# Sampled-Data Control with Adjustable Switching Frequency for DC-DC converters

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Abstract—In this paper, a novel sampled-data control approach is proposed for DC-DC converters. The DC-DC power electronic converter is modeled as a sampled-data switched affine system according to the status of the power switch. A novel switching control algorithm is synthesized by using the switched Lyapunov theory. The proposed approach is able to not only drive the output to a prescribed set point from any initial condition but also track a varying reference signal, and the switching frequency can be adjusted online with guaranteed stability. In addition, with this approach, CCM and DCM operations can be treated in a unified way. The effectiveness and merits of the proposed method are illustrated by experiments on a laboratory prototype.

Index Terms—DC-DC conversion, sampled-data control, variable switching frequency

# I. INTRODUCTION

C-DC power electronics converters have been widely used in practical applications, e.g., power supplies [1], DC motor drives [2], portable electronic devices [3], avionic systems [4] and RF transmitters [5], [6], etc. One of the most popular control techniques used for DC-DC converters by far is the linear control methods based on the small-signal averaging model proposed by Middlebrook in the 1970s [7] and the Pulse Width Modulation (PWM) technique [8]. Although these conventional control approaches may be adequate in some cases, the controller performance is quite limited, and the design involves a number of heuristic procedures, which lack theoretical guarantees. This motivates the study of advanced control technology for DC-DC converters, and a large number of control methods have been developed or tailored to control problems of DC-DC converters, e.g., sliding mode control [9], [10], fuzzy logic control [11], adaptive control [12], robust control [13] model predictive control [14], [15], artificial neural network control [16], Lyapunov-based control [17], [18], to just mention a few.

Manuscript received Jul 10, 2017; revised Mar 02, 2018, revised Jun 17, 2018; accepted Oct 09, 2018.

This work was partially supported by China Scholarship Council (CSC), Annual Adventure in Research Grant (University of Southampton), and NSFC under 61403173. (Corresponding authors: Zhan Shu).

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Despite the effectiveness of these approaches, there are still a number of challenges that need to be tackled. In some applications, the output voltage of DC-DC power converter are required to track a changing reference signal or adjust manually, e.g., the power system of microprocessors [19] or the power supply of a variable envelope radio frequency power amplifier [20], [21]. Many small-signal control approaches may not perform well for this scenario and even become unstable [22], since the controller is usually designed based on a model linearized or discretized at an operating point of interest. For large-signal control design, quantitative characterizations on stability, robustness, and performance are usually unavailable, and their stability, robustness, and performance need to be evaluated through experiment. In addition, digital implementation of most nonlinear controllers remains a problem.

Another issue in the design of DC-DC converters is the tradeoff between performance and efficiency. For fixed switching frequency control design, fast dynamic response and low output ripples can be achieved by adopting a high switching frequency. However, over-rapid switching will impair energy efficiency and pose a challenge to thermal management [23]. And the switching load does not scale with load [24] which results in poor light load efficiency. Therefore, variable switching frequency based controller has been adopted to tackle this issue. For instance, in battery-operated systems, variable frequency operation is shown to give better energy efficiency and provide wider rage of usable power [25]. Although there are some preliminary results along this direction [26], [27], the problem has not been fully investigated.

In this paper, the DC-DC converters are considered to be controlled by a digital controller. A sampled-data switched model is established to describe the closed-loop dynamics. Our goal is to design a switching controller with guaranteed closed-loop stability in the entire state space for varying reference points. Comparing with the existing results, the main contributions of this paper are summarized as:

- The proposed sampled-data switched model captures fulltime information instead of a discrete-time model without the inter-sample behaviour of the power electronic converters, and the switching controller can be implemented easily with digital devices.
- The designed controller is able to track changing reference signals without re-design, and this is guaranteed theoretically.

- Switching frequency can be adjusted online without losing closed-loop stability.
- 4) The parameters of the switching controller is calculated offline, thus significantly reducing the online computation burden.
- CCM and DCM operations can be treated in a unified approach.

This paper is organized as follows. In Section II, a unified switched system model for a class of DC-DC converters is established. In Section III, a switching control law is designed off-line by solving a set of matrix inequalities. The closed-loop stability with the designed controller is also established through the use of the switched Lyapunov theory. In Section IV, a practical DC-DC buck converter is used as an example for case study. A laboratory prototype is employed to show the effectiveness and merits of the proposed approach. In the end, conclusions are drawn in Section V, and the proofs of all the theorems and corollaries are presented in Appendix.

Notation: The transpose of a matrix P is denoted as  $P^T$ . The symbol \* denotes a block that can be inferred by symmetry. For a square symmetric matrix, P>0 (P<0) means that P is positive definite (negative definite). For a piecewise function  $\sigma \triangleq \arg\min(f_i(x))(i \in \{1,2\}), \ \sigma=1$  if  $f_1(x) < f_2(x)$  and  $\sigma=2$  if  $f_2(x) < f_1(x)$ .  $eig_{min}(P)$  is the minimum eigenvalue of matrix P. The symbol  $co\{\Psi\}$  denotes the convex hull of the set  $\Psi$ .

### II. SWITCHED MODEL FOR DC-DC CONVERTERS

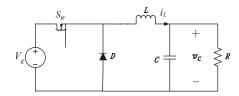


Figure 1: Topology of DC-DC Buck Converters

In this section, a switched model of DC-DC buck converters is presented. The topology of a non-isolated DC-DC buck converter is shown in Fig. 1.  $V_g$  is the source DC voltage; L is the inductance of a inductor; C is the capacitance of a capacitor; and R represents load resistance. The current through the inductor and the voltage across the capacitor are  $i_L$  and  $v_C$  respectively. Due to the space limit, the modelling and control design is focused on the DC-DC buck converters. However, it should be stressed that the results could be extended to different DC-DC topologies with no extra effort as the switched models for different topologies have the same structure

For each switching state, the continuous dynamics of the converter can be described by a state-space model:

$$\dot{x}(t) = A_{\sigma}x(t) + B_{\sigma}, \ \sigma \in \Xi \triangleq \{1, 2\}$$
 (1)

where

$$A_1 = A_2 = \begin{bmatrix} 0 & -\frac{1}{L} \\ \frac{1}{C} & -\frac{1}{DC} \end{bmatrix} \quad B_1 = \begin{bmatrix} \frac{V_g}{L} \\ 0 \end{bmatrix} \quad B_2 = \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

with the system states  $x(t) = [i_L, v_C]^T \in \mathbb{R}^2$ .  $(A_1, B_1)$  and  $(A_2, B_2)$  are the system matrices for the ON state and OFF state respectively.

Due to the operation of the transistor  $S_W$ , the evolution of the system state is determined by the continuous dynamics together with the status of the switch ( $\sigma = 1$  for ON or 2 for OFF). It is stressed here that although similar models have been used for the simulation of DC-DC converters, control design, particularly sampled-data control, based on the switched model remains rare.

From the standpoint of control theory, the system in (1) has discontinuous vector field, and thus classic equilibrium solution is no longer applicable. However, our goal is to regulate the output voltage to some reference points, which are some sorts of "equilibria". To solve this issue, we employ the so-called Filippov solutions to obtain the attainable reference points [28]. The basic idea is to replace the right-hand side of (1) with a differential inclusion, that is,

$$\dot{x}(t) = A(\lambda)x(t) + B(\lambda), \tag{2}$$

where  $A(\lambda) = \lambda A_1 + (1 - \lambda)A_2$ ,  $B(\lambda) = \lambda B_1 + (1 - \lambda)B_2$ , and  $\lambda \in [0,1]$ . With this "averaged" system, the attainable equilibrium can be obtained as

$$x_e = [i_{L,e}, v_{C,e}]^T = -A^{-1}(\lambda)B(\lambda), \ \lambda \in [0,1].$$
 (3)

#### III. SAMPLED-DATA CONTROLLER DESIGN

A significant difference between the proposed method and the most digital control schemes for DC-DC converters such as DPWM [29] and model predictive control [14] is that: in order to capture more transition information of the converters, the design is based on a continuous-time plant (1) with full-time information rather than a discrete-time model that neglects the inter-sample behaviour of the converters. On the other hand, the proposed method is considerably different from the sliding mode control [9] [10], which is also commonly applied in power converters control and is based on a large signal representation of the system. The attractive properties of the sliding mode control lie in the fact that the design procedure is straightforward and it is robust to parameters variations. However, the main drawback is its high switching frequency. For the proposed method in this paper, the switching frequency is bounded by the sampling frequency and even tunable based on the real-time demand which could potentially reduce the switching losses.

The system state is sampled as x(kT), where T is the sampling period and  $k = 0, 1, 2, 3 \cdots$ . For notational simplicity, k stands for kT in the remaining part of paper.

A state-feedback switching control law  $\sigma(*)$  is proposed as

$$\sigma(x(k)) = \arg \min_{(i,j) \in \Xi \times \Xi} \{ 2w_1 \left( (x(k) - x_e)^T P_j (A_i x(k) + B_i) \right) + 2w_2 |i - \sigma(x(k-1))| \},$$
(4)

where  $x_e = [i_e, v_e]^T$  is the reference point obtained by (3);  $\Xi = \{1,2\}$ ,  $w_1$ ,  $w_2$  are two positive weighting factors for adjustment of switching frequency;  $P_j \in \mathbb{R}^{2 \times 2}$  are parameter matrices to be determined later. It should be mentioned here that the control design is based on the Lyapunov stability theorem [30].

The switching control law  $\sigma(*)$  should firstly guarantees the system stability by finding fastest converging direction of the Lyapunov functional. This feature is fulfilled by the first part of the switching function  $((x(k)-x_e)^T P_j(A_ix(k)+B_i))$ , which is the derivative of the Lyapunov functional. The second part of the switching law  $|i-\sigma(x(k-1))|$  penalizes the switching action. In this way the switching frequency is adjusted by tuning the weighting factor  $w_2$ . The two weighting factor  $w_1$ ,  $w_2$  are utilized to make a trade off between the transient performance and the switching frequency adjustment. The following theorem with proof in Appendix A states that under some conditions the proposed switching control law will drive the system to the neighborhood of the desirable reference points. The proof of the theorem 1 can be found .

Theorem 1: For given positive scalars  $\xi$ ,  $\rho$ ,  $\varepsilon$ ,  $\beta$ ,  $\kappa$ , a Lyapunov-Krasovskii functional candidate is defined as

$$V(\phi(t),t) = V_1(\phi(t)) + V_2(\phi(t),t), \tag{5}$$

where  $\phi(t) = x(t) - x_e$ , and

$$V_1(\phi(t)) = \min_{j \in \Xi} \{ \phi^T(t) P_j \phi(t) \}$$
 (6)

$$V_{2}(\phi(t),t) = (T-\tau) \int_{t-\tau}^{t} e^{\xi(s-t)} \dot{\phi}^{T}(s) Q_{\sigma} \dot{\phi}(s) ds \qquad (7)$$
  
$$\tau = t - t_{k}, \ t \in [k, k+1).$$

If the following condition is satisfied

$$\dot{V}(\phi(t),t) + \xi V(\phi(t),t) < \rho T + \kappa ||x_e||_2 + (\varepsilon + 2\beta) \frac{w_2}{w_1},$$

$$\forall t \in [k,k+1), \quad (8)$$

then under the switching signal  $\sigma(x(k))$  the state of the DC-DC converters x(t) will converge to a finite region  $\Theta$  around the reference point  $x_e$ , where

$$\Theta \triangleq \{x(t) \in \mathbb{R}^2 : \min_{j \in \Xi} \{eig_{min}(P_j)\} | |x - x_e||_2$$

$$\leq \frac{T\rho + \kappa ||x_e||^2}{\xi} + \frac{\varepsilon + 2\beta}{\xi} \frac{w_2}{w_1} \}, \quad (9)$$

Therefore, if the system is practically stable under proposed switching signal  $\sigma(x(k))$ , the inductor current  $i_L$  and capacitor voltage  $v_C$  will be stabilized around the desired DC steady state  $i_e$  and  $v_e$  respectively with bounded ripples. Furthermore, The boundary of the current ripple and voltage ripple are defined by the region  $\Theta$ .

Remark 1: The minimum function  $V_1(\phi(t))$  ensures that the abstract energy associate with the system will not increase at the switching instant. To guarantee that the energy will decrease along the trajectory of the system, it suffices to require that the Lypuanov function does not increase during the sampling interval [k,k+1). A discontinuous term  $V_2(\phi(t),t)$  is added to achieve this.

We are now in a position to present the main result of the paper. The details of how to solve the unknown Lyapunov matrices  $P_j$ , with which the practical stability condition (8) is satisfied, is demonstrated in the form of Bilinear Matrix Inequalities (BMIs). The explicit proof of Theorem 1 can be found in Appendix B.

Theorem 2: Consider the switched model (1) with sampling period T and given parameters  $\xi > 0$ ,  $\rho > 0$ ,  $\varepsilon > 0$ ,  $\kappa > 0$ ,  $w_1 > 0$ 

 $0, w_2 \ge 0$ , for a specific reference point  $x_e$  with corresponding  $\lambda \in [0,1]$ , if there exist matrices  $P_j > 0$ ,  $Q_i > 0$ , scalars  $\beta > 0$ ,  $\mu_{jr} > 0$ , for  $\forall (i,j) \in \Xi \times \Xi$ ,  $r \in \Xi$  such that

$$\Psi(0,0,\lambda) < 0, \qquad \Psi(T,T^2,\lambda) < 0,$$
 (10)

where

$$\begin{split} &\Psi(\tau,\tau^2,\lambda) \\ &= \Pi(\tau) + \beta(\Omega(\tau,\tau^2) + \Omega(\tau,\tau^2,\lambda)) - \sum_r^\Xi \mu_{jr} \Upsilon_j(\tau,\tau^2), \\ &\Pi(\tau) = \begin{bmatrix} \Pi(\tau)_{11} & \Pi(\tau)_{12} & 0 & \Pi(\tau)_{14} \\ * & \Pi(\tau)_{22} & 0 & \Pi(\tau)_{24} \\ * & * & -\tau Q_i e^{-\xi T} & 0 \\ * & * & * & \Pi(\tau)_{44} \end{bmatrix}, \end{split}$$

$$\begin{split} &\Pi(\tau)_{11} = A_i^T P_j + P_j A_i + \xi P_j + (T - \tau) A_i^T Q_i A_i, \\ &\Pi(\tau)_{12} = (T - \tau) A_i^T Q_i A_i + P_j A_i, \\ &\Pi(\tau)_{14} = (T - \tau) A_i^T Q_i B_i + P_j B_i, \\ &\Pi(\tau)_{22} = (T - \tau) A_i^T Q_i A_i - \kappa, \\ &\Pi(\tau)_{24} = (T - \tau) A_i^T Q_i B_i, \\ &\Pi(\tau)_{24} = (T - \tau) B_i^T Q_i B_i, \\ &\Pi(\tau)_{44} = (T - \tau) B_i^T Q_i B_i - \rho T - (\varepsilon + 2\beta) \frac{w_2}{w_1} \\ &\Omega(\tau, \tau^2) = \\ &\begin{bmatrix} -A_i^T P_j - P_j A_i & -P_i A_i & \tau (A_i^T P_j + P_j A_i) & -P_j B_i \\ * & * & -\tau^2 (A_i^T P_j + P_j A_i) & \tau P_i B_i \\ * & * & 2 \frac{w_2}{w_1} \mathbf{I} \end{bmatrix}, \\ &\Omega(\tau, \tau^2, \lambda) = \\ &\begin{bmatrix} A^T(\lambda) P_j + P_j A(\lambda) & 0 & -\tau (A^T(\lambda) P_j + P_j A(\lambda)) & 0 \\ * & * & \tau^2 (A^T(\lambda) P_j + P_j A(\lambda)) & 0 \\ * & * & * & 0 \end{bmatrix}, \\ &\Upsilon_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & -\tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \\ * & * & * & 0 \end{bmatrix}, \\ &\Upsilon_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & -\tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \\ * & * & * & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & -\tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \\ * & * & * & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & \tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \\ * & * & * & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & \tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \\ * & * & * & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & \tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & \tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & \tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & \tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & \tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & \tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & \tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) & 0 \end{bmatrix}, \\ &\chi_j(\tau, \tau^2) = \begin{bmatrix} P_j - P_r & 0 & \tau (P_j - P_r) & 0 \\ * & * & * & \tau^2 (P_j - P_r) &$$

the condition (8) is fulfilled. Then the DC-DC converter is practically stabilizable to the region  $\Theta$  around the desired reference point  $x_e$  under the switching signal (4).

Remark 2: The tightness of the region (9) is proportional to the sampling period T. Thus, for other fixed parameters, a higher sampling rate will result in a tighter boundary, which means a smaller converter output voltage ripple.

It should be mentioned that unlike the traditional PWM control which has a fixed switching frequency, the switching frequency is unfixed for the proposed method. As the switching signal keeps constant during each sampling cycle, the average switching frequency of proposed method is bounded by the sampling frequency which is more applicable in practice than the other similar stability based methods [31] with the assumption of infinite switching frequency. It is obvious that the switching frequency is inversely proportional to the ripple. Hence, it is crucial to reach a compromise between ripple

minimization and switching frequency reduction because overrapid switching will reduce the energy efficiency of the converter and pose a challenge to thermal management.

In the proposed switching signal function

$$\sigma(x(k)) = \arg\min_{(i,j) \in \Xi \times \Xi} \left\{ 2w_1 \left( (x(k) - x_e)^T P_j (A_i x(k) + B_i) \right) + 2w_2 |i - \sigma(x(k-1))| \right\},$$

the weighting factor  $w_1$  and  $w_2$  are introduced to adjust the average switching frequency. For the term  $|i - \sigma(x(k-1))|$ , if i is equal to the previous switching state  $\sigma(x(k-1))$ , it will be zero; otherwise it will be one. Due to the min property of the switching signal function, it will prevent the change of the switch state if the practical stability can be guaranteed. By this way, some unnecessary switching can be avoided.

The region  $\Theta$  is proportional to the weighing factor  $w_2$  for a fixed  $w_1$ . Hence, if  $w_2$  is increasing, the region become larger which means larger current and voltage ripples. In the meantime, the average switching frequency is decreased. One may argue that the change of the factor  $w_2$  will result in the invalidity of inequalities (10). In the following corollary, the practical stability holds when the weighting factor  $w_2$  changes. The proof is presented in Appendix C.

Corollary 1: Consider the switched model (1) with sampling period T and parameters  $\xi > 0$ ,  $\rho > 0$ ,  $\varepsilon > 0$ ,  $\kappa > 0$  for a specific reference point  $x_e$  with corresponding  $\lambda \in [0,1]$ . If there exist matrices  $P_j > 0$ ,  $Q_i > 0$ , scalars  $\beta > 0$ ,  $\mu_{jr} > 0$ , for  $\forall (i,j) \in \Xi \times \Xi$ ,  $r \in \Xi$  such that

$$\Psi(0,0,\lambda) < 0, \qquad \Psi(T,T^2,\lambda) < 0,$$
 (11)

with a given  $w_1 > 0$  and  $w_2 = 0$ , then the condition (8) is fulfilled and the DC-DC converter is practically stabilizable to the region  $\Theta$  around the desired reference point  $x_e$  under the switching signal

$$\sigma(x(k)) = \arg\min_{(i,j)\in\Xi\times\Xi} \left\{ 2w_1 \left( (x(k) - x_e)^T P_j (A_i x(k) + B_i) \right) + 2w_2 |i - \sigma(x(k-1))| \right\},$$

with the same  $w_1 > 0$  and any  $w_2 \ge 0$ .

Remark 3: According to Corollary 1, the weighting factor  $w_2$  can be adjusted online without re-design the switching function  $\sigma(x(k))$ . The benefit is that the balance between ripple minimization and switching frequency reduction can be adapted depending on the practical situation in real-time.

Remark 4: Even though the practical stability preserves theoretically for any  $w_2 > 0$ , one should be careful when increasing the weighting  $w_2$ . A very large  $w_2$  will result in very large current and voltage ripples. In such a situation, the DC-DC converter is practically unstable.

Remark 5: For simplicity, in this paper, we assume there is no delay of measuring the system state. However, in practice if the sampling of the state is slow and delayed, it might be troublesome due to measurements are mismatched. This issue may be solved by introducing the predictive technique for the system state [32].

Until now, the proposed method is design for a specific reference point  $x_e$ . As we mentioned before, in some applications, the output voltage of DC-DC power converter are required to

track a changing reference signal or adjust manually. In the following corollary, the proposed control scheme is extended to a range of reference points. Therefore, the switching signal function can drive the state of DC-DC converter tracking different reference points within the range in real-time without re-design. The proof of the corollary is given in Appendix D.

*Corollary 2:* Consider the switched model (1) with sampling period T and given parameters  $\xi > 0$ ,  $\rho > 0$ ,  $\varepsilon > 0$ ,  $\kappa > 0$ ,  $0 < \lambda_{min} < \lambda_{max} < 1$ . If there exist matrices  $P_j > 0$ ,  $Q_i > 0$ , scalars  $\beta > 0$ ,  $\mu_{jr} > 0$ , for  $\forall (i,j) \in \Xi \times \Xi$ ,  $r \in \Xi$  such that

$$\Psi(0,0,\lambda_{min}) < 0, \qquad \Psi(0,0,\lambda_{max}) < 0,$$
  

$$\Psi(T,T^2,\lambda_{min}) < 0, \qquad \Psi(T,T^2,\lambda_{max}) < 0,$$
(12)

with a given  $w_1 > 0$  and  $w_2 = 0$ , then the condition (8) is fulfilled and the DC-DC converter is practical stabilizable to the region  $\Theta$  around any desired reference point  $x_e$  with corresponding  $\lambda \in [\lambda_{min}, \lambda_{max}]$  under the switching signal

$$\sigma(x(k)) = \arg\min_{(i,j)\in\Xi\times\Xi} \{2w_1 \left( (x(k) - x_e)^T P_j (A_i x(k) + B_i) \right) + 2w_2 |i - \sigma(x(k-1))| \}$$

with the same  $w_1 > 0$  and any  $w_2 \ge 0$ .

In the previous parts, it is assumed that the parameters of DC-DC buck converters are accurate and invariant. However, in practice this is hard to guaranteed, especially for the load *R*. This issue could be addressed by modifying the switched model (1) as follow to include the parameter uncertainty.

$$\dot{x}(t) = \left(A_{\sigma} + \sum_{m=1}^{q} \delta_{\sigma}^{m} \hat{A}_{\sigma}^{m}\right) x(t) + \left(B_{\sigma} + \sum_{m=1}^{q} \delta_{\sigma}^{m} \hat{B}_{\sigma}^{m}\right), \quad (13)$$

$$\sigma \in \Xi \triangleq \{1, 2\}, \ \delta_{\sigma}^{m} > 0, \ \sum_{m=1}^{q} \delta_{\sigma}^{m} = 1, m \in N^{+},$$

 $A_{\sigma}$  and  $B_{\sigma}$  are system matrices with nominal parameters, while  $\hat{A}_{\sigma}^{m}$  and  $\hat{A}_{\sigma}^{m}$  represent the vertices of the perturbations.

For examples, if we assume the load R varies between  $R_{min}$  and  $R_{max}$  with nominal value  $R_N$ . The perturbations matrices should be calculated as follow:

For simplicity, here we assume the switching law is based on the continuous state and without switching frequency adjustment.

$$\sigma(x(k)) = \arg\min_{(i,j)\in\Xi\times\Xi} \left\{ 2\left( (x(t) - x_e)^T P_j(A_i x(t) + B_i) \right) \right\}$$
(14)

The following theorem states that under some BMI conditions, the switching control law (14) will drive the system to the neighborhood of the desirable equilibrium (3). The proof of the theorem is given in Appendix E.

Theorem 3: Consider the switched model (13) with given parameters  $\xi > 0$ , for a specific reference point  $x_e$  with

corresponding  $\lambda \in [0,1]$ , if there exist matrices  $P_j > 0$ , scalars  $\beta > 0$ , for  $\forall (i,j) \in \Xi \times \Xi$ , such that

$$\begin{bmatrix} (A(\lambda) + \hat{A}_i^m)^T P_j + P_j (A(\lambda) + \hat{A}_i^m) + \xi P_j & P_j \hat{B}_i^m \\ * & -\beta \end{bmatrix} < 0,$$
(15)

the condition

signal (14).

$$\dot{V}_1(\phi(t),t) + \xi V_1(\phi(t),t) < \beta.$$
 (16)

is fulfilled. Then the DC-DC converter is practically stabilizable to the region  $\hat{\Theta} \triangleq \{x(t) \in \mathbb{R}^2 : \min_{j \in \Xi} \{eig_{min}(P_j)\} | |x - x_e||_2 \le \frac{2\beta}{F}\}$ , around the desired reference point  $x_e$  under the switching

One drawback of the proposed control techniques is the dependence on correct model parameters. In the case of load varying, for example, errors may be inferred in the steady state output voltage. To minimize this error, a common practice is to introduce a outer integration loop to correct the reference points  $x_{\ell}$  by adjusting the  $\lambda$  as follow:

$$\lambda_c = \lambda - \sum_{j=1}^k \alpha \left( v_{C,j} - v_{C,e} \right) \tag{17}$$

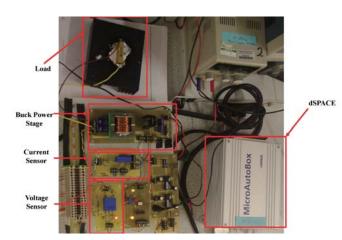


Figure 2: The laboratory prototype of a DC-DC buck converter.

# IV. PRACTICAL APPLICATION TO DC-DC BUCK CONVERTERS

# A. Experimental Setup

In order to test the effectiveness of the proposed control algorithm, the switching controller is applied on a DC-DC buck converter as shown in Fig. 1. A laboratory prototype has been designed based on the conventional design procedure in [33] as shown in Fig. 2. The parameters of the buck stage are  $L=616.3~\mu H$ ,  $C=880~\mu F$ ,  $R=4.90~\Omega$  (CCM load),  $R=30~\Omega$  (DCM load),  $V_g=20~V$ . The test platform consist of a dSPACE MicroAutoBox(1401/1511) 2nd generation with built-in *IBM PPC 750GL*, 900 MHZ processor, a current sensor board with current transducer LAH~25-NP and a voltage sensor board with voltage transducer LV25-P both connected to separate ADC channels of the dSPACE. A MOSFET drive board is also designed to convert the switching signal from

dSPACE to MOS level and isolate the buck converter and dSPACE for safety. The proposed algorithms are implemented on the dSPACE. The experimental data is automatically saved by the dSPACE control software ControlDesk and then read offline by Matlab.

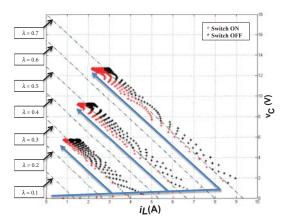


Figure 3: Switching surface for different reference points.

# B. Switching signal function analysis

Although the stability condition for the switching signal function design seems complicated, the precalculation of the parameters makes it very simple to implement. The nature of the switching signal function  $\sigma(x(k))$  is to partition the state space into different regions. After searching the state space, the switching surface for different reference points can be calculated. The state space partition can be seen in Fig. 3. For the Buck converter,  $A_1$  and  $A_2$  are equal and the matrices  $P_1$ ,  $P_2$  are similar. Hence, the switching surfaces are almost linear as seen in Fig. 3, which are presented by the dashed lines. The switching signal is defined as ON if the system state is recognized in the left of the selected switching surface, otherwise the switching signal is defined as OFF. In Fig. 3, the transition behaviour of the system state with three different reference points is presented as examples. The colours of asterisks mean different switching signals, that is: red means ON, black means OFF; and the arrows indicate the time revolution of the system state x(t). As one can seen, if the state is away from switching surface, the switching signal will drive the state towards the switching surface. If the state is around the switching surface, then it will be driven to the origin along the surface. Finally, the system state x(t) will be stabilized around the desired reference point.

# C. Implementation Procedure

The proposed control scheme could be implemented in two step: offline calculation of the controller parameters and the online implementation. In the following algorithm, the step-by-step instruction is presented about how to solve the controller based on Corollary 2. After obtaining the required controller parameters  $P_j$ , the online implementation could follow the flow chart in Fig. 4.

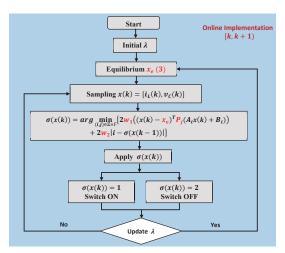


Figure 4: Flow chart of the online implementation.

### Algorithm 1 Offline calculation based on Corollary 2

**Require:** DC-DC converter parameters, T,  $\lambda_{min}$ ,  $\lambda_{max}$ 

- 1: repeat
- 2: Tuning  $\xi$ ,  $\rho$ ,  $\varepsilon$ , and  $\kappa \triangleright$  Based on the required  $\Theta$  (9)
- 3: Solving the optimization problem (12) using Matlab LMI control toolbox
- 4: until The optimization problem (12) is feasible
- 5: **return**  $P_i$

#### D. Continuous Conduction Mode (CCM)

In this mode, the load is set as  $4.90\Omega$ .

- a) Start-up response under different sampling frequency: In this part, the switching controller in Corollary 2 are solved for different sampling frequency. The tuning parameters are given as:  $\xi = 2 \times 10^{-4}$ ,  $\rho = 1 \times 10^{-4}$ ,  $\epsilon = 2$ ,  $\kappa = 1 \times 10^{-4}$ . The conditions (2) are feasible for different sampling frequency:  $f_s = 10, 20, 40 \ kHz$ . Figs. 5–7 show the experimental behavior of the system state under different sampling frequencies. The averaged switching frequency  $f_a$  is also presented in each scenarios. One can clearly observe that the proposed methods can be applicable to a wide sampling frequency range and generate similar transition performance as shown in simulation results.
- b) Reference tracking: As we claimed in Corollary 2, the proposed switching controller is solved for  $\forall \lambda \in [0,1]$ , which means that the switching controller is able to drive the converter to track different reference point  $x_e$ . In the part, the sampling frequency is 10~kHz with parameters  $\xi = 2 \times 10^{-4}$ ,  $\rho = 1 \times 10^{-4}$ ,  $\varepsilon = 2$ ,  $\kappa = 1 \times 10^{-4}$ . As pointed out previously, the controller is robust to the change of the reference points as shown in Fig. 8. Both the capacitor voltage and the inductor current have been controlled to track the corresponding reference effectively.
- c) Switching frequency tuning: As we proposed, the average switching frequency can be optimized by tuning a set of weighting factors. It gives more flexility for the balance between the voltage ripple and switching frequency. This is also verified in the real experiment. A high sampling frequency 40kHz is used to solve the switching controller in

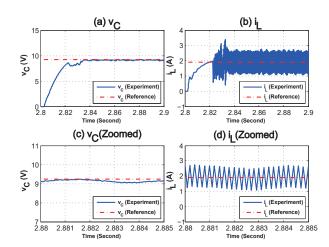


Figure 5: The response of  $v_C$  and  $i_L$  ( $f_s = 10 \text{ kHz}$ ,  $f_a = 5.1 \text{ kHz}$ , CCM)

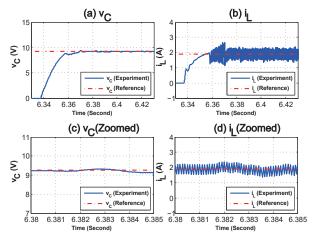


Figure 6: The response of  $v_C$  and  $i_L$  ( $f_s = 20 \text{ kHz}$ ,  $f_a = 10 \text{ kHz}$ , CCM)

Corollary 2 with parameters  $\xi = 2 \times 10^{-4}$ ,  $\rho = 1 \times 10^{-4}$ ,  $\varepsilon = 2$ ,  $\kappa = 1 \times 10^{-4}$ . The result is shown in Fig. 9. As we can see, as  $w_2$  increases, the average switching frequency decreases rapidly but the voltage ripple just increases slightly.

- d) Load variation (Simulation Results): In this part, simulation work has been carried out to verify the switching law (14) when the load R is varying. The sampling frequency is set to 20kHz. The BMI (15) is solved offline with  $R_{max} = 10\Omega$  and  $R_{min} = 4.9\Omega$ . The tuning parameter  $\alpha$  of the outer integration loop is set to  $1 \times 10^{-3}$ . Hence, the switching law should work when the load is varying between  $R_{min}$  and  $R_{max}$ . In Fig. 10, the worst scenario is given when the load step up to  $10\Omega$  from the nominal value  $4.9\Omega$  at 0.01s and step back to nominal value at 0.02s. As we can see from Fig. 10, during 0.01s to 0.02s, the voltage follows the reference without steady state error, while the current does not due to load variation.
- e) Comparison with PI Controller (Simulation Results): In this part, the proposed switching control approach is further compared with a traditional industry-standard PI-type controller tuned on the basis of a linearized averaged model

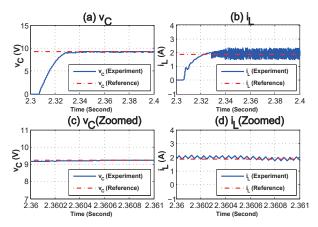


Figure 7: The response of  $v_C$  and  $i_L$  ( $f_s = 40 \text{ kHz}$ ,  $f_a = 20.3 \text{ kHz}$ , CCM)

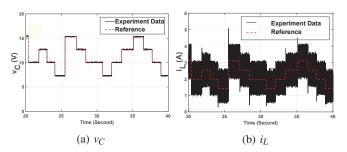


Figure 8: The response of  $v_C$  and  $i_L$  to different reference points (CCM mode).

(averaged control scheme) [34]. The sampling frequency of the proposed method and the switching frequency of PWM signal are both set to 20 kHz. And the weighting factor  $w_2$  is set to 0 for a fair comparison. The output voltage and inductor current responses under these two control scheme are shown in Fig. 11. obviously, the proposed method presented better transient performance than the PI controller for both the voltage and current response.

The phase diagrams for both proposed method and PI controller are shown in Fig. 12. As explained in the previous

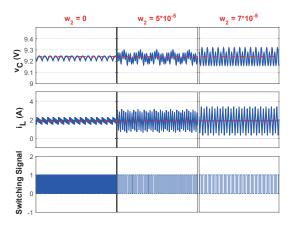


Figure 9: Experimental behaviour of  $v_C$ ,  $i_L$  and switching signal  $\sigma$  when tuning the weighting factor  $w_2$  (CCM mode).

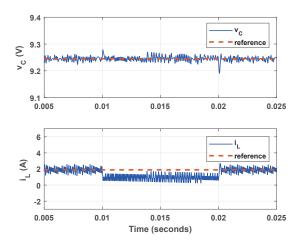


Figure 10: Load variation from  $4.9\Omega$  to  $10\Omega$  at 0.01s and back to  $4.9\Omega$  at 0.02s

subsection B and Theorem 1, the proposed control method defines a switching surface Fig.3 and a converged region (9). Hence, the designed controller will drive the system state to the switching surface first and to the converged region along the switching surface. In addition, as the controller will choose the switching action which is able to drive the system state along the fastest possible converging direction. All these characteristic will result in a trajectory with shorter transition period and no/smaller state overshoot for both current and voltage state.

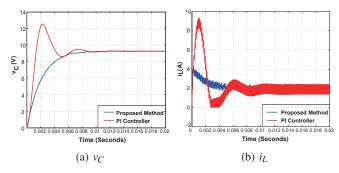


Figure 11: The responses of  $v_C$  and  $i_L$  under proposed method and a PI controller (Simulation).

# E. Discontinuous Conduction Mode (DCM)

In this mode, the load is set as  $30\Omega$  and sampling frequency is fixed as  $40 \ kHz$ . The parameters that is used to solve the switching controller  $\sigma(x(k))$  in Corollary 2 are  $\xi=2\times 10^{-3}$ ,  $\rho=1\times 10^{-4}$ ,  $\varepsilon=2$ ,  $\kappa=1\times 10^{-4}$ . The start-up response is shown in Fig. 13. One can easily notice that the inductor current  $i_L$  is discontinues. Furthermore, the converter is also controlled to track different reference points by the proposed switching controller as depicted in Fig. 14. From Fig. 15, it can be seen that when tuning the weighting factor  $w_2$ , the average switching frequency presents similar behaviour as the CCM mode.

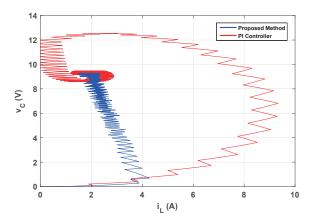


Figure 12: Phase diagrams of the proposed method and a PI controller.

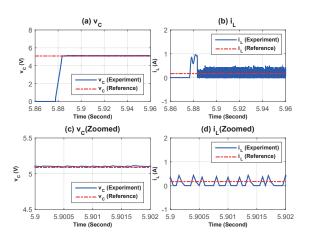


Figure 13: The start-up response of  $v_C$  and  $i_L$  (DCM mode)

#### V. CONCLUSIONS

We have proposed a novel switching controller for DC-DC converters. The converters have been modeled as sampled-data switched systems. A composite Lyapunov functional has been used to design the switching signal function with guaranteed stability and tracking property. Furthermore, the switching frequency can be adjusted in real-time with guaranteed stability. The future work may include developing different Lyapunov functional to compare the conservatism, extending the results to more complicated power electronic converters, such as

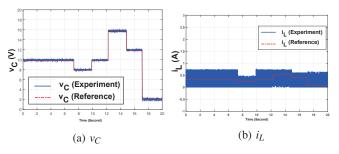


Figure 14: The response of  $v_C$  and  $i_L$  to different reference points (DCM mode).

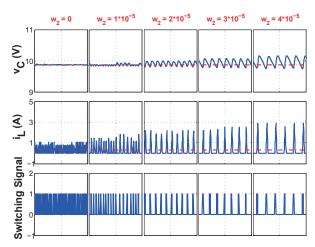


Figure 15: Experimental behaviour of  $v_C$ ,  $i_L$  and switching signal  $\sigma$  when tuning the weighting factor  $w_2$  (DCM mode).

multilevel inverters, bidirectional converters, and improve the robustness of the closed-loop system.

### APPENDIX A: PROOF OF THEOREM 1

**Proof:** We note from the min property of  $V_1(\phi(t))$  that at the switching instant k,

$$V_{1}(\phi(k), t_{k}^{+}) = \phi^{T}(k) P_{j(\sigma_{k})} \phi(k)$$

$$\leq \phi^{T}(k) P_{j(\sigma_{k-1})} \phi(k) = V_{1}(\phi(k), t_{k}^{-})$$
(18)

which means that the Lyapunov functional  $V_1(e(t))$  does not grow after each switching instant. By using the comparison principle, we have that if (8) holds, then

$$\begin{split} V_1(\phi(t),t) &\leq e^{-2\xi(t-t_k)} V_1(\phi(k),t_k^+) + \theta \int_{t_k}^{t_{k+1}} e^{-2\xi(t-s)} ds \\ &\leq e^{-2\xi(t-t_{k-1})} V_1(\phi(k-1),t_{k-1}^+) \\ &\quad + \theta \int_{t_{k-1}}^t e^{-2\xi(t-s)} ds \\ &\leq \ldots \leq e^{-2\xi t} V_1(\phi(0),0) + \theta \int_0^t e^{-2\xi(t-s)} ds, \end{split}$$

where

$$\theta = \rho T + \kappa ||x_e||_2 + (\varepsilon + 2\beta) \frac{w_2}{w_1},$$

which means that for  $t \to \infty$ , x(t) exponentially converges to the region  $\Theta$ .

#### APPENDIX B: PROOF OF THEOREM 2

**Proof:** Without loss of generality, we assume that at time k,  $\sigma(x(k)) = i$  and  $V_1(\phi(k)) = \min_{j \in \Xi} \{\phi(k)^T P_j \phi(k)\} = \phi(k)^T P_j \phi(k)$ . Then along the solution of (1), the derivative of the chosen Lyapunov functional (6) can be calculated:

$$\dot{V}_{1} = \min\{\dot{\phi}^{T}(t)P_{j}\phi(t) + \phi^{T}(t)P_{j}\dot{\phi}(t)\} 
= \min\{2\phi^{T}(t)P_{j}(A_{i}\phi(t) + A_{i}x_{e} + B_{i})\} 
\leq \phi^{T}(t)(A_{i}^{T}P_{i} + P_{i}A_{i})\phi(t) + 2\phi(t)P_{i}(A_{i}x_{e} + B_{i}).$$
(19)

Applying Jensen's inequality [35] yields,

$$\dot{V}_2 + \xi V_2 \le (T - \tau) (A_i \phi(t) + A_i x_e + B_i)^T Q_i (A_i \phi(t) + A_i x_e + B_i) - \tau v^T(t) Q_i v(t) e^{-\xi T},$$
(20)

where  $v(t) = \frac{x(t) - x(t_k)}{\tau}$ ,  $\tau = t - t_k$ . Define  $\eta(t) = \begin{bmatrix} \phi(t) & x_e & v(t) & 1 \end{bmatrix}^T$ , the condition (8) holds if

$$\eta^T(t) \ \Pi(\tau) \ \eta(t) < 0. \tag{21}$$

Based on the proposed switching signal function (4), for  $\forall l \in \Xi$ , the following inequality holds:

$$2w_1\phi(k)^T P_j(A_l\phi(k) + A_lx_e + B_l) + 2w_2|l - \sigma_{k-1}| \ge 2w_1\phi(k)^T P_j(A_i\phi(k) + A_ix_e + B_l) + 2w_2|i - \sigma_{k-1}|.$$
 (22)

Rearranging the inequality yields,

$$2w_1\phi(k)^T P_j(A_l\phi(k) + A_lx_e + B_l) + 2\frac{w_2}{w_1}(|l - \sigma_{k-1}| - |i - \sigma_{k-1}|)$$

$$\geq 2w_1\phi(k)^T P_i(A_i\phi(k) + A_ix_e + B_i). \tag{23}$$

Since  $-1 \le |l - \sigma_{k-1}| - |i - \sigma_{k-1}| \le 1$ ,  $\forall l \in \Xi$ , if (23) holds, the following inequality holds:

$$2w_1\phi(k)^T P_j(A_l\phi(k) + A_lx_e + B_l) + 2\frac{w_2}{w_1}$$
  
 
$$\geq 2w_1\phi(k)^T P_j(A_i\phi(k) + A_ix_e + B_i). \tag{24}$$

When l = 1, multiplying the inequality (24) by  $\lambda$  yields,

$$2w_1\phi(k)^T P_j(\lambda A_1\phi(k) + \lambda A_1x_e + \lambda B_1) + 2\frac{w_2}{w_1}$$
  
 
$$\geq 2w_1\phi(k)^T P_j(\lambda A_i\phi(k) + \lambda A_ix_e + \lambda B_i).$$
 (25)

When l=2, multiplying the inequality (24) by  $(1-\lambda)$ yields,

$$2w_1\phi(k)^T P_j((1-\lambda)A_2\phi(k) + (1-\lambda)A_2x_e + (1-\lambda)B_2) + 2\frac{w_2}{w_1}$$

$$\geq 2w_1\phi(k)^T P_j((1-\lambda)A_i\phi(k) + (1-\lambda)A_ix_e + (1-\lambda)B_i). \tag{26}$$

Summing up (25) and (26), the following inequality holds:

$$2\phi^{T}(k)P_{j}(A(\lambda) - A_{i})\phi(k) - 2\phi^{T}(k)P_{j}(A_{i}x_{e} + B_{i}) + 2\frac{w_{2}}{w_{1}} \ge 0,$$
(27)

where the relations  $A(\lambda) = \lambda A_1 + (1 - \lambda)A_2$ ,  $B(\lambda) = \lambda B_1 + (1 - \lambda)A_2$  $(1-\lambda)B_2$ , and  $A(\lambda)x_e + B(\lambda) = 0$  are used.

For  $\phi(k) = \phi(t) - \tau v(t)$ , and using the same variable  $\eta(t)$ , the above inequality becomes:

$$\eta^T(t)(\Omega(\tau, \tau^2) + \Omega(\tau, \tau^2, \lambda))\eta(t) \ge 0.$$
(28)

Another assumption  $V_1(\phi(k)) = \min_{j \in \Xi} {\{\phi(k)^T P_j \phi(k)\}} =$  $\phi(k)^T P_i \phi(k)$  is satisfied only if

$$\phi^T(k)P_i\phi(k) \le \phi^T(k)P_r\phi(k), \ \forall r \in \Xi$$
 (29)

Using the expression  $\phi(k) = \phi(t) - \tau v(t)$  and the same variable  $\eta(t)$ , the above inequality becomes:

$$\eta^T(t) \Upsilon_i(\tau, \tau^2) \eta(t) \le 0. \tag{30}$$

Therefore, (8) holds if (21) holds in the condition of (28), (30), that is,

$$\eta^T(t) \Pi(\tau) \eta(t) < 0$$

s.t. 
$$\eta^{T}(t) \left(\Omega(\tau, \tau^{2}) + \Omega(\tau, \tau^{2}, \lambda)\right) \eta(t) \geq 0$$
  
 $\eta^{T}(t) \Upsilon_{j}(\tau, \tau^{2}) \eta(t) \leq 0 \quad \forall (i, j) \in \Xi \times \Xi$ 

Applying the S-procedure, the above condition holds if

$$\eta^{T}(t) \ \Pi(\tau) \ \eta(t) + \beta \eta^{T}(t) \left( \Omega(\tau, \tau^{2}) + \Omega(\tau, \tau^{2}, \lambda) \right) \eta(t)$$
$$- \sum_{r}^{\Xi} \mu_{jr} \eta^{T}(t) \Upsilon_{j}(\tau, \tau^{2}) \eta(t) < 0$$
$$\exists \beta > 0, \ \exists \mu_{jr} > 0, \ \forall (i, j) \in \Xi \times \Xi. \quad (31)$$

Rearranging the inequality yields,

$$= \Pi(\tau) + \beta(\Omega(\tau, \tau^2) + \Omega(\tau, \tau^2, \lambda)) - \sum_{r}^{\Xi} \mu_{jr} \Upsilon_j(\tau, \tau^2) < 0$$
(32)

Since  $\Psi(\tau, \tau^2, \lambda) \in co\{\Psi(0, 0, \lambda), \Psi(T, 0, \lambda), \Psi(T, T^2, \lambda)\}$ ,  $\forall \tau \in [0, T], (32) \text{ holds if}$ 

$$\Psi(0,0,\lambda) < 0 \tag{33}$$

$$\Psi(T,0,\lambda) < 0 \tag{34}$$

$$\Psi(T, T^2, \lambda) < 0. \tag{35}$$

Because (35) implies (34), the conditions (33)–(35) are sufficient to guarantee the stability with the proposed switching

#### APPENDIX C: PROOF OF COROLLARY 1

**Proof:** Continuing with the proof of Theorem 2, the inequality (32) can be separated as two parts: one with the weighting factor  $w_1$  and  $w_2$  and the other without.

$$\begin{bmatrix} 0 & 0 & 0 & 0 \\ * & 0 & 0 & 0 \\ * & * & 0 & 0 \\ * & * & * & -\rho T - \varepsilon \frac{w_2}{w_1} \end{bmatrix} + \Delta < 0$$
 (36)

 $\Delta$  represents the inequality without the weighting factor  $w_1$ and  $w_2$ . For simplicity, the details of the  $\Delta$  is omitted.

Obviously, the inequality (36) with a given  $w_1 > 0$  and any  $w_2 > 0$  holds, if the inequality (36) with a given  $w_1 > 0$  and  $w_2 = 0$  holds. Hence, when the inequalities (11) hold, Theorem 2 is satisfied.

#### APPENDIX D: PROOF OF COROLLARY 2

**Proof:** Continuing with the proof of Theorem 2,  $\Omega(\tau, \tau^2, \lambda)$ is the only term dependent on  $\lambda$ . By substituting  $A(\lambda) = \lambda A_1 +$  $(1-\lambda)A_2$  into  $\Omega(\tau,\tau^2,\lambda)$  and rearranging the matrix, one can

$$\Omega(\tau, \tau^2, \lambda) = \lambda M + (1 - \lambda)N = \lambda (M - N) + N \tag{37}$$

$$M = \begin{bmatrix} A_1^T P_j + P_j A_1 & 0 & -\tau (A_1^T P_j + P_j A_1) & 0 \\ * & 0 & 0 & 0 \\ * & * & \tau^2 (A_1^T P_j + P_j A_1) & 0 \\ * & * & * & 0 \end{bmatrix}$$
(38)  
$$N = \begin{bmatrix} A_2^T P_j + P_j A_2 & 0 & -\tau (A_2^T P_j + P_j A_2) & 0 \\ * & 0 & 0 & 0 \\ * & * & \tau^2 (A_2^T P_j + P_j A_2) & 0 \\ * & * & * & * & 0 \end{bmatrix}.$$
(39)

$$N = \begin{bmatrix} A_2^T P_j + P_j A_2 & 0 & -\tau (A_2^T P_j + P_j A_2) & 0 \\ * & 0 & 0 & 0 \\ * & * & \tau^2 (A_2^T P_j + P_j A_2) & 0 \\ * & * & * & 0 \end{bmatrix}.$$
(39)

Because  $\lambda \in [\lambda_{min}, \lambda_{max}]$ , we can define:

$$\lambda = p\lambda_{max} + (1-p)\lambda_{min}, \ p \in [0,1]. \tag{40}$$

The condition (2) can be written as:

$$\Lambda(0,0) + \beta(\lambda_{min}(M-N) + N) < 0 \tag{41}$$

$$\Lambda(T, T^2) + \beta(\lambda_{min}(M - N) + N) < 0 \tag{42}$$

$$\Lambda(0,0) + \beta(\lambda_{max}(M-N) + N) < 0 \tag{43}$$

$$\Lambda(T, T^2) + \beta(\lambda_{max}(M - N) + N) < 0, \tag{44}$$

where 
$$\Lambda(\tau, \tau^2) = \Pi(0) + \beta \Omega(0, 0) - \sum_{r=0}^{\Xi} \mu_{jr} \Upsilon_j(0, 0)$$
.

Then multiplying (1-p) to (41)–(42) and multiplying p to (43)–(44), one obtains:

$$(1-p)\Lambda(0,0) + \beta((1-p)\lambda_{min}(M-N) + pN) < 0$$
 (45)

$$(1-p)\Lambda(T,T^2) + \beta((1-p)\lambda_{min}(M-N) + pN) < 0$$
 (46)

$$p\Lambda(0,0) + \beta(p\lambda_{max}(M-N) + (1-p)N) < 0$$
 (47)

$$p\Lambda(T, T^2) + \beta(p\lambda_{max}(M-N) + (1-p)N) < 0.$$
 (48)

Summing up (45)-(47) and (46)-(48) respectively yields,

$$\Psi(0,0,\lambda) = \Lambda(0,0) + \beta(\lambda(M-N) + N) < 0 \tag{49}$$

$$\Psi(T, T^2, \lambda) = \Lambda(T, T^2) + \beta(\lambda(M - N) + N) < 0.$$
 (50)

Now we can claim, if the conditions (2) hold, the conditions (10) hold for  $\forall \lambda \in [\lambda_{min}, \lambda_{max}]$ .

#### APPENDIX E: PROOF OF THEOREM 3

**Proof:** Similar to the proof of Theorem 1, the condition (16) yields:

$$V_1(\phi(t),t) \le e^{-2\xi t} V_1(\phi(0),0) + 2\beta \int_0^t e^{-2\xi(t-s)} ds, \quad (51)$$

which means that for  $t \to \infty$ , x(t) exponentially converges to the region  $\hat{\Theta}$ .

We assume that at time t,  $\sigma = i$  and  $V_1(\phi(t)) = \min_{j \in \Xi} {\{\phi(k)^T P_j \phi(t)\}} = {\phi(t)^T P_j \phi(t)}$ . Then along the solution of (1), the derivative of the chosen Lyapunov functional (6) can be calculated:

$$\begin{split} \dot{V}_1 &= \min \left\{ 2\phi^T(t) P_j \left( \left( A_i + \sum_{m=1}^q \delta_i^m \hat{A}_i^m \right) \phi(t) \right. \right. \\ &+ \left( A_i + \sum_{m=1}^q \delta_i^m \hat{A}_i^m \right) x_e + \left( B_i + \sum_{m=1}^q \delta_i^m \hat{B}_i^m \right) \right) \right\} \\ &= 2\phi^T(t) P_j \left( \left( A_i + \sum_{m=1}^q \delta_i^m \hat{A}_i^m \right) \phi(t) \right. \\ &+ \left( A_i + \sum_{m=1}^q \delta_i^m \hat{A}_i^m \right) x_e + \left( B_i + \sum_{m=1}^q \delta_i^m \hat{B}_i^m \right) \right) \end{split}$$

Define  $\hat{\eta}(t) = \begin{bmatrix} \phi(t) & x_e & 1 \end{bmatrix}^T$  and using convexity arguments, the condition (16) holds if

$$\begin{bmatrix} (A_{i} + \hat{A}_{i}^{m})^{T} P_{j} + P_{j} (A_{i} + \hat{A}_{i}^{m})^{T} + \xi P_{j} & P_{j} (B_{i} + \hat{B}_{i}^{m}) + P_{j} \hat{A}_{i}^{m} x_{e} \\ * & -\beta \end{bmatrix}$$

(52)

According to the switching law (14), similar to (27), the following inequality stands:

$$2\phi^{T}(k)P_{i}(A(\lambda) - A_{i})\phi(k) - 2\phi^{T}(k)P_{i}(A_{i}x_{e} + B_{i}) \ge 0, \quad (53)$$

Applying the S-procedure, the conditions (52) (53) hold if (15) holds

#### **ACKNOWLEDGMENT**

We gratefully acknowledge the support of Dr. M. Yuratich and the team of TSL Technology Ltd. during this project.

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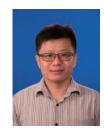
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