

1 Electrothermal deterioration factors in gold planar inductors designed for 2 microscale bio-applications

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11 Abstract

12
13 In this study, we present the fabrication of wafer level micro-inductors, designed for non invasive neuro-
14 stimulation in vitro, along with an electrothermal study testing the influence of thermal phenomena to
15 their performance. The electric performance of all micro-scale electromagnetic components is
16 hampered by two dominant factors: Joule heating and electromigration. The scope of the study is to
17 evaluate how these phenomena change the electric behaviour of the samples during activation. We
18 experimentally define the safe area of operation across six types of samples with different geometric
19 characteristics and we extract useful information for the reliability of the samples by comparing their median
20 failure times. Our findings present the activation restrictions which should be taken into account in order
21 to avoid the thermal degradation of the components, while at the same time could be used as design
22 guidelines for similar geometries.

24 1. Introduction

25
26 The need of downscaling inductors in integrated circuits has been a long term requirement for a broad
27 range of applications, while the development of microfabrication techniques has assisted towards this
28 direction. In the majority of applications micro-coils are usually exploited in the radiofrequency (RF)
29 range. Typical examples can be found in antenna applications, transformer designs [1,2], low noise
30 amplifiers [3] and filters. In tandem, a number of different technologies [4] and geometries [5] have
31 also been proposed in order to improve their performance and enhance their efficiency. Integrated
32 inductors have also been used as sensors [6], in microscale NMR applications [7] and as transmitter-
33 receiver systems for human body implants [8].

34 Recently the need of scaling down the size of the electromagnets is coming rapidly into the foreground
35 in the field of bio-medical applications. In vitro, decreasing the size of the inductors is translated into
36 high spatial resolution in either selectivity or sensing applications. At the same time, similar devices
37 transferred to flexible substrates, could be used in vivo, serving the constantly growing need for devices
38 implanted into living tissue. A bio-application which has attracted significant attention over the previous
39 years is magnetic neuro-stimulation, which could be used as an alternative non-invasive method for
40 exciting neural cells both in vitro [9,10] and in vivo [11,12]. The method is based on Faraday's law of
41 induction and the idea was inspired by the clinical method of transcranial magnetic stimulation (TMS)
42 [13,14], where an inductor is used to evoke activity on specific brain regions, used for treatment of

43 different diseases. In vitro, the miniaturisation of TMS remains quite simple in principle, while it
 44 overcomes a series of problems that invasive techniques suffer from, such as the electrode corrosion,
 45 biofouling phenomena and electrode electrolyte interface problems. In vitro implementation of TMS
 46 demands a size of the inductor comparable to the size of the target cell, to assure a high spatial resolution
 47 and a localised region of activation. Up to now however, the inductors used were in the submillimetre
 48 scale as it is a challenge to achieve high spatial resolution and sufficient current capacity to trigger the
 49 cell activity without electrothermally wear out the inductors. The efficiency of a micro-magnetic
 50 stimulator to elicit cellular activity is defined by the geometric characteristics of the structure, the
 51 relative distance between the micro-inductor and a cell and by the maximum amplitude of the input
 52 current to the device.

53 Thermal and mechanical effects, such as Joule heating and electromigration, limit the performance of
 54 inductors especially when the cross section of the metallic components is decreasing to $\sim 10\mu\text{m}^2$. The
 55 thermal degradation of planar micro-inductors has been studied both experimentally [15], to identify
 56 the influence of the different substrates on the heat dissipation mechanism and numerically [16], in
 57 CMOS based inductors. The impact of these phenomena has been excessively studied on systems of
 58 very large scale integration interconnects (VLSI). More specifically, the flow of current through a metal
 59 conductor either for biasing or signal transmission purposes, is a means of power distribution to the
 60 system. However, a number of physical mechanisms transform the electrical power into thermal,
 61 influencing the design and reliability of the system. Circuit designers of large scale interconnect systems
 62 set restrictions to the maximum current density flowing into the system to eliminate as much as possible
 63 the increase of the temperature. [17–22].

64 For a time dependant current density $j(t)$, two different quantities are involved in the deterioration
 65 mechanisms. The j_{rms} value is involved in the self-heating of the micro-coils, due to Joule heating, while
 66 the j_{avg} value is the involved in the electromigration mechanism [23]. Joule heating is a major issue of
 67 temperature increase in a metal and is described by equation 1.

$$68 \quad \Delta T_{\text{Joule heating}} = T_m - T_{\text{amb}} = I_{\text{rms}}^2 R R_{\text{th}} \quad (1)$$

69 T_m is the metal temperature, T_{amb} is the initial reference temperature, I_{rms} is the rms value of the flowing
 70 current, R is the electric resistance and finally R_{th} is the equivalent thermal resistance.

71 Electromigration is another temperature dependent phenomenon related to the mass transfer (metal
 72 atoms) due to high stress created as the current density increases. The main cause of the metal atoms
 73 mechanical movement is the momentum transfer from the electron wind. In some cases, the failure and
 74 damage caused are irreversible due to openings and loss of metal continuity. The phenomenon is more
 75 significant for DC currents, rather than AC currents of same magnitude. The median time to fail (MTF)
 76 in hours [24] is given by Black's Law in equation 2.

$$77 \quad MTF = \frac{A}{C J^n} e^{\frac{E_B}{kT_m}} \quad (2)$$

78 Where A is the cross section, C is a constant depending the micro-structure of the deposited material, J
 79 is either the DC or average density in A/cm^2 , n typically lies between 1-2, E_B is the activation energy in
 80 eV, k is the Boltzmann constant and T_m the temperature of the metal in degrees Kelvin.

81 The development of a neuro-stimulating tool able to work over a wide range of biological preparations
 82 and morphologies requires well defined limits of safe operation to add an additional level of control to
 83 our experiments. Within this study, we investigate the impact of both Joule heating and electromigration
 84 on the behaviour of our samples. We test the activation limits of the inductors, so as to define the
 85 maximum current density they could hold and we trigger a discussion on considerations which should
 86 be taken into account in the design of similar type electromagnets. The chip we propose for magnetic

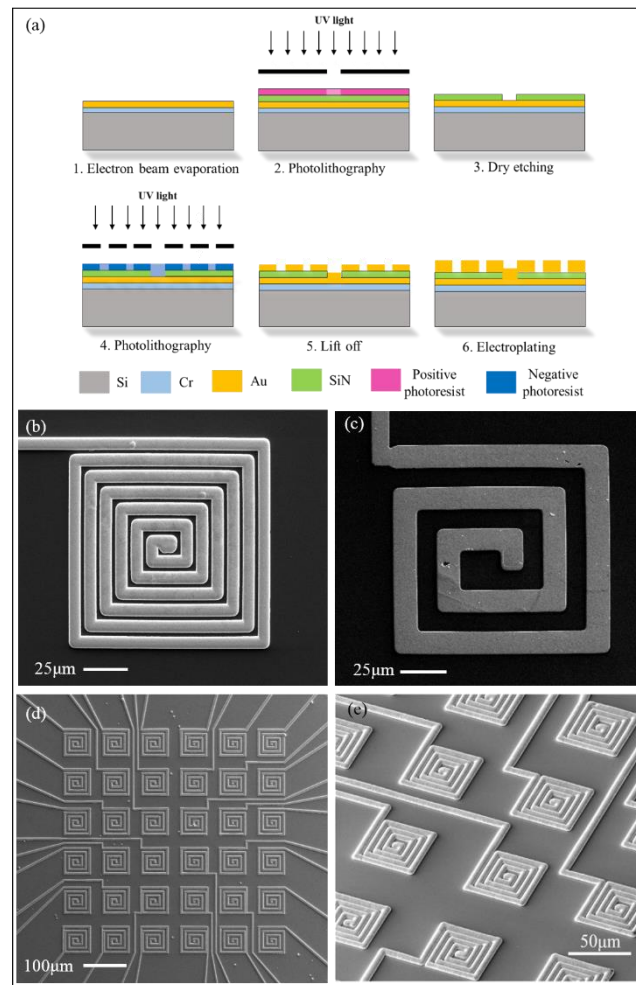
87 stimulation of neural cells in vitro consists of 36 micro-inductors in a square array and is developed
88 with standard micro-fabrication techniques. Six different geometries are studied, all of which with a
89 side width of 100 μ m, but with differences in the metal track width and thickness.

90 2. Materials and Methods

91 2.1 Microfabrication of a planar inductors

92 The fabrication procedure is illustrated in figure 1 (a). The use of a ground plane to connect the one
93 end of the micro-coils was chosen based on a number of different reasons. The ground plane is made
94 of Au and is deposited by an electron beam evaporator in the thickness of 50nm, which corresponds to
95 a sheet resistance of 488m Ω /square. The ground plane simplifies the configuration in the two
96 dimensional array, as every coil will need a single pad connection instead of two. It also enables all
97 the metallic structures to be galvanically connected to the same potential during the electroplating step.
98 Between the silicon wafer and the electrodeposited layer of Au, a Cr layer of 5nm is added for adhesion
99 purposes. With reactive sputtering a 100nm thick insulating layer of SiN is then deposited. Optical
100 lithography is used to create the vias to the ground plane (1st optical lithography) and the metallic track
101 of the micro-coils (2nd optical lithography), in combination with inductively coupled plasma etching of
102 SiN and lift off of Au (100nm) respectively.

103



104

105 **Figure 1.** (a) Schematic graph with the fabrication steps in the development of a micro-coil. (b), (c) SEM images
106 of fabricated planar micro-inductors (samples I, VI respectively). (d), (e) SEM images of a fabricated 6x6 array.

107

108 Electroplating is finally used to increase the micro-coils' thickness to the micro-scale. The results
 109 presented below concern micro-coils with a thickness of 0.9 μm and 1.95 μm . The characteristics of the
 110 structures under study as well as the DC resistance of each micro-inductor are summarised in table 1
 111 below. All the micro-coils cover an equal surface area of 100x100 μm^2 , chosen for adequate spatial
 112 resolution within the cell culture area. Imaging with a scanning electron microscope (SEM) of single
 113 coil geometries and array configurations fabricated are also illustrated on figure 1 (b), (c).

114 **2.2 Measurement procedure**

115 The measuring procedure followed involves a DC current set as an input, with a constantly increasing
 116 amplitude from 1-100mA. Between each amplitude step and after a significant time waited for thermal
 117 equilibrium, another DC value of lower amplitude is applied, at 1mA, representing a measurement in a
 118 colder state of a micro-coil. All the measurements were performed with a current list sweep on Keithley
 119 4200C semiconductor parameter analyser, in a pulsed mode, where the duration of the ON and OFF
 120 phases of each pulse lasted 100ms and 1s respectively. The choice of 1s as a safe time for the system to
 121 reach equilibrium was tested prior to our actual experiment, with some parametric testing. Since we
 122 were not aware for the time constant of our system, our initial measurements started with a current list
 123 sweep with 10ms of pulses followed by 10s of rest. Our measurements were compared with pulses of
 124 10ms followed by 5s of rest and finally 10ms on and 1s of rest. Since no significant differences were
 125 observed in the behaviour of the samples in the different cases, we considered 1s as a safe limit for the
 126 system to reach equilibrium and study the steady state. We tried both a forth and back pulse sweep, as
 127 part of the experiment standardisation in the first steps of our study. For measurements below the
 128 deterioration limit, we did not observe any non-linear effect and this is why we decided to focus on
 129 measurements of increasing amplitude pulses only. Above the deterioration limit, the backward
 130 measured values (especially of R_{cold}) became more random, a fact which was considered as an indication
 131 of deterioration of the device.

132 The settings are chosen to be equivalent to those needed in a realistic scenario of excitable cells'
 133 excitation. The rms values of the current density are determined by the choice of the specific duty cycle
 134 (10%), which is based on the worst case scenario of a realistic activation scheme. Keeping a record of
 135 the resistance, obtained from current and voltage values, in the hot and cold states of the micro-coils
 136 enables us to calculate the T_{hot} and T_{cold} temperature in every step and finally plot the power injected
 137 and dissipated by the micro-coils over temperature. Specifically T_{hot} and T_{cold} are calculated from
 138 equations 3 and 4 at every measurement point. The DC resistance of the inductor is given by equation
 139 3, where ρ_T is the electric resistivity of the material, l is the total length and S the surface which
 140 corresponds to the cross section of the metallic track.

$$141 \quad R = \rho_T \frac{l}{S} \quad (3)$$

142 The electric resistivity ρ_T depends on the temperature in a linear manner, as expressed by equation 4.
 143 $\rho_{T_{\text{amb}}}$ is the resistivity at ambient temperature with a value of 2.44 10^{-8} Ohm·m for gold and α_{th} is a
 144 temperature coefficient, which for gold has the value of 0.0034K $^{-1}$.

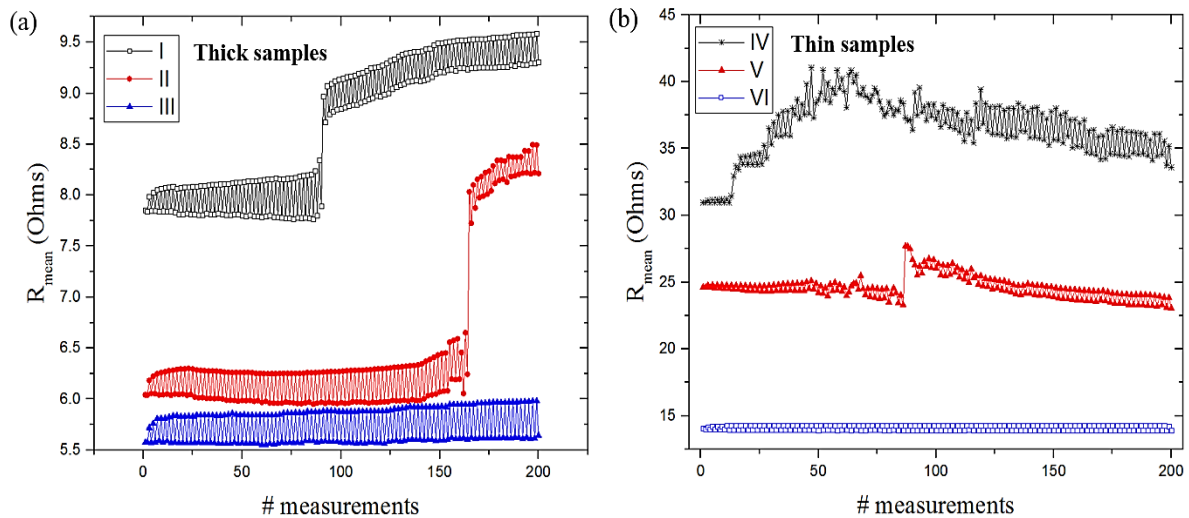
$$145 \quad \rho_T = \rho_{T_{\text{amb}}} \left(1 + \alpha_{\text{th}} (T - T_{\text{amb}}) \right) \quad (4)$$

146 The measurements are performed with a 2 probe setup. One SMU in current mode feeding the inductor
 147 through the biasing pad and the other SMU forcing a reference zero potential in the ground pad (current
 148 list sweep in pulsed mode). The resistance value is obtained from the voltage measured with a resolution
 149 of 1 μV and set current.

150 **3. Results**

151 **3.1 Effects of Joule heating in electric resistance**

152 The deterioration points of the six different geometries are illustrated on figure 2 a,b. Samples I-III are
 153 geometries with different track width from a die with thickness $1.95\mu\text{m}$, while IV-VI are similar
 154 geometries with lower thickness, $0.9\mu\text{m}$. The geometric characteristics of the samples are summarized
 155 in Table 1. Every two measurements (one hot at different current and one cold constantly at 1mA) the
 156 biasing current increases by 1mA. For each coil, the upper and lower set of measurements correspond
 157 to the hot and cold measurements respectively. The resistance is plotted along the number of
 158 measurements, so as to illustrate clearly the behaviour of the resistance at the colder states. However it
 159 remains easy to correlate each number of hot measurement to the current flowing through the micro-
 160 coil, since: $I(\text{mA}) = \# \text{measurement} / 2 + 0.5$. For example, coil I reveals a deterioration point at
 161 $\# \text{measurement} 89$, which corresponds to $I(\text{mA}) = 89/2 + 0.5 = 45\text{mA}$. Samples III and VI, have a
 162 deterioration limit at current amplitudes greater than the maximum 99mA we tested. The resistance is
 163 extracted based on measurements of two different micro-coils of the same geometry. As expected the
 164 smaller the metallic track cross section of a micro-coil, the lower the current at which the degradation
 165 occurs. This is obvious either by comparing different geometries on the same thickness (eg. samples I-
 166 III versus IV-VI), or same geometries over different thickness (eg. samples I versus IV). The behaviour
 167 of sample IV is revealing the expected behaviour with some more stochasticity, which could be
 168 attributed to the fact that it is the most sensitive geometry. Sample IV has the smallest cross section in
 169 comparison to the rest of the samples. The values of resistance before starting the current sweep, are
 170 presented also in Table 1, along with their expected values, which were calculated analytically.



171
 172 **Figure 2.** Thermal degradation of micro-coils, given by a steep increase in resistance as the current increases. (a)
 173 Samples I-III with a thickness of $1.95\mu\text{m}$ and (b) Samples IV-VI with a thickness of $0.9\mu\text{m}$.

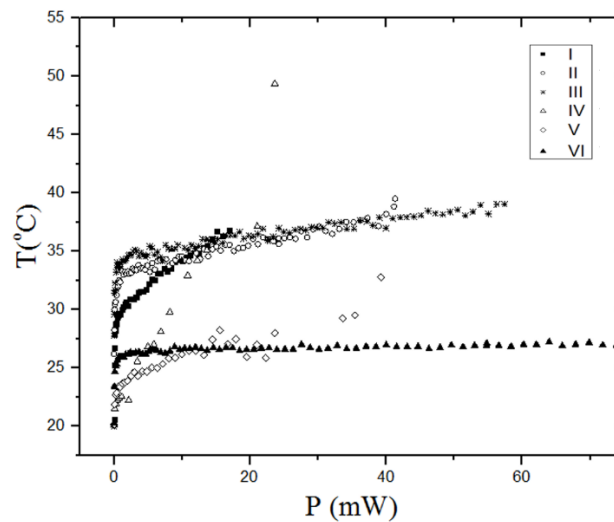
Sample	Thickness (μm)	Width (μm)	R_{calc} (Ohms)	$R_{\text{cold-meas}} \pm \delta R_{\text{cold}}$ (Ohms)	I_{det} (mA)
I	1.95	5.9	8.16	7.85 ± 2.53	45
II	1.95	8.9	4.32	6.04 ± 2.50	80
III	1.95	13.9	3.69	5.56 ± 2.15	>99
IV	0.90	3.8	25.72	30.17 ± 4.73	24
V	0.90	6.8	11.93	27.20 ± 6.67	40
VI	0.90	11.8	6.61	14.03 ± 4.27	>99

174
 175 **Table 1.** Geometric characteristics, electric resistance and current (peak values) at deterioration point of the
 176 geometries under study.

177 The value of the resistance arises from the micro-coil resistance, which is standard for all micro-coils
 178 in an array, plus some additional factors. The additional factors are the resistance from the thin wire
 179 which connects the coil to the pad and the influence of electroplating on the geometric characteristics.
 180 Part of the thin wire geometry is illustrated on figure 1 (d),(e). The thin wire has a mean length value
 181 of 2000 μm and differs up to $\approx 300\mu\text{m}$ between different coils within the same array. In the case of an
 182 electrodeposited layer of Au with a thickness of 1.95 μm , this is translated as an additional resistance in
 183 the order of 0.2Ohms/100 μm . This is also taken into account as a systematic error on the estimation of
 184 the resistance uncertainty. The δR_{cold} error includes both the standard error of two measurements in
 185 different coils of same type and also a systematic factor arising from the thin wire difference in length.

186 3.2. Heat transfer mechanisms and maximum current density at the deterioration point

187 The electric energy applied to the devices is converted to thermal and figure 3 shows the change of
 188 temperature over the applied power. The non-linear behaviour of the graph indicates that heat is not
 189 only dissipated by thermal conduction, but also by additional heat transfer mechanisms, such as
 190 convection. Figure 3 shows the results for the thick and thin structures. The power is calculated from
 191 the measured voltage and current values and is plotted up to 75mW.

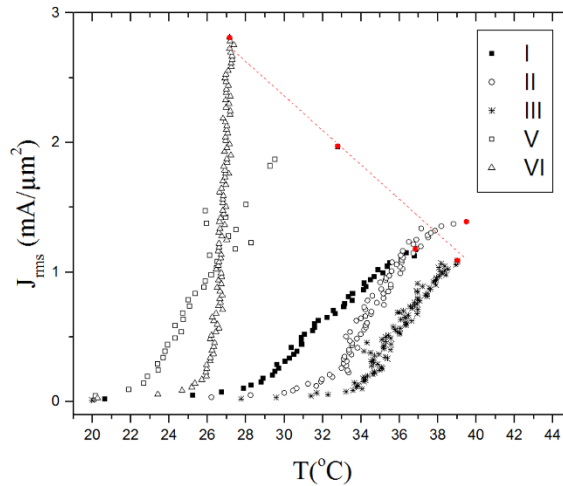


192
 193 **Figure 3.** Temperature over applied power for the six geometries.

194 The rms current density up to the deterioration point of each sample is presented in figure 4. A linear
 195 fit of the deterioration points of the structures, illustrated as red full circles in figure 4, is giving a
 196 relation between the maximum current density flowing to the different geometries and the deterioration
 197 temperature. Sample IV was excluded from the fit, so as to obtain a more accurate result. Being the
 198 most sensitive sample, in the sense of thinner cross section, reveals the most unstable and noisy behaviour
 199 between all samples especially as it approaches the deterioration point. This is also obvious from figure
 200 2(b). More specifically, the extrapolated relation is presented below.

201
$$J_{\text{rms|det}} \left(\frac{\text{mA}}{\mu\text{m}^2} \right) = - 0.133T_{\text{det}} (^\circ\text{C}) + 6.345 \quad (5)$$

202 Figure 4 and expression 5, provide key information for the design of micro-inductors as inductive
 203 components or heating elements.



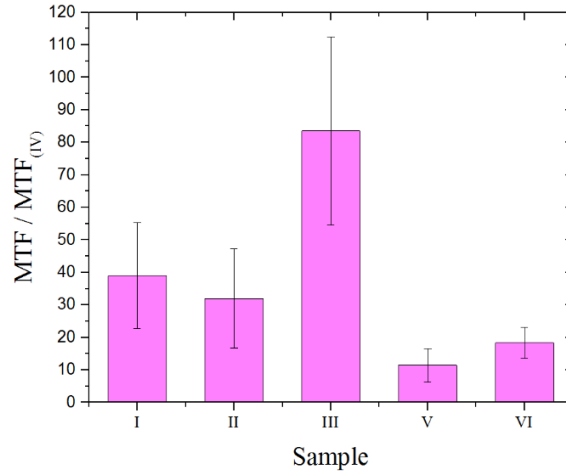
204

205 **Figure 4.** The current density (rms values) of the geometries versus the temperature of the metal due to Joule
 206 heating. The deterioration points are plotted as red full circles.

207 **3.3 Long term reliability analysis: electromigration**

208 Embedding the magneto-stimulating platform into a lab on a chip device is translated into a need for
 209 long term functionality. However, electromigration is another deterioration factor which affects the long
 210 term reliability of the micro-coils and should be taken into consideration. As discussed, Black's law, in
 211 equation 3, describes the failure time of electronic components functioning at specific conditions.
 212 However, in our case it is not possible to estimate the value of the constant C as it is related to specific
 213 characteristics of the electroplated gold layer, such as the metal grain size. At the same time, there is no
 214 scientific record for the value of this constant for gold, as most of the studies focus on standard materials
 215 used in CMOS technologies. Even though it is not possible to calculate an absolute value for the MTF
 216 of each sample in our case, we make a relative comparison between the samples. The MTF of each
 217 sample is calculated in respect to the MTF of the most sensitive sample in terms of geometry, which is
 218 the IV sample. This will enable us to find the most reliable amongst the samples and also quantify its
 219 superior performance. Assuming functionality of the inductors close to their deterioration limit, with a
 220 duty cycle as above and under non-stop functionality, we study the worst case scenario. For the
 221 calculation we use the current density at the deterioration point of each sample, the temperature is given
 222 from the relation $T_m = T_{ref} + \Delta T_{det}$, where $T_{ref} = 293K$ and ΔT_{det} arises from the previous Joule heating study
 223 and represents the increase of temperature at the deterioration point. Finally, the activation energy of
 224 an electroplated and not passivated gold layer is $E_a = 0.88eV$ [25]. Figure 5 summarizes the comparison
 225 of the MTF of each sample over the MTF of sample IV. As expected, all samples have higher MTF,
 226 with sample III revealing the highest with $MTF_{III}/MTF_{IV} = 83.53 \pm 28.96$. Electromigration should also
 227 be taken into account in case there is a demand (eg. arising from the biological experiment) for
 228 increasing the current flowing through the micro-coils. In that case, the duty cycle of the train of pulses
 229 becomes an important factor. More specifically, the MTF should be higher than or equal to a failure
 230 time set as a goal, described by equation 6.

231



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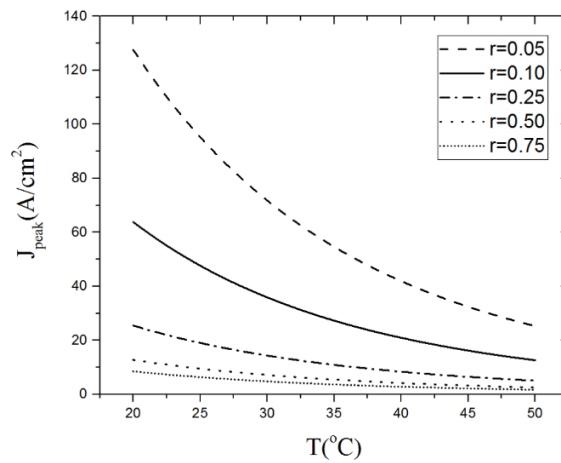
233 **Figure 5.** The median time to fail (MTF) of each sample over the MTF of the sample IV, with the smaller cross
 234 section.

$$235 \quad MTF \geq MTF_{goal} \Rightarrow \frac{\exp\left(\frac{E_a}{kT_m}\right)}{J_{avg}^2} \geq \frac{\exp\left(\frac{E_a}{kT_{ref}}\right)}{J_o^2} \quad (6)$$

236 Where $T_{ref}=293K$ and J_o is the current density at the deterioration point. Since $J_{avg}=r \cdot J_{peak}$, the peak value
 237 of the allowed current density at a specific temperature T_m , is bound by the upper limit of equation 7.

$$238 \quad J_{peak}^2 \leq \frac{J_o^2}{r^2} \frac{\exp\left(\frac{E_a}{kT_m}\right)}{\exp\left(\frac{E_a}{kT_{ref}}\right)} \quad (7)$$

239 Plotting this relation in figure 6, for sample IV, shows how drastically the maximum allowed current
 240 density peak decreases in higher metal temperatures. At the same time, the plots of different duty cycles
 241 indicate that towards spike operation micro-coils could sustain higher amounts of current, if this is
 242 needed for stimulation purposes. The relation is plotted over a biologically acceptable temperature range
 243 since temperatures above 40°C cannot be tolerated due to cell sustainability reasons.



244

245 **Figure 6.** The maximum allowed peak value of current density pulses over the metal temperature T_m , for five
 246 different duty cycles (sample IV).

247 4. Conclusions

248 In this article we presented the fabrication of planar inductors made of gold on a silicon wafer, designed
249 as the key component to a platform of magnetic stimulation of excitable cells in vitro. The main scope
250 of the study was firstly to identify the limits of safe operation of different geometries under functioning
251 conditions similar to those needed in a real bio-experiment. A relation between the current density at
252 the deterioration limit and the temperature was also extrapolated and could act as a design parameter to
253 similar studies. Finally, the effect of electromigration on the samples was also an interest of this study.
254 A comparison of the different median failure times was presented along with the calculation of the
255 maximum allowed current density peak value for different duty cycles. In conclusion, our findings
256 presented the factors of electrothermal deterioration and design considerations important for the
257 implementation of a high-spatial resolution micro-magnetic array into a biocompatible prototype for
258 non-invasive cell stimulation.

259

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