

1 **Load capacity of caisson anchors exposed to seabed trenching**

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41 **ABSTRACT**

42 Floating structures are often secured in position with a taut mooring system and suction
43 caissons. Large seabed trenches have been observed adjacent to some suction caisson anchors
44 with taut-line mooring systems. The trenches may jeopardise the geotechnical capacity of the
45 caissons and in turn the stationkeeping of the floating structures. Finite-element method is
46 employed to examine the geotechnical capacity of suction caissons in a trenching seabed. The
47 results show that the reduction in the geotechnical capacity becomes more significant with
48 increasing trench width due to the loss of soil support and a change in failure mechanism as the
49 caisson rotates into the trench. For a given trench width, the reduction in capacity becomes
50 more significant as the load inclination angle to the horizontal decreases. However, the shape
51 of the normalised failure envelopes for combined vertical and horizontal load is insensitive to
52 trench width. A strategy to design for inevitable trenching by moving the padeye shallower to
53 reduce the depth of trench formation is not straightforward. The gain from a shallower trench
54 may often be outweighed by the reduction in capacity from rotation of the caisson at failure for
55 loading angles typical of taut moorings.

56 **KEYWORDS**

57 caisson; trenching; failure; numerical modelling; offshore engineering;

58

59 1. INTRODUCTION

60 Suction anchors coupled with catenary or taut-line mooring systems are widely adopted to moor
61 floating facilities for offshore oil and gas developments and are a potential anchoring solution
62 for floating renewable energy facilities (Andersen et al., 2005; Tjelta, 2015; Statoil, 2015; Oh
63 et al., 2018). A catenary mooring is characterised by the mooring line resting on the seabed
64 before the anchor point, while a taut line mooring reaches the anchor point at an angle, without
65 a grounded section (Figure 1). A catenary mooring forms a catenary shape in the water column
66 from the floating facility to the seabed and an inverse catenary from the seabed to the anchor
67 chain attachment point on the anchor, the padeye. Catenary moorings are typically formed from
68 chain (or a combination of chain and synthetic rope), while taut moorings are generally formed
69 from synthetic rope, which is much lighter than chain (but with short sections of chain at either
70 end). A taut line mooring will usually make an angle of 30 - 45° to the horizontal between the
71 floating facility and the padeye, with little change in the angle over the length of the line, as a
72 result of the low mass per unit length of the anchor line. Semi-taut moorings lie between the
73 catenary and taut concepts. Taut and semi-taut line moorings are attractive in deep water
74 environments to reduce the footprint of the mooring spread and improve the motion
75 characteristics of the floating system, but recent evidence suggests that the cyclic motion of the
76 anchor line at the mudline and through the seabed can contribute to the development of seabed
77 trenches.

78 Large trenches have been observed as a result of anchor chain-soil interaction on multiple
79 offshore projects, and one of the first cases has been reported in the public domain
80 (Bhattacharjee et al., 2014). These trenches formed to the depth of the padeye and extended in
81 front of the caisson by more than twice the distance to the chain exit point at the original
82 mudline, as illustrated schematically in Figure 2. Other instances of seabed trenching have since
83 been shared in the public domain indicating the extent of the phenomenon (Sassi et al., 2017;

84 Colliat et al., 2018; O'Neill et al., 2018). The formation of the trenches is controlled by
85 mechanism similar to the trenching of steel catenary risers (Bridge and Howells, 2007). These
86 include (i) a 'softening' mechanism in which soil is disturbed and remoulded by the chain
87 pretension and cyclic motions; (ii) a 'transport' mechanism causing suspension and erosion of
88 the softened soil; and (iii) a 'stability' mechanism that enables the trench to remain open and
89 stable once formed, if there is an adequately high normalised shear strength (i.e. the ratio s_u/σ'_v).

90 Soil loss in the vicinity of a suction caisson has the potential to significantly reduce the
91 geotechnical capacity due to loss of support from the seabed. Optimised design of the chain
92 attachment point generally leads to translation of the caisson under the design load, but any
93 trenching effect may lead to caisson rotation, and a reduced capacity. To date, there is little
94 information available on the effect of seabed mooring line trenching on the holding capacity of
95 suction caissons for floating facilities.

96 Various analytical, numerical and experimental studies have been carried out to investigate the
97 holding capacity of suction caissons in intact seabeds, with and without a tension gap forming
98 on the active side of a caisson during rotation. The focus of much early work was the vertical
99 pullout capacity of suction caissons (Steensen-Bach, 1992; Andersen et al., 1993; Watson and
100 Randolph, 1997). A limiting equilibrium model for undrained inclined pullout capacity of
101 suction anchors was presented by Andersen and Jostad (1999). Upper bound solutions for
102 suction caisson capacity under undrained inclined load were established (Randolph and House,
103 2002; Aubeny et al., 2003) by adding new failure mechanisms to those introduced for the
104 undrained capacity of laterally loaded piles (Murff and Hamilton, 1993). The response of
105 suction caissons subjected to combined vertical and horizontal loading was investigated through
106 interaction diagrams developed with finite element analyses (Zdravkovic et al., 2001; Senders
107 and Kay, 2002; Supachawarote et al., 2004; 2005; Saviano and Pisanò, 2017). Results from an
108 industry sponsored project on a range of geotechnical design aspects of suction caissons in

109 (intact) soft clay seabeds were presented by Andersen et al. (2005). Experimental studies on
110 inclined load capacity of suction caissons, in both small scale and prototype scale models, have
111 been reported by various researchers (Andersen et al., 1993; Watson et al., 2000; Clukey et al.,
112 2003). From this previous work, procedures for analysing suction caisson capacity are well
113 established for intact seabed conditions. Disturbed seabed conditions, such as after scour or
114 sediment erosion, have also been considered in calculating the lateral resistance of the piles
115 (Achmus et al., 2010; Qi et al., 2016). A project-specific numerical study of trenching effects
116 around a suction caisson was presented by Alderlieste et al. (2016), but as yet no generalised
117 guidance is available. The viability of the finite element method to determine the load capacity
118 of suction caissons exposed to a trench was demonstrated in Sassi et al. (2018).

119 This paper examines the effect of seabed mooring line trenching on the undrained geotechnical
120 capacity of suction caisson anchors. The inclined capacities of suction caissons are obtained
121 from finite element (FE) analysis under various trenched seabed conditions. A normally-
122 consolidated fine-grained soil with linearly increasing undrained shear strength with depth is
123 considered, as relevant to deep water seabed conditions where taut-line moorings for floating
124 facilities are most prevalent. The inclined pullout capacity of a suction caisson in a trenched
125 seabed is compared to that for an intact seabed, considering variations in trench width, load
126 inclination angle and interface condition between the caisson and the seabed to represent
127 drainage that would occur following trench formation. Designing for inevitable trenching by
128 adjusting the initial padeye location is also investigated.

129 The study provides insights into governing mechanisms of failure of suction caisson anchors
130 with taut moorings in a trenched seabed through systematic study of trench geometry, loading
131 angle and drainage conditions following trench formation. The effect of out-of-plane motion of
132 the mooring line is not considered in the current work. Results are presented for a single caisson
133 aspect ratio and soil strength profile, representative of deepwater seabed conditions and

134 caissons that are most relevant to the boundary value problem under consideration. The adopted
135 conditions are based on the first published case study of anchor line trenching.

136 **2. FINITE ELEMENT MODEL**

137 All the finite element analyses presented from this study were carried out using the
138 commercially available software package ABAQUS 6.14. The general approach is consistent
139 with previous studies that have been used to define yield envelopes for caisson capacity that are
140 widely used in practice (Zdravkovic et al., 2001; Supachawarote et al., 2004; Aubeny et al.,
141 2003), and have been adopted in industry codes and guidelines (ISO, 2016). In this way, the
142 results for the no-trenched case represent a baseline consistent with current practice, and the
143 trenching is superimposed on this to identify the effect on capacity.

144 **2.1 Geometry and meshes**

145 The length-to-diameter aspect ratio of the modelled caisson is identical to that presented in the
146 published field case study (Bhattacharjee et al., 2014), and reflects the geometry of field anchors
147 at deep water sites where the seabed is susceptible to mooring line trenching (Arslan et al.,
148 2015; Alderlieste et al., 2016). The suction caisson considered has a length-to-diameter ratio of
149 $L/D = 3.11$, from a diameter of 4.5 m and length of 14.0 m. The seabed trench was taken to
150 reach the padeye depth, z_p (as observed in the field case) and the width of the trench was varied
151 according to a prescribed width-to-diameter ratio, $w/D = 0.25, 0.5, 0.75, 1.0$ and 1.25 . The
152 extent of the trench perpendicular to the caisson was assumed to be infinite. This is a reasonable
153 approach since the zone of the failure mechanism in front of the caisson does not extend as far
154 as the (finite) limit of observed seabed trenches. The problem definition is shown schematically
155 in Figure 3.

156 A typical three-dimensional finite element mesh is shown in Figure 4 for the analysis of a
157 suction caisson embedded in a seabed with a trench of width $w/D = 0.75$. Only half of the model

158 is used since symmetry exists in the plane of loading. Horizontal displacement normal to the
159 plane of symmetry (the front face in Figure 4) is prevented throughout of the analysis. The
160 meshes extended $3L$ from the edges of the caisson and $3L$ beneath the caisson tip level, with
161 horizontally constrained nodes at the sides, and fully constrained nodes at the base. The
162 boundaries were shown to be sufficiently remote so that the failure mechanism was not affected.
163 A region of very thin elements (approximately $1\%D$) was employed around the caisson
164 perimeter to ensure accurate representation of shearing along the caisson shaft (Supachawarote
165 et al., 2004) whereas the required element size, h_{uf} , adjacent to the caisson base is determined
166 as proposed by Hu and Randolph (2002)

$$\frac{h_{uf}k}{s_{u,tip}} = 0.2 \quad (1)$$

167 where k is the gradient of the soil undrained shear strength and $s_{u,tip}$ is the soil shear strength at
168 the caisson base level.

169 First order 8-node fully integrated hybrid continuum elements were used for the soil domain
170 (refer to C3D8H in the ABAQUS element library). Hybrid elements are recommended for
171 modelling the response of incompressible and near-incompressible materials (Brezzi and
172 Fortin, 2012), such as soil under undrained conditions.

173 **2.2 Material properties and interface conditions**

174 The analyses were intended to replicate the undrained response of a soft normally consolidated
175 soil with an undrained shear strength increasing proportionally with depth, z according to $s_u =$
176 kz . Normally consolidated seabeds are prevalent in deepwater locations that are most desirable
177 for taut mooring applications. The gradient of the soil undrained shear strength was adopted as
178 $k = 2$ kPa/m in this study. Although this is a higher gradient than found in the Gulf of Mexico
179 (Quirós et al., 2000), it is in line with other regions where suction caissons are used (Colliat et

180 al., 2010; Erbrich and Hefer, 2002). The soil was modelled as linear elastic, perfectly plastic
181 obeying a Tresca failure criterion. The elastic properties were defined by undrained Young's
182 modulus $E = 1000s_u$ and Poisson's ratio of $\nu = 0.49$ (to avoid numerical difficulties associated
183 with the constant-volume response of soil under undrained conditions). This gives a relatively
184 high rigidity index G/s_u of 336, where G is the shear modulus of the soil. The submerged unit
185 weight, γ' , of the soil was taken as 3 kN/m^3 in this study, representative of seabed deposits
186 where trenching has been observed (Ehlers et al., 2005; Colliat et al., 2010). The normalised
187 shear strength of $s_u/\sigma'_v = k/\gamma' = 0.67$ exceeds the analytical plane strain solution of 0.5 for an
188 unsupported vertical cut (Gibson and Morgenstern, 1962). The origin of the anomalously high
189 value of s_u/σ'_v might be a product of bioturbation and geochemical transformation in sediments,
190 or other features of the mineralogy and composition (Ehlers et al., 2005; Kuo and Bolton, 2013).
191 It is these conditions, where the trench can stay open as an unsupported vertical cut without
192 undrained collapse, that are relevant to mooring line trenching issues.

193 The caisson was represented as a rigid solid plug to represent an unvented cap condition, and
194 the motion of the caisson was controlled by a reference node along the midline of the caisson
195 at a depth of z_{cl} , representing the intersection of the loading vector of the mooring chain at the
196 padeye with the centreline of the caisson (Figure 3), which governs caisson response to inclined
197 loading (Randolph & House., 2002).

$$z_{cL} = z_p + \frac{D}{2} \tan\theta \quad (2)$$

198 where θ is the load inclination angle to the horizontal.

199 In the analyses, all caisson loads and displacements were applied or recovered at the reference
200 point. The submerged unit weight of the caisson foundation was assumed to be identical to that
201 of the soil. Therefore, the vertical capacity derived from the FE analyses was the net capacity
202 neglecting the submerged self-weight of the caisson.

203 The interface between the shaft of the caisson and the soil was modelled in two ways. Firstly,
204 the caisson was modelled as fully bonded to the soil, allowing unlimited tension to be mobilised,
205 representing a case of suction at the interface. Secondly, a zero tension interface was modelled,
206 to allow the possibility of loss of suction forming at the interface. Gap formation is a design
207 concern, since the presence of a seabed trench may accelerate the dissipation of the negative
208 excess pore pressure around the caisson, preventing suction being maintained on the active face
209 and therefore eliminating any interface tension.

210 The normal behaviour of the zero-tension interface was modelled by ‘hard’ contact with no
211 tensile stress transmitted, and the tangential behaviour was represented by the Coulomb
212 frictional contact with coefficient of friction of 1.0. The underside of the caisson was fully
213 bonded to the soil with no detachment allowed, representing the ‘soil-soil’ interface between
214 the soil plug and the underlying soil.

215 **2.3 Analysis procedure**

216 The caisson was pre-embedded without considering the effect of installation. The geostatic
217 stresses of the soil were initially established for the intact seabed condition, with a set of
218 elements at the location where the trench would subsequently be formed. The development of
219 the seabed trench was simulated by deactivating the predefined elements in the trenched zone
220 with the model change function in ABAQUS. For the particular case of the instant of trench
221 development, the out-of-plane displacement constraint over the trenched zone at the plane of
222 symmetry in the model for intact seabed ($w/D = 0$) was released to simulate an infinitesimally
223 narrow trench. Following trench development, an external load was applied to the suction
224 caisson at the reference node by either imposing a displacement or a directly applied force to
225 bring the caisson to failure and the load capacity was determined.

226 **2.4 Load path**

227 For cases when the caisson body was free to rotate, a concentrated force or a displacement was
228 specified at the reference point along the direction of the prescribed load inclination. The
229 maximum capacity of the caissons was determined by prescribing translation without rotation,
230 in practice achieved by moment equilibrium from the optimal combination of padeye depth and
231 loading angle for the particular caisson geometry and undrained shear strength profile.

232 **3. RESULTS**

233 The finite element model was validated by considering the pure vertical pullout capacity and
234 translational capacity of the suction caisson embedded in an intact seabed, i.e. $w/D = 0$.
235 Subsequently, the load capacity of the suction caisson in trenched seabeds is presented for the
236 padeye located at the designated depth of 9 m (Bhattacharjee et al., 2014). Finally, the influence
237 of padeye offset is discussed to examine the effect of the trench depth on capacity.

238 **3.1 Caisson load response verification-Intact seabed**

239 *Vertical pullout capacity*

240 The undrained vertical pullout capacity of a caisson in an intact seabed consists of the shearing
241 resistance along the caisson shaft and the reverse end bearing resistance at tip level. For the
242 conditions modelled, shaft resistance can be deduced from the product of the shaft surface area
243 and the average shear strength over the embedded depth modified by a soil-structure interface
244 factor. The base resistance was estimated by subtracting the theoretical shaft resistance from
245 the total load obtained from the vertical pullout analyses. The end bearing factor N_c can be
246 calculated according to

$$N_c = \left(V_{ui} - \pi D \int_0^L \alpha s_u dz \right) / A s_{u,tip} \quad (3)$$

247 Where

248 V_{ui} = ultimate pullout force at failure (taken as the force at a displacement of 0.1D at a plastic
249 plateau)

250 A = cross sectional, bearing, area of the caisson

251 α = interface friction ratio, taken as 1 for full caisson-soil adhesion.

252 The computed value of N_c is approximately 10.9, compared with 10.5 for length-to-diameter
253 L/D greater than 3 based on two-dimensional axisymmetric finite-element analyses reported by
254 Aubeny et al. (2003).

255 *Horizontal translation capacity*

256 The translational capacity of a suction caisson derives from the shearing across the base at tip
257 level, potentially a deep flow-around mechanism above toe level, and a wedge-type failure of
258 the soil at shallow depths (Figure 5). The soil resistance mobilised by the soil wedges is
259 governed by the gapping conditions, which are relevant to the caisson-soil interface properties.
260 Under the zero-tension interface (ZTI) condition, a gap may form on the active side of the
261 caisson. The soil resistance for such a one-sided wedge mechanism is only due to the shear
262 strength and weight of the passive wedge in front of the caisson. For the case of an unlimited
263 tension interface (UTI) where a gap cannot form, the soil resistance due to the shear strength of
264 the passive and active wedges is equal for a two-sided mechanism, but the resistance associated
265 with the weight of the wedges cancels out.

266 The lateral capacity of the caisson, excluding base shearing, may be assessed using the profiles
267 of lateral resistance factor, N_p , derived from the plasticity solution for a one-sided mechanism

268 proposed by Murff and Hamilton (1993). An approximate fit of Equation (4) based on the
269 analytical solution was suggested for the variations of N_p with depth (Figure 5).

$$N_p(z) = N_1 - N_2 e^{-\xi z/D} \quad (4)$$

270 where N_1 is the limiting bearing factor at depth, varying with interface friction from 9.14 for a
271 smooth caisson, to 11.94 for a fully rough caisson (Martin and Randolph, 2006). N_2 is selected
272 such that $(N_1 - N_2)$ is the capacity factor at the intercept at the soil surface, being 2.82 and 2 for
273 a rough and smooth caisson, respectively (Aubeny et al., 2003). The decay factor, ξ ,
274 characterises the effect of soil strength profile, expressed as

$$\xi = 0.25 + 0.05 \text{Min}(6, s_{um}/kD) \quad (5)$$

275 where s_{um} is the soil undrained strength at mudline and k is the strength gradient.

276 Assuming a two-sided mechanism with no gap for full caisson-soil adhesion, the value of N_p
277 needs to be doubled, subject to the restriction that N_p does not exceed the limiting value at
278 depth, N_1 (Aubeny et al., 2003).

279 The normalised horizontal capacity factor for a translating caisson N_h is defined as

$$N_h = (H_{ui} - A s_{u,tip}) / L D s_{u,avg} \quad (6)$$

280 Where H_{ui} is the ultimate horizontal capacity for a translational caisson and $s_{u,avg}$ is the average
281 soil shear strength over the depth of caisson embedment. The value of N_h derived from the FE
282 analysis is 10.9 for UTI, 4.4% lower than the upper bound solution of 11.4, whereas the FE
283 result of N_h is 7.5 for ZTI condition, 8.7% higher than the analytical solution of 6.9 using the
284 N_p profile provided in Equation (4).

285 3.2 Effect of trench on vertical pullout and translational capacity

286 The reduction ratio of pure pullout, V_u , and translational load capacity, H_u , in the trenched
 287 seabed compared to the intact seabed is shown in Figure 6 for UTI, (i.e. full suction maintained)
 288 and ZTI (i.e. no suction permitted behind the caisson due to possible rapid drainage to the
 289 trench) cases, as a function of trench width. The trench is assumed to develop to the level of the
 290 padeye, located at $0.64L$, consistent with the optimal position for a taut-line system in a
 291 normally consolidated intact seabed. The changes in pure vertical and horizontal capacities are
 292 not intended to represent or inform on potential uniaxial failure mechanisms in the field but to
 293 provide insight into effects of trenching on these idealised cases as a basis for considering
 294 inclined loading.

295 Figure 6 shows that both vertical and translational capacities reduce with increasing trench
 296 width and the loss of suction behind the caisson, more significantly for translational capacity.
 297 The undrained vertical pullout capacity of caissons in a trenched seabed consists of the shearing
 298 resistance along the caisson shaft and the reverse end bearing resistance at tip level, identical
 299 to in an intact seabed. The shaft resistance during pullout decreased in the trenched seabed due
 300 to the reduced contact area between the caisson and the seabed. The end resistance factor
 301 considering the trenched soil can be predicted by Equation 7, accounting for the shaft area
 302 affected by the trench, as

$$N_c = \left(V_u - \pi D \int_0^L \alpha s_u dz + D \sin^{-1} \int_0^{z_p} \alpha s_u dz \right) / A_{S_u, \text{tip}} \quad (7)$$

303 The end resistance factor N_c of the caissons in a trenched seabed, presented in Figure 7, is
 304 practically identical with that in the intact seabed irrespective of the interface condition,
 305 indicating that the effect of the trench on end bearing resistance is minimal despite the reduced
 306 overburden. Therefore, the reduction of the pullout capacity is induced by loss of caisson
 307 surface area in contact with soil. For the case where suction is lost on the caisson-soil interface,

308 the reduction in vertical capacity becomes more significant as the trench width increases, since
309 the caissons rotates more significantly into the trench at the stage of trench development,
310 leading to more loss of contact and therefore shaft resistance in the following uplift.

311 The reduction in translational capacity for a trenched seabed shown in Figure 6 and the
312 calculated normalised horizontal capacity factor N_h , from Equation 6, is plotted in Figure 7.

313 The reduction in the translational capacity is caused by the loss of soil in the trenched zone and
314 the change in the soil flow mechanism around the caisson. The soil flow mechanisms for the
315 UTI and ZTI are illustrated in Figure 8 and Figure 9, respectively. A step fall in H_u for the UTI
316 ($\sim 10\%$) and ZTI ($\sim 15\%$) is evident for $w/D \rightarrow 0$, i.e. for an infinitesimally narrow trench even
317 though the same volume of soil is present around the caisson. This immediate reduction in
318 capacity is because any trench removes the potential for tensile resistance across the front of
319 the caisson, with this wound weakening the soil support. Figure 8a and b show the abrupt
320 change in the soil flow mechanism on the front side of the caisson with UTI for an intact seabed
321 and $w/D \rightarrow 0$. The scenario of $w/D \rightarrow 0$ is not intended to represent a physical reality, but is
322 examined to demonstrate the significant effect of the change of the soil failure mechanism, as
323 shown in Fig.8b, and explain the step change (rather than smooth transition) in the load capacity
324 of the caisson when the seabed transitions from intact into trenched conditions.

325 In the absence of the active soil wedge, Figure 9a and b demonstrate a similar immediate
326 transition in mechanism at the passive side for caissons with ZTI. As the trench widens to w/D
327 $= 1$, H_u reduces respectively to $\sim 70\%$ and $\sim 53\%$ of the untrenched value for the UTI and the
328 ZTI, as the volume of soil in the failure mechanism progressively reduces.

329 For the zero tension interface, the gap on the active side extends to the caisson tip and the soil
330 wedge does not mobilize owing to the soil detachment. The difference in N_h between ZTI and
331 UTI conditions is essentially independent of trench width (see Table 1), since it is governed by

332 the contribution of the soil resistance mobilised on the active side of the caisson, away from the
333 trench. The discrepancy accounts for 31% (< 50%) of the untrenched value for UTI due to the
334 contribution of the soil weight on the active side.

335 The case of an infinitesimally narrow trench is only of theoretical interest but shows that the
336 trench affects H_u via two distinct effects – (i) elimination of tensile resistance ahead of the
337 caisson, and (ii) a reduction in the overall volume of deforming soil.

338 **3.3 Inclined load capacity of caissons - Effect of trench width**

339 The results above have shown that the presence of a seabed trench reduces the pullout and
340 translational capacity of suction caissons due to the absence of resistance from the soil in the
341 trenched zone and loss of suction on the caisson/soil interface. In this section, the effect of the
342 trench on the inclined load resistance, F_u , is presented, demonstrating the additional reduction
343 in capacity due to the possible rotation of the suction caisson in a trenched seabed, as opposed
344 to a pure translational mechanism that the caisson would have been designed for considering
345 an intact seabed.

346 Results are presented in terms of a reduction factor, defined as the ratio of the geotechnical
347 capacity of the caisson in the trenched seabed F_u to that in the intact seabed F_{ui} . All curves
348 except those for $\theta = 90^\circ$ in Figure 10 converge to $F_u/F_{ui} < 1$ for trench width $w/D \rightarrow 0$. This is
349 because an infinitesimally thin trench is responsible for eliminating tension across the front of
350 the caisson – as shown in Figure 6 for pure horizontal loading ($\theta = 0^\circ$).

351 Figure 10 illustrates the components of reduction in capacity separated by (i) loss of suction
352 behind and soil support in front of the caisson, and (ii) rotation of the caisson. Effect (i) is
353 derived by constraining rotation while modelling the trench depth at the level of the padeye
354 corresponding to the optimal loading point for each chain angle for an intact seabed (Figure
355 10a). The load-capacities shown in Figure 10b represent caissons embedded in the trenched

356 seabed with rotation permitted and the load applied at the depth where no rotation would occur
357 for an intact seabed. The reduction in capacity becomes increasingly significant as the trench
358 width increases and for load inclination angles of $\theta < 60^\circ$, and is more significant for the case
359 of loss of suction on the caisson/soil interface. The greater reduction in capacity due to rotation
360 arises from effect (ii), because the depth of intersection of the loading vector at the caisson
361 centreline that causes pure translation, z_0 , becomes deeper with increasing trench width, as
362 shown in Figure 11. Therefore, the optimal padeye position for the intact seabed condition is
363 not optimal for the trenched seabed. Instead, it is too shallow and induces forward rotation of
364 the caisson. For the case of zero-tension interface, the soil resistance due to the active wedge is
365 absent, and the moment attributed to the passive soil wedge must equilibrate that associated
366 with the tip sliding to achieve the translating mechanism. Therefore, the optimal load
367 intersection with the centreline becomes deeper than that for the case of UTI. Additional
368 analyses with k in the range of 1.6 - 2.4kPa/m confirm that the values of z_0 presented in Figure
369 11 are insensitive to the adopted gradient of the undrained shear strength k , showing a variation
370 within 2% for given seabed and interface conditions.

371 The reduction in the capacity caused by free rotation compared with the translating caisson is
372 minimal for loading with a significant vertical component, $\theta > 60^\circ$. An example of the
373 dependence of capacity on loading angle θ is shown in Figure 12 as a function of the depth to
374 the load intersection point at the centreline of a caisson for the case of UTI, and embedded in
375 a trenched seabed with $w/D = 0.25$. Figure 12 indicates that for the load inclination angle $\theta < \sim$
376 60° to the horizontal, the capacity is highly sensitive to the intersection of the loading vector
377 with the centreline as the transition in mechanism from translational to rotational is abrupt. For
378 load inclination angles greater than 60° to the horizontal, where the caisson failure is governed
379 by the vertical pullout, the capacity is only marginally reduced over a relatively wide range of
380 load attachment depths.

381 The capacity of the suction caissons for any given load inclination angle can be determined
 382 from a V-H interaction diagram. The V-H capacity for caissons in a trenched seabed is
 383 presented in Figure 13a and b with respect to that in an intact seabed for UTI and ZTI,
 384 respectively. The dotted lines show the capacity for caissons permitted to rotate (as would occur
 385 in the field in the presence of trenching), with the load applied at the optimal attachment point
 386 for the intact seabed. The solid lines are the failure envelopes for the translating caissons, shown
 387 to highlight the separate effects of (i) loss of soil support in front of the caisson and (ii) the
 388 change of failure mechanism from translation to rotation. The capacity under inclined loading
 389 reduces with increasing trench width, w/D and for loading angles dominated by a horizontal
 390 component. For typical taut-line mooring angles, $30^\circ \leq \theta \leq 45^\circ$ to the horizontal, a trench as
 391 wide as the caisson diameter causes a reduction in capacity to 60% - 75% of the optimal capacity
 392 in an intact seabed for the conditions modelled. If suction is lost on the active side of the caisson,
 393 the capacity for $w/D = 1.0$ would reduce more significantly to 36% - 42% of the optimal value
 394 for untrenched seabed.

395 The normalised V-H failure envelopes are plotted in Figure 14a for unlimited tension interface
 396 conditions (UTI), revealing that the shape of the failure envelope is insensitive of the trench
 397 width and the mode of caisson motion. A general ellipse defined for intact seabed and aspect
 398 ratio of $1.5 < L/D < 5.0$ can be used to describe the failure envelopes (Supachawarote et al.,
 399 2004):

$$\left(\frac{H}{H_u}\right)^{0.5+L/D} + \left(\frac{V}{V_u}\right)^{4.5-L/3D} = 1 \quad (8)$$

400 For the case of ZTI, the shape of the failure envelope is independent of the trench width, but is
 401 (slightly) affected by whether the caisson is constrained to translate or free to rotate for load
 402 inclination angle $\theta < 45^\circ$.

403 3.4 Padeye offset for optimal capacity in a trenched seabed

404 The location of the padeye determines the maximum trench depth as well as the capacity and
405 mode of failure of the caisson. It is therefore worth consideration if the location of the padeye
406 can be optimized assuming trench formation down to padeye depth is inevitable. There is a
407 trade-off between locating the padeye at a shallower than optimal depth (for the intact seabed
408 case), thereby accepting a rotational failure mode, in order to reduce the depth to which the
409 trench develops. In this section, the effect of trench depth or adjustment of the location of the
410 padeye is discussed.

411 Figure 11 compares the locations of the optimal load intersection point at the centreline of the
412 caisson for various padeye positions which change from the mid-height of the caisson
413 embedment, i.e. $z_p/L = 0.5$, to the depth to optimal load intersection with the centreline for an
414 intact seabed, i.e. $z_p/L = 0.7$ for UTI and 0.73 for ZTI. The depth to the optimal load intersection
415 with the caisson centreline increases as the trench width increases regardless of the interface
416 properties. For given trench width, the reduction of the depth to the optimal load intersection
417 with the centreline is minimal for caissons with UTI. The reason is that the soil reaction force
418 P_a against the active side of the caisson and the sliding resistance P_{tip} at the caisson tip are
419 virtually unaffected by the presence of the seabed trench, whereas the passive soil reaction force
420 P_p increases for a shallower trench depth, but the corresponding moment arm decreases so that
421 the position of the optimal load intersection point at caisson centreline (point O) shifts slightly
422 to achieve the moment equilibrium for a translating mechanism (Figure 15).

$$P_p L_p + P_{tip} L_t = P_a L_a \quad (9)$$

423 where P_p , P_{tip} and P_a respectively are the forces acting on the passive side, tip and active side of
424 the caisson, with L_p , L_t and L_a being the corresponding moment arm with respect to O. Even
425 though the reduction of the depth to the optimal load intersection with the centreline is more

426 significant for caissons with zero-tension interface than that for UTI (Figure 11), it is still
427 considerably smaller compared with the padeye offset, leading to forward rotation of the caisson
428 for the loading direction at the padeye. Therefore, the gain in the geotechnical capacity of the
429 caisson from a shallower trench might be outweighed by the reduction owing to caisson
430 rotation.

431 The load capacity of caissons in seabeds with various depths of mooring line-induced trenches
432 is presented in Figure 16, where the trench depth varies according to the prescribed load
433 intersection point at the caisson centreline, z_{CL} and load inclination angle, θ . Moving the padeye
434 to a shallower depth does not necessarily lead to a higher geotechnical capacity for load
435 inclination angles less than or equal to 45° to the horizontal, most relevant to taut or semi-taut
436 mooring systems. With a full tension interface, i.e. suction maintained on the passive side of
437 the caisson, the geotechnical capacity for a caisson with loading angle $\theta = 30^\circ$ and trench width
438 $w/D = 1.0$ reduced by 7% as the depth of the padeye decreased from $0.68L$ to $0.61L$. If suction
439 is lost on the active side of the caisson, the load capacity for $\theta = 45^\circ$ can reduce 10% with the
440 trench depth decreased from $0.68L$ to $0.54L$. This is because the geotechnical capacity of the
441 caisson is very sensitive to the position of the load intersection point at the centreline for small
442 load inclination angles. For load inclination angles exceeding 75° to the horizontal, vertical
443 pullout dominates the failure and the reduction in the geotechnical capacity results from the
444 loss of contact rather than the change in the failure mechanism of the caisson. The geotechnical
445 capacity is lower for any trench width as the trench depth increases, as would be expected. The
446 results presented in Figure 16c show that the capacity varies in approximate proportion to the
447 trench width.

448 **4. APPLICATION OF RESULTS TO CASE STUDY**

449 The results of this study indicate that when the trench geometry observed in front of the
450 Serpentina suction caissons (Bhattacharjee et al. 2014) is considered in the present analysis, the
451 holding capacity of any individual caisson is reduced by up to 65% for a trench as wide as the
452 caisson diameter ($w/D = 1$), compared to the optimal capacity assuming an intact seabed and
453 location of the padeye, $z_p/L = 0.64$, to mobilize a translational failure mechanism (Figure 17).

454 Moving the padeye to a shallower position to reduce the trench depth would not have increased
455 the holding capacity above the trenched capacity at the original padeye depth. This is because
456 the gain from the reduced trench depth is exceeded by loss in capacity from transition to a
457 rotational mechanism for these conditions.

458 The design capacity could be achieved by various combinations of increased caisson diameter
459 and/or length and padeye location. One example is to maintain the original loading angle,
460 caisson aspect ratio, and aim to achieve the design capacity indicated in Figure 17, which
461 corresponds to pure translation in an intact seabed with the actual anchor dimensions. This
462 capacity would require the caissons to be 7.14 m in diameter and 22.2 m in length with a padeye
463 at mudline. This represents a significant increase in size compared to the original dimensions
464 of $D = 4.5$ m, $L = 14.0$ m with depth to padeye $z_p = 9$ m, to overcome the challenge of seabed
465 trenching.

466 **5. CONCLUSIONS**

467 This paper has examined the load capacity of suction caisson anchors exposed to anchor line
468 seabed trenching through finite-element analyses. The effect of a range of in-line load paths,
469 interface conditions and trench configurations have been considered with reference to selected
470 caisson geometry and soil profile, representative of field caissons and deep water seabed
471 conditions, most relevant to the taut line mooring concept. This study has illustrated the

472 significant effect of anchor line seabed trenching on caisson capacity for taut-line mooring
473 systems and the variables that are significant to reduction in caisson capacity.

474 The analyses have revealed that:

475 • The reduction in vertical pull-out capacity is attributed to the loss of shaft resistance due
476 to the trenched soil. For cases where suction is still mobilised at the caisson/soil interface away
477 from the trenched area the undrained uplift capacity in the trenched seabed was generally 10%
478 lower than compared with an intact seabed. In contrast, in cases where suction was lost at the
479 caisson/soil interface due to the reduced drainage path length following trench formation, the
480 vertical capacity for caissons reduces further as the trench width increases owing to greater loss
481 of contact at the caisson/soil interface. The soil end resistance at caisson tip level was not
482 significantly affected by the presence of the trench.

483 • Maximum horizontal capacity, i.e. mobilised under pure translation, was reduced by ~
484 40% and ~ 70% as the trench width w/D reached unity for cases where suction at the caisson/soil
485 interface was maintained or lost, respectively. The significant loss of capacity is attributed to a
486 combination of the loss of soil support in the trenched zone and transition to a non-optimal
487 rotational failure mechanism as the initial optimal depth of the padeye for an intact seabed
488 becomes non-optimal in the presence of the trench, leading to rotation of the caisson.

489 • The reduction in inclined capacity of the suction caisson increased as the load angle to
490 the horizontal decreased. The reduction in capacity was most significant for mooring line angles
491 less than 60° to the horizontal – noting that most taut line mooring systems have loading angles
492 between 30° and 45° . For loading angles $> 75^\circ$, the failure mechanism is dominated by uplift.

493 • The shape of the normalised V-H failure envelopes was insensitive to the normalised
494 trench width.

495 • The optimal depth to the load intersection point at the caisson centreline increased as
496 the trench width increased and suction was lost on the active side. Improvement in geotechnical

497 capacity by changing the padeye position to reduce trench depth was negligible because gains
498 in capacity from a reduced trench depth were offset by reduction in capacity due to transition
499 to a rotational mechanism.

500 Thus, in seabeds with a risk of anchor line trenching, anchor size must be increased in order to
501 achieve the same design capacity. A range of combinations of caisson length and diameters
502 along with loading angles could offer the target capacity, and drivers to narrow the selection of
503 variables are likely to be project specific. In areas where trenching has been observed, a
504 catenary mooring spread could be adopted and the larger footprint accommodated to minimize
505 risk of trenching.

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615 bucket foundations in soft clay. *Géotechnique* 51 (1), 55-67.

616

617 **TABLE**

618 Table 1 Comparison of the geotechnical capacity of caisson in intact and trenching seabed for
619 the example application

Trench width, w/D	Normalised horizontal capacity factor, N_h		$[(1)-(2)]/(1)_{\text{intact}}$
	UTI (1)	ZTI (2)	
Intact	10.89	7.48	0.313
$\rightarrow 0$	9.75	6.37	0.310
0.25	9.15	5.78	0.309
0.50	8.51	5.14	0.310
0.75	7.90	4.49	0.313
1.00	7.14	3.72	0.313

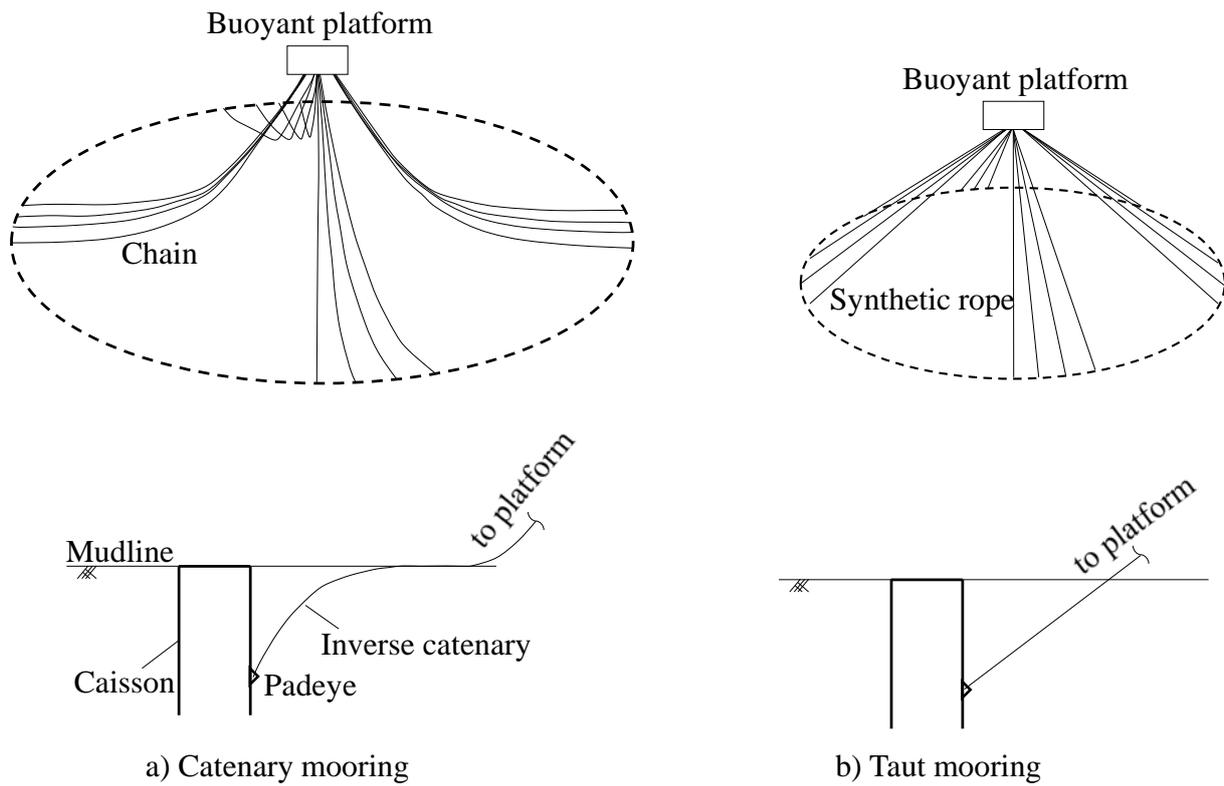
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622 **FIGURE CAPTIONS**

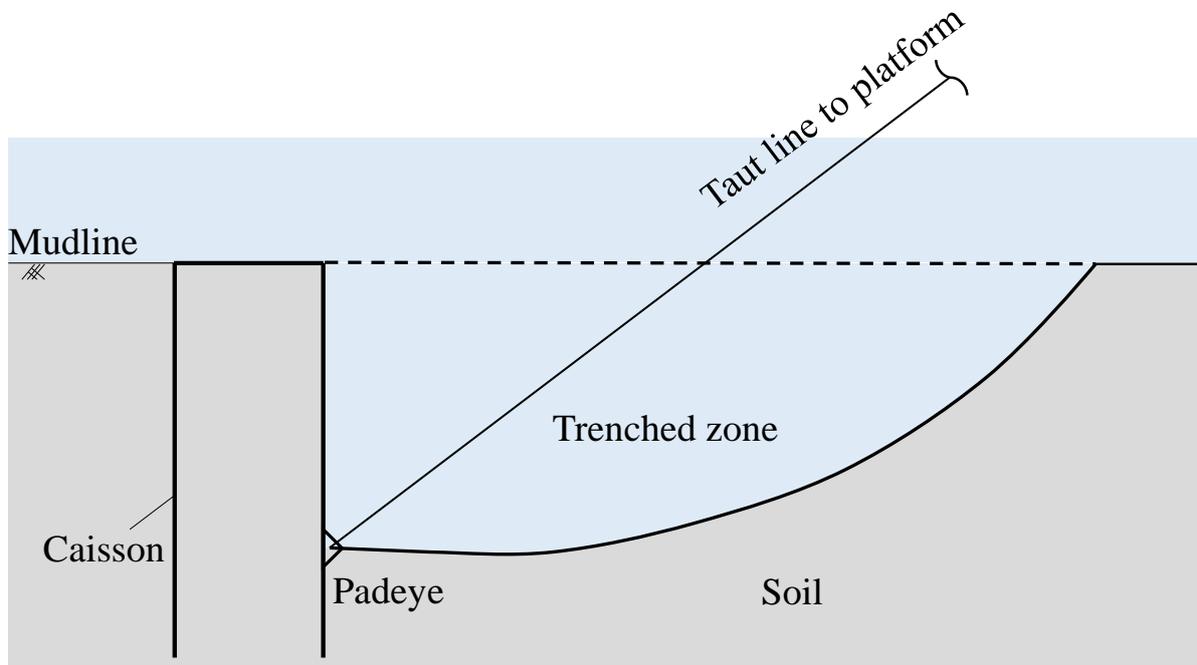
- 623 Figure 1 Mooring line and anchorage arrangements for catenary and taut moorings
- 624 Figure 2 Schematic of seabed trenching around a taut-line mooring (based on case study in
625 Bhattacharya et al. 2014)
- 626 Figure 3 Nomenclature for problem definition
- 627 Figure 4 Example of FE mesh for suction caissons in trenched seabed ($w/D = 0.75$)
- 628 Figure 5 Failure mechanism assumed for a horizontally translating caisson and profile of unit
629 lateral resistance
- 630 Figure 6 Reduction in the pullout and translational capacity of suction caissons with varying
631 trench width
- 632 Figure 7 End bearing capacity factor and horizontal capacity factor for suction caissons in
633 intact and trenched seabed
- 634 Figure 8 Soil flow mechanisms around translating caissons with UTI (Contours of soil
635 movement relative to caisson displacement)
- 636 Figure 9 Soil flow mechanisms around translating caissons with ZTI (Contours of soil
637 movement relative to caisson displacement)
- 638 Figure 10 Components of reduction in load capacity of caissons in trenched seabed with load
639 attachment point at optimal depth for intact seabed
- 640 Figure 11 Depth to optimal load intersection with the centreline for suction caissons in
641 trenched seabed for varying padeye positions
- 642 Figure 12 Example showing dependence of geotechnical capacity on the depth to load
643 intersection point at the centreline and load inclination angle (UTI; $w/D = 0.25$)
- 644 Figure 13 Comparison of V-H failure envelopes for suction caissons in intact and trenched
645 seabed
- 646 Figure 14 Normalised V-H failure envelopes for suction caissons in intact and trenched
647 seabed
- 648 Figure 15 Loading diagram for a translating caisson in trenched seabed
- 649 Figure 16 Effect of padeye offset on the load capacity of the suction caisson if designing for
650 inevitable trenching
- 651 Figure 17 Effect of seabed trenching and gapping conditions on the inclined capacity for the
652 example application based on Bhattacharjee et al. (2014)
- 653

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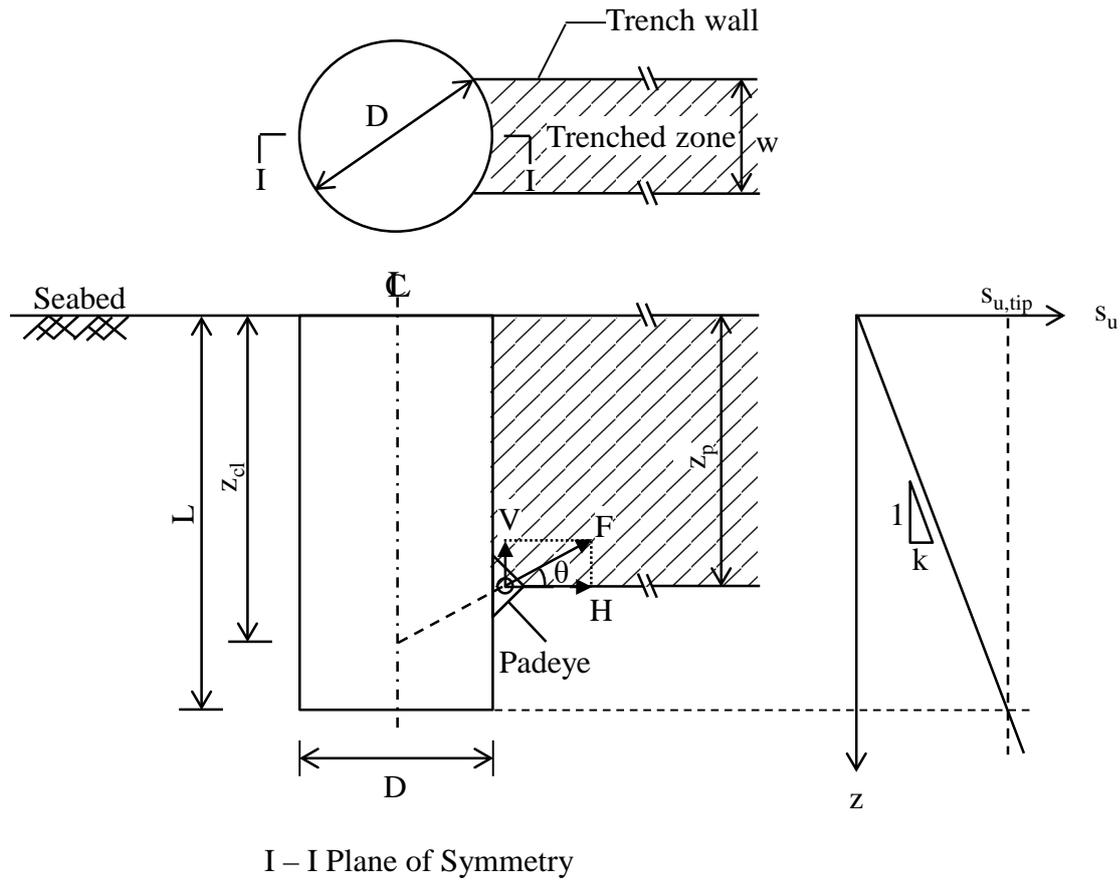
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Figure 1 Mooring line and anchorage arrangements for catenary and taut moorings

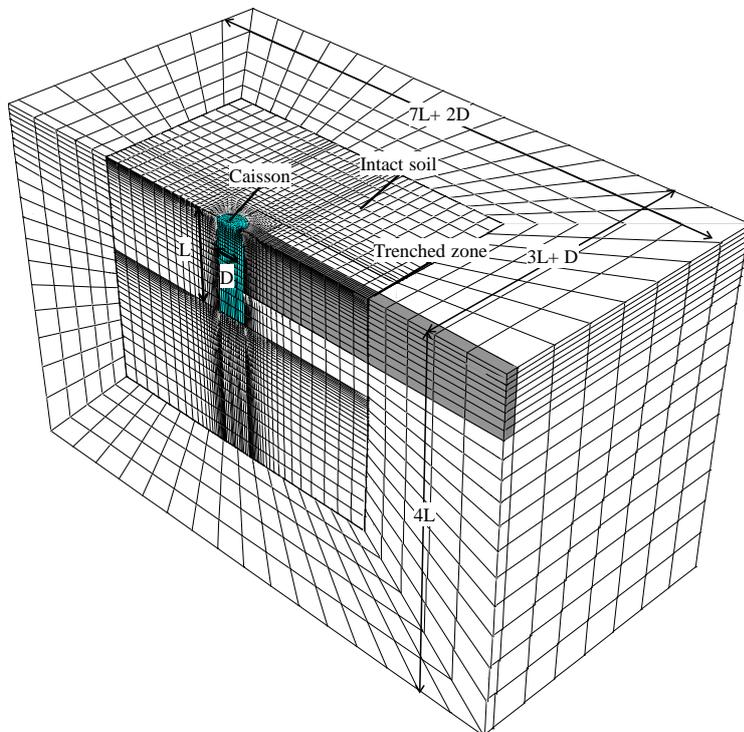


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Figure 2 Schematic of seabed trenching around a taut-line mooring (based on Bhattacharjee et al. 2014 case study)

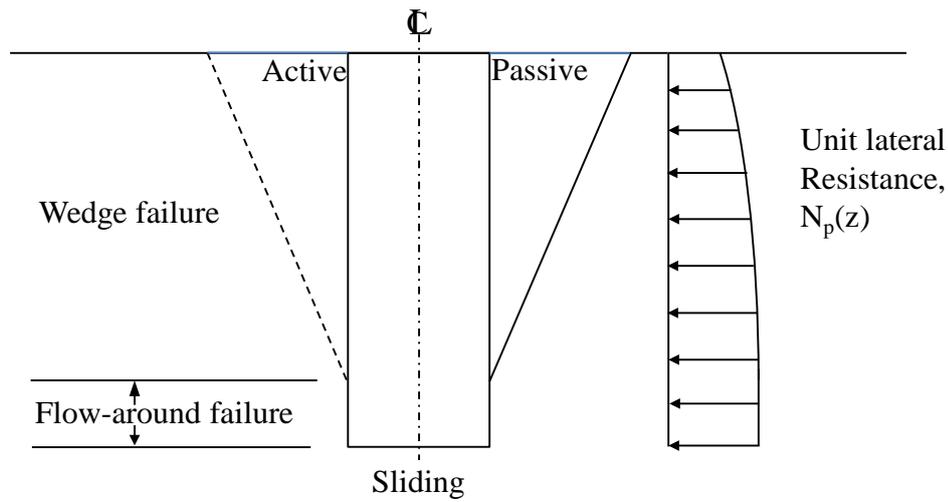


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 663 Figure 3 Nomenclature for problem definition
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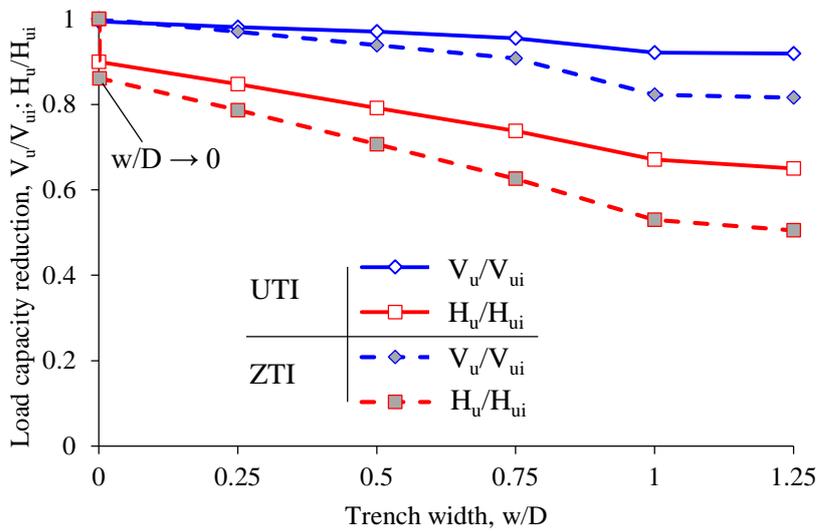
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 667 Figure 4 Example of FE mesh for suction caissons in trenched seabed ($w/D = 0.75$)
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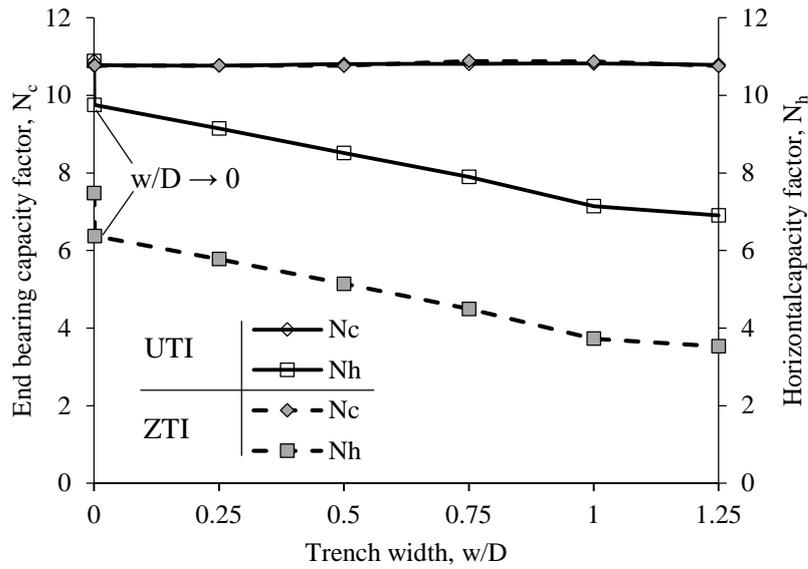
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Figure 5 Failure mechanism assumed for a horizontally translating caisson and profile of unit lateral resistance



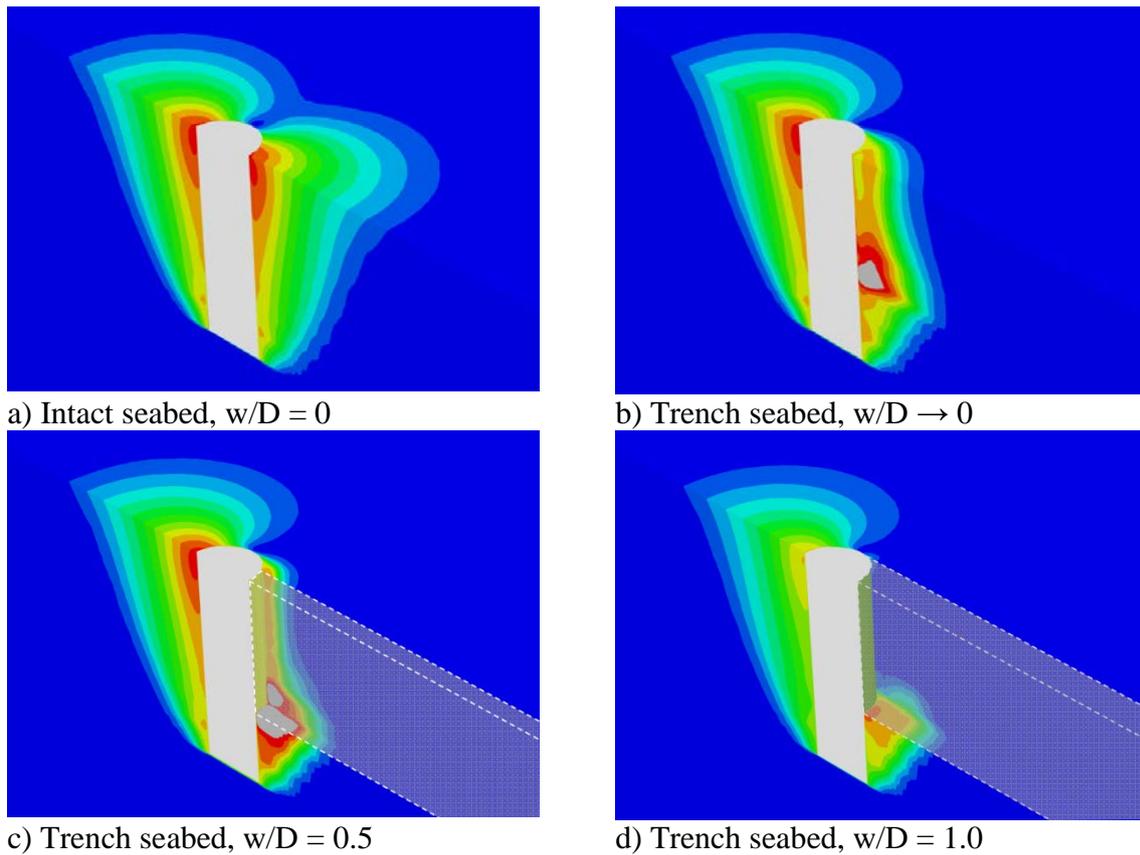
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Figure 6 Reduction in the pullout and translational capacity of suction caissons with varying trench width



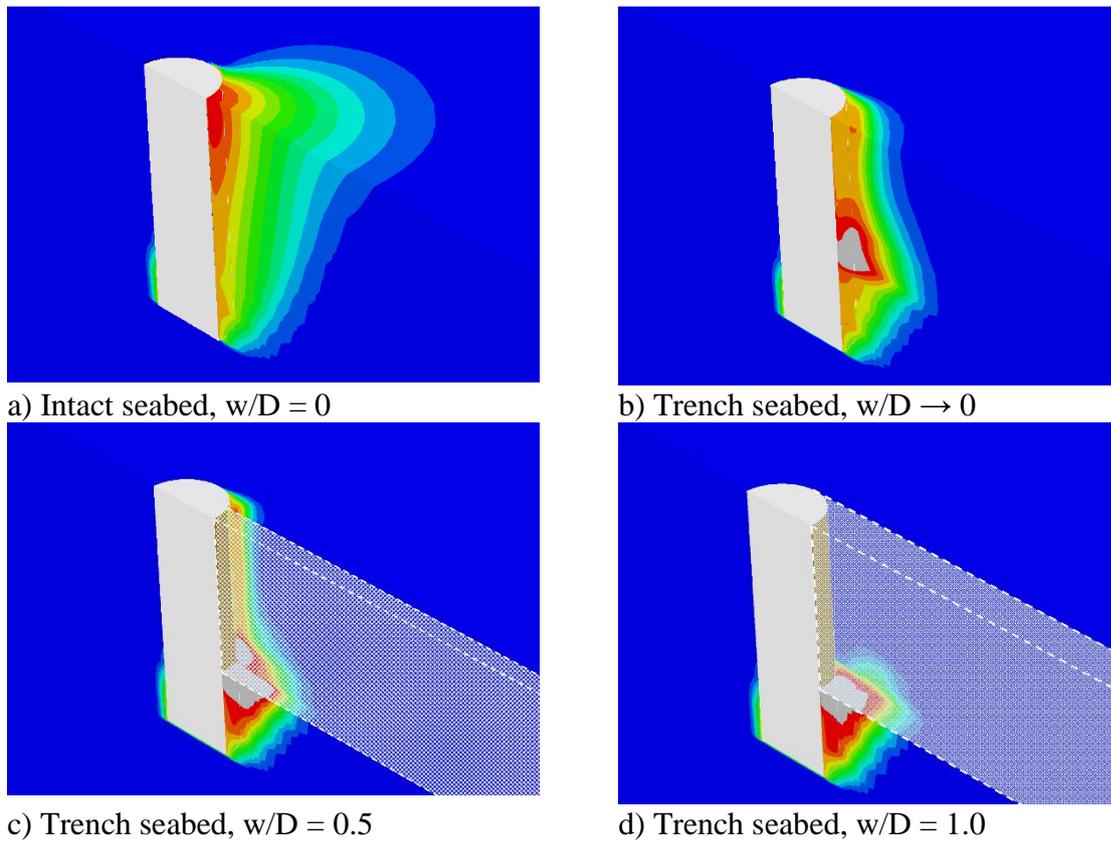
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Figure 7 End bearing capacity factor and horizontal capacity factor for suction caissons in intact and trenched seabed



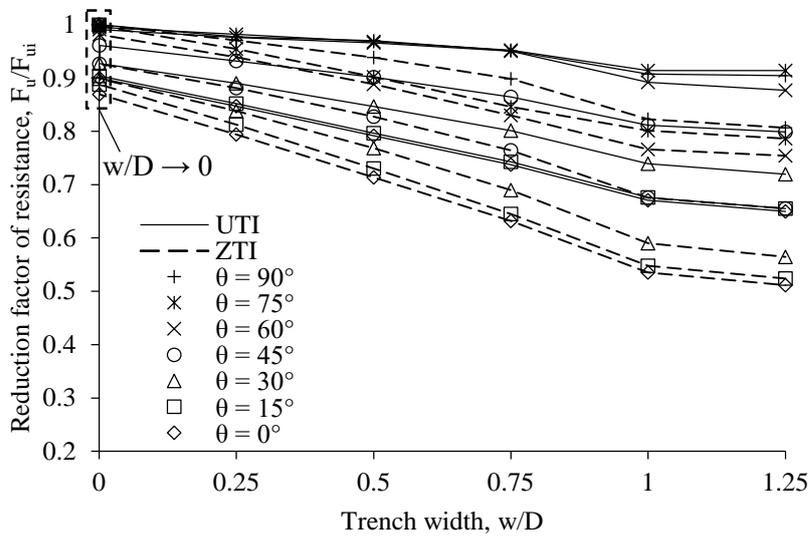
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Figure 8 Soil flow mechanisms around translating caissons with UTI (Contours of soil movement relative to caisson displacement)



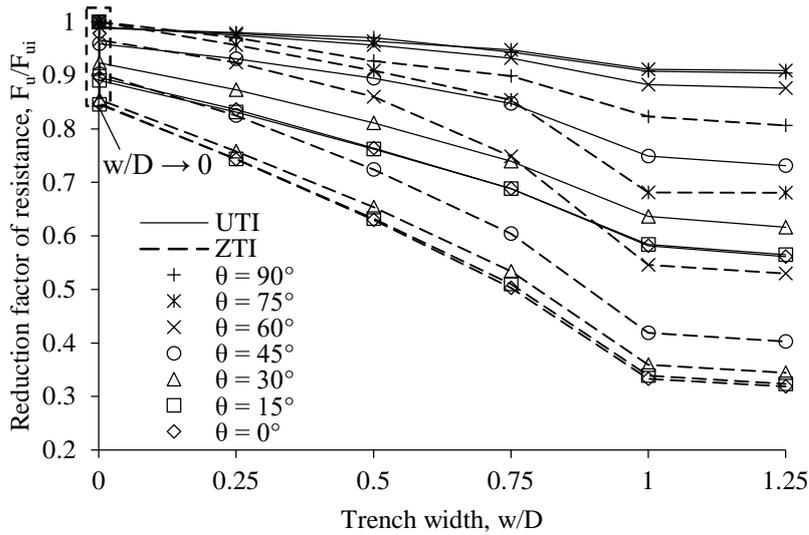
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Figure 9 Soil flow mechanisms around translating caissons with ZTI (Contours of soil movement relative to caisson displacement)



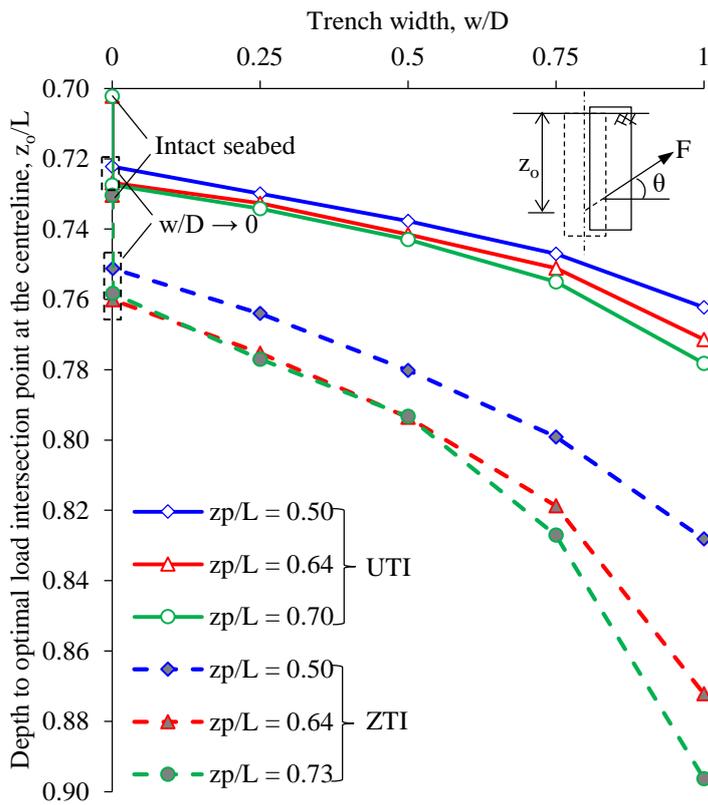
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a) effect of loss of soil support and suction

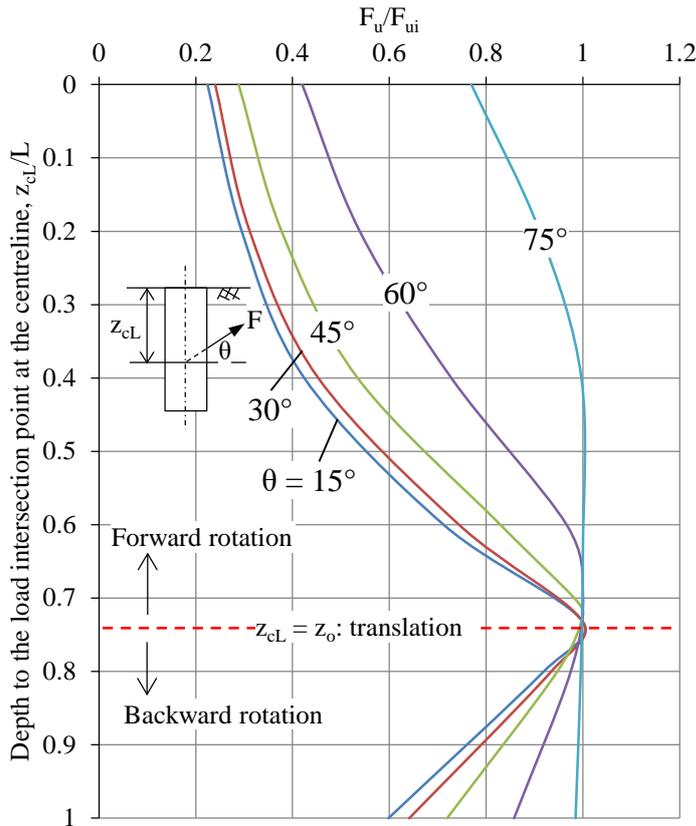


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 694 b) effect of rotation

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 696 Figure 10 Components of reduction in load capacity of caissons in trenched seabed with load
 697 attachment point at optimal depth for intact seabed
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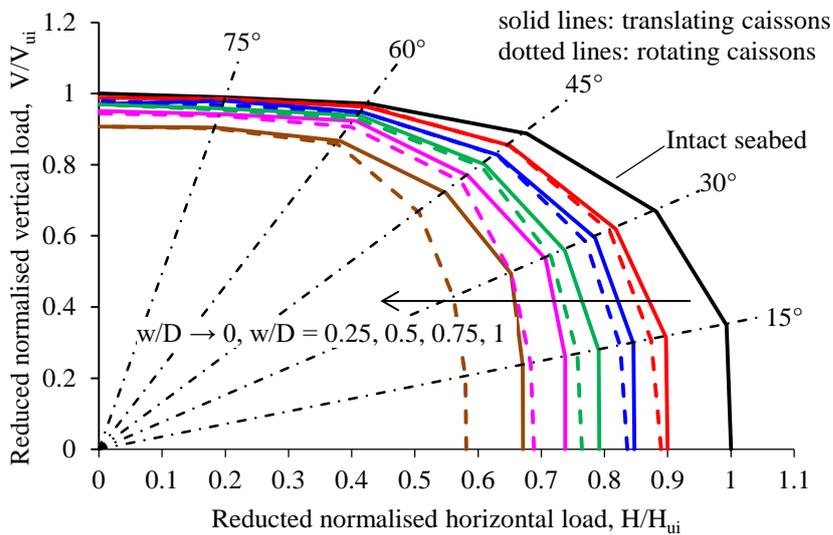


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 701 Figure 11 Depth to optimal load intersection with the centreline for suction caissons in trenched
 702 seabed for varying padeye positions
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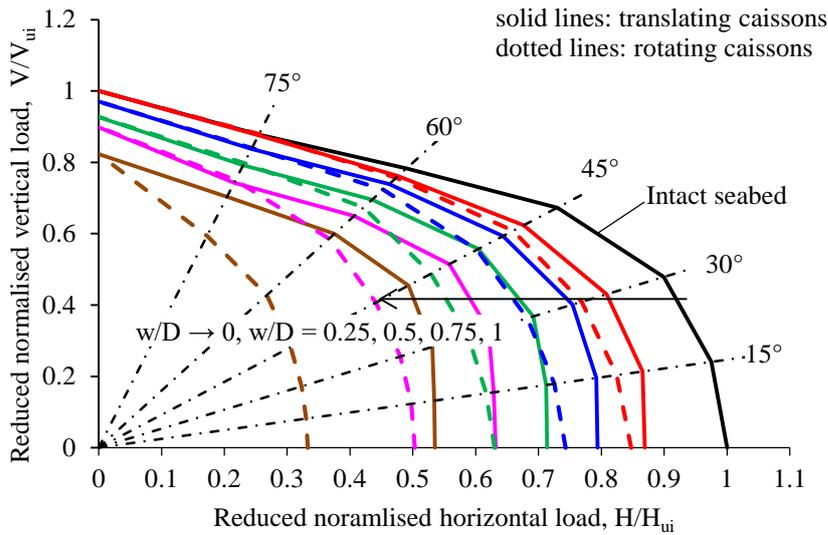


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Figure 12 Example showing dependence of geotechnical capacity on the depth to load intersection point at the centreline and load inclination angle



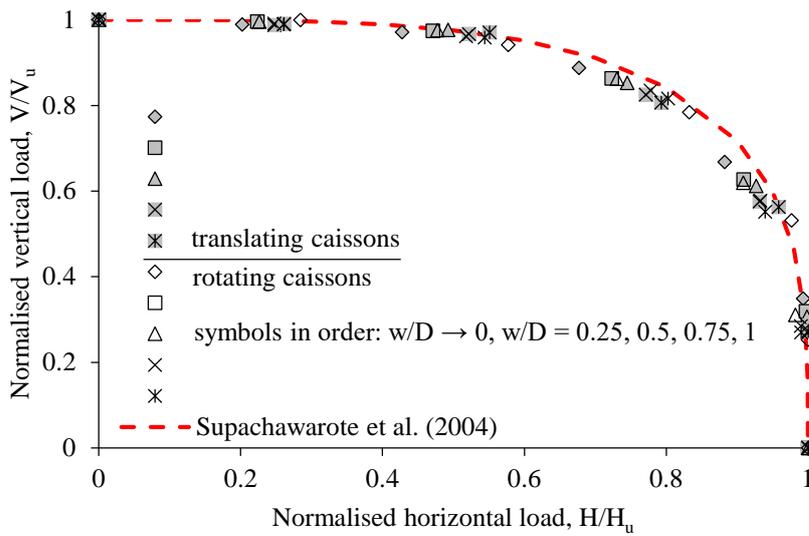
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 710 a) unlimited tension interface
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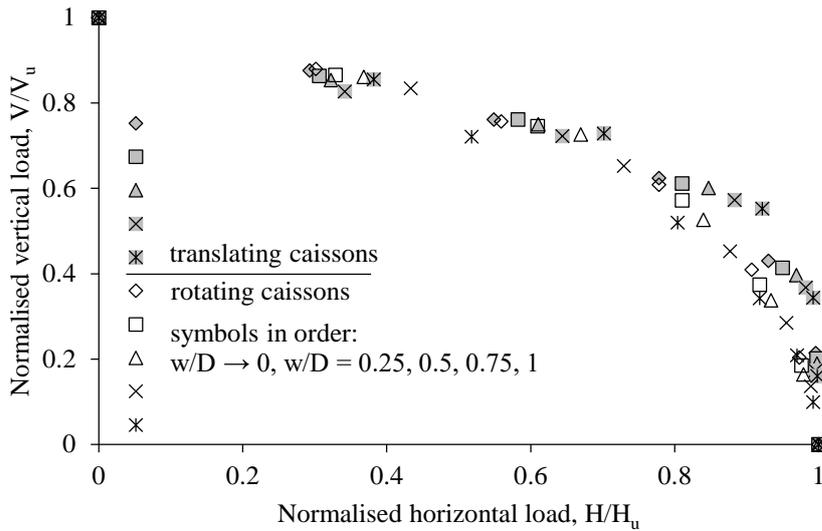
712
 713 b) zero-tension interface

714
 715 Figure 13 Comparison of V-H failure envelopes for suction caissons in intact and trenched
 716 seabed

717
 718

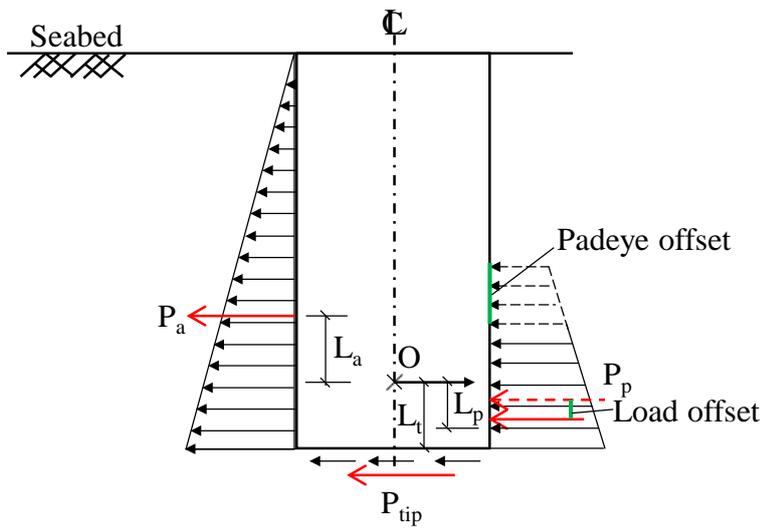


719
 720 a) unlimited tension interface

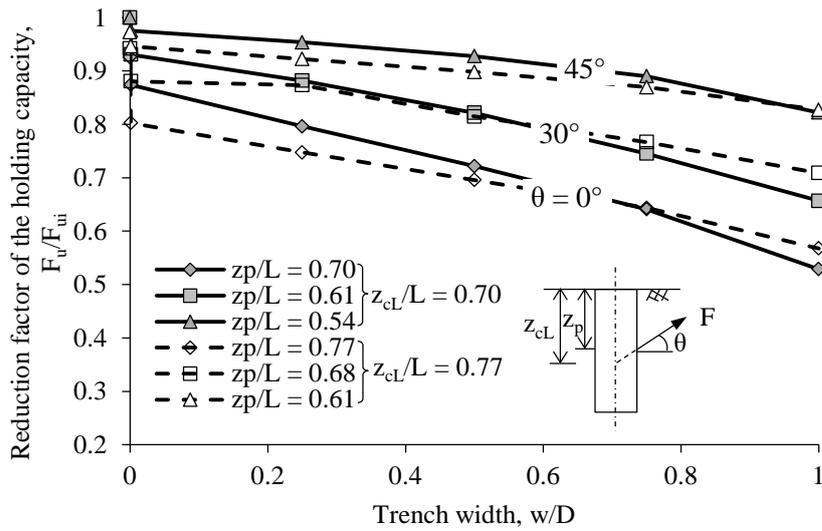


721
 722 b) zero-tension interface
 723
 724

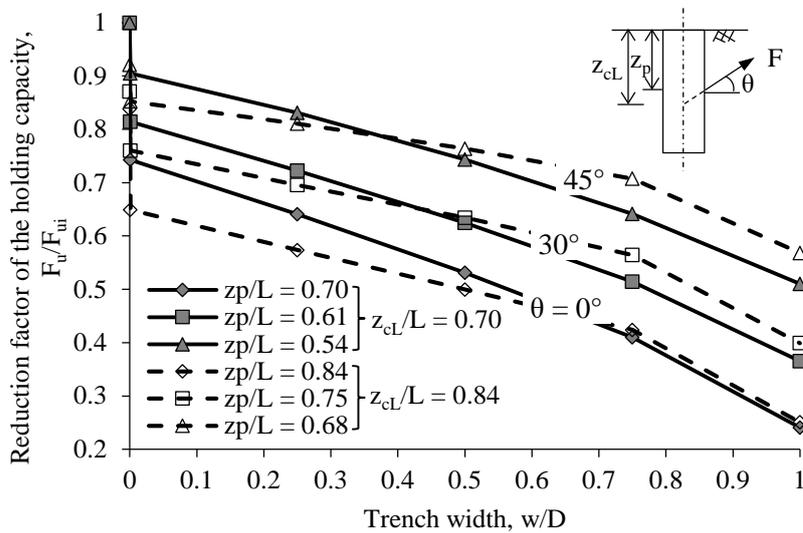
Figure 14 Normalised V-H failure envelopes for suction caissons in intact and trenched seabed



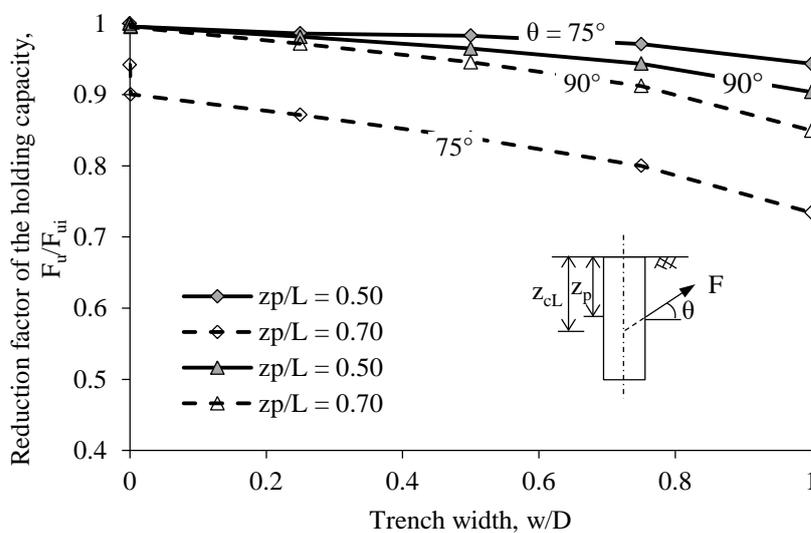
725
 726
 727 Figure 15 Loading diagram for a translating caisson in trenched seabed
 728



729
 730 a) $\theta \leq 45^\circ$; unlimited tension interface
 731



732
 733 b) $\theta \leq 45^\circ$; zero-tension interface
 734



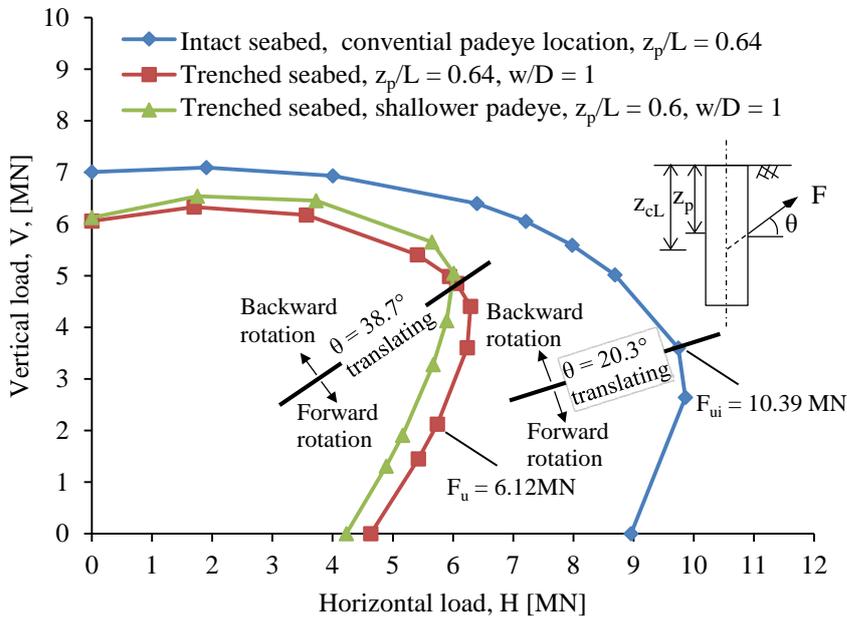
735

736 c) $\theta \geq 75^\circ$; unlimited tension interface

737

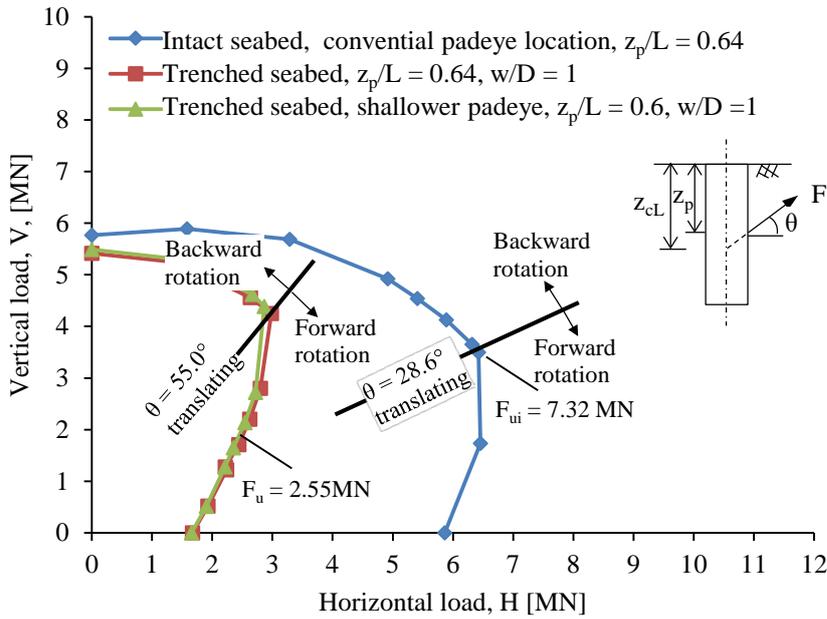
738 Figure 16 Effect of padeye offset on the load capacity of the suction caisson

739



740

741 a) unlimited tension interface



742

743 b) zero-tension interface

744

745 Figure 17 Effect of seabed trenching and gapping conditions on the inclined capacity for the
 746 example application based on Bhattacharjee et al. (2014)