

1 Critical role of caldera collapse in the formation of seafloor
2 mineralization: the case for Brothers volcano
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13

14 **ABSTRACT**

15 Hydrothermal systems hosted by submarine arc volcanoes commonly include a large
16 component of magmatic fluid. The high Cu-Au contents and strongly acidic fluids in
17 these systems are similar to those that formed in the shallow parts of some porphyry
18 copper and epithermal gold deposits mined today on land. Two main types of
19 hydrothermal system occur along the submarine portion of the Kermadec arc:

magmatically-influenced and seawater-dominated. Brothers volcano hosts both types. Here we report results from a series of drill holes cored by the International Ocean Discovery Program into these two types of hydrothermal systems. We show that the extent of hydrothermal alteration of the host dacitic volcanoclastics and lavas reflects primary lithological porosity and contrasting spatial and temporal contributions of magmatic fluid, hydrothermal fluid, and seawater. We present a two-step model that links the changes in hydrothermal fluid regime to the evolution of the volcano caldera. Initial hydrothermal activity, prior to caldera formation, was dominated by magmatic gases and hypersaline brines. The former mixed with seawater as they ascended towards the seafloor, and the latter remained sequestered in the subsurface. Following caldera collapse, seawater infiltrated the volcano through fault-controlled permeability, interacted with wall rock and the segregated brines, and transported associated metals towards the seafloor and formed Cu-Zn-Au-rich chimneys on the caldera walls and rim, a process continuing to the present-day. This two-step process may be common in submarine arc caldera volcanoes that host volcanogenic massive sulfide deposits and is particularly efficient at focusing mineralization at, or near, the seafloor.

INTRODUCTION

Volcanogenic massive sulfide (VMS) deposits are a significant source of metals (largely Cu, Zn, Pb \pm Au) critical for modern society, thus understanding their genesis remains a key part of any exploration strategy. The geological record has several examples where sizeable VMS deposits appear to have formed within submarine arc

caldera volcanoes (e.g. Large et al., 2001), including some that appear to be the shallow expression of porphyry Cu deposits (Sillitoe et al., 1996; Hedenquist et al., 2018).

The Kermadec arc, offshore New Zealand, is host to 34 large volcanic complexes, of which 26 are hydrothermally active (de Ronde et al., 2001, 2003). Brothers submarine volcano was selected by the international science community as an ideal place to drill into a caldera to provide the missing link (i.e., the 3rd dimension) in our understanding of mineral deposit formation along arcs, the seafloor architecture of these volcanoes, and their related permeability (de Ronde et al., 2017).

BROTHERS VOLCANO AND ITS HYDROTHERMAL SYSTEMS

Brothers volcano rises from a depth of ~2,200 m to a continuous caldera rim at 1,540 m, shoaling to 1,320 m at its northwestern rim (Fig. 1). The 3–3.5 km diameter caldera floor is surrounded by 290–530 m high walls and contains an elongate NE-SW, 1.5–2 km wide and 350 m high, post-collapse cone (Upper Cone) that shoals to 1,220 m; a smaller satellite cone (Lower Cone) overlaps its NE flank (Fig. 1) (de Ronde et al., 2005; Embley et al., 2012).

Brothers volcano hosts two active but very distinct types of hydrothermal systems (de Ronde et al., 2005, 2011). The first type is dominated by seawater-rock reactions and includes the active vent fields of the Upper Caldera, NW Caldera and W Caldera, and the inactive SE Caldera site (Fig. 1). This type is characterized by high-temperature ($\leq 320^{\circ}\text{C}$), moderately acidic ($\text{pH} = 3.2$) fluids that contain modest gas abundances ($\text{CO}_2 =$

13–40 mM), and Cu-Zn-Au-rich sulfide chimneys. By contrast, the second type is strongly influenced by magmatic fluids (largely gases) and includes the hydrothermal systems at the Upper and Lower Cones (Fig. 1). This type is characterized by lower-temperature ($\leq 120^{\circ}\text{C}$), very low pH (to 1.9), gas-rich ($\text{CO}_2 = \leq 206 \text{ mM}$) fluids, with native sulfur chimneys and extensive Fe-oxyhydroxide crusts (de Ronde et al., 2011). Vent fluid $^3\text{He}/^4\text{He}$ values suggest that the heat driving both types is derived from the same underlying magma source, with fluids discharged from the Cone sites following a more direct pathway than those beneath the NW Caldera site (de Ronde et al., 2011).

SAMPLING AND METHODS

International Ocean Discovery Program (IODP) Expedition 376 drilled five sites on Brothers volcano between May and July 2018, recovering 222.4 m of core that consists largely of dacitic volcanoclastics (breccia) and lava flows. Alteration is pervasive and mineral assemblages are complex and variable, attesting to multifaceted and changeable hydrothermal systems. Here we focus on the three longest holes; Hole U1527C that cored 238 m below the NW Caldera rim on the western margin of the NW Caldera vent field; Hole U1530A that cored 453 m from immediately above an exposed stockwork zone in the central part of the NW Caldera vent field with active chimneys nearby, to near the bottom of the caldera; and Hole U1528D that cored 359 m through the Upper Cone from the floor of a ~25 m diameter pit crater (Fig. 1; de Ronde et al., 2019a).

All analyses were conducted onboard the D/V *JOIDES Resolution*. Polished thin

sections were observed under both transmitted and reflected light using a polarizing microscope equipped with a digital camera for microphotography. X-ray diffraction data were generated by a Bruker D4Endeavor X-ray diffractometer using a generator voltage of 35 kV and current of 40 mA, and were evaluated against the International Center for Diffraction Data database for minerals using the Search/Match component of Bruker's EVA Diffraction Evaluation software.

Borehole fluids were analyzed by ICP-AES and gas chromatography following standard shipboard procedures described in Murray et al. (2000).

Fluid inclusions were measured using a USGS-adapted FLUID INC. heating/freezing stage. Wherever possible, inclusions from drusy crystals of translucent anhydrite, quartz, natroalunite or gypsum protruding into partially open vugs and fractures and/or in cross-cutting veins were analyzed in order to determine the present or most recent fluid temperatures and salinities involved in rock alteration (further details and images are available in the Data Repository).

RESULTS AND DISCUSSION

Hydrothermal Alteration Mineral Assemblages

Cores recovered from the NW Caldera hydrothermal field show alteration under three different conditions. The upper parts of Hole U1527C (to 185 meters below seafloor [mbsf]) and Hole U1530A (to 30 mbsf; Fig. 1) are characterized by a secondary mineral assemblage of goethite + opal CT + zeolites resulting from low-temperature (<150°C;

Steiner, 1953) reaction of rock with seawater. In Hole U1527C, this is underlain by a higher-temperature ($\leq 250^{\circ}\text{C}$; Hemley et al., 1980) alteration assemblage dominated by chlorite + quartz + illite + pyrite (de Ronde et al., 2019a). In Hole U1530A, the low-temperature assemblage is underlain by a similar green-gray alteration assemblage of quartz + illite + chlorite \pm anhydrite \pm pyrite \pm sphalerite \pm smectite. Notably, a deeper alteration assemblage of diaspore + quartz + pyrophyllite \pm rutile \pm zunyite was identified in the lower part of Hole U1530A (from ~ 225 mbsf; Fig. 2; de Ronde et al., 2019b)—indicative of still higher temperatures (i.e., $230\text{--}350^{\circ}\text{C}$)—which formed through reaction of rocks with acid-sulfate fluids (Reyes, 1990; Stoffregen et al., 2000). In the deepest parts of Hole U1530A, these diaspore and/or pyrophyllite zones are intercalated and locally overprinted by chlorite and illite-bearing assemblages, indicative of reaction with a relatively high-temperature, seawater-dominated fluid.

Temperature profiles in Hole 1530A are concave with temperatures gradually increasing downhole, consistent with seawater recharge occurring in the system (de Ronde et al., 2019b). In addition, a rapid decrease of temperature (from 94°C to 37°C) with time was observed near the bottom of the hole after drilling was stopped. When combined with overprinting chlorite + illite and oxidized surfaces on almost all of the open fractures, this indicates permeable flow zones and the incursion of heated seawater since the formation of the higher-temperature diaspore/ pyrophyllite zones.

By contrast, the breccia and dacitic lavas recovered from the Upper Cone (Hole U1528D) have three different, often intercalated alteration assemblages, all of which

include variable proportions of illite, natroalunite, pyrophyllite, quartz, opal-CT, pyrite and native sulfur, as well as other accessory minerals like rutile (de Ronde et al., 2019c). As is the case near the bottom of Hole U1530A, these mineral assemblages also attest to high-temperature (230–350°C) reaction of rocks with acid-sulfate fluids that can be derived from the disproportionation of magmatic sulfur gases (e.g., SO₂ and H₂S) (Giggenbach, 1997), with the presence of native sulfur clearly indicating a magmatic input (Giggenbach, 1996; Christenson et al., 2010). The intensely altered rocks present in Hole U1528D exhibit extreme depletion of major cation oxides, such as MgO, K₂O, CaO, MnO and Na₂O (for more information, see the Data Repository and de Ronde et al., 2019c).

Borehole Fluid Compositions

Three borehole fluid samples were collected from Hole U1528D at depths of ~160, ~279 and ~313 mbsf. Temperatures of 140°C, 212°C and >236°C for the samples, respectively, were determined by downhole logging. The fluids have nearly identical Ca, Br, and Mg contents, and are depleted in Na by 30-37% and in Cl by 12-16% relative to seawater (Fig. 3). They are gas-rich with high ΣH₂S concentrations (14.6 mM), highly elevated ΣSO₄ contents (≤88.9 mM) and are very acidic (pH ≥1.8), characteristic of acid-sulfate fluids (for more information, see de Ronde et al., 2019c).

Fluid Inclusion Data

Fluid inclusion data derived from anhydrite, quartz and natroalunite crystals from both the Cone and NW Caldera boreholes fall into two distinct groups (Fig. 3); one population with lesser-than and up-to-3 times higher than seawater (3.2 wt.% NaCl equiv.) salinities, similar to the borehole fluids, and hypersaline brines (~32-45 wt.% NaCl equiv.; see Data Repository and de Ronde et al., 2019a, for further information). Curves have been calculated (Bischoff and Pitzer, 1989; Driesner and Heinrich, 2007) then plotted in Figure 3, with the goal of describing formation mechanisms for the fluids trapped by the inclusions. Trajectory A derives from possible higher-temperature supercritical fluid condensation through cooling. Three other trajectories were calculated assuming phase-separation of heated seawater via depressurization at 380°C (255 bar; trajectory B) and 400°C (281 bar; trajectory C), respectively, and 415°C (321 bar; trajectory D) for a 4.2 wt.% NaCl equivalent fluid that represents the best fit for the most recent two-phase fluid inclusions seen the Cone site samples (Fig. 3). Hypersaline liquid condensed from the magmatic-hydrothermal interface is given by trajectory E to best explain the presence of hypersaline aqueous fluids in inclusions that include a vapor bubble, sulfur, and daughter minerals of sulfides and salts (see Data Repository). Isobaric phase separation (trajectory F) may cause a slight decrease in salinity within a narrow range of temperatures for the brine inclusions. These trajectories suggest that subcritical phase separation of seawater cannot produce the NW Caldera fluid inclusion compositions of >5 wt.% NaCl, nor the hypersaline brines. Rather, we suggest that inclusions with salinities >5 wt.% NaCl equivalent are derived from a fluid condensed from the supercritical region followed by

phase separation (trajectory D), whereas the hypersaline brine originated from either condensation of a single-phase fluid at higher temperatures and pressures at the magmatic-hydrothermal interface (Gruen et al., 2014), or exsolution from a silicate melt (Heinrich, 2007).

LINKING HYDROTHERMALISM TO THE EVOLUTION OF BROTHERS VOLCANO

The downhole record of hydrothermal alteration at Brothers volcano revealed by drilling suggests a progression from an initially magmatically-influenced to a seawater-dominated hydrothermal system. A conceptual model (Fig. 4) links the changes in hydrothermal fluid regime to the evolution of the volcano caldera and explains how magmatic-hydrothermal systems can ultimately produce Cu-Au-rich VMS deposits. In the pre-caldera stage, the volcano hosts a hydrothermal system dominated by magmatic volatiles and metal-rich brines (Fig. 4A). Eruption conditions at Brothers (e.g., magma volatile contents, hydrostatic pressure at vent depth) combine to produce abundant volcanoclastics, including ubiquitous breccia. When combined with ‘damage zones’ created as a result of large, post-eruption pressure transients (Cole et al., 2005), these key conditions strongly influence primary porosity, providing first-order control on alteration zonation. Initial expulsion of magmatic heat and volatiles is manifest by low-salinity, vapor-rich, metal-poor fluids, while magmatically-derived metal-rich brines are segregated and temporarily trapped within the breccia (Gruen et al., 2014; Weis, 2015). This is consistent with modeling experiments for porphyry Cu deposits that show

marginal near-vertical and carapace sub-horizontal alteration zones derive from hydrothermal fluids (Weis et al., 2012), similar to those depicted in Fig. 4. Caldera collapse then occurred after a singularly large, or series of volcanic eruptions, that deposited 185 m of the relatively fresh volcanoclastics intersected in Hole U1527C (Fig. 4B). Post-collapse, a resurgent cone developed and hosted a new, magmatically-influenced hydrothermal system (Fig. 4C). Simultaneously, ingress of seawater occurred down faults marking the caldera wall (not shown) and along the base of the caldera, and reacted with the rocks and trapped brines, transporting the metals to the seafloor to form present-day Cu-Zn-Au-rich chimneys (Fig. 4C). We conclude that the preponderance of caldera volcanoes as hosts to intraoceanic arc VMS mineralization reflects a two-step process for their formation. Ancient arc-related VMS deposits in the geological record likely also formed via a similar mechanism.

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308

309 **FIGURE CAPTIONS**

310 **Figure 1.** Map showing high-resolution (2 m) Autonomous Underwater Vehicle (AUV)-
311 derived bathymetry of Brothers volcano caldera walls and resurgent cones overlain on 25
312 m resolution ship-derived bathymetry for the caldera floor, upper caldera rim, and
313 volcano flanks. The translucent areas depict zones of low magnetization intensity
314 associated with hydrothermal fluid upflow zones (Caratori Tontini et al., 2012) and
315 incorporate the known vent fields (with the exception of the Lower Cone), including:
316 Upper Caldera; NW Caldera; W Caldera; SE Caldera (extinct) and Upper Cone. IODP
317 Holes U1527C, U1528D and U1530A are the boreholes referred to in the text. The
318 section shown in Fig. 4 is the same as the seismic section shown in de Ronde et al. (2017)
319 for seismic line Bro-3, with Holes U1527C and U1530A projected onto the line.
320 Transverse mercator projection, central meridian = 179°E.

321 **Figure 2.** Downhole distribution of primary (plagioclase and cristobalite) and alteration
322 (others) minerals from Hole U1530A. Mineral abundances are semi-quantitative, and
323 were determined by shipboard XRD analysis. Colors refer to different alteration types
324 that are based on characteristic alteration mineral assemblages (see text). H₂S odour
325 (given by the yellow labels embedded in the Igneous unit column) was detected on a
326 number of occasions throughout the drill hole. The different igneous units 1-5 are
327 described in de Ronde et al. (2019b).

328 **Figure 3.** Fluid inclusion salinity (expressed as NaCl wt. % equiv.) vs. homogenization

temperatures and corresponding enthalpy of NaCl-H₂O (Bischoff and Rosenbauer, 1985; Tanger and Pitzer, 1989). Borehole fluid salinity is plotted at logged temperatures. The plot is divided into subcritical and supercritical regions by the critical line (dashed) with phase separation equations and fluid properties, and critical and halite liquidus curves calculated from Driesner and Heinrich (2007 and references therein) and boiling curve equations adapted from Henley et al. (1984). Bold dashed line demarcates seawater salinity of 3.2 wt. % NaCl equivalent. Phase separation within the subcritical regions consists of; (A) vapor condensation through cooling, (B-D) boiling, or flashing (i.e., vapor loss) with depressurization, (E) three-phase condensation of liquid, vapor and solid (halite) and (F) isobaric phase separation—see text.

Figure 4. Schematic depicting the evolution of the caldera and hydrothermal system at Brothers volcano. A. Thermal model depicting isotherms (in °C) for the initial stratovolcano that was host to a magmatic-hydrothermal system dominated by magmatic gases (pink arrows), which likely breached the seafloor, later mantled by volcanic material from a single large, or series of eruptions, that was followed by caldera collapse. Cross hatching denotes brines and/or magmatic salt. B. Main-stage caldera collapse. Schematic shows alteration model (zonation) that is compressed and/or truncated adjacent to the caldera walls. Red triangles represent a dismembered dike; long black dashes, the base of the caldera (de Ronde et al., 2017). C. Thermal model for the post-collapse, resurgent cone (Upper Cone) as it progressively built up from the caldera floor (smaller dashes), itself host to a magmatic-hydrothermal system. Heat from the magma

supplying the Cone also drives seawater circulation through faults along the caldera wall. Blue arrows depict the recharge of seawater in the system, utilizing faults marking the caldera walls (not shown) and higher porosity zones in the caldera floor and Cone. Red arrows denote heated (modified) seawater after it has interacted and/or exchanged with previously deposited metal-rich brines, transporting Cu-Zn-Au mineralization to the seafloor. Scale 1:1. Images shown are representative of alteration assemblages found within an individual borehole (de Ronde et al., 2019a). Mineral abbreviations given in the legends relate to the dominant and/or presence of a diagnostic mineral for a particular alteration zone: an, anhydrite; ba, barite; chl, chlorite; dia, diaspore; goe, goethite; ill, illite; mor, mordenite; natro, natroalunite; op, opal-CT; py, pyrite; pyr, pyrophyllite; qtz, quartz; sul, sulfur; sm, smectite; sph, sphalerite; rut, rutile; zun, zunyite.

¹GSA Data Repository item 201Xxxx, which consists of a list of the IODP Expedition 376 Scientists, details of methods and equipment used to determine alteration mineral paragenesis and geochemical analysis, methods and images of fluid inclusions analysis, and an explanation of the data and methods used in construction of the model presented in the paper, is available online at www.geosociety.org/pubs/ft20XX.htm, or on request from editing@geosociety.org or Documents Secretary, GSA, P.O. Box 9140, Boulder, CO 80301, USA.







