

Ecological connectivity between the Areas Beyond National Jurisdiction and coastal waters: safeguarding interests of coastal communities in developing countries

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Author contributions

EP was the lead author and responsible for overall development and integration of the paper. DV, EP, WS and EM were responsible for writing sections on specific aspects of ecological connectivity and its implications for policy. EP, SK and AY designed numerical experiments on ocean connectivity conducted by SK who provided numerical analysis and produced the figures. WS and NK provided compilation of data for the migratory connectivity map. All authors made important contributions to the contents of the paper during a manuscript conception workshop (either in person or remotely) and subsequent discussions of the final product.

Conflict of Interest Statement

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

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5 **Ecological connectivity between the Areas Beyond National Jurisdiction**
6 **and coastal waters: safeguarding interests of coastal communities in**
7 **developing countries**
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12 **Abstract**
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15 The UN General Assembly has made a unanimous decision to start negotiations to establish an
16 international, legally-binding instrument for the conservation and sustainable use of marine
17 biological diversity within Areas Beyond National Jurisdiction (ABNJ). However, there has of yet
18 been little discussion on the importance of this move to the ecosystem services provided by
19 coastal zones in their downstream zone of influence. Here, we identify the ecological connectivity
20 between ABNJ and coastal zones as critically important in the negotiation process and apply
21 several approaches to identify some priority areas for protection from the perspective of coastal
22 populations of Least Developed Countries (LDCs). Initially, we review the scientific evidence
23 that demonstrates ecological connectivity between ABNJ and the coastal zones with a focus on
24 the LDCs. We then use ocean modelling to develop a number of metrics and spatial maps that
25 serve to quantify the connectivity of the ABNJ to the coastal zone. We find that the level of
26 exposure to the ABNJ influences varies strongly between countries. Similarly, not all areas of the
27 ABNJ are equal in their impacts on the coastline. Using this method, we identify the areas of the
28 ABNJ that are in the most urgent need of protection on the grounds of the strength of their
29 potential downstream impacts on the coastal populations of LDCs. We argue that indirect
30 negative impacts of the ABNJ fishing, industrialisation and pollution, communicated via
31 oceanographic, cultural and ecological connectivity to the coastal waters of the developing
32 countries should be of concern.
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35 **Keywords:**

36 Ecological connectivity; Areas Beyond National Jurisdiction; marine ecosystems; coastal zone; ocean
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1. Introduction

64 Communities living along the ocean coastlines, especially those in the developing world, perceive the
65 value of the goods and services provided by the ocean mostly from a national perspective, related to the
66 territorial waters or exclusive economic zone (EEZ). However, the Areas Beyond National Jurisdiction
67 (ABNJ, Figure 1) comprise about 64% of total ocean surface area (Matz-Luck & Fuchs, 2014), and
68 there is a growing appreciation of the importance of the ABNJ for the provision of critical ecosystem
69 services (e.g. Rogers et al., 2014). Despite this, to date there has been little consideration or
70 understanding of the role, influence and importance of the ABNJ to coastal waters (defined here as
71 predominantly territorial waters). Nevertheless, there is a growing body of evidence to suggest that the
72 ABNJ and the coastal waters are tightly connected, and that activities in the ABNJ are impacting the
73 coastal zone, particularly where communities living along the coastlines are reliant on marine resources
74 for their food security or livelihood. The following review and discussion addresses this body of
75 evidence.
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79 *Figure 1 here*
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82 Under a principle of “Freedom of the Seas” of the United Nations Convention on the Law of the Sea
83 (UNCLOS), states have a freedom of navigation, overflight, the laying of submarine cables and
84 pipelines, the construction of artificial islands or installations, fishing and conduct of scientific research
85 in the High Seas (Anderson, 2006). Thus, ABNJ is particularly vulnerable to human activities as no
86 single state has a legal or political mandate for its protection (e.g. Matz-Luck & Fuchs, 2014).
87 Nevertheless all share a legal duty under UNCLOS for the protection and preservation of the marine
88 environment and to cooperate for this purpose (UNCLOS Articles 192, 194.5 and 197). However, in
89 practice, the diverging interests of environmental protection and the sustainable management of ocean
90 ecosystems on the one hand, and the exploitation of living and non-living marine resources and other
91 economic activities such as maritime transportation on the other, stand in the way of international
92 agreement on protection.
93

94 The major types of services that the High Seas are providing for humankind can be divided into four
95 major groups: provisioning, regulating, habitat and cultural services (Rogers et al. 2014), similar to the
96 generic marine ecosystem services frameworks (e.g. Sale et al., 2014). Many of these services have an
97 indirect effect on the coastal zone. For instance, carbon sequestration by the ABNJ has indirect impact
98 on the coastal zone by acting to decrease climate warming and sea level rise. However, other services
99 have a direct, more immediate impact on the coastal zones, especially those with a tight ecological
100 connectivity (see section 3 for definition) to the ABNJ.
101

102 For example, one of the ABNJ habitat services, lifecycle maintenance (referring to the maintenance of
103 life cycles of migratory species, TEEB, 2010), is of critical importance to coastal areas. Here,
104 deterioration of a habitat that is used by migratory species for breeding or for the protection / nurturing
105 of juvenile life stages may force these species to travel longer distances to find alternative locations,
106 during which they will be exposed to elevated risk or mortality. Similarly, the exposure of migratory
107 species to fishing and shipping impacts along their migratory corridors can undermine the work of
108 coastal communities to protect vulnerable species within their own waters and shorelines (Harrison et
109 al., 2018).
110

111 Dunn et al. (2017) have suggested that the spatial / geographical proximity of a state’s maritime
112 borders to open ocean ABNJ – its so-called “adjacency” – is not the only indicator of connectivity
113 when planning conservation measures for contiguous ABNJ. They argue that oceanographic, cultural
114 and ecological connectivity with the ABNJ needs to be considered when assessing a coastal state’s
115 interests and possibly priorities for protection.
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122 Various suggestions of management practices, which might restrict fishing in the ABNJ (e.g. Sumaila
123 et al., 2015), have raised strong concerns about global food security. However, a few studies have
124 demonstrated that the ABNJ fisheries play a negligible direct role in global food security (e.g. Sumaila
125 et al. 2007, Schiller et al. 2018). Indeed, most of the species caught in the ABNJ are being supplied to
126 the upscale markets in affluent countries (Schiller et al. 2018). Similarly, analysis of fishing vessel
127 activity data, shows that the High Sea fishing is predominantly a wealthy nations activity with less than
128 3% of the of effort attributed to vessels flagged to lower-income countries (McCauley et al. 2018).
129

130 Although a direct positive impact of the ABNJ fisheries to global food security might be minimal,
131 their indirect impact on the food security of the least developed countries (LDCs) could potentially be
132 significant and requires urgent evaluation. For example, ABNJ fisheries may affect both target and
133 associated and dependent species via bycatch, habitat degradation or genetic impoverishment. We
134 develop estimates of the connectivity between the ABNJs and the coastal waters, and review current
135 knowledge of ecological connectivity in the oceans of relevance to interactions between coastal
136 waters and the ABNJs. Our conclusions highlight strong connectivity between some areas of the
137 ABNJ and the coastal zones and suggest that the socioeconomic consequences of downstream impacts
138 of the ABNJ should be taken into account when proposing conservation or management measures.
139

140 It should be noted that the terminology of ‘High Seas’ and ABNJ or Area(s) Beyond National
141 Jurisdiction is often used freely and interchangeably in the popular and even scientific literature. This
142 can cause confusion, especially when dealing with the geopolitics of these areas. UNCLOS does
143 provide some clarity on this by defining that the areas beyond the limits of national jurisdiction
144 (ABNJ) include:

- 145 A. the water column beyond the Exclusive Economic Zone (EEZ), or beyond the Territorial Sea
146 where no EEZ has been declared, called the High Seas (Article 86); and
- 147 B. the seabed which lies beyond the limits of the continental shelf, established in conformity
148 with Article 76 of the Convention, designated as "the Area" (Article 1).
149

150 This therefore distinguishes the ‘Area’ (seabed) from the High Seas’ (water column above) and the
151 total of both would then be referred to as the Area Beyond National Jurisdiction (ABNJ). Throughout
152 this paper, the authors we will refer to the ABNJ (which addresses both singular and plural usage) to
153 cover both vertical distinctions. The term ‘High Seas’ will be used, as appropriate, when citing
154 directly from an existing publication that uses that specific terminology or when the discussion is,
155 indeed, referring only to the High Seas water column.
156

157 **2. Marine ecological connectivity**

158 Ecological connectivity is a complex natural phenomenon linking various components of marine
159 ecosystems in time and space. Ecological connectivity between distant marine ecosystems is effected
160 through two types of connections: passive or circulation connectivity mediated by the ocean currents
161 and active or migratory connectivity achieved by active swimming by marine species (e.g. Cowen et
162 al., 2006).
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165 **2.1. Circulation connectivity**

166 Energetic ocean currents are the key medium by which distant ocean regions are connected to each
167 other (e.g. van Gennip et al., 2017), and this includes connectivity of the coastal zones to the ABNJ.
168 The timescales on which this connectivity occurs are of paramount importance since they govern the
169 range and the magnitude of impact of relevant processes.. These timescales regulate the level of impact
170 on the structure of marine ecosystems, the level of exposure to marine pollution and the impact from
171 upstream human activities such as shipping and marine exploitation (e.g. Robinson et al., 2017).
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180 Coastal zones with a short timescale of connectivity to the High Seas are already facing, or may soon
181 be exposed to, a number of significant challenges arising from the pollution, overfishing, mining or
182 geoenvironmental experiments in the High Seas.
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184 Here we need to first distinguish the direction of connectivity. Upstream connectivity is determined by
185 the source areas from which waters reaching a particular location are coming, and thus which areas are
186 influencing that location (e.g. Robinson et al., 2017). In contrast, downstream connectivity is
187 determined by the ‘sink’ areas to which the waters leaving a particular location are going, and thus
188 which areas are being influenced by that location (e.g. vanGennip et al., 2017).
189

190 Secondly, we distinguish connectivity timescales, for example those comparable to the pelagic larval
191 stages characteristic of many marine organisms, which therefore permit impacts relevant to ecosystem
192 structure (e.g. Cowen et al., 2007; Kinlan & Gaines, 2003) or those, comparable to the timescales of
193 “half-life” of marine pollutants which are of potential threat to marine ecosystems. In the latter case,
194 connectivity to regions of oil exploration or transportation can put locations at risk of oil contamination
195 if connectivity timescales are short enough, but this becomes less important when timescales are longer
196 than those of weathering, biodegradation or dispersal (e.g. Kelly et al., 2018). This approach simplifies
197 the real situation in the ocean, where the sensitivity of habitats receiving pollution varies, where the
198 harm of some pollutants is not straightforward to quantify, and where the two distinctions outlined here
199 are entwined, for instance pollutants damaging pelagic larval stages being dispersed in the same current.
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203 As noted, numerous marine organisms spend all (holo-) or part (mero-) of their lifespans as planktonic
204 forms that disperse passively with ocean currents. Typically, meroplanktonic organisms spend only the
205 early, larval portion of their life history as plankton, and use this period for passive (or nearly passive)
206 dispersal and feeding. As such, dispersal distances for such marine species will partly scale with the
207 time that they spend in planktonic life stages (e.g. Shanks et al., 2003; Shanks et al., 2009; Selkoe and
208 Toonen, 2011), and this time (pelagic larval duration, PLD) varies greatly from species to species,
209 ranging from days (e.g. anemone fish with PLD of a few hours to days) to months (e.g. Spanish
210 mackerel with a PLD of 2-4 weeks, Herwerden et al. 2006; rock lobster with a PLD of ~18 months,
211 Bradford et al., 2015).
212

213 Alongside the average timescale of ocean connectivity is its variability. The position, strength and even
214 direction of ocean currents can be highly variable and connectivity between ocean regions is
215 correspondingly affected. Such variability in connection may occur over short time periods in
216 association with changes in atmospheric forcing (i.e. weather) or stochastic eddy variability, or may
217 occur over longer periods related to the wider ocean circulation which is in turn linked to seasonal,
218 interannual and multidecadal climate patterns, such as biannual monsoon seasons, ENSO (El Niño–
219 Southern Oscillation). Further modifications of ocean connectivity due to climate change is already
220 known to be occurring (e.g. Banks et al., 2010), and is anticipated to become more pronounced into the
221 future (van Gennip et al., 2017, Popova et al., 2016).
222

223 The strength and persistence of connectivity and the importance of connectivity “stepping stones” can
224 be assessed by a variety of methods including an application of network analysis using a graph theory
225 approach (Tremblay et al. 2008, 2012) or using Lagrangian approaches based either on numerical models
226 of ocean circulation (vanGennip et al., 2017) or on the remote sensing estimates of ocean currents
227 (Raitsoo et al., 2017).
228

229 Note that, while most coastal regions have strong connectivity with other regions due to the presence
230 of significant boundary currents (e.g. Gulf Stream, Kuroshio) or features such as coastal upwelling (e.g.
231 California and Humboldt currents), this is not universal. Oceanic islands located in the subtropical gyres
232 of the major basins experience relatively weak currents that translate into limited connectivity on
233 subannual and even subdecadal timescales (Robinson et al., 2017). Such isolation reduces the risk of
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239 impacts from pollutants with a short “half-life”, but it may also limit potential recruitment and
240 restocking for local marine resources. Regional barriers to larval connectivity may play important roles
241 in speciation and the diversity of distinct marine communities (Treml et al., 2015), as well as in their
242 future management.
243

244 Connectivity to locations of high nutrient content is also of critical importance for marine ecosystems.
245 Among the most notable examples are: the Southern Ocean control of low latitude productivity
246 (Sarmiento et al., 2004), the Arctic Ocean ecosystems sustained by advective connectivity to the
247 nutrient-rich north Pacific and Atlantic oceans (e.g. Popova et al., 2013), vast phytoplankton blooms
248 around Southern Ocean and Madagascar islands sustained by the natural downstream iron fertilisation
249 from shallow sediments (Srokosz et al., 2015; Robinson et al., 2014).
250

251 Analysis of circulatory connectivity can provide useful information for ocean management and
252 conservation planning. Analysis of connectivity patterns can be used to describe more ecologically
253 relevant management areas versus jurisdictionally defined boundaries for ocean planning (Treml and
254 Halpin 2012). Regional connectivity patterns can also be used to assess and prioritize regional
255 conservation network design including the analysis of contributing and receiving EEZ jurisdictions
256 (Schill et al. 2015) and prioritization of conservation sites based on their contribution to network
257 connectivity.
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260 **2.2. Migratory and cultural connectivity**

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263 Migratory connectivity between marine ecosystems is achieved by regular movement of marine species
264 from one place to another, often from breeding to feeding (non-breeding) grounds and back (Webster
265 et al., 2002). This needs to be considered together with the cultural connectivity, as the cultural and
266 ceremonial importance of highly migratory species to the coastal and island nations of the Indian and
267 Pacific Oceans cannot be ignored when discussing governance of the ABNJ. The ocean has long held
268 cultural significance for the traditional communities of these regions, and many species that migrate
269 through the ABNJ are intricately linked to the identity of a number of coastal communities (Johannes,
270 1981). The vast majority of these coastal communities still partake in small-scale fisheries, often using
271 traditional methods and practices (Johannes 2002, Samoily et al. 2011). Apart from being significant
272 in terms of identity and a way-of-life, these communities are dependent on marine resources for food,
273 and as a commodity for trade / sale (Johannes 2002; Fache et al. 2016). In some areas, such as Polynesia
274 and parts of Canada (Hoover et al. 2013) and New Zealand fishing for certain species can also hold a
275 ceremonial, cultural or ritual significance. It should also be noted that a number of traditional fisheries
276 still target what are today considered conservation species, e.g. sharks, seals, turtles and sea birds,
277 although management measures to control or make such practices illegal have been introduced in a
278 number of countries. The tourism potential, linked to the availability of charismatic marine fauna, is
279 still in its infancy in many countries but holds significant potential (Cisneros-Montemayor et al. 2010).
280 For many developing countries, marine tourism (e.g. turtle nesting, bird watching, whale watching both
281 land and sea based) is a growing sector, and the protection of migratory species throughout their range
282 is important.
283

284 Data from multiple sources were used to map the distribution and / or movement of marine species in
285 the ABNJ of the Indian and Pacific Oceans (Figure 2). It is evident that the tuna resources are distributed
286 throughout much of the west and northern Indian Ocean; and span the low and mid-latitude regions in
287 the Pacific Ocean. Tuna undertake much of their life-cycle in these regions, migrating between
288 spawning and feeding grounds, for example Albacore tuna (Dhurmeea et al., 2016). In the Indian Ocean,
289 the main tuna distribution, as denoted here by the main tuna catch area, spans the territorial waters of
290 many Western Indian Ocean (WIO) countries, and beyond into the ABNJ (Dhurmeea et al., 2016; Dueri
291 et al., 2012a,b; Fonteneau and Hallier, 2015). In the Pacific Ocean, the main tuna distribution
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298 (Fonteneau and Hallier, 2015) spans the territorial waters of the Philippine Islands, the Pacific Island
299 groups of Micronesia, Melanesia and Polynesia, the west coast of the Central and northern South
300 American continents, as well as the ABNJ beyond these EEZs. Considering the large degree of
301 connectivity of these stocks between neighbouring EEZs and ABNJ, the establishment and protection
302 of wilderness areas has been noted as a means to preserve tuna stocks (Jones et al, 2018).
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306 **Figure 2 here**
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310 Tuna are an important resource for many people globally, both as a food source with nutritional and
311 cultural importance, and an important economic income (e.g. Guillotreau et al., 2017, Bell et al., 2015;
312 2018). This is particularly the case in some developing nations such as countries throughout the Pacific
313 and the Indian ocean, where tuna fishing provides food, employment and income for subsistence and
314 artisanal fishers, as well as commercial and recreational game fishers (Gillett et al. 2001, Bell et al.
315 2009). For many of these developing countries there is room to expand (although recognising such
316 challenges as infrastructure development, transportation and improved management) these commercial
317 operations within their EEZs and the ABNJ and so enhance domestic fish supply (e.g. Bell et al., 2015).
318 The presence of these large pelagic predators (or gamefish) also presents the potential for growth in
319 terms of recreational fisheries. A number of developing countries around the world have recognised
320 recreational fisheries as a growing industry with the potential to contribute to economic growth,
321 especially with regards to the concomitant growth of local tourism (e.g. Felizola-Freire et al. 2018).
322 Tuna in general are highly migratory species, crossing many exclusive economic zone boundaries and
323 moving into areas beyond national jurisdictions (Miller, 2007). As such, there is the criticism that using
324 traditional marine protected areas (MPAs) to protect such migratory fish stocks is not particularly
325 effective, especially so in the ABNJ where species may occupy large geographical areas (Game et al.,
326 2009). The importance of vertical connectivity has also been expressed in this regard. For instance, an
327 increasing number of MPAs protect the seabed while the water column remains open for extractive use.
328 The seabed and water column are, however, inextricably linked. Emerging research increasingly links
329 upper-ocean communities and processes to seabed ecology and biogeochemistry (O’Leary and Roberts,
330 2018) suggesting that exploitation of the water column is likely to have a significant and widely
331 distributed footprint in the deep-sea.
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333
334 Apart from these widely distributed and highly migratory pelagic fish stocks, other species of
335 conservation importance also traverse the ABNJ and the territorial waters of numerous countries. In a
336 recent study analysing the migration of 14 migratory marine species (including sharks, leatherback
337 turtles, sea lions, seals, albatross, shearwaters and blue whales), cumulatively, these species visited 86%
338 of Pacific Ocean countries, with some spending up to three-quarters of the annual cycle in the ABNJ
339 (Harrison et al., 2018). Considerably less is known about movement in oceanic sharks compared with
340 tuna, particularly in the Indian Ocean (Blaison *et al.*, 2015). However, emerging telemetry research
341 from the western Indian Ocean, known to be a global biodiversity hotspot for oceanic taxa (Tittensor *et*
342 *al.*, 2010), found tiger sharks (*Galeocerdo cuvier*) exhibiting both coastal and oceanic movements, with
343 one individual moving from coastal waters to the ABNJ and then crossing a total of eight EEZs
344 (Barkley, in press). In 66 days this individual travelled almost 3,000 km and spent just under 10% of its
345 time in the High Seas. This mirrors results from Australia and the Hawaiian Islands (Papastamatiou *et*
346 *al.*, 2013; Holmes *et al.*, 2014) and highlights the vulnerability of tiger sharks to multiple fishing
347 operations: coastal, EEZ and those of the High Seas (Barkley et al. in press). Using the quite different
348 technology of isotope analysis, studies such as Bird et al. (2018) use isotopic landscapes (isoscapes) to
349 identify where sharks feed.
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357 Notwithstanding the issue of species migration / transience, utilising MPAs in the ABNJ that target
358 preferred or critical habitats could provide protection for highly migratory species (Hobday and
359 Hartmann 2006; Game et al. 2009). Marine protected areas have been shown to positively influence
360 species abundance and biomass (Halpern, 2003) and with the correct design and implementation,
361 utilising MPAs in the ABNJ could protect highly mobile species and positively influence the economy
362 of developing countries that rely on them.
363

364 **3. Modelling circulation connectivity between the ABNJ and the coastal zones**

365 **3.1 Circulation connectivity indices**

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368 Depending on the prevailing ocean circulation, coastal zones differ in their connectivity to the ABNJ
369 and the timescales involved can vary significantly. Due to the strong spatio-temporal variability and
370 directionality of ocean flow (van Gennip et al., 2017), close geographical proximity of coastline areas
371 to adjacent ABNJ is not always a good indicator of strong connectivity between these areas. Here, we
372 aim to quantify this connectivity and provide an objective measure of the associated timescales for each
373 of the coastal and island LDCs.
374

375 Using a Lagrangian particle-tracking method in conjunction with a high resolution ocean circulation
376 model (see Supplementary Material), we are able to estimate the passive (oceanographic) connectivity
377 between the coastal waters of developing countries and the ABNJ.
378

379 Our approach follows a general methodology proposed by Robinson et al. (2017). In this, we uniformly
380 identify thousands of virtual ‘arrival points’ in a ribbon-like region running along each country’s
381 shoreline and stretching approximately 15 km away from the coast. The width of this ribbon was chosen
382 for two reasons. Firstly, from the point of view of model horizontal resolution (approximately 7 km),
383 this is the minimum distance that is guaranteed to include more than one model grid cells. Secondly,
384 the focus of this study is coastal communities of LDCs, and 15 km is approximately the maximum
385 distance offshore that can be reached by local artisanal fishers. It also approximates with territorial
386 waters which are generally limited to 12 nm (22km) off the coast.
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388 Using these arrival points, together with our high resolution model of ocean circulation, we track
389 “virtual particles” backwards in time (upstream) in time for one year to investigate where each country’s
390 coastal waters originate from. The use here of backwards (upstream) particle trajectories identifies
391 where water masses reaching a coastal release point have come from, rather than forwards (downstream)
392 particle trajectories which identify where water masses leaving a coastal release point travel to.
393

394 Experimental “arrivals” were recorded four times each year (January, April, July, October) for a decade
395 of the recent past (2005-2014; 40 releases in total). Such an approach allowed us to take into account
396 both interannual and seasonal variability of ocean circulation in our characterisation of coast to ABNJ
397 upstream connectivity.
398

399 Readers unfamiliar with Lagrangian modelling terminology may find the following analogy of the
400 backward approach helpful. Imagine that millions of rubber ducks each equipped with GPS (global
401 positioning system) recorders are constantly being released within the ABNJs. Via ocean circulation,
402 some arrive in the coastal waters of a country of interest. Four times a year, for a decade, an observer
403 picks up all of the ducks within 15 km of the coast and uses their GPS records to establish where exactly
404 in the ABNJ they were released a given number of months ago, and what route they took to arrive to
405 the coast. To present an objective measure of the circulation connectivity, we calculated how long it
406 took for each particle to travel from the nearest point in the ABNJ to the coastal location of interest.
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408 We then characterised each country by two metrics:
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1. **Connectivity index (in %):** this describes the fractional upstream connectivity of a country's coastline to the ABNJ on a given timescale; with six months chosen here as a standard reference period. **Connectivity index** is designed to give an indication of the fraction of a country's coastline that is impacted by the ABNJ.
 2. **Connectivity timescale (in days or months):** this is the representative time period over which a country's coastal zone is connected (upstream) to the ABNJ. It is calculated here as the average time period taken by the fastest quartile of particles to arrive from the ABNJ to the coastal zone. **Connectivity timescale** is thus designed to give an indication of how fast the ABNJ can influence a substantial part (with 25% chosen here as a standard reference fraction) of a country's coastline.

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Although both indices can be utilised to inform marine resource governance at a country scale, they are presented here to illustrate the difference between countries and to draw attention to the countries that are most affected by the ABNJ upstream from their coastal waters.

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We illustrate the general approach and these metrics in Figure 3a using two contrasting examples: the Federal Republic of Somalia and the Republic of Senegal.

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Figure 3 here.

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The complex and vigorous surface circulation of the north-west Indian Ocean, with its seasonally-reversing currents driven by the monsoon (Figure 3b), makes the coastline of the Federal Republic of Somalia one of the most ABNJ- connected coastlines in the world (cf. section 3.2 for the full analysis). Of particular importance in shaping this circulation footprint are the East African Coastal Current, the seasonally-reversing Somali Current and the South Equatorial Current (Figure 3b). As the purple colours on Figure 3a show, the Federal Republic of Somalia has an ABNJ connectivity timescale of 36 ± 6 days indicating that it takes on average 36 days to connect 25% of the country's coastal waters to the nearest upstream areas of the ABNJ. The country's corresponding six month connectivity index is $60 \pm 3\%$, indicating that 60% of the country's coastal zone is impacted by waters that originated in the ABNJ on the timescale of six months or less. This example illustrates a country requiring a priority in its conservation efforts as stronger connectivity indicates enhanced coastal vulnerability to the activities in the upstream-connected regions of the ABNJ. In agreement with our results, there is observational evidence that remote ecosystems in this highly dynamic region are connected. For instance, several coral reef dwelling organisms along the Red Sea coast have been shown to exhibit a strong genetic heterogeneity at the southern end where the basin connects to the Indian Ocean, indicative of high gene flow (e.g. Nanninga et al., 2014). Calculating connectivity pathways from remote-sensing datasets, it has also been shown that the southern province of the Red Sea is affected by remote upstream regions in the Gulf of Aden and Indian Ocean (Raitsos et al., 2017). The southern Red Sea is subjected to a considerable biannual water influx from the Indian Ocean via the Gulf of Aden, which facilitates gene flow between the two regions (Saenz-Agudelo et al., 2015; Turak et al., 2007).

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By contrast, the Republic of Senegal on the West coast of Africa is one of the least ABNJ-connected coastal zones. Ocean currents in this region are dominated by the relatively weak, southward-flowing Canary Current, which feeds into the westward-flowing North Equatorial Current, and the southward-flowing Guinea Current. As seen from Figure 3, on a timescale of six months, most of the coastal zone remains unconnected to the ABNJ. The six month connectivity index is only 12%, with coastal waters originating mostly from within the country's own EEZ or from neighbouring EEZs. Similarly, the country's ABNJ connectivity timescale is much longer than that of the previous example at 227 days.

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3.2. Connectivity indices of select LDC

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477 The connectivity metrics (indices and timescales) described in the previous section were calculated for
478 all 31 coastal and island LDCs as identified by the Development Assistance Committee (DAC) of the
479 Organisation for Economic Co-operation and Development (OECD) list of the Official Development
480 Assistance (ODA) recipients for 2014-2017. They are presented as bar graphs in Figure 4 (a, b) and
481 grouped by oceanographic basins.
482

483 As seen from these figures, the most ABNJ-connected LDCs are Kiribati in the Pacific Ocean; Tanzania
484 and Somalia in the Indian Ocean; and Liberia in the Atlantic Ocean.
485

486 *Figure 4 here*

487 Coastal zones with short timescales of connectivity to the High Seas are already facing, or may soon to
488 be exposed to, a number of significant challenges arising from pollution, overfishing, mining and
489 geoengineering experiments (e.g. Johnson et al., 2018) in the ABNJ. At the same time, not all areas of
490 the ABNJ are equally important for their impact on coastal zones. Figure 5a's map indicates the number
491 of LDCs connected to each area of the ABNJ while Figure 5b's map indicates the length of the LDC
492 coastlines impacted by each area of the ABNJ. These maps identify regions of the ABNJ that potentially
493 require the most stringent regulation of activities because of their potential effects on coastal ecosystem
494 services of the LDCs. Three areas are most prominent in this respect: the central Indian Ocean (the
495 ABNJ part of the Mascarene Plateau); the northern Bay of Bengal; and the "High Seas pockets" of the
496 Pacific Islands.
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500 *Figure 5 here.*
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506 **4. Implications of the ecological connectivity for ecosystem services**

507 Marine ecosystem services are defined broadly as the human benefits obtained from marine ecosystems.
508 They fall into four major categories (Alcamo et al., 2003; Sale et al., 2014): 1. provisioning services
509 (seafood, mineral, genetic, medicinal and ornamental resources); 2. regulating services (air purification,
510 climate regulation, waste treatment, biological control); 3. habitat services (lifecycle maintenance, gene
511 pool protection); 4. cultural services (recreation and leisure, aesthetic, cultural, spiritual and historical).
512 Many of the ecosystem services provided by the ABNJ have an indirect effect on the coastal zone. For
513 instance, carbon sequestration indirectly impacts the coastal zone by acting to decrease climate warming
514 and sea level rise. However, via tight ecological connectivity, other ABNJ services have a direct, more
515 immediate impact on the coastal zones. For instance, a large number of commercially and culturally
516 important migratory species straddle both the coastal zone and the ABNJ, with the latter providing a
517 critical lifecycle maintenance service to the former. Deterioration of ABNJ habitat used by such species
518 (Figure 2) may disrupt recruitment by forcing species to travel longer distances to find alternative
519 habitat. Similarly, disturbance of ABNJ areas for spawning or nurturing of juvenile life stages (Figure
520 2) would directly impact fish stocks in coastal areas connected via the ocean circulation of larvae.
521 Pollution of the High Seas/ABNJ potentially also presents a direct threat to the ecosystem services of
522 the coastal zones via circulation connectivity. Recent examples include the jurisdiction-straddling
523 Sanchi oil spill and its long-distance impacts (Carswell, 2018), and the emerging threat of plastic
524 contamination, driven in part by High Seas contamination by the shipping and fishing industries
525 (GESAMP 2009, 2016).
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536 **5. Examples of the importance of connectivity between the ABNJ and the coastal zones**

537 **5.1. Costa Rica Thermal Dome**

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539 The concept of Outstanding Universal Value (OUV) is central to the World Heritage Convention when
540 defining why a location is considered sufficiently significant as to justify its inclusion in the UNESCO
541 World Heritage List. Currently, there are no World Heritage Sites in the ABNJ, but because of increased
542 awareness of their role in marine ecology, a growing effort is underway to apply the OUV concept in
543 these areas (e.g. Freestone et al., 2016).
544

545 Freestone et al. (2016) considered five potential areas of OUV in the ABNJ, including the Costa Rica
546 Thermal Dome. This example is highly relevant here since it is one of the most clearly recognisable and
547 observable ABNJ features, and has strong ecological, circulation and cultural connectivity to the coastal
548 zones of Central American countries (Johnson et al., 2018). The Dome is an upwelling-driven
549 oceanographic system that plays an important role in ecology across the eastern Tropical Pacific Ocean
550 (e.g. Fiedler, 2002; Johnson et al., 2018). The Dome is situated mostly within ABNJ, but, as it is
551 delineated by oceanographic features, it has a variable size and can extend into the EEZs of the adjacent
552 Costa Rica, Nicaragua and El Salvador. Wind-driven upwelling in the area acts to enhance primary
553 production, which attracts fish and their migratory predators. The Dome is recognised as a year-around
554 habitat of endangered Blue Whales, and it serves as a location for their mating and raising of calves.
555 Via migration, the Dome is also closely connected to the population of the Blue Whales along the
556 western coast of North America. Additionally, it overlaps part of the migratory route of Leatherback
557 turtles, and is connected with the Central American turtle nesting beaches. It is also noted for the
558 presence of common dolphins, yellowfin tuna and jumbo flying squid (Johnson et al., 2018).
559

560 Commercial fishing and cargo shipping are the most pressing human impacts on the Dome's ecosystem
561 as it is situated in close proximity to the shipping routes converging on the Panama Canal. In addition,
562 there is a growing concern about the potential use of this high nutrient-low chlorophyll (HNLC) area as
563 a geoengineering site for artificial iron enrichment experiments (Johnson et al., 2018).
564

565 Although the Costa Rica Thermal Dome is not adjacent to EEZs of any of the LDCs that are the focus
566 of this article, it presents an interesting, and developing, case study example which arguably should be
567 followed for other similar features. For example, the Mascarene Plateau upwelling system (Payet et al.,
568 2005) is probably the most significant feature in this respect, with strong connectivity to the least
569 developed maritime countries of the East Africa region. Moreover, upwelling and channelling effects
570 on the South Equatorial Current as produced by the Mascarene Plateau, and the subsequent downstream
571 interactions with the east coast of Madagascar and resultant generation of mesoscale eddies within the
572 Mozambique Channel have a major influence on productivity and biomass in the Agulhas Large Marine
573 Ecosystem (Vousden, 2016b).
574

575 **5.2. Seamounts**

576
577 Seamounts are mountains rising from the seafloor but not breaking the surface to form islands. Typically
578 formed through volcanic processes, they are abundant (especially in the Pacific Ocean) and usually
579 characterised by enhanced biological activity and diversity, attracting many migratory species.
580 Seamounts are also an important illustration of the importance of the ABNJ for the coastal zones.
581 Growing evidence shows that many geographically-isolated seamounts are not biologically-isolated
582 habitats and instead may have assemblages of benthic species similar to those of the continental slopes
583 and banks of EEZs, at least those regions within the same biogeographic province. At the same time,
584 analysis of fisheries data from around seamounts indicates that they are hotspots of pelagic biodiversity.
585 Higher pelagic species richness was detected in association with seamounts than with coastal or oceanic
586 areas (Morato et al. 2016). Their enhanced productivity supports not only local resident species, but
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593 also, what is most important for the topic of this paper, migratory species such as sharks and tuna (e.g.
594 Rogers et al., 2018). The enhanced phytoplankton production adjacent to the seamounts may have an
595 important indirect impact on ecological connectivity. Eddies and currents trap phytoplankton-rich water
596 masses, covering large distances, supporting passive larvae during their vulnerable stage (Raitsos et al.,
597 2017). Indeed, larvae undergoing development in high-nutrient areas have an improved chance of
598 survival following transition into oligotrophic waters (Ward and Harrison 1997), and increased
599 productivity has clearly been shown to support survival in early larval stages (Cowen and Sponaugle
600 2009). All of the above points underscore the importance of connectivity among the seamounts, and
601 between seamounts and shelf slopes and thus their important role as stepping stones in chains of
602 ecologically connected habitats. Furthermore, against the backdrop of the growing threat of climate
603 change to the marine environment, seamounts are emerging as potential “climate refuges”, deeper and
604 cooler habitats that can serve as a refuge for fauna in a warming and increasingly acidic ocean (Clark
605 et al., 2012).
606

607
608 With a large number of seamounts situated within ABNJ, and some chains spanning EEZs and ABNJ,
609 their exposure to the fishing and anticipated exposure to the impact of marine mining is becoming a
610 pressing issue in light of their significant role in ecological connectivity. However, their recovery from
611 human impacts is slow due to the typically slower growth rates of the large, deep sea megafauna
612 associated with them (Roark et al., 2006). Human impacts are not limited to the immediate area of direct
613 physical disturbance to a sea mount but also include downstream effects. At present these include the
614 impacts of sediment plumes from trawling (especially heavy-weighted bottom trawls) and, in the near
615 future, from deep-sea mining plumes (Miller et al., 2018). Plumes from both have a potential to persist
616 for extended periods of time while advected by ocean currents (Rolinski et al., 2001), and those of deep-
617 sea mining may potentially be toxic (Miller et al., 2018). Fishing on seamounts is focused not only on
618 local deep-sea species, but also targets migratory pelagic species such as sharks and tuna (e.g. Morato
619 et al., 2010), and disturbs the ecological connectivity along seamount corridors. Thus establishing
620 networks of marine reserves on seamounts may help to protect connectivity for economically and
621 culturally important migratory species (Morato et al., 2010).
622

623 624 **6. Gaps in evidence for connectivity and impact of climate change**

625
626 Ecological connectivity across the global ocean is an emerging area of science and some gaps in
627 evidence are inevitable. Establishing the underlying connectivity of ocean circulation relies on the
628 quality of either the ocean model (as done in this study) or the global observational dataset synthesized
629 from ocean float and satellite-derived observations used for obtaining ocean current velocities. Both
630 areas of research have made substantial progress in the last decade, and further progress is expected to
631 be rapid due to advances in computing power, an increasing fleet of advanced ocean floats, coordinated
632 and standardised international efforts for sustained observations (e.g. Global Ocean Observing System,
633 GOOS, <http://www.goosocean.org>) and more sophisticated remote sensing.
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635
636 However, relating the spatial distribution of a species to its dispersal ability is one of the fundamental
637 challenges in marine ecology and biogeography (Lester et al., 2007). Although a positive relationship
638 between these two characteristics has been established (i.e. a large range typically correlates with
639 dispersal), other factors responsible for geographic range size can complicate defining the exact limits
640 due to passive connectivity (e.g. availability of food resource, fishing impacts).

641
642 Finally, migratory connectivity is an area where evidence and confidence is rapidly increasing due to
643 recent progress in genetic and isotopic techniques (e.g. Bird et al., 2018) and aquatic telemetry (e.g.
644 Hussey et al., 2015). Advances in miniaturization, battery engineering, and software and
645 hardware development, have allowed the monitoring of marine organisms whose habitats stretch across
646 the globe; and is fast accelerating scientists’ ability to observe animal behaviour and
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652 distribution, improving our understanding of the structure and function of global aquatic ecosystems
653 (Hussey et al., 2015) and connectivity. The establishment of a global network and centralized database
654 would allow for the collection and dissemination of telemetry data on a global scale (Hussey et al.,
655 2015).
656

657 Importantly, patterns of present day ecological connectivity will not remain static in time due to the
658 emerging impact of climate change on both ocean circulation (van Gennip et al., 2017) and the global
659 climate-driven redistribution of species (Pecl et al., 2017). Areas deemed important for conservation
660 may not remain so in the longer term requiring climate-proofing of ABNJ conservation regimes.
661 Consequently, continuous effort will be required to monitor evolving patterns of marine ecological
662 connectivity, as well as the various anthropogenic impacts that can affect it. Thus the impact of climate
663 change may undermine the conservation efforts and will require approaches which go beyond currently
664 proposed adaptive management (Maxwell et al., 2015; Bonebrake et al., 2018).
665

666 The rapid development of technologies for monitoring the ocean present new opportunities for progress
667 in this area. The most promising developments in this arena include marine and aerial autonomous
668 systems, satellite-based remote sensing, telemetry and systems that combine Automatic Identification
669 Systems with satellite-tracking technology (e.g. Dunn et al., 2018) in initiatives like
670 globalfishwatch.org. A recent analysis of global long-line fishing fleet behaviour has provided forecasts
671 pelagic fishing effort based on environmental predictors in the high seas (Crespo et al. 2018). These
672 models allow for the monthly prediction of high seas fishing effort (hence species presence) in ABNJ
673 and could be directly useful for assessing the potential exposure of coastal regions to adjacent fishing
674 pressure. In addition, vessel tracking now allows for near real-time monitoring of fishing vessel
675 movements across multiple jurisdictions (Dunn et al. 2018).
676

677 Given the levels of uncertainty, complexity, and anticipated future change in the field of ecological
678 connectivity, the precautionary principle should be widely applied. This principle aims to provide a
679 basis for political action to protect the environment from potentially severe or irreversible harm in
680 circumstances where scientific uncertainty prevents a full risk or cost-benefit analysis.
681

682 683 **7. Implications for the ABNJ governance** 684

685
686 National sovereign rights and jurisdiction over coastal waters and surrounding or adjacent sea areas are
687 defined in UNCLOS¹. The Convention allows States' Parties to declare a territorial sea up to a limit of
688 12 nautical miles from its coastal 'baseline', within which that country controls and owns all resources
689 and activities, notwithstanding the right of innocent passage by other nations' vessels. Further to this, a
690 State may establish an EEZ out as far as 200 nm from its coastal baseline which allows that state
691 sovereign rights over the use and conservation of natural resources and controlling catch limits for
692 fisheries in that area. As noted above, in relation to the ABNJ, no single state has jurisdiction over these
693 waters or the seabed beneath them (though they do have obligations and jurisdiction over their citizens
694 as well as vessels flagged under national registries in addition to general duties to cooperate to protect
695 and preserve the marine environment and to conserve high seas and seabed living resources). The real
696 problem lies in the apparent lack of political will or the capacity to implement those obligations. It is
697 important to note that the seabed resources (both mineral and living) below the High Seas may "belong"
698 to the coastal state when they are part of the extended continental shelf, while the 'Area' (as defined by
699 the Law of the Sea) and its (mineral) resources on the seabed beyond national jurisdiction belong to
700 humankind as a whole, and is subject to a special regime under UNCLOS through the International
701 Seabed Authority.
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705 ¹ http://www.un.org/Depts/los/convention_agreements/texts/unclos/unclos_e.pdf
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711 There are a number of specialized treaties and conventions and associated administrative bodies that
712 cover activities in the High Seas and which should, in principle at least, contribute to their management
713 and the conservation of their resources (Billé et al. 2016). Some examples include the International
714 Convention for the Prevention of Pollution from Ships (MARPOL 73/78) adopted by States through
715 the International Maritime Organization, the UN Agreement for the Conservation and Sustainable Use
716 of Straddling Fish Stocks and Highly Migratory Fish Stocks and an array of independently operating
717 regional fisheries management organizations and arrangements that variously address issues related to
718 shipping and maritime pollution as well as fisheries. The International Seabed Authority regulates
719 seabed mining and related activities in the Area and is currently developing regulations to govern deep
720 sea mineral exploitation. However, it is clear that there is still insufficient effort and focus on behalf of
721 the bodies that oversee and administer such treaties and conventions in relation to the effective
722 management and conservation of the ABNJ. Furthermore, there is little, if any interaction, between such
723 efforts and designated responsibilities and they remain mostly sectoral in their approach. Generally,
724 they are focused on politically negotiated areas and boundaries, which restricts their ability to address
725 a more appropriate ecosystem-based approach.
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728
729 The traditional ‘geopolitical’ definition of rights and jurisdictions as established through UNCLOS
730 provides the framework for national claims and responsibilities. Within these areas a coastal state is
731 expected to uphold certain requirements related to the conservation and sustainability of living marine
732 resources. In this context, the designation of 12-mile territorial waters and a maximum of 200 nm for
733 the EEZ are based on legal delimitations following international political negotiations and agreement.
734 They do not, as such, recognise or take into consideration the extent of marine ecosystems and the
735 connectivity between biological habitats and species and this was not the primary intention of
736 UNCLOS. Much has happened since the 1982 LOS was adopted in the context of understanding of our
737 marine environment, as well as the various threats and impacts to that environment, both chronic and
738 new. The basic principles in place in the law of the sea regime are sound, but it is also clear that they
739 require a great deal of fleshing out, co-ordination and much more systematic and rigorous
740 implementation (Freestone, 2012). The UN Fish Stocks Agreement is one such example of an attempt
741 to balance distant water fishing states’ and coastal states’ interests in shared fisheries resources, with
742 uneven results. Increasingly however, coastal states are realizing the need for more effective and
743 interactive transboundary management, not just between adjacent coastal States or islands but across
744 the EEZ-High Seas geopolitical divide as established by UNCLOS (Vousden 2016b) and this needs to
745 be an ecosystem-based approach rather than being based on geopolitical divides or prior agreements.
746

747 Wright et al (2018) reviewed the gaps in the existing framework for the conservation and sustainable
748 use of marine biodiversity in ABNJ. They listed these as:

- 749 1) Absence of a comprehensive set of overarching governance principles
- 750 2) A fragmented legal and institutional framework
- 751 3) Absence of a global framework to establish MPAs in ABNJ
- 752 4) Legal uncertainty regarding the status of marine genetic resources in ABNJ
- 753 5) Lack of global rules for EIAs and SEAs in ABNJ
- 754 6) Limited capacity building and technology transfer
- 755 7) Gaps in the framework for management of High Seas fisheries
- 756 8) Mixed performance of Regional Fisheries Management Organisations (RFMOs)
- 757 9) Flag State responsibility and the “genuine link”
758

759 This list represents a challenging amount of ‘gap-filling’ to come even close to effective management
760 of biodiversity beyond national jurisdiction let alone the activities that are affecting that biodiversity
761 which is, inevitably, closely linked to the issues of connectivity raised above.
762

763 A number of organisations like the International Union for Conservation of Nature (IUCN) have a
764 long-standing commitment to achieving effective protection, restoration and sustainable use of
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770 biological diversity and ecosystem processes on the High Seas and the seabed Area (collectively, the
771 ABNJ). At the 2004 IUCN World Conservation Congress, IUCN members called for consideration of
772 additional mechanisms, tools and approaches for the effective governance, protection, restoration and
773 sustainable management of marine biological diversity and productivity in the High Seas. In this
774 context, IUCN has proposed 10 principles for High Seas Governance:
775

- 776 1) Conditional freedom of activity on the High Seas
- 777 2) Protection and Preservation of the marine environment
- 778 3) International Cooperation
- 779 4) Science-based approach to management
- 780 5) Public availability of information
- 781 6) Transparent and open decision-making processes
- 782 7) Precautionary Approach
- 783 8) Ecosystem approach
- 784 9) Sustainable and equitable use
- 785 10) Responsibility of States as stewards of the global marine environment

786 All of these apply equally to the issues and concerns raised here regarding biodiversity, connectivity
787 and sustainable management through the regulation of associated harmful activities that affect the
788 ABNJ/EEZ interface and contiguous relationship. Further detail on each of these 10 principles can be
789 found on the appropriate IUCN web page².
790

791 The connectivity, therefore, that is recognised and established through the research undertaken by this
792 publication raises new implications for coastal States and SIDS in the context of their interest and
793 concern in the effects of how activities are managed in areas adjacent/contiguous to their EEZs or even
794 some distance out beyond the EEZ into the ABNJ, particularly where the effects of such activities can
795 be seen to directly impact on coastal community welfare and/or a country's national socioeconomic
796 status.
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798 The movement towards effective ocean governance within interlinked coastal regions is focusing now
799 on the ecosystem-based management approach through the recognition of Large Marine Ecosystems
800 (LMEs) as clearly definable areas within the world's oceans that are not limited by geopolitical
801 boundaries (Vousden, 2016b). Although this is certainly a step forward in terms of logic, it presents
802 new challenges for states and for all stakeholders in marine resources. The transboundary nature of
803 LMEs has created a new and growing demand not only for cross-border collaboration between countries
804 but also for the development of partnerships between government, private sector and other stakeholders
805 that can also address regulatory management of areas beyond national jurisdiction that also fall within
806 the boundaries of the main oceanic currents and other oceanographic parameters that define an LME.
807

808 Recently there has been a strong and positive movement toward adopting a more formal agreement for
809 effective management and protection of biodiversity in areas beyond national jurisdiction for the sake
810 of the overall global importance of such biodiversity (Gjerde et al. 2018). The issue of connectivity
811 across the EEZ-ABNJ interface explored here highlights the need for greater discussion of the roles,
812 rights and interests of coastal states to ensure and oversee effective and sustainable 'upstream'
813 management of both passively and actively 'connected' organisms and water quality upon which those
814 states and islands depend.
815

816 The issue of management of activities on and in the ABNJ is thus becoming a priority in a number of
817 the world's ocean and coastal regions. The Sargasso Sea, which is primarily High Seas, is one example
818 where countries, that wish to see the sustainable management and conservation of its marine
819 biodiversity, have formed an alliance through the Sargasso Sea Commission in order to develop and
820

821 2

822 https://www.iucn.org/sites/dev/files/import/downloads/10_principles_for_high_seas_governance_final.pdf
823

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828
829 propose management measures within a defined Sargasso Sea boundary (Freestone and Bulger, 2016).
830 In the Western and Central Pacific Fisheries Convention Area, countries that have signed up to the
831 WCPF Convention and its Commission agree to abide by its adopted rules and procedures including
832 the Conservation and Management Measures (CMM) as set by the Commission. These CMMs extend
833 across the entire Convention Area including the High Seas so that, essentially, the Commission can then
834 control fishing and associated activities both within and beyond the EEZs (Vousden, 2018). In the
835 western Indian Ocean, the Strategic Action Programme endorsed at the ministerial level by all of the
836 nine countries (mainland coastal States and SIDS) across the region formally recognises the
837 implications of transboundary threats from and into High Seas areas and the need to develop
838 management mechanisms that also address the interests of coastal states in the adjacent ABNJ that fall
839 within the LMEs and border the countries of the WIO region (Vousden, 2015).
840

841 Clearly, there is a growing expectation toward a more clearly defined legal, ethical and moral
842 responsibility for all countries and individuals using the High Seas for trade and for profit to take some
843 level of responsibility for their effects, including on those countries that also draw value and benefit as
844 a result of the proven connectivity into coastal waters and communities depending on food security and
845 socioeconomic sustainability. Having demonstrated the presence of such connectivity (both active and
846 passive) between coastal states and ABNJ, the challenge now will be to develop mechanisms to test and
847 adopt relevant measures to enhance the conservation and sustainable use of marine biodiversity in
848 ABNJ including in areas that affect the interests of coastal States, and to develop mechanisms—global
849 and regional, to ensure effective consultation, consideration and action. Such measures would need to
850 be based on knowledge and understanding of the *status quo* baseline for adjacent ABNJ followed by
851 long-term monitoring of changes that can be addressed through adaptive management measures.
852 Defining and allocating responsibility for what amounts to fairly time-consuming and costly studies
853 and on-going research will present a further set of challenges that will also need to be addressed under
854 the new ABNJ/BBNJ agreement.
855

856 The first steps have been taken by this current research to understand the importance and time-related
857 nature of the connectivity between the High Seas/ABNJ and EEZs. The next steps will be toward
858 recognising the need and pursuing the development of a global agreement that can ensure the consistent
859 adoption of management practices in all regions and to establish supportive structures at regional scale
860 (Gjerde et al, 2018). A core function will be to define the value of those goods and services for each
861 country/region that are provided through this connectivity so as to justify and drive the identification
862 and adoption of appropriate management measures, in essence an ecosystem and cost-benefit
863 assessment of such connectivity. The clarification and agreement on justifications can accelerate the
864 process of developing appropriate site-specific management practices with all relevant stakeholders.
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866 867 **8. Conclusions and wider implications** 868

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871 • There has been a long-standing disconnect between management of the marine environment
872 in ABNJ and the fisheries productivity and biodiversity within territorial waters. However, a
873 growing body of evidence suggests that these areas are tightly linked via two processes: ecological
874 connectivity and ocean circulation connectivity, both exposing ecosystems of the coastal waters to
875 the downstream influence of activities in ABNJ. For example, it has been shown that overfishing
876 in the ABNJ can affect productivity and fishing opportunities in territorial waters and that, for this
877 reason, some are even advocating a total prohibition of fishing activities in the ABNJ. Thus,
878 effective, precautionary and equitable management of activities in the ABNJ, that includes
879 consideration of the whole life cycle of fishery resources, is critical to protect the rights and
880 interests of coastal states.
- 881 • Millions of people living in the coastal areas of developing countries in general, and the Least
882 Developed Countries in particular, rely heavily on marine and coastal resources for their
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livelihoods. These resources also deliver substantial revenue which can be used to fund the operation of national governments, service international debt or pay to import food for domestic consumption, thus contributing to national food security and diversification of diets. Consequently, it is fundamental that the wellbeing of vulnerable of coastal communities needs to be considered in connection to the health of the ABNJ.

- Our study shows that ecological and circulation connectivity of coastal waters to ABNJ, and thus their exposure to the direct effects of ABNJ activities, significantly varies between countries and regions. These differences are driven by proximity to ocean boundary currents as well as the dynamical regime of these currents. The specific shapes of adjacent EEZs can also play a role. Similarly, not all areas of the ABNJ are equal in their linkages with the coastline in general, or with the Least Developed Countries in particular.
- Using numerical ocean modelling, our study develops a series of metrics and spatial maps that serve to quantify the connectivity of the ABNJ to the coastal zone. This can identify regions in the ABNJ that are in the most urgent need of management on the grounds of the magnitude of potential downstream impacts on coastal populations.
- Connectivity analysis can be especially useful to the developing countries to prioritize regional ocean management, including in ABNJ, by identifying which countries naturally cluster together through connectivity. This includes more ecologically-defined ocean management units that transcend jurisdictional boundaries.
- The development and dissemination of data and knowledge on connectivity should be explicitly identified under the capacity and technology transfer as well as the Clearing House Mechanism established by the Convention on Biological Diversity to ensure that all governments have access to the information and technologies they need for their work on biodiversity.
- Current debates on criteria to identify marine managed and marine protected areas in the ABNJ often focus on the ecological and biological significance of the habitat/area in question. We suggest that, while these factors are clearly important, the socioeconomic vulnerability of areas downstream of activities in ABNJ should additionally be taken into account. This will help to directly support more effective management and conservation of biodiversity benefits for specific regions –and to ensure that the needs of the most vulnerable and impoverished communities are also addressed.
- We believe that this approach will be crucial in addressing global inequalities, helping achieve Sustainable Development Goals (Goal 1 – No poverty; Goal 2 – Zero hunger; and Goal 14 – Life below water), and enhancing the resilience of coastal communities in poorer countries that are already facing multiple climatic and economic shocks.
- Finally, we urge the international community (scientists and politicians alike) to consider the importance of ABNJ for coastal communities around the world. When identifying and delimiting managed areas or MPAs in ABNJ (including marine reserves), it is critical to account for the socio-economic interests of vulnerable states and communities that are exposed to downstream impacts of ABNJ activities. The new legally-binding instrument to govern biodiversity in ABNJ presents an important opportunity to ensure that sectoral activities in ABNJ are managed equitably, and not only by those with a direct economic interest in the activity. In this way, the needs of vulnerable communities dependent on marine resources are properly taken into account, and all can benefit from the conservation and sustainable use of marine biodiversity in ABNJ.

933 **Conflict of Interest Statement**

934 The authors declare that the research was conducted in the absence of any commercial or financial
935 relationships that could be construed as a potential conflict of interest.
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Figure Captions

Figure 1.

Global map showing the extent of the ABNJ (white) and EEZs (green, VLIZ, 2014). This dataset combines the boundaries of the world countries and the Exclusive Economic Zones of the world. It was created by combining the ESRI world country database and the EEZ V7 dataset. Red countries represent LDCs.

Figure 2.

Map showing the distribution / migration of marine species in the Indian and Pacific Ocean. Depicted are: main tuna distribution (grey, Fonteneau and Hallier, 2015), main yellowfin tuna catch areas (light blue, Fonteneau and Hallier, 2015; POSEIDON, MRAG, NFDS and COFREPECHE, 2014), main bigeye tuna catch areas (brown, Fonteneau and Hallier, 2015; POSEIDON, MRAG, NFDS and COFREPECHE, 2014), main skipjack tuna catch areas (pink, Dueri et al., 2012b; Fonteneau and Hallier, 2015; POSEIDON, MRAG, NFDS and COFREPECHE, 2014), main albacore tuna distribution (yellow, Dhurmeea et al, 2016; POSEIDON, MRAG, NFDS and COFREPECHE, 2014), recorded seabird migration areas (purple, Sequeira et al., 2018), albatross, petrel and shearwater foraging areas (orange, POSEIDON, MRAG, NFDS and COFREPECHE, 2014), areas of high seabird density (red, Le Corre et al., 2012), areas of true and eared seal movement (blue, Sequeira et al., 2018), and salmon tagging beyond EEZ and subsequent migration (green, Dunn et al. 2017). It should be noted that this image has been produced using available data for a small number of migratory species and groups; and empty space therefore does not indicate the absence of highly migratory marine species. Country colours indicate: coastal and island LDCs (yellow), landlocked LDC (dark green), “other low income countries” (light green).

Figure 3.

a) The time, in months, that it takes for ocean surface waters originated in the ABNJ to reach the coastal zone of the Federal Republic of Somalia and the Republic of Senegal (respectively on the eastern and western coasts of the continent; both countries are shown in yellow). The colour of the trajectories indicate the time in months for the surface waters to be advected to the coastal zone, termed on the colour bar as the connectivity time.

b) Schematic diagram of the surface circulation (arrows, after Schott et al., 2009) superimposed with the modelled monthly mean surface current speed. The following main currents are labelled by numbers: Angola Current (0), Canary Current (1), North Equatorial Current (Atlantic, 2), Guinea Current (3), South Equatorial Current (Atlantic, 4), Benguela Current (5), Somali Current (6, north-east monsoon season), Equatorial Countercurrent (7), East African Coastal Current (8), NW Madagascar Current (9), Agulhas (10), South-West Madagascar Current (11), South Equatorial Current (Indian Ocean, 12), North East Monsoon Current (13).

Figure 4.

(a) country connectivity index describing the fractional upstream connectivity of a country’s coastline to the ABNJ on a six months’ timescale. Countries are grouped by region and ranked from most to least connected within each region. Cambodia (KHM) is connected upstream to the ABNJ on a timescale longer than 6 months, hence the zero index.

(b) Country connectivity timescale showing the representative time period over which a country’s coastal zone is connected (upstream) to the ABNJ. Countries are grouped by region and sorted from

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1006 longest to shortest connectivity timescale within each region - therefore note the x-axis is ordered
1007 differently to (a). Countries with a typical connectivity timescale > 1 year are shown with jagged bars
1008 and no error bars. Mean for 10 years (2005-2014) is shown, with uncertainty (standard deviation)
1009 represented by error bars. Country abbreviations drawn from International Organization for
1010 Standardization country codes list (<https://laendercode.net/en/3-letter-list.html>).
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1013 Figure 5.

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1015 Map of the ABNJ connectivity to the coastal zones of coastal and island LDCs. Colours over the
1016 ocean indicate a) the number of individual LDCs and b) length of the LCD coastline that each region
1017 of the ABNJ is connected to within a 6 month timescale. EEZs are shown in grey. Note that (a) is only
1018 a measure of how many sovereign states on the DAC list or the length of the coastline each region of
1019 the ABNJ is connected to – it does not give information about how strongly or rapidly connected each
1020 region is to any given country or portion of coastline. Country colours indicate: coastal and island
1021 LDCs (yellow), landlocked LDC (dark green), “other low income countries” (light green).
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1600 **Supplementary material: Lagrangian modelling of connectivity between**
1601 **ABNJs and LDCs**
1602

1603 The numerical experiments discussed in this paper were performed using the offline Lagrangian
1604 particle-tracking package, ARIANE, driven by ocean circulation output from a simulation of the
1605 Nucleus for European Modelling of the Ocean (NEMO) model. Relevant information about both of
1606 these and the experiment design are provided here. For more detailed descriptions of ARIANE and
1607 NEMO, the reader is referred to (Blanke and Raynaud, 1997) and (Madec, 2014) respectively.
1608

1609 **ARIANE**
1610

1611 ARIANE is a Lagrangian modelling package that uses circulation output (i.e. simulated velocities) from
1612 ocean general circulation models (GCMs) to drive and track the movement of particles (Blanke and
1613 Raynaud, 1997). This approach has the advantage that it can be run ‘offline’ using pre-existing runs of
1614 a GCM at considerably lower computational cost than running the full high-resolution model. This low
1615 cost facilitates large ensembles of ARIANE simulations to clearly highlight the advective pathways –
1616 and their variability – in modelled ocean circulation.
1617

1618 Initial positions for virtual ‘particles’ are specified, and ARIANE works by reading in the 3-D velocity
1619 fields saved in the GCM output, interpolating to solve for particle translation through model grid cells,
1620 and saving particle positions at a requested frequency (daily was used here). ARIANE can be run either
1621 forwards or backwards in time – i.e. it can be used to either calculate where particles from a given
1622 location will go, or where they would have come from. The latter mode is used here.
1623

1624 This particle tracking approach has been extensively used to investigate problems where advection
1625 plays an important role, e.g. (Kelly et al., 2018; Popova et al., 2013; Robinson et al., 2016; van Gennip
1626 et al., 2017).
1627

1628 **NEMO**
1629

1630 For our ARIANE experiments, a 1/12° configuration of NEMO (Madec, 2014) provided the ocean
1631 circulation field. This resolution corresponds to a horizontal grid of approximately 7 km, sufficient to
1632 be eddy-resolving or at least eddy-permitting throughout the World Ocean. The model has 75 depth
1633 levels, 31 of which are in the upper 200m of the ocean with a finest vertical resolution of 1m in the
1634 uppermost level.

1635 NEMO was forced at the surface using atmospheric reanalysis data from the Drakkar Forcing Set (DFS)
1636 between 1958 and 2015. DFS consists of wind, temperature and humidity from ERA40 reanalysis at 6
1637 hourly temporal resolution, radiative fluxes (longwave and shortwave) at daily resolution, and monthly
1638 means for precipitation and river runoff from CORE2 reanalysis (Brodeau et al., 2010). This run of
1639 NEMO was coupled to the Louvian-la-Neuve Ice Model (LIM2) sea-ice model (Fichefet and Maqueda,
1640 1997; Goosse and Fichefet, 1999). Output from this run of the NEMO model is saved at 5-day
1641 frequency, and it is this (pre-existing) output that was used to drive the Lagrangian experiments
1642 discussed above.
1643

1644 **Experiment Design**
1645

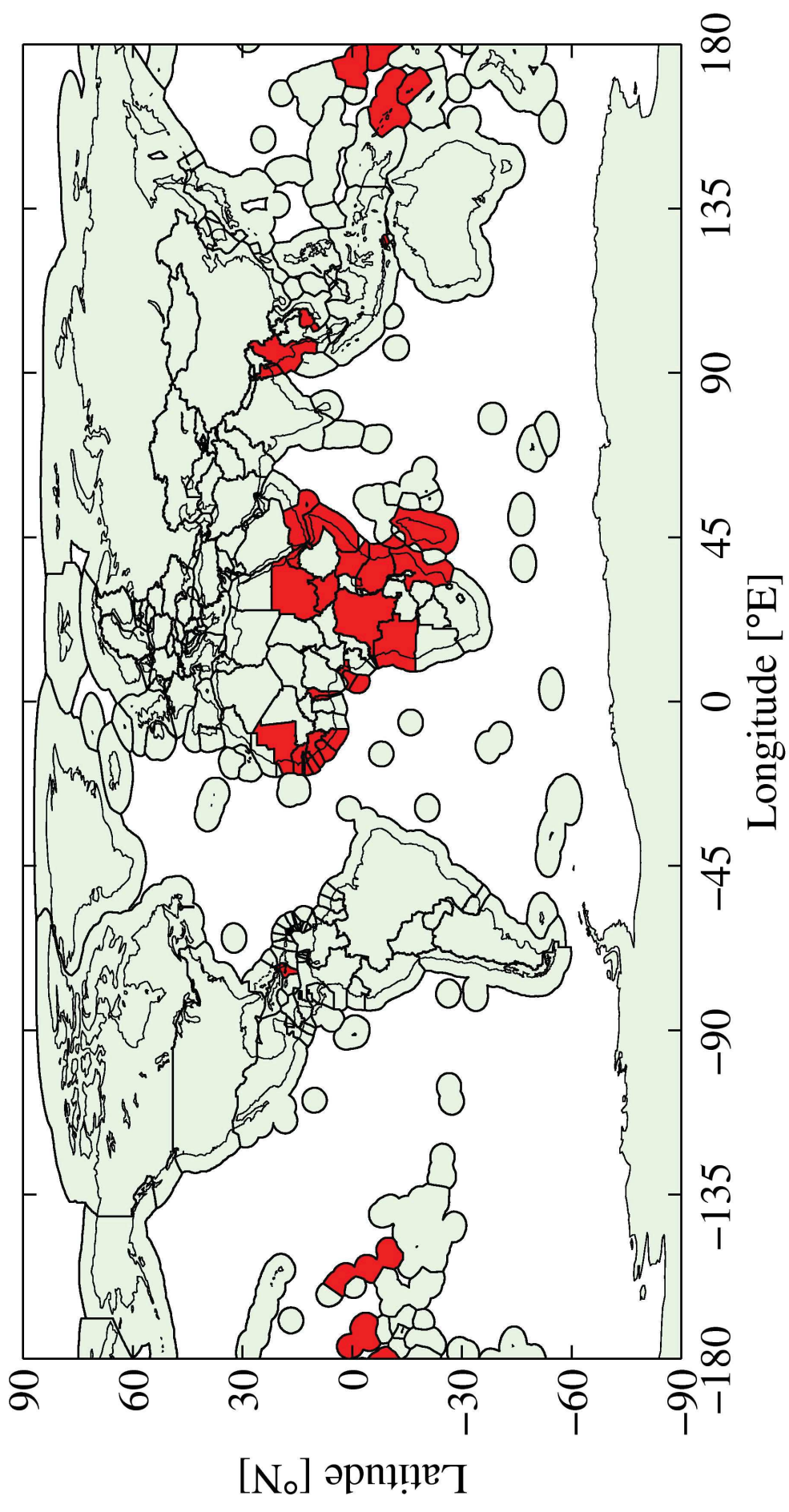
1646 For each country in the ‘least developed’ category of the 2014-2017 DAC list of ODA recipients, virtual
1647 particles were initialised along their coastal regions. Here, ‘coastal regions’ are defined as the region
1648 within approximately 15 km of the shore. Particles were distributed uniformly, with 9 particles per
1649 model grid cell (approx. 1 particle per 10 km²) for each experimental release. All particles were released
1650

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1655 at the ocean surface, and releases were performed every 3 months between 2005 and 2014 to capture
1656 the seasonal and inter-annual variability of ocean currents (for a total of 40 releases). During an
1657 experiment, particles were tracked backwards in time by ARIANE, and their positions were recorded
1658 daily for one year. The use of backwards (upstream) trajectories here identifies where water masses
1659 reaching a coastal release point have come from, rather than in forwards (downstream) trajectories
1660 which identify where water masses leaving a coastal release point travel to.
1661

1662 To analyse the connectivity between ABNJs and each respective country's coastline, each particle was
1663 logged as being either in or out of areas beyond national jurisdiction at each time step. As noted, all
1664 particles began the experiment within 15 km of their country's coast. Upon the first time step that a
1665 particle was tracked back to an ABNJ, this was logged as the connectivity timescale for that particular
1666 particle. Connectivity timescales and indexes for each country were defined by considering the mean
1667 connectivity timescale for all particles (from all 40 releases) tracked from a given country's coastline,
1668 and a connectivity index describing the strength of connectivity between ABNJs and each country was
1669 defined as the fraction of particles tracked back to ABNJs within 6 months of initialisation.
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1673 **Supplementary material references:**

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