Local active control of road noise inside a vehicle

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Abstract

The performance is investigated of a multichannel feedforward headrest system for the active control of interior road noise around a listener's ears in a vehicle cabin. An active headrest system is combined with the remote microphone technique so that direct measurement of the error signals at the listener's ears are not necessary. The formulation of the optimal observation filter for the remote microphone technique and the optimal controller for active control is presented in the time domain, to sense and control broadband random road noise. The estimation accuracy of the remote microphone technique is investigated through simulations, using the signals measured at a number of monitoring microphones and error microphones in the cabin of a large SUV. It is shown that disturbances at the virtual error microphones can be accurately estimated by a causal observation filter. The attenuation performance of the active headrest system using the remote microphone technique is also predicted offline, using measured plant responses, disturbance signals and reference signals, which shows that several peaks in the spectrum of the road noise can be reduced at frequencies of up to 1 kHz. The effects of delays and head movements on the attenuation performance are also studied.

Keywords: Active sound control, Local active noise control, Active headrest system, Remote microphone technique, Road noise, Vehicle interior noise

1. Introduction

Interior noise in road vehicles can be mainly categorised as either powertrain noise, tyre-road noise or aerodynamic noise. When a vehicle is driving at a relatively low speed, so that it does not produce a significant level of aerodynamic noise, the front structure-borne, rear structure-borne and powertrain airborne noise are the primary noise sources below 500 Hz [1]. The front structure-borne noise is generally produced from vibrations of the engine powertrain and tyre-road interaction and the rear structure-borne noise is mainly due to the tyre-road interaction. In the 500 Hz to 1,000 Hz frequency range, the tyre-road air-borne noise increases and becomes one of the primary noise sources. At this frequency range, although the front structure-borne noise and powertrain air-borne noise decrease, the rear structure-borne noise is still generally the dominant noise source [2, 3]. Therefore, noise from the interaction between the tyre and road is a main concern when attempting to control the interior noise in the frequency range up to 1,000 Hz. In particular, the influence of tyre-road noise on the interior noise is increasingly important because noise from the powertrain is continuously being reduced, by either improved powertrain design techniques or the application of the electric motor as an alternative to the internal combustion engine. Therefore, luxury car manufactures are currently becoming concerned about mid-frequency road noise that may not be easily controlled passively.

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Active sound control in vehicles has been widely studied [4, 5, 6]. Although passive methods have been widely used to control NVH (Noise, Vibration and Harshness) in the vehicle cabin, research and development of electronic devices such as DSP (Digital Signal Processor), sensors and actuators may allow cost-effective and practical implementations of active sound control [7, 8]. Research into the active control of sound for vehicle interior noise is mainly concerned with two areas: powertrain noise control and road-tyre induced noise control.

Powertrain noise is mainly tonal and recently several systems for the active control of the low frequency components of this noise have been implemented by manufacturers [9, 10]. The active control of the random road noise in vehicles has also been investigated [6, 8, 11, 12], but the performance is also limited to low frequencies, typically below 300 Hz if the loudspeakers and microphones used for control are remote from the listener's head. Control of higher-frequency road noise may be possible with local control systems, such as those using loudspeakers and microphones in the headrests [13, 14, 15]. The proximity between the secondary loudspeakers and the error sensors in a local active headrest system can provide several advantages over a global active control system, including low noise enhancement at other positions, short acoustic propagation

delays in the control path and simpler plant responses between the secondary loudspeakers and the error sensors.

Despite these advantages, the direct installation of the error sensors in the desired control positions has limited the practical application of the active headrest system. For example, passengers in a car are unlikely to accept the need to wear in-ear microphones over long periods of time for local active control. Although a number of virtual sensing algorithms have been proposed to avoid the use of such in-ear microphones [16, 17, 18], the zone of quiet around the virtual error sensor, within which more than 10 dB attenuation is achieved, is limited to a diameter of about 1/10 of an acoustic wavelength in a pure tone diffuse field, which is about 3.4 cm at 1 kHz [19]. Therefore, any separation between the virtual error sensors and the

⁴⁰ targeted control regions can lead to the zone of quiet being outside of the desired region of control, and noise enhancement may even be generated due to constructive interference between the disturbance and control signals. To overcome this limitation, tracking of the head position and repositioning of the zone of quiet has been suggested by a number of authors [20, 21, 22]. The effect of the remote microphone technique and the head-tracking on the practical application of local active noise control was the motivation for this paper to ⁴⁵ improve the controllable frequency range and the attenuation performance of the active headrest system in

the automotive road noise control problem.

The aim of this paper is to investigate the performance of such a local control algorithm using direct measurements of the pressures and acoustic responses in a vehicle. The nearfield estimation accuracy of the remote microphone technique is initially considered when the causal optimal observation filter is designed from measurements of the interior pressure at a number of monitoring microphones around a car cabin and

two error microphones at the ears of a dummy head. To improve the causality of the remote microphone technique, this paper uses an improved remote microphone technique using additional delays, as suggested in [23]. It is then shown that a multichannel active headrest system with a causal controller can be combined with the remote microphone technique to reduce broadband interior road noise. The effect of head-tracking on the nearfield estimation and the attenuation performance is also investigated, when the dummy head is located at different positions.

The formulation of such a control strategy in the time domain is considered first, in Section 2, and measured signals from microphones positioned in the rear right seat of a large SUV are then used in Section 3 to estimate the performance of the remote microphone technique in practice. Section 4 presents the attenuation performance of the active headrest system combined with the remote microphone technique to

reduce broadband random road noise. Finally, Section 5 presents the conclusions of this work.

2. Formulations for active control combined with the remote microphone technique

2.1. Optimal feedforward active control using the standard remote microphone technique

To estimate virtual error signals at desired positions using signals measured at the remote monitoring ⁶⁵ microphones and reduce disturbance signals at the virtual error sensors, the remote microphone technique

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Figure 1: Block diagram of the feedforward active control algorithm, combined with the standard remote microphone technique in a dashed rectangle.

is combined with a feedforward active control system and the block diagram of the combined algorithm is shown in Fig. 1 [20, 24]. For stationary random broadband noise, it is required that the observation filter and the controller for active sound control are causally constrained. Therefore, we will investigate the nearfield estimation and attenuation performance in the time domain.

In Fig. 1, N_v primary sources, which have a vector of source signals at the *n*th sample time, $\boldsymbol{v}(n) = [v_1(n), v_2(n) \dots v_{N_v}(n)]^{\mathrm{T}}$, are assumed to produce a vector of N_e disturbance signals, $\boldsymbol{d}_e(n) = [d_{e1}(n), d_{e2}(n) \dots d_{eN_e}(n)]^{\mathrm{T}}$ at the virtual error microphones and a vector of N_x reference sensor signals, $\boldsymbol{x}(n) = [x_1(n), x_2(n) \dots x_{N_x}(n)]^{\mathrm{T}}$ via matrices of transfer responses, \mathbf{P}_e and \mathbf{F} , respectively. A vector of control signals for the N_u secondary sources, $\boldsymbol{u}(n) = [u_1(n), u_2(n) \dots u_{N_u}(n)]^{\mathrm{T}}$, is produced and the n_u th control signal, $u_{n_u}(n)$, is obtained from the sum of the contributions from the N_x reference sensor signals, each filtered by an *I*th order FIR control filter with coefficients $w_{n_u n_x i}$, so that

$$u_{n_u}(n) = \sum_{n_x=1}^{N_x} \sum_{i=0}^{I-1} w_{n_u n_x i} x_{n_x}(n-i).$$
(1)

The control signals are transmitted to the virtual error microphones, via a matrix of plant responses, \mathbf{G}_e to minimise the disturbance signals, $\mathbf{d}_e(n)$, and therefore, signals at the n_e th virtual error microphone, $e_{n_e}(n)$ can be written as [25]

$$e_{n_e}(n) = d_{en_e} + \sum_{n_u=1}^{N_u} \sum_{k=0}^{K-1} \sum_{n_x=1}^{N_x} \sum_{i=0}^{I-1} g_{e,n_e n_u k} \ w_{n_u n_x i} x_{n_x} (n-i-k),$$
(2)

⁸⁰ where the matrix of plant responses, \mathbf{G}_e , is described by Kth order FIR filters with arbitrary accuracy and the impluse response from the n_u th secondary source to the n_e th virtual error microphone is written as g_{e,n_en_uk} . Any feedback from the secondary sources to the reference sensors is assumed to be negligable, which can be ensured through the use of feedback cancellation filters, for example. When the $N_e N_u N_x$ filtered-reference signals for the error microphones are written as

$$r_{e,n_en_un_x}(n) = \sum_{k=0}^{K-1} g_{e,n_en_uk} \ x_{n_x}(n-k),$$
(3)

 Eq. (2) can be expressed by substituting Eq. (3) into Eq. (2) as

$$e_{n_e}(n) = d_{en_e} + \sum_{i=0}^{I-1} \boldsymbol{w}_i^{\mathrm{T}} \boldsymbol{r}_{en_e}(n-i),$$
(4)

where $\boldsymbol{w}_i = [w_{11i} \ w_{12i} \ \dots \ w_{1N_xi} \ w_{21i} \ w_{22i} \ \dots \ w_{N_uN_xi}]^{\mathrm{T}}$ and $\boldsymbol{r}_{en_e} = [r_{e,n_e11} \ r_{e,n_e12} \ \dots \ r_{e,n_e1N_x} \ r_{e,n_e21} \ r_{e,n_e22} \ \dots \ r_{e,n_eN_uN_x}]^{\mathrm{T}}$. Therefore, the vector of N_e error signals, $\boldsymbol{e}(n) = [e_1(n), e_2(n) \ \dots \ e_{N_e}(n)]^{\mathrm{T}}$ at the virtual error microphones can be expressed as

$$\boldsymbol{e}(n) = \boldsymbol{d}_e(n) + \boldsymbol{R}_e(n)\boldsymbol{w},\tag{5}$$

where

$$\boldsymbol{R}_{e}(n) = \begin{pmatrix} \boldsymbol{r}_{e1}^{\mathrm{T}}(n) & \boldsymbol{r}_{e1}^{\mathrm{T}}(n-1) & \cdots & \boldsymbol{r}_{e1}^{\mathrm{T}}(n-I+1) \\ \boldsymbol{r}_{e2}^{\mathrm{T}}(n) & \boldsymbol{r}_{e2}^{\mathrm{T}}(n-1) & \cdots & \boldsymbol{r}_{e2}^{\mathrm{T}}(n-I+1) \\ \vdots & \vdots & \ddots & \vdots \\ \boldsymbol{r}_{en_{e}}^{\mathrm{T}}(n) & \boldsymbol{r}_{en_{e}}^{\mathrm{T}}(n-1) & \cdots & \boldsymbol{r}_{en_{e}}^{\mathrm{T}}(n-I+1) \end{pmatrix},$$
(6)

and $\boldsymbol{w} = [\boldsymbol{w}_0^{\mathrm{T}} \ \boldsymbol{w}_1^{\mathrm{T}} \ \dots \ \boldsymbol{w}_{I-1}^{\mathrm{T}}]^{\mathrm{T}}$ which is a $N_u N_x I \times 1$ vector of control filter coefficients.

When the direct measurement of the virtual error signals, $\boldsymbol{e}(n)$ is infeasible, they can be estimated from a vector of N_m monitoring microphone signals, $\boldsymbol{m}(n) = [m_1(n), m_2(n) \dots m_{N_m}(n)]^{\mathrm{T}}$, which is equal to

$$\boldsymbol{m}(n) = \boldsymbol{d}_m(n) + \boldsymbol{R}_m(n)\boldsymbol{w},\tag{7}$$

where $d_m(n) = [d_{m1}(n), d_{m2}(n) \dots d_{mN_m}(n)]^{\mathrm{T}}$ is a vector of N_m disturbance signals at the monitoring microphones and $\mathbf{R}_m(n)$ has a similar form to $\mathbf{R}_e(n)$ in Eq. (6), except that the impluse response from the n_u th secondary source to the n_m th monitoring microphone, g_{m,n_mn_uk} , in the plant response, \mathbf{G}_m , is used in Eq. (3), instead of g_{e,n_en_uk} .

In practice, the true plant responses, \mathbf{G}_e and \mathbf{G}_m , are not usually available and therefore estimates of the plant responses are used in the controller, as denoted by $\hat{\mathbf{G}}_e$ and $\hat{\mathbf{G}}_m$. Similarly $\boldsymbol{d}_m(n)$ and $\boldsymbol{d}_e(n)$ are measured in practice as $\hat{\boldsymbol{d}}_m(n)$ and $\hat{\boldsymbol{d}}_e(n)$. If $\hat{\boldsymbol{O}}$ is the estimated observation filter used to calculate $\hat{\boldsymbol{d}}_e(n)$ from $\hat{\boldsymbol{d}}_m(n)$, then the estimated error signals at the virtual error microphones, $\hat{\boldsymbol{e}}(n)$, can be written as

$$\hat{\boldsymbol{e}}(n) = \hat{\boldsymbol{d}}_{e}(n) + \hat{\boldsymbol{R}}_{e}(n)\boldsymbol{w}(n) = \hat{\boldsymbol{O}}\hat{\boldsymbol{d}}_{m}'(n) + \hat{\boldsymbol{R}}_{e}(n)\boldsymbol{w} = \hat{\boldsymbol{O}}\{\boldsymbol{m}(n) - \hat{\boldsymbol{R}}_{m}(n)\boldsymbol{w}(n)\}' + \hat{\boldsymbol{R}}_{e}(n)\boldsymbol{w}, \qquad (8)$$

where the superscript $\hat{\mathbf{O}}$ represents an estimate of an actual value and $\hat{\mathbf{O}}$ is the matrix of observation filter coefficients in the time domain, which is defined as $\hat{\mathbf{O}} = [\mathbf{O}_1^T \ \mathbf{O}_2^T \ \dots \ \mathbf{O}_{N_e}^T]^T$ and $\mathbf{O}_{n_e} = [\mathbf{O}_{n_e1}^T \ \mathbf{O}_{n_e2}^T \ \dots \ \mathbf{O}_{n_eN_m}^T]^T$ and $\mathbf{O}_{n_en_m}$ is a vector of coefficients defining the observation filter between the n_m th monitoring microphone and the n_e th error microphone. This observation filter is modelled by an FIR filter with J coefficients and expressed as

$$\boldsymbol{O}_{n_e n_m} = [O_{n_e n_m 0} \ O_{n_e n_m 1} \ \dots \ O_{n_e n_m (J-1)}]^{\mathrm{T}},\tag{9}$$

and $\tilde{d}'_m(n)$ represents the $JN_m \times 1$ vector of estimated current and past disturbance signals at the monitoring microphones, which is defined as $\tilde{d}'_m(n) = [\tilde{d}'^{\mathrm{T}}_{m1}(n) \ \tilde{d}'^{\mathrm{T}}_{m2}(n) \ \dots \ \tilde{d}'^{\mathrm{T}}_{mN_m}(n)]^{\mathrm{T}}$ and $\tilde{d}'_{mn_m}(n) = [\hat{d}_{mn_m}(n) \ \hat{d}_{mn_m}(n-1) \ \dots \ \hat{d}_{mn_m}(n-J-1)]^{\mathrm{T}}$ and similarly $\{ \}'$ represents the $JN_m \times 1$ vector, which is built up from the J current and past samples corresponding to the the signals in the braces.

Since the active control system attempts to minimise the estimated error signals, $\hat{e}(n)$, the accuracy of the nearfield estimation using the observation filter, \hat{O} , can be an important factor in terms of the actual attenuation at the virtual error microphones. Previous research has shown that when the number of monitoring microphones (N_m) is larger than the number of virtual error microphones (N_e) , the problem is mathematically overdetermined and the optimal observation filter, O_{opt} , can be obtained using the derivation ¹¹⁵ detailed in Ref. [26] as

$$\boldsymbol{O}_{\text{opt}} = \left(\{ E[\boldsymbol{d}_{m}^{'}(n)\boldsymbol{d}_{m}^{'\mathrm{T}}(n) + \beta \mathbf{I}^{'}] \}^{-1} E[\boldsymbol{d}_{m}^{'}(n)\boldsymbol{d}_{e}^{\mathrm{T}}(n)] \right)^{\mathrm{T}},$$
(10)

where $d'_m(n)$ also represents the $JN_m \times 1$ vector of current and past monitoring microphone disturbance signals and $E[\]$ is the expectation operator and β is a positive real effort-weighting parameter to improve the robustness of the optimal observation filter, and \mathbf{I}' is the identity matrix having the same dimensions as $d'_m(n)d'^{\mathrm{T}}_m(n)$. The regularisation parameter, β , is chosen to give a reasonable trade-off between the accuracy with which the disturbance signals are estimated at the virtual microphone positions and the robustness of this estimate to small changes in these positions and to the locations of the primary sources. If $d'_m(n)$ and $d_e(n)$ are measured in preliminary experiments before active control and it is assumed that $d'_m(n)$ and $d_e(n)$ are known perfectly, O_{opt} can be calculated from Eq. (10) using the measured auto and cross correlation functions of these signals.

The causally constrained optimal controller, w_{opt} , can be derived by minimising the estimated error signals, \hat{e} at the virtual error microphones. In practice, however, it is also necessary to include a term in the cost function that is proportional to the effort required by the control filter to improve the robustness of this filter. Therefore, the cost function, J_1 can be written as

$$J_1 = trace \left\{ E\left[\hat{\boldsymbol{e}}(n) \ \hat{\boldsymbol{e}}^{\mathrm{T}}(n) + \rho \ \boldsymbol{w}\boldsymbol{w}^{\mathrm{T}} \right] \right\},\tag{11}$$

where ρ is a positive real effort-weighting parameter for the controller. To minimise this cost function, it is required that Eq. (8) is expressed in terms of w by substituting Eq. (7) into Eq. (8) to give

$$\hat{\boldsymbol{e}}(n) = \hat{\boldsymbol{O}}\boldsymbol{d}_{m}'(n) + [\hat{\boldsymbol{O}}\Delta\boldsymbol{R}_{m}'(n) + \hat{\boldsymbol{R}}_{e}(n)]\boldsymbol{w},$$
(12)

where $\Delta \mathbf{R}'_m(n) \mathbf{w} = \{ [\mathbf{R}_m(n) - \hat{\mathbf{R}}_m(n)] \mathbf{w} \}'$. Therefore, the causally constrained optimal controller, \mathbf{w}_{opt} , with the optimal observation filter, \mathbf{O}_{opt} , can be given by [25, 26]

$$\boldsymbol{w}_{\text{opt}} = -\{E[\boldsymbol{R}^{\text{T}}(n)\boldsymbol{R}(n) + \rho \mathbf{I}]\}^{-1}E[\boldsymbol{R}^{\text{T}}(n)\boldsymbol{O}_{\text{opt}}\boldsymbol{d}_{m}^{'}(n)],$$
(13)

where $\mathbf{R}(n) = [\mathbf{O}_{opt}\Delta \mathbf{R}'_m(n) + \hat{\mathbf{R}}_e(n)]$ and \mathbf{I} is the identity matrix having the same dimensions as $\mathbf{R}^{\mathrm{T}}(n)\mathbf{R}(n)$.

2.2. Optimal feedforward active control using the delayed remote microphone technique

When the monitoring microphones can detect the disturbance signals in advance of the virtual error microphones, the causality between the virtual error microphones and the monitoring microphones can be maintained and the standard remote microphone technique in the previous section can be applied to estimate the virtual error signals accurately. However, when the disturbance signals arrive at the virtual error microphones earlier than at the monitoring microphones, due to the relative geometry of the virtual error microphones, the monitoring microphones and the primary sources, the causality between the virtual error and monitoring microphones would be significantly degraded. This is because the estimation of 'future' random signals at the virtual error microphones from the monitoring microphones may not be achievable.

To overcome this limitation, an improved remote microphone technique called the 'delayed' remote microphone technique, suggested in [23], is applied to the feedforward active control algorithm, as shown in Fig. 2. In the delayed remote microphone technique, the vector of N_m monitoring microphone signals, $\boldsymbol{m}(n)$, is used to estimate the disturbance signals at the virtual error microphones with a delay of Δ samples, $\boldsymbol{d}_e(n-\Delta)$ with the delayed optimal observation filter, $\boldsymbol{O}_{\text{opt,D}}$ which is given by

$$\boldsymbol{O}_{\text{opt,D}} = \left(\{ E[\boldsymbol{d}_{m}^{'}(n)\boldsymbol{d}_{m}^{'\mathrm{T}}(n) + \beta \mathbf{I}^{'}] \}^{-1} E[\boldsymbol{d}_{m}^{'}(n)\boldsymbol{d}_{e}^{\mathrm{T}}(n-\Delta)] \right)^{\mathrm{T}}.$$
(14)

By minimising the cost function $J_2 = trace \left\{ E \left[\hat{\boldsymbol{e}}(n-\Delta) \; \hat{\boldsymbol{e}}^{\mathrm{T}}(n-\Delta) + \rho \; \boldsymbol{w} \boldsymbol{w}^{\mathrm{T}} \right] \right\}$, the causally con-



Figure 2: Block diagram of the feedforward active control algorithm, combined with the delayed remote microphone technique in a dashed dot rectangle.

strained optimal controller with the delayed remote microphone technique, $w_{\rm opt,D}$ is given by

$$\boldsymbol{w}_{\text{opt,D}} = -\{E[\boldsymbol{R}_{\text{D}}^{\text{T}}(n)\boldsymbol{R}_{\text{D}}(n) + \rho \mathbf{I}]\}^{-1}E[\boldsymbol{R}_{\text{D}}^{\text{T}}(n)\boldsymbol{O}_{\text{opt,D}}\boldsymbol{d}_{m}^{'}(n)],$$
(15)

where $\mathbf{R}_{\mathrm{D}}(n) = [\mathbf{O}_{\mathrm{opt,D}}\Delta \mathbf{R}'_m(n) + \hat{\mathbf{R}}_e(n-\Delta)]$. When the disturbance signals from the primary sources are stationary, $\mathbf{w}_{\mathrm{opt,D}}$ is almost identical to $\mathbf{w}_{\mathrm{opt}}$ and active control at the virtual error microphones can still be achieved. The selection of Δ in practice is discussed in the following section.

3. Remote microphone technique inside a vehicle

3.1. Experimental arrangement

To estimate the effect of local active sound control with the remote microphone technique in a vehicle, an active headrest system with a dummy head was installed at the rear right seat of a large SUV, as shown in Fig. 3. In addition, 16 monitoring microphones were installed around the dummy head and Fig. 3 also shows how these 16 monitoring microphones, located at different positions in the vehicle cabin, are numbered for reference. The interior noise at the monitoring microphones and the virtual error microphones at the ears of the dummy head were measured when the car was driven over a rough road at 80 kph, and then used to calculate the observation filters and to analyse the accuracy of the standard and delayed remote microphone techniques. To compare the estimated disturbance signals at the virtual error microphones with the actual disturbance signals, two microphones in the ears of the dummy head were used in these measurements as the physical error sensors. The sampling frequency was 3 kHz and a series of experiments was conducted when the dummy head was located at 7 different positions on a 5×4 grid of points spaced 5 cm apart, as shown in Fig. 4, to investigate the potential accuracy of the remote microphone technique and the achievable attenuation of the active headrest system when a listener's head is moved.

3.2. Nearfield estimation of interior noise at desired positions using arrays of monitoring microphones

To conduct the nearfield estimation of interior noise at the ears of the dummy head, the optimal observation filters for the standard and delayed remote microphone techniques were calculated using Eq. (10) and Eq. (14) using the auto and cross correlation functions calculated from the measured disturbance signals. The normalised mean squared estimation error level at a single virtual error microphone, L_{ϵ} , can be defined as

$$L_{\epsilon} = 10 \log_{10} \left| \frac{S_{\epsilon\epsilon}}{S_{d_e d_e}} \right|,\tag{16}$$



Figure 3: The overall installation for the remote microphone technique and local active sound control experiments when interior road noise is measured at the rear right seat of a large SUV using 16 potential positions for monitoring microphones around the dummy head and two error microphones in the ears of a dummy head. Eight potential monitoring microphones are positioned on the headrest and ceiling (a), four monitoring microphones on the rear right seat (b) and four monitoring microphones on the front right seat (c).



Figure 4: Location of the different head positions on the measurement grid.

where $\epsilon(n)$ is defined as $d_e(n) - \hat{d}_e(n)$ at a single virtual microphone location and $S_{\epsilon\epsilon}$ and $S_{d_ed_e}$ are the power spectral densities (PSDs) for $\epsilon(n)$ and $d_e(n)$, respectively.

Fig. 5 shows examples of the nearfield estimation error when different sets of monitoring microphones are selected from the overall array in Fig. 3. The causally constrained optimal observation filters were implemented using FIR filters with J = 256 filter coefficients and the dummy head was located in the nominal position 'A', as shown in Fig. 4. For the delayed remote microphone technique, a delay of $\Delta = 15$ samples was included, which was a sufficient delay to allow important non-causal components of responses to be included. This delay corresponds to a sound propagation distance of about 1.7 m. To provide a benchmark for the best possible estimation accuracy with this microphone arrangement, the nearfield estimation was also conducted for a non-causal optimal observation filter in the frequency domain, as suggested by [26]. No regularisation factors were required for the observation filters for the results in Fig. 5, because they were effectively already introduced as a result of the measurement uncertainty and the condition number of inverted terms in the observation filters were already sufficiently small. It can be seen that although the nearfield estimation error is variable due to the complexity of the acoustic field, the error generally increases as the frequency increases. As expected, the best nearfield estimation is achieved with the noncausal frequency domain filters, which do not enforce any constraints on the causality or the number of filter coefficients, but the causal filters do achieve a similar accurate to the non-causal filter.

When all the 16 monitoring microphones were selected for the estimation, the result in Fig. 5 (b) shows that using the remote microphone techniques, the disturbance signals at the right ear can be estimated with less than -10 dB estimation error up to around 800 Hz, except at certain frequencies but the nearfield estimation error at the left ear in Fig. 5 (a) is generally higher than at the right ear. This is thought to be because sound waves directly propagated from the rear right wheel of the vehicle are dominantly measured at the right ear and the monitoring microphones. Lower correlation between the rear right wheel and the left ear is produced due to the further distance between the rear right wheel and the left ear and the scattering effect of the dummy head. The eight monitoring microphones used for the results in Fig. 5(c) and (d) were selected from the 16 monitoring microphones through an exhaustive search to give the best performance and it was found that the best positions were with four microphones $(\#1\sim\#4)$ on and around the headrest and four microphones $(\#13\sim\#16)$ above and both sides of the dummy head. In a similar way, only four monitoring microphones were selected using an exhaustive search with the results shown in Fig. 5(e) and (f) as two microphones (#1 and #2) on the headrest and two microphones (#13 and #14) above and in the right of the dummy head. The results in Fig. $5(c)\sim(f)$ show that although the nearfield estimation error is degraded by the use of a smaller number of monitoring microphones, -10 dB estimation error is achieved up to about 400 Hz even with only 4 monitoring microphones. These results indicate that the remote microphone technique for the active headrest system can achieve accurate nearfield estimation for active sound control at low frequencies with a small number of monitoring microphones, but at higher frequencies, larger number of monitoring microphones are necessary to allow good attenuation.

It can also be seen in Fig. 5 that somewhat better nearfield estimation with the delayed remote mi-



Figure 5: The nearfield estimation error of the remote microphone technique (RMT), calculated from data measured on a rough road at 80 kph when different arrays of monitoring microphones are selected from the array in Fig. 3 to estimate the interior road noise at the ears of the dummy head: (a) and (b) all 16 monitoring microphones, (c) and (d) 8 monitoring microphones $\#1\sim\#4$, $\#13\sim\#16$ and (e) and (f) 4 monitoring microphones #1, #2, #13, #14.

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crophone technique is achieved, compared to the standard remote microphone. This is probably because in the vehicle cabin, important non-causal components are present, due to both the complex distribution 210 of primary source excitations and the reverberant nature of the cabin. The effect of the delayed remote microphone technique can also be investigated by comparing the impulse responses of the observation filters with those calculated using the standard method in the frequency domain. These responses are shown in Fig. 6 for one of the 32 impulse responses, O_{11} , calculated when all 16 potential monitoring microphones are used to estimate the disturbance at the right ear of the dummy head. In Fig. 6(a), it can be seen that 215 the impulse response corresponding to the causally constrained time domain filter calculated using the standard remote microphone technique is similar to the causal part of the inverse Fourier transformed optimal observation filter calculated in the frequency domain but the non-causal part of this response is not present in the causally constrained filter. In Fig. 6(b), however, it can be seen that by using the delayed remote microphone technique, the important non-causal part of the response is included in the impulse response, 220 which results in the improved nearfield estimation.

When the head position of a listener is changed, pre-calculated optimal observation filters can be updated by position information from an optical head tracking device, as suggested by [21, 22]. Therefore, the availability of the remote microphone technique for different head positions is investigated as the dummy head is located at either position 'E' or 'G' in Fig. 4 and the nearfield estimation error with the overall 16 monitoring microphones is calculated as shown in Fig. 7. By comparing Fig. 7 with Fig. 5, it can be seen that although the dummy head is moved around 14 cm away from the nominal position, the accuracy of the nearfield estimation can be maintained with fixed monitoring microphones installed around the dummy head.

230 4. Active control of road noise inside a vehicle

4.1. Attenuation performance of the mutichannel active headrest system

In the previous section, the accuracy of the remote microphone technique (RMT) in the vehicle has been investigated. It is also interesting to predict the potential attenuation performance of the active system in a real vehicle cabin. Therefore, this section presents off-line analysis results of the attenuation performance obtained with the same installation used in previous section. The plant responses between 235 two loudspeakers mounted in the active headrest system and the monitoring and error microphones, \mathbf{G}_m and \mathbf{G}_{e} , have been measured and were modelled by K = 64th order FIR filters. Reference signals from eight sensors installed around the four wheels were simultaneously measured along with interior disturbance signals when the car was driven at 80 kph on a rough road. For simplicity of calculation, it is assumed that the true plant responses, \mathbf{G}_m and \mathbf{G}_e are identical to the estimated plant responses, $\hat{\mathbf{G}}_e$ and $\hat{\mathbf{G}}_m$, 240 resulting in $\mathbf{R}(n) = \mathbf{R}_e(n)$ in Eq. (13) and $\mathbf{R}_D(n) = \mathbf{R}_e(n-\Delta)$ in Eq. (15). With the pre-calculated optimal observation filters presented in the previous section, the optimal controllers, which were modelled using the I = 2,048th order FIR filters, were calculated using Eq. (13) and Eq. (15). As a benchmark for the best possible performance of the causal controller with this arrangement, a controller was also calculated using disturbance signals from the dummy head microphones directly and using $d_e(n)$ in Eq. (13), instead 245 of $\boldsymbol{O}_{\mathrm{opt}}\boldsymbol{d}_{m}^{'}(n)$.

To find a suitable regularisation factor, ρ in Eq. (13) and Eq. (15), the averaged attenuation levels up to 1 kHz for different regularisation factors have been calculated from the sums of the power spectral densities (PSDs) of the signals at each physical error microphone in the dummy head before and after control, as

²⁵⁰ shown in Fig. 8. It can be seen that with smaller regularisation factors, below 10^{-3} , the attenuation level is negative, i.e. an enhancement is predicted, since the inverted term in Eq. (13) and Eq. (15) is very sensitive to numerical uncertainties and with larger regularisation factors, above 10^{-3} , the attenuation level is reduced due to the biased solution.

With a regularisation factor of $\rho = 10^{-3}$, the optimal performance of the three causal controllers (the controller with direct measurement from the physical error microphones, the controller with the standard RMT and the controller with the delayed RMT) has been calculated, using the A-weighted PSDs of the signals at the headrest error microphones, using the plant responses, the interior road noise signals measured



Figure 6: Comparison of the impulse response of O_{11} among the FIR filters of the causal constrained time filter with the inverse Fourier transformed O_{11} , which is among responses of the non-causal optimal observation filter in the frequency domain when the 16 monitoring microphones were used to estimate disturbance signals at the right ear of the dummy head when driven at 80 kph on a rough road: (a) the standard remote microphone technique, (b) the delayed remote microphone technique.



Figure 7: The nearfield estimation error for different head positions in Fig. 4, calculated from data measured on a rough road at 80 kph when 16 monitoring microphones are used: (a) and (b) head position 'E' and (c) and (d) head position 'G'.



Figure 8: Averaged attenuation levels for different regularisation factors, ρ in Eq. (13) and Eq. (15), obtained from the sums of the PSDs of signals at the physical error microphones when disturbance signals at the dummy head on the position 'A' of the grid and reference signals are measured on a rough road surface at 80 kph and the active headrest system with two secondary loudspeaker is used to reduce noise.

at the 16 monitoring microphones and two error microphones, and reference sensor signals when the car is driven at 80 kph on a rough road surface. In addition, the optimal performance of a non-causal controller using the physical error microphones has been calculated from the frequency responses with the same installation, using the solution given in [25, 26]. Previous results have shown that the optimal attenuation of the non-causal controller for feedforward active control has an upper lmit determined by the multiple coherence function, γ^2 , between the reference sensors and the error sensors as [25]

$$Att = 10 \log_{10} (1 - \gamma^2).$$
(17)

The uncontrolled and controlled disturbances are presented in Fig. 9. It can be seen in Fig. 9(a) and (b) that the causal controller with the physical error microphones can effectively attenuate several peaks of broadband interior noise up to 1 kHz with a maximum reduction at 747 Hz of 8.6 dB at the right ear and an average reduction of around 3.7 dB which is similar to that of the non-causal controller, although attenuation levels with these controllers at discrete frequency ranges are different from other. Fig. 9(c) and (d) show that the causal controllers with the different RMTs can also reduce the broadband interior noise with a similar level of attenuation to that achieved by the controllers with the physical error microphones in Fig. 9(a) and (b) because the virtual error signals are quite accurately estimated using the observation filters in Fig. 5(a) and (b). Although the delayed RMT provides more accurate nearfield estimation, compared to the standard RMT in Fig. 5(a) and (b), the attenuation performance with these two RMT methods are similar to each other because the performance in this case is limited by the coherence between the reference and disturbance signals, rather than the errors inherent in the RMT estimation. In other experiments, it was found that when the coherence between the reference and disturbance signals is greater, there is a clear difference in the active control performance with the standard and delayed RMT.

4.2. Effects of time delays and head movements on performance

For the practical application of the active headrest system, the effect of processing delays should be considered because although delays from the digital-to-analogue and analogue-to-digital converters (DAC and ADC) and the anti-aliasing and reconstruction filters were already included in the measured signals and transfer responses, in a real-time system additional delays can be generated by the processing time needed to calculate the control signals. Previous research has shown that the attenuation performance can be degraded by these delays [6, 8, 27]. The effect of the delays on the overall reduction of the active headrest system with the physical error microphones is shown in Fig. 10. It can be seen that as the delay is increased, the



Figure 9: A-weighted PSDs of the signals at the left and right error microphones of the dummy head before and after control, $S_{d_e d_e}$. Simulations of control calculated using the plant responses, the interior road noise signals measured at the 16 monitoring microphones and two error microphones, and reference sensor signals when the car is driven at 80 kph on a rough road surface: (a) and (b) Predicted performances assuming physical error sensors be used in the ears of the dummy head. The uncontrolled disturbances (dot-dashed lines), the controlled disturbances by the causal time filter with the physical error microphones (solid lines) and the controlled disturbances by the non-causal frequency filter with the physical error microphones (dotted lines), (c) and (d) Predicted performances assuming the causal time filters for the standard and delayed RMT be used. The uncontrolled disturbances (dot-dashed lines), the controlled disturbances by the causal time filter with the standard RMT (solid lines) and the controlled disturbances by the causal time filter with the standard RMT (solid lines) and the controlled disturbances by the causal time filter with the delayed RMT (dotted lines).



Figure 10: Averaged attenuation levels when various delays are applied for the feedforward active headnest system.

attenuation performance is progressively decreased and delays of more than 10 ms only degrade attenuation performance by about 1 dB in this case. Conversely, if the inherent delays from DAC and ADC and the anti-aliasing and reconstruction filters are reduced, more time-advanced reference signals would be available, which can be modelled as a negative processing delay in Fig. 10, and the attenuation performance can thus be slightly improved.

In the previous section, when the position of the dummy head is changed, the nearfield estimation using the RMTs was investigated and the results have shown that when the optimal observation filter is updated to that for the corresponding head position, the performance of the nearfield estimation can be maintained with the fixed monitoring microphones. Similarly, when pre-modelled plant responses are updated for the perturbed head positions, the attenuation performance of the active headrest system is shown in Fig. 11. It can be seen that when the dummy head is moved to position 'E' or 'G' in Fig. 4, the overall attenuation up to 1 kHz is similar to that achieved at position 'A'. The attenuation with the Delayed RMT is similar to that achieved with the physical error microphones, due to the accurate nearfield estimation, as seen in the previous section. From these results, it is clear that as the head-tracking system updates the pre-modelled observation filters and plant responses, the controlled zones of the active headrest system can move with the ear positions as if the user was wearing active sound control headphones.

5. Conclusion

Local active sound control with an active headrest system may be an effective method to reduce broadband random road noise in a vehicle cabin at frequencies higher than can be controlled with a global active control system, using more distributed loudspeakers and microphones. Since the direct measurement of the error signals at the ears of a listener is not practical, we have investigated the potential accuracy of the remote microphone technique in this application, to estimate the error signals at the ear positions. The potential performance of the active headrest system in controlling random road noise has also been investigated, using the remote microphone technique. The optimal observation filter for the remote microphone technique, and the corresponding optimal controller with a causality constraint, have been formulated in the time domain, to sense and control broadband random disturbances. To improve the causality of the remote microphone technique, a formulation for the delayed remote microphone technique has also been used to estimate the delayed signals at the virtual error microphones, and the optimal controller with this technique has also been formulated.

The performance of the remote microphone technique in the vehicle cabin has been investigated by processing the disturbance signals measured at 16 monitoring microphones around the cabin and two error



Figure 11: The A-weighted PSDs of signals at the left and right error microphones for different head positions in Fig. 4 : (a) and (b) head position 'E' and (c) and (d) head position 'G'.

microphones at the ears of a dummy head, when the car was driven over a rough road at 80 kph. The calculated nearfield estimation error between the actual and estimated disturbances at the error microphones has shown that with all 16 monitoring microphones, an estimation error of less than -10 dB is achieved up

to 800 Hz at the right ear whereas with only four monitoring microphones, this estimation error is only achieved up to 400 Hz. When the dummy head is moved to different positions, the results demonstrate that if a pre-modelled observation filter is updated using position information, from a head tracking device for example, the signals at the perturbed virtual error sensors can still be estimated using fixed monitoring sensors.

The performance of a multichannel feedforward active headrest system combined with the remote microphone technique has been predicted offline using disturbance signals at the monitoring and error microphones, plant responses and reference signals measured in the car. The causal controller achieves a broadband average reduction of around 3.7 dB up to 1 kHz and a maximum reduction of 8.6 dB is achieved at 747 Hz at the right ear. The attenuation performance with the remote microphone technique is similar to that obtained if the pressure at the ears of the dummy head used in these experiments were directly controlled, illustrating the potential for using remote monitoring microphones. When the dummy head is moved to different positions, the simulation results show that good attenuation at the ears can still be achieved by updating pre-modelled plant responses and observation filters if the position of the head is always known.

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Additional information

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340 **References**

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