

# Human CD49a+ lung NK cell cytotoxicity in response to Influenza A Virus

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#### Conflict of interest statement

The authors declare a potential conflict of interest and state it below

KS and TW have applied for a patent for the explant infection model (PCT/GB2010/050821 "Ex Vivo Modelling of Therapeutic Interventions"). The report funding from GSK Biologicals SA and AstraZeneca outside of the submitted work. GC, KO and SK have no potential conflict of interest to declare.

#### Author contribution statement

Data acquisition, analysis and interpretation was provided by GC, KO and KS. All authors contributed to the drafting of manuscript for important intellectual content and manuscript conception and design

#### Keywords

NK cells, Human lung, viral infection, Cytotoxicity, CD49a, Influenza A virus

#### Abstract

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Influenza A virus (IAV) is a major global public health burden due to its routine evasion of immunisation strategies. Natural Killer (NK) cells are innate cytotoxic cells with important antiviral activity in the human body, yet the function of these cells in the control of IAV infection is unclear. The aim of this study was to determine the role of lung NK cell cytotoxic responses to IAV. Human lung explants were infected ex vivo with IAV and lung NK cell activation was analysed by flow cytometry. Cytotoxic responses of NK cell subsets against IAV-infected macrophages were measured by flow cytometry and ELISA. Despite reports of hypofunctionality in the pulmonary environment, human lung-associated NK cells responded rapidly to ex vivo IAV infection, with upregulation of surface CD107a 24 h post-infection. The lung NK cell phenotype is similar in maturity and differentiation to NK cells of the peripheral blood but a unique CD56brightCD49a+CD103+CD69+ NK cell population was identified in the lung, indicating NK cell residency within this organ. In response to ex vivo IAV infection a greater proportion of resident CD56brightCD49a+ NK cells expressed surface CD107a compared to CD56brightCD49a- NK cells, suggesting a hyperfunctional NK cell population may be present within human lung tissue and could be the result of innate immunological training. Furthermore NK cells provided significant anti-viral, cytotoxic activity following contact with influenza infected cells, including the production and release of IFN-y and Granzyme-B resulting in macrophage cell death. These results suggest that a resident, memory NK cell population are present in the human lung and may provide early and important control of viral infection. A greater understanding of this resident mucosal population may provide further insight into the role of these cells in controlling viral infection and generating appropriate adaptive immunity to IAV.

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#### Ethics statements

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This study was approved by Southampton and South West Hampshire Research Ethics Committees (13/SC/0416 for healthy control group, 09/H0504/109 for resection and blood donors, 15/SC/0528 for age comparison). All participants provided written informed consent in accordance with the Declaration of Helsinki.





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- Keywords: NK cells, human lung, viral infection, cytotoxicity, CD49a 14
- 15 **Abstract**
- Influenza A virus (IAV) is a major global public health burden due to its routine evasion of 16
- 17 immunisation strategies. Natural Killer (NK) cells are innate cytotoxic cells with important antiviral
- activity in the human body, yet the function of these cells in the control of IAV infection is unclear. 18
- 19 The aim of this study was to determine the role of lung NK cell cytotoxic responses to IAV. Human
- 20 lung explants were infected ex vivo with IAV and lung NK cell activation was analysed by flow
- 21 cytometry. Cytotoxic responses of NK cell subsets against IAV-infected macrophages were measured
- 22 by flow cytometry and ELISA. Despite reports of hypofunctionality in the pulmonary environment,
- 23 human lung-associated NK cells responded rapidly to ex vivo IAV infection, with upregulation of
- surface CD107a 24 h post-infection. The lung NK cell phenotype is similar in maturity and 24
- differentiation to NK cells of the peripheral blood but a unique CD56<sup>bright</sup>CD49a+CD103+CD69+ NK 25 cell population was identified in the lung, indicating NK cell residency within this organ. In response 26
- 27 to ex vivo IAV infection a greater proportion of resident CD56<sup>bright</sup>CD49a+ NK cells expressed surface
- CD107a compared to CD56<sup>bright</sup>CD49a- NK cells, suggesting a hyperfunctional NK cell population 28
- 29 may be present within human lung tissue and could be the result of innate immunological training.
- 30 Furthermore NK cells provided significant anti-viral, cytotoxic activity following contact with
- 31 influenza infected cells, including the production and release of IFN-y and Granzyme-B resulting in
- 32 macrophage cell death. These results suggest that a resident, trained NK cell population are present in
- the human lung and may provide early and important control of viral infection. A greater understanding 33
- 34 of this resident mucosal population may provide further insight into the role of these cells in controlling
- viral infection and generating appropriate adaptive immunity to IAV. 35
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#### 1 Introduction

Influenza A Virus (IAV) is a ssRNA virus of the Orthomyxoviridae family causing acute infection of the upper and lower respiratory tract (Taubenberger and Kash 2010). IAV infection remains a global public health burden with 3-5 million cases of severe illness and 500,000 deaths worldwide, annually (Stohr 2002). Vaccination is currently the best method of controlling viral transmission, however annual influenza vaccines are limited in efficacy due to rapid viral evolution, time required for production and ineffectiveness in high-risk groups (Greenberg and Piedra 2004, Mossad 2016). Improving the current immunization strategies requires a more advanced understanding of both innate and adaptive human immunity to influenza virus (Krammer and Palese 2015).

 The lungs are one of the largest reservoirs of NK cells in the body, yet the function of these cells in pulmonary viral infection is poorly understood (Romagnani *et al.* 2007, Wong *et al.* 2016). Natural Killer (NK) cells are antiviral lymphocytes essential to the control of human pathogens such as Hepatitis C Virus, Cytomegalovirus and Human Immunodeficiency Virus (Vivier *et al.* 2008, Yoon *et al.* 2016). NK cells aid viral clearance through secretion of pro-inflammatory cytokines such as IFN-γ as well as cytotoxic granules and engagement of death receptors, which stimulate target cell apoptosis (Vivier *et al.* 2008). Different subpopulations of human NK cells can be identified through high and low expression of CD56, and these populations of NK cells have been ascribed different functions. CD56<sup>bright</sup> NK cells are thought to be predominantly cytokine producing whilst CD56<sup>dim</sup> NK cells represent the canonical cytotoxic NK cell, however these functional outputs appear dependent on the type of *in vitro* stimulation and the role of these NK cell subtypes within the human body remain largely unexplored (Nagler *et al.* 1989, Cooper *et al.* 2001, Jacobs *et al.* 2001, Moretta 2010, De Maria *et al.* 2011).

NK cells recognize virally infected cells through the integration of signaling from activatory and inhibitory germline encoded receptors on the NK cell surface (Vivier *et al.* 2004). *In vitro* binding studies have shown that the activatory NK cell receptors NKG2D and NKp46, and inhibitory KIR2DL2 NK cell receptor bind influenza-infected cells (Mandelboim *et al.* 2001, Draghi *et al.* 2007, Achdout *et al.* 2008). Furthermore, strong IFN-γ responses are observed in the NK cells of the peripheral blood following influenza vaccination (He *et al.* 2004, He *et al.* 2006, Long *et al.* 2008, Jost *et al.* 2011). The majority of mouse models of influenza infection implicate a protective role for NK cells during infection (Stein-Streilein and Guffee 1986, Gazit *et al.* 2006, Nogusa *et al.* 2008, Kumar *et al.* 2013, Zhou *et al.* 2016). Indeed *Ncr1-*/- (NKp46) knockout in the mouse results in lethal influenza infection (Gazit *et al.* 2006). However, in most high dose infection models murine NK cells appear to play a detrimental role, contributing to influenza pathogenesis (Abdul-Careem *et al.* 2012, Zhou *et al.* 2013). This may suggest there is a delicate balance between protective and destructive NK cell activation during IAV infection. But due to differences in influenza strain, dose and genetic background of the mice it is difficult to delineate what role NK cells may play in murine models of influenza infection.

 The majority of studies investigating the human NK cell responses to influenza utilise peripheral blood NK cells (He *et al.* 2004, He *et al.* 2006, Draghi *et al.* 2007, Long *et al.* 2008, Jost *et al.* 2011). However, organ resident NK cell populations have recently been identified in the liver, uterus and secondary lymphoid tissue, with resident populations expressing CD103, CD69 and CD49a (Koopman *et al.* 2003, Marquardt *et al.* 2015, Björkström *et al.* 2016, Lugthart *et al.* 2016, Montaldo *et al.* 2016).

- Phenotypically different from the blood, these populations have been linked to NK cell memory and
- may possess different functionality as a result of "training" by their environment (Peng and Tian 2017).
- 86 Lung NK cell residency has been disputed, with lung NK cells often described as hypofunctional
- 87 (Robinson et al. 1984, Michel et al. 2012, Wang et al. 2012, Marquardt et al. 2017). However, there is
- 88 some evidence that NK cell memory can be generated to respiratory virus, as murine influenza infection
- 89 induced liver CD49a+ NK cells that are protective against influenza infection (Li et al. 2017).
- 90 Furthermore, influenza vaccination results in a more potent NK cell response to influenza in human
- 91 peripheral blood mononuclear cells (PBMCs) (Dou et al. 2015).

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- 93 The contribution of NK cells to pulmonary health and disease is poorly understood in humans and how
- 94 NK cells may respond to influenza infection has not been characterized in a model effectively
- 95 recapitulating human infection. The aim of this study was therefore to investigate the role of human
- 96 lung NK cells in early influenza control using our previously characterized lung explant model of
- 97 influenza infection (Nicholas et al. 2015, Staples et al. 2015, McKendry et al. 2016).

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#### 2 Materials & methods

#### 2.1 Volunteer recruitment

- 101 Lung tissue distal from tumour sites and peripheral blood was obtained from lobectomy patients. Blood
- was also obtained from healthy human volunteers. All studies were approved by Southampton and
- 103 South West Hampshire Research Ethics Committees (13/SC/0416 for healthy control group,
- 104 09/H0504/109 for resection and blood donors, 15/SC/0528 for age comparison). All participants
- provided written informed consent.

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#### 2.2 Preparation of lung tissue and explant infection

- Lung tissue explants were prepared as previously described and infected with X31 (H3N2) Influenza
- A Virus (Virapur) as previously described (McKendry et al. 2015, Staples et al. 2015). Briefly, lung
- tissue explants were cut into 4-6mm<sup>2</sup> pieces with 6 fragments/well and washed thoroughly with cold
- RPMI. The lung explants were then rested for 16h in complete RPMI (RPMI with 10% FCS, 2mg/mL
- 112 L-glutamine, 0.05IU/mL Penicillin, 50µg/mL Streptomycin and 0.25µg/mL Amphotericin B (Sigma))
- to remove contaminant blood. For NK cell phenotyping the lung tissue was digested in 0.5mg/mL
- 114 collagenase, filtered through a 0.7µm filter and mononuclear cells isolated by density gradient
- the conagenase, intered through a 6.7µm met and monoracted cens isolated by density gradient
- centrifugation over a Ficoll-paque layer. Cells isolated from the buffy coat were then stained for flow
- cytometry. For IAV infection of lung explants, lung fragments were washed in PBS and infected with
- 200,000 pfu/ml live or UV-irradiated X31 (H3N2) Influenza A Virus (Virapur) following rest
- 118 (Nicholas *et al.* 2015, Cooper *et al.* 2016). UV-irradiated X31 was created by exposing live X31 to UV
- light for 2 h on ice. 2 hpi extracellular virus was removed by washing in PBS and explants cultured in
- fresh media for a further 22h, or for stated time point. X31 replication was detected through
- intracellular viral NP1 expression. In addition lung explants were alternatively treated with Phorbol
- material training to the state of the state
- 122 <u>myristate acetate and Ionomycin (PMA/I 1.34 μM and 81 nM respectively)</u>. After infection NK cells
- were dispersed from tissue using 0.5 mg/ml collagenase, the digest filtered and cells stained for flow
- 124 cytometry.

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- A median of  $410,676 \pm 153,226$  CD45+ leukocytes were isolated per resection sample, according to
- 127 flow cytometry analysis. The median total events in the NK cell gate was 10,011 ±9,073 and a

- minimum value of 2,760 (N=8). For the rarer CD56<sup>bright</sup> CD49a+ NK cells the median number of events 128
- was 940 ± 194 and a minimum value of 130; a cut off of a minimum 100 events in this gate was 129
- required to be included in the analysis (N=8). The same cut off was used in the functional studies of 130
- the CD56<sup>bright</sup>CD49a+ population. The median number of events for CD56<sup>bright</sup>CD49a+ NK cells in the 131
- X31-infected explant was  $1.035 \pm \text{and a minimum value of } 164 \text{ (N=5)}$ . This was also typical of the 132
- 133 untreated and UV-irradiated X31 treated explant tissue.

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#### 2.3 Blood NK cell and monocyte isolation & differentiation

- 136 Human PBMCs were isolated from heparinised blood of healthy volunteers or from cancer resection
- donors. Blood was diluted 1:1 with PBS and mononuclear cells isolated by density gradient 137
- centrifugation on Ficoll-Paque (GE Healthcare). Monocytes were isolated from PBMC by CD14 138
- positive Magnetic-associated cell sorting (MACS) (Miltenyi Biotec). Monocytes were seeded at 500 139
- 000 cells/well (1 x 10<sup>6</sup> cells/ml) and differentiated into monocyte-derived macrophages (MDMs) over 140
- 141 12 days in complete RMPI with 2 ng/ml GM-CSF, as described previously (Staples et al. 2012).
- Autologous NK cells were isolated from the CD14-depleted MACS filtrate by negative selection with 142
- an NK cell isolation kit (Miltenyi) according to manufacturer's instructions. Purified NK cells (94.28% 143
- CD3-CD56+, N=5) were frozen in FCS containing 10% DMSO (Sigma) until use. 144
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#### Macrophage infection and co-culture with NK cells 2.4

- MDM infection was performed as previously described (Staples et al. 2015). MDMs were treated with 147
- 148 500 pfu/mL of live or UV-irradiated X31 virus for 2h, before removal of extracellular virus by washing
- 149 with PBS and incubation for a further 22h. UV-irradiated X31 was created by exposing live X31 to
- 150 UV light for 2 h on ice. After 24 h, autologous NK cells were thawed and cultured with untreated or
- 151 infected MDMs for 6 h at an E:T of 1:5. This E:T was chosen following testing of a range of E:T of
- 152 NK cells to X31-infected MDMs, as shown in Supplementary Figure 1 and was chosen for the optimal
- detection of NK cell IFN-y production. NK cell degranulation, IFN-y and Gzm-B production and 153
- 154 MDM viability were measured by flow cytometry. Adherent MDMs were collected for flow cytometry
- 155 with non-enzymatic cell dissociation solution (Sigma). To measure the accumulation of intracellular
- 156 molecules 2 µM Monensin (eBiosciences) was added into the co-culture 1 h after the addition of NK
- cells. Physical contact between MDMs and NK cells was prevented through culturing in a transwell 157
- 158 system with NK cells in the top compartment (Costar). HLA class I binding was blocked through
- incubation of 20 μg/mL αHLA-A/B/C (W6/32; Biolegend) with MDM 20min prior to addition of NK 159
- 160 cells.

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#### 2.5 Flow cytometry

- 163 Flow cytometry was performed as previously described, all steps were performed at 4°C for 30min,
- 164 unless otherwise stated (Staples et al. 2015). Cell viability was assessed by staining with Zombie Violet
- 165 Fixable Viability Kit (Biolegend) in PBS. Surface marker staining was performed in 2mM EDTA and
- 166 0.5% Bovine Serum Albumin (FACS buffer) with 2mg/mL human IgG (Sigma). Cellular surface
- markers were stained with the following antibodies; 5μL αCD3-PerCP (UCHT1), 5μL αCD56-PECy7 167
- 168 (HCD56), 5μL αCD57-PacificBlue (HCD57), 5μL αCD103-APC (Ber-ACT8), 5μL αCD69-BV421 (FN50), 5μL αCD107a-BV510 (H4A3) (Biolegend), 5μL αCD45-BV510 (HI30), 5μL αCD16-FITC 169
- 170 (3G8), 20μL αCD49a-PE (SR84), 20μL αCD158b-PE (CH-L), (BD Biosciences) and with
- 171 corresponding isotype controls; Mouse IgG1-PerCP, IgG1-PECy7, IgG2b-PE, IgM-Pacific Blue,
- IgG1-APC, IgG1-BV421 (Biolegend) Mouse IgG1-BV510, IgG1-FITC, IgG1-PE (BD Biosciences). 172

- Macrophages and epithelia were stained with, 5μL αHLA-DR-APC-Cy7 (L243) and 20μL αEpCAM-
- 174 PerCP-Cy5.5 (EBA-1), 5μL αHLA-ABC-PE (W6/32) (BD Biosciences). Corresponding isotype
- 175 controls not previously stated included Mouse IgG2a-APC-Cy7 (BD Biosciences) and IgG2a-PE (Life
- 176 Tech). Cells were then fixed and permeabilised with Cytofix/Cytoperm (BD Biosciences, Oxford, UK)
- for 20min before intracellular staining. <u>Intracellular staining was performed in 1 x Permwash (BD</u>
- 178 <u>Biosciences</u>) in FACS staining buffer. Intracellular markers were assessed with 2μL α-nucleoprotein
- 179 (NP)-1-FITC (ab20921) (Abcam), 5μL αIFN-γ-PerCP-Cy5.5 (4S.B3) or 5μL αGzm-B-APC
- 180 (QA16A02) (Biolegend). Flow cytometric analysis was performed on a FACSAria using FACSDiva
- software v5.0.3 (BD Biosciences) and FlowJo v10 (TreeStar). The t-SNE algorithm was applied within
- FlowJo v10 for 1000 iterations to produce 2D projections of the data using a perplexity value of 20.
- FCS files from the lung and matched blood, or lung only, were downsampled to 300 or 600 cells
- 184 <u>respectively.</u>

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#### 186 **2.6 ELISA**

- 187 IFN-γ ELISA MAX (Biolegend), and Granzyme B duoset ELISA (R&D Systems) were all carried out
- according to the manufacturer's protocol.

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#### 190 **2.7 Statistics**

- 191 Statistical analyses were performed using either a Chi-squared test, Fisher's test, Wilcoxon's matched-
- 192 pairs signed-rank test, Mann-Whitney U test, Kruskal-Wallis or Friedman test with Dunn's multiple
- comparison testing as appropriate (GraphPad Prism v7.0, GraphPad Software Inc., San Diego, USA).
- Data are expressed as medians. Results were considered significant if P<0.05.

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#### 3 Results

#### 3.1 NK cells are present at high frequencies in human lung parenchyma

- 198 NK cells were isolated from human lung parenchyma, matched peripheral blood and peripheral blood
- from healthy controls. NK cells were defined as CD45+CD3-CD56+ cells by flow cytometry and made
- up a median of 18.55% ±14.98 of CD45+ lymphocytes isolated from the human lung parenchyma
- 201 (N=17, Figure 1). CD56<sup>bright</sup>CD16-, CD56<sup>dim</sup>CD16+ and CD56<sup>dim</sup>CD16- NK cells were all identified
- in lung and blood with CD56<sup>dim</sup>CD16+ and CD56<sup>dim</sup>CD16- found in similar proportions between the
- lungs and peripheral blood (P=0.83 and P=0.50, Figure 1 C, D and E). As described by Marquardt et
- 204 al 2017, the majority of lung-associated NK cells are canonical cytotoxic CD56<sup>dim</sup>CD16+ cells
- 205 (Marquardt *et al.* 2017). However, we observed an increased proportion of CD56<sup>bright</sup> NK cells in the
- (Waiquardt et al. 2017). However, we observed an increased proportion of CD30 TVK cens in the
- lungs compared to matched peripheral blood (8.6% vs 3.3%, P=0.0049, Figure 1C). Furthermore, fewer
- 207 CD56<sup>dim</sup>CD16+ NK cells (P=0.0012) and a greater proportion of CD56<sup>dim</sup>CD16- NK cells were
- isolated from the blood of cancer resection patients compared to healthy control blood.

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- These differences in the NK cell subpopulations may reflect differences in the cohort age and smoking
- 211 status between healthy controls and donors undergoing resection surgery, as shown in Table 1. To
- assess the effect of age on NK cell subpopulations of the blood, the proportions of CD56bright and
- 213 CD56dim NK cells were analysed from the blood of healthy controls aged above and below 40 (donor
- demographics shown in Table 2). However, no differences were observed in the peripheral NK cell
- subpopulations of these two cohorts (Figure 2)

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To evaluate the maturity of lung NK cells, the expression of CD57 and CD158b (KIR2DL2/L3/S2) were analysed on lung and blood NK cells. CD57 is expressed in the late stages of NK cell differentiation and is associated with increased NK cell functionality (Bjorkstrom et al. 2010, Lopez-Verges et al. 2010). KIR expression also increases during NK cell maturation, as NK cells gain cytotoxic function (Freud et al. 2006, Poli et al. 2009). Although CD158b does not evaluate the expression of all KIR, which vary across individuals, it represents KIR from both haplotypes A and B (Uhrberg et al. 2002). Individuals with haplotype A typically possess KIR alleles with a more inhibitory role than the KIR haplotype B, which has a more activating effect on NK cell function (Middleton and Gonzelez 2010). Both CD57 and CD158b were expressed equivalently between lung and matched peripheral blood (Figure 3 A and C, P=0.91 and P=0.07 respectively). Furthermore, no significant differences were observed in the expression of either CD57 or CD158b on CD56bright or CD56<sup>dim</sup> NK cell subpopulations (Figure 3 B and D). To investigate whether the differentiation state of CD158b positive and negative NK cells were different between matched blood and lung, the coexpression of CD57 and CD158b were analysed, as shown in Figure 3E and F, however no significant differences were observed (P>0.9999 for each analysis of CD57+CD158b-, CD57-CD158b+, double positive and double negative NK cells). In addition, NKG2C, an activating receptor associated with CMV immunity and memory response was not found to be differentially expressed between blood and lung (Figure 3 G and 3H) (Guma et al. 2006, Schlums et al. 2015). Thus lung NK cells appear to mirror the phenotype of the peripheral blood, as highly mature and terminally differentiated NK cells. These results confirm reports by Marquardt et al. 2007 and other studies from the human lung (Freeman et al. 2014, Marquardt et al. 2017).

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#### 3.2 Distinct CD49a+CD103+CD69+ NK cell populations are present in the lung parenchyma

NK cells isolated from the lungs and peripheral blood appear phenotypically similar in terms of their CD16, CD57, CD158b and NKG2C expression (Figures 1 and 3), however a distinct CD49a+ NK cell population was identified from the lung parenchyma, which was not found in the circulation (Figure 4). CD49a+ NK cells made up 13.3% ±11 of the total lung-associated NK cell population and were primarily CD56<sup>bright</sup>CD16- and CD56<sup>dim</sup>CD16- NK cells (42.2% and 27.3% respectively, Figure 4A and 4B). Negligible amounts of CD49a were detected on CD56<sup>dim</sup>CD16+ NK cells. Other putative markers of residency including CD69 and CD103 were also identified in the lung parenchyma with 3.65% ±7.65 of lung NK cells expressing CD103+, a marker also not found in the blood (P=0.016, Figure 4C and D). The expression of CD103 mirrored that of CD49a, with most CD103 expressed on CD56<sup>bright</sup> and CD56<sup>dim</sup>CD16- NK cells. Although CD69 was not expressed differently between blood and lung at the whole NK cell level (P=0.85), CD56<sup>bright</sup> lung NK cells expressed greater levels of CD69 compared to the blood (P=0.0015, Figure 4E and F). CD69 was expressed at similar levels by lung CD56<sup>dim</sup>CD16+ and CD56<sup>dim</sup>CD16- NK cells (P=0.33 and 0.18).

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The co-expression of CD49a, CD69 and CD103 on lung and blood CD56<sup>bright</sup> NK cells was analysed as shown in Figure 5A and 5B and visualized in t-SNE plots (Figure 5C and D). Lung CD56<sup>bright</sup> NK cells clustered together and appeared distinct to CD56<sup>bright</sup> of matched peripheral blood (Figure 5C). Analysis of CD49a, CD69 and CD103 expression on lung NK cells suggests that the CD56bright population consists of NK cells that are single positive, double positive and triple positive for these markers (Figure 6D and F). Whereas only CD69 could be identified in the peripheral blood CD56bright population (Figure 5B, 5G and 4E). Single positive CD49a+ NK cells were positioned together with

triple and double positive populations within the t-SNE analysis, however CD56<sup>bright</sup> NK cells that were single positive for CD69 appeared more distinct, as did NK cells negative for all tested residency markers (Figure 5D). CD69 and CD103 were both strongly co-expressed on CD49a+CD56<sup>bright</sup> NK cells, indicating that this population may be resident within the lungs (Figure 5E). One of the largest populations of lung CD56<sup>bright</sup> NK cells were CD49a+CD69+CD103+, which made up 20.9% ±12.66 of the CD56<sup>bright</sup> lung NK cell population Figure 5E and F).

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## 3.3 Ex vivo IAV (H3N2) infects the macrophages and epithelia of human lung explants

269 NK cells make up a substantial proportion of the lung CD45+ leukocytes (18.55% ±14.98) and their 270 response to respiratory infection may have important implications for human disease. Furthermore 271 lung-resident NK cell populations such as the CD49a+ NK cells may be shaped by insult and homeostasis within the lung microenvironment. Therefore, to understand the functional relevance of 272 273 the lung NK cell phenotypes described here, the response of lung NK cells to IAV infection were characterised in human lung parenchyma. The lung explants were infected with 200,000 pfu/mL UV-274 irradiated or live X31 IAV for 2h before removal of extracellular virus and further culture for a further 275 22h. Cells were defined as infected when viral nucleoprotein (NP)-1 was detected (Figure 6A and 6B). 276 277 UV-irradiated IAV was not found to replicate within the lung tissue, as determined by NP1 expression, 278 indicating that the virus is no longer viable (Figure 6C and F). In this model 7.07% of lung epithelia 279 (defined as CD45-EpCAM+ cells, Figure 6A and B) and 22.3% of macrophages (defined as 280 CD45+HLA-DR+ cells, Figure 6D and E) were infected with IAV. Both the airway epithelium and macrophages increased expression of cell surface HLA class I, a key molecule controlling NK cell 281 282 activation, in response to infection (Epithelium p=0.0078, Macrophage p=0.0020, Figure 6 G and H).

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#### 3.4 NK cells activate in response to influenza infection of human lung explant

NK cells were strongly activated 24h post influenza infection (hpi), with a two-fold increase in surface CD107a when compared to UV-irradiated X31 treated explant (Figure 7B, P=0.047). NK cell degranulation was not dominated by any particular subpopulation as CD56bright, CD56dimCD16+ and CD56<sup>dim</sup>CD16- NK cells all expressed similar levels of CD107a in X31-infected tissue (Figure 5C). There was a slight trend towards increased NK cell degranulation when MDMs were exposed to UVirradiated virus compare to uninfected (NT) controls (P=0.094, Figure 7B). Since multiple influenza infections may be experienced throughout life we hypothesised that prior exposure to influenza may have resulted in increased functionality of the lung CD49a+ NK cell populations (Figure 4). To investigate this, the degranulation of CD56brightCD49a+ NK cells in response to X31 infection was compared to CD56brightCD49a- NK cells. As shown in Figure 7D the degranulation of CD56<sup>bright</sup>CD49a+ NK cells was increased relative to CD56<sup>bright</sup>CD49a- NK cells (12.2% vs 19.2%, P=0.031) 24hpi, indicating that these NK cells may be more responsive to influenza infection. Furthermore, CD56<sup>bright</sup>CD49a+ and CD49a- NK cells were not differentially activated when explants were treated with PMA/I, indicating that the total potential of CD56bright CD49a+ NK cells is similar to CD56bright CD49a- NK cells. Thus this may be a virus-specific activation of CD49a+ NK cells. CD49a was also found to be expressed on CD56<sup>dim</sup>CD16- NK cells, however no difference in the response to virus was observed between CD49a+ and CD49a- CD56<sup>dim</sup>CD16- NK cell (Figure 7E).

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Increased CD107a on the NK cells surface was mirrored by increased extracellular Granzyme-B and IFN- $\gamma$  (Figure 7 F and G, P=0.0078 and P=0.0039 respectively). Granzyme-B and IFN- $\gamma$  are key

molecules associated with NK cell activation and were found to rise over a similar time-course with NK cell degranulation (Figure 8). Taken together the results presented here indicate that lung-associated NK cells activate rapidly as a result of *ex vivo* IAV infection. To further test if this was a discrete effect of NK cells or related to other cell types present in the explant model, we investigated the functional effects of NK cell activation in direct co-culture with infected macrophages.

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#### 3.5 NK cells are cytotoxic towards IAV infected MDMs

312 Airway macrophages were a target of H3N2 IAV infection in the explant lung model and have been shown to be critical to influenza control in mice (Kim et al. 2008, Tate et al. 2010, Purnama et al. 313 314 2014). To investigate the effects of NK cell activation during IAV infection, we cultured peripheral blood NK cells with IAV-infected autologous MDMs. MDMs were differentiated to a lung-like 315 phenotype, modelling airway macrophage activity and infected as described previously (Cooper et al. 316 2016), resulting in a median of 29% of MDMs being positive for viral NP1 (Figure 9A). Following 317 infection of the MDM monolayer, purified autologous NK cells were cultured with infected MDMs at 318 319 an E:T ratio of 1:5 for 4-6h resulting in increased NK cell degranulation (P=0.031, Figure 9B). To assess NK cell cytotoxicity, MDM viability was measured by flow cytometry (Figure 9C and 9D). Co-320 321 culture with NK cells did not alter MDM viability when MDMs were uninfected (NT) or treated with 322 UV-irradiated X31 (UV-X31) (P=0.2158 and P0.3848, Figure 9D). Although some variation in the 323 uninfected NT NK culture was observed, this was not found to be significantly different to either the 324 NT MDMs alone or the UV-X31 exposed co-culture (P=0.2158 and P=0.1250 respectively, Figure 9D). However, when MDMs were infected with live X31, MDM viability was reduced by 12% when 325 NK cells were also present, relative to MDMs alone (P=0.0029, Figure 9D). MDM viability was also 326 327 reduced relative to UV-X31 treated co-culture and uninfected co-culture (P=0.0420 and P=0.0186, 328 Figure 9D) indicating that NK cells exerted a cytotoxic effect following live X31 infection.

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#### 3.6 NK cell contact with infected cells determines NK cell activation

331 Culture of purified autologous NK cells with IAV infected MDMs induced NK cell expression of 332 antiviral molecules such as Granzyme-B and IFN-y, as detected by flow cytometry (Figure 10 A and B and Supplementary Figure 2). Indeed, extracellular IFN-γ was only observed when NK cells were 333 cultured with X31-infected MDMs (P=0.0078, Figure 10D). In addition, there was a non-significant 334 trend towards increased extracellular Granzyme-B in X31-infected co-cultures (P=0.055, Figure 10E). 335 CD56<sup>bright</sup> and CD56<sup>dim</sup> NK cells responded equivalently to culture with X31-infected MDMs, with all 336 337 subsets producing IFN-y (Figure 10C). These results suggest both cytokines and cytotoxic molecules 338 are released by NK cells following contact with influenza infected cells.

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340 Direct contact between NK cells and MDMs was essential for NK cell activation as separation of the 341 two cells in a transwell system abrogated both Granzyme-B production and IFN-y release (Figure 10). 342 This suggests that changes to the MDM surface as a result of IAV infection may determine NK cell activation. HLA class I molecules play an important role in governing NK cell responses and are 343 upregulated on IAV infected lung epithelial cells and macrophages (Figure 6 H and I and 11A). To 344 investigate the effect of this change in surface HLA, HLA class I ligands on human MDMs were 345 blocked with anti-HLA-A/B/C (clone W6/32) prior to culture with NK cells. Blocking with αHLA-346 347 ABC or isotype control did not affect NK cell activation in response to uninfected MDMs, suggesting 348 that this antibody does not induce antibody-dependent cellular cytotoxicity. (Figure 11 B). However,

- 349 during culture with X31-infected MDMs, blocking class I HLA increased NK cell CD107a expression
- (P=0.031) indicating that HLA class I has inhibitory effects on NK cells during live influenza infection 350
- (Figure 11C). Despite this, NK cells still activate in response to influenza infected cells, suggesting the 351
- balance between NK cell activatory and inhibitory signalling is perturbed during contact with IAV-352
- infected cells. These results suggest that NK cells are capable of a strong antiviral response following 353
- 354 contact with influenza infected cells, with production and release of IFN-y, Granzyme-B and
- significant cytotoxicity against infected macrophages. 355

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#### **Discussion** 4

358 The role of NK cells in tissue specific responses is being increasingly recognized as they may represent 359 an important early front-line defence during respiratory infection (Björkström et al. 2016, Peng and 360 Tian 2017). In this study we have explored the NK cell response to influenza infection of human lung parenchyma and monocyte-derived macrophages. We identified early activation of NK cells in 361 response to influenza infected cells, including IFN-y and Granzyme-B production, degranulation and 362 363

cytotoxicity. In addition, for the first time we demonstrate NK cell-mediated destruction of influenza-

infected macrophages, indicating that NK cells may have an important role in regulating the effects of 364 365

APCs during IAV infection (Nedvetzki et al. 2007, Bellora et al. 2010).

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To explore the ex vivo function of human lung NK cells, NK cells were defined as CD45+CD3-CD56+ cells, a gating strategy designed to exclude ILC populations. Although a small population of ILC3s may be included in our analysis (50% of NCR- ILC3s express CD56) the total human lung ILC3 population comprise less than 0.025% of CD45+ cells and therefore would make a minimal contribution to our analysis (Spits et al. 2013, De Grove et al. 2016). However, consistent with previous reports, CD56+CD3- NK cells made up a significant proportion (18.55%) of CD45+ lymphocytes in the human lung and were found to be predominantly mature, canonical NK cells, corroborating the work of Marquardt et al 2017 (Michel et al. 2012, Wang et al. 2012, Marquardt et al. 2017).

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Understanding the lung NK cell phenotype is important for understanding NK cell function during pulmonary health and disease. The differentiated and active NK cell phenotype described for NK cells both here and by Marquardt et al 2016 is interesting as unchecked cytotoxicity in this setting may have the capacity to impair lung function (Marquardt et al. 2017, Finch et al. 2018). Indeed NK cells taken from people with Chronic Obstructive Pulmonary Disease (COPD) were found to be more cytotoxic towards airway epithelia, a functional change which appeared intrinsic to the NK cell, indicating an altered activation state in this disease (Finch et al. 2018). NK cells isolated from the lungs have often been reported as hypofunctional following stimulation with PMA or K562 cell lines, a finding which might reflect important mechanisms of NK cell regulation in the pulmonary environment (Robinson et al. 1984, Michel et al. 2012, Wang et al. 2012, Marquardt et al. 2017). Yet in this study IAV infection of human lung explants was sufficient to activate NK cell degranulation. Both CD56bright and CD56dim NK cells were found to activate rapidly in response to IAV indicating that NK cells may aid early virus control within the lung.

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390 As the lungs are a highly vascularized organ, it is possible the NK cells examined by this study may 391 have been passing through the lungs during circulation (Marquardt et al. 2017). Indeed, the phenotype 392 of lung-associated NK cells is more similar to the NK cells of the peripheral blood than other human

organs (Koopman et al. 2003, Marquardt et al. 2015, Lugthart et al. 2016, Montaldo et al. 2016). 394 Although it is not possible to exclude the possibility that the phenotype reported in our study comes from the peripheral blood, the tissue was washed extensively and rested to remove contaminating blood cells prior to analysis. Analysis of the T cell phenotype in lung tissue utilizing this method supports this ability to remove blood lymphocytes, as only memory T cells are isolated from this organ with 398 little to no presence of naïve T cells (Hutton et al. 2017). Moreover, we have identified a novel 399 CD49a+CD69+CD103+ NK cell population from the human lung parenchyma not found in the blood. Interestingly, the lung possessed more CD49a+ NK cells compared to reports from the human liver (Marquardt et al. 2015).

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> To investigate the function of human lung CD49a+ NK cells we analyzed the CD107a expression of CD56<sup>bright</sup>CD49a+ NK cells (Figure 7). We observed that a greater proportion of CD56<sup>bright</sup>CD49a+ NK cells activate in response to IAV infection compared to CD56bright CD49a- NK cells, an effect not seen with PMA/Ionomycin stimulation. This suggests that CD56<sup>bright</sup> CD49a+ NK cells may respond specifically to viral infection as the total potential of CD56<sup>bright</sup> CD49a+ NK cells is not dissimilar to CD56<sup>bright</sup> CD49a-. Lung CD49a+ NK cells may therefore represent a population of resident NK cells that can be induced to express a more robust recall response following prior exposure to common respiratory pathogens, such as IAV. Indeed, liver CD49a+ NK cells generated in influenza-infected mice were protective following adoptive transfer and subsequent influenza challenge, although murine lung CD49a+ NK cells were not protective in this model (Li et al. 2017). These experiments indicate that NK cell memory of influenza infection could exist within the adult human lung, although this requires further corroboration through analysis of transcription factor expression and epigenetic state. If so, the generation of such local mucosal immunity may have important implications for vaccine design, offering a possibility for increasing strain cross-reactivity and effectiveness (Peng and Tian 2017). However the full functional role of this CD56brightCD49a+ population and the governing mechanisms remain to be elucidated.

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As expected CD56<sup>dim</sup>CD16-CD49a+ lung NK cells were not found to be more responsive to X31 infection, a finding that fits with the literature as only CD56<sup>bright</sup> populations have been associated with residency (Koopman et al. 2003, Marquardt et al. 2015, Lugthart et al. 2016, Montaldo et al. 2016). Some authors have suggested that CD56<sup>dim</sup>CD16- NK cells represent contaminating CD3+CD56+ NKT cells (Zimmer et al. 2005, Grzywacz et al. 2007). However, as CD3+ cells were specifically excluded from our analysis (Figure 1) we consider it more likely that this population represent activated NK cells that have undergone CD56 and CD16 shedding (Penack et al. 2005, Grzywacz et al. 2007, Lutz et al. 2011, Romee et al. 2013). Therefore CD56<sup>dim</sup>CD16-CD49a+ lung NK cells might represent functionally exhausted CD56<sup>bright</sup> CD49a+ cells. Interestingly, CD56<sup>dim</sup>CD16- NK cells were also expanded in the peripheral blood of donors undergoing resection surgery when compared to healthy controls. A finding which may be due to demographic differences between the cohorts. Our preliminary data suggests that age did not affect the proportion of CD56<sup>dim</sup>CD16- NK cells (Figure 2) however we cannot rule out an effect of disease and smoking status on the NK cell phenotype (Marquardt et al. 2017).

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437 438 NK cell effector molecules IFN-y and Gzm-B were produced by influenza-infected explants 24 hpi (Figure 8). The length of time taken in generating both IFN-γ and Granzyme-B by X31-infected tissue could suggest that this is a secondary response to initial inflammatory signaling through IFN-α and IFN-β, as there is some support for this in the literature (Hwang et al. 2012, Madera et al. 2016).

Interestingly extracellular Gzm-B appeared to wane by 6 hpi, only to rise again at 24 hpi in live-X31 infected tissue. However at the 2h timepoint extracellular Granzyme-B was greater in all conditions including uninfected (NT) tissue, this suggests that Granzyme-B release at this time-point may be a result of explant removal from the body or tissue preparation, as explants were washed prior to exposure to virus.

NK cell IFN-y production and release was also measured from co-cultures with X31-infected MDMs and NK cells, an effect that was dependent on contact between the two cell types (Figure 10). Although CD56<sup>bright</sup> NK cells have been suggested to dominate NK cell cytokine production, both CD56<sup>bright</sup> and CD56dim NK cells produced IFN-y when MDMs were infected with live X31, suggesting that there may be a common mechanism of activation between the two subtypes toward influenza (Cooper et al. 2001, Ferlazzo et al. 2004). This could include the NKp46 or NKG2D activating receptors as well as activating or inhibitory KIR (Mandelboim et al. 2001, Draghi et al. 2007, Achdout et al. 2008). However, these molecules are differentially expressed by CD56<sup>bright</sup> and CD56<sup>dim</sup> NK cells and it is possible that NK cell subsets are activated by different mechanisms during influenza infection (Jacobs et al. 2001, Poli et al. 2009). Interestingly, Granzyme-B release appeared less dependent on contact between NK cells and infected MDMs, however as Granzyme-B is released within the immune synapse this could limit detection of changes in the amount of this molecule. Although the differences between cells treated with UV-X31 and live X31 have not been thoroughly explored here, no NK cell response was observed towards UV-infected macrophages, either in the tissue explant or MDM infection. This suggests that NK cells are responding to changes on the target cell, in response to the intracellular replication of live influenza.

The upregulation of HLA class I molecules during IAV infection appeared to be inhibitory to NK cells, as blocking HLA class I increased NK cell degranulation. This might represent viral evasion of the NK cell response (Mahmoud *et al.* 2016). Alternatively, HLA class I upregulation could also reflect a host strategy for increased antigen presentation to CD8+ T cells. An inhibitory role of the HLA class I suggests that NK cell activation to IAV-infected cells may depend on upregulation of concomitant ligands for activating receptors, such as NKp46 and NKG2D on the target cell surface (Mandelboim *et al.* 2001, Draghi *et al.* 2007, Achdout *et al.* 2008). Interestingly, cigarette smoke has been shown to prime the NK cell response to viral infection through increased expression of NKG2D ligands in a murine model of COPD (Motz *et al.* 2010). Unfortunately, due to flow cytometry channel limits it was not possible to investigate tissue ligand expression in the experiments presented here.

Cancer resection is one of the few available sources of human lung tissue, but disease state, smoking history and medication remain confounding factors in the use of this material (Marquardt *et al.* 2017). Furthermore, the heterogeneity between individuals is reflected in the range of NK cell functional responses and marker expression. Despite these caveats, the NK cell phenotype from the human lung is consistent with healthy mice in terms of maturity and differentiation, and functional results were further confirmed in a co-culture model of IAV infection. (Michel *et al.* 2012, Wang *et al.* 2012). Analysis of human lung-resident NK cell populations would not be possible without human lung tissue and *ex vivo* infection enables the characterization of NK cell function in a relevant context of human disease.

- In conclusion, we identify a unique and putative resident CD56<sup>bright</sup> CD49a+ lung NK cell population
- 484 which underwent greater levels of activation during IAV infection. We speculate that CD49a+ NK
- cells could represent a lung resident population of NK cells trained by the respiratory environment.
- Further exploration of this NK cell phenotype is required to understand whether such local mucosal
- immunity could be manipulated to broaden IAV vaccine cross-reactivity and efficacy. As NK cells
- make up around a quarter of parenchymal lymphocytes and respond rapidly to IAV infection, NK cells
- may be well placed to provide early and broad innate immunity to IAV infection in humans.

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#### 5 Author contributions

- Data acquisition, analysis and interpretation was provided by GC, KO and KS. All authors contributed
- 493 to the drafting of manuscript for important intellectual content and manuscript conception and design.

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## 711 **7** Figure legends

- 712 **Figure 1:** Lung-associated NK cells are highly differentiated and similar to the peripheral blood of
- healthy donors (H-PB) and those undergoing cancer resection (CR-PB). (A<sub>3</sub>) Representative gating
- strategy to define NK cells and NK cell subpopulations isolated from human lung parenchyma. (B)
- Quantification of lung CD45+ lymphocytes, N=23. (C, D, E) Proportions of CD56<sup>bright</sup>,
- 716 CD56<sup>dim</sup>CD16+ and CD56<sup>dim</sup>CD16- NK cells in healthy peripheral blood (H-PB, N=14), lung tissue
- 717 (N=23) and matched blood (CR-PB, N=23).
- 718 **Figure 2:** NK cell subpopulation proportions are not affected by age. CD56<sup>bright</sup>, CD56<sup>dim</sup>CD16+ and
- 719 CD56<sup>dim</sup>CD16- proportions in peripheral blood of healthy donors aged 22-40 (N=10) and 56-72
- 720 (N=12). Statistical analysis by Mann Whitney U test, lines describe medians.
- 721 Figure 3: CD57, CD158b and NKG2C expression on lung and blood NK cells. CD57 (A) and CD158b
- 722 (C) expression on NK cell subsets in peripheral blood of healthy controls (H-PB N=11) and peripheral
- 723 blood (CR-PB) and lung of cancer resection patients (N=9). Lines describe medians, comparison
- between healthy and resection blood by Mann-Whitney U test and between lung and CR-PB by
- Wilcoxon signed-rank test. (B,D) CD57 and CD158b expression on CD56bright, CD56dimCD16+ and
- 726 CD56<sup>dim</sup>CD16- NK cells from the blood and lung. Statistical analysis of H-PB to CR-PB by Kruskal-
- Wallis test with Dunn's multiple comparison correction and CR-PB to lung tissue by Friedman's test
- with Dunn's multiple comparison correction. (E) Representative flow cytometry plot describing lung
- NK cell CD57 and CD158b expression. (F) CD57 and CD158b expression on NK cells from the lung

- and matched blood (CR-PB (N=7). Statistical analysis by Friedman's test with Dunn's multiple
- 731 comparison correction. (G) NKG2C expression on healthy controls (N=5), cancer resection donor
- 732 blood and lung tissue (N=19). (H) NKG2C expression on CD56<sup>bright</sup>, CD56<sup>dim</sup>CD16+ and
- 733 CD56<sup>dim</sup>CD16- NK cells from the blood and lung.
- 734 **Figure 4:** Distinct NK cell populations are present in the blood, but not the lung. (A,C,E) Flow
- 735 cytometric analysis of CD49a (N=22), CD103 (N=8) and CD69 (N=8) expression on lung and blood
- NK cells. Statistical analysis performed by Wilcoxon signed-rank test. Lines describe medians. (**B,D,F**)
- 737 Residency marker expression on CD56<sup>bright</sup> and CD56<sup>dim</sup> NK cell subsets. Statistical analysis by
- 738 Friedman's test with Dunn's multiple comparison correction.
- 739 **Figure 5:** CD56<sup>bright</sup> CD49a+ lung NK cells co-express CD69 and CD103. (A) Gating strategy to
- define co-expression of CD69 and CD103 on CD49a+ and CD49a- populations of CD56<sup>bright</sup> NK cells
- isolated from the lung (A) and blood (CR-PB) (B). (C) t-SNE plot of CD56<sup>bright</sup> NK cells in matched
- blood (blue) and lung (orange) based on CD49a, CD69 and CD103 expression (N=16, 8 individuals).
- From each sample 300 events were randomly selected. Perplexity=20, 1000 iterations. (**D**) t-SNE plot
- of CD56bright NK cells isolated from the lungs based on CD49a, CD69 and CD103 expression (N=8).
- 745 Cells are coloured according to expression of each marker, showing single-positive (SP), double-
- positive (DP) and triple-positive (TP) populations. From each sample 600 events were randomly
- selected. Perplexity=20, 1000 Iterations. (E) Quantification of CD69 and CD103 expression of
- 748 CD49a+ and CD49a- CD56<sup>bright</sup> NK cell populations of the lung and blood. Lines described medians,
- statistical analysis by Friedman's test with Dun's correction (N=8). Overall P value <0.001 (F, G)
- 750 Quantification of residency marker expression on CD56<sup>bright</sup> NK cells of matched blood (**F**) and lung
- 751 (**G**). Key as shown in Figure 5D.
- 752 **Figure 6:** Influenza X31 infection in human lung explants (A) Representative flow cytometry plot
- defining lung epithelia as CD45-EpCAM+ cells. (**B, C**) Influenza Nucleoprotein1 (NP1) expression in
- 754 X31 infected epithelia. (D) Representative flow cytometry plot defining lung macrophages as
- 755 CD45+HLA-DR+ cells. (E,F) Influenza NP1 expression in X31 infected macrophages. (G,H)
- 756 Expression of HLA-A/B/C on epithelial cells (G) and macrophages (H) with and without X31
- 757 infection, as defined by NP1 expression. Lines describe medians, statistical analyses performed by
- 758 Wilcoxon-signed rank test (N=7).
- 759 **Figure 7:** NK cell activation in X31-infected human lung explants. (A,B) Representative flow plot
- and quantification of surface CD107a on explant NK cells 24 hpi (N=6). (C) Surface CD107a on NK
- 761 cell subsets 24h after X31 infection (N=5). Uninfected (NT) background CD107a expression was
- subtracted. (**D,E**) CD49a+ and C49a- NK cell degranulation of CD56<sup>bright</sup> (**D**) and CD56<sup>dim</sup>CD16- (**E**)
- NK cells following X31 infection and PMA/I stimulation of lung explants (N=6). (F,G) Extracellular
- Granzyme-B and IFN-y in explant supernatants 24h post infection with X31 (N=8). Lines describe
- medians, statistical analysis performed by Wilcoxon-signed rank test.
- 766 **Figure 8:** Explant response to X31 infection over time (A) NK cell surface expression of CD107a
- 767 (**B,C**) Extracellular secretion of IFN-γ (**B**) and Granzyme-B (**C**) from infected lung tissue (N=3, except
- 768 6h N=2). Lines describe mean and SEM.
- 769 Figure 9: Peripheral blood NK cells are cytotoxic towards X31-infected cells. (A) X31 infection of
- 770 monocyte-derived macrophages (MDMs) was determined by flow cytometric analysis of NP1
- expression (N=6). (B) Uninfected (NT), UV-irradiated X31 and live X31 infected MDMs were
- cultured with autologous peripheral blood NK cells for 4-6h. NK cell degranulation was determined

- by flow cytometric analysis of surface CD107a (N=5). (C, D) Following culture with NK cells, MDM
- viability was measured by uptake of amine-binding dye and analysed by flow cytometry (N=10).
- Representative gating for MDM viability. Fixable dead stain, viability gating defined through heat
- 776 killed control. (C) Representative gating of X31-infected MDM viability. Lines describe medians,
- statistical analysis by Wilcoxon signed-rank test.
- 778 **Figure 10:** Peripheral blood NK cells produce IFN-γ and Granzyme-B after contact with X31-infected
- cells. (**A,B**) Intracellular accumulation of Granzyme-B and IFN-γ was measured by flow cytometry 6h
- 780 after culture with X31-infected MDMs. Physical separation of MDMs and NK cells in a transwell
- 781 system abrogated IFN-γ and Granzyme-B production (N=6). (C) Intracellular IFN-γ of CD56<sup>bright</sup> and
- 782 CD56<sup>dim</sup> NK cell subsets when cultured with MDMs treated with UV-irradiated X31 and live-X31.
- 783 (D,E) Extracellular secretion of Granzyme-B (D) and IFN-γ (E). Lines describe medians, statistical
- analysis by Wilcoxon signed-rank test.
- 785 **Figure 11:** Blocking class I HLA increases NK cell degranulation during culture with X31-infected
- 786 macrophages. (A) MDM HLA-A/B/C expression 24h after uninfected (NT) UV-irradiated and live
- 787 X31 infection (N=5). (B) Background NK cell degranulation following culture with uninfected
- macrophages when macrophages were treated with αHLA or isotype control for 20min prior to addition
- of NK cells (IgG2a) (N=5). (C) 24h post-infection MDMs were incubated with antibody against HLA-
- 790 A/B/C for 20min prior to co-culture with NK cells. NK cell degranulation was measured by flow
- 791 cytometry (N=5). Lines describe medians. Statistical analyses performed by Wilcoxon-signed rank
- 792 test.

#### 8 Tables

**Table 1:** Cohort demographics for resection donors and healthy controls. Median values and italicised interquartile range are shown. NA = Data not available. \* indicates additional locations of resection surgeries. <sup>1</sup> Two-tailed Mann Whitney Test <sup>2</sup> Fisher's Test <sup>3</sup> Chi-square Test

	Cancer resection	Healthy control donors	P-value
	donors	(phenotyping)	
Number of patients	35	15	
Age (yr)	70 (9.75)	24 (8)	< 0.0001
M/F	21/14	10/5	0.7570 <sup>2</sup>
Smoking status,	5/22/7/1	10/4/1	0.0013 3
never/ex/current/unknown			
Pack-years of smoking	40 (33.75)	NA	NA
FEV1%	86 (28.5)	NA	NA
FEV1/FVC ratio	0.65 (0.15)	NA	NA
Resection Location,	<u>8/7/11/3/3</u>	NA	NA
<u>LUL/LLL/RUL/</u>	*1 RUL+LUL		
RML/RLL	*1 RUL+RML		
1.0	*1 Left pneumonectomy		

**Table 2:** Cohort demographics for age comparison study. Median values and italicised interquartile range are shown. <sup>1</sup>Two-tailed Mann-Whitney <sup>2</sup> Fisher's test <sup>3</sup>Chi-squared test.

	Healthy control	<b>Healthy control</b>	
	(Under 40)	(Over 40)	P-value
Number of patients	10	12	
Age (yr)	34 (5.5)	66.5 (7)	< 0.00011
M/F	4/6	4/8	$0.5315^2$
Smoking status,	6/3/1	6/6/0	$0.3998^3$
never/ex/current			
Pack-years of smoking	0 (1.605)	2 (20)	$0.2332^{1}$
FEV1%	97 (17.75)	108 (16.5)	$0.0143^{1}$
FEV1/FVC ratio	0.84 (8.5)	0.78 (2.75)	$0.1945^1$

#### Conflict of Interest

KS and TW have applied for a patent for the explant infection model (PCT/GB2010/050821 "Ex Vivo Modelling of Therapeutic Interventions"). They report funding from GSK Biologicals SA and

807	AstraZeneca outside of the submitted work. GC, KO and SK have no potential conflict of interest to declare.
809	
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821	12 Abbreviations
822	APC: Antigen presenting cell
823	COPD: Chronic obstructive pulmonary disease
824	CR-PB: Peripheral blood from cancer resection donor
825	E: T: Effector: target
826	GzmB: Granzyme-B
827	H-PB: Healthy peripheral blood
828	IAV: Influenza A virus
829	ILC: Innate Lymphoid Cell
830	IQR: Interquartile Range
831	MACS: Magnetic-activated cell sorting
832	MDM: Monocyte-derived macrophage
833	MOI: Multiplicity of infection
834	NCR: Natural Cytotoxicity Receptor
835	NTHi: Non-typeable Haemophilus influenzae
836	NP1: Nucleoprotein 1
837	PBMC: Peripheral blood mononuclear cells
838	PMA/I: Phorbol myristate acetate/Ionomycin
839	SMFI: Specific mean fluorescence intensity
840	ssRNA: Single-stranded ribonucleic acid
841	TCR: T cell receptor























