

**Summer temperature fluctuations in Southwestern China during the end of the LGM
and the last deglaciation**

Enlou Zhang^{1*}, Jie Chang^{1,2}, James Shulmeister², Pete Langdon³, Weiwei Sun¹, Yanmin Cao⁴,
Xiangdong Yang¹ and Ji Shen¹

1. State Key Laboratory of Lake Science and Environment, Nanjing Institute of
Geography and Limnology, Chinese Academy of Science, Nanjing 210008, China

2. School of Earth and Environmental Sciences, The University of Queensland, St Lucia,
Qld 4072, Australia

3. Geography and Environment, University of Southampton, Southampton SO17 1BJ,
UK

4. College of Resources and Environmental Science, South-Central University for
Nationalities, Wuhan 430074, China

***Corresponding author:** elzhang@niglas.ac.cn

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Abstract

We present a sub-centennial resolution summer temperature record from the SE Tibetan Plateau (Tiancai Lake, SW China, 3900 m a.s.l.) derived from a chironomid stratigraphy covering the last c.19.5 ka. The record highlights the interaction between tropical and high-latitude climate forcing through the changes in atmospheric circulation during the last deglaciation. The scale of the last glacial maximum (LGM) cooling is consistent with other tropical mountain regions at c.5 °C and a rapid recovery of temperatures at 19.0 ka is related to changes in adiabatic lapse rates at the end of the glaciation. The overall pattern of change shows that North Atlantic deglaciation climate events (the Heinrich 1 and Younger Dryas cooling events and the Bølling-Allerød warm period) are all recorded, but the influence of the events decline as the deglaciation progresses. We relate these patterns to North Atlantic Deep water ventilating the Southern Ocean and to the consequent movements of the Intertropical Convergence Zone (ITCZ) in the Indian Ocean Basin as transmitted through changes in the southern mid-latitude circulation.

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52 **1. Introduction**

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54 Environmental changes that occur during deglacial phases are complex and multiple lines of
55 evidence are required to understand key processes beyond simply the rate, timing and
56 magnitude of change. Understanding how the oceans, atmosphere and land interact in terms
57 of heat transfer has become important to understanding the relative strength of monsoon
58 processes, which dominate the climate of the (sub)tropics. Proxy records covering the last
59 deglaciation (c.19-11.7 ka) suggest a bi-polar see-saw mechanism, where heat transfer
60 between the two polar hemispheres works in anti-phase (as reviewed by Shakun and Carlson
61 (2010)). During the early part of the deglaciation (c.19-15 ka), a rise in global temperatures
62 led to rapid ice sheet melting, and increased input of freshwater to the ocean led to a
63 slowdown or shut down of Atlantic Meridional Ocean Circulation (AMOC) (McManus et al.
64 2004). This reduction in AMOC would have caused a southward shift in the Intertropical
65 Convergence Zone (ITCZ), which in turn changed latitudinal precipitation and wind patterns,
66 as well as upwelling regimes (Lea et al. 2003; Yancheva et al. 2007; Zhang and Delworth
67 2005). $\delta^{18}\text{O}$ records of Chinese cave speleothems show a weakened monsoon during this
68 period (e.g. Wang et al. 2001; Dykoski et al. 2015). These changes, during a weakened
69 AMOC, would result in reduced northward heat transport and it has been suggested that heat
70 may be preferentially stored in the subtropics and tropics (Carlson et al. 2008; Rühlemann et
71 al. 1999), causing at least regional warming in the tropics during North Atlantic cooling
72 events.

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74 These ideas are little tested in terrestrial areas of the tropics, and subtropics and terrestrial
75 temperature reconstructions in these areas rarely extend back to the LGM. Here we develop

the first terrestrial summer temperature record from lake sediments in the Yunnan region of the Qinghai-Tibetan Plateau (QTP) that extends back to the end of the last glacial maximum (LGM). in order to examine climate responses in this region during the deglaciation, while the Asian summer monsoon was inferred to be weakened (Shakun et al. 2007; Wang et al. 2001). In addition, we compare our summer temperature reconstruction with a model simulation of regional mean annual temperatures based on the output data from the TraCE-21000 model experiment which is forced by meltwater flux, orbital changes, CO₂, and ice sheet volume (Liu et al. 2009). We aim to understand the rate, magnitude and timing of changes in terrestrial summer temperatures to better understand the long-term nexus of the regional summer temperature and the Asian summer monsoons.

The Yunnan region of the QTP forms an important eastern extension of the Tibetan high country (Fig. 1). It differs from the main QTP in that it is primarily influenced by warm and humid airflow from the Bay of Bengal in summer and is much more humid than the high plateau areas. The Yunnan high altitude region extends to over 4200 m a.s.l. and glaciers occupied valleys down to at least 3700 m a.s.l. during the last glaciation (Zhang et al. 2015). Lakes formed in the cirque basins after the glaciers retreated. We have no direct age evidence for the latest ice occupation of the lake basin but basal sediment ages indicate that the study basin was ice-free by 19.5 ka. Consequently, this lake (Tiancai Lake) records changes from the termination of the LGM to modern times, including the whole of the last deglaciation (c.19-11.7 ka). On and around the QTP, there are a variety of records that indicate changes in precipitation (Wang et al. 2014; Opitz et al. 2015), but there are very few proxies for temperature change, except pollen-based reconstructions from more arid areas of the QTP (Opitz et al. 2015; Shen et al. 2006) and none for seasonal temperatures. Here we present

summer temperatures reconstructed from chironomids, at sub-centennial scale from 19.5 ka from Tiancai Lake, an alpine lake located in northern Yunnan Province (Fig. 1).

2. Materials and Methods

2.1. Study site

Tiancai Lake (26°38'3.8"N, 99°43'00"E, 3890 m a.s.l.) has a surface area of c.2.1 ha, a mean depth of 6 m and is located in the SE edge of the Tibetan Plateau, Yunnan Province, SW China (Fig. 1). The lake is in the lower part of a chain of glacially-carved lakes. It is hydrologically open with three inflows from the west, south and southeast, and has an outflow to the north. The climate in this region is strongly influenced by the Indian Ocean (Andaman Sea) Monsoon (Fig. 1), with a mean annual temperature at Tiancai Lake of c.2.5 °C, mean July temperature of 8.4 °C and mean annual precipitation of c.910 mm falling mainly between July and September. The lake freezes in winter. Primary forest above 3200 m is characterized by montane conifers such as *Abies georgii*, *Picea* sp. and evergreen broadleaves notably *Rhododendron* spp. (Xiao et al. 2014). The upper catchment above 4000 m a.s.l. is dominated by Ericaceae, while the summit area around 4200 m a.s.l. is an alpine meadow (Xiao et al. 2014). The lake sediment is organic rich, with a pH value of 7.45 and conductivity of 11 $\mu\text{S cm}^{-1}$ (in October 2006) (Chen et al. 2014b). The lake basin became deglaciated after c.19.5 ka and the lake was rapidly established (Zhang et al. 2005). Tiancai Lake is an ideal place for investigating the activity of the Asian monsoon system, as it is situated in the ecologically and climatically sensitive timberline area, is a headwater basin, and features a continuous and high-resolution stratigraphic record (Xiao et al. 2014; Chen et al. 2014b).

2.2. The sediment core collection and chronology

A 926 cm long core (TCK1) was extracted from the centre of the lake at a water depth of 6.8 m using an Uwitech Coring Platform System in 2008, and sectioned at 1-cm intervals. This core did not reach the base of the sediments. In November 2010, another core was collected that reached the rock floor of the basin near core TCK1 using the same piston corer. The second core (TCYL1) is 865-cm-long, and was also sectioned at 1 cm intervals. The two cores, TCK1 and TCYL1 were correlated using radiocarbon chronologies and magnetic susceptibility. A composite core record was constructed for Tiancai Lake with a total length of 1084 cm. The 926 cm sediment of TCK1 and the 707-824 cm (the organic section) of TCYL1 were treated for chironomid analyses, with a total length of 1043 cm of organic sediment. This study mainly discussed the bottom 386 cm (covers c.19.5-8 ka) of the composite sediment record whereas the details of the top sections covering the entire Holocene were included in Zhang et al. (2017b). The chronology was established using ^{210}Pb , 18 accelerator mass spectrometry (AMS) radiocarbon dates on the TCK1 core and 12 AMS dates in the lower part of the TCYL1 core. Detailed chronology results are presented along with the pollen records (Xiao et al., 2014a and Xiao et al., 2014b).

2.3. Chironomid sample preparation and identification

Sub-fossil assemblages of chironomid head capsules preserved in lake sediments have been extensively used to reconstruct variations in summer air temperature across the globe (e.g. Brooks and Langdon, 2014; Chang et al. 2015;). Samples for chironomid analysis were prepared at 4-cm intervals. Chironomid samples were placed in a solution of 10% KOH and

heated to around 75 °C for 15 minutes. The samples were then sieved with a 90µm mesh and the residue was examined under a stereo-zoom microscope at 25×. All the head capsules found were picked and mounted on microscope slides in a solution of Hydromatrix®. The chironomid head capsules were identified following the chironomid identification guides developed for the Northern Hemisphere and China (Oliver and Roussel 1982; Rieradevall and Brooks 2001; Tang 2006; Brooks et al 2007;). It is somewhat difficult to distinguish specimens of the *Cricotopus*, *Orthocladius* and *Parakiefferiella* genera because of the poor integrity of head capsules, so these fossils were classified as a COP complex in the record (Fig. 2).

2.4. Quantifying summer temperatures and reconstruction validation

The summer air temperatures based on chironomid assemblages of Tiancai Lake were calculated using a chironomid-mean July temperature transfer function (Zhang et al. 2017a) in C2 software (Juggins 2005). This transfer function was built using a WA-PLS model based on 100 lakes that covers all of SW China (Zhang et al. 2017a). It has an r^2 of 0.63 and a sample specific error of $\pm 2.3^\circ\text{C}$. Though the error of the transfer function is relatively high compared to the average of c.1 °C from Europe and North America (Brooks and Birks 2001), the trends within the data are likely to be robust as it tracks clearly changes in species assemblages along with the summer temperature gradient (Fig 3).

We applied reconstruction diagnostics including the goodness-of-fit analysis, passive ordination and modern analogue techniques to examine the reliability of the reconstructions using R program (R Core Team 2013) with the package ‘analogue’ developed by Simpson and Oksanen (2016): Goodness-of-fit to mean July temperature was evaluated by passively

fitting fossil samples to the canonical correlation analysis (CCA) ordination axis, which was calculated based on the modern training set of chironomid samples constrained to mean July temperature. Fossil samples whose squared residual length was larger than the residual distance of the extreme 5% of the training set are considered to have a 'poor' fit to temperature. Fossil chironomid samples from 19.5-8 ka were plotted passively and connected with time-tracks on the redundancy analysis plot of the modern training set samples and an overlay of the mean July temperature gradient was also plotted on the same diagram (Fig 3). The modern analogue technique was applied to obtain estimates of the dissimilarity coefficient to the closest modern analogue for each fossil sample. Samples were considered as having 'Good', 'Fair' and 'None' analogue if they have a chi-square distance to the closest modern sample that are larger than the 5th, 15th percentile or beyond of all chi-square distances in the modern assemblage data, respectively (Anderson 1984).

3. Results

3.1. The sediment age vs. zones of the chironomid stratigraphy

We adapted the radiocarbon age model constructed in Xiao et al. (2014a) and Xiao et al. (2014b) as the subsamples were collected from the same core. According to the age-depth model, the composite depths of 1043 - 656 cm are presumed to date to between 19.5 to 8 ka BP. A total of 136 chironomid samples, ranging from 42 to 385 head capsules per sample, were sorted from the sediment samples of this section of the record. All chironomids were identified into 40 genera and 54 species. Of these, 48 taxa had a minimum abundance Hill's $N > 2\%$ and occurred in more than one sample. Cluster analysis (Grimm 1987) divided the sediment chironomid record into five main zones (Fig. 2). Figure 2 is a summary diagram of

30 major taxa which had an abundance of over 10% and occurred in over 10 samples (N and N2 > 10). The full diagram showing all 48 non-rare chironomid taxa is available as supplementary Figure S1.

Zone 1 from 19.5 to 18.6 ka (1043-1024 cm), *Pseudodiamesa* was the most abundant chironomid taxon in this zone with a mean percentage of 70.8%, decreasing upwards through this zone. Combined with COP complex and *Diamesa* taxa, they comprised 80% of all chironomids with the latter two taxa peaking at 1020 cm (18.3 ka) and 1025 cm (18.7 ka), respectively. *Micropsectra radialis*-type gradually increased across this zone and the abundance reached 29% from an initial value of 1%. Other taxa, except for *Pagastia*, occurred sporadically and showed very low percentages in this stage.

Zone 2 from 18.6 to 14.8 ka (1024-969 cm), was dominated by *Micropsectra radialis*-type (average 26%) and *Heterotrissocladius marcidus*-type (average 14%). The former species reached its two peaks at around 980 cm (15.4 ka) and 920 cm (12.1 ka), while *Heterotrissocladius marcidus*-type began to increase at the end of zone 1 and maintained a relatively high percentage in zone 2. Compared with Zone 1, *Diamesa* displayed very low abundance besides a momentary peak around 995 cm (c.16.1 ka). The COP complex decreased and *Pseudodiamesa* declined significantly after a small peak at 995 cm (c.16.1 ka). Several new taxa emerged in this stage, including *Macropelopia*, *Paramerina*, *Protanypus*, *Eukiefferiella claripennis*-type, *Corynocera ambigula*-type, *Micropsectra* type A, *Micropsectra* type B and *Neozavrelia*, although most of them displayed relatively low abundance.

224 Zone 3 from 14.8 to 13.1 ka (969-953 cm), *Heterotrissocladius marcidus*-type and
225 *Micropsectra radialis*-type continued dominating the species assemblages however compared
226 to zone 2, *Heterotrissocladius marcidus*-type showed a clear increase in the abundance (from
227 an average 14% to 20.4%) and *Micropsectra radialis*-type declined (from average 26% to
228 21.5%). *Heterotrissocladius marcidus*-type remained high through this zone and reached its
229 peak (27%) at around 967 cm (14.6 ka) while *Micropsectra radialis*-type reached its
230 minimum (14%) at around 959 cm (13.6 ka). Compared with Zone 2, taxa such as
231 *Pseudodiamesa*, COP complex, *Micropsectra insignilobus*-type, *Corynocera ambigula*-type
232 all showed an apparent decline. In contrast, *Tvetenia tamafalva*-type, *Parametrina*,
233 *Micropsectra* type B showed increases in their abundance.

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235 Zone 4 from 13.1 to 11.6 ka (953-888 cm), is characterized by the predominance of
236 *Micropsectra radialis*-type with an average of 29.7% and a rising trend that started at the end
237 of zone 3 and reached its peak (47.8%) at 924 cm (12.2 ka). *Heterotrissocladius marcidus*-
238 type continued to co-dominate the assemblages until half-way through this zone, where it
239 declined (from an average of 16.5% to 9.1%) at around 924 cm (12.2 ka). Compared with
240 zone 3, most of the other taxa displayed very minor fluctuations but, *Eukiefferiella*
241 *claripennis*-type, *Tvetenia tamafalva*-type and *Micropsectra insignilobus*-type all increase in
242 abundance from about 920 cm (c.12.1 ka).

243

244 Zone 5 from 11.6 to 8 ka (888-656 cm), several new taxa were more abundant than before,
245 and the chironomid diversity increased. Except for *Heterotrissocladius marcidus*-type, other
246 dominant taxa (e.g. *Tvetenia tamafalva*-type, *Micropsectra radialis*-type and *Micropsectra*
247 *insignilobus*-type) displayed small apparently random fluctuations through this zone.
248 *Heterotrissocladius marcidus*-type, *Micropsectra radialis*-type and *Pseudodiamesa*

decreased from c.895 cm, (c.11.6 ka), whereas *Tvetenia tamafalva*-type, *Micropsectra insignilobus*-type and *Eukiefferiella claripennis*-type maintained similar percentages from the end of zone 4 and remained at relatively high abundance. *Chironomus* and *Procladius* genera began to occur although with low abundance.

3.2. The reliability of the summer temperature reconstructions

The goodness-of-fit results show that only seven fossil samples (about 5% of the total samples) whose squared residual length were larger than the residual distance of the extreme 5% of the training set, and were considered to have a relatively ‘poor’ fit to temperature (Fig. 3A). The trajectory changes of the fossil samples generally track the mean July temperature gradient suggesting the influence of the secondary gradient on these fossil samples is insignificant (Fig. 3B). The modern analogue technique analyses show that a total of 17 samples (about 12.5 % of total samples)- that is most fossil sample from between 19.5-18.5 ka- have ‘no analogues’ in terms of assemblages within the calibration dataset; seven fossil samples have ‘fair analogues’; and 112 fossil samples (about 82 % of total samples) have ‘good analogues’ (Fig. 3C). The overall diagnostics suggest that the mean July temperature reconstructions, particularly during the deglacial and early Holocene (from 18.5-8 ka) are reliable although nearly all samples from 19.5-18.5 ka have no analogues. We acknowledge that the inferred absolute values of the mean July temperatures of the first 1 ka of the record should be interpreted with caution, however, we argue that we should not disregard these results because in many cases, fossil samples with no modern analogues still provide reliable and robust trends in temperature reconstructions (Lotter et al. 1999) as the (WA) PLS model performs relatively well in no analogue situations (Birks 1995; Lotter et al. 1999).

More importantly, while the chironomid assemblages have no modern analogues, the LGM component of the record is dominated by a chironomid taxon that is a known cold stenotherm (*Pseudodiamesa*), so it is perfectly reasonable for the model to reconstruct cooler temperatures at this time. *Pseudodiamesa* and *Diamesa spp.* are flowing water associated taxa that indicate that the ‘no analogue’ result, based on assemblages, not individual taxa, is because the lake is gradually filling at this time, with these taxa likely washed in from the inflow stream.

3.3. Summer temperature variations during the end of the LGM and the last deglaciation

We demonstrated that the reconstructed summer temperature trends track clearly the changes in chironomid taxon assemblages (Fig. 2 and Fig. 4A). The chironomid-derived temperature record reveals that mean July air temperature around Tiancai Lake was c. 3 °C prior to 19.5 ka when the basin was first deglaciated (Fig. 4A), yielding a cooling of 5.4 °C compared to today. There was an initial warming during the period between 18.6 and 11.7 ka, which is offset by a cooling during Heinrich Stadial 1 (HS1, c.17-15 ka), centred on 16.8 ka, but then recovered between 16.4-16.1 ka. This pattern is very similar to that from SSTs in the Arabian Gulf sector of the Indian Ocean (Tierney et al., 2016) and suggests that our record is mirroring changes in the Indian Ocean. It is also consistent with the work from the Andaman Sea by Rashid et al. (2007) who highlight H1 and YD events. The record then shows a relative decline in summer air temperatures until 14.8 ka. Thereafter, weak warming occurred from 14.8 to 12.8 ka which coincides with the Bølling-Allerød (B/A) stage, and a minor cold reversal from 13.1 to 11.6 ka which approximates the Younger Dryas chron (Fig. 4A) and is again observed in both Rashid et al. (2007) and Tierney et al. (2016). From 11.6 ka to 8 ka,

the mean summer temperatures shows minor cooling relative to the present (0.5 °C) (Fig. 4A), and the Holocene sequence is discussed in Zhang et al (2017a) while here we focus on the deglacial phase.

4. Discussion

4.1. Summer cooling at the end of the LGM and the possible mechanisms

The reconstructed LGM summer temperature (c. 3 °C, c. 5.4 °C of cooling from present day) is cooler than expected compared to regional pollen records. A record from Lake Xingyun (1700 m a.s.l) in SW China suggests that the temperature dropped 3 °C during 28-17.6 ka (Chen et al. 2014), whereas a pollen record from Lake Yidun (4400 m a.s.l) on the SE QTP estimated that summer air temperature at c.17.0 ka was 4 °C colder than modern (Shen et al. 2006). Estimates of LGM SSTs of the low latitude oceans are widely variable, both in the scale of the change and in regional expression. For the warmest parts of the tropical ocean (the West Pacific Warm Pool), estimates of LGM cooling range from 1°C to about 4°C. SSTs from foram assemblages and alkenones typically give cooling of ~1°C (e.g. Liu et al., 2002; MARGO, 2009). Most climate models predict about 2°C of cooling (Huang et al., 2013; and see Fig 2A in Tripathi et al., 2014) while salinity corrected Mg/Ca estimates (Mathien-Blard and Bassinot, 2009) clumped isotopes (Tripathi et al., 2014) support up to 4°C of cooling. These discrepancies have not been satisfactorily resolved but the weight of data and model results suggest that 1-2°C cooling is most likely.

The monsoon region that we are focussing on is the Andaman Sea/Bay of Bengal sector of the Indian Ocean which is the source area for the monsoon in the Yunnan. Again, the records

diverge. Mg/Ca SST estimates (Rashid et al., 2007) for the latter part of the LGM (c. 23-19 ka) indicate about 3°C of cooling whereas foram assemblages (CLIMAP, 2001) and alkenones from the Bay of Bengal (Kudrass et al., 2001) suggest 1-2°C. In either case, these values are substantially less than the c. 5°C we infer from our site during the latest LGM. In contrast, our estimates are compatible with synthesized records based on terrestrial proxies from tropical high altitude mountains which suggest mean annual cooling of 4-6 °C during the LGM (Barrows et al. 2011; Pinot et al. 1999). In addition, reconstructed mean annual air temperature from the Chinese Loess Plateau, c.10° latitudes north of Tiancai Lake, all suggest a 6-7 °C cooling during the LGM (Eagle et al. 2013). This agrees with previous models that suggest greater cooling at high altitude and higher latitude regions as compared to the tropical oceans (Fig. 4D) (Clark et al. 2012; Liu et al. 2009; Shakun et al. 2012).

Glacial climates are generally interpreted to be drier than modern, and there is evidence to suggest that cooling during the LGM was amplified with elevation, leading to significantly steeper lapse rates (Blard et al. 2007; Loomis et al. 2017). Moist adiabatic lapse rates approximate 6 K.km⁻¹. Modern values for summer lapse rates in Yunnan are likely to be close to this value as the air is both humid and tropical at lower elevations. The lapse rate for a perfectly dry atmosphere is 9.8 K.km⁻¹. A shift in the lapse rate of the order of 1 K.km⁻¹ per kilometre to a lapse rate of ~7 K.km⁻¹ would account for the observed difference in summer cooling between sea-level in the adjacent Indian Ocean and Tiancai Lake. This level of lapse rate modification is quite modest and average values of about 7 K.km⁻¹ are observed in modern meteorological data sets. Therefore, our data are consistent with a reduction in saturation water vapour in the late LGM atmosphere on the order of 15-20%. This provides a mechanism for reconciling summer temperatures from Tiancai Lake with the adjacent tropical oceans that are the regional monsoon source.

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350 An alternative (and/or additional) model is that advection of relatively dry air masses into the
351 inter-tropical convective cells may have played a role (Tripathi et al., 2014). This allows
352 under-saturated air to be convected to high elevations and thereby allows much more rapid
353 cooling of the air mass. Tripathi et al. (2014) suggest that this mechanism is sufficient to
354 explain the difference between observed and expected tropical glacial snowlines in the West-
355 Pacific Warm Pool when an oceanic cooling of about 4°C is inferred (in this case from
356 clumped isotopes) but it cannot resolve the difference on its own, if oceanic cooling was only
357 1-2°C. This mechanism can assist in narrowing the change between snowlines and SSTs in
358 the tropics allowing for lesser overall cooling.

359

360 The rapid temperature recovery to near modern values at Tiancai Lake between 19.2-18.5 ka
361 indicates a climatic transition from a glacial lapse rate to an interglacial (modern) one. This is
362 supported by the pollen record from this site where forest rapidly reoccupied the basin by
363 around 18.5 ka (Xiao et al. 2014) indicating rapid warming.

364

365 Alternatively, the large cooling obtained at the end of the LGM of the record could be due to
366 the enhanced cold water input into local lake waters, through long lasting snow patches or
367 residual glacial ice in the catchment. This has the effect of reducing the water temperature to
368 below its natural relationship with air temperature, allowing preferentially cool water
369 chironomids to inhabit the lake, and thus producing cooler temperatures than would be
370 expected. This has been argued for some Norwegian lakes where cooler than expected air
371 temperatures are reconstructed from recent sediments, as compared with modern instrumental
372 measurements, and this only occurs in lakes that have residual snow patches that last into
373 summer (Brooks and Birks, 2001). However, we argue that this effect is unlikely to have had

a significant impact on the summer temperature reconstructions at Tiancai Lake. This is because first, the transfer function used was a WAPLS mode, which normally underestimates temperatures at warm sites and overestimates them from colder sites. Tiancai Lake is one of the coldest among the 100-lake training set (Zhang et al., 2017b), thus, the summer temperature of 3°C at the site during the LGM is unlikely an underestimation. Second, we note that a few cold, lotic taxa, such as *Diamesa* were down-weighted in the model-based temperature estimates, as they are rarely present in the modern training set.

4.2. The regional patterns of summer temperature variations during the last deglaciation

There are a few other studies in SW China that detect the North Atlantic-derived, H1, BA and YD events. Specifically, these other records are based on pollen from Tiancai Lake and Lake Naleng, SE QTP and a membrane lipids record from Nam Co, southern QTP (Kramer et al. 2010; Xiao et al. 2014; Günther et al. 2015), but none of these proxies yield an explicitly summer record. Most importantly, these millennial-scale events are present in SST records from the tropical Indian Ocean (Rashid et al., 2007; Tierney et al., 2016). They are also visible in terrestrial records from the wider south and east Asia region including $\delta^{18}\text{O}$ records from Hulu Cave and Dongge Cave in SE China and Mawmluh Cave in northern India (Fig. 4J) (Wang et al. 2001; Dykoski et al. 2005; Dutt et al. 2015). These cave sites display a weak or absent monsoon pre-14.8 ka, whereas our record, like the Indian Ocean SST records show warm intervals between 19.5-14.8 ka, indicating an active monsoon but with considerable variability. Our record (Fig. 4A) suggests that the amplitude of cooling events during the deglaciation rapidly declined with a strong 18.5 ka event of about 500 years duration coincident with AMOC shutdown (McManus et al. 2004), a weakened but still clear response

to the H1 event, and a cooling response from c. 15.2-14.8 ka which may be a response to AMOC overshoot (Liu et al. 2009) and may be observed in the modelled temperature response (Fig. 4E). Conversely, the cooling response at the YD is modest compared with the Hulu and Dongge cave records (Fig. 4J). With the exception of the possible AMOC overshoot event, these excursions align rather well with the SST records from both Rashid et al., (2007) in the Andaman Sea and Tierney et al. (2016) (Fig. 4H) in the Arabian Sea sector of the Indian Ocean, supporting the concept that they are directly linked to monsoon strength. Tierney et al. (2016) make an explicit link between their SSTs and changes in the North Atlantic overturn circulation.

The less marked YD signature, and slower but steady warming thereafter contrasts with the rapid changes seen in the Greenland ice core and North Atlantic records (Fig. 4C and 4G), and follows more closely increases in Northern Hemisphere insolation (Fig. 4I) and CO₂ (Fig. 4K) (Berger and Loutre, 1991; Ahn et al., 2004), similar to the modelled temperature response (Fig. 4E) and other tropical records (e.g. Partin et al. 2015) (Fig. 4H). This suggests that there is a progressive increase in tropical influences at our site as the deglaciation period progressed.

4.3. Potential forcing mechanisms of deglacial summer temperature variability

The overall pattern of summer air temperatures during the deglaciation at Tiancai Lake appears to be disconnected from orbitally-induced summer insolation change at 30 °N (Fig. 4I) and the associated increase in atmospheric CO₂ concentrations based on Antarctic records (Fig. 4K) over these deglacial time periods (Ahn et al. 2004). Conversely, they appear more similar to the variations of SSTs from the Indian and the extra-tropical Atlantic oceans (Fig.

424 4G and 4H) (Rashid et al., 2007; Carlson et al., 2008). The millennial temperature
425 fluctuations are consistent with the timing of North Atlantic climate anomalies (Fig. 4F),
426 implying that shifts in atmospheric or oceanic circulation may have played an important role
427 in climate change around the SE QTP (Fig. 4C, 4F and 4G). During the last deglaciation,
428 North Atlantic climate anomalies have been explained by the variability in strength of
429 AMOC, resulting in modified heat transport to the high latitudes in the northern hemisphere
430 (Bond et al. 1997). The North Atlantic $^{231}\text{Pa}/^{230}\text{Th}$ record (Fig. 4F) (McManus et al. 2004)
431 shows that a slowdown or complete shutdown of AMOC between c.18-14.8 ka was due to the
432 large volumes of freshwater discharged into the North Atlantic resulting from the melting of
433 both the Laurentide and Fennoscandian ice sheets (McManus et al. 2004). Low North
434 Atlantic SSTs (Fig. 4G), during this cold period led to an increase in the meridional
435 temperature gradient and southward migration of the Intertropical Convergence Zone (ITCZ)
436 (Porter and An 1995), and is inferred to have resulted in a generally weakened East Asian
437 Summer Monsoon (Wang et al. 2001; Dykoski et al. 2005). In the case of eastern Asia,
438 transmission is through the Northern Hemisphere westerly wind circulation, but this westerly
439 circulation is pinned north of the Himalayas in the northern hemisphere summer, and
440 consequently the Northern Hemisphere westerlies cannot affect the Indian Ocean in this
441 season. Our chironomid record is specifically a summer record. After the resumption of
442 AMOC, summer temperatures at Tiancai Lake started to increase, peaking immediately prior
443 c.16 ka. This coincides with increased SSTs in the Andaman and Arabian Seas (Fig. 4H) and
444 a slow but steady increase in temperature as predicted by the modelling output (Fig. 4E). The
445 large summer temperature variation during H1 at the SE QTP is likely related to the
446 oscillations from the Indian Ocean and the instability of the Indian Ocean (Andaman Sea)
447 Summer Monsoon, exhibiting an interplay of the tropical and high altitude influence of the
448 regional summer temperatures at the site (Fig. 4F and 4H).

449

450 It is widely recognized that North Atlantic Deep Water (NADW) ventilates the circumpolar
451 waters in the Southern Ocean (Howe et al. 2016). About 40% (~ 9 Sv) of NADW is believed
452 to upwell south of the polar front in the Southern Ocean today (Iudicone et al. 2008) adding
453 considerable heat to surface waters at high latitudes and thereby plays a role in limiting the
454 extent of winter sea-ice (Weber et al. 2014). A shutdown or reduction of NADW production
455 due to a decline in AMOC before and during HS1 would permit sea-ice to extend further
456 north into the Southern Ocean (e.g. Weber et al. 2014). This would strengthen the
457 circumpolar vortex during the winter, which based on modern observations of the Southern
458 Annular Mode (Stammerjohn et al. 2008) would in turn result in a tightening of the pressure
459 gradient around Antarctica and counterintuitively produce a reduction in the northward
460 penetration of the Southern Hemisphere Winter Westerlies (Montade et al. 2015). A shift of
461 the ITCZ toward the winter (southern) hemisphere would therefore be expected during these
462 periods (centered at around 18, 17 and 15 ka) (De Deckker et al. 2012; Weber et al. 2014),
463 leading to a reduction of the summer monsoonal flow in the Indian Ocean, including the
464 Andaman Sea and summer temperature cooling at Tiancai Lake. While in the Indo-Australian
465 sector, NH impacts on the tropical monsoon can be transmitted directly by the expansion of
466 the Siberian High pressure southwards through the East Asian Winter Monsoon. This is not
467 possible in the Andaman Sea sector because the blocking effects of the QTP and the broader
468 Himalayas limits the southward penetration of the NH westerlies.

469

470 After AMOC resumed during the BA warm period (McManus et al., 2004), the ITCZ shifted
471 northwards, SW China was dominated by the Indian Ocean (Andaman Sea) Summer
472 Monsoon, and the summer air temperature increased to Holocene values in association with
473 an increase in summer monsoon precipitation (Wang et al. 2001) (Fig 1). During the YD cold

event, the $^{231}\text{Pa}/^{230}\text{Th}$ record from the North Atlantic (Fig. 4F) suggests only a partial reduction of AMOC, in contrast to near complete shut-down during the H1 event (McManus et al. 2004). Consequently, the minor decrease in the summer air temperature around Tiancai Lake indicates that this region was still dominated by a weakened Asian summer monsoon, an observation supported by the pollen record from Nam Co in the southern QTP (Zhu et al. 2015).

In summary, we propose that deglacial summer temperature variability at Taincai Lake is linked to NADW ventilating the Southern Ocean. This causes meridional shifts in the ITCZ in the Indian Ocean sector as the southern hemisphere westerlies contract or expand in response to changes in sea-ice. Though this proposed mechanism is new for the Indian Ocean monsoon(s), a southern hemisphere westerly control of the Australian Summer Monsoon at the LGM has been argued for by Wyrwoll et al. (2012).

5. Conclusions

The chironomid based summer temperature record presented here improves our understanding of subtropical, high-elevation climate change since the LGM on the QTP. This record indicates that summer air temperature was c. 5 °C colder during the LGM, and that it increased rapidly at about 19.0 ka. LGM cooling is attributed in substantial part to a drier regional atmosphere. The switch at 19.0 ka cannot be associated with changes in the northern hemisphere westerlies as chironomids are purely summer proxy. During the last deglaciation, our data detect three millennial scale events including the H1, BA and YD, which align with records from the North Atlantic Ocean and the Indian Ocean (e.g. Tierney et al., 2016), indicating an interaction between the SE Asian tropical climate system and high latitude

climate through changing ocean and atmospheric circulation. We propose a ‘new’ mechanism here suggesting that these patterns are linked to North Atlantic Deep water ventilating the Southern Ocean, causing the meridional shift of the ITCZ in the Indian Ocean Basin. Though new for this sector of the Indian Ocean Monsoon, a similar argument has been made for the Australian Summer Monsoon (Wrywoll et al. 2012).

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Figure Captions

Fig. 1. Map showing the locations of Tiancai Lake (red square) and other palaeoclimate study sites referenced in the text. Locations of marine core OCE326-GGC5 (McManus et al. 2004), Greenland ice core North Greenland Ice Core Project (NGRIP) (Alley, 2000), Hulu cave (Wang et al. 2001), Dongge cave (Dykoski et al. 2005), Mawmluh cave (Dutt et al. 2015), marine core P178-15P (Tierney et al., 2016), marine core RC12-344 (Rashid et al. 2007), and Antarctic ice core Siple Dome (Ahn et al. 2004) are marked in closed circles. We have overlaid the map with a cartoon of our proposed link between NADW and the Indian monsoon in the Andaman Sea. This cartoon highlights the effect of changing Atlantic Meridional Circulation (AMOC) on the Indian Ocean Monsoon. (A) at times of strong AMOC, upwelling in the Southern Ocean limits sea-ice extent. This results in a broad zone of

Southern Hemisphere westerly activity that extends further into the sub-tropics and helps keep the ITCZ north during the SH winter. This enhances the summer monsoon (SW) in the Indian Ocean. At times of weakened AMOC, less upwelling occurs in the Southern Ocean and sea-ice expands. Counterintuitively, though this strengthens westerly circulation it also tightens the zone of westerly flow (e.g. Stammerjohn et al., 2008) allowing the ITCZ to move further south, thereby weakening the Indian Ocean Monsoon.

Fig. 2. A percentage summary diagram of chironomid head capsules taxa recovered from the Tiancai Lake core material covering the period between 19.5 and 8 ka. 30 major (occurrences higher than 10% in at least 10 samples) taxa were displayed (the full diagram is referred to Figure S1). The record was divided into five distinct zones using a stratigraphic cluster analysis based on the change of the chironomid assemblages. The diagram displays a rapid transition from cold conditions at the base of the record, The Holocene part of this record is published in Zhang et al. (2017b). The full details on the radiocarbon chronology are published in Xiao et al. (2014b).

Fig. 3. Reconstruction diagnostics for Tiancai Lake fossil samples between 19.5 and 8 ka. (A). Goodness-of-fit statistics where the fossil samples are passively fitted to the constrained ordination axis derived from the modern training set to mean July temperatures (MJTs). The vertical dashed line represents the 95th percentiles of modern squared residual lengths beyond which fossil samples are considered to have ‘poor fits’ to MJTs. (B). Trajectory changes of trend through time of fossil samples covering 19.5-8 ka (blue line) in the Tiancai Lake record, passively plotted in a redundancy analysis of the 100 training set lakes (open green circles), where changes in trend tracks the MJT gradient between 7-11 °C (red lines), indicating that MJT is a primary controlling variable and drives the changes of chironomid

assemblages of these fossil samples. **(C)**. The assessment of the quality of analogues where squared residual length of Tiancai Lake fossil samples were plotted against chronology. Fossil samples with ‘good’ modern analogues are shown in the blue shaded area, whereas fossil samples with ‘fair’ and ‘none’ modern analogues are displayed in the yellow and red areas respectively. The blue, yellow and red shaded areas represent the 5nd, 15th percentiles and beyond respectively.

Fig. 4. **(A)**. Tiancai Lake chironomid-mean July temperature record covering 19-8 ka (red line; this study) and part of the Holocene (grey line; Zhang et al. 2017a). These are compared against other proxy and model records from the same site, surrounding regions and the globe: **(B)**. Pollen accumulation rate at Tiancai Lake (Xiao et al. 2014); **(C)**. The NGRIP $\delta^{18}\text{O}$ record from Greenland (Alley, 2000); **(D)**. Northern Hemisphere (red line) and Atlantic (blue line) temperature anomalies (Shakun et al. 2012); **(E)**. Modelled temperature response included forcings of CO_2 , solar insolation, meltwater flux and ice sheets using the Community Climate System Model version 3 (CCSM3) (Liu et al. 2009). The output data were calculated for the latitude of Tiancai Lake, at 26°N; **(F)**. $^{231}\text{Pa}/^{230}\text{Th}$ record of North Atlantic (McManus et al. 2004), a proxy for AMOC; **(G)**. Sub-tropical Atlantic sea surface temperature (SST) reconstructed based on Mg/Ca ratio (Carlson et al. 2008); **(H)**. SST reconstructed from Arabian Sea (Pink) (Tierney et al., 2016) and Andaman Sea (green) (Rashid et al. 2007). Both reconstructions are based on paired Mg/Ca and $\delta^{18}\text{O}$ data of the planktic foraminifer *Globigerinoides ruber*. **(I)**. Summer insolation curve for 30 °N **(J)**. Speleothem $\delta^{18}\text{O}$ records from Hulu (pink line) and Dongge (black line) Caves in the eastern and southern China (Dykoski et al. 2005; Wang et al. 2001), and Mawmluh Cave (blue line) from northern India (Dutt et al. 2015); **(K)**. CO_2 concentration from Antarctic ice core record (Ahn et al. 2004). Blue shading is utilised to represent ‘cold’ events in the North Atlantic.

Figure 1
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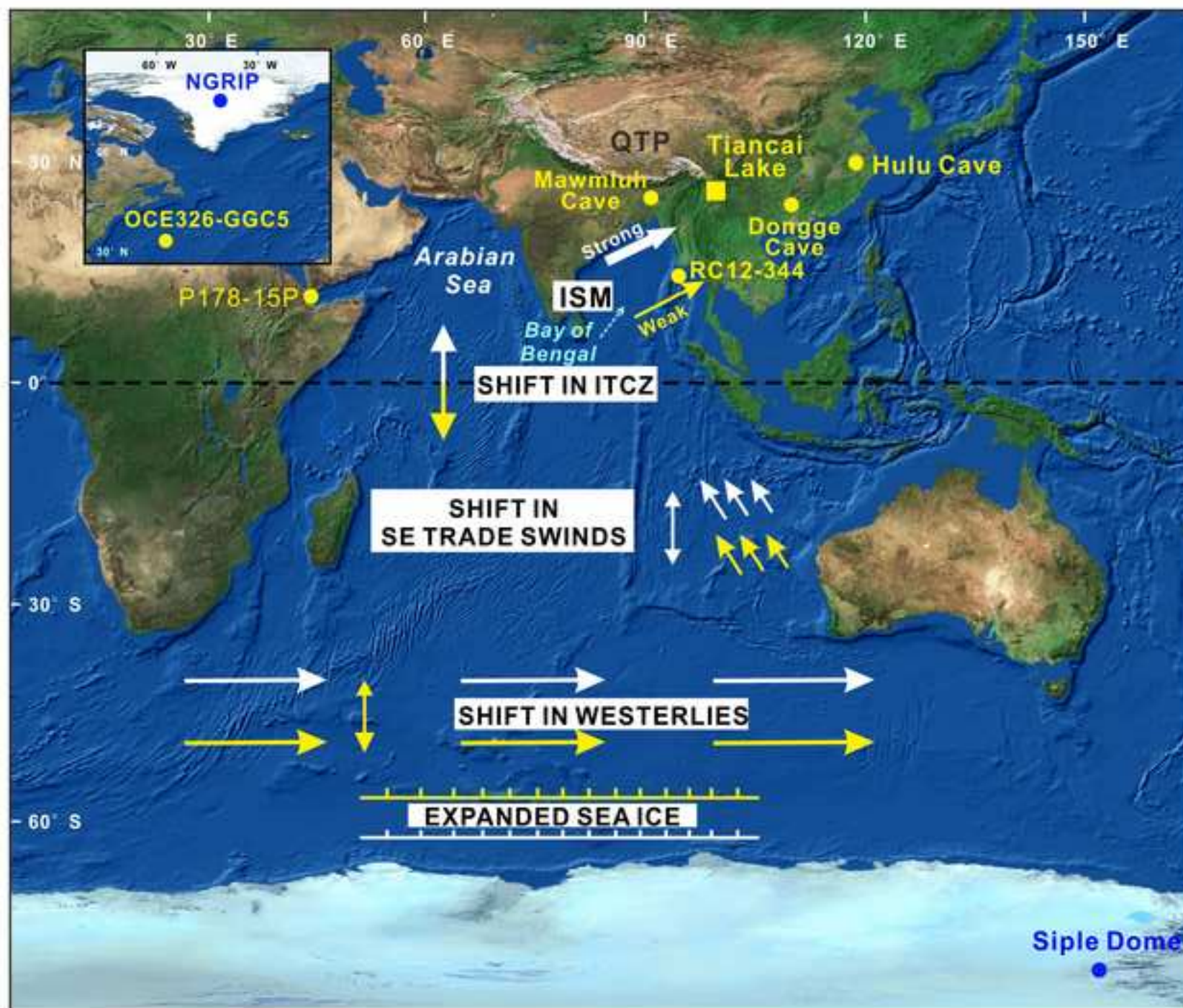


Figure 2
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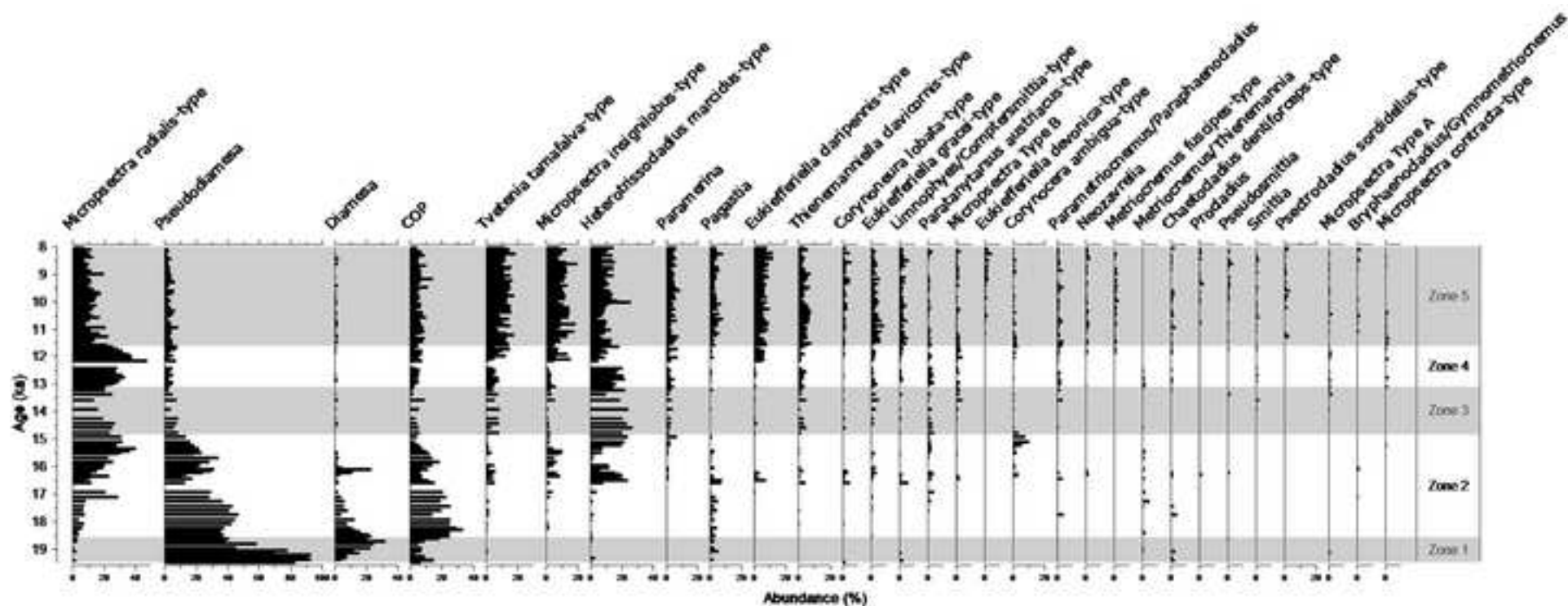


Figure 3
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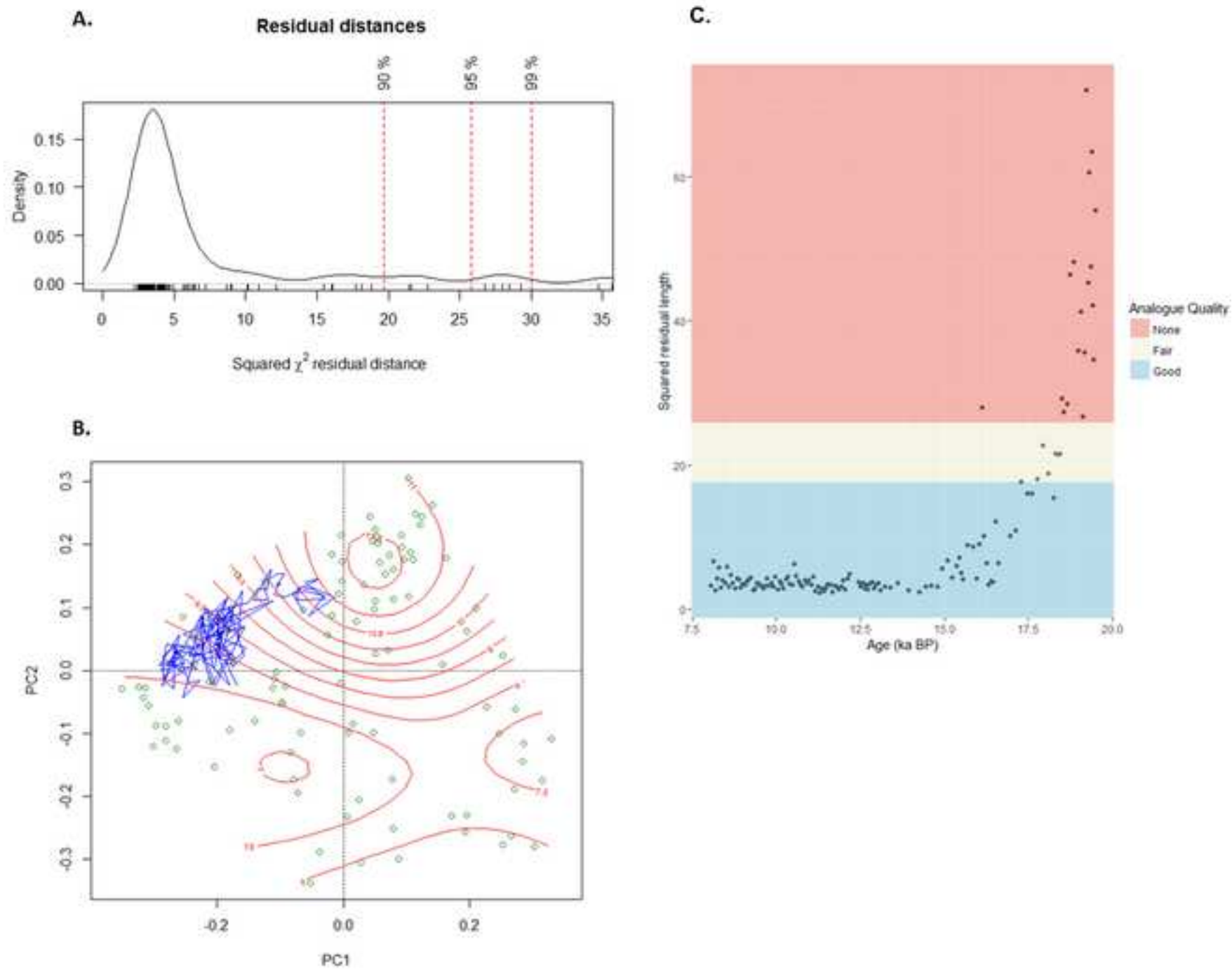
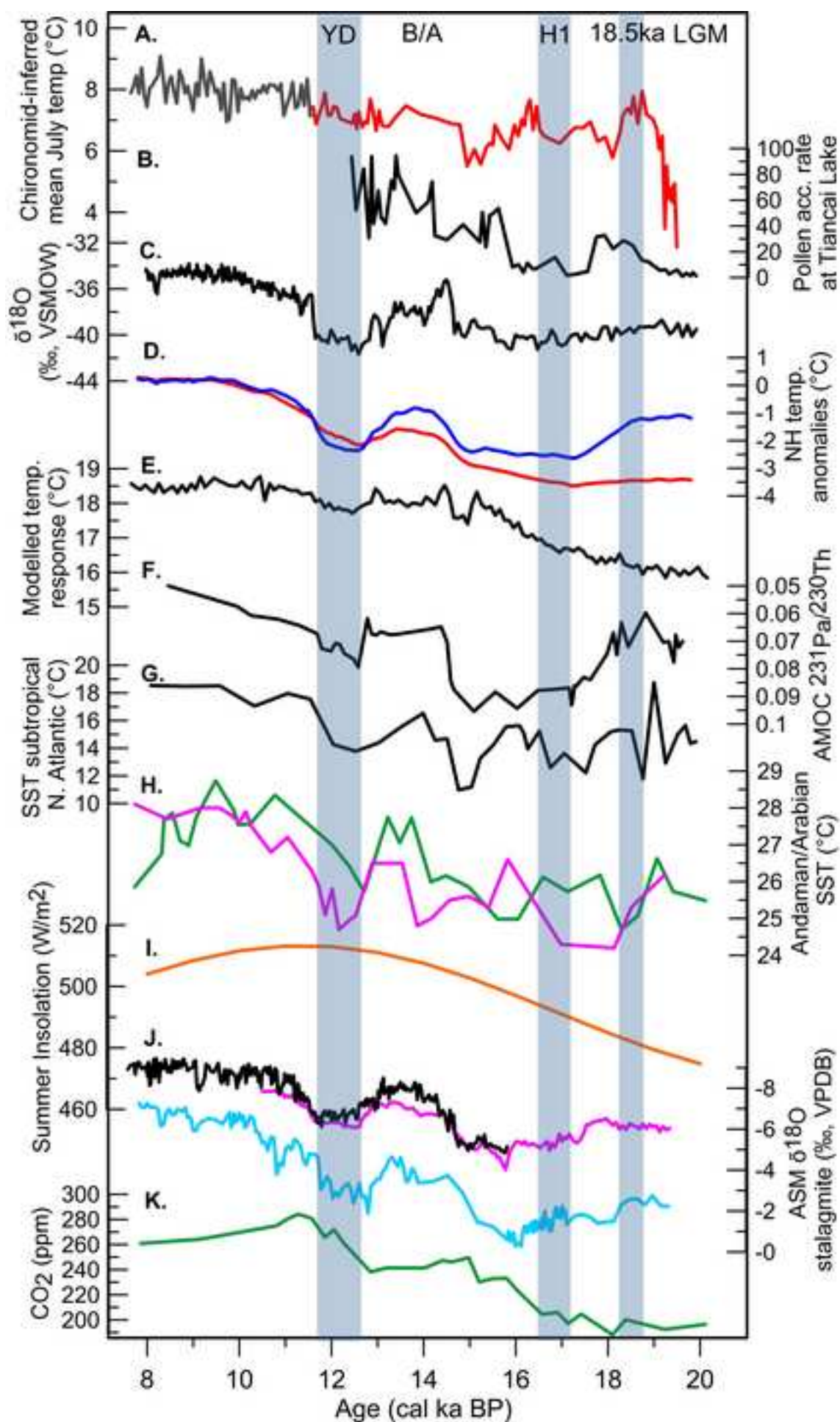


Figure 4
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Supplementary Figure 1

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