

**Efficacy of a long-term home parenteral nutrition regimen containing fish oil-derived n-3 polyunsaturated fatty acids: A single-centre, randomized, double blind study.**

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## **Abstract**

### **Background**

Data on the use of lipid emulsions containing fish-oil (FO) derived n-3 polyunsaturated fatty acids (n-3 PUFAs) in addition to medium- and long-chain triglycerides (MCT/LCT) for long-term home parenteral nutrition (HPN) are limited. This study aimed to compare HPN regimens containing either MCT/LCT/FO-derived n-3 PUFAs (test group) or MCT/LCT (control group) with respect to efficacy and safety during 8 weeks of HPN using a non-inferiority trial design with change of body mass index (BMI) as primary endpoint.

### **Methods**

This prospective, randomized, double-blind study was conducted at the Charité, Berlin, Germany, from 02/2008 until 01/2014. Adult patients (n = 42; aged 18 to 80 years) requiring HPN for at least 8 weeks were randomly assigned to the test or control group. Assessments included weight, height, physical examination (cardiovascular system, abdomen, respiratory tract, liver, spleen, kidney, urine tract, skin, mucous membrane, neurology, psyche, musculoskeletal system, lymph nodes), bio impedance analysis, calorimetry, blood samplings (haematology, biochemistry, fatty acid analysis) and quality of life questionnaire.

### **Results**

BMI increased in both groups with 8 weeks of HPN ( $\Delta\text{BMI}_{(\text{test group})} = 1.3 \pm 1.1 \text{ kg/m}^2$ ;  $\Delta\text{BMI}_{(\text{control group})} = 0.6 \pm 0.9 \text{ kg/m}^2$ ) demonstrating non-inferiority of the test regimen regarding nutritional efficacy. Assessment of secondary efficacy endpoints revealed that after 8 weeks of HPN with the test regimen, the proportion of n-3 PUFAs in serum, platelet and red blood cell phospholipids significantly increased, while the proportion of n-6 PUFAs decreased. The fatty acid pattern in the control group remained mostly stable. No statistically

significant differences were detected between groups regarding inflammatory markers or quality of life. Laboratory parameters reflecting the safety endpoints liver function, bone metabolism, renal function, metabolic activity, lipid metabolism, coagulation and haematology were stable in both groups and no group differences were detected regarding (serious) adverse events.

## **Conclusions**

The HPN regimen prepared with MCT/LCT/FO-derived n-3 PUFAs was at least as efficient in maintaining or even improving nutritional status during HPN as the control MCT/LCT regimen. Administration of FO-derived n-3 PUFAs for 8 weeks altered the fatty acid pattern of serum, platelet and red blood cell phospholipids. Both regimens were safe and well tolerated.

## **Trial registration**

[www.clinicaltrials.gov](http://www.clinicaltrials.gov), registration number: NCT00530738

## **Keywords**

Home parenteral nutrition, lipid emulsion, n-3 polyunsaturated fatty acids, fish oil

## Introduction

Home parenteral nutrition (HPN) was first introduced in the early 1970s and is nowadays an established therapy in the home care setting in western countries, as morbidity and mortality associated with HPN are low [1]. HPN aims to provide adequate amounts of amino acids, glucose, lipids, electrolytes and water in order to prevent malnutrition in patients requiring long-term parenteral nutrition (PN) due to prolonged gastro-intestinal tract failure [1, 2]. As prolonged malnutrition leads to weight loss, reduction of quality of life, increase in morbidity and mortality, and is associated with poor clinical outcome due to slow wound healing or impaired immune response [3–5], HPN intends to improve the patient's clinical prognosis and quality of life.

During the last decade it has been recognized that lipid emulsions administered as part of the PN regimen not only function as a source of energy: lipid emulsions provide physiologically active polyunsaturated fatty acids (PUFAs), namely n-6 PUFAs and n-3 PUFAs. These PUFAs are incorporated into phospholipids of serum and cellular membranes and are metabolized into bioactive mediators [6]. Mediators derived from the n-6 PUFA arachidonic acid (2-series prostaglandins and thromboxanes, 4-series leukotrienes) generally exert pro-inflammatory effects, while n-3 PUFAs are converted into far less inflammatory mediators (3-series prostaglandins and thromboxanes, 5-series leukotrienes) and even to mediators that are anti-inflammatory and inflammation resolving (e.g. resolvins, protectins, maresins) (reviewed in [6–8]). Depending on their content of n-6 PUFAs and n-3 PUFAs, lipid emulsions can thus exert influence on inflammatory and immune functions [9, 10].

Several lipid emulsions are available that differ in terms of lipid composition [11]. Lipid emulsions derived from soya-bean oil deliver long-chain triglycerides (LCT) and are rich in n-6 PUFAs (mainly linoleic acid, the precursor of arachidonic acid). Those based on soya-bean and coconut oil deliver medium- and long-chain triglycerides (MCT/LCT) and have a

reduced content of n-6 PUFAs compared with soya-bean oil. Lipid emulsions based on soya-bean oil, coconut oil and oil from cold-water fish (i.e. fish oil; FO) deliver a mixture of MCT, LCT and FO-derived n-3 PUFAs and have a reduced content of n-6 PUFAs while being rich in n-3 PUFAs.

The safety of parenteral administration of FO containing lipid emulsions has been established in several clinical trials and beneficial effects of FO supplementation including modulation of inflammatory markers, reduced length of hospital stay as well as reduced infectious morbidity have been shown for surgical patients [15–22], as reviewed in [23]. Concerns have been raised regarding an increased risk of bleeding due to the administration of n-3 PUFAs, based on early observations in the Greenland Inuit population which indicated a longer bleeding time associated with high consumption of fish [12]. However, clinical trials found no evidence for an increased risk of bleeding upon n-3 PUFA administration [13, 14].

Data on efficacy and safety of FO containing regimens during long-term PN in the home-care setting are limited [1]. Indeed, a recent systematic review [24] identified only one randomised controlled trial of FO-containing HPN in adult patients which indicated that long-term administration of n-3 PUFAs in the setting of HPN was safe for a period of four weeks and led to an increased n-3/n-6 PUFA ratio in plasma and red blood cells [25].

The current clinical trial extended the study duration and for the first time a period of eight weeks of HPN with n-3 PUFAs was assessed. The trial was designed to show non-inferiority of an HPN regimen prepared with a MCT/LCT/FO-derived n-3 PUFA containing lipid emulsion as compared to a conventional HPN regimen without FO-derived n-3 PUFAs with respect to nutritional efficacy (primary endpoint: change of body mass index (BMI) after 8 weeks of HPN). Secondary endpoints of this clinical trial covered safety parameters and assessed potential beneficial effects of such a regimen on quality of life and body composition

133 as compared to a conventional HPN regimen. The aim of this study was to provide evidence  
134 that an eight week treatment with HPN containing FO-derived n-3 PUFAs is as efficient and  
135 safe as HPN without n-3 PUFAs.

## **Materials and Methods**

### *Study design*

This was a prospective randomized, double-blind, single centre Phase-IV-study with two parallel groups. It was conducted at the Department of Surgery at the Charité, Berlin, Germany, from February 2008 until January 2014 in accordance with the principles of the Declaration of Helsinki and requirements of Good Clinical Practice. The conduct of the study was approved by the German Federal Institute for Drug and Medical Devices (BfArM) and approval was provided by the Ethic Committee of Berlin (LAGeSo). Informed consent was obtained from all participating patients prior to any study procedure. This study was sponsored by B. Braun Melsungen AG, Germany. A populated CONSORT checklist is provided as Additional file 1.

### *Patient population*

Male and female patients aged between 18 and 80 years in need of long-term HPN for at least 8 weeks recruited from the ambulatory nutritional service at the Department of Surgery at the Charité, Berlin, Germany, were considered for study participation. Eligible patients had insufficient absorption capacity not compensable by enteral nutrition (EN), and were mentally and physically capable of adhering to study procedures. Patients with contraindications for parenteral nutrition and infusion therapy were excluded from study participation as well as patients suffering from (severe) sepsis, septic shock, autoimmune disease, hemodynamic failure of any origin, alterations of coagulation, ketoacidosis within 7 days prior to enrolment, renal insufficiency, severe liver dysfunction, lipid disorders, or necrotizing pancreatitis. Further reasons for exclusion were hypersensitivity to egg-, soya-, and fish proteins or any of the ingredients of the test or reference investigational products, pregnancy and lactation, known or suspected drug abuse and participation in another clinical trial.



## *Nutritional regimen*

HPN regimens were either prepared using the test lipid emulsion (MCT/LCT/FO-derived n-3 PUFAs; Lipidem<sup>®</sup> 20%) or the reference lipid emulsion (MCT/LCT; Lipofundin<sup>®</sup> MCT 20 %). Test and reference lipid emulsions only differed in terms of lipid composition: the test lipid emulsion contained MCT, LCT and FO-derived n-3 PUFAs in a ratio of 5:4:1, while the reference lipid emulsion contained MCT and LCT in a 1:1 ratio. The test lipid emulsion contains  $3.69 \pm 0.14$  weight % and  $2.53 \pm 0.14$  weight % of the n-3 PUFAs eicosapentaenoic acid and docosahexaenoic acid. [26]. For regimen preparation, test or reference lipid emulsion (bottles of 500 mL, composition see Table 1) as well as vitamins and trace elements (according to individual needs) were added to a NuTRIflex<sup>®</sup> plus 2-chamber bag (1500 ml, 1190 kcal, containing amino acids and glucose) via a transfer set. In line with the routine of the investigator, i.e. the treating physician, 44.5 % of caloric intake was provided in the form of lipids. HPN-patients were trained in preparing the all-in-one admixture and administered the PN regimen as a continuous infusion overnight via a central venous catheter. Depending on individual caloric requirements (calculated based on the results of indirect calorimetry performed during the baseline visit (BL) or based on estimated needs of about 25 to 35 kcal/kg/day), PN treatment was administered on 4 to 6 nights per week in order to cover at least 70 % of caloric needs. Both PN regimens contained sufficient soya-bean oil to cover essential fatty acid requirements [1]. Patients were randomly assigned to either the test group (receiving MCT/LCT/FO-derived n-3 PUFAs) or to the control group (receiving MCT/LCT) in a 1:1 ratio according to a randomisation list (chronological enrolment number corresponding to a random number) prepared by an independent statistician prior study start using a permuted block design with varying block size of 2, 4 and 6. Test and reference lipid emulsions were labelled at the manufacturing site. To assure blinding of study participants, investigators and staff involved in this study, labels displayed only the patient's random

number and the content as '20 % lipid emulsion'. After a blind data review meeting and subsequent data base lock, data was unblinded for statistical analysis.

**Table 1**

*Investigations*

Study related investigations were performed during a baseline visit (Day 1, BL), 4 weeks  $\pm$  5 days (V1) and 8 weeks  $\pm$  5 days (V2) after study start. BMI, used to calculate the primary endpoint  $\Delta$ BMI, was determined at BL, V1 and V2 based on patient's weight (weighing in underwear with the same scale at all time points) and patient's height (measured according to clinical routine) using the formula:  $BMI = (\text{weight [kg]} / (\text{height [m]})^2$ . In addition, the following secondary efficacy endpoints were determined at BL, V1 and V2: bio impedance analysis (BIA, equipment: Data Input GmbH), fatty acid pattern in erythrocytes, platelets and serum phospholipids, markers for inflammatory state (IL-6, IL-10, TNF-alpha, CRP), and quality of life questionnaire (EORTC QLQ-C-30, Version 3.0; scores calculated according to EORTC scoring guidelines). For organizational reasons (no successor found for the person in charge of blood sample processing on the ward), fatty acid pattern was assessed for a subset of patients only.

Routine laboratory parameters were determined at BL, V1 and V2 to assess the following safety endpoints: liver function (Alanine Transaminase, Aspartate Transaminase,  $\gamma$ -Glutamyl-Transferase, Alkaline Phosphatase and Bilirubin), bone metabolism (Ostase), renal function (Creatinine and Urea), metabolic activity (Albumin, Lactate, Glucose, pH, Sodium, Potassium, Calcium, Magnesium and Chloride), lipid metabolism (Triglycerides, Total cholesterol, HDL cholesterol, LDL cholesterol, Vitamin E), coagulation (activated Partial Thromboplastin Time, Prothrombin Time, platelet count) and haematology (Leukocyte count, Erythrocyte count, Haematocrit, Haemoglobin, Transferrin). Blood samples were collected

and processed according to routine procedures at the Charité laboratory. (Serious) adverse events ((S)AEs) were recorded continuously throughout the study.

Furthermore, physical examination of cardiovascular system, abdomen, respiratory tract, liver and spleen, kidney and urine tract, skin and mucous membrane, neurology and psyche, musculoskeletal system and lymph nodes was performed, and vital signs were assessed.

All investigations except fatty acid pattern were part of the routine assessment at the trial site. Fatty acid patterns were determined at the Faculty of Medicine, University of Southampton, according to established methods of fatty acid extraction, fatty acid methyl ester (FAME) formation and FAME separation using gas chromatography (for details see [27, 28]). FAME were detected by flame ionization detection and identified by comparison with run times of authentic standards. Peak areas and the percentage contribution of each peak to the total were calculated.

### *Statistics*

This study was designed to show non-inferiority of the test lipid emulsion regarding the primary endpoint ‘difference of BMI between V2 and BL’ ( $\Delta\text{BMI}$ ). The non-inferiority margin for the treatment difference  $\Delta\text{BMI}_{\text{test}} - \Delta\text{BMI}_{\text{control}}$  was defined as  $-1.1 \text{ kg/m}^2$  based on the following assumptions:

- For patients considered for study participation, cachexia is one of the most life threatening risks and maintenance of BMI ( $\Delta\text{BMI}_{\text{test}} = 0$ ) is a clinical success.
- Based on routinely generated data in everyday practice at the study site, BMI increase over 8 weeks HPN support in adults was expected to be  $1.1 \text{ kg/m}^2$  (i.e.  $\Delta\text{BMI}_{\text{control}} = 1.1 \text{ kg/m}^2$ ).

Sample size was initially calculated based on routinely generated data in everyday practice at the study site indicating an average BMI increase over 8 weeks of  $1.1 \text{ kg/m}^2$  with a standard deviation  $\sigma$  of  $1.57 \text{ kg/m}^2$  (significance level  $\alpha = 0.025$ , power  $1-\beta = 0.80$ ). Considering a drop out rate of 10 %, sample size was determined to be 74, i.e. 37 patients per group. In the course of this study, the standard deviation of BMI increase was adjusted to  $\sigma = 1.07 \text{ kg/m}^2$  based on the evaluation of data originating from the pilot phase [29], and sample size calculation was amended accordingly resulting in 32 completely evaluable subjects. Considering a drop out rate of 30 % as experienced in the pilot phase, sample size was determined to be 42, i.e. 21 patients per group. As the Per Protocol population (PP) is the more conservative patient population in non-inferiority trials, the primary endpoint,  $\Delta\text{BMI}$ , was assessed by PP (i.e. all eligible patients without major protocol violations) with a one-sided 97.5% confidence interval (CI) using a parametric one-sided t-test. Non-inferiority was postulated if the lower bound of this confidence interval was above  $-1.1 \text{ kg/m}^2$ . In addition, analysis of the primary endpoint in the Full-analysis-set (FAS), comprising all patients who got the treatment at least once and a statement regarding the primary endpoint is possible, was planned. In this study, PP and FAS comprised the same patients and PP and FAS were therefore identical.

Demographic and anamnestic parameters were analysed in the Intent-to-treat population (ITT, all patients who received study medication at least once) and in the PP population. Statistical analyses of secondary efficacy parameters were performed by PP and FAS. Safety parameters were assessed in the ITT population only. Depending on the type of variable, Mann-Whitney or Kruskal-Wallis test (ordered categorical counts, non-paired data), Wilcoxon or Friedman test (ordered categorical counts, paired data), exact Fisher test (dichotomous variables), Pearson chi-square test (categorical character with more than two categories), or McNemar test (paired categorical data) were used. Data are presented as mean  $\pm$  standard deviation

256 (SD). Means were compared via the 2-sample t-test or analysis of variance (ANOVA) as  
257 appropriate and the significance level was defined as 5 %.

## Results

### *Study population*

A total of 43 adult patients requiring HPN for at least 8 weeks, recruited from the ambulatory nutritional service at the University Hospital of the Charité Berlin, were screened for study participation. 42 patients were eligible and randomly assigned to receive either the test lipid emulsion (MCT/LCT/FO-derived n-3 PUFAs as Lipidem<sup>®</sup>, test group) or the reference lipid emulsion (MCT/LCT as Lipofundin<sup>®</sup>, control group). A total of nine patients prematurely discontinued the study (n = 6 and n = 3 in test and control groups, respectively, see figure 1 for further details). ITT analyses comprised data of 42 patients (n = 21 in each treatment group) while PP analyses were based on data from 33 patients (n = 15 and n = 18 in the test and control group, respectively). FAS and PP were identical in this study.

### **Figure 1**

Test and control group were homogenous for most demographic and anamnestic parameters; only the proportion of patients that experienced diseases within three months prior to study start was significantly higher in the control group (see Table 2). Most patients had concomitant diseases and required concomitant medication.

### **Table 2**

#### *Extent of exposure, treatment compliance*

During the course of this study, the mean amount of lipid emulsion taken was  $36.4 \pm 11.4$  bottles in the test group and  $41.0 \pm 10.4$  bottles in the control group. As mean study duration was  $48.0 \pm 16.6$  days and  $59.1 \pm 14.6$  days in test and control group, respectively, this corresponded to a daily lipid intake of approximately 76 g in the test and 70 g in the control group. Patients in the test group therefore received about 7.6 g fractionated FO per day.

281 Treatment compliance, defined as ‘number of bottles of lipid emulsions used’/‘number of  
282 bottles of lipid emulsions prescribed’ was good and comparable between groups (90.9 % and  
283 94.8 % in test and control groups, respectively).

#### 284 *Efficacy of nutritional treatment*

285 BMI increased in both groups after 8 weeks of HPN ( $\Delta\text{BMI}_{(\text{test group})} = 1.3 \pm 1.1 \text{ kg/m}^2$  and  
286  $\Delta\text{BMI}_{(\text{control group})} = 0.6 \pm 0.9 \text{ kg/m}^2$ ) and analysis of treatment difference (mean difference  
287  $\Delta\text{BMI}(\text{test group}) - \Delta\text{BMI}(\text{control group}) = 0.63 \text{ kg/m}^2$ ) revealed that the lower margin of the  
288 97.5% CI ( $[-0.07; \infty]$ ) exceeded the pre-defined inferiority level of  $-1.1 \text{ kg/m}^2$ , indicating non-  
289 inferiority of the test lipid emulsion with respect to nutritional efficacy. BMI changes were  
290 neither correlated to the lipid emulsion assigned nor to the amount of lipid emulsion  
291 administered as revealed by covariance analyses.

292 BMI changes over the 8 weeks of HPN were based on a gain of body weight in both study  
293 groups ( $+ 3.7 \pm 3.1 \text{ kg}$  and  $+ 2.0 \pm 2.9 \text{ kg}$  in test and control groups, respectively) which was  
294 also reflected by a comparable increase of body cell mass (BCM) in the test and control  
295 groups as determined via BIA ( $\Delta\text{BCM}_{(\text{test group})} = 3.4 \pm 5.3 \%$ ,  $\Delta\text{BCM}_{(\text{control group})} = 3.2 \pm 7.7$   
296  $\%$ ). Covariance analyses revealed that weight gain was not correlated to the lipid emulsion  
297 assigned, the amount of lipid emulsion administered, weight loss within three month prior  
298 study start, or chemotherapy during the year before study start.

299 BMI increase and weight gain were more pronounced during the first 4 weeks of HPN: while  
300 body weight and BMI increased by  $4.2 \pm 3.9 \%$  and  $2.6 \pm 2.9 \%$  in test and control groups,  
301 respectively, during the first 4 weeks of HPN, BMI and body weight increased by  $1.5 \pm 3.0 \%$   
302 and  $0.6 \pm 4.2 \%$  in test and control groups, respectively, during the subsequent 4 weeks of  
303 HPN (see also Table 3). Weight gain during the first 4 weeks of HPN was correlated to the  
304 conduct of chemotherapy during the year before study start.

**Table 3**

*Influence of nutritional regimen on fatty acid pattern*

Lipid composition of erythrocytes, platelets and serum phospholipids was significantly altered after 8 weeks of administration of the test lipid emulsion. In the test group, the proportion of n-3 PUFAs (i. e. Eicosapentaenoic acid (EPA), Docosaheptaenoic acid (DHA) and Docosapentaenoic acid (DPA)) increased while the proportion of n-6 PUFAs (i.e. Linoleic Acid (LA), Arachidonic Acid (AA), Dihomo- $\gamma$ -linolenic acid (DGLA) and  $\gamma$ -Linolenic acid (GLA)) decreased in erythrocytes, platelets and serum phospholipids. In the control group, the proportion of n-3 PUFAs and n-6 PUFAs remained mostly stable (see Table 4). Significant treatment differences were detected for EPA, DHA and DPA in erythrocytes, platelets and serum phospholipids. Significant treatment differences for n-6 PUFAs were found in erythrocytes (AA, DGLA and GLA), platelets (LA, DGLA and GLA) and serum phospholipids (LA, AA).

**Table 4**

*Influence of nutritional regimen on inflammatory parameters*

IL-10 and TNF- $\alpha$  values were within the reference range at baseline and stayed stable during nutritional treatment. IL-6 and CRP levels exceeded the reference range in both study groups at baseline, probably reflecting the high incidence of co-morbidities. Mean values of IL-6 and CRP increased in the test group during 8 weeks of HPN while they decreased in the control group. However, IL-6 and CRP values in the test group showed a broad distribution especially after 8 weeks of HPN (IL-6: min-value: 3.30 ng/l, max-value: 32.5 ng/l; CRP: min-value: 0.12 ng/l, max-value: 6.90 mg/dl) which is reflected by the high standard deviation for mean IL-6 and CRP-values in the test group (see Table 5). This indicates single outliers with a high impact on mean values due to the small number of patients included for this investigation (N=11 in each group). No statistically significant differences could be detected between



groups regarding the profile of inflammatory markers after 8 weeks of HPN Mean values of inflammatory parameters are displayed in Table 5.

**Table 5**

*Influence of nutritional regimen on quality of life*

Evaluation of the EORTC-QLQ-C30 questionnaire revealed that scores for global health status increased equally during treatment with the test and the control lipid emulsion (test group:  $46.21 \pm 12.56$  (BL) vs  $52.08 \pm 20.14$ ; control group:  $36.27 \pm 22.62$  (BL) vs.  $44.44 \pm 24.73$  (V2); score range: 0-100). Statistical analysis of score changes between BL and V2 revealed no significant treatment dependent differences.

*Safety of nutritional treatment*

No differences could be detected between groups regarding the profile of laboratory parameters determined to monitor liver function, bone metabolism, renal function, metabolic activity, lipid metabolism, coagulation and haematology. All parameters stayed stable throughout the nutritional treatment (for mean values  $\pm$  SD and reference ranges see ‘Additional file 2’). Only two individual clinically relevant abnormalities were reported (low platelet count, already present at baseline, and CRP elevation, reported as AE, both in the test group).

The number and intensity of reported adverse events (AEs) were comparable for test and control group. A total of 11 patients in the test group and 12 patients in the control group experienced at least one treatment emergent AE. In total, 76 AEs were reported (34 and 42 AEs in test and control groups, respectively).

No differences were detected regarding the AE pattern between study groups. Most AEs were classified as “Gastrointestinal disorders” (i.e. diarrhea, nausea and vomiting, constipation), “Musculoskeletal and connective tissue disorders” (mainly muscle spasm), “Nervous system

354 disorders” (headache and somnolence), “General disorders and administration site conditions”  
355 (i.e. fatigue, chills and medical device complication), “Infections and infestations” (i.e. device  
356 related sepsis), and “Skin and subcutaneous tissue disorders”. None of the AEs was  
357 considered to be related to the nutritional regimen.

358 A total of four patients in each treatment group experienced at least one AE that was rated as  
359 serious. In total, 10 serious treatment emergent AEs were recorded. Although none of these  
360 serious adverse events (SAEs) was related to the investigational products, the treatment was  
361 prematurely terminated due to inability to continue IP administration during hospitalisation in  
362 six patients (n=4 and n=2 in test and control groups, respectively). No unexpected SAEs  
363 occurred. The most frequent SAE (device related sepsis) was expected as it represents a  
364 common complication of PN therapy. No patient died during the study.

## Discussion

HPN aims to prevent malnutrition in patients who cannot cover their nutritional requirements via the oral or enteral route for a prolonged period of time. This clinical trial was performed to compare the nutritional efficacy of two different lipid emulsions when administered as part of HPN for a duration of 8 weeks. Test and control lipid emulsions only differed in terms of lipid composition: the test lipid emulsion provided a mixture of MCT, LCT and FO-derived n-3 PUFAs (EPA and DHA) in a ratio of 5:4:1, while the control lipid emulsion provided a mixture of MCT and LCT in a 1:1 ratio.

Nutritional efficacy was assessed via changes of BMI during 8 weeks of HPN. BMI increased in both study groups during HPN treatment with a trend to higher BMI increases in the test group. Statistical analysis revealed non-inferiority of the test lipid emulsion to the reference lipid emulsion indicating that replacement of 10 % LCT by FO-derived n-3 PUFAs does not affect nutritional efficacy and that PN regimes containing EPA and DHA are at least as efficient in maintaining as well as improving the nutritional status during HPN as PN regimens without those n-3 PUFAs.

It is well known that PUFAs are incorporated into cellular membranes [6]. In order to assess incorporation of PUFAs upon long-term HPN of 8 weeks, the FA pattern of cell-membrane phospholipids in erythrocytes and platelets as well as serum phospholipids was assessed in this clinical trial. FA analysis revealed significant treatment differences between test and control group. Upon administration of n-3 PUFA for a period of 8 weeks, the proportion of n-3 PUFAs (i. e. EPA, DHA and DPA) was increased while the proportion of n-6 PUFAs (AA, DGLA and GLA) was decreased in cell-membrane phospholipids in erythrocytes and platelets as well as in serum phospholipids. The FA pattern in the control group remained mostly stable. The effect of n-3 PUFAs administration during 8 weeks is thus in line with several

other studies that investigated on the incorporation of PUFAs into serum and cell-membrane phospholipids after administration of lipid emulsions (reviewed in [30]).

The n-3/n-6 ratio of phospholipids in cell-membranes is thought to play an important role in the modulation of inflammation [10]. In response to an inflammatory stimulus AA (n-6 PUFA) and EPA (n-3 PUFA) are both released from cell membranes and are metabolized by the same enzymes into eicosanoids that modulate the intensity and duration of inflammatory responses [8]. An elevation of the n-3/n-6 ratio is thus thought to result in less intense inflammatory reactions and also reduced amounts of inflammatory cytokines that in turn might prevent the development of life-threatening hyper-inflammatory states. This assumption is supported by findings in gastrointestinal surgical patients that show beneficial modulation of eicosanoids and cytokines and reduced length of hospital stay after administration of FO as a source of bioactive n-3 PUFAs (reviewed in [23]). Beneficial effects of n-3 PUFA administration (reduction of infection rate, reduced length of intensive care unit (ICU) and hospital stay, increased release of less potent inflammatory mediators and reduction of inflammatory cytokines) have also been reported in a meta-analysis of a pooled population of surgical and medical ICU patients [31]. Nevertheless, the state of evidence is less clear in critically ill medical patients [11]. Meta-analyses of studies in critically ill patients (excluding studies with surgical intensive care patients) indicated that FO administration may reduce mortality and duration of ventilation [32] or reduce the incidence of infectious complications and length of hospital [33]. However, other studies did not reveal any beneficial effects of n-3 PUFA administration on cytokine levels or primary outcome parameters in this patient population [34, 35].

Although this clinical trial was not powered to address the influence of FO-derived n-3 PUFA administration on inflammatory parameters, serum cytokine levels were analysed for explorative purposes. IL-10 and TNF- $\alpha$  values were within the reference range at baseline and

were not altered during 8 weeks of HPN. Most patients had elevated IL-6 and CRP values already at study start, likely reflecting the high incidence of concomitant diseases – nearly all patients that participated in this study suffered from concomitant diseases – and indicating an elevated inflammatory state in both groups at study start. There was a trend towards higher IL-6 and CRP levels in the group receiving n-3 PUFAs paralleled by a trend towards lower IL-6 and CRP levels in the control group. However, no statistically significant group differences were detected and time profiles determined for IL-6 and CRP levels have to be interpreted very carefully due to broad data distribution, reflecting individual abnormally high values, and small sample size. Results of this clinical trial therefore do not allow to reveal whether administration of n-3 PUFAs influence serum cytokine levels in HPN patients, and adequately powered studies are required to address this aspect.

Maintenance and improvement of nutritional status are especially important during HPN as malnutrition has been shown to decrease quality of life in patients with both benign and malignant diseases of the digestive system [36, 37]. Assessment of quality of life in this clinical trial revealed that scores for global health status and functional scales were increased after 8 weeks of HPN in both groups. This indicates increased quality of life and a better functioning in daily life that was most probably due to an improved nutritional status achieved via HPN therapy. This is in line with other studies showing an increase in quality of life due to HPN [38–40].

Coagulation parameters assessed in this study were similar between treatment groups, remained stable throughout 8 weeks HPN and were within the reference range. In addition, there were no adverse events indicative for an increased risk of bleeding. In line with other publications, data derived from this study therefore does not indicate an increased risk of bleeding upon administration of n-3 PUFAs [13, 14].

In this study, administration of both lipid emulsions was safe for a period of eight weeks. No treatment related AE (i.e. no adverse drug reaction) was reported and there was no difference in the occurrence of AEs or SAEs between the test and control groups. All safety laboratory parameters determined to monitor hepatic metabolism, haematology/coagulation, lipid metabolism, and bone metabolism remained stable during 8 weeks of HPN in both treatment groups. These findings are in line with other studies assessing safety of long-term administration of different lipid emulsions that revealed good clinical tolerance and safety of all lipid emulsions tested (reviewed in [30]).

One limitation of this study is that several study participants were on HPN therapy already at the study start. It was intended to include only patients with a new indication for HPN in this study. However, recruitment of patients was very difficult, as patients had to be able and willing to mix the HPN regimen at home. The inclusion criteria therefore had to be amended in order to also allow study participation of patients already receiving HPN. BMI changes detected during this study therefore most probably underestimate the beneficial effects of HPN on BMI. Furthermore, it cannot be excluded that differences between treatment groups (e.g. BMI increase, inflammatory parameters) would have been more pronounced if only patients with a new indication for HPN had been included. A further limitation is, that data regarding prior dietary intake was not collected. The correlation between prior HPN and treatment differences could therefore not be analysed. However, these limitations do not affect the assessment of efficacy and safety of the MCT/LCT/FO-derived n-3 PUFAs containing lipid emulsion.

## **Conclusions**

This study revealed that the lipid emulsion containing MCT/LCT/FO-derived n-3 PUFAs is at least as efficient in maintaining or improving the nutritional status of patients requiring long-term HPN as the lipid emulsion containing MCT/LCT only. Administration of FO-derived n-

463 3 PUFAs for a period of 8 weeks markedly altered the fatty acid profile of serum and cell-  
464 membrane phospholipids resulting in an increased proportion of n-3 PUFAs and a decreased  
465 proportion of n-6 PUFAs. In this study, both lipid emulsions were safe and well tolerated  
466 during 8 weeks of HPN.

467 **List of abbreviations**

BCM	Body Cell Mass
BIA	Bio Impedance Analysis
BL	Baseline Visit
BMI	Body Mass Index
CI	Confidence Interval
EN	Enteral Nutrition
FAS	Full Analysis Set
FO	Fish Oil
HPN	Home Parenteral Nutrition
ICU	Intensive Care Unit
ITT	Intent-to-Treat
LCT	Long-chain triglycerides
MCT	Medium-chain triglycerides
PN	Parenteral Nutrition
PP	Per Protocol
PUFA	Polyunsaturated Fatty Acids
(S)AE	(Serious) Adverse Event
SD	Standard Deviation
V1	Visit, 4 weeks after study start
V2	Visit, 8 weeks after study start



468    **Declarations**

469    **Ethics approval and consent to participate**

470    This study was approved by the ethics committee of the federal city state Berlin, Landesamt  
471    für Gesundheit und Soziales (LAGeSo), reference number EK6 194/07. Written informed  
472    consent was obtained from all participants prior to any study procedure.

473    **Consent for publication**

474    Not applicable

475    **Availability of data and material**

476    The pseudonymised datasets generated and/or analysed during the current study are not  
477    publicly available due to data protection regulations, but are available only in anonymised  
478    form (e.g. ordered in ascending order) from the corresponding author upon reasonable  
479    request.

480    **Competing interests**

481    PCC has received speaking honoraria from B. Braun.  
482    For conflict of interest see “funding sources”. Otherwise the authors have no conflict of  
483    interest to declare.

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488    clinical trial application, monitoring, data management and statistics, writing of the study  
489    report, and provided writing assistance for this manuscript. The University of Southampton  
490    received funding for the fatty acid composition analysis.

491 **Author's contributions**

492 HB and VM took part in the analysis and interpretation of the data and the writing of the  
493 manuscript. MM and JP revised the Manuscript. PT performed the data collection and the  
494 conception and design of the study. PCC supervised laboratory measurement of fatty acids  
495 and revised the draft manuscript. HB, MM, PCC, JP and VM read and approved the  
496 manuscript.

497 **Acknowledgement**

498 Not applicable

499 **Authors' information (Optional)**

500 Not applicable

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619 **Figure title and legend section**

620 **Figure 1: Flowchart of study patients.** Figure displays the number of patients screened,  
621 randomised and included for ITT and PP / FAS analyses. Nine patients of the ITT population  
622 were excluded from PP analysis because of premature study termination due to severe  
623 protocol deviation (N=1), withdrawal of informed consent (N=2) or serious adverse events  
624 (SAEs; N=6). SAEs leading to premature study discontinuation were not investigational  
625 product related but required discontinuation of study medication due to necessary  
626 hospitalisation.



627    **Additional Material**

628    *File name:*

629    Additional file 1

630    *File format:*

631    Pdf

632    *Title of data:*

633    CONSORT 2010 checklist of information to include when reporting a randomised trial

634    *Description of data:*

635    Additional file 2 provides the populated CONSORT 2010 checklist.

636

637

638    *File name:*

639    Additional file 2

640    *File format:*

641    Pdf

642    *Title of data:*

643    Laboratory values and reference ranges

644    *Description of data:*

645    Additional file 2 displays mean values and standard deviation of laboratory data determined at

646    Baseline, Visit 1 and Visit 2, used to assess the safety endpoints liver function, bone

647    metabolism, renal function, metabolic activity, lipid metabolism, coagulation and

648    haematology.

649

650      **Table 1    Composition of test and reference lipid emulsion (per 500 mL)**

Substance	Test lipid emulsion (Lipidem <sup>®</sup> 20 %)	Reference lipid emulsion (Lipofundin <sup>®</sup> MCT 20%)
MCT	50.0 g	50.0 g
LCT (soybean oil)	40.0 g	50.0 g
Fractionated FO	10.0 g	0.0 g
Egg yolk phospholipids	6.0 g	6.0 g
Glycerol	12.5 g	12.5 g
Essential fatty acids		
• Linoleic acid (n-6)	19.2 - 23.2 g	24.0 - 29.0 g
• α-Linolenic acid (n-3)	2.0 - 4.4 g	2.5 - 5.5 g
• Eicosapentaenoic acid		
+Docosahexaenoic acid (n-3)	4.3 - 8.6 g	0.0 g
Energy content	3995 kJ (955 kcal)	3995 kJ (955 kcal)
Osmolality	~ 410 mOsm/l	~ 380 mOsm/l
Titration acidity/-alkalinity (pH 7.4)	< 0.5 mmol/l	< 0.5 mmol/l
pH-value	6.5 – 8.5	6.5 - 8.5

651

**Table 2 Baseline demographic and anamnestic parameters (ITT population)**

Baseline Parameter	Test group (MCT/LCT/FO-derived n-3 PUFAs) N=21	Control group (MCT/LCT) N=21	p-value
Age (years, mean $\pm$ SD)	55.8 $\pm$ 15.1	58 $\pm$ 13.0	0.6160
Male / Female (%)	66.7 / 33.3	57.1 / 42.9	-
Weight (kg, mean $\pm$ SD)	62.7 $\pm$ 12.3	63.2 $\pm$ 10.1	0.9001
Height (cm, mean $\pm$ SD)	170.6 $\pm$ 9.9	174.1 $\pm$ 9.7	0.2523
BMI (kg/m <sup>2</sup> , mean $\pm$ SD)	21.4 $\pm$ 2.6	20.8 $\pm$ 2.3	0.4041
Diseases within last 3 months before study start (%)	76.2	100	<b>0.0478</b>
Concomitant diseases at study start (%)	85.7	100	0.2317
Concomitant medication at study start (%)	100	95.2	1.000
Oncological disease (%)	52.4	61.9	0.7557
Chemotherapy during last year (%)	14.3	23.8	0.6965
Radiation therapy during last year (%)	0	9.5	0.4878
Other tumor therapy during	19.0	14.3	1.000

last year (%)			
Weight loss during the last three months (%)	42.9	47.6	1.000
Nicotine consumption (%)	38.1	33.3	1.000
Alcohol abuse (%)	4.8	0	1.000
Drug abuse (%)	0	0	NA
On diet (not specified) before study start (%)	0	4.8	1.000

653

654

**Table 3 Changes of parameters for nutritional efficacy during HPN**

Efficacy parameter	Test group (MCT/LCT/FO-derived n-3 PUFAs) N=15	Control group (MCT/LCT) N=18
<b>BMI (kg/m<sup>2</sup>)</b>		
Baseline	21.9 ± 2.4	20.7 ± 2.4
V1 (4 weeks HPN)	22.8 ± 2.2	21.2 ± 2.5
V2 (8 weeks HPN)	23.2 ± 2.6	21.3 ± 2.4
mean treatment difference (V2-BL) = 0.63 kg/m <sup>2</sup> , CI 95 %: [-0.07; 1.32], p = 0.0768, t-test		
<b>Body weight (kg)</b>		
Baseline	63.1 ± 13.0	62.9 ± 10.7
V1 (4 weeks HPN)	65.6 ± 12.9	64.4 ± 10.8
V2 (8 weeks HPN)	66.7 ± 13.9	64.8 ± 11.0
mean treatment difference (V2-BL) = 1.70 kg, CI 95 %: [-0.44; 3.84], p = 0.1153, t-test		
<b>Body cell mass (kg)*</b>		
Baseline	24.5 ± 6.3	22.9 ± 4.5
V1 (4 weeks HPN)	25.0 ± 6.0	23.7 ± 4.7
V2 (8 weeks HPN)	25.4 ± 6.7	24.4 ± 5.4
mean treatment difference (V2-BL) = -0.01 kg, CI 95 %: [-1.33; 1.32], p = 0.9939, t-test		

655

\* Missing values (test group): N<sub>miss</sub>(Baseline, V1)=1; N<sub>miss</sub>(V2)=3

656

Missing values (control group): N<sub>miss</sub>(Baseline)=2; N<sub>miss</sub>(V1, V2)=1

657

658 **Table 4 Changes from Baseline of n-6 and n-3 PUFAs in Erythrocytes, Platelets**  
659 **and Serum Phospholipids upon 8 weeks of HPN**

	Test group (MCT/LCT/FO-derived n-3 PUFAs) N=11*	Control group (MCT/LCT) N=9*
<b>n-6 PUFAs</b>		
<b>Linoleic Acid (LA)</b>		
<i>Erythrocytes (%)</i>		
Baseline	10.1 ± 1.0	10.2 ± 1.5
V2 (8 weeks HPN)	9.3 ± 0.9	10.6 ± 1.4
Mean treatment difference (V2-BL) = -1.14 %, CI 95 [-2.0; -0.3], p = 0.0107, t-test		
<i>Platelets (%)</i>		
Baseline	22.1 ± 3.1	16.9 ± 4.0
V2 (8 weeks HPN)	21.8 ± 2.9	20.9 ± 4.4
Mean treatment difference (V2-BL) = -5.0 %, CI 95 [-7.3; -2.7], p = 0.0002, t-test		
<i>Serum Phospholipids (%)</i>		
Baseline	20.0 ± 2.3	20.0 ± 3.1
V2 (8 weeks HPN)	18.1 ± 1.8	20.9 ± 2.6
Mean treatment difference (V2-BL) = -3.3 %, CI 95 [-4.6; -2.1], p < 0.0001, t-test		
<b>Arachidonic Acid (AA)</b>		
<i>Erythrocytes (%)</i>		
Baseline	16.8 ± 2.0	16.8 ± 1.3

V2 (8 weeks HPN)	13.2 ± 1.9	17.0 ± 2.4
Mean treatment difference (V2-BL) = -3.8 %, CI 95 [-5.9; -1.8], p = 0.0011, t-test		
<b><i>Platelets (%)</i></b>		
Baseline	10.9 ± 3.8	12.9 ± 4.0
V2 (8 weeks HPN)	8.4 ± 1.6	11.3 ± 4.2
Mean treatment difference (V2-BL) = -1.3 %, CI 95 [-3.8; 1.2], p = 0.2883, t-test		
<b><i>Serum Phospholipids (%)</i></b>		
Baseline	10.6 ± 1.5	9.7 ± 2.2
V2 (8 weeks HPN)	8.2 ± 1.4	9.6 ± 1.9
Mean treatment difference (V2-BL) = -2.7 %, CI 95 [-4.0; -1.4], p = 0.0005, t-test		
<b>Dihomo-<math>\gamma</math>-linolenic acid (DGLA)</b>		
<b><i>Erythrocytes (%)</i></b>		
Baseline	2.2 ± 0.4	2.4 ± 0.5
V2 (8 weeks HPN)	1.7 ± 0.3	2.4 ± 0.5
Mean treatment difference (V2-BL) = -0.4 %, CI 95 [-0.6; -0.1], p = 0.0044, t-test		
<b><i>Platelets (%)</i></b>		
Baseline	1.7 ± 0.4	1.9 ± 0.4
V2 (8 weeks HPN)	1.1 ± 0.4	1.8 ± 0.4
Mean treatment difference (V2-BL) = -0.4 %, CI 95 [-0.8; -0.01], p = 0.0471, t-test		
<b><i>Serum Phospholipids (%)</i></b>		
Baseline	3.1 ± 1.0	4.2 ± 1.2
V2 (8 weeks HPN)	2.1 ± 0.9	3.8 ± 1.3
Mean treatment difference (V2-BL) = -0.5 %, CI 95 [-1.2; 0.1], p = 0.1156, t-test		

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 **$\gamma$ -Linolenic acid (GLA)*****Erythrocytes (%)***

Baseline	0.08 $\pm$ 0.03	0.09 $\pm$ 0.4
V2 (8 weeks HPN)	0.05 $\pm$ 0.02	0.10 $\pm$ 0.05

Mean treatment difference (V2-BL) = -0.05 %, CI 95 [-0.09; -0.01], p = 0.0118, t-test

***Platelets (%)***

Baseline	0.5 $\pm$ 0.3	0.4 $\pm$ 0.1
V2 (8 weeks HPN)	0.3 $\pm$ 0.1	0.5 $\pm$ 0.2

Mean treatment difference (V2-BL) = -0.3 %, CI 95 [-0.5; -0.1], p = 0.0049, t-test

***Serum Phospholipids (%)***

Baseline	0.14 $\pm$ 0.08	0.16 $\pm$ 0.07
V2 (8 weeks HPN)	0.07 $\pm$ 0.04	0.13 $\pm$ 0.04

Mean treatment difference (V2-BL) = -0.03 %, CI 95 [-0.09; 0.04], p = 0.3647, t-test

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**n-3 PUFAs**

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**Eicosapentaenoic acid (EPA)*****Erythrocytes (%)***

Baseline	0.7 $\pm$ 0.2	0.7 $\pm$ 0.2
V2 (8 weeks HPN)	2.8 $\pm$ 0.9	0.7 $\pm$ 0.1

Mean treatment difference (V2-BL) = 2.1 %, CI 95 [1.4; 2.8], p < 0.0001, t-test

***Platelets (%)***

Baseline	0.7 $\pm$ 0.2	0.6 $\pm$ 0.2
V2 (8 weeks HPN)	4.3 $\pm$ 2.0	0.6 $\pm$ 0.2

Mean treatment difference (V2-BL) = 3.4 %, CI 95 [2.1; 4.8], p < 0.0001, t-test



***Serum Phospholipids (%)***

Baseline	1.1 ± 0.3	1.1 ± 0.4
V2 (8 weeks HPN)	4.6 ± 1.5	1.0 ± 0.3

Mean treatment difference (V2-BL) = 3.5 %, CI 95 [2.5; 4.5], p < 0.0001, t-test

---

**Docosahexaenoic acid (DHA)*****Erythrocytes (%)***

Baseline	4.5 ± 1.3	4.1 ± 1.1
V2 (8 weeks HPN)	6.5 ± 1.1	4.0 ± 0.5

Mean treatment difference (V2-BL) = 2.2 %, CI 95 [1.0; 3.3], p = 0.0008, t-test

***Platelets (%)***

Baseline	1.8 ± 0.4	1.4 ± 0.5
V2 (8 weeks HPN)	3.6 ± 0.7	1.5 ± 0.4

Mean treatment difference (V2-BL) = 1.8 %, CI 95 [1.3; 2.3], p < 0.0001, t-test

***Serum Phospholipids (%)***

Baseline	3.1 ± 0.7	2.7 ± 1.0
V2 (8 weeks HPN)	5.8 ± 1.6	2.7 ± 0.6

Mean treatment difference (V2-BL) = 2.6 %, CI 95 [1.6; 3.6], p < 0.0001, t-test

---

**Docosapentaenoic acid (DPA)*****Erythrocytes (%)***

Baseline	2.9 ± 0.5	2.9 ± 0.5
V2 (8 weeks HPN)	4.0 ± 0.5	3.0 ± 0.7

Mean treatment difference (V2-BL) = 0.8 %, CI 95 [0.3; 1.4], p = 0.0058, t-test

***Platelets (%)***

Baseline	$0.9 \pm 0.2$	$1.1 \pm 0.3$
V2 (8 weeks HPN)	$1.4 \pm 0.2$	$1.0 \pm 0.4$

Mean treatment difference (V2-BL) = 0.5 %, CI 95 [0.3; 0.7], p = 0.0003, t-test

***Serum Phospholipids (%)***

Baseline	$1.0 \pm 0.2$	$1.0 \pm 0.3$
V2 (8 weeks HPN)	$1.6 \pm 0.4$	$1.0 \pm 0.3$

Mean treatment difference (V2-BL) = 0.4 %, CI 95 [0.2; 0.6], p = 0.0009, t-test

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660 \*    Number of baseline values (test group): N=14;  
661        Number of baseline values (control group): N=13  
662

**Table 5 Inflammatory parameters before and after 8 weeks of HPN**

Inflammatory parameter	Test group (MCT/LCT/n-3 PUFA) N=11	Control group (MCT/LCT) N=11
<b>IL-6 (ng/L)</b>		
(reference range < 5 ng/L)		
Baseline	5.473 ± 2.501	7.050 ± 5.432
V2 (8 weeks HPN)	9.145 ± 8.614	4.364 ± 2.448
Mean treatment difference (V2-BL) = 4.8 ng/L, CI 95 [-0.5; 10.1], p = 0.0745, t-test		
<b>IL-10 (ng/L)</b>		
(reference range < 5 ng/L)		
Baseline	5.000 ± 0.000	5.033 ± 0.115
V2 (8 weeks HPN)	5.000 ± 0.000	5.027 ± 0.090
Mean treatment difference (V2-BL) = -0.03 ng/L, CI 95 [-0.09; 0.03], p = 0.3062, t-test		
<b>TNF-alpha (ng/L)</b>		
(reference range < 15 ng/L)		
Baseline	11.918 ± 6.506	13.175 ± 6.996
V2 (8 weeks HPN)	10.673 ± 4.382	10.645 ± 4.457
Mean treatment difference (V2-BL) = 0.9 ng/L, CI 95 [-2.5; 4.3], p = 0.5801, t-test		
<b>CRP (mg/dL)*</b>		
(reference range < 0.50 mg/dL)		
Baseline	0.691 ± 0.595	0.977 ± 1.370
V2 (8 weeks HPN)	1.453 ± 1.903	0.745 ± 0.541

Mean treatment difference (V2-BL) = 0.6 mg/L, CI 95 [-0.4; 1.6], p = 0.2231, t-test

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664 \* Number of values (test group): N(Baseline)=14; N(V2)=15

665 Number of values (control group): N(Baseline)=18; N(V2)=18