

1 **Marine omega-3 fatty acid supplementation in non-alcoholic fatty liver disease:**
2 **Plasma proteomics in the randomized WELCOME* trial**

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30 **Abstract**

31 Background & Aims: Non-alcoholic fatty liver disease (NAFLD) is a liver condition
32 characterised by liver fat accumulation and often considered to be the liver manifestation of
33 metabolic syndrome. The aim of this study was to examine in patients with NAFLD the
34 system-wide effects of treatment with docosahexaenoic acid + eicosapentaenoic acid
35 (DHA+EPA) versus placebo on the plasma proteome.

36 Methods: Plasma from patients that participated in a 15 to 18 months randomised, double-
37 blind placebo-controlled trial testing the effects of 4 g DHA+EPA daily was analysed using
38 depletion-free quantitative proteomics.

39 Results: Bioinformatics interpretation of the proteomic analysis showed that DHA+EPA
40 treatment affected pathways involving blood coagulation, immune/inflammatory response
41 and cholesterol metabolism ($p < 0.05$). Two key proteins of cardiovascular risk, prothrombin
42 and apolipoprotein B-100, were shown to decrease as a result of DHA+EPA
43 supplementation [Prothrombin: Males DHA+EPA Mean iTRAQ \log_2 ratio (SD) = -0.13 (0.20) p
44 = 0.05, Females DHA+EPA Mean iTRAQ \log_2 ratio (SD) = -0.48 (0.35) p = 0.03; Apo B-100:
45 Males DHA+EPA Mean iTRAQ \log_2 ratio (SD) = -0.24 (0.16) p = 0.01, Females DHA+EPA
46 Mean iTRAQ \log_2 ratio (SD) = -0.15 (0.05) p = 0.02].

47 Conclusions: Plasma proteomics applied in a randomised, placebo-controlled trial showed
48 that high dose DHA+EPA treatment in patients with NAFLD affects multiple pathways
49 involved in chronic non-communicable diseases.

50 **WELCOME*** = **W**essex **E**valuation of fatty **L**iver and **C**ardiovascular markers in NAFLD
51 (non-alcoholic fatty liver disease) with **O**Macor th**E**rapy

52 **Keywords:** plasma proteomics, omega-3, DHA, EPA, NAFLD, cardiometabolic

53 **Running title:** Plasma proteomics of DHA+EPA administration in NAFLD

54 **Abbreviations:** NAFLD (non-alcoholic fatty liver disease); CVD (cardiovascular disease);
55 EPA (eicosapentaenoic acid); DHA (docosahexaenoic acid).

56 **Introduction**

57 Non-alcoholic fatty liver disease (NAFLD), a liver condition characterised by liver fat
58 accumulation $\geq 5\%$, is often considered to be the liver manifestation of metabolic syndrome
59 and is strongly associated with obesity, type 2 diabetes mellitus and cardiovascular disease
60 (CVD) [1]. We have recently shown that after treatment with high dose (3.36 g/day) marine
61 omega-3 fatty acids [eicosapentaenoic acid (EPA; 20:5n-3) and docosahexaenoic acid (DHA,
62 22:6n-3)] for 15-18 months in patients with NAFLD, serum fasting triglyceride levels
63 decreased, and that increased tissue enrichment with DHA was associated with decreased
64 liver fat, suggesting that high-dose DHA+EPA treatment may have a favourable effect on
65 cardiovascular risk in patients with NAFLD [2].

66 Global plasma proteomic analysis can provide unbiased insight into the systemic
67 effects of an intervention. The plasma proteomic profile of patients with NAFLD administered
68 with marine omega-3 fatty acids within the context of a randomised placebo-controlled trial
69 (RCT) has not been studied to date.

70 Therefore, the aim of the present study was to use a non-targeted quantitative
71 plasma proteomics approach to determine the system-wide effects of high-dose DHA+EPA
72 supplementation in patients with NAFLD who participated in a 15-18 month randomized
73 control trial.

74

75 **Materials and Methods**

76

77 *Recruitment of participants and intervention*

78 The WELCOME study intervention protocol and inclusion/exclusion criteria have
79 been described in detail previously [3]. This clinical trial was registered at ClinicalTrials.gov
80 (www.clinicalTrials.gov registration number NCT00760513). The study received ethical
81 approval from the Southampton and South West Hampshire local research ethics committee
82 (08/H0502/165). All participants signed informed consent forms and underwent an

83 assessment of liver fat percentage by magnetic resonance spectroscopy (MRS) at
84 recruitment, to establish the baseline liver fat percentage at entry into the trial, and at follow-
85 up. Briefly, three $20 \times 20 \times 20 \text{ mm}^3$ spectroscopic volumes of interest (VOI) were positioned
86 within segments 3 (inferior sub-segment of the lateral segment), 5 (inferior sub-segment of
87 the anterior segment) and 8 (superior sub-segment of the anterior segment) of the liver,
88 avoiding major blood vessels, intra-hepatic bile ducts, and the lateral margin of the liver. For
89 the second visit scan, these VOI positions were copied from the first scan, to ensure
90 consistency [2, 3]. Briefly, the inclusion criteria for participation in the study were age > 18
91 years and: 1) a recent (<3 years) histological diagnosis of non-alcoholic steatosis or
92 steatohepatitis in keeping with NAFLD; or 2) steatosis diagnosed by ultrasound, CT or
93 magnetic resonance imaging in a patient who also had either diabetes and/or features of the
94 metabolic syndrome. All participants underwent an assessment of liver fat percentage by
95 MRS examination at recruitment, to establish the baseline liver fat percentage at entry into
96 the trial. Exclusion criteria included known other causes of liver disease (e.g. hepatitis A, B
97 or C, primary biliary cirrhosis, Wilson's disease, autoimmune hepatitis and
98 haemochromatosis). These conditions were excluded with blood tests. Subjects were also
99 excluded if alcohol consumption was >35 units per week for women and >50 units per week
100 for men. At recruitment, only one man was consuming >21 units of alcohol per week and
101 one woman was consuming >14 units per week. Additional exclusion criteria were:
102 decompensated acute or chronic liver disease; cirrhosis; pregnancy or breast feeding; and
103 hypersensitivity to Omacor, soya or any of the excipients. One-hundred and three
104 participants with NAFLD (sex M/F: 60/43) were randomised to Omacor (DHA+EPA) or
105 placebo (olive oil). Fifty-one (sex M/F: 25/26) participants received 3.36 g daily of DHA+EPA
106 (1 g of Omacor contains 460 mg of EPA and 380 mg of DHA as ethyl esters) and 52
107 participants (sex M/F: 35/17) received 4g of olive oil. At the end of the study, 95 participants
108 completed the intervention period (DHA+EPA group n=47, sex M/F: 24/23; Placebo group
109 n=48, sex M/F: 32/16). Fasting blood samples at baseline and after the end of the
110 intervention (15-18 months duration) were collected.

111

112 *Plasma procurement and proteomic analysis*

113 Two multiplex experiments were performed for men and women participants
114 respectively, to correct for potential baseline sex-specific plasma proteome differences and
115 sex-dependent effects of the omega-3 intervention. Only plasma from patients who
116 completed the intervention was used for the proteomic analysis (n=95; DHA+EPA group
117 n=47; Placebo group n=48). Individual 50 μ L aliquots from male participants in the
118 DHA+EPA and placebo groups were randomly pooled using the randomization function in
119 Microsoft Excel (version 15.11.1) at baseline and after the end of the intervention to form two
120 biological replicates per time-point and treatment group [Males Baseline DHA+EPA 1 (n=12),
121 Males Baseline DHA+EPA 2 (n=12), Males Baseline Placebo 1 (n=16), Males Baseline
122 Placebo 2 (n=16), Males End-of-Study DHA+EPA 1 (n=12), Males End-of-Study DHA+EPA
123 2 (n=12), Males End-of-Study Placebo 1 (n=16), Males End-of-Study Placebo 2 (n=16)]. The
124 same pooling scheme was applied for female participants [Females Baseline DHA+EPA 1
125 (n=11), Females Baseline DHA+EPA 2 (n=12), Females Baseline Placebo 1 (n=8), Females
126 Baseline Placebo 2 (n=8), Females End-of-Study DHA+EPA 1 (n=12), Females End-of-
127 Study DHA+EPA 2 (n=11), Females End-of-Study Placebo 1 (n=8), Females End-of-Study
128 Placebo 2 (n=8)]. Unprocessed plasma was subjected to depletion-free proteomic analysis
129 as reported elsewhere [4].

130

131 *Database searching and statistics*

132 Unprocessed raw files were submitted to Proteome Discoverer 1.4 for target decoy
133 searching against the SwissProt homo sapiens database (v2015-11-11) as reported
134 previously⁴. Proteins reported were analysed with a peptide level FDR $p < 0.05$. All mass
135 spectrometry proteomics data have been deposited to the ProteomeXchange Consortium via
136 the PRIDE partner repository with the dataset identifier PXD003760.

137 A two-tailed unpaired T-Test was used to identify proteins that changed (end-of-study
138 vs. baseline) differentially between the DHA+EPA and placebo groups of each sex. A value
139 for $p \leq 0.05$ was considered significant. According to the Paris Publication Guidelines
140 (http://www.mcponline.org/site/misc/ParisReport_Final.xhtml), only proteins identified with at
141 least two unique peptides were considered.

142

143 *Bioinformatics analysis*

144 Principal component analysis using the \log_2 ratios of the fully quantified proteins in
145 each experiment (males and females respectively) was performed using the online tool
146 ClustVis (<http://biit.cs.ut.ee/clustvis/>). MetaCore (GeneGo, St. Joseph, MI, USA) and
147 Ingenuity Pathway Analysis (IPA) (Qiagen, Hilden, Germany) were applied to identify
148 biological processes and protein networks significantly enriched in the plasma proteins
149 altered as a result of the omega-3 fatty acid intervention. In all analyses, a false discovery
150 rate (FDR) corrected p-value < 0.05 was considered significant.

151

152 **Results**

153 The participants' baseline characteristics have been reported previously [3] and are
154 also presented in **Supplementary Table 1**. A total of 1,699 and 2,084 proteins were fully
155 quantified in the multiplex experiment of the male and female cohorts respectively. Principal
156 component analysis using the reporter ion \log_2 ratios of all profiled proteins showed that the
157 DHA+EPA group clustered separately from the placebo group for both male and female
158 cohorts, indicating that change (end-of-study vs. baseline) in plasma proteins was different
159 between DHA+EPA and placebo groups (**Figure 1A**). In the male and female DHA+EPA
160 group compared to placebo, 221 and 213 proteins respectively were significantly altered at
161 the end-of-study vs. baseline (**Supplementary Tables 2 and 3** respectively for male and
162 female cohorts).

163 Process Network Analysis using MetaCore showed that blood coagulation, immune
164 response and inflammatory response were significantly enriched networks in the plasma

165 proteins of both sexes that were altered as a result of the omega-3 intervention (**Figure 1B**).
166 Eleven proteins were analysed with the same trend of differential expression following
167 DHA+EPA supplementation in the male and female cohorts (**Figure 1C**). Of the eleven
168 proteins that were found to be affected by DHA+EPA supplementation in a sex-independent
169 manner, two nodal proteins participating in key pathways influencing vascular disease were
170 prothrombin and apolipoprotein B-100, affecting blood coagulation and cholesterol transport
171 from the liver to the tissue respectively. Levels of prothrombin and apolipoprotein B-100
172 were found to decrease [Prothrombin: Males DHA+EPA Mean iTRAQ log₂ratio (SD) = -0.13
173 (0.20) p = 0.05, Females DHA+EPA Mean iTRAQ log₂ratio (SD) = -0.48 (0.35) p = 0.03; Apo
174 B-100: Males DHA+EPA Mean iTRAQ log₂ratio (SD) = -0.24 (0.16) p = 0.01, Females
175 DHA+EPA Mean iTRAQ log₂ratio (SD) = -0.15 (0.05) p = 0.02] as a result of the omega-3
176 supplementation compared to placebo. Ingenuity Pathway Analysis showed that “molecular
177 transport, lipid metabolism and small molecule biochemistry” was a significantly enriched
178 network in the plasma proteins affected by the omega-3 intervention in males and females
179 (score = 30, focus molecules = 18 in males; score = 42, focus molecules = 23 in females)
180 (**Figure 1D**).

181

182 **Discussion**

183 This systems biology plasma proteomics study in patients with NAFLD provides
184 novel insight into the effects of marine omega-3 fatty acid supplementation on plasma
185 proteins related to CVD and other non-communicable diseases. The study results show that
186 proteins involved in blood coagulation, inflammatory/immune responses and lipid
187 metabolism were significantly altered in the male and female groups following omega-3
188 supplementation. Interestingly, levels of prothrombin and apolipoprotein B-100 were found to
189 decrease as a result of the omega-3 intervention (**Figure 1C**).

190 Studies have shown that marine omega-3 fatty acids reduce hypercoagulability, a
191 major risk factor for vascular disease, without increasing the risk of bleeding [5]. Our results
192 show that the blood coagulation pathway was significantly enriched in the plasma proteins

193 altered as a result of the omega-3 fatty acid supplementation (**Figure 1B**). Prothrombin, a
194 nodal protein in the blood coagulation pathway, has been reported as a surrogate marker of
195 cardiovascular risk [6] whereas reduction in plasma prothrombin levels has been associated
196 with decreased risk of arterial and venous thrombosis [7].

197 *In vitro* experiments and animal studies support an anti-inflammatory and
198 immunomodulatory role for omega-3 fatty acids [8]. However, evidence from human
199 randomized control trials is more equivocal. Our study results show that proteins involved in
200 immune and inflammatory responses are affected by omega-3 supplementation (**Figure 1B**).

201 Our results show that DHA+EPA treatment decreases concentrations of apo-B100.
202 Marine omega-3 fatty acid supplementation is effective in decreasing very-low density
203 lipoprotein (VLDL) concentrations [9]. Apo B-100 is a major apolipoprotein of the VLDL
204 particles and apoB-100 concentration is a stronger cardiovascular disease risk factor,
205 compared to total cholesterol, LDL-c and VLDL-c levels [10].

206 The strengths of this study include its RCT design, its long duration and robust
207 sample size and the application of a global, untargeted plasma proteomics methodology.
208 One potential limitation is the sample pooling strategy used, which did not permit the
209 assessment of the anticipated inter-individual heterogeneity in protein expression levels.
210 Although our approach may have limited the sensitivity of the methodology to find
211 differences in treatment effects between individuals, a strength of our approach is that we
212 are able to provide summary effects of DHA+EPA treatment for patients with NAFLD and
213 consequently, any differences found are likely to be large and therefore potentially more
214 clinically relevant.

215

216 **Conclusions**

217 Plasma proteomics applied in a randomised, placebo-controlled trial, lasting between
218 15 and 18 months, showed that high dose DHA+EPA treatment in patients with NAFLD
219 affects multiple pathways involved in chronic non-communicable diseases.

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Figure legend

Figure 1. A. Principal Component Analysis using the reporter ion \log_2 ratios of all analysed proteins showed that DHA+EPA treatment had a distinct effect on the plasma proteomic profile compared to placebo in both male and female cohorts. **B.** Process Network Analysis using MetaCore showed that blood coagulation, immune response and inflammatory response were significantly enriched processes in the plasma proteins that were altered as a result of the omega-3 intervention. **C.** Plasma proteins that were analysed to be altered at the end of study vs. baseline as a result of the omega-3 intervention. **D.** Ingenuity Pathway Analysis showed that “Lipid Metabolism, Small Molecule Biochemistry” was significantly enriched in the plasma proteins altered as a result of the omega-3 intervention in both sexes.

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337 **Supplementary Table legends**

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339 **Supplementary Table 1.** Baseline variables in placebo and DHA+EPA groups at
340 randomisation

341 **Supplementary Table 2.** Proteins significantly altered between the DHA+EPA and placebo
342 groups of the male cohort (T-Test $P < 0.05$).

343 **Supplementary Table 3.** Proteins significantly altered between the DHA+EPA and placebo
344 groups of the female cohort (T-Test $P < 0.05$).