# Rotaxane-Based Transition Metal Complexes: Effect of the Mechanical Bond on Structure and Electronic Properties

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ABSTRACT: Early work by Sauvage revealed that mechanical bonding alters the stability and redox properties of their original catenane metal complexes. However, despite the importance of controlling metal ion properties for a range of applications, these effects have received relatively little attention since. Here we present a series of tri-, tetra- and pentadentate rotaxane-based ligands, a detailed study of their metal binding behavior and, where possible, compare their redox and electronic properties with their non-interlocked counterparts. The rotaxane ligands form complexes with most of the metal ions investigated and x-ray diffraction revealed that in some cases the mechanical bond enforces unusual coordination numbers and distorted arrangements as a result of the exclusion of exogenous ligands driven by the sterically crowded binding sites. In contrast, only the non-interlocked equivalent of the pentadentate rotaxane Cu<sup>II</sup> complex could be formed selectively, and this exhibited compromised redox stability compared to its interlocked counterpart. Frozen-solution EPR data demonstrate the formation of an interesting biomimetic state for the tetradentate Cu<sup>II</sup> rotaxane, as well as the formation of stable Ni<sup>I</sup> species and the unusual coexistence of high- and low-spin Co<sup>II</sup> in the pentadentate framework. Our results demonstrate that readily available mechanically chelating rotaxanes give rise to complexes the non-interlocked equivalent of which are inaccessible, and that the mechanical bond augments the redox behavior of the bound metal ion in a manner analogous to the carefully-tuned amino acid framework in metalloproteins.

#### INTRODUCTION

Controlling coordination number and geometry is a central problem in the chemistry of transition metal ions that touches on a range of applications including catalysis, photochemistry and medicinal inorganic chemistry. A number of general chelating ligand platforms have been developed to address this challenge including pincer,¹ scorpionate² macrocyclic³ ligands, all of which present multiple covalently linked donor atoms in a specific geometry to the metal ion.⁴ In this, synthetic chemists are taking inspiration from nature's metalloenzymes in which the active site presents donor atoms to the metal ion in a highly preorganised fashion in order to achieve what has been termed an "entatic state" 5.6 whose properties are finely tuned to match their biological function.

Since 1983, when Sauvage and co-workers revolutionized the synthesis of mechanically interlocked molecules by introducing the passive metal template approach,<sup>7</sup> a large number of "mechanically chelating" ligands, molecules in which metal-ligand interactions bridge the covalent subcomponents of an interlocked molecule, have been

reported.<sup>8,9</sup> These systems are reminiscent of the cavitands<sup>10</sup> and cryptands<sup>11</sup> in that the space between the components creates a crowded environment into which the donor atoms are presented. Indeed, one of the first observations made by Sauvage was that Cu<sup>1</sup> complexes of their original "homoleptic"<sup>12</sup> bis-phenanthroline catenane ligand were significantly stabilized to nucleophilic demetallation compared with complexes formed from similar non-interlocked ligands and this was dubbed the "catenand" effect by direct analogy with the properties of cryptands.<sup>13</sup> Furthermore, the restricted environment of the mechanical bond altered the redox properties of bound metal ions, kinetically stabilizing low oxidation states<sup>13c</sup> and preventing access to higher oxidation states.<sup>13d</sup>

However, despite the central role that metal ions play in a variety of areas and the potential for the mechanical bond to be used to augment their structure and thus electronic and other properties, little is known beyond Sauvage and co-workers' original reports. This may be at least in part because passive metal template approaches typically give rise to ligands that coordinatively saturate a bound metal

ion, reducing their relevance to, for example, catalytic applications. In 2006, Leigh and co-workers introduced the active template approach, <sup>14</sup> which relies on a kinetic metal templating effect. <sup>14c</sup> As such, the geometric properties of the metal binding pocket in active-template derived products are more diverse, allowing access to interlocked ligands with a range of denticities.

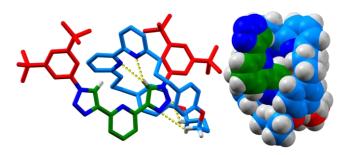
Here we report a series of active template-derived rotaxane-based ligands and their binding with representative 1st row transition metal ions. We demonstrate that the mechanical bond allows access to complexes that are unavailable from the corresponding non-interlocked components. In turn, the unusual structures of some of the complexes produced is reflected in their electron paramagnetic resonance (EPR) spectroscopic parameters and electrochemical behavior, suggesting the potential to tailor the properties of metal ions using the mechanical bond.

**Scheme 1.** (a) Synthesis of rotaxane ligands **4-6**. (b) structures of non-interlocked analogues **7-10**.

#### SYNTHESIS OF A FAMILY OF ROTAXANE LIGANDS

Whereas Sauvage and co-workers' initial reports focused on catenane-based ligands,<sup>13</sup> we set out to investigate the effect of the mechanical bond in rotaxanes as these are typically easier to access than the corresponding catenanes and are thus of potentially higher practical relevance. Furthermore, despite some interesting lead results that demonstrate that the mechanical bond in rotaxanes can

affect various properties of complexed metal ions,<sup>15</sup> no detailed investigation of the properties of rotaxane-based metal complexes has been reported.<sup>16</sup> Rotaxanes **4**, **5** and **6** which present nominally tri-, tetra- and penta-dentate endohedral coordination environments respectively, were synthesized from readily available alkynes **2a-c**, macrocycle **1**<sup>17</sup> and azide **3** using our small macrocycle modification<sup>18</sup> of the active template Cu-mediated alkyne-azide cycloaddition (AT-CuAAC)<sup>19</sup> reaction. The corresponding non-interlocked axles **7-9** and **10**, an acyclic equivalent of **1**, were synthesized separately for comparison.



**Figure 1.** SCXRD structure of rotaxane **6** in capped sticks and space-filling (N-aryl substituent of front triazole ring removed for clarity) representations. Selected interaction distances (Å):  $H_d \cdots N$  **2.52**,  $H_d \cdots N$  **2.66**,  $H_l \cdots N$  **2.47**,  $H_l \cdots N$  **2.82**. Interstitial solvent and the majority of H atoms have been omitted for clarity.

Ligands **4-10** were isolated and fully characterized (see ESI). The most striking feature of the ¹H NMR spectra of rotaxanes **4-6** when compared with their non-interlocked axles, is that the triazole protons resonate at significantly higher ppm, indicative of a C-H···N hydrogen bond to the bipyridine N donors, as has previously been observed in sterically crowded AT-CuAAC-derived products.¹8 Single crystal x-ray diffraction (SCXRD) analysis of **5** and **6** confirmed this interaction in the solid state (Figure S130 and 1 respectively).²0 The steric crowding provided by the mechanical bond is well demonstrated in the truncated spacefilling representation of **6** which clearly shows the congested nature of the rotaxane cavity.

## COORDINATION CHEMISTRY OF ROTAXANES 4-6 AND THEIR NON-INTERLOCKED COMPONENTS.

In order to compare the coordination chemistry of interlocked ligands **4-6** with their non-interlocked counterparts we selected Co<sup>II</sup>, Ni<sup>II</sup>, Cu<sup>II</sup> and Zn<sup>II</sup> in light of their differing coordination preferences and redox chemistries. The complexes formed with interlocked ligands **4-6** were compared with those formed with the corresponding non-interlocked components **7-10**, in suitable equimolar mixtures to simulate the binding motifs of the rotaxanes.

**Mixtures of non-interlocked ligands 7-10.** Equimolar mixtures of the non-interlocked axles and bipyridine ligand  $\mathbf{10}^{21}$  were titrated with  $M(ClO_4)_2$  in MeCN (see ESI for full details; note  $ClO_4$  salts are potentially explosive and

should be handled with care and on small scales) and the metal-binding process was followed by UV-vis spectroscopy and <sup>1</sup>H NMR (Zn<sup>II</sup> only).

Ligands 7 and 8 were found to be weakly coordinating with all of the metal ions investigated and thus, unsurprisingly, when an equimolar mixture of  $\mathbf{10}$  with either 7 or 8 was titrated with  $\mathrm{Co^{II}}$ ,  $\mathrm{Cu^{II}}$  or  $\mathrm{Zn^{II}}$  the binding isotherms up to 0.5 equivalents resemble that of  $\mathbf{10}$  alone, suggesting that heteroleptic complex formation is disfavored in these cases. The selective formation of  $[\mathrm{Zn}(\mathbf{10})_2]^{2+}$  up to 0.5 equiv. of metal ion was confirmed in the case of  $\mathrm{Zn^{2+}}$  by HNMR analysis, with a complex mixture of species was observed to form over 0.5 equiv. of the  $\mathrm{Zn^{II}}$  (Figure S33).

In contrast, titration of an equimolar mixture of tridentate ligand 9 and bipyridine 10 revealed changes consistent with the formation of  $[M(9)_2]^{2+}$  between 0 and 0.5 equivalents for  $M = Ni^{II}$ ,  $Co^{II}$  and  $Zn^{II}$ . Interestingly,  ${}^{1}H$  NMR analysis of the mixture 9 and 10 with iterative addition of  $Zn^{II}$  suggested that a complex mixture was formed at all stoichiometries that included  $[Zn(9)(10)]^{2+}$  as a minor component (Figure S36). Only in the case of  $Cu^{II}$  was a binding isotherm with a single turning point at 1 equiv. of metal ion observed, suggesting the selective formation of  $[Cu(9)(10)]^{2+}$ .

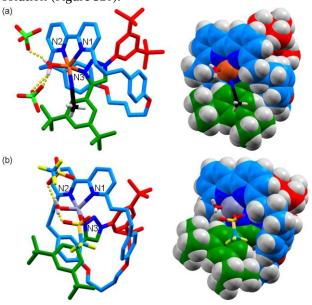
Based on these results it appears that, except in the case of Cu<sup>II</sup> in combination with **9** and **10**, the formation of heteroleptic complexes equivalent to rotaxanes **4-6** is disfavored for mixtures of the corresponding non-interlocked ligands with, at best, selective complexation of one of the ligand components, particularly at low ligand: metal stoichiometries, and at worst, complex mixtures being formed.

**Table 1.** Speciation<sup>a</sup> of equimolar mixtures of ligand 10 with one of 7, 8 or 9 in the presence of  $M(ClO_4)_2$  determined by UV-vis titration<sup>b</sup> and <sup>1</sup>H NMR ( $Zn^{II}$  only).

	Metal ion			
Ligands	CoII	Ni <sup>II</sup>	Cu <sup>II</sup>	Zn <sup>II</sup>
7 + 10	$[Co(10)_n]^{2+c}$	$[\mathrm{Ni}(10)_{\mathrm{n}}]^{_{2+C}}$	$[Cu(10)_2]^{2+}$	$[Zn(10)_2]^{2+}$
8 + 10	$[Co(10)_n]^{{\scriptscriptstyle 2+c}}$	n.d. <sup>c</sup>	$[Cu(10)_2]^{2+}$	$[\mathrm{Zn}(10)_2]^{2+}$
9 + 10	$[Co(9)_2]^{2+}$	$[Ni(9)_2]^{2+}$	$[Cu(9)(10)]^{2+}$	Complex mixture <sup>d</sup>

<sup>a</sup>Speciation refers to the major identifiable species formed during the titration, typically between o and 0.5 equiv. of added metal ion. Additional coordination sites are assumed to be occupied by solvent. <sup>b</sup>UV-vis titrations were performed in CH<sub>3</sub>CN (0.04 mM, 298 K). <sup>c</sup>Changes consistent with metal binding were observed but speciation could not be determined. <sup>d</sup>UV-vis suggested the initial formation of  $[Zn(9)_2]^{2+}$  but <sup>1</sup>H NMR demonstrated the formation of complex mixture of products at all ligand: metal stoichimetries.

**Tridentate rotaxane 4.** Interlocked tridentate ligand 4 was subjected to UV-Vis titration and found to coordinate strongly to  $Cu^{II}$  and  $Zn^{II}$  in a 1:1 stoichiometry ( $K_a > 10^6 \text{ M}^-$ <sup>1</sup>, Figures S83 and S105),<sup>24</sup> but much more weakly to Co<sup>II</sup> or Ni<sup>II</sup> (Figures S<sub>43</sub> and S<sub>63</sub>),<sup>25</sup> which is in keeping with their weaker binding with bipyridine ligand 10. SCXRD analysis of crystals of [Cu<sup>II</sup>(4)](ClO<sub>4</sub>)<sub>2</sub> confirmed that, although non-interlocked ligand 7 alone is weakly coordinating,22 the metal ion is coordinated by the bipyridine and triazole N-donors (Figure 2a). In the solid state, molecules of H<sub>2</sub>O and MeCN complete the primary coordination sphere of the Cu<sup>II</sup> ion with the ClO<sub>4</sub> anions interacting in the second sphere by H-bonds to the coordinated H<sub>2</sub>O. Similarly, the solid-state structure of [Zn<sup>II</sup>(4)](OTf)<sub>2</sub> revealed a mechanically chelated complex (Figure 2b), albeit in this case an OTf anion is found in the primary coordination sphere along with a molecule of H<sub>2</sub>O. Titration of 4 with Zn(ClO<sub>4</sub>)<sub>2</sub> monitored by 1H NMR revealed shifts consistent with the triazole donor participating in the binding event, strongly suggesting the triazole-metal interaction is maintained in solution (Figure S26).



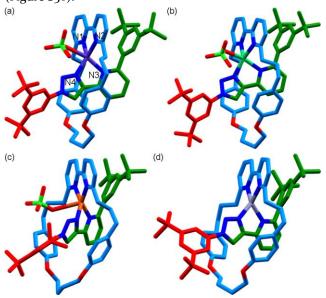
**Figure 2.** SCXRD structures with selected distances (Å) and angles (°) in sticks representation (selected interaction indicated) and space-filling representation (additional ligands in sticks representation, second sphere anions removed for clarity) of (a)  $[Cu^{11}(4)](ClO_4)_2$  ( $N_1 \cdots Cu$  2.29,  $N_2 \cdots Cu$  1.97,  $N_3 \cdots Cu$  2.03,  $MeCN \cdots Cu$  1.99,  $H_2O \cdots Cu$  1.98;  $MeCN \cdots Cu \cdots N_2$  171,  $H_2O \cdots Cu \cdots N_3$  165; (b)  $[Zn^{11}(4)](OTf)_2$  ( $N_1 \cdots Zn$  2.13,  $N_2 \cdots Zn$  2.08,  $N_5 \cdots 2.05$ ,  $TfO \cdots 2.14$ ,  $H_2O \cdots Zn$  2.04;  $H_2O \cdots Zn \cdots N_2$  126,  $TfO \cdots N_5$  87.56). Interstitial solvent and the majority of H atoms have been omitted for clarity.

Although in both cases rotaxane 4 offers a tridentate *fac* coordination mode, reminiscent of a scorpionate ligand,<sup>2</sup> the coordination geometries of  $[Cu^{II}(4)](ClO_4)_2$  and  $[Zn^{II}(4)](OTf)_2$  are subtly different with  $Cu^{II}$  adopting a distorted square based pyramidal structure ( $\tau$  = 0.09) whereas as  $Zn^{II}$  is found in a distorted trigonal bipyramidal

geometry ( $\tau = 0.64$ ).<sup>26</sup> In both cases, their solid-state structures in space filling representation (Figure 2) demonstrate the sterically crowded nature of the metal coordination sphere, with the smaller H<sub>2</sub>O ligand occupying the least congested position, allowing the C-aryl substituent of the triazole to twist slightly in order to accommodate the larger MeCN (Cu<sup>II</sup>) or TfO (Zn<sup>II</sup>) ligand.

Thus, although rotaxane 4 offers a tridentate N-donor set, similar to non-interlocked ligand 9, which binds strongly to all the metals studied,<sup>22</sup> the mechanical bond precludes coordination numbers above five. This may provide an explanation for the weak binding of Co<sup>II</sup> and Ni<sup>II</sup>; although Cu<sup>II</sup> and Zn<sup>II</sup> are often found with coordination numbers <6, Co<sup>II</sup> and Ni<sup>II</sup> are more typically found in hexacoordinate environments with weak-field ligands.

**Tetradentate rotaxane 5.** UV-vis titration of tetradentate rotaxane **5** with each metal ion in turn revealed similar behavior to that of rotaxane **4**, although in this case strong binding ( $K_a > 10^6 \text{ M}^{-1}$ ) to form a 1 : 1 complex was observed for Co<sup>II</sup>, Cu<sup>II</sup> and Zn<sup>II</sup> with only Ni<sup>II</sup> ( $K_a = 1.3 \times 10^4 \text{ M}^{-1}$ ) binding weakly (Figures S51, S71, S91 and S113). This stoichiometry was further confirmed by <sup>1</sup>H NMR in the case of Zn<sup>II</sup> (Figure S30).



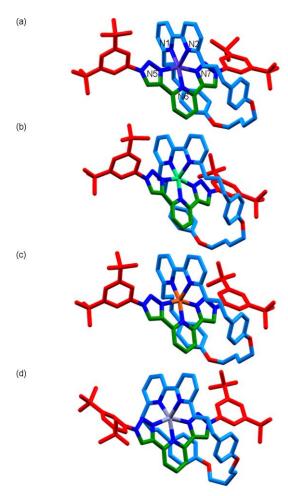
**Figure 3.** SCXRD structures<sup>27</sup> with selected interaction lengths (Å) and angles (°) of: (a)  $[Co^{II}(5)](ClO_4)_2$  (N<sub>1</sub>···Co 2.00, N<sub>2</sub>···Co 2.00, N<sub>3</sub>···Co 2.10, N<sub>4</sub>···Co 1.98, O<sub>3</sub>ClO···Co 2.35; N<sub>2</sub>···Co···N4 140.75, O<sub>3</sub>ClO···Co···N3 161.5; angle between N1CoN2 and N3CoN4 plane 79.1); (b)  $[Ni^{II}(5)](ClO_4)_2$  (N<sub>1</sub>···Ni 2.02, N<sub>2</sub>···Ni 1.98, N<sub>3</sub>···Ni 2.11, N<sub>4</sub>···Ni 2.00, O<sub>3</sub>ClO···Ni 2.12; N<sub>2</sub>···Co···N4 140.75, O<sub>3</sub>ClO···Co···N3 161.5; angle between N1NiN2 and N3NiN4 plane 78.5), (c)  $[Cu^{II}(5)](ClO_4)_2$  (N<sub>1</sub>···Cu 1.97, N<sub>2</sub>···Cu 1.97, N<sub>3</sub>···Cu 2.01, N<sub>4</sub>···Cu 1.96, O<sub>3</sub>ClO···Cu 2.70; angle between N1CuN2 and N3CuN4 plane = 51), (d)  $[Zn^{II}(5)](ClO_4)_2$  (N<sub>1</sub>···Zn 1.98, N<sub>2</sub>···Zn 1.99, N<sub>3</sub>···Zn 2.07, N<sub>4</sub>···Zn 1.97; angle between N1ZnN2 and N3ZnN4 plane = 78.0). H atoms, non-coordinated anions and interstitial solvent have been omitted for clarity.

Solid state structures were obtained by SCXRD analysis

of  $[M(5)](ClO_4)_2$  for all of the metal ions (Figure 3) and these confirm that the rotaxane provides a tetradentate binding motif with the bipyridine and pyridine-triazole units prevented from adopting a coplanar orientation by the mechanical bond. The structures of  $[Co^{II}(5)](ClO_4)_2$  and  $[Ni^{II}(5)](ClO_4)_2$  are similar, with one  $ClO_4$  acting as the fifth ligand in a pentacoordinate structure. However, they exist at different points on the continuum between trigonal bipyramidal and square based pyramidal geometries with both metals significantly distorted from an ideal arrangement ( $\tau$  = 0.35 and 0.19 for  $Co^{II}$  and  $Ni^{II}$  respectively). In contrast  $[Zn^{II}(5)](ClO_4)_2$  adopts a regular tetrahedral geometry in the solid state with no additional coordination of exogenous ligands.

Most strikingly, the structure of [Cu<sup>II</sup>(5)](ClO<sub>4</sub>)<sub>2</sub> can be described as a highly distorted tetrahedron with a very long contact (>95% of van der Waal's radii) between Cu<sup>II</sup> and the single inner-sphere perchlorate anion and an angle of ~50° between the chelating units of the axle and macrocycle. The mechanical bond thus appears to prevent the metal ion adopting a typical coordination geometry for Cu<sup>II</sup> with the metal ion "stuck" somewhere between the preferred coordination numbers and geometries of CuII and Cu<sup>I</sup>. This effect is reminiscent of that of the active site of a Type II center in copper proteins, in which the metal ion is bound by four N/O donors, in a quasi-square planar arrangement, with a fifth (and sometimes sixth), weakly coordinating distal ligand providing stabilization of the Cu<sup>II</sup> oxidation state; a well-known member of the Type II family is the Cu, Zn dismutase in which the square pyramidal coordination geometry of the Cu consists of four histidines and an additional water ligand.28

Pentadentate rotaxane 6. Pentadentate ligand 6 was found by UV-vis titration to have a high affinity for all metal ions investigated with strong 1:1 binding ( $K_a > 10^6$ M<sup>-1</sup>) observed and confirmed by <sup>1</sup>H NMR in the case of Zn<sup>II</sup> (Figure S<sub>34</sub>). Crystals suitable for SCXRD analysis were obtained of  $[Co(6)](ClO_4)_2$ ,  $[Ni(6)](ClO_4)_2$ ,  $[Cu(6)](ClO_4)_2$ and [Zn(6)](ClO<sub>4</sub>)<sub>2</sub><sup>29</sup> (Figure 4a-d respectively), allowing their structures to be compared. Perhaps surprisingly, given their different coordination preferences, all of the metal ions exhibit an extremely similar pentadentate, square-based pyramidal coordination geometry with  $\tau$  values of 0.05,30 0.02, 0.03 and 0.12 respectively with no exogenous ligand binding and the ClO<sub>4</sub> ions disordered throughout the lattice. Thus, despite the relatively weakfield nature of the pyridine and triazole donors, the mechanical bond appears to enforce a 5-coordinate environment even in the cases of Co<sup>II</sup> and Ni<sup>II</sup> with no binding of exogenous ligands or anions permitted. To our knowledge these are the first examples of 5-coordinate Co<sup>II</sup> and Ni<sup>II</sup> complexes of all neutral heteroaromatic N-donors.31



**Figure 4.** SCXRD structures<sup>27</sup> with selected interaction lengths (Å) and angles (°) of: (a)  $[Co^{II}(6)](ClO_4)_2$  (N1···Co 1.96, N2···Co 2.10, N5···Co 1.98, N6···Co 1.93, N7···Co 1.96; N1···Co···N6 163.2, N5···Co···N7 160.1; angle between N1CoN2 and N5N6N7Co planes 89.3); (b)  $[Ni^{II}(6)](ClO_4)_2$  (N1···Ni 2.00, N2···Ni 2.02, N5···Ni 2.10, N6···Ni 2.02, N7···Ni 2.07; N1···Ni ···N6 156.5, N5···Ni···N7 155.4; angle between N1NiN2 and N5N6N7Ni planes 88.8); (c)  $[Cu^{II}(6)](ClO_4)_2$  (N1···Cu 2.01, N2···Cu 2.14, N5···Cu 2.03, N6···Cu 1.97, N7···Cu 2.01; N1···Cu··N6 159.1, N5···Cu···N7 157.3; angle between N1CuN2 and N5N6N7Cu planes 89.9); d)  $[Zn^{II}(6)](ClO_4)_2$ . H atoms, non-coordinated anions and interstitial solvent have been omitted for clarity.

Coordination chemistry – conclusions. The UV-vis and <sup>1</sup>H NMR titrations of rotaxanes 4-6 with M(ClO<sub>4</sub>)<sub>2</sub> and their comparison with the corresponding non-interlocked ligands, alongside the solid-state structures obtained, clearly demonstrate the ability of the mechanical bond to enforce the formation of complexes the non-interlocked equivalent of which are inaccessible under the same conditions. In contrast, in their original work, Sauvage and co-workers were able to access non-interlocked equivalents to their catenane-based metal complexes for most of the metals studied as the catenane coordination environment was equivalent to a simple "homoleptic"<sup>12</sup> bis-phenanthroline complex.<sup>13c</sup> The mechanical bond also enhances the binding properties of otherwise poorly coordinating ligand

fragments 7 and 8, which participate in the formation of interlocked complexes but are weakly bound individually.

However, the sterically crowded binding site appears to enforce a compromise between this mechanical chelate effect, which is expected to enhance binding, and the exclusion of exogenous ligands, which results in coordination numbers <6 and, as a consequence, weak binding of rotaxane 4 with Co<sup>II</sup> and Ni<sup>II</sup>. The ability of the mechanical bond to exclude additional ligands is perhaps most obvious in the cases of  $[Co(6)](ClO_4)_2$  and  $[Ni(6)](ClO_4)_2$  where a sixcoordinate complex might have been expected to form, although in this case the mechanical chelate effect of the pentadentate ligand ensures strong binding with all of the metal ions studied. Of the structures presented  $[Cu^{II}(5)](ClO_4)_2$  is perhaps most striking, with the metal ion adopting a highly distorted tetrahedral geometry reminiscent of the Type II active site found in copper proteins.28

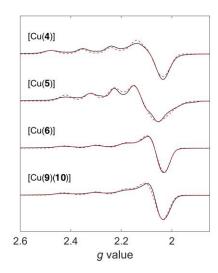
## ELECTRONIC AND REDOX PROPERTIES OF ROTAXANE-BASED METAL COMPLEXES

With a set of interlocked metal complexes in hand we proceeded to investigate their electronic properties by continuous wave EPR and their redox properties by cyclic voltammetry (CV). These methods allowed us to confirm the nature of the complexes formed in solution and identify the effect of the mechanical bond on their electrochemical stability. To enable a direct comparison between the tritetra- and pentadentate rotaxane ligands, we first focused on Cu<sup>II</sup> given that it is both paramagnetic and redox-active and forms stable complexes with rotaxane-based ligands 4-6.

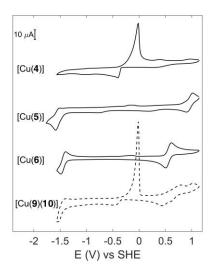
Cu<sup>II</sup> complexes of rotaxanes 4-6. The coordination environments of Cu-rotaxane complexes [Cu(4)](ClO<sub>4</sub>)<sub>2</sub>,  $[Cu(5)](ClO_4)_2$  and  $[Cu(6)](ClO_4)_2$  in solution were characterized by EPR spectroscopy (Figure 5) and compared with those predicted based on their solid-state structures (Figure 4). Solid-state EPR spectra were also acquired (Figure S159) which show that, besides the expected linebroadening, no significant changes occur compared to the frozen-solution spectra. This demonstrates that the metal centers remain magnetically dilute in the solid state and validates the comparison of structures determined by SCXRD with frozen-solution EPR spectra. All frozen-solution Cu<sup>II</sup> spectra exhibit axial or slightly rhombic anisotropy, with  $q_z > q_y \approx q_x$  indicative of the unpaired electron on Cu<sup>II</sup> being located in the  $d_{(x^2-y^2)}$  orbital.<sup>32</sup> The interaction of the unpaired electron with the copper nucleus (I = 3/2)gives rise to four hyperfine peaks, three of which are clearly visible in the  $g_z$  region of each spectrum (the 4<sup>th</sup> hyperfine peak of  $A_z$  is masked by the  $q_{x,y}$  line, which is broad due to the unresolved  $A_{x,y}$  hyperfine coupling).

The EPR spectrum of pentacoordinate  $[Cu(6)](ClO_4)_2$  is fully consistent with the solid state structure obtained by SCXRD (Figure 4c) with  $g_z = 2.239$  and hyperfine parameter  $A_z = 472$  MHz, similar to previously reported analogous

systems (Figure 5),33 and in keeping the values typically reported for Type II Cu centers in proteins.<sup>28a</sup> Furthermore, non-interlocked complex [Cu(9)(10)](ClO<sub>4</sub>)<sub>2</sub> presents an extremely similar EPR spectrum ( $q_z = 2.241$ ,  $A_z = 471$  MHz) confirming that, as implied by UV-vis analysis, pentacoordinate rotaxane 6 and the non-interlocked ligands 9 and 10 provide essentially identical coordination environments for Cu<sup>II</sup>. In contrast to the SCXRD data, the EPR spectrum of  $[Cu(5)](ClO_4)_2$ , in which the rotaxane provides a tetracoordinate binding site, reveals values of g and A consistent with similar systems characterized by tetracoordinate Cu<sup>II</sup>.3<sup>1g</sup>,33 Thus, the EPR data reveal that the [Cu(5)](ClO<sub>4</sub>)<sub>2</sub> structure appears to be highly fluxional in solution: the weakly bound perchlorate ion in the first coordination sphere of Cu<sup>II</sup> in the solid-state structure of  $[Cu(5)](ClO_4)_2$  (Figure 3c) is lost in solution, inducing a structural change from the penta- to tetracoordinated complex.



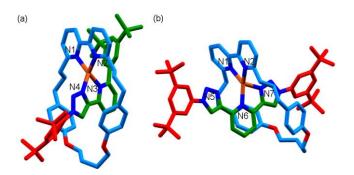
**Figure 5.** X-band EPR spectra of [Cu(4)], [Cu(5)], [Cu(6)] and [Cu(9)(10)] at 100K (black) and simulations (dashed red lines). EPR spectra were scaled by power, receiver gain, number of scans and frequency. Simulation of the experimental data were performed with Easyspin:<sup>34</sup> [Cu(4)]:  $g_x = 2.105$ ,  $g_y = 2.042$ ,  $g_z = 2.297$ ,  $A_x = 50$  MHz,  $A_y = 80$  MHz,  $A_z = 452$  MHz. [Cu(5)]:  $g_x = 2.125$ ,  $g_y = 2.044$ ,  $g_z = 2.272$ ,  $A_x = 90$  MHz,  $A_y = 112$  MHz,  $A_z = 373$  MHz. [Cu(6)]:  $g_x = 2.070$ ,  $g_y = 2.070$ ,  $g_z = 2.239$ ,  $A_x = 30$  MHz,  $A_y = 30$  MHz,  $A_z = 472$  MHz. [Cu(9)(10)]:  $g_x = 2.075$ ,  $g_y = 2.075$ ,  $g_z = 2.241$ ,  $A_x = -30$  MHz,  $A_y = -30$  MHz,  $A_z = 471$  MHz. Linewidth 3 mT.  $A_{x,y}$  values are an upper limit of the hyperfine coupling and were estimated from the peak-to-peak linewidth for the  $g_{xy}$  peak divided by 4.



**Figure 6.** CV of [Cu(4)], [Cu(5)], [Cu(6)] and [Cu(9)(10)]. Reduction potentials for the Cu<sup>1/II</sup> redox couple are +0.959 V and +0.559 V and reduction potentials for the ligand-based redox couple are -1.498 V and -1.439 V for [Cu(5)] and [Cu(6)], respectively.

Compared to the square pyramidal  $[Cu(6)](ClO_4)_2$ ,  $[Cu(5)](ClO_4)_2$  exhibits an increased  $g_z$  and a decreased  $A_z$  (Figure 5), consistent with the predictions of ligand-field theory; a square pyramidal geometry induces a larger energy splitting between the SOMO (singly occupied molecular orbital) and the close-lying d-orbitals than the energy difference that is typically expected for tetrahedral geometries. Because the deviation of g from  $g_e$  is inversely proportional to the energy gap between the SOMO and the fully-occupied d orbitals,  $g_z$  is expected to be closer to  $g_e$  in the pentacoordinate complex.<sup>35</sup>

The trend in g and A is not entirely followed by the nominally tricoordinate  $[Cu(4)](ClO_4)_2$ ;  $g_z$  is consistent with a lower coordination number whereas  $A_z$  is consistent with the higher, pentacoordinate structure found in the solid state. This apparently less well-defined geometry is in keeping with the CV experiments (see below) and perhaps unsurprising as in the solid state the fourth and fifth coordination sites are filled by labile solvent molecules and in solution this structure is likely to be highly fluxional. The values of g and A obtained from simulations of the  $[Cu(4)](ClO_4)_2$  EPR spectrum are in good agreement with those previously reported for the similar complex [Cu(bipy)(py)] which, although nominally tricoordinate, was demonstrated by EPR to be four coordinate in solution.<sup>32</sup>



**Figure 7.** SCXRD structures with selected interaction lengths (Å) and angles (°) of: (a)  $[Cu^I(5)](PF_6)$  (N1····Cu 2.00, N2····Cu 2.01, N3····Cu 2.06, N4····Cu 2.00; angle between N1CuN2 and N3CuN4 planes 78.7); (b)  $[Cu^I(6)](ClO_4)_2$  (N1····Cu 2.04, N2····Cu 2.03, N5····Cu 2.85, N6····Cu 2.04, N5····Cu 2.11; N1····Ni···N6 132.9, N5····Ni···N7 148.43; angle between N1CuN2 and N5N6N7Cu planes 87.0. H atoms, non-coordinated anions and interstitial solvent have been omitted for clarity.

Having clarified the solution state structures of  $[Cu(4-6)](ClO_4)_2$  by EPR, we turned our attention to the effect that their structures have on their electrochemical properties. The cyclic voltammograms of  $[Cu(4)](ClO_4)_2$ ,  $[Cu(5)](ClO_4)_2$  and  $[Cu(6)](ClO_4)_2$  show a striking difference in their reduction potentials, as well as in the reversibility of the redox events (Figure 6).

Both  $[Cu(5)](ClO_4)_2$  and  $[Cu(6)](ClO_4)_2$  exhibit one reversible process ( $E_m = +0.959$  V and +0.559 V, respectively) that are assigned to a  $Cu^{II/I}$  process on the basis of EPR-monitored electrolysis experiments at different controlled potentials (S163). The CVs of  $[Cu(5)](ClO_4)_2$  and  $[Cu(6)](ClO_4)_2$  reveal a further reversible redox process with  $E_m = -1.498$  V and -1.439 V, respectively, that is not observed in  $[Cu(4)](ClO_4)_2$ . Similar reduction events were observed in the case of  $[Zn(5)](ClO_4)_2$  (Figure S155) and  $[Zn(6)](ClO_4)_2$  (Figure S153) suggesting that this process is ligand centered.<sup>36</sup> No redox event was observed for the ligand frameworks in the absence of any metal (Figure S156), suggesting that the electron withdrawing effect of the metal ion activates the ligands to reduction.

In contrast, no reversible  $Cu^{II/I}$  couple is observed for  $[Cu(4)](ClO_4)_2$ . Instead, an irreversible process is visible for the  $Cu^{I/o}$  redox couple, where the reduction occurring at -0.470 V in the cathodic sweep is followed by oxidative stripping that results in a large anodic peak at -0.015 V, as has previously been observed for other  $Cu^I$  complexes.<sup>37</sup> This suggests that the  $Cu^I$  complex of tridentate ligand 4 is unstable under these conditions, and indeed, UV-vis titration of 4 with  $[Cu(MeCN)_4]PF_6$  revealed weak binding ( $K_a \sim 10^4 \, \text{M}^{-1}$ ). These results suggest that 4 is unable to stabilize both  $Cu^I$  and  $Cu^{II}$  which leads in turn to chemical irreversibility under reducing conditions.

The significant difference in the reduction potentials of the Cu<sup>II/I</sup> couple (~400 mV) between tetradentate ligand **5** and pentadentate ligand **6** is unsurprising given the coordination preferences of Cu in different oxidation states.<sup>33</sup> Indeed, rotaxanes **5** and **6** resemble the phenanthroline and terpyridine "stations" used in Sauvage and co-workers original electrochemically driven shuttle with molecular motion triggered by  $\text{Cu}^{1/11}$  redox cycling.<sup>38</sup> Thus, tetradentate rotaxane 5 provides an ideal binding site for  $\text{Cu}^1$  and this is reflected in the high redox potential of the  $\text{Cu}^{1/11}$  couple. The facile formation of  $\text{Cu}^1$  is again analogous to copper enzymes in which strong distortion from tetrahedral geometry in the entatic state leads to unusually high reduction potentials.<sup>5</sup> The solid state structure of  $[\text{Cu}(5)](\text{PF}_6)$  obtained by SCXRD (Figure 7a) emphasizes how well suited this ligand is for the tetrahedral metal center.

Conversely, it might be expected that pentadentate ligand 6 is better suited to binding Cu<sup>II</sup> which would result in a lower value of  $E_{\rm m}$ , as observed. However, the reduction potential of  $[Cu(6)](ClO_4)_2$  is not particularly low, as might be expected for a ligand that could be considered optimized for Cu<sup>II</sup>, suggesting that Cu<sup>I</sup> is also well accommodated. The ability of nominally pentadentate ligand 6 to accommodate Cu<sup>I</sup> was confirmed in the solid state by x-ray analysis (Figure 7b); 6 provides a four-coordinate environment suitable for Cu<sup>1</sup> by allowing the metal to be displaced to one end of the binding pocket. Thus, pentadentate ligand 6 is flexible enough to readily accommodate Cu in either oxidation state resulting in reversible redox behavior at a moderate value of  $E_{\rm m}$ . Indeed, the binding constant of **6** with Cu<sup>I</sup> is still remarkably high ( $K_a > 10^6 \,\mathrm{M}^{-1}$ ), highlighting that although this pentadentate mechanically chelating ligand is rigid enough to enforce a five-coordinate environment on Co<sup>II</sup> and Ni<sup>II</sup>, it is still flexible enough to accommodate lower valent metals.

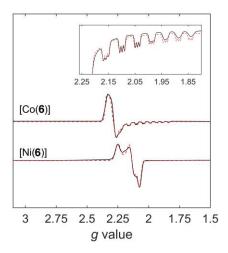
Finally, the electrochemical stability of  $[Cu(9)(10)](ClO_4)_2$  is dramatically lower than that of [Cu(6)](ClO<sub>4</sub>)<sub>2</sub> (Figure 6). Whereas, the Cu<sup>1/II</sup> couple is fully reversible for the interlocked ligand.  $[Cu(\mathbf{9})(\mathbf{10})](ClO_4)_2$  voltammogram cannot be assigned unambiguously. The ligand-centered reduction is not fully reversible and the Cu<sup>II/I</sup> couple appears to be split into two semi-reversible steps whose reduction potentials are remarkably similar to those of  $[Cu(5)](ClO_4)_2$ [Cu(6)](ClO<sub>4</sub>)<sub>2</sub>. Together with the UV-vis speciation experiments and the EPR data, we tentatively conclude that the non-interlocked heteroleptic complex [Cu(9)(10)](ClO<sub>4</sub>)<sub>2</sub> undergoes ligand disproportionation - most likely to homoleptic complex  $[Cu(\mathbf{10})_2]^+$  – on reduction whereas the interlocked structure prevents such reorganization for  $[Cu(6)](ClO_4)_2$ . Thus, even though EPR measurements and UV-vis titrations suggest that  $[Cu(6)]^{2+}$  and  $[Cu(9)(10)]^{2+}$ are structurally extremely similar, their electrochemical properties are significantly different; as a result of the mechanical bond the interlocked metal complex is dramatically more robust, allowing it to undergo electrochemical manipulation without degradation.

Taken together, the results of the Cu complexes show how the coordination environment, corroborated through EPR measurements, alter the redox processes occurring on the metal center. As highlighted by the pentacoordinate complexes, the rotaxane ligand clearly enhances the electrochemical reversibility of the Cu<sup>II/I</sup> redox couple in the interlocked scaffold with respect to the non-interlocked counterpart.

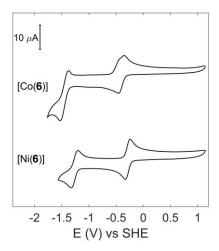
Electronic and Redox Properties of [Co(6)]<sup>2+</sup>. The EPR spectrum of pentacoordinate [Co(6)](ClO<sub>4</sub>)<sub>2</sub> suggests that the complex exists as a mixture of low-spin and high-spin states, with the fast-relaxing high-spin signal only visible at temperatures as low as 12 K (Figure 8, S162). The lowspin signal of  $[Co(6)](ClO_4)_2$  displays a rhombic g tensor, with an eight-line hyperfine splitting on  $q_z$  due to the interaction of the unpaired electron with the Co<sup>II</sup> nucleus (I = 7/2). A superhyperfine coupling is also detectable along  $g_z$  ( $A_z = 42$  MHz), arising from the interaction of the unpaired electron with one of the surrounding 14N nuclei. Apart from minor differences in the *q* values, the hyperfine couplings with the 59Co and 14N nuclei are in good agreement with those reported for square pyramidal cobalt porphyrins, where the 5N coordination is provided by the addition of an imidazole ligand.39 Our results thus suggest that the solution-phase structure of  $[Co(6)](ClO_4)_2$  is similar to the solid state, with no additional exogenous ligands coordinating to the metal center.

*N*-pentacoordinate Co<sup>II</sup> complexes are not common. Among those reported, the high-spin configuration is most frequently observed;40 indeed coordination numbers lower than six typically stabilize the high-spin state. 40b,41 There are however examples of low-spin Co<sup>II</sup> with a 5N coordination, e.g. tetradentate macrocyclic ligands such as tetraazacyclododecane31h and tetraazacyclotetradecadiene<sup>31b</sup> in the presence of a fifth ligand. Thus, although both spin configurations are known to be accessible for Co<sup>II</sup> pentacoordinate structures, including trigonal bipyramidal, square pyramidal and intermediate geometries,42 the observed mixture of spin states in [Co(6)](ClO<sub>4</sub>)<sub>2</sub> is unusual. Double integration of the low-spin signal at 100 K under strictly non-saturating conditions led to a spin quantification of  $2.87 \pm 0.06$  mM for a sample with a 10 mM nominal concentration suggesting an approximate ratio of 3.5:1 high to low-spin. A detailed study of the magnetic properties of this complex is ongoing but outside the scope of this

Cyclic voltammetry of [Co(6)](ClO<sub>4</sub>)<sub>2</sub> reveals two reversible redox processes at –0.395 V and –1.481 V, assigned to the Co<sup>II/I</sup> couple and to the ligand framework, respectively (Figure 9).<sup>43</sup> Although complexes of Co<sup>II</sup> with pyridyl donors are usually able to undergo electrochemical oxidation to Co<sup>III</sup>,<sup>44</sup> no Co<sup>III/II</sup> redox couple was observed for [Co(6)](ClO<sub>4</sub>)<sub>2</sub>. The inaccessibility of the Co<sup>III</sup> oxidation state is consistent with Sauvage and co-workers original report in which a catenane ligand was found to prevent access to higher oxidation states by inhibiting ligand reorganization, and a similar effect was recently observed in the context of a Co<sup>II</sup> complex of the sterically hindered ligand neocuprine,<sup>45</sup> but this is the first time to our knowledge that a similar effect has been observed in the context of a rotaxane.<sup>13c</sup>



**Figure 8.** X-band EPR spectra of [Co(6)] and [Ni(6)] at 100K. EPR measurements of [Co(6)] were carried out in  $CH_2Cl_2$ . Simulations (red) of the experimental data (black) were performed with Easyspin.<sup>34</sup> [Co(6)]:  $g_x = 2.298$ ,  $g_y = 2.278$ ,  $g_z = 2.014$ ,  $A_x = 20$  MHz,  $A_y = 20$  MHz,  $A_z$  (<sup>59</sup>Co) = 260 MHz,  $A_z$  (<sup>14</sup>N) = 42 MHz. [Ni(6)]:  $g_x = 2.137$ ,  $g_y = 2.075$ ,  $g_z = 2.249$ . Linewidth 3.4 mT. Inset: superhyperfine splitting on the  $g_z$  region of [Co(6)] due to the interaction with one <sup>14</sup>N nucleus (I = 1).  $A_{x,y}$  values are an upper limit of the hyperfine coupling and were estimated from the peak-to-peak linewidth for the  $g_{xy}$  peak divided by 8.



**Figure 9.** CV of [Co(6)] (in  $CH_2Cl_2$ ) and [Ni(6)] (MeCN). Reduction potentials for the M<sup>1/II</sup> redox couple are -0.395 V and -0.285 V and reduction potentials for the ligand-based redox couple are -1.481 V and -1.264 V for [Co(6)] and [Ni(6)], respectively.

The interlocked ligands thus prevent the oxidation to Co<sup>III</sup>, otherwise a common feature of Co<sup>II</sup> complexes, as well as conferring a small energy gap between the high-and low-spin states that results in an unusual mixture of the spin states being observed in solution.

Electronic and Redox Properties of [Ni(6)](ClO<sub>4</sub>)<sub>2</sub>. As in the case of the other metal rotaxane complexes, the elecof pentacoordinate trochemical profile [Ni(6)](ClO<sub>4</sub>)<sub>2</sub> contains two reversible processes where the Ni<sup>II/I</sup> redox couple occurs at -0.285 V and the ligand-centered couple at -1.264 V (Figure 9). The first reduction was assigned as a one-electron process of the metal on the basis of EPR studies performed on the electrochemically reduced [Ni(6)](ClO<sub>4</sub>)<sub>2</sub> sample; bulk electrochemical reduction of  $[Ni(6)](ClO_4)_2$  at -0.350 V produced a dark blue solution with a typical d9 Ni<sup>I</sup> EPR spectrum and a well-defined rhombic q tensor (Figure 8). No radical-like signal around g = 2.00, which would be consistent with ligand centered reduction, was observed.46 Thus, electrolysis of the complex at -0.350 V generated a Ni+ reduction product in which the unpaired electron resides on the metal site rather than on the ligand moiety.

The electrochemical reduction of homoleptic complex  $[Ni(10)_n](ClO_4)_2$  was also attempted, but no Ni<sup>I</sup> EPR signal was observed. The accessibility of the Ni<sup>I</sup> oxidation state in the case of  $[Ni(6)](ClO_4)_2$  thus appears to be a consequence of the mechanical bond, which allows access to a low-coordination number heteroleptic complex that is unavailable when the corresponding non-interlocked ligands are employed, and stabilizes the reduced state of the metal ion while preventing the dissociation of the complex. Furthermore, when a bulk sample of [Ni(6)](ClO<sub>4</sub>)<sub>2</sub> was reduced electrochemically in a Q-band EPR tube (I.D. 1.1 mm, 10 µL) and allowed to stand in ambient conditions, after one day the sample still retained the deep blue color indicative of the Ni<sup>I</sup> complex. Bubbling O<sub>2</sub> through the sample resulted in immediate return to a pale-yellow solution, indicating rapid oxidation to the Ni<sup>II</sup> species. The steric bulk of the rotaxane framework thus appears to impose kinetic stability on the reduced Ni<sup>I</sup> species, which was shown by EPR spectroscopy to have a metal-centered unpaired electron.

#### CONCLUSIONS

The binding of rotaxane ligands and non-interlocked analogues to first row transition metals has been investigated in detail for the first time. The structures of these complexes in the solid state demonstrate the ability of the mechanical bond to enforce unusual coordination geometries and numbers that cannot be accessed in the non-interlocked case. EPR spectroscopic investigations allowed us to corroborate the solution state structures and the *g* and *A* values of the Cu complexes were shown to be sensitive probes of coordination geometry and its effect on the relative orbital energies of the systems. In the case of Cu<sup>II</sup>-rotaxane complexes, cyclic voltammetry confirmed that the mechanical bond confers electrochemical and chemical reversibility on the Cu<sup>I/II</sup> couple; even when the metal

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coordination environment is identical, the mechanical bond prevents ligand reorganization during reduction/oxidation, analogous to the amino-acid framework in redox proteins that minimize reoganization energy to enable efficient electron transfer. As previously reported in the context of catenane-based metal complexes, rotaxane ligands proved to greatly enhance the stability of low oxidation states; the Co<sup>II/III</sup> redox couple could not be observed in the case of pentadentate rotaxane 6 and for the corresponding Ni<sup>II</sup> complex, electrochemical reduction of the sample yielded a stable Ni<sup>I</sup> species (an oxidation state that is known to play an important role in Ni-containing enzymes) that could be detected by EPR.

Metal complexes of interlocked ligands have been reported widely since the development of passive template syntheses of mechanically interlocked molecules, but little attention has been given to the effect of the mechanical bond to the chemistry of the coordinated metal ion. Based on our results we propose that readily available rotaxane ligands represent an underexplored platform for the development of metal complexes for a range of applications in materials, inorganic medicinal chemistry and catalysis.

### ASSOCIATED CONTENT

#### **Supporting Information**

Full experimental details and characterization data, including SCXRD data where appropriate, for all novel compounds. All experimental details for electrochemical and EPR experiments. This material is available free of charge via the Internet at <a href="http://pubs.acs.org">http://pubs.acs.org</a>.

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- (21) We focussed on acyclic bipyridine **10** with axles **7-9** rather than unstoppered equivalents of the axles with macrocycle **1** as the small size of **1** disfavours formation of threaded pseudorotaxane complexes. For instance, titration of a mixture of **1** and **S5**, an analogue of **8** that lacks the 'Bu groups, with Zn²+ monitored by 'H NMR confirmed the formation of a complex mixture of products in which the threaded complex is disfavoured even though formation of the Zn²+ complex is extremely favourable in the case of rotaxane **5**. See Figures S37-41.
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