

# **Not just a migration problem: Metapopulations, habitat shifts and gene flow are also important for fishway science and management**

Martin A. Wilkes<sup>1\*</sup>, J. Angus Webb<sup>2</sup>, Paulo S. Pompeu<sup>3</sup>, Luiz G. M. Silva<sup>4,5</sup>, Andrew S. Vowles<sup>6</sup>, Cindy F. Baker<sup>7</sup>, Paul Franklin<sup>7</sup>, Oscar Link<sup>8</sup>, Evelyn Habit<sup>8</sup>, Paul S. Kemp<sup>6</sup>

<sup>1</sup> Centre for Agroecology, Water and Resilience, Coventry University, Wolston Lane, Ryton on Dunsmore, Coventry CV8 3LG, UK

<sup>2</sup> Department of Infrastructure Engineering, The University of Melbourne, Parkville 3010, Victoria, Australia

<sup>3</sup> Department of Biology, Federal University of Lavras, Lavras, Minas Gerais, Brazil

<sup>4</sup> Institute for Land, Water and Society, Charles Sturt University, Post Office Box 789, Albury, New South Wales, 2640, Australia

<sup>5</sup> Universidade Federal de São João del-Rei, DTECH, Rod. MG 443, Km 7, Ouro Branco – MG, 36420-000, Brazil

<sup>6</sup> The International Centre for Ecohydraulics Research, Faculty of Engineering and the Environment, University of Southampton, Highfield, Southampton SO17 1BJ, UK

<sup>7</sup> National Institute of Water and Atmospheric Research Ltd, Hamilton, New Zealand

<sup>8</sup> Universidad de Concepción, Víctor Lamas 1290, Casilla 160-C, Chile

\* Corresponding author: martin.wilkes@coventry.ac.uk, +44 (0) 7557 425307.

## **Keywords**

Fishway; fish passage; metapopulation; dispersal; gene flow.

## Abstract

Worldwide, fishways are increasingly criticised for failing to meet conservation goals. We argue that this is largely due to the dominance of diadromous species of the Northern Hemisphere (*e.g.* Salmonidae) in the research that underpins the concepts and methods of fishway science and management. With highly diverse life histories, swimming abilities and spatial ecologies, most freshwater fish species do not conform to the stereotype imposed by this framework. This is leading to a global proliferation of fishways that are often unsuitable for native species. The vast majority of fish populations do not undertake extensive migrations between clearly separated critical habitats, yet the movement of individuals and the genetic information they carry is critically important for population viability. We briefly review some of the latest advances in spatial ecological modelling for dendritic networks to better define what it means to achieve effective fish passage at a barrier. Through a combination of critical habitat assessment and the modelling of metapopulations, climate change-driven habitat shifts and adaptive gene flow, we recommend a conceptual and methodological framework for fishway target-setting and monitoring suitable for a wide range of species. In the process, we raise a number of issues that should contribute to the ongoing debate about fish passage research and the design and monitoring of fishways.

## Introduction

Structures designed to aid fish movement through river barriers, known as ‘fishways’ (including ‘fish guidance systems’ like screens and bypasses for downstream movement), are the preferred fish passage management solution worldwide. However, fishways are increasingly criticised for failing to meet conservation goals (Pompeu et al., 2012; Brown et al., 2013; McLaughlin et al., 2013; Pelicice et al., 2017). Even among those in agreement on the general utility of fishways, there is debate as to how designs should be developed and evaluated (Kemp, 2016; Williams & Katopodis, 2016; Bunt et al., 2016). A major part of the problem is that designs for single target species from the temperate Northern Hemisphere are promoted as solutions in diverse biogeographical settings worldwide (*e.g.* Quirós, 1989; Mallen-Cooper & Brand, 2007; Noonan et al., 2012; Bunt et al., 2016). However, the majority of freshwater fish exhibit vastly different life histories, swimming abilities and spatial ecologies (Winemiller, 1989; Humphries et al., 1999) to the iconic diadromous fish native to the north (*e.g.* *Salmo salar*; *Oncorhynchus* spp.).

By reviewing recent advances in spatial ecology, which we argue is presently under-represented in fishway science and management, our aim is to better define what it means to achieve effective passage at riverine barriers and to recommend a range of concepts and methods for fishway target-setting and monitoring that are applicable to a wide range of species. Our uniquely ecological perspective complements recent syntheses more firmly rooted in engineering (*e.g.* Williams et al., 2012; Silva et al., 2018).

## Evaluating fishway effectiveness

Presently, the dominant framework for quantifying fishway effectiveness reduces the problem to a few simple metrics (Figure 1), often focusing only on the percentage of fish approaching from below the barrier that subsequently move upstream through the fishway ('overall fishway efficiency'; Larinier, 2008). This 'fishway efficiency' framework is highly biased towards obligate migratory populations that are strongly motivated to undertake directed movements between habitats clearly separated in space, for example diadromous salmonids (Roscoe & Hinch, 2010; Noonan et al., 2012; Bunt et al., 2016). The vast majority of freshwater fish species do not fit this definition and lack the motility or motivation to traverse hydraulic structures characterised by high mean velocities and turbulence intensities (*e.g.* spillways and fishways) or low mean velocities (*e.g.* reservoirs; Pelicice et al., 2015). Yet, in order to complete their life-cycle, all fish must undertake movements for reproduction and feeding, and to seek refuge from unfavourable conditions (Schlosser, 1991; Fausch et al., 2002). The distance of these movements for some populations may be restricted in terms of central tendency (*e.g.* <100 m; Rodríguez, 2002) but there is such variability involved that some have suggested that riverine fish should never be considered sedentary (Gowan & Fausch, 1996; Crook, 2004; Radinger & Wolter, 2014). For this reason, we prefer to use inverted commas when discussing 'non-migratory' species.

[Fig 1]

This population-level variability in dispersal distance is crucially important for processes occurring at a wide range of spatial and temporal scales (Figure 2), all of which may be impacted by anthropogenic barriers. These processes include: maintenance of gene flow (*e.g.* Frankham, 2015); recolonisation of habitats previously affected by physical

disturbance and disease (*e.g.* Howell, 2006); and rescue of subpopulations otherwise bound for local extinction due to stochastic demographic processes (*e.g.* Stephens & Sutherland, 1999). Furthermore, as the climate changes, whole fish populations will need to shift distributions to adapt (Comte et al., 2014; Ruiz-Navarro et al., 2016; Radinger et al., 2017). In some cases, in-situ adaptive processes may be sufficient to adapt to climate change, but this would still require connectivity between diverse subpopulations from which genotypes can be selected (Sgro et al., 2011). At the longest timescales, species' distributions have been rebounding since the last glacial maximum and in response to orographic episodes (Consuegra et al., 2002; Zemplak et al., 2008).

[Fig 2]

Fishways must facilitate these processes, which may operate over timescales longer than those traditionally considered within the fishway efficiency framework (Figure 2). In other words, fishways must support the viability of fish populations. But how can fishway success be evaluated on this basis given the multitude of other processes, both natural and anthropogenic, acting on the community? In pondering this question, we find ourselves caught between the epistemologies of holism and reductionism (Hannah et al., 2007). Clearly, a single percentage (*e.g.* overall fishway efficiency) is tractable, easy to communicate to non-specialists and consistent with engineering traditions. Yet, equally clearly, it is challenging to reduce this complexity to a single number. Moreover, the fishway efficiency framework (Figure 1) begs the important practical question, *how much is enough?* Lucas and Baras' (2000) recommendation of 90-100% for overall fishway efficiency is often cited. However, the ecological basis for this target is restricted to only those populations with critical habitats clearly separated by the barrier in question

(Pompeu et al., 2012). More practically, in light of recent global syntheses, the target hardly seems achievable even for highly motivated migratory species (Noonan et al., 2012; Bunt et al., 2016; Wilkes et al., 2018a, b). In some instances, as we shall discuss, targeting such high efficiency may actually do more harm than good (Pompeu et al., 2012; Pelicice et al., 2017; Silva et al., 2017).

### **Setting ecologically realistic targets for fishway effectiveness**

Alternatives to the fishway efficiency framework have been proposed and are gaining traction as operational tools. Castro-Santos and Perry (2012) proposed a time-to-event analysis that describes fish passage as a rate per unit time given environmental covariates (*e.g.* spilling regime, temperature). This is an improvement on the fishway efficiency framework and is applicable to larger-bodied migratory fish suitable for individual tracking using biotelemetry (Silva et al., 2018). Baumgartner et al. (2010) offered an alternative approach that can be applied to the whole fish community through a combination of biotelemetry (larger fish) and trapping at the fishway entrance and exit (all body sizes). However, the results of trapping campaigns are restricted to the species- and life stage- selectivity of fishways. Finally, Pompeu et al. (2012) proposed a new concept based on the occurrence of critical habitats on either side of a barrier, suggesting that even a highly 'efficient' fishway may not contribute to supporting population viability.

Whilst these alternatives represent progress over the fishway efficiency framework, they do not address all reasons why fish need to move (Figure 2). In particular, they do not cater for the needs of all fish populations to disperse in order to: (i) recolonise disturbed

144 habitats and rescue subpopulations bound for local extinction; (ii) adapt to climate  
145 change through shifting distributions; and (iii) adapt through exchanging genetic  
146 information. Furthermore, they do not provide any quantitative mechanisms for setting  
147 targets against which the effectiveness of a fishway, in terms of its ability to support  
148 viable populations, can be evaluated. To address these gaps, we suggest the application of  
149 modelling frameworks based on: (i) metapopulation theory; (ii) species distributions and  
150 fish dispersal; and (iii) demo-genetics. Below, we identify recent advances in each of  
151 these areas and recommend approaches to specifying 'dispersal targets', *i.e.* the minimum  
152 number of individuals, as a function of population size, that fishways should pass in  
153 upstream and downstream directions to support population viability. We suggest that  
154 these targets are more realistic than the 90-100% typically targeted for migratory  
155 species with critical habitats clearly separated by barriers. We use the term 'realistic'  
156 because the targets are based on real ecological processes rather than a weak assumption  
157 that all fish must pass the barrier.

158

159 These modelling approaches may be applied to both 'non-migratory' and migratory  
160 species. However, in the latter case, the application must acknowledge that migratory  
161 species may require access to critical habitats found exclusively on either side of the  
162 barrier (Pompeu et al., 2012). As well as a minimum dispersal target, an upper limit may  
163 also be of interest, since demographic models of potamodromous fish suggest negative  
164 effects of highly 'efficient' fishways (Silva et al., 2017). In particular situations where  
165 upstream passage is favoured over downstream passage and there is a lack of suitable  
166 habitat upstream (Pompeu et al., 2012), overall fishway efficiencies of more than 10-30%  
167 have the potential to create damaging ecological sink behaviour (Pelicice & Agostinho,  
168 2008; Silva et al., 2017). This risk is greater for high-head structures that are less likely to

be drowned out during flood events, and also for structures that impound a larger body of water, thereby causing more drastic changes to habitat availability upstream.

### *Metapopulation theory*

Fishways operate within a complex river network involving multiple habitat patches, barriers and stressors. However, with the exception of Pompeu et al. (2012), presently available conceptual frameworks for evaluating fishway efficiency focus on the immediate vicinity of a single structure. Metapopulation theory represents an appropriate foundation for better integration of the effects of large-scale spatial ecological processes, and the cumulative effects of multiple barriers and/or fishways. A metapopulation can be defined as a set of subpopulations within which local extinctions may be balanced by immigration and recolonisation (Levins, 1969). From this simple definition, it is possible to see immediately the relevance to connectivity - the viability of the metapopulation is contingent on dispersal. As yet, however, this idea has made little impact on fishway science and management. Better integration of metapopulation theory could help to define ecologically realistic dispersal targets for passage at the population level (Figure 3a-b), and across entire river networks.

[Fig 3]

Metapopulation modelling has a long history in two-dimensional terrestrial landscapes (Levins, 1969) and, although the geometry of dendritic networks precludes the direct transfer of terrestrial models to rivers (Fagan, 2002), its application to fragmented river basins has now matured to the extent that many are calling for its better integration into



hydropower planning protocols (Jager et al., 2015; Hurd et al., 2016). The foundational work in metapopulation theory relied on patch occupancy models (Levins, 1969), reducing population processes within individual habitat patches to a simple statement of whether a patch is occupied (1) or not (0). Later, Stochastic Patch Occupancy Models (SPOMs) represented an advance in modelling, whereby presence-absence of a species in each suitable habitat patch was based on site-level colonisation and extinction probabilities (Hanski & Ovaskainen, 2003). This approach relies on the concept of *separability* of population (within patch) and metapopulation (among patch) processes (Drechsler & Wissel, 1997). The separability assumption supposes that because among-patch processes operate over such vastly longer temporal scales than within-patch population approaches, the latter can be safely ignored when modelling metapopulation responses. However, rivers are naturally much more connected than the mostly isolated populations first envisaged by metapopulation theory, and it would be difficult to argue separability of population and metapopulation processes.

With greater computing power, more recent efforts in modelling river network-scale metapopulations have included both within- and among-patch processes (*e.g.* Webb & Padgham, 2013), potentially providing a way forward (Erös & Campbell Grant, 2015). Under this ‘graph theory’ approach the river network is reduced to a series of ‘nodes’ (habitat patches containing subpopulations) and ‘edges’ (dispersal links between nodes), allowing the strength and direction of relationships between nodes to be represented (Webb & Padgham, 2013). The main benefit of this method is the ability to calculate summary statistics that define levels of connectedness for individual nodes and the entire network. For example, by parameterising the graph using alternative dispersal

probabilities reflecting fishway effectiveness, a metric describing the independence ( $I$ ) of each node (subpopulation  $i$ ) in the network may be calculated as:

$$I_i = \sum_{j \neq i}^n \frac{s_{ii}}{s_{ii} + s_{j \rightarrow i}}$$

where  $s_{ii}$  is abundance of subpopulation  $i$ , and  $s_{j \rightarrow i}$  is the number of fish emigrating from subpopulation  $j$  to subpopulation  $i$  (Schick & Lindley, 2007). By comparing  $I$  among different scenarios of connectivity ( $s_{j \rightarrow i}$ ), the impact of a fishway permitting passage of a given number of fish on node independence (or  $1-I$ , isolation) can be predicted.

The graph theoretical approach is conceptually simple in its application to dendritic networks but data intensive, requiring estimates of subpopulation abundances and baseline data on dispersal to compute the summary statistics. The latter could be estimated from stable isotope or genetic analyses, or predicted using existing fish dispersal models (Radinger et al., 2014; see below) if no direct empirical assessment is possible. Alternatively, modelling may be exploratory, for example focusing on the uncertainty of patch-level dispersal probabilities in order to assess the sensitivity of predictions (Fullerton et al., 2016). Graph theoretical models do not give explicit information on subpopulation viability, although more isolated subpopulations (i.e. with lower  $I$ ) are expected to be at higher risk of local extinction, particularly if they are already small (Figure 3b). An appropriate target, therefore, would be to preserve dispersal at a level that maintains the pre-barrier values of patch- or network- scale connectivity.

*Species distribution and fish dispersal*

Observable shifts in species ranges are already occurring in response to climate change (Figure 3c; Walther et al., 2002; Parmesan & Yohe, 2003; Chen et al., 2011) and fish are predicted to be affected more severely than many terrestrial organisms (Comte et al., 2014). The ability of a population to keep pace with climate change is highly dependent on dispersal ability and connectivity (Radinger et al., 2017), raising obvious concerns about fish passage. Several recent applications of species distribution models (SDMs) have highlighted the severity of range shifts among numerous European fish taxa, often reporting upstream habitat shifts and the failure of populations to keep pace with the changes (Comte et al., 2014; Ruiz-Navarro et al., 2016; Radinger et al., 2017). It should be noted, however, that headwaters may be more resilient to climate change-driven habitat shifts due to topographic controls that limit the rapidity of water temperature increases (Isaak et al., 2016). As potential thermal refugia, retaining connectivity in such environments is nonetheless important.

In the context of climate change, a suitable modelling framework for predicting the sensitivity of a fish population to a new barrier is specified by Radinger et al. (2017). The framework can be applied directly to the problem of setting dispersal targets for fishways. The approach is based on SDMs constructed using boosted regression trees (BRTs) under past or present conditions or under scenarios describing climate change and management decisions. Indices quantifying the extent and direction (upstream, downstream) of habitat gains and losses are calculated for the scenarios of interest. A trait- and site- based model is then used to represent realistic fish dispersal using a leptokurtic dispersal function, i.e. a distribution of individual dispersal distances from each subpopulation (Radinger et al., 2014). The dispersal function is parameterised using empirical data on 62 riverine species showing that the form of the distribution can be

predicted from fish body length, aspect ratio of the caudal fin and the stream order where the subpopulation occurs (Radinger & Wolter, 2014). Complete or partial barriers are represented in the model by restricting the passability of barriers, *i.e.* the proportion of fish approaching the barrier that subsequently traverse it. Indices derived from habitat gains and losses can then be compared under different scenarios (Radinger et al., 2018). In particular, the species-specific dispersal compensation index ( $H_{\text{dispersal:gain}}$ ) is a useful quantity that describes the proportion of new habitat that can be reached through dispersal over a given time frame. Dispersal targets to support viable populations under climate change may be set by running the model for different values of barrier passability and focusing on a value that approaches a maximal  $H_{\text{dispersal:gain}}$ .

#### *Demo-genetics*

Whilst SDMs hold promise as the basis for setting dispersal targets in contexts where climate change is predicted to drive shifts into presently unoccupied habitats (Figure 3c), a different approach is required to support genotype selection for in situ adaptation of subpopulations (Figure 3d). It has long been known that barriers affect genetic variation within river networks, with isolated subpopulations found to suffer reduced genetic diversity, leading to genetic drift and loss of adaptive capacity (Wofford et al., 2005; Raeymaekers et al., 2008). This knowledge has provided the basis for demo-genetic modelling to set targets for population translocation, whilst controlling for outbreeding depression in the recipient subpopulation (*e.g.* Pavlova et al., 2017). As yet, however, the obvious application to setting targets for fishways has not been made. Below we outline a modelling procedure suitable for application to this problem.

292 The translocation model of Pavlova et al. (2017) was developed for *Macquaria*  
293 *australasica*, an endangered freshwater fish endemic to Australia. The species is  
294 threatened by range contraction and fragmentation in a landscape undergoing severe  
295 climate change. Thus, the example is highly analogous to the situation hypothesised in  
296 Figure 3d, whereby a barrier blocks gene flow between two or more populations that  
297 were previously connected via dispersal. In the modelling procedure, the outcomes of  
298 management scenarios (*e.g.* number of individuals passing a fishway) are simulated using  
299 an age-structured population model. The model performs individual-based simulations of  
300 population viability due to deterministic forces and stochastic demographic,  
301 environmental and genetic effects. Simulations proceed generation-by-generation based  
302 on observed markers, preferably from genomic regions under selection. At each time  
303 step, a number of simulations are performed (typically 500 to quantify uncertainty) and  
304 each offspring is randomly assigned one of the alleles from each parent. Several  
305 population-level metrics are reported, including probability of extinction over the  
306 modelled time period. With translocation of suitable genotypes, Pavlova et al. (2017)  
307 found that the probability of extinction in the smallest populations could be maintained  
308 at or near zero for 100 years, a substantial improvement on a 'do-nothing' scenario.

309

310 Using a similar approach, Vera-Escalona et al. (2018) found that a fixed percentage of  
311 gene flow (1%) at barriers would not be sufficient to conserve a *Galaxias platei*  
312 metapopulation in Pataogonia if hydropower development reduced population sizes by  
313 90%. This underlines the importance of considering targets as a function of population  
314 size rather than a fixed percentage. It also reminds us that **loss of** connectivity is just one  
315 of the impacts that hydropower may have on fish populations, *i.e.* in addition to habitat  
316 quality and quantity.

317

318 Although the demo-genetic approach holds promise for setting quantitative fishway  
319 targets, there are instances where genetic connectivity is not required. In these instances,  
320 which may represent hotspots of speciation (Shelley et al., 2017) or populations bound  
321 for local extinction due to natural historical processes, intervention in the form of  
322 fishways is clearly not warranted. Only subpopulations among a wider metapopulation  
323 connected via dispersal should be considered as targets. If this is the case, a further  
324 consideration is genetic outbreeding, which must be mitigated for by: (i) only using  
325 source populations of the same karyotype as the recipient population; and (ii) ensuring  
326 that source and recipient populations have been isolated for <500 years (Frankham et al.,  
327 2011). In the context of fishways, these criteria would be met in all but the most extreme  
328 cases imaginable.

329

### 330 **Towards diversified conceptual and methodological frameworks for fishway** 331 **target-setting and monitoring**

332

333 We recommend two alternative frameworks for monitoring (Figure 4). First, the classic  
334 fishway efficiency framework (see Figure 1) for the special case where critical habitats  
335 for different life-stages may only be accessed by traversing the barrier. Depending on the  
336 location of the barrier, this is likely to be the case for diadromous fish and some  
337 potamodromous populations. Consistent with our other recommendations, the  
338 population-level impacts of failing to achieve full fish passage in these circumstances  
339 should be assessed to determine whether 100% passage is truly necessary for long-term  
340 population viability. If critical habitats are found on only one side of the barrier,  
341 applications should consider if a fishway would be appropriate and, if so (*e.g.* to support

fisheries), set upper limits for fishway efficiency (Silva et al., 2017). Second, the ‘dispersal target’ framework in situations where a barrier blocks the exchange of individuals and genetic information within a metapopulation, or prevents a distribution shift (Figure 3). This will be the case for many ‘non-migratory’ species, as well as potamodromous populations with access to critical habitats on both sides of the barrier (see Figure 2A of Pompeu et al., 2012). Habitat shifts are likely to be relevant for all life-histories (Comte et al., 2014; Ruiz-Navarro et al., 2016; Radinger et al., 2017).

[Fig 4]

The dispersal target framework is based on ecologically realistic targets describing the number of fish required to pass in order to support population viability, as a proportion of estimated population size. The target should be set at the maximum among the 95<sup>th</sup> percentiles of simulations performed under the three models, *i.e.* metapopulation, species distribution-fish dispersal and demo-genetics. The 95<sup>th</sup> percentile is recommended as a precautionary measure and should be based on population size estimates that account for any impacts of barriers on fish habitat independent of connectivity. This target is unlikely to exceed critical values triggering ecological sink behaviour, particularly if upstream and downstream passage are both managed effectively (Silva et al., 2017). This is to say nothing about when fish will be moving in response to environmental cues. The target, therefore, should be time-bounded based on prior knowledge of the population’s movement patterns, which may include indigenous and local knowledge, or else managed adaptively depending on when fish arrive at the fishway once it is constructed. Adaptive management may also be called for if many fish are observed to be congregating near the

barrier but not using the fishway, requiring alterations to the fishway and/or the attraction flow regardless of the dispersal target (Silva et al., 2012).

Whichever monitoring framework is considered appropriate (Figure 4), detail on the behaviour of fish in the vicinity of fishways and the delays associated with passage can be gained through applying time-to-event analysis if the characteristics of target species allow for the use of biotelemetry (Silva et al., 2018). In both cases, monitoring should recognise that upstream passage through a fishway is not necessarily an indicator of success if fish are trapped or disorientated in reservoirs upstream. If this is the case, methods should be in place to check that the fishway is supporting long-term population viability upstream of any impoundment, for example through stable isotope and genetic analyses. It is also important that downstream movement through reservoirs is given due consideration as drifting egg and larval stages may suffer high mortality rates due to long residence times (Pelicice et al., 2015).

We suggest the use of a range of methods to drive target-setting and monitoring (Table 1), going beyond previous recommendations exclusively limited to biotelemetry (Cooke & Hinch, 2013; Bunt et al., 2016; Silva et al., 2018), which is not feasible for a wide range of species and life-stages. For example, to implant a sufficient number of tags to detect rare, yet important dispersal events in 'non-migratory' species would be infeasible for large populations. Furthermore, some species and life-stages may be too small, too sensitive to handling or have unsuitable body morphologies to receive implanted tags without significant effects on growth, mortality and swimming performance, leading to bias in estimates of fishway effectiveness from tagged fish (*e.g.* Murchie et al., 2004; Moser et al., 2007). The extensive movements of dead fish may also confound results



from biotelemetry studies, especially for quantifying downstream passage (Havn et al., 2017). Whilst a detailed discussion on each of the recommended alternative methods lies beyond the scope of this review, we do encourage readers to consult the original sources cited in Table 1.

## **Conclusions**

Freshwater fish populations exhibit a wide range of life histories, swimming abilities and spatial ecologies. Despite this knowledge, the science and management of fishways has almost exclusively been dominated by concepts and methods well-suited to only a small fraction of species. For these few iconic species, whose critical habitats are clearly separated in space, the problem of passing fish at a barrier can be reduced to a set of simple metrics based on the assumption that 100% of the population must annually pass the barrier to reach critical spawning or feeding habitats on the other side. Yet a proportion of individuals of all fish populations must undertake movements of some magnitude to maintain population viability through the exchange of individuals and the genetic information they carry. These are 'slower' and less obvious processes than traditionally considered in fish passage research, so it seems understandable that they are only now being considered in greater detail. Fortunately, recent modelling advances now permit the setting of ecologically realistic targets for fishways to support viable populations and their adaptation to environmental change. Until now, however, such modelling approaches have remained somewhat disconnected from the concept of fishway effectiveness. It is time to embrace them as operational tools.

## **Acknowledgements**

416

417 This work was funded by the European Commission through the Marie Skłodowska-Curie  
418 action, 'Knowledge Exchange for Efficient Passage of Fish in the Southern Hemisphere'  
419 (RISE-2015-690857-KEEPPFISH).

420

## 421 **References**

422

423 Amtstaetter, F., O'Connor, J., Borg, D., Stuart, I., & Moloney, P. (2017). Remediation of  
424 upstream passage for migrating *Galaxias* (Family: Galaxiidae) through a pipe culvert.  
425 *Fisheries Management and Ecology*, 24, 186-192.

426

427 Baumgartner, L. J., Boys, C. A., Stuart, I. G., & Zampatti, B. P. (2010). Evaluating migratory  
428 fish behaviour and fishway performance: testing a combined assessment methodology.  
429 *Australian Journal of Zoology*, 58, 154-164.

430

431 Brown, J. J., Limburg, K. E., Waldman, J. R., Stephenson, K., Glenn, E. P., Juanes, F., &  
432 Jordaan, A. (2013). Fish and hydropower on the US Atlantic coast: failed fisheries policies  
433 from half-way technologies. *Conservation Letters*, 6, 280-286.

434

435 Bunt, C. M., Castro-Santos, T., & Haro, A. (2016). Reinforcement and Validation of the  
436 Analyses and Conclusions Related to Fishway Evaluation Data from Bunt *et*  
437 *al.*: 'Performance of Fish Passage Structures at Upstream Barriers to Migration'. *River*  
438 *Research and Applications*, 32, 2125-2137.

439

440 Calles, O., & Greenberg, L. (2009). Connectivity is a two-way street—the need for a  
441 holistic approach to fish passage problems in regulated rivers. *River Research and*  
442 *Applications*, 25, 1268-1286.

443

444 Castro-Santos, T., & Perry, R. (2012). Time-to-event analysis as a framework for  
445 quantifying fish passage performance. In: *Telemetry techniques: a user guide for fisheries*  
446 *research*. America Fisheries Society, Bethesda, Maryland, pp.427-452.

447

448 Chen, I. C., Hill, J. K., Ohlemüller, R., Roy, D. B., & Thomas, C.D. (2011). Rapid range shifts of  
449 species associated with high levels of climate warming. *Science*, 333, 1024-1026.

450

451 Comte, L., Muriénne, J., & Grenouillet, G. (2014). Species traits and phylogenetic  
452 conservatism of climate-induced range shifts in stream fishes. *Nature Communications*, 5,  
453 5023. doi:10.1038/ncomms6053

454

455 Consuegra, S., García de Leániz, C., Serdio, A., González Morales, M., Straus, L. G., Knox, D.,  
456 & Verspoor, E. (2002). Mitochondrial DNA variation in Pleistocene and modern Atlantic  
457 salmon from the Iberian glacial refugium. *Molecular Ecology*, 11, 2037-2048.

458

459 Cooke, S. J., & Hinch, S. G. (2013). Improving the reliability of fishway attraction and  
460 passage efficiency estimates to inform fishway engineering, science, and practice.  
461 *Ecological Engineering*, 58, 123-132.

462

463 Crook, D. A. (2004). Is the home range concept compatible with the movements of two  
464 species of lowland river fish? *Journal of Animal Ecology*, 73, 353–366.

465

466 Cunjak, R. A., Roussel, J. M., Gray, M. A., Dietrich, J. P., Cartwright, D. F., Munkittrick, K. R., &  
467 Jardine, T. D. (2005). Using stable isotope analysis with telemetry or mark-recapture data  
468 to identify fish movement and foraging. *Oecologia*, 144, 636-646.

469

470 Drechsler, M., & Wissel, C. (1997). Separability of local and regional dynamics in  
471 metapopulations. *Theoretical Population Biology*, 51, 9-21.

472

473 Erős, T., & Campbell Grant, E.H. (2015). Unifying research on the fragmentation of  
474 terrestrial and aquatic habitats: patches, connectivity and the matrix in riverscapes.  
475 *Freshwater Biology*, 60, 1487-1501.

476

477 Fagan, W. F. (2002). Connectivity, fragmentation, and extinction risk in dendritic  
478 metapopulations. *Ecology*, 83, 3243-3249.

479

480 Fausch, K. D., Torgersen, C. E., Baxter, C. V., & Li, H. W. (2002). Landscapes to riverscapes:  
481 bridging the gap between research and conservation of stream fishes: A continuous view  
482 of the river is needed to understand how processes interacting among scales set the  
483 context for stream fishes and their habitat. *BioScience*, 52, 483-498.

484

485 Ferreira, D. G., Souza-Shibatta, L., Shibatta, O. A., Sofia, S. H., Carlsson, J., Dias, J. H. P.,  
486 Makrakis, S., & Makrakis, M.C. (2017). Genetic structure and diversity of migratory  
487 freshwater fish in a fragmented Neotropical river system. *Reviews in Fish Biology and*  
488 *Fisheries*, 27, 209-231.

489

490 Frankham, R. (2015). Genetic rescue of small inbred populations: meta-analysis reveals  
491 large and consistent benefits of gene flow. *Molecular Ecology*, 24, 2610-2618.

492

493 Frankham, R., Ballou, J. D., Eldridge, M. D. B., Lacy, R. C., Ralls, K., Dudash, M. R., & Fenster,  
494 C. B. (2011). Predicting the probability of outbreeding depression. *Conservation Biology*,  
495 25, 465–475.

496

497 Fuentes, C. M., Gómez, M. I., Brown, D. R., Arcelus, A., & Espinach Ros, A. (2016).  
498 Downstream passage of fish larvae at the Salto Grande Dam on the Uruguay River. *River*  
499 *Research and Applications*, 32, 1879-1889.

500

501 Fullerton, A. H., Anzalone, S., Moran, P., Van Doornik, D. M., Copeland, T., & Zabel, R. W.,  
502 (2016). Setting spatial conservation priorities despite incomplete data for characterizing  
503 metapopulations. *Ecological Applications*, 26, 2558-2578.

504

505 Gowan, C., & Fausch, K. D. (1996). Mobile brook trout in two high-elevation Colorado  
506 streams: reevaluating the concept of restricted movement. *Canadian Journal of Fisheries*  
507 *and Aquatic Sciences*, 53, 1370–1381.

508

509 Hannah, D. M., Sadler, J. P., & Wood, P. J. (2007). Hydroecology and Ecohydrology:  
510 Challenges and Future Prospects. In Wood, P. J., Hannah, D. M. & Sadler, J. P. (Eds.)  
511 *Hydroecology and Ecohydrology: Past, Present and Future*. John Wiley & Sons, Chichester,  
512 pp.421-429.

513

514 Hanski, I., & Ovaskainen, O. (2003). Metapopulation theory for fragmented  
515 landscapes. *Theoretical Population Biology*, 64, 119-127.  
516

517 Havn, T. B., Økland, F., Teichert, M. A., Heermann, L., Borcharding, J., Sæther, S. A.,  
518 Tambets, M., Diserud, O. H., & Thorstad, E.B. (2017). Movements of dead fish in rivers.  
519 *Animal Biotelemetry*, 5, 7. doi:10.1186/s40317-017-0122-2  
520

521 Howell P. J. (2006). Effects of wildfire and subsequent hydrologic events on fish  
522 distribution and abundance in tributaries of North Fork John Day River. *North American*  
523 *Journal of Fisheries Management*, 26, 983–994.  
524

525 Humphries, P., King, A. J., & Koehn, J.D. (1999). Fish, flows and flood plains: links between  
526 freshwater fishes and their environment in the Murray-Darling River system, Australia.  
527 *Environmental Biology of Fishes*, 56, 129-151.  
528

529 Hurd, L. E., Sousa, R. G. C., Siqueira-Souza, F. K., Cooper, G. J., Kahn, J. R., & Freitas, C. E. C.  
530 (2016). Amazon floodplain fish communities: Habitat connectivity and conservation in a  
531 rapidly deteriorating environment. *Biological Conservation*, 195, 118-127.  
532

533 Isaak, D. J., Young, M. K., Luce, C. H., Hostetler, S. W., Wenger, S. J., Peterson, E. E., Ver Hoef,  
534 J. M., Groce, M. C., Horan, D. L., & Nagel, D. E. (2016). Slow climate velocities of mountain  
535 streams portend their role as refugia for cold-water biodiversity. *Proceedings of the*  
536 *National Academy of Sciences*, 113, 4374-4379.  
537

538 Jager, H. I., Efroymsen, R. A., Opperman, J. J., & Kelly, M. R. (2015). Spatial design  
539 principles for sustainable hydropower development in river basins. *Renewable and*  
540 *Sustainable Energy Reviews*, 45, 808-816.

541

542 Kemp, P.S. (2016). Meta-analyses, metrics and motivation: Mixed messages in the fish  
543 passage debate. *River Research and Applications*, 32, 2116-2124.

544

545 Kirk, M. A., Caudill, C. C., Johnson, E. L., Keefer, M. L., & Clabough, T. S. (2015).  
546 Characterization of adult Pacific lamprey swimming behavior in relation to  
547 environmental conditions within large-dam fishways. *Transactions of the American*  
548 *Fisheries Society*, 144, 998-1012.

549

550 Larinier, M. (2008). Fish passage experience at small-scale hydro-electric power plants in  
551 France. *Hydrobiologia*, 609, 97-108.

552

553 Levins, R. (1969). Some demographic and genetic consequences of environmental  
554 heterogeneity for biological control. *American Entomologist*, 15, 237-240.

555

556 Lucas, M. C., & Baras, E. (2000). Methods for studying spatial behaviour of freshwater  
557 fishes in the natural environment. *Fish and Fisheries*, 1, 283-316.

558

559 Mallen-Cooper, M., & Brand, D.A. (2007). Non-salmonids in a salmonid fishway: what do  
560 50 years of data tell us about past and future fish passage? *Fisheries Management and*  
561 *Ecology*, 14, 319-332.

562

563 McLaughlin, R. L., Smyth, E. R., Castro-Santos, T., Jones, M. L., Koops, M. A., Pratt, T. C., &  
564 Vélez-Espino, L. A. (2013). Unintended consequences and trade-offs of fish passage. *Fish*  
565 *and Fisheries*, 14, 580-604.

566

567 Moser M. L., Ogden, D. A., & Sandford, B. P. (2007). Effects of surgically implanted  
568 transmitters on anguilliform fishes: lessons from lamprey. *Journal of Fish Biology*, 71,  
569 1847–1852.

570

571 Murchie, K. J., Cooke, S. J., & Schreer, J. F. (2004). Effects of radiotransmitter antenna  
572 length on swimming performance of juvenile rainbow trout. *Ecology of Freshwater Fish*,  
573 13, 312–316

574

575 Noonan, M. J., Grant, J. W., & Jackson, C. D. (2012). A quantitative assessment of fish  
576 passage efficiency. *Fish and Fisheries*, 13, 450-464.

577

578 Parmesan, C., & Yohe, G. (2003). A globally coherent fingerprint of climate change  
579 impacts across natural systems. *Nature*, 421, 37-42.

580

581 Pavlova, A., Beheregaray, L. B., Coleman, R., Gilligan, D., Harrisson, K. A., Ingram, B. A.,  
582 Kearns, J., Lamb, A. M., Lintermans, M., Lyon, J., & Nguyen, T.T. (2017). Severe  
583 consequences of habitat fragmentation on genetic diversity of an endangered Australian  
584 freshwater fish: A call for assisted gene flow. *Evolutionary applications*, 10, 531-550.

585

586 Pelicice, F., & Agostinho, A. A. (2008) Fish-passage facilities as ecological traps in large  
587 neotropical rivers. *Conservation biology* 22, 180-188.



588

589 Pelicice, F. M., Pompeu, P. S., & Agostinho, A. A. (2015). Large reservoirs as ecological  
590 barriers to downstream movements of Neotropical migratory fish. *Fish and Fisheries*, 16,  
591 697-715.

592

593 Pelicice, F. M., Azevedo-Santos, V. M., Vitule, J. R., Orsi, M. L., Lima Junior, D. P., Magalhães,  
594 A. L., Pompeu, P. S., Petrere, M., & Agostinho, A. A. (2017). Neotropical freshwater fishes  
595 imperilled by unsustainable policies. *Fish and Fisheries*, 18, 1119-1133.

596

597 Pompeu, P. D. S., Agostinho, A. A., & Pelicice, F. M. (2012). Existing and future challenges:  
598 the concept of successful fish passage in South America. *River Research and Applications*,  
599 28, 504-512.

600

601 Quirós, R. (1989). *Structures assisting the migrations of non-: salmonid Fish: Latina*  
602 *America* (No. 639.2 F42 v. 5). Food and Agriculture Organization Technical Paper 5, pp.1-  
603 41.

604

605 Radinger, J., & Wolter, C. (2014). Patterns and predictors of fish dispersal in rivers. *Fish*  
606 *and Fisheries*, 15, 456-473.

607

608 Radinger, J., Kail, J., & Wolter, C. (2014). FIDIMO – A Free and Open Source GIS based  
609 dispersal model for riverine fish. *Ecological Informatics*, 24, 238–247.

610 Radinger, J., Essl, F., Hölker, F., Horký, P., Slavík, O., & Wolter, C. (2017). The future  
611 distribution of river fish: the complex interplay of climate and land use changes, species  
612 dispersal and movement barriers. *Global Change Biology*, 23, 4970-4986.

613

614 Radinger, J., Hölker, F., Horký, P., Slavík, O. and Wolter, C., 2018. Improved river  
615 continuity facilitates fishes' abilities to track future environmental changes. *Journal of*  
616 *environmental management*, 208, pp.169-179.

617

618 Raeymaekers, J. A., Maes, G. E., Geldof, S., Hontis, I., Nackaerts, K., & Volckaert, F. A.  
619 (2008). Modeling genetic connectivity in sticklebacks as a guideline for river  
620 restoration. *Evolutionary Applications*, 1, 475-488.

621

622 Rodríguez, M. A. (2002.) Restricted movement in stream fish: the paradigm is incomplete,  
623 not lost. *Ecology*, 83, 1-13.

624

625 Roscoe, D. W., & Hinch, S.G. (2010). Effectiveness monitoring of fish passage facilities:  
626 historical trends, geographic patterns and future directions. *Fish and Fisheries*, 11, 12-33.

627

628 Ruiz-Navarro, A., Gillingham, P. K., & Britton, J. R. (2016). Shifts in the climate space of  
629 temperate cyprinid fishes due to climate change are coupled with altered body sizes and  
630 growth rates. *Global Change Biology*, 22, 3221-3232.

631

632 Schick, R. S., & S. T. Lindley (2007). Directed connectivity among fish populations in a  
633 riverine network. *Journal of Applied Ecology*, 44, 1116-1126.

634

635 Schlosser, I. J. (1991). Stream fish ecology: a landscape perspective. *BioScience*, 41, 704-  
636 712.

637

638 Sgro, C. M., Lowe, A. J., & Hoffmann, A. A. (2011). Building evolutionary resilience for  
639 conserving biodiversity under climate change. *Evolutionary Applications*, 4, 326-337.  
640

641 Shelley, J. J., Delaval, A., & Le Feuvre, M. C. (2017). A revision of the grunter genus  
642 *Syncomistes* (Teleostei, Terapontidae, Syncomistes) with descriptions of seven new  
643 species from the Kimberley region, northwestern Australia. *Zootaxa*, 4367, 1-103.  
644

645 Silva, L. G. M., Nogueira, L. B., Maia, B. P., & de Resende, L. B. (2012). Fish passage post-  
646 construction issues: analysis of distribution, attraction and passage efficiency metrics at  
647 the Baguari Dam fish ladder to approach the problem. *Neotropical Ichthyology*, 10, 751-  
648 762.  
649

650 Silva, L. G. M., Falcão, R. C., & Oliveira, A. C. (2017). *What has been the contribution of fish*  
651 *passages for migratory fish conservation in tropical systems?* 5<sup>th</sup> Biennial Symposium of  
652 the International Society for River Science, Hamilton, New Zealand, November 2017.  
653

654 Silva, A. T., Lucas, M. C., Castro-Santos, T., Katopodis, C., Baumgartner, L. J., Thiem, J. D.,  
655 Aarestrup, K., Pompeu, P. S., O'Brien, G. C., Braun, D. C., & Burnett, N. J. (2018). The future  
656 of fish passage science, engineering, and practice. *Fish and Fisheries*, 19, 340-362.  
657

658 Stephens, P. A., & Sutherland, W. J. (1999). Consequences of the Allee effect for behaviour,  
659 ecology and conservation. *Trends in Ecology & Evolution*, 14, 401-405.  
660

Vera-Escalona, I., Senthivasan, S., Ruzzante, D. E., & Habit, E. (2018). Past, present, and future of a freshwater fish metapopulation in a threatened landscape. *Conservation Biology* (in press).

Walther, G. R., Post, E., Convey, P., Menzel, A., Parmesan, C., Beebee, T. J., Fromentin, J. M., Hoegh-Guldberg, O., & Bairlein, F. (2002). Ecological responses to recent climate change. *Nature*, 416, 389-395.

Webb, J. A., & Padgham, M. (2013). How does network structure and complexity in river systems affect population abundance and persistence? *Limnological-Ecology and Management of Inland Waters*, 43, 399-403.

Wilkes, M. A., Maddock, I., Link, O., & Habit, E. (2016). A community-level, mesoscale analysis of fish assemblage structure in a large river using multivariate regression trees. *River Research and Applications*, 32, 652-665.

Wilkes, M. A., Mckenzie, M., & Webb, J. A. (2018a). Fish passage design for sustainable hydropower in the temperate Southern Hemisphere: An evidence review. *Reviews in Fish Biology and Fisheries*, 28, 117-135.

Wilkes M. A., Baumgartner L., Boys C., Silva L. G. M., O'Connor J., Jones M., Stuart I., Habit E., Link O., Webb J. A. (2018b) Fish-Net: Probabilistic models for fishway planning, design and monitoring to support environmentally sustainable hydropower. *Fish and Fisheries* (in press). doi: 10.1111/faf.12282

686 Williams, J. G., & Katopodis, C. (2016). Commentary—Incorrect Application of Data  
687 Negates Some Meta-analysis Results in Bunt *et al.* (2012). *River Research and*  
688 *Applications*, 32, 2109-2115.

689

690 Williams, J. G., Armstrong, G., Katopodis, C., Larinier, M., & Travade, F. (2012). Thinking  
691 like a fish: a key ingredient for development of effective fish passage facilities at river  
692 obstructions. *River Research and Applications*, 28, 407–417.

693

694 Winemiller, K. O. (1989). Patterns of variation in life history among South American  
695 fishes in seasonal environments. *Oecologia*, 81, 225–241.

696

697 Wofford, J. E., Gresswell, R. E., & Banks, M. A. (2005). Influence of barriers to movement  
698 on within-watershed genetic variation of coastal cutthroat trout. *Ecological*  
699 *Applications*, 15, 628-637.

700

701 Zemplak, T. S., Habit, E. M., Walde, S. J., Battini, M. A., Adams, E. D., & Ruzzante, D.E. (2008).  
702 Across the southern Andes on fin: glacial refugia, drainage reversals and a secondary  
703 contact zone revealed by the phylogeographical signal of *Galaxias platei* in  
704 Patagonia. *Molecular Ecology*, 17, 5049-5061.

## Figure legends

**Figure 1** Illustration of the present conceptual framework for fishway evaluations in which the ‘overall fishway efficiency’ is often taken as the product of attraction, entrance and passage efficiencies (Larinier, 2008). The turbine in this simplified example is represented as an Archimedes screw but the concept is applicable to any hydropower design.

**Figure 2** The reasons why fish need to move are manifold and operate over vastly different spatial and temporal scales than traditionally considered in fish passage science and management.

**Figure 3** Simplified examples of ways that barriers may affect fish populations, including qualitative targets for fishways and suitable modelling frameworks for setting quantitative targets. Nodes (open and grey circles) scaled to subpopulation size. To go from these crudely defined, qualitative targets to quantitative targets, a set of spatial ecological modelling approaches can be applied.

**Figure 4** Recommended steps to identify dispersal targets and monitor fishways. Note that targets should describe the passage of fish through the total infrastructure, including reservoirs.

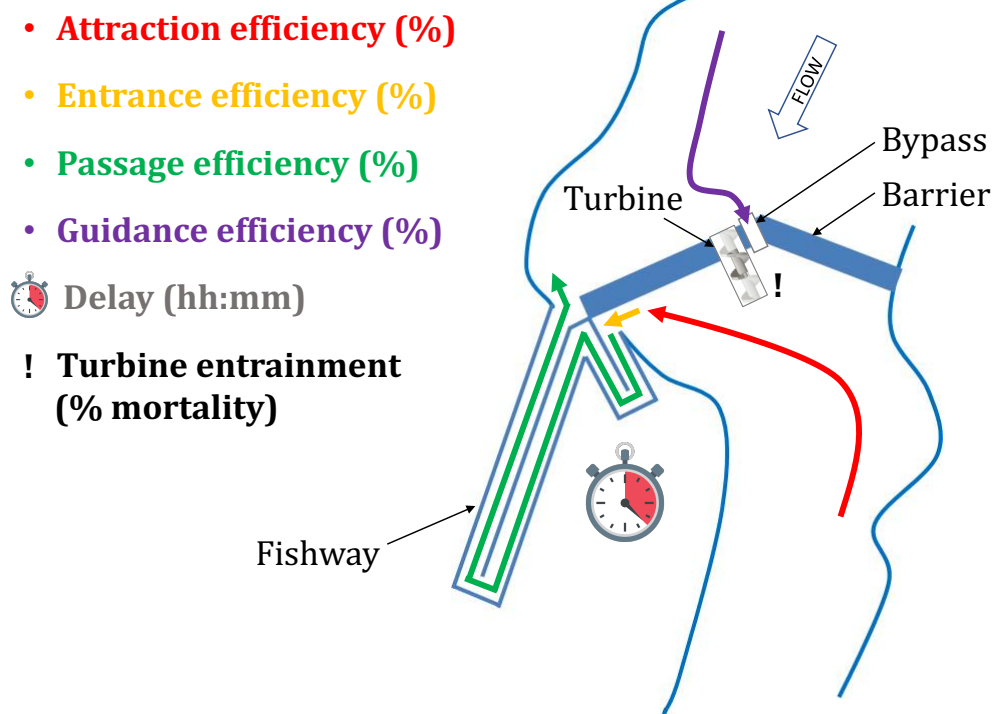
**Table 1** A diverse set of field methods suitable for fishway target-setting and monitoring. Frameworks: metapopulation modelling (MM); species distribution-fish dispersal modelling (SDM); demo-genetic modelling (DG); fishway efficiency (FE) dispersal target (DT); and time-to-event (TE).

Method (example applications)	Limitations	Application to fishway effectiveness	Target-setting frameworks			Monitoring frameworks		
			MM	SDM	DG	FE	DT	TE
Fish sampling, e.g. electrofishing, netting, trapping (Wilkes et al. 2016)	Labour intensive to estimate fish occurrence, abundance or biomass over sufficient space and time scales but can be combined with modelling to fill gaps	Provides model parameters to drive ecologically realistic target-setting	x	x	x			
Stable isotope analysis (Cunjak et al. 2005)	Not all fish movements will be associated with strong isotopic signals. Requires access to suitable laboratory	Can be used to parameterise dispersal in metapopulation and species distribution models. Gives estimates of short-term	x				x	

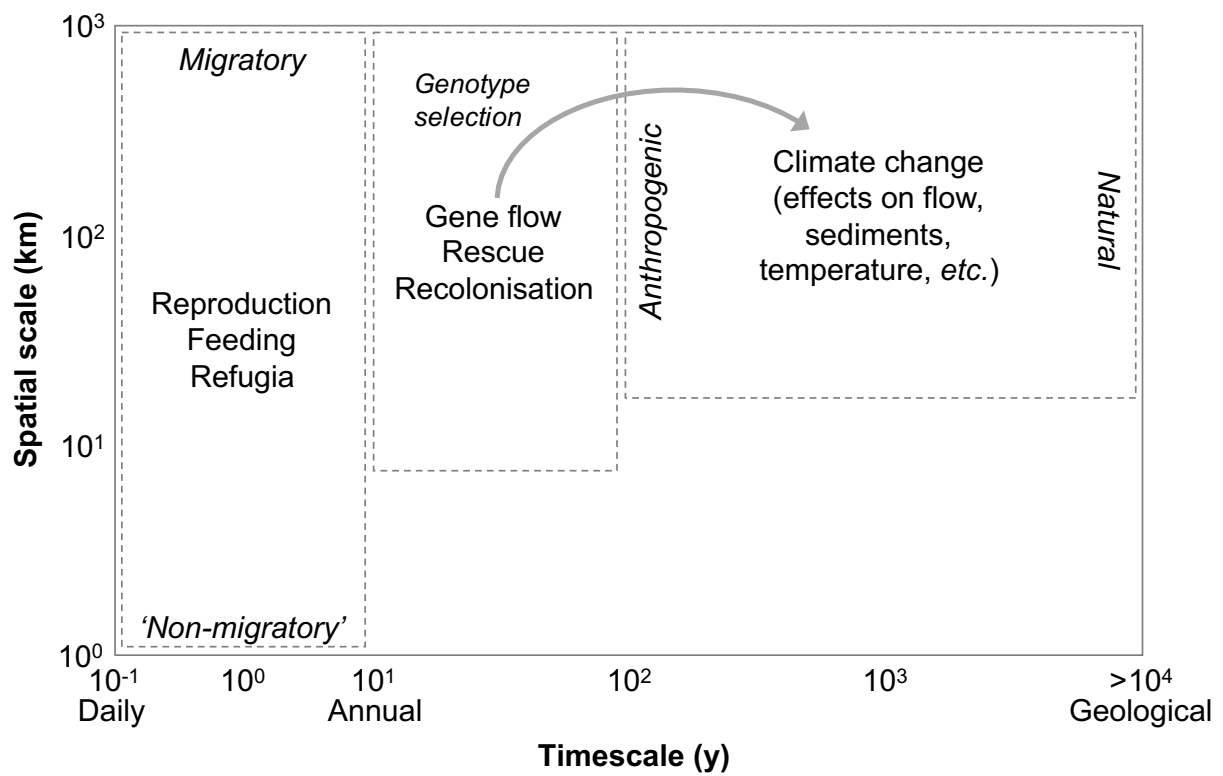
		( <i>e.g.</i> seasonal, generational) fish movements						
DNA sequencing (Ferreira et al. 2017)	May require many generations to detect barrier impact ( <i>e.g.</i> up to 15; Landguth et al. 2010). Requires access to suitable laboratory	Baseline data can be used to set targets using demo-genetic modelling. Gives direct assessment of a fishway's ability to support viable populations through gene flow	x		x		x	
Biotelemetry (Calles & Greenberg 2009)	Unsuitable for many small bodied species. Impractical to tag sufficient 'non-migratory' fish to detect infrequent dispersal events. Cost and expertise required may be prohibitive	A useful method for assessing fishway efficiency for larger migratory species. Data can be used to parameterise metapopulation models.	x			x	x	x
Video and acoustic cameras (Kirk et al. 2015)	Limitations with smaller species ( <i>e.g.</i> <50 mm TL) and in turbid rivers. Can be expensive and technically demanding	Can provide semi-automated species identification in suitable conditions and reveal reasons for success or failure in specific locations				x	x	



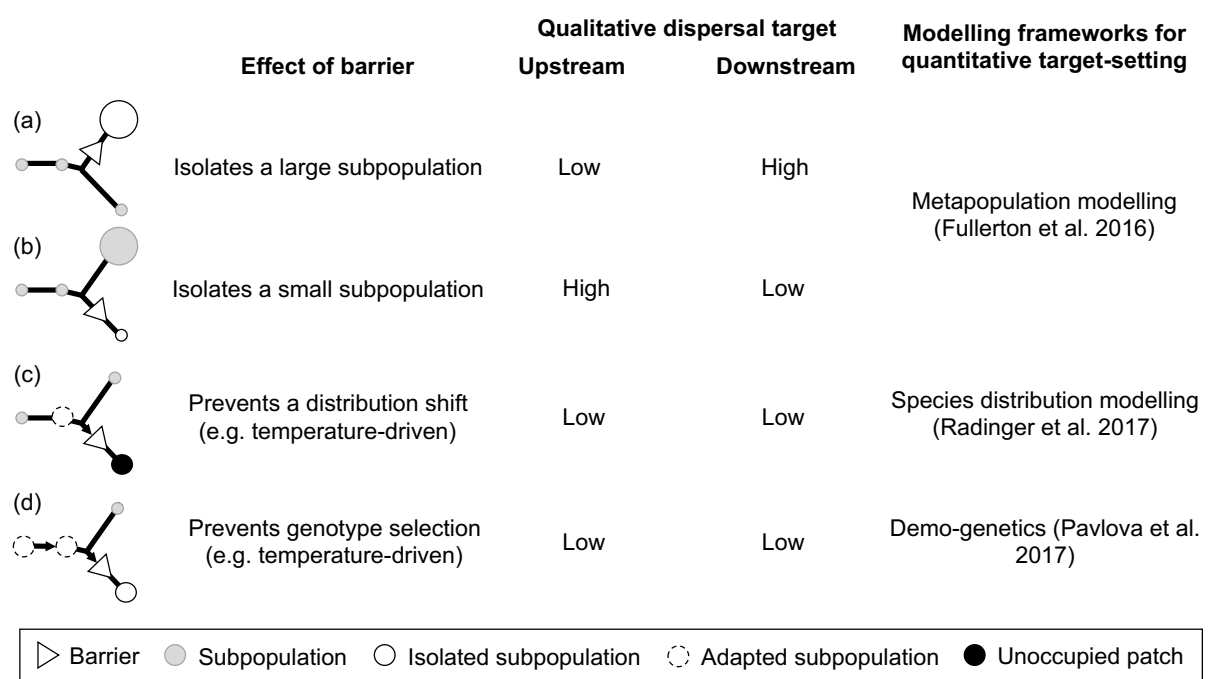
Stain and release (Amtstaetter et al. 2017)	Low recapture rate without 'corralling' fish in fishways	Useful to quantify passage efficiency for small fish and species sensitive to handling. Can identify specific sections of fishways where impediments exist				x	x	
Trapping in fishways (Baumgartner et al. 2010)	Cannot give quantitative estimates of fishway effectiveness	Can provide qualitative indicators of fishway effectiveness for upstream movement of a wide range of species and life-stages				x	x	
Ichthyoplankton surveys (Fuentes et al. 2016)	Many samples may be required in time and space. Identification of some taxa at egg and larval stages only possible through DNA barcoding	Directly evaluates the impacts of reservoirs and turbine mortality on entrained egg and larval life-stages				x	x	x



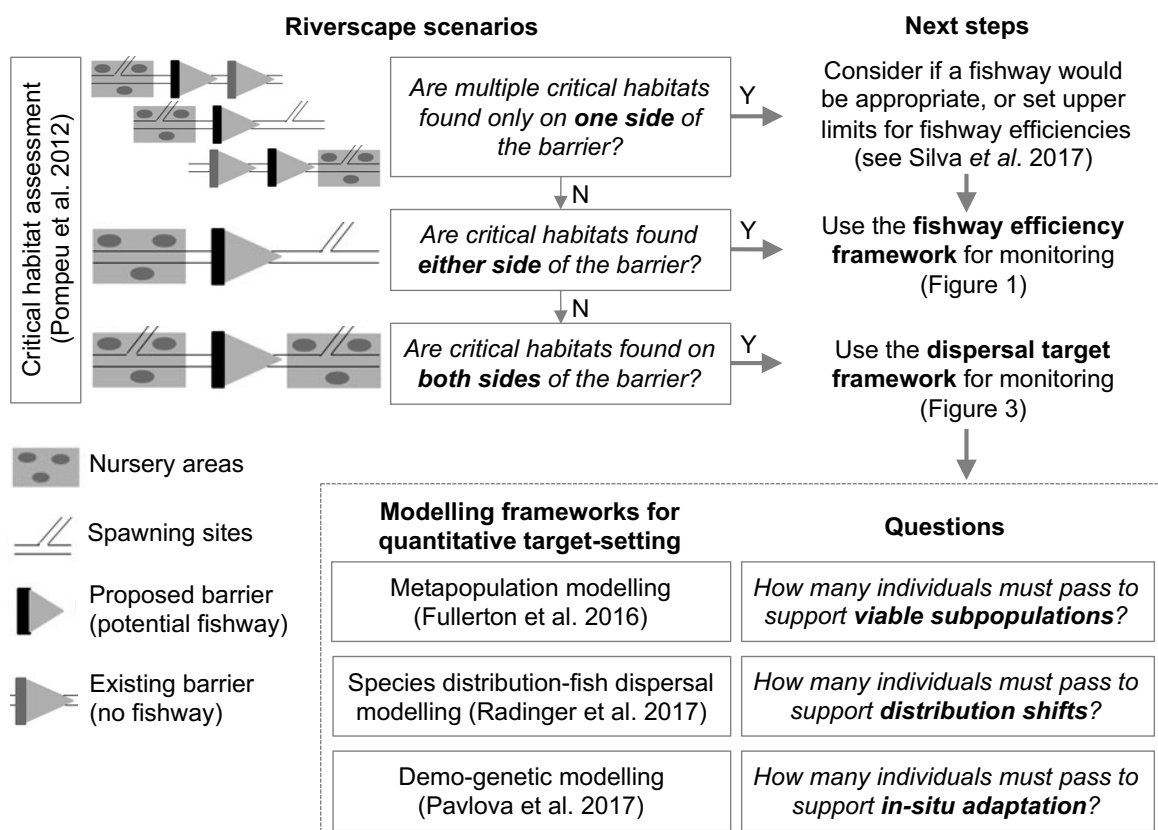
[Fig 1]



[Fig 2]



[Fig 3]



[Fig 4]