1	Climate sensitivity from both physical and carbon cycle feedbacks
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22	Abstract
23	
24	The surface warming response to anthropogenic forcing is highly sensitive to the strength of
25	feedbacks in both the physical climate and carbon-cycle systems. However, the definitions of
26	climate feedback, λ_{Climate} in Wm ⁻² K ⁻¹ , and climate sensitivity, S_{Climate} in K[Wm ⁻²] ⁻¹ , explicitly
27	exclude the impact of carbon-cycle feedbacks. Here, we provide a new framework to incorporate
28	carbon feedback into the definitions of climate feedback and sensitivity. Applying our framework to
29	the Global Carbon Budget reconstructions reveals a present-day terrestrial carbon feedback of
30	λ_{Carbon} =0.31±0.09 Wm ⁻² K ⁻¹ and an ocean carbon feedback of -0.06 to 0.015 Wm ⁻² K ⁻¹ in Earth
31	system models. Observational constraints reveal a combined climate and carbon feedback of
32	$\lambda_{\text{Climate+Carbon}} = 1.48 \text{ Wm}^{-2}\text{K}^{-1}$ with a 95% range of 0.76 to 2.32 Wm ⁻² K ⁻¹ on centennial timescales,
33	corresponding to a combined climate and carbon sensitivity of S _{Climate+Carbon} =0.67 K[Wm ⁻²] ⁻¹ with a
34	95% range of 0.43 to 1.32 K[Wm ⁻²] ⁻¹ .

Plain Language Summary

- Feedback processes in the physical climate system and the carbon cycle affect the Earth's climate response to emissions of greenhouse gases, such as carbon dioxide. Physical climate feedbacks include the responses of clouds and atmospheric water vapor to rising surface temperatures, while carbon cycle feedbacks affect how much of the emitted carbon dioxide is removed from the atmosphere and stored in the ocean and on land. Conventionally, definitions of climate feedback and climate sensitivity include all the feedbacks in the physical climate system, but do not include carbon cycle feedbacks. This study provides a new framework to incorporate carbon feedback into the definitions of climate feedback and sensitivity. Evaluating the historical strengths of physical climate system and carbon cycle feedbacks suggests emissions of carbon dioxide will cause equilibrium (century timescale) surface warming to increase by between 0.6 to 2.0 °C for every 1000 PgC emitted when an equilibrium is approached between the atmosphere and ocean over many centuries.

55 **1. Introduction**

56 Climate change is driven by a combination of radiative forcing and climate feedbacks operating in 57 the climate system (see review in Knutti et al., 2017). The climate feedback is usually expressed in terms of the change in surface temperature multiplied by a feedback parameter, λ in Wm⁻²K⁻¹, 58 59 defined in terms of a wide range of physical processes, including the Planck response of enhanced 60 longwave emission from a warmer surface and physical feedbacks from changes in water vapour, 61 lapse rate, cloud cover and ice albedo (Gregory et al., 2004; Andrews et al., 2012, Armour et al., 62 2013; Andrews et al., 2015; Ceppi and Gregory, 2017). In contrast, the carbon-cycle responses and 63 feedbacks are usually defined in terms of how atmospheric carbon dioxide and temperature linearly 64 combine to alter the carbon inventories of the climate system (Friedlingstein et al., 2003, 2006; 65 Arora et al., 2013), which may be expressed in terms of a radiative feedback parameter in Wm⁻²K⁻¹ 66 (Gregory et al., 2009). However, there are difficulties in applying this carbon feedback method due 67 to nonlinearities in how the separate atmospheric carbon dioxide and temperature effects combine 68 together (Schwinger et al., 2014) giving rise to errors in the overall carbon feedback (Arora et al., 69 2013). This linearization method also cannot be used to calculate the carbon feedback directly from 70 observational reconstructions of the carbon cycle (e.g. le Quéré et al., 2018), since there is no 71 observational method to generate the hypothetical state with a range of feedback processes turned 72 off for the real world.

73

The separation of forcing and feedback is dependent upon the nature of the climate perturbation. In climate model experiments driven by an imposed atmospheric CO_2 trajectory, a radiative forcing is provided from the increase in atmospheric CO_2 that automatically includes the effects of carboncycle feedbacks. In contrast, for climate model experiments driven by carbon emissions, a radiative forcing is provided from the increase in atmospheric CO_2 directly caused by the carbon emission together with a radiative feedback from the change in atmospheric CO_2 caused by changes in the terrestrial and ocean carbon reservoirs.

81

To understand this distinction between forcing and feedback, consider the response of a conceptual Earth system model to a pulse of carbon released to the atmosphere, which is partitioned between the atmosphere, ocean and terrestrial systems (Fig. 1a). The original carbon release drives a radiative forcing from the increase in atmospheric CO₂ (Fig. 1b, red line), which is augmented by a radiative feedback from both non-CO₂ and CO₂ changes (Fig. 1c, yellow and blue lines). These feedbacks may act to enhance or oppose the original forcing perturbation.

- 89 Our aim is to define and evaluate a new feedback parameter for the carbon system that:
- 90 (1) Takes into account the combined effects of the non-CO₂ and CO₂ feedbacks operating in the
- 91 climate system, thus avoiding the need to make a linearizing assumption that introduces error;
- 92 (2) Allows direct comparison between magnitudes of, and uncertainties in, feedbacks in the climate
- 93 and carbon systems; and
- 94 (3) Allows the practical application of real-world observational data to analyze carbon feedback.95

96 **2. Definition of a climate and carbon feedback parameter**

97 Consider the global energy balance for a climate system perturbed from an initial steady state (e.g. 98 Fig. 1a,b). The radiative forcing perturbation, $\Delta R'$, from the original forcing perturbation combined 99 with subsequent feedback terms is balanced by additional outgoing longwave radiation emitted due 100 to surface warming, $\lambda_{\text{Planck}}\Delta T$, and the net Earth system heat uptake, *N*, all terms defined in Wm⁻²,

101

$$102 \quad \Delta R' = \lambda_{Planck} \Delta T + N, \tag{1}$$

103

104 where λ_{Planck} is the Planck feedback parameter in Wm⁻²K⁻¹ and ΔT is the change in global-mean 105 surface temperature in K. The radiative forcing, $\Delta R'$, consists of an original forcing perturbation, 106 $\Delta R^{forcing}$ plus a subsequent feedback term, $\Delta R^{feedback}$, $\Delta R' = \Delta R^{forcing} + \Delta R^{feedback}$, and the 107 feedback may be written in terms of the separate non-CO₂ and CO₂ components, $\Delta R^{feedback}_{non-CO2}$ and 108 $\Delta R^{feedback}_{CO2}$ respectively (Fig. 1b), such that

109

$$\Delta R' = \Delta R^{forcing} + \Delta R^{feedback}_{non-CO2} + \Delta R^{feedback}_{CO2} .$$
⁽²⁾

111

112 The radiative feedback term from non-CO₂ feedbacks, $\Delta R_{non-CO2}^{feedback}$, includes the effects of changes 113 in water vapor, lapse rate, clouds and surface albedo, while the radiative feedback term from CO₂, 114 $\Delta R_{CO2}^{feedback}$, includes how radiative forcing from atmospheric CO₂ is altered by changes in the 115 ocean and terrestrial carbon inventories.

116

117 The radiative response is often defined in terms of a climate feedback, $\lambda_{Climate}\Delta T$ in Wm⁻², by 118 combining the Planck response, $\lambda_{Planck}\Delta T$, with the radiative forcing from non-CO₂ feedbacks, 119 $\Delta R_{non-CO_2}^{feedback}$ (e.g. see IPCC, 2013; *Knutti et al.*, 2017),

121
$$\lambda_{Climate}\Delta T = \lambda_{Planck}\Delta T - \Delta R_{non-CO2}^{feedback},$$
 (3)

such that the energy balance in (1) may be re-expressed from (2) and (3) by

125
$$\Delta R^{forcing} + \Delta R^{feedback}_{CO2} = \lambda_{Planck} \Delta T - \Delta R^{feedback}_{non-CO2} + N = \lambda_{Climate} \Delta T + N .$$
(4)

126

127 The standard form of the climate feedback definition in (3) does not encapsulate the full sensitivity 128 of the Earth system to perturbation, as the definition only accounts for the strength of the non-CO₂ 129 feedbacks in the system and ignores the impact of carbon-cycle feedbacks, which are instead treated 130 as part of the forcing perturbation in (4). Here, we re-express the energy-balance relations (1) and 131 (4) using a new combined carbon plus climate feedback, $\lambda_{Climate+Carbon}$ in Wm⁻²K⁻¹, defined as the 132 sum of the climate and carbon feedbacks,

133

134
$$\lambda_{Climate+Carbon}\Delta T = \lambda_{Planck}\Delta T - \Delta R_{non-CO2}^{feedback} - \Delta R_{CO2}^{feedback} = (\lambda_{Climate} + \lambda_{Carbon})\Delta T,$$

135 (5)

136

137 where $\lambda_{Carbon} = -\Delta R_{CO2}^{feedback} / \Delta T$. The energy balance in (1) may now be more explicitly written 138 in terms of the original radiative forcing, $\Delta R^{forcing}$, balancing the radiative response from the 139 combined climate and carbon responses, $\lambda_{Climate+Carbon}\Delta T$, plus the planetary heat uptake, *N*, 140 such that

141

142
$$\Delta R^{forcing} = \lambda_{Climate} \Delta T - \Delta R_{CO2}^{feedback} + N = \lambda_{Climate+Carbon} \Delta T + N.$$
(6)

143

144 To progress, we now wish to evaluate the carbon feedback λ_{Carbon} in terms of changes in ocean 145 and terrestrial carbon inventories.

146

147 **3. Extracting the feedback component to CO₂ change**

148 A small carbon emission into a preindustrial state, δI_{em} in PgC, is distributed between the 149 atmospheric, ocean and terrestrial carbon reservoirs (Fig. 1a),

150

151
$$\delta I_{em} = \delta I_{atmos} + \delta I_{ocean} + \delta I_{ter} = M \delta CO_2 + V \delta C_{DIC} + \delta I_{ter}, \tag{7}$$

152

153 where $\delta I_{atmos} = M \delta CO_2$ is the change in atmospheric CO₂ inventory since the preindustrial, with *M* 154 the molar volume of the atmosphere and CO₂ the atmospheric CO₂ mixing ratio; $\delta I_{ocean} = V \delta C_{DIC}$ is

- 155 the change in ocean dissolved inorganic carbon (DIC) inventory, with V the ocean volume and C_{DIC}
- 156 the mean-ocean concentration of DIC; δI_{ter} is the change in terrestrial (soil + vegetation) carbon
- 157 inventory; and the symbol δ is used to indicate a small infinitesimal change since the preindustrial.
- 158
- 159 Radiative forcing is related to the log change in atmospheric CO₂, $R_{CO2} = a\Delta \ln CO_2$ (*Myhre et al*,
- 160 2013), so that our goal is to find an expression for the change in $\log CO_2$ due to some initial carbon
- 161 emission, δI_{em} , and subsequent responses to forcing and feedbacks within the atmosphere-ocean-
- 162 terrestrial carbon system (7). The ocean inventory of carbon involves the dissolved inorganic
- 163 carbon concentration C_{DIC} , which may be expressed as a sum of process-driven components (*Ito*
- 164 and Follows, 2005; Goodwin et al., 2008, Williams and Follows, 2011) involving the DIC
- 165 concentration at chemical saturation with atmospheric CO₂, C_{sat} , the disequilibrium concentration at
- 166 subduction, C_{dis} , and the DIC contribution from regenerated biological material, C_{bio} ,
- 167 ($C_{DIC}=C_{sat}+C_{dis}+C_{bio}$: Appendix). Applying this ocean partitioning allows the perturbation to the 168 global carbon inventory (7) to be re-expressed as
- 169

170
$$\delta I_{em} = \left(I_{atmos} + \frac{VC_{sat}}{B}\right)\delta\ln CO_2 + V\left(\delta C_{dis} + \delta C_{bio} + \frac{\partial C_{sat}}{\partial A_{pre}}\delta A_{pre} + \frac{\partial C_{sat}}{\partial T_{oc}}\delta T_{oc}\right) + \delta I_{ter},$$
171 (8)

where A_{pre} is the global mean ocean preformed titration alkalinity; T_{oc} is the global mean ocean temperature; $B = \partial \ln CO_2 / \partial \ln C_{sat}$ is the Revelle Buffer factor of seawater; and I_{atmos} + $(VC_{sat}/B) = I_B$ is the buffered carbon inventory of the air-sea system (*Goodwin et al.*, 2007; 2008; 2015).

177

178Re-arranging (8) for $\partial \ln CO_2$, and integrating for large changes using a constant buffered carbon179inventory approximation (*Goodwin et al.*, 2007; 2008; 2009; 2011; 2015: Appendix), decomposes180 ΔR_{cO2} into the initial response to forcing from anthropogenic carbon emissions in the absence of181feedbacks, $\Delta R_{CO2}^{forcing}$, plus components from terrestrial and ocean carbon cycle feedbacks,182 $\Delta R_{CO2}^{feedback} = \Delta R_{terrestrial}^{feedback} + \Delta R_{ocean}^{feedback}$ (Fig. 1a,b), such that183

184
$$\Delta R_{CO2} = \Delta R_{CO2}^{forcing} + \Delta R_{CO2}^{feedback} = \Delta R_{CO2}^{forcing} + \Delta R_{terrestrial}^{feedback} + \Delta R_{ocean}^{feedback}, \tag{9}$$

186 where $\Delta R_{CO2}^{forcing}$ is related to terms involving the carbon emission ΔI_{em} and the change in ocean 187 disequilibrium carbon ΔC_{dis} from (8); $\Delta R_{terrestrial}^{feedback}$ is related to the feedback from the change in the 188 terrestrial carbon inventory, ΔI_{ter} ; and $\Delta R_{ocean}^{feedback}$ is related to the feedback from the changes in the 189 ocean carbon inventory involving the saturated and regenerated carbon pools (8) from ΔC_{bio} , ΔA_{pre} 190 and ΔT_{oc} (Appendix).

191

4. Evaluating carbon feedback from observational constraints and numerical simulations

4.1 Terrestrial carbon feedback

195 The change in the radiative forcing, $\Delta R_{terrestrial}^{feedback}$ in (9), is related to the change in the cumulative 196 terrestrial carbon inventory relative to the preindustrial, ΔI_{ter} in PgC (Fig. 1a,b; *Goodwin et al.*, 197 2007: 2008; 2009; 2011; 2015: Appendix), which is given by

198

199
$$\Delta R_{terrestrial}^{feedback} = -\left(\frac{a}{I_B}\right) \Delta I_{ter}.$$
 (10)

200

201 The terrestrial carbon feedback λ_{Carbon} is diagnosed from reconstructions of the change in the 202 terrestrial carbon inventory and surface temperature record by substituting (10) into (5), 203

204
$$\lambda_{Carbon} = -\frac{\Delta R_{terrestrial}^{feedback}}{\Delta T} = -\left(\frac{a}{I_B}\right)\frac{\Delta I_{ter}}{\Delta T}$$
205

This new relation (11) is now used to quantify terrestrial carbon feedback from observational 206 reconstructions and Earth system model simulations. λ_{Carbon} is estimated using the following 207 parameters: the radiative forcing coefficient from CO₂, $a=5.35\pm0.27$ Wm⁻² (Myhre et al., 2013); the 208 209 buffered carbon inventory, IB=3451±96 PgC (Williams et al., 2017); the global-mean surface 210 temperature change ΔT is from the GISTEMP temperature record (*Hansen et al.* 2010), including 211 an 11-average smoothing (Fig. 2a, black dotted and full lines); and change in the terrestrial carbon inventory ΔI_{ter} are from the Global Carbon Budget (*le Quéré et al.*, 2018) (Fig. 2b, black). 212 213 Uncertainties in the terrestrial carbon budget are taken from the additional data for the 16 individual dynamic global vegetation models (DGVMs) in the Global Carbon Budget (le Quéré et al., 2018; 214 215 Supplementary Information; Acknowledgements).

216

(11)

- 217 These historical reconstructions for the terrestrial carbon inventory and surface temperature reveal an observation-constrained estimate of the terrestrial carbon feedback parameter, $\lambda_{Carbon} = 0.33 \pm 0.09$ 218 219 Wm⁻²K⁻¹ for the present day (Fig. 2c, black line and shading), which represents a negative feedback 220 that reduces global warming through terrestrial carbon uptake. The strength of this negative feedback reached a peak magnitude of $\lambda_{Carbon} = 0.86 \pm 0.34 \text{ Wm}^{-2}\text{K}^{-1}$ in the late 1960s, but then has 221 222 decreased in time as the rate of increase in surface warming since the early 1970s (Fig. 2a, black) 223 (Hansen et al., 2010) has not matched the rate of increase in the cumulative terrestrial carbon sink 224 (Fig. 2b, black) (le Quéré et al., 2018).
- 225

226 The terrestrial carbon feedback is now evaluated from four CMIP5 Earth system models

- 227 (CanESM2, HadGEM2-ES, HadGEM2-CC and NorESM-ME), chosen as these have a reliable net 228 export production (nep) variable allowing calculation of ΔI_{ter} in (11). From the simulated ΔI_{ter} and 229 11-year average ΔT (Fig. 2a,b), and estimates of a and I_B for each model (*Williams et al.* 2017), 230 λ_{Carbon} is evaluated from year 1959 to 2100 for the RCP4.5 scenario (Fig. 2c). These four CMIP5 231 Earth system models have a smaller present-day terrestrial carbon feedback parameter ranging from 0.02 to 0.65 Wm⁻²K⁻¹, broader than the 1σ range from observational reconstructions (Fig. 2c, 232 compare dashed lines to black line and shading). These differences between the Earth system 233 234 models and the observational estimate arise from their discrepancy between the modeled and 235 observational reconstructions of surface warming and terrestrial carbon uptake (Fig. 2a,b). The future simulated λ_{Carbon} remains stable under the RCP4.5 scenario, remaining close to the present-236 237 day values to year 2100 (Fig 2c).
- 238

239 Additional projections of carbon feedback are made using a very large ensemble of observation-240 constrained simulations from the Warming Acidification and Sea level Projector (WASP: Goodwin, 241 2016), for the RCP4.5 scenario (Fig. 2, blue line and shading). We adopt the WASP model 242 configuration of *Goodwin* (2018), with climate feedback including components from different 243 processes operating on different response timescales (Fig. 1). An ensemble is generated of many 244 thousands of observation-consistent simulations using the Monte Carlo plus history matching 245 (Williamson et al., 2015) methodology of Goodwin et al. (2018). First, the initial ensemble of 10-246 million Monte Carlo simulations is generated as in *Goodwin* (2018), with varied model input 247 parameters, and integrate each simulation from years 1765 to 2017 with historical forcing. Next the 248 observational-consistency test of *Goodwin* (2018 – see Table 2 therein) is applied with an updated 249 terrestrial carbon range (Supplementary Table S1) based on the 16 observation-consistent DGVMs of the Global Carbon Budget 2018 (le Quéré et al. 2018). Only 6273 simulations pass the 250

251 observation-consistency test and a further 3 simulations are rejected as non-physical since λ_{Climate}

- 252 becomes negative on long timescales.
- 253

The remaining ensemble of 6270 WASP simulations are then consistent with historic observations 254 255 of surface warming (Fig. 2a, compare blue to black), terrestrial carbon uptake (Fig. 2b, compare 256 blue to black) and ocean heat content changes (Supplementary Table S1; Goodwin, 2018). Due to 257 the observation-simulation agreement in ΔT and ΔI_{ter} , the final WASP ensemble is also in good agreement with the observational reconstructions of terrestrial λ_{Carbon} using (11) from years 1959 to 258 259 2017 (Fig. 2c, compare blue to black). Under the RCP4.5 scenario, the observation-constrained 260 WASP ensemble shows a similar future behavior as in the response of the CMIP5 models (Fig. 2c, compare blue solid line and shading to dashed lines), with λ_{Carbon} displaying only a small change in 261 262 magnitude from the present day towards year 2100.

263

264 4.2 Ocean carbon feedback

265 In a similar manner to how the terrestrial carbon feedback is defined relative to ΔI_{ter} (11), the ocean carbon feedback is defined in relation to changes in the ocean DIC from regenerated carbon, ΔC_{bio} . 266 and changes in the ocean saturated carbon inventory from preformed alkalinity ΔA_{pre} and ocean 267 268 temperature ΔT_{oc} (eqns. 8, A8), via,

269

270
$$\lambda_{Carbon} = -\frac{\Delta R_{ocean}^{feedback}}{\Delta T} = -\left(\frac{a}{I_B}\right) \frac{\Delta C_{bio} + \left(\frac{\partial C_{sat}}{\partial A_{pre}}\right) \Delta A_{pre} + \left(\frac{\partial C_{sat}}{\partial T_{oc}}\right) \Delta T_{oc}}{\Delta T}.$$
271 (12)

271

272 This ocean feedback term represents how changes in ocean temperature and ocean biological 273 cycling of carbon and alkalinity from an initial carbon perturbation then feedback to alter the 274 radiative forcing from atmospheric CO₂. Based on Earth system models (evaluating ΔC_{bio} , ΔA_{pre} and ΔT_{oc}), observational reconstructions for ocean heat uptake (*Cheng et al.*, 2017) and the WASP 275 276 ensemble (both evaluating ΔT_{oc} only), the ocean carbon feedback is diagnosed as being much smaller than the terrestrial carbon feedback in the present day, ranging from -0.015 to 0.06 Wm⁻²K⁻¹ 277 278 (Fig. 2c,d), and remains small for the 21st century. The magnitude of the ocean carbon feedback 279 might though increase beyond year 2100 due to continued climate-driven changes in ocean 280 temperature, ΔT_{oc} , and ocean biological carbon drawdown, ΔC_{bio} .

281

282 Our estimate of ocean carbon feedback (Fig. 2d) is much smaller than that implied by Gregory et al.

283 (2009) because the previous approach (Friedlingstein et al., 2006) considers the transient

- disequilibrium of ocean DIC, C_{dis} (eq. 8), to be part of the ocean carbon feedback, while our method
- 285 considers C_{dis} as part of the transient ocean response. An idealized feedback grows in magnitude
- over time, from zero the instant a forcing is applied to some final equilibrium value on long
- timescales. We do not consider C_{dis} part of the ocean carbon feedback because the time-evolution of
- 288 C_{dis} is the opposite sense: ocean CO₂ disequilibrium is large the instant CO₂ is emitted into the
- atmosphere and then decays to zero over long timescales due to ocean carbon uptake
- 290 (Supplementary Fig. S1).
- 291

292 5. Estimating the combined carbon-climate feedback and sensitivity

293 We now place observational constraints on the combined climate plus carbon feedback,

294 $\lambda_{\text{Climate+Carbon}}$, and sensitivity, $S_{\text{Climate+Carbon}}$ in K[Wm⁻²]⁻¹, by evaluating both λ_{Climate} and λ_{Carbon} for an 295 idealized perturbation experiment in the observation constrained WASP ensemble. Each of the 6270 296 observation-consistent WASP ensemble members (Fig. 2, blue line and shading) is reinitialized at a 297 preindustrial spin up and integrated for 500 years, forced with an idealized scenario consisting of a 298 1000 PgC emission over the first 100 years (Fig. 1a).

299

The total radiative forcing $\Delta R'$ is decomposed into the initial emission forcing, $\Delta R_{CO2}^{forcing}$, non-CO₂ 300 feedback, $\Delta R_{non-CO2}^{feedback}$, and CO₂ feedback, $\Delta R_{CO2}^{feedback}$, terms (Fig. 1b) using eqns. (1)-(6). From 301 302 this decomposition, $\lambda_{Climate}$ and λ_{Carbon} (Figs. 1c,d) are evaluated over multiple response timescales 303 in the observation-consistent ensemble, where the λ_{Climate} results are comparable to the similarly 304 constrained ensemble in *Goodwin* (2018 – see figure 2 therein). Here, λ_{Carbon} in WASP includes 305 both the larger terrestrial and smaller ocean temperature-CO₂ solubility effects (Fig. 1d), but WASP 306 does not simulate changes in C_{bio} , which remain small in Earth system models (Fig. 2d). For 307 illustration purposes, $\lambda_{Climate}$ and λ_{Carbon} contributions from individual processes are shown by 308 integrating the WASP ensemble with combinations of feedback processes switched off (Fig. 1c,d, 309 dashed lines are ensemble median values).

310

311 Estimates of the carbon and climate feedback parameters, λ_{Carbon} and $\lambda_{Climate}$, applicable on century 312 timescales, are made from the observation-consistent ensemble distributions at the end of the 313 1000PgC emission simulations (Fig. 1). The 500-year carbon feedback after a 1000PgC emission

- has a median (and 95% range) of $\lambda_{Carbon} = 0.21$ (-0.02 to 0.5) Wm⁻²K⁻¹ (Fig. 1c), while the physical
- 315 climate feedback after a 1000PgC emission is $\lambda_{\text{Climate}}=1.27$ (0.73 to 1.88) Wm⁻²K⁻¹ (Fig. 1b, Fig 3a,
- 316 blue).

- 317
- 318 The impact of carbon feedbacks is therefore to increase the overall carbon plus climate feedback
- 319 above λ_{Climate} , with an observation-constrained distribution of $\lambda_{\text{Climate+Carbon}} = \lambda_{\text{Carbon}} + \lambda_{\text{Climate}} = 1.48$
- 320 (0.76 to 2.32) Wm⁻²K⁻¹ (Fig. 3a). Consequently, the climate sensitivity, $S=1/\lambda$, from non-CO₂
- 321 feedbacks alone, $S_{\text{Climate}} = 0.79 (0.53 \text{ to } 1.37) \text{ K}[\text{Wm}^{-2}]^{-1}$, is reduced to $S_{\text{Climate}+\text{Carbon}} = 0.67 (0.43 \text{ to } 1.37) \text{ K}[\text{Wm}^{-2}]^{-1}$
- 322 1.32) K[Wm⁻²]⁻¹, when encapsulating both non-CO₂ and CO₂ feedbacks acting together (Fig. 3b).
- 323 This estimate of S_{Climate+Carbon} (Fig. 3b, black) represents the total sensitivity of the climate system to
- 324 perturbation by carbon emission over century timescales, including both physical climate and
- 325 carbon-cycle feedbacks.
- 326

327 6. Conclusions

328 A new method is presented to constrain the carbon feedback parameter, finding for the present-day 329 terrestrial carbon system $\lambda_{Carbon} = 0.33 \pm 0.09 \text{ Wm}^{-2} \text{K}^{-1}$ (Fig. 2c) based on observational reconstructions of carbon uptake and warming (le Quéré et al. 2018; Hansen et al., 2010), and 330 $\lambda_{Carbon}=0.02$ to 0.65 Wm⁻²K⁻¹ in four CMIP5 models. This compares to a previous method implying 331 terrestrial carbon feedback of $\lambda_{Carbon}=0.7\pm0.5$ Wm⁻²K⁻¹, based on analysis of the earlier C4MIP 332 333 climate model ensemble (Arneth et al., 2010; Gregory et al., 2009; Friedlingstein et al., 2006) and comprising a linearization of separate CO₂-carbon $(1.1\pm0.5$ Wm⁻²K⁻¹) and climate-carbon 334 $(-0.4\pm0.2$ Wm⁻²K⁻¹) components. The linearization assumed by the previous method introduces 335 336 errors (Schwinger et al. 2014; Arora et al., 2013) and means the method cannot be applied to 337 observational reconstructions. To avoid making the linearization assumption, and so be applicable 338 to observational reconstructions, our method assumes a constant buffered carbon inventory 339 (Appendix); a good approximation for carbon perturbations up to ~5000PgC or for atmospheric 340 CO₂ reaching ~1100ppm (*Goodwin et al.*, 2007; 2008; 2009).

341

The Equilibrium Climate Response to Emission, ECRE in K 1000PgC⁻¹, expresses the warming per 342 343 unit carbon emitted once ocean heat uptake approaches zero over centennial to multi-centennial 344 timescales, ECRE= $\Delta T / \Delta I_{em}$ (Frölicher & Paynter 2015). This atmosphere-ocean equilibrium is 345 approached over many centuries, but not necessarily reached due to the effect of other longer timescale carbon and climate feedbacks, such as from ice sheet-albedo feedbacks (Rohling et al., 346 347 2018) and multi-millennial CaCO₃ sediment and weathering responses (Archer et al., 2005). In the 348 absence of carbon feedbacks, *Williams et al.* (2012) related the ECRE to climate feedback, $\lambda_{Climate}$, 349 via ECRE = $a/(\lambda_{climate}I_B)$. Here, we extend the relationship to include the effects of both climate

and carbon feedbacks, ECRE = $a/(\lambda_{climate+carbon}I_B)$, applicable after ocean CO₂ invasion and

- heat uptake but prior to significant CaCO₃ sediment and weathering responses (Archer, 2005;
- 352 Goodwin et al., 2007; 2008; 2015). Our historically constrained feedback estimates (Fig. 3a,b)
- 353 imply ECRE =1.0 (0.6 to 2.0) K per 1000PgC emitted (Fig. 3c), with the upper half of our range
- 354 (from 1 to 2 K[1000PgC]⁻¹) consistent with a CMIP5-based estimate (Frölicher & Paynter, 2015).
- 355 Carbon and climate feedbacks not constrained historically (e.g. Rohling et al., 2018; Zickfield et al.,
- 356 2013; Pugh et al., 2018; MacDougall & Knutti, 2016), may alter future $\lambda_{climate+carbon}$ and so alter
- 357 ECRE. We anticipate this relationship, $ECRE = a/(\lambda_{climate+carbon}I_B)$, will be useful in elucidating
- 358 how different carbon and climate feedbacks contribute to the multi-century warming response to
- 359 carbon emission.
- 360

361 Appendix: Connecting radiative feedbacks to changes in carbon inventories

362

Our aim is to separate the total CO₂ radiative forcing into a sum of linearly-separable terms representing different processes and feedbacks. We start by considering how carbon emissions perturb carbon storage across the atmosphere-ocean-terrestrial system. We now write identities for the changes in atmospheric and ocean carbon inventories containing terms with ∂ nCO2. Using the identity for small perturbations in *x*, $\partial x = x \partial \ln x$, we write an identity for a small perturbation in atmospheric CO₂ inventory, ∂I_{atmos} , in terms of a small perturbation to the logarithm of atmospheric CO₂, ∂ nCO₂,

370

371
$$\delta I_{atmos} = I_{atmos} \delta \ln CO_2,$$
 (A1)

372

373 where I_{atmos} is the initial atmospheric CO₂ inventory at the unperturbed preindustrial state.

374

The change in ocean DIC is considered, via a process-driven viewpoint (*Ito and Follows*, 2005;

376 Goodwin et al., 2008; Williams and Follows, 2011), in terms of the sum of components from the

377 change in chemically-saturated DIC arising from changes in atmospheric CO₂ and seawater

- 378 properties, δC_{sat} , the change in chemical disequilibrium of ocean DIC relative to atmospheric CO₂,
- 379 δC_{dis} , and the combined change in ocean DIC from regenerated soft tissue and CaCO₃ drawdown, 380 δC_{bio} ,
- 381

$$\delta C_{DIC} = \delta C_{sat} + \delta C_{dis} + \delta C_{bio}. \tag{A2}$$

- 384 Due to the carbonate chemistry system, the perturbation to C_{sat} is a function of the change to the
- logarithm of atmospheric CO₂, ∂ InCO₂, the change in mean ocean preformed titration alkalinity,
- 386 δA_{pre} , the change in mean seawater temperature, δT_{oc} , and the change in mean seawater salinity, δS :
- 387 $\delta C_{sat} = \delta C_{sat} (\delta \ln CO_2, \delta A_{pre}, \delta T_{ac}, \delta S)$. This small perturbation to C_{sat} is now expanded after
- 388 Goodwin and Lenton (2009) into components from ∂InCO_2 , ∂A_{pre} , ∂T_{oc} , and ∂S ,
- 389

390
$$\delta C_{dis} = \frac{\partial C_{sat}}{\partial \ln CO_2} \delta \ln CO_2 + \frac{\partial C_{sat}}{\partial A_{pre}} \delta A_{pre} + \frac{\partial C_{sat}}{\partial T_{oc}} \delta T_{oc} + \frac{\partial C_{sat}}{\partial S} \delta S, \tag{A3}$$

392 where the salinity term, $(\partial C_{sat}/\partial S) \partial S$, is small and henceforth will be omitted.

393

Again, using the identity for small perturbations in a variable *x*, $\delta x = x \delta \ln x$, but applying to C_{sat} , the term for the sensitivity of C_{sat} to ln CO₂ in (A3) becomes,

396

397
$$\frac{\partial C_{sat}}{\partial \ln CO_2} \delta \ln CO_2 = C_{sat} \frac{\partial \ln C_{sat}}{\partial \ln CO_2} \delta \ln CO_2 = \frac{C_{sat}}{B} \delta \ln CO_2, \quad (A4)$$

398

399 where $B = (\partial \ln CO_2 / \partial \ln C_{sat})$ is the Revelle Buffer factor expressing how fractional chemical in 400 atmospheric CO₂ are much larger than fractional changes in DIC with *B* the order 10 for the present 401 ocean (e.g. *Williams and Follows*, 2011). Substituting (A4) into (A3), and noting that $I_{ocean} = VC_{DIC}$, 402 produces an identity for ∂I_{ocean} containing a term in $\partial \ln CO_2$,

403

404
$$\delta I_{ocean} = \frac{I_{ocean}^{sat}}{B} \delta \ln CO_2 + V \left(\delta C_{dis} + \delta C_{bio} + \frac{\partial C_{sat}}{\partial A_{pre}} \delta A_{pre} + \frac{\partial C_{sat}}{\partial T_{oc}} \delta T_{oc} \right), \tag{A5}$$

405

406 where $I_{ocean}^{sat} = VC_{sat}$ is the ocean inventory of saturated DIC at current atmospheric CO₂. 407 Substituting δI_{ocean} (A5) and δI_{atmos} (A1) into (7), and re-arranging to solve for the log change in 408 atmospheric CO₂ mixing ratio to small perturbations to I_{em} , I_{ter} , C_{dis} , C_{bio} , A_{pre} and T_{oc} , reveals 409

$$410 \quad \left(I_{atmos} + \frac{I_{ocean}^{sat}}{B}\right)\delta\ln CO_2 = \delta I_{em} - \delta I_{ter} - V\left(\delta C_{dis} + \delta C_{bio} + \frac{\partial C_{sat}}{\partial A_{pre}}\delta A_{pre} + \frac{\partial C_{sat}}{\partial T_{oc}}\delta T_{oc}\right)$$

$$411 \qquad (A6)$$

412 The issue now is that this identity for $\delta \ln CO_2$, (A7), applies only to small infinitesimal

413 perturbations, and we wish to solve for the change in log CO₂ for large finite perturbations. The

- 414 next step is therefore to integrate (A6) over large finite perturbations in *I_{em}*, *I_{ter}*, *C_{dis}*, *C_{bio}*, *A_{pre}* and
- 415 *T*oc.
- 416
- 417 To integrate (A6), we note that the left-hand side contains the buffered carbon inventory, I_B
- 418 (Goodwin et al., 2007; 2008), defined as the atmospheric carbon inventory added to the ocean
- 419 saturated-DIC inventory divided by the Revelle Buffer factor, $I_B = I_{atmos} + (I_{ocean}^{sat}/B)$. I_B represents
- 420 the total buffered CO₂ and DIC in the atmosphere-ocean system that is available for redistribution
- between the CO_2 and carbonate ion pools (*Goodwin et al.*, 2009), given that the majority of ocean
- 422 DIC is in the form of bicarbonate ions. At the preindustrial state, I_B =3451±96 PgC in the CMIP5 423 models analyzed by *Williams et al.* (2017).
- 424
- 425 Using this constant buffered carbon inventory approach (Supplementary), we integrate (A6) to find 426 the change in atmospheric CO₂ for large finite perturbations to total carbon emitted, ΔI_{em} , the 427 change in terrestrial carbon storage, ΔI_{ter} , the large changes in mean ocean values of ΔC_{dis} , ΔC_{bio} , 428 ΔA_{pre} and ΔT_{oc} , so that
- 429

430
$$I_B \Delta \ln CO_2 = \Delta I_{em} - \Delta I_{ter} - V \left(\Delta C_{dis} + \Delta C_{bio} + \frac{\partial C_{sat}}{\partial A_{pre}} \Delta A_{pre} + \frac{\partial C_{sat}}{\partial T_{oc}} \Delta T_{oc} \right).$$
(A7)

432 Multiplying (A7) by the CO₂-radiative forcing coefficient, *a*, produces an expression for the 433 radiative forcing from CO₂ in (9),

434

435
$$\Delta R_{CO2} = \Delta R_{CO2}^{forcing} + \Delta R_{terrestrial}^{feedback} + \Delta R_{ocean}^{feedback}$$

436

446

as a sum of separable terms representing different processes, each linked to a different change in a
 carbon inventory. The CO₂ radiative forcing,

,

- 440 $\Delta R_{CO2}^{forcing} = (a/I_B)(\Delta I_{em} V\Delta C_{dis}), \tag{A8a}$ 441
- 442 represents the direct effect of the emitted carbon partitioned between the atmosphere and ocean,
- including both chemical equilibrium (ΔI_{em}) and the transient chemical disequilibrium between the atmosphere and ocean (ΔC_{dis}) of the carbon emitted, but without subsequent carbon feedbacks. The radiative forcing from the carbon feedbacks for the terrestrial,

447
$$\Delta R_{terrestrial}^{feedback} = -(a/I_B)\Delta I_{ter}, \tag{A8b}$$

- 448
- 449 depends on the change in terrestrial carbon storage since the preindustrial, and for the ocean 450

451
$$\Delta R_{ocean}^{feedback} = -(a/I_B)V(\Delta C_{bio} + [\partial C_{sat}/\partial A_{pre}]\Delta A_{pre} + [\partial C_{sat}/\partial T_{oc}]\Delta T_{oc}), \quad (A8c)$$

453 depends on the changes to the ocean biological drawdown of soft tissue and CaCO₃, including the 454 titration alkalinity effects, and from changes in the seawater temperature since the preindustrial, 455 altering the solubility of CO_2 in seawater.

456

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642 Figure 3: Observational constraints on climate feedback and climate sensitivity from both

643 **physical and carbon cycle feedbacks.** (a) Climate feedback frequency distributions (solid lines)

and median value (dotted lines) for λ_{Climate} (blue) and $\lambda_{\text{Climate+Carbon}} = \lambda_{\text{Climate}} + \lambda_{\text{Carbon}}$ (black). Orange

645 arrow shows the contribution of carbon feedback, λ_{Carbon} , to the median values. (b) Climate

646 sensitivity frequency distributions for S_{Climate} (blue) and S_{Climate+Carbon} (black), with orange arrow

- 647 showing impact of carbon feedbacks on the median. (c) Equilibrium Climate Response to carbon
- 648 Emission (ECRE) frequency distribution (black).