SnO₂, would not be detected in the XRD as previously noted in the introduction.

The presence of SnO₂ and speciation of the Sn at the surface of the nanoparticles was further investigated using X-ray photoelectron spectroscopy (XPS). The Sn 3d spectra (**Fig. 3**) show two peaks corresponding to Sn 3d_{3/2} and Sn 3d_{5/2} states. These peaks are deconvoluted into two doublets of Sn⁰ (green) and Sn^{IV} (blue) with some constraints (see experimental section), and a peak at the high energy side of Sn 3d_{3/2} (red) related to the loss feature of metallic tin. The deconvoluted peaks clearly show that the Sn species present on the surface of the nanoparticles are composed of Sn^{IV} and Sn⁰, with the ratio of the species dependent on the annealing conditions. The ratios of the peak areas for Sn⁰/Sn^{IV} are summarised in **Table 1**. The large value, 11:1, for the H₂ annealed sample is likely to be

an overestimate of the Sn⁰ component as Sn^{II} was not included in the deconvolution. The binding energies of Sn^{II} and Sn^{IV} are very similar and the 3d peak does not discriminate between these two peaks adequately. However, the large ratio obtained does indicate that much less of the Sn is present as a separate SnO₂ phase, in agreement with both the XRD and HRTEM results.

X-ray absorption near edge structure (XANES) spectra obtained at the Sn K-edge also provide speciation of the Sn, but on a per-atom basis. **Fig. 4A** shows the Sn K-edge spectra of the heat treated samples compared to SnO₂ and Sn foil as references. The K-edge represents the transition of Sn 1s electrons to unoccupied 5p orbitals. The different electronic structures of Sn and SnO₂ (Sn⁰: [Kr]5s²5p²; Sn⁴⁺: [Kr]5s⁰5p⁰) are evident as shifts in the energy required to allow the K-edge transition or edge position and a change in the intensity of the peak at the edge, which is related to the availability of suitable orbitals. The edge position, defined here as the maximum of the first derivative of XANES spectra (inset of **Fig. 4A**), for the Ar and air samples are similar to that for SnO₂. The derivative of the XANES spectra for the H₂ sample shows a broadened maximum, with a shoulder at lower energy. This suggests that more Sn atoms are alloyed with Pt following annealing in H₂, in agreement with the Pt₃Sn alloy phase detected by XRD. The intensities of the peak at the edge for the heat treated samples approach that of SnO₂ and follow the order, air > Ar > H₂.

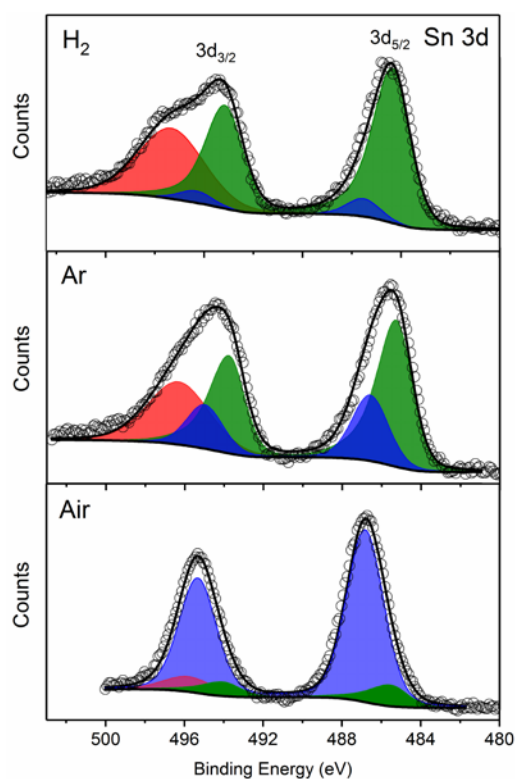


Fig. 3 Sn 3d XPS spectra of samples synthesized under H₂/N₂, Ar or air. Peak deconvolution shown corresponding to Sn⁰ (green), Sn^{IV} (blue), and metallic Sn 3d_{3/2} (red).

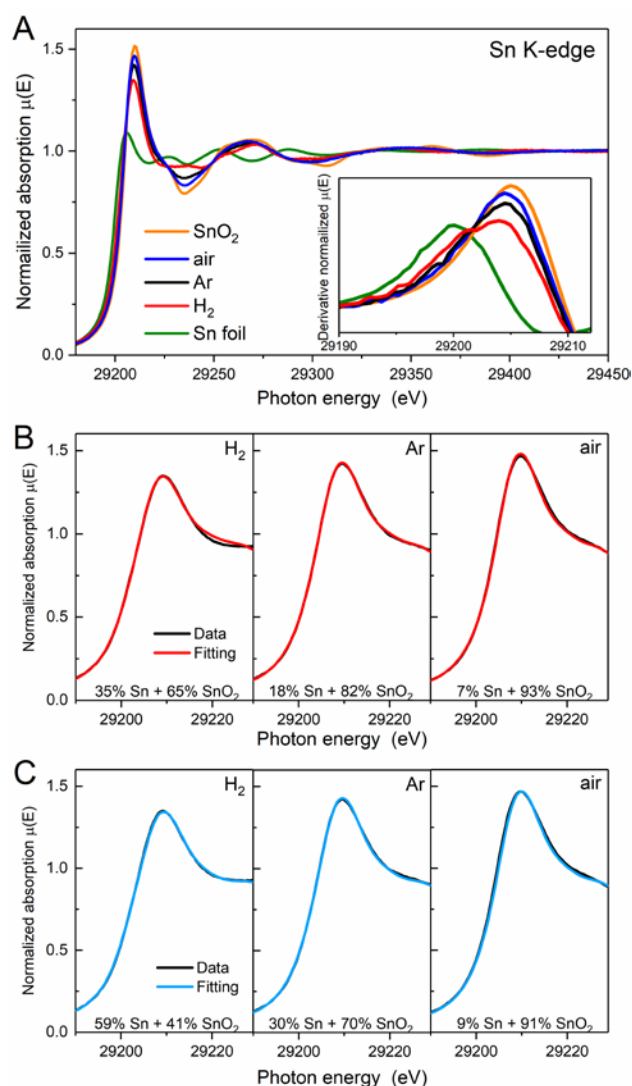


Fig. 4 (A) XANES spectra and their first derivative (inset of A) of as-prepared samples and references on Sn K-edge. (B and C) linear combination fitting (LCF) of the peak. SnO₂ and (B) Sn foil (red line) or (C) the Sn K-edge spectra of the H₂ treated sample collected under H₂ (cyan line) are used as references for the fittings. The goodness of fit (R-factors) for the fits with Sn foil and Pt₃Sn are H₂ treated 0.9% and 0.08%, Ar treated 0.1% and 0.2%, air treated 0.5% and 0.6%.

The Sn⁰/Sn^{IV} atomic ratio can also be estimated by XANES linear combination fitting (LCF, **Fig. 4B**) using the Sn foil and SnO₂ samples as the reference spectra and the results are shown in **Table 1**. Using Sn foil as the Sn⁰ reference spectrum will provide an under estimate of the Sn⁰. The electronic structure of Sn in the foil is not the same as in the Pt₃Sn alloy as electron transfer from Sn to Pt in the alloy increases the d-band occupancy of Pt (Pt XANES data not shown) and also will decrease the occupancy of the p-orbitals in Sn. To account for these effects, a second estimate was obtained using the XANES spectrum of the H₂ treated sample, collected in H₂(g) to minimise the contributions of surface oxides, and the results are also shown in **Fig. 4C** and **Table 1**. As the Sn K-edge EXAFS data (discussed further below) show the presence of oxygen neighbours, this ratio is likely to be an over estimate of the Sn⁰.

Table 1 Atomic ratios of Sn⁰/Sn^{IV} determined by deconvolution of the XPS data ((Fig. 3) and linear combination fitting of the Sn K-edge XANES spectra (Fig. 4B and C).

Samples	Sn ⁰ /Sn ^{IV} from XPS	Sn ⁰ /Sn ^{IV} from XANES using Sn foil and SnO ₂ as references	Sn ⁰ /Sn ^{IV} from XANES using Pt ₃ Sn ^a and SnO ₂ as references
H ₂	11	0.54	1.4
Ar	2.6	0.22	0.43
air	0.16	0.08	0.10

^a the H₂ treated sample collected under H₂

The EXAFS data at the Pt L₃ and Sn K edges provide further characterisation of the average local coordination environments of each atom in the samples. The spectra and corresponding Fourier transforms are shown in **Fig. 5** along with the corresponding first shell fits. The spectra were fitted simultaneously at the Sn K-edge and Pt L₃-edge using input parameters from theoretical models (rutile tin oxide and Pt₃Sn for Sn, platinum oxide and Pt₃Sn for Pt). The coordination parameters obtained are shown in (**Table 2**), all with a good R-factors < 2%. Pt-O scattering paths with low coordination numbers are found for all the samples for the spectra collected in air and are attributed to the slight surface oxidation of PtSn bimetallic nanoparticles. Such surface oxidation is confirmed by comparison with the spectra obtained for the H₂ annealed sample collected under H₂(g) (not shown).

Comparison of the parameters obtained from the EXAFS fitting provides further insight into the structure of the nanoparticles and the effects of heat treatment conditions. The coordination numbers $N_{\text{Pt-Sn}}$, $N_{\text{Sn-Pt}}$ and $N_{\text{Sn-O}}$ (where the first element represents the edge and the second the neighbouring atom) and the Pt-Pt bond length ($R_{\text{Pt-Pt}}$) are in agreement with the XANES analysis, $N_{\text{Sn-O}}$ decreases as the fraction of Sn present as SnO₂ decreases in the order, air > Ar > H₂. Additionally, $N_{\text{Pt-Sn}}$ and $N_{\text{Sn-Pt}}$ are largest for the H₂ annealed sample, indicating greater mixing of the Pt and Sn in the nanoparticles. These results, consistent with XANES measurements, indicate that heat treatment under H₂ promotes the formation of an alloy, but heat treatment under air leads to de-alloying and phase-segregation of SnO₂. This conclusion is supported by examination of $R_{\text{Pt-Pt}}$, as there is a slight increase from the air sample (2.74 Å) to the Ar sample (2.75 Å), with the longest distance observed for the H₂-treated sample (2.78 Å). $R_{\text{Pt-Pt}}$ is expected to increase from 2.77 Å for metallic Pt (ICSD collection code 52250) to 2.83 Å for Pt₃Sn (ICSD collection code 105796). The shorter distances observed for all the heat treated samples, compared to these two reference points, are attributed to effects of the nanoparticle size of the catalysts^{41, 42}.

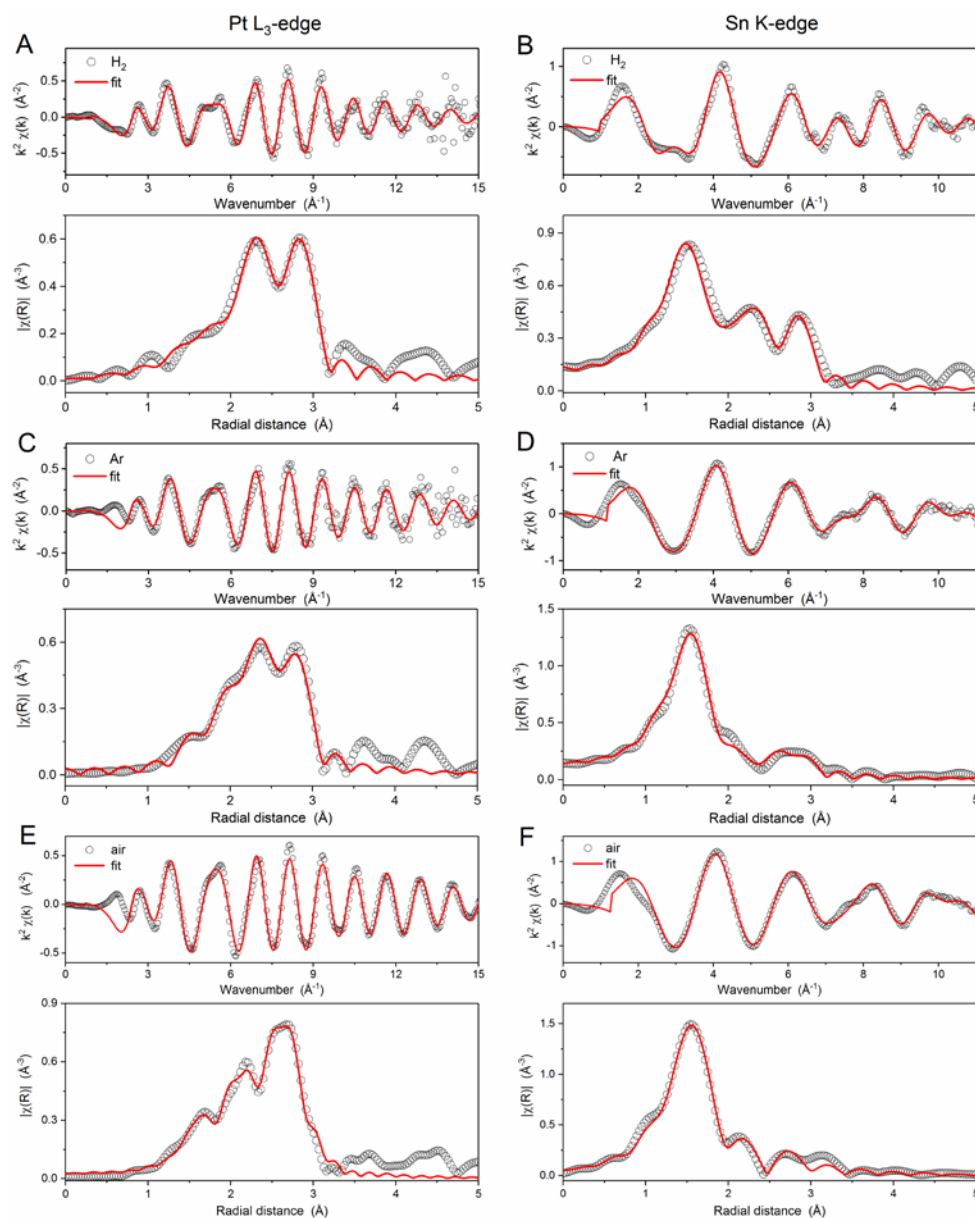


Fig. 5 k^2 -weighted EXAFS spectra and their Fourier transforms (not phase corrected) of (A and B) Pt₃Sn NPs annealed under H₂, (C and D) Ar, and (E and F) air. Spectra at Pt L₃-edge and Sn K-edge are on the left and right column, respectively. The data points and the fittings are plotted as empty dots and red lines, respectively.

Table 2 EXAFS data fitting results of as-prepared samples and Pt foil. All spectra collected as BN pellets in air unless otherwise indicated.

Samples	Shell	N	R(Å)	$\sigma^2 \times 10^2$ (Å)	$J_{\text{Pt-Sn}}$ (%)	R-factor (%)
Pt foil	Pt-Pt	12.0	2.764(1)	0.50(1)	N. A.	0.75
H ₂ collected in H ₂ (g)	Pt-Pt	7.8(2)	2.779(2)	0.68(2)	60	0.56
	Pt-Sn	2.0(2)	2.788(5)	1.07(9)		
	Sn-O	1.2(3)	2.03(1)	0.6(4)		
	Sn-Pt	8.1(8)	2.788(5)	1.07(9)		
	Pt-O	0.4(4)	1.99(6)	0(1)		
H ₂	Pt-Pt	8(2)	2.78(1)	0.9(2)	55	1.19
	Pt-Sn	1.7(7)	2.812(9)	1.0(1)		
	Sn-O	3.0(3)	2.025(8)	0.7(2)		
	Sn-Pt	6.9(9)	2.812(9)	1.0(1)		
	Pt-O	0.3(3)	1.99(6)	0(1)		
Ar	Pt-Pt	8(2)	2.75(1)	0.9(2)	15	1.40
	Pt-Sn	0.4(6)	2.83(1)	0.7(2)		
	Sn-O	4.5(3)	2.061(8)	0.6(1)		
	Sn-Pt	2.6(7)	2.83(1)	0.7(2)		
	Pt-O	0.55(9)	1.974(9)	0.3(2)		
air	Pt-Pt	9.2(6)	2.739(4)	0.99(9)	9	1.11
	Pt-Sn	0.3(1)	2.856(8)	0.08(8)		
	Sn-O	5.0(4)	2.06(1)	0.5(1)		
	Sn-Pt	0.8(3)	2.856(8)	0.08(8)		
	Pt-O	0.55(9)	1.974(9)	0.3(2)		

N, the coordination number for the absorber-backscatterer pair; R, the average absorber-backscatterer distance; σ^2 , the mean square relative displacement.

The alloying degree may be quantified from the fits of the EXAFS data by using the alloying parameter ($J_{\text{Pt-Sn}}$) proposed by Hwang *et al.*⁴³,

$$J_{\text{Pt-Sn}} = \frac{P_{\text{observed}}}{P_{\text{random}}} \times 100\%$$

where $P = N_{\text{Pt-Sn}} / (N_{\text{Pt-Sn}} + N_{\text{Pt-Pt}})$, P_{observed} are the results from EXAFS fitting, and P_{random} is the ratio expected for a perfectly alloyed Pt₃Sn particle (ICSD collection code 105796). The P_{random} is 1/3, as the $N_{\text{Pt-Sn}}$ and $N_{\text{Pt-Pt}}$ of Pt₃Sn are 4 and 8, respectively. As shown in **Table 2**, the alloying degree of as-prepared Pt₃Sn nanoparticles is 9% in the air samples and reaches a maximum of 55% in the H₂-treated sample.

The extent of alloying was then also calculated from the perspective of the each of the other various structural characterisation techniques described above. For the XPS and XANES data the extent of alloying is defined as the fraction of Sn present as Sn⁰, whilst for the XRD and EXAFS data it is

obtained using Vegard's law to estimate the fractional composition of alloyed Sn ($x_{\text{alloyed Sn}}$) first,

$$x_{\text{alloyed Sn}} = 0.25 \frac{l_{\text{measured}} - l_{\text{Pt/C}}}{l_{\text{Pt}_3\text{Sn}} - l_{\text{Pt}}}$$

where 0.25 is the fraction of Sn in Pt₃Sn crystal, $l_{\text{Pt}_3\text{Sn}}$ and l_{Pt} are the lattice parameters of Pt₃Sn standard (4.001 Å) and Pt standard (3.9232 Å) obtained from JCPDS database, respectively, and $l_{\text{Pt/C}}$ and l_{measured} are the lattice parameters of Pt/C (JM) and samples measured using the (220) peak. Then, the extent of alloy is the ratio between $x_{\text{alloyed Sn}}$ and the atomic content of Sn in PtSn, 1/3.43, obtained from the EDX analysis Pt_{2.43}Sn. The ideal ratio would be 1/4 for the Pt₃Sn catalyst. The results of the various calculations are shown in Table 3. Whilst the trend in the extent of alloying is the same for all of the methods H₂ > Ar > air annealed, the values differ significantly, and this illustrates that no one characterisation method yields a full picture of the structure of the catalyst particles.

Table 3 Extent of alloying calculated using the various structural characterisation methods.

Characterisation method	H ₂	Ar	air
J _{Pt-Sn} from EXAFS	55%	15%	9%
XPS	92%	72%	14%
XANES ^a	35-59%	18-30%	7-9%
XRD	68%	41%	19%
EXAFS	79%	31%	12%

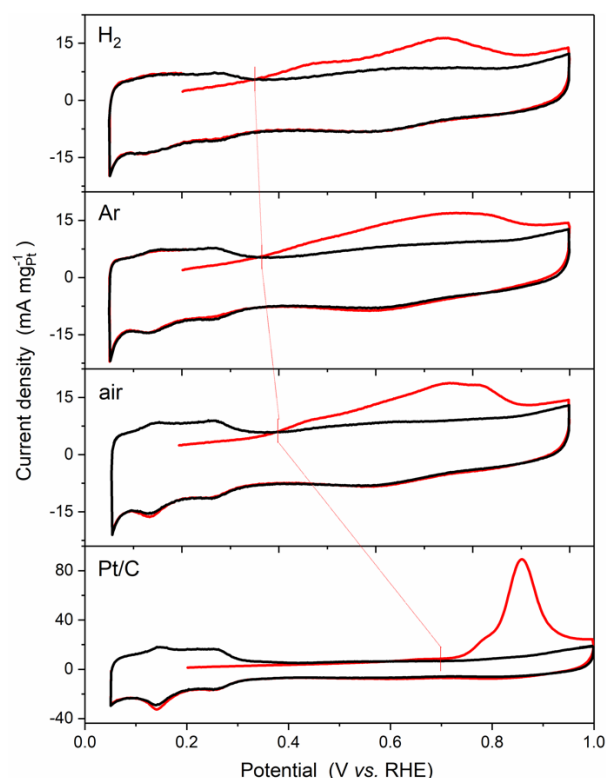
^a using the Sn foil (lower end of range) or Pt₃Sn data (upper end of range) as one of the reference spectra.

The effects of the extent of alloying on the electrocatalytic activities of the series of heat treated Pt₃Sn nanoparticles were then investigated taking the oxidation of a monolayer of CO, which is a known contaminant present in H₂(g) produced by reforming hydrocarbons, and methanol, which is the simplest alcohol used as a fuel in direct alcohol fuel cells. The data are compared to those obtained for a 20 wt% Pt/C reference catalyst supplied by Johnson Matthey.

The CO oxidation voltammograms are shown in **Fig. 6**. Blocking of the H_{ads} sites and additional current associated with oxidation of the adsorbed CO monolayer are observed for all of the catalysts. One measure of the enhanced activity of the bimetallic catalysts is the reduction in the onset potential for CO oxidation. This is defined in the data shown as the crossing of the first cycle (red line) with the second cycle (black line). As shown in **Fig. 6**, the onset potentials shift dramatically from 0.69 V vs. RHE for Pt/C to 0.39 V vs. RHE for the air annealed sample, 0.36 V vs. RHE for the Ar sample, and 0.35 V vs. RHE for the H₂ sample.

The CO stripping voltammograms are commonly used to determine the electrochemically accessible surface areas (ECSA) of Pt based electrocatalysts using a charge density of 420 $\mu\text{C cm}_{\text{Pt}}^{-2}$.⁴⁴ Similarly, the ECSA may be calculated from the H_{upd} peaks using a charge density of 210 $\mu\text{C cm}_{\text{Pt}}^{-2}$. The ECSA values obtained using both methods are shown in **Table 4** along with the ratio of the two. If the two methods are providing a measurement of the same surface area, the ratio of the two values should be 1 within the error of the measurement, and indeed this is the case for the Pt/C reference catalyst. Significant deviations are observed, however, for all of the PtSn catalysts, and these increase with increasing the extent of alloying, in agreement with H₂(g) and CO(g) absorption on Sn surface-enriched Pt-Sn nanoparticles⁴⁵. The origins of which are two-fold. Firstly, as shown in **Fig. 6**, formation of the alloy results in a decrease in the H_{upd} peaks, which would result in an under estimate of the ECSA. This decrease may be attributed to blocking of the H adsorption sites by Sn at the surface of the nanoparticles. Rizo *et al.*⁹ have recently shown that each Sn atom adsorbed on the surface of Pt(111) single crystal

electrodes blocks the H adsorption sites for three Pt atoms, suggesting that the Sn adsorbs in the three-fold hollow sites on the Pt(111) surface in agreement with previous work¹⁵. Secondly, CO may either adsorb on the Sn sites on the surface of the nanoparticles or is not blocked at the Pt sites by the formation of the alloy as found for H adsorption. DFT calculations by Zheng *et al.*⁴⁶ strongly support the latter, as adsorbed CO was not found to be stable at any of the adsorption sites involving Sn atoms. The smaller ECSA-CO values obtained for all of the PtSn catalysts compared to the Pt/C reference catalyst are attributed to a combination of the larger average size of the nanoparticles and the proportional decrease in the Pt sites on the surface of the catalyst nanoparticles with increased incorporation of Sn.

**Fig. 6** CO stripping voltammograms of as-prepared PtSn nanoparticles and commercial Pt/C (20 wt%, JM). A monolayer of CO is adsorbed at 0.2 V vs. RHE. The first cycles, starting at 0.2 V, are shown in red and the third cycle is shown in black. Measurements were carried out in 1 mol dm⁻³ H₂SO₄ at 25 °C with a scan rate of 20 mV s⁻¹. The onset potentials of CO oxidation as defined in the text are marked by red bars.**Table 4** Comparison of ECSA calculated from the charge related to CO stripping and Pt-H desorption

Samples	ECSA-CO (m ² ·g _{Pt} ⁻¹)	ECSA-H (m ² ·g _{Pt} ⁻¹)	ECSA-CO/ECSA-H
H ₂	25.1	7.4	3.4
Ar	26.1	8.4	3.1
air	29.5	12.8	2.3
Pt/C	67.2	60.7	1.1

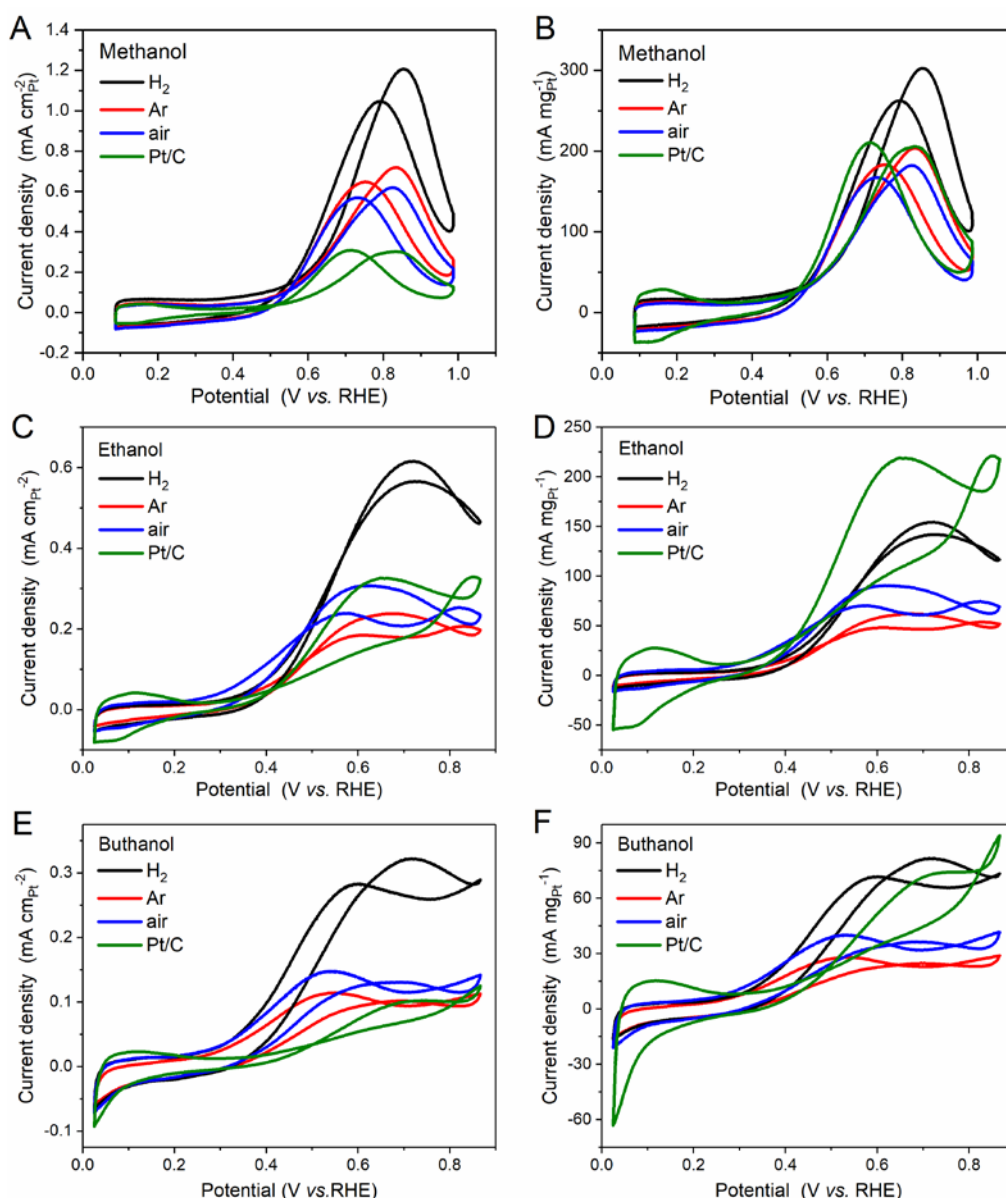


Fig. 7 Cyclic voltammograms illustrating the specific activities (A, C, and E) and mass activities (B, D, and F) of the heat treated Pt₃Sn catalysts and the commercial Pt/C reference catalyst in 0.1 mol dm⁻³ H₂SO₄ and 0.1 mol dm⁻³ (A and B) methanol, (C and D) ethanol, and (E and F) 1-butanol at 25 °C with a scan rate of 50 mV s⁻¹.

The broad CO oxidation peaks observed on all the Pt₃Sn catalysts may be compared to the recent study by Rizo *et al.*⁹ of Sn modified Pt(111) surfaces, who reported two distinct CO stripping peaks, a pre-peak below 0.5 V vs. RHE and a larger main peak at approximately 0.7 V. They attributed the pre-peak to oxidation of CO adsorbed on Pt with adjacent Sn sites at which water is activated, whilst that at higher potentials to promotion of CO oxidation by OH adsorbed on Sn that are not in direct contact with Pt. Closer examination of the CVs in Fig. 6 show a more distinct pre-peak between 0.4 and 0.5 V for the H₂ annealed sample. As this sample has the greatest extent of alloying there should be a greater number of Sn sites at which water can be activated adjacent to Pt sites on the surface of the nanoparticles.

The methanol oxidation activity is similarly evaluated using cyclic voltammetry in 0.1 M methanol with 0.1 M sulfuric acid

as electrolyte. The current densities have been normalised to the equivalent area of Pt using the ECSA-CO values in Table 4 in Fig. 7A and the mass of Pt in Fig. 7B, representing the specific and Pt mass activities of the catalysts, respectively. The cyclic voltammograms of the heat treated Pt₃Sn NPs share the same features with Pt/C, with oxidation peaks appearing in both the forward sweep and the reverse sweep. Across the potential range of these peaks, the Pt₃Sn NPs, particularly the H₂ sample, show larger specific activities (Fig. 7A) than that for Pt/C. The specific activity enhancement would appear to be even greater if the ECSA-H values had been used, but we suggest that such a comparison is inappropriate, as H adsorption is unlikely to be a good model of the methanol adsorption sites. In contrast, when the Pt mass activities are compared (Fig. 7B), only the H₂ annealed sample shows enhanced activity.

The ethanol and butanol specific and mass activities of the Pt₃Sn catalysts are also shown in **Fig 7**. The specific activities of all of the catalysts, assessed by comparison of the maximum current density, for ethanol oxidation are approximately half and those for 1-butanol oxidation are approximately one quarter of those observed for methanol oxidation. Only the H₂ annealed catalyst shows enhanced specific activity for ethanol and 1-butanol oxidation compared to that for the Pt/C reference catalyst. When the mass activities are compared, none of the Pt₃Sn catalysts show enhanced current densities at the maximum.

The relative activities of the catalysts for oxidation of the three alcohols may also be assessed by comparison of the onset potential, which is the potential at which the current density starts to increase significantly, with the more active catalyst having the lowest onset potential. This is more difficult to define for the alcohol oxidation CVs than for the case of CO shown above and should only be used to provide a ranking of catalyst activity. No improvement in onset potential with alloy formation is observed for methanol oxidation. A slight improvement, by approximately -0.1 V, is seen for the air annealed catalyst for ethanol oxidation, and all of the Pt₃Sn catalysts show a similar -0.1 V improvement for 1-butanol oxidation.

Enhancements of activity of bimetallic Pt-based catalysts for CO oxidation have long been attributed to a bifunctional effect in which OH adsorbed on Sn provides active O species which facilitate the oxidation of CO adsorbed on Pt sites⁴⁷. The role of this mechanism in the oxidation of small organic molecules was reviewed 30 years ago by Parsons and Van der Noot⁴⁸ and more recently for CO and methanol oxidation on Pt-Sn catalysts by Antolini and Gonzalez⁴⁹. The structural characterisation data presented above indicates that a proportion of the Sn is present as SnO₂, with SnO₂ present as a separate phase for the air annealed catalyst. Adsorption of CO and the alcohols requires available Pt sites on the surface of the catalyst nanoparticles, whilst removal of CO and any partial oxidation products of the alcohols requires nearby Sn sites.

For CO oxidation, the lowest onset potential was obtained for the H₂ annealed sample, which has the greatest extent of alloying of the three. As discussed above and in agreement with Rizo *et al.*⁹ this result suggests that the onset of the reaction is determined by the availability of Sn atoms at which water is activated, i.e. those not present as a separate SnO₂ phase, adjacent to Pt atoms on which CO is adsorbed.

For methanol oxidation, no variation in the onset potential was observed, although the H₂ annealed sample exhibited the largest specific activity. This result is in agreement with those of Haner and Ross¹⁵ for methanol oxidation, who showed enhanced current density at Pt₃Sn(111) compared to Pt(111), but no shift in the onset or peak potentials, and in contrast to the conclusions of the review by Antolini and Gonzalez, who found that best methanol oxidation catalyst was a mixture of Pt and SnO₂, suggesting that the air annealed sample should have been best. The latter has been interpreted as the need to provide Pt sites for methanol adsorption, whilst minimising

facilitating CO oxidation by the availability of active Sn sites. Our results suggest that the active Sn sites need to be associated with the Pt sites of the nanoparticles and are not easily accessible if present as a separate SnO₂ phase.

For ethanol oxidation, the air annealed catalyst exhibited the lowest onset potential, whilst the H₂ annealed sample exhibits the largest specific activity. These results are in good agreement with the previously reported work by Jiang *et al.*⁵⁰ who show that the less well alloyed Pt₃Sn catalyst is more active at low current densities or potentials and Godoi *et al.*²⁴ who show that the oxidation current increases with alloying. This suggests that, as in the case of methanol, a balance must be struck between availability of adsorption site, defining the onset potential, and electronic or bifunctional effects that are important to sustain higher current densities.

Finally, for 1-butanol oxidation, all of the Pt₃Sn catalysts exhibited similar lower onset potentials compared to the Pt/C reference catalyst, suggesting that the availability of either adjacent Sn sites at which water activation takes place or adsorbed OH on non-adjacent Sn sites facilitates the oxidation. The observation that only the H₂ annealed Pt₃Sn catalyst exhibits much increase in the specific activity, suggests that the former is more important in determining 1-butanol oxidation activity.

Conclusions

Annealing of carbon supported Pt₃Sn nanoparticles under air, Ar and H₂ atmospheres has provided a means of varying the extent of alloying without changing the overall composition of the catalyst. The structures of the nanoparticles were then characterised using TEM, XRD, XPS, XANES, and EXAFS at both the Pt L₃ and Sn K edges. The extent of alloying was characterised using each method and, as shown in **Table 3**, the values obtained are technique dependent. TEM and XRD are biased towards the more crystalline components of samples, XPS is biased towards the surface composition, and the XANES and EXAFS provide per-atom averages. However, the trend is the same for each of the methods with the extent of alloying increasing air < Ar < H₂ annealed, in agreement with previously published studies^{23, 24, 50}, although none of these previous studies of provide as detailed characterisation as reported in this study.

The activity enhancements, compared to the activity of the 20 wt% Pt/C reference catalyst, observed for CO, methanol, ethanol, and 1-butanol oxidation can be understood in terms of the relative effects of active Sn atoms that are close to (alloyed with) the Pt atoms on the surface of the catalyst nanoparticles and other Sn species such as SnO₂. For CO oxidation, the lowest onset potential was observed for the H₂ annealed catalyst, which is also the most well alloyed catalyst, with the smallest fraction of Sn present as SnO₂, supporting the view that water activation at Sn sites provides the enhancement. For methanol oxidation, no shift in onset potential was observed with variation in the extent of alloying, but the enhanced specific

activity of the H₂ annealed catalyst once again suggests that the mechanism of enhancement is similar to that for CO oxidation. For ethanol oxidation, the onset potential was lowest for the air annealed catalyst, which has more Pt rich nanoparticles as more of the Sn is present as SnO₂, but the largest specific activity was obtained for the well-alloyed H₂ annealed sample. These conflicting results indicate that a balance must be struck between availability of Pt sites (onset) and active Sn atoms to assist in more complete oxidation. In contrast, for 1-butanol oxidation, the onset potentials of all the heat treated Pt₃Sn catalysts were similar, with only the H₂ annealed sample exhibiting any significant increase in specific activity. This result suggests that, whilst both types of Sn sites enhance activity, as observed for CO, methanol, and ethanol, water activation at Sn atoms adjacent to the Pt atoms on the surface of the catalyst nanoparticles is the dominant enhancement mechanism.

Conflicts of interest

There are no conflicts to declare.

Acknowledgements

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