

1   **Pollen exposure weakens innate defense against respiratory**  
2   **viruses**

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41    **Abstract**

42    **Background:** Hundreds of plant species release their pollen into the air every year during  
43    early spring. During that period, pollen allergic as well as non-allergic patients frequently  
44    present to doctors with severe respiratory tract infections.

45    **Objective:** To assess whether pollen may interfere with antiviral immunity.

46    **Methods:** We combined data from real life human exposure cohorts, a mouse model and  
47    human cell culture to test our hypothesis.

48    **Results:** Pollen significantly diminished interferon- $\lambda$  and pro-inflammatory chemokine  
49    responses of airway epithelia to rhinovirus and viral mimics and decreased nuclear  
50    translocation of interferon regulatory factors. In mice infected with respiratory syncytial virus,  
51    co-exposure to pollen caused attenuated antiviral gene expression and increased pulmonary  
52    viral titers. In non-allergic human volunteers, nasal symptoms were positively correlated with  
53    airborne birch pollen abundance, and nasal birch pollen challenge led to down-regulation of  
54    type I and -III interferons in nasal mucosa. In a large patient cohort, numbers of rhinovirus-  
55    positive cases were correlated with airborne birch pollen concentrations.

56    **Conclusion:** The ability of pollen to suppress innate antiviral immunity, independent of  
57    allergy, suggests that high-risk population groups should avoid extensive outdoor activities  
58    when pollen and respiratory virus seasons coincide.

59    **Key words**

60    Pollen; nasal symptoms; rhinovirus; respiratory syncytial virus; antiviral response; lambda-  
61    interferones; non-allergenic pollen compounds

## 62 Abbreviations

63	ADO	Adenosine
64	ALI	Air-liquid interphase
65	APE(s)	Aqueous pollen extract(s)
66	APE<3kDa	Low molecular weight fraction of aqueous pollen extract
67	BALF	Bronchioalveolar lavage fluid
68	HNEC	Human nasal epithelial cell
69	PPE <sub>1</sub>	E <sub>1</sub> -Phytprostane(s)
70	PBEC	Primary bronchial epithelial cell
71	HODE	Hexaoctadecadienoic acid
72	HOTE	Hexaoctadecatrienoic acid
73	IFN	Interferon
74	IRF	Interferon regulatory factor
75	kDa	kilo Dalton
76	MDA5	Melanoma differentiation-associated protein 5
77	RIG-I	Retinoic acid inducible gene-I
78	PALM(s)	Pollen-associated lipid mediator(s)
79	PolyIC	Polyinosinic:polycytidyllic acid
80	HRV	Human rhinovirus
81	RSV	Respiratory syncytial virus
82	TLR	Toll-like receptor

83 **Introduction**

84 Atopic and asthmatic patients are especially susceptible to respiratory viral infections and  
85 viral exacerbations <sup>1-4</sup>. The epithelial antiviral response depends on the rapid induction of  
86 antiviral type I- and type III- interferons (IFNs) <sup>5-7</sup>. Antiviral IFN signaling is compromised in  
87 murine models of allergic airway disease as well as in humans affected by asthma or atopy <sup>8-</sup>  
88 <sup>12</sup>.

89 During the pollen season, humans are co-exposed to airborne pollen and respiratory viruses,  
90 and the respiratory epithelia encounter both environmental factors at the same time. We have  
91 previously shown that pollen grains have potent immune-modulatory effects, which are  
92 independent of allergens and are therefore not restricted to sensitized individuals <sup>13</sup>.  
93 Specifically, low molecular weight pollen components alter the immunological barrier  
94 functions of respiratory epithelial cells <sup>14</sup>. In dendritic cells they interfere with NF-κB  
95 signaling, partly via PPAR-γ-dependent pathways <sup>15</sup>. Both pathways are part of the earliest  
96 signaling events in virus-infected cells. We therefore assessed in this study whether exposure  
97 to pollen might compromise the innate antiviral defense, possibly contributing to increased  
98 susceptibility to respiratory viral infections during pollen season not only in allergic patients,  
99 but also in non-allergic individuals.

100 **Methods**

101 **Pollen and pollen extracts**

102 Pollen grains from birch (*Betula pendula*), timothy grass (*Phleum pratense*) and common  
103 ragweed (*Ambrosia artemisiifolia*) were extracted from male flowers as previously  
104 described<sup>16, 17</sup>. For details, see supplementary methods.

105

106 **Culture and differentiation of human primary bronchial epithelial cells (PBECs)**

107 PBECs were grown from epithelial brushing using fiberoptic bronchoscopy from healthy  
108 subjects selected from a volunteer database. All procedures were approved by the  
109 Southampton and South West Hampshire Research Ethics Committee and were undertaken  
110 following informed consent (05q/1702/165 and 10/H0501/66). PBECs were expanded in  
111 bronchial epithelial growth medium (BEBM) (Lonza, Basel, Switzerland) up to passage 1 as  
112 previously described<sup>18</sup>. Differentiation of PBECs was induced at passage 2. PBECs were  
113 plated on transwell permeable supports (diameter 6.5mm, polyester membrane with 0.4µm  
114 pores, Corning Life Sciences, Amsterdam, The Netherlands) and differentiated at an air-liquid  
115 interface (ALI) for 21 days. Transepithelial electrical resistance (TER) was monitored weekly  
116 using a EVOM Voltmeter (World Precision Instruments, Aston, UK) and cells with a  
117 TER ≥330Ωcm<sup>2</sup> on day 21 were used for experiments.

118

119 **Culture and differentiation of human primary nasal epithelial cells (HNECs)**

120 HNECs were obtained from healthy volunteers free from respiratory tract infections for at  
121 least 4 weeks as previously described<sup>19</sup> or from patients undergoing conchotomic or  
122 turbinectomy surgery. All procedures were undertaken after written consent and approved by  
123 the Southampton and South West Hampshire Research Ethics Committee (code:

124 06/Q1702/109) or the Ethics Committee of the Medical Faculty of Augsburg (code: 2016/7).  
125 For HNEC cultures from brushings, two nasal brush biopsies per volunteer were taken by  
126 gently brushing the nasal epithelium of the inferior turbinate using a cytology brush (Olympus  
127 Keymed Ltd., 2 mm diameter, Southend, UK). Nasal epithelial cells for submerged  
128 monolayer cultures were prepared from biopsies as recently described<sup>20</sup>. For differentiation,  
129 HNECs were plated onto collagen-coated cell culture plates using PneumaCult Ex Medium  
130 (Stemcell Technologies, Cambridge, UK) and expanded for two passages. Passage 2 PNECs  
131 were plated onto transwell permeable supports (diameter 6.5mm, polyester membrane with  
132 0.4µm pores, Corning Life Sciences) and differentiated at an air-liquid interface (ALI) for 28  
133 days using PneumaCult-ALI Medium (Stemcell Technologies). Cultures with visible beating  
134 cilia were used for experiments. Sensitization of patients/donors against common  
135 aeroallergens (house dust mite, cat/dog dander, pollen) was assessed by immunoCAP (Phadia,  
136 Freiburg, Germany).

137

### 138 **Stimulation and viral infection of differentiated human respiratory epithelial cells**

139 Fully differentiated PBECs (21 days post ALI) were starved for 24h before stimulation with  
140 Bronchial Epithelial Basal Medium (BEBM, Lonza) supplemented with 1x ITS Liquid Media  
141 Supplement (Sigma), 50U/ml penicillin, 50µg/ml streptomycin (Invitrogen, Paisley, UK) and  
142 1.5µg/ml BSA (Sigma). HNECs were stimulated after 28 days of differentiation in  
143 PneumaCult ALI Medium (Stemcell Technologies). Cells were apically stimulated with  
144 buffer controls or pollen extract (33.4µl of extract equivalent to 50µg of total protein or 1mg  
145 of pollen grains) for 24h, a dose that has been previously shown to significantly modulate  
146 epithelial barrier responses<sup>14,21</sup>. After removing apical supernatants, cells were infected with  
147 human rhinovirus 16 (RV16) at a multiplicity of Infection (MOI) of 1 for 6h, washed apically  
148 3x using HBSS and incubated for additional 18h (RNA extraction, 24h in total) or 42h

149 (basolateral supernatants, 48h in total) at the air-liquid interface. Supernatants were  
150 centrifuged to remove pollen grains and cell debris and subjected to ELISA or multiplex  
151 assay for detection of type III interferons and pro-inflammatory cytokines and chemokines.  
152 Cells were washed 3x with HBSS and lysed using TriZol (Invitrogen) for RNA extraction.

153

154 **Mouse model of RSV infection and intranasal pollen instillation**

155 Pathogen-free 6 week-old female C57BL/6 mice (Charles River Nederland Maastricht, The  
156 Netherlands) were housed under standard housing conditions and had ad libitum access to tap  
157 water and diet. The Animal Ethics Committee of the Medical Faculty of Utrecht University  
158 approved of the study protocols. RSV strain A2 (VR-1302, ATCC) was grown on HEp-2 cells  
159 (CCL-23, ATCC), purified by polyethylene glycol 6000 precipitation and stored in PBS with  
160 10% sucrose in liquid nitrogen until further use. Mice were anesthetized with isoflurane and  
161 intranasally infected with  $4 \times 10^6$  plaque-forming units (pfu) RSV in a volume of 40 µl diluted  
162 in PBS. Aqueous ragweed pollen extract was instilled intranasally on three successive days  
163 (day -1, day 0, day +1) around RSV infection, essentially as described <sup>22</sup>. To determine  
164 pulmonary virus load, RSV-specific qPCR was performed essentially as previously described  
165 <sup>23</sup>.

166

167 **Panel study on non-allergic volunteers**

168 In autumn 2015, healthy, non-allergic volunteers living in Augsburg, Germany, were enrolled  
169 after written informed consent and screened for sensitizations against seasonal and perennial  
170 aeroallergens by ImmunoCAP. The aeroallergen panel consisted of: house dust mite; cat-,  
171 dog-dander; pollen (tree mix, grass mix); and fungi (Aspergillus, Cladosporium, Penicillium).  
172 The ethical committee of Klinikum Rechts der Isar, Technical University of Munich approved  
173 of the study (code no. 19/15). Eight participants without any aeroallergen sensitizations were  
174 included in the study (for details on study participants, see **table 1**). Throughout the birch

175 pollen season of 2016 (March - June), they daily entered the strength of their nasal symptoms  
176 on a scale from 0 – 3 in a smartphone-based symptom diary (PHD; Patient's Hayfever Diary  
177 <sup>24</sup>).

178

179 **Experimental pollen challenge study**

180 Healthy volunteers without allergic diseases were screened for serum IgE against an  
181 aeroallergen panel by ImmunoCAP. 18 subjects without sensitizations were enrolled after  
182 given written informed consent and in accordance with the local ethics committee (code no.  
183 2983/10, amendment of 2017). They were randomized into two groups (n=9 each) and  
184 subjected to 3 serial nasal challenges with either a NaCl solution or aqueous birch pollen  
185 extract (APE) as recently described <sup>25</sup>. Briefly, on the first day, we performed an initial,  
186 bilateral nasal lavage with 20 mL sterile 0.9% NaCl, followed by a unilateral, superficial  
187 curettage from the mucosa of the inferior turbinate by means of a sterile plastic curette. The  
188 cells obtained thereby were preserved in RNAProtect Cell<sup>TM</sup> (Qiagen, Stockach, Germany)  
189 and deep-frozen until processed. We then challenged the subjects by spraying one puff  
190 (100µL) of sterile 0.9% NaCl or APE (the dose corresponding to 2,500 standard biological  
191 units, SBE; Allergopharma) from a pump-spray bottle into one nostril (the one not subjected  
192 to curettage before). On days 2 and 3, we repeated lavage and challenge. 1 h after the last  
193 challenge on day 3, a final curettage was obtained from the challenged nostril.

194

195 **Patient samples for rhinovirus detection**

196 The retrospective study included clinical naso-pharyngeal swab samples collected between  
197 October 2010 and July 2013 (n=20,062), which were sent to the Department of Virology at  
198 Sahlgrenska University Hospital in Gothenburg, Sweden, for detection of respiratory  
199 pathogens by routine multiplex real-time PCR. The study population covered all age groups,  
200 including children. Samples were predominantly from hospital inpatients but also from

201 primary health care facilities as well as hospital outpatient clinics. No clinical or demographic  
202 information regarding the patients was available<sup>26</sup>.

203

204 **Statistics**

205 (i) In vitro experiments, RSV mouse model, human pollen challenge study (unless stated  
206 otherwise in the legends): two-sided Mann Whitney test for simple comparisons between two  
207 groups; two-sided Wilcoxon test for pairwise comparisons between treatment and control  
208 groups; Friedman test for comparisons of multiple treatment groups with a single control  
209 group. (ii) Human cohorts: Relationships of symptoms with airborne pollen concentrations  
210 were investigated with simple linear regressions (GLM) and cross-correlations (time series  
211 analysis). In all analyses of symptoms, seven-day moving averages of normalized values were  
212 used, so as to eliminate periodicity effects (lower hospitalization rates over the weekends). All  
213 statistical tests were performed with Prism or Statistica.

214

215 See supplementary methods for detailed description of: pollen and pollen extracts; reagents,  
216 ELISA and multiplex and kits; stimulation of HNEC monolayer cultures with viral mimics;  
217 nuclear translocation of transcription factors.

218 **Results**

219 **Pollen diminish the epithelial response to rhinovirus infection.**

220 To test whether pollen exposure affects the antiviral response of respiratory epithelia, we used  
221 3D models of differentiated human primary bronchial epithelial cells (PBECs) and nasal  
222 epithelial cells (HNECs) incubated with pollen extracts or whole pollen grains and  
223 subsequently infected with human rhinovirus (HRV16). Exposure of HRV16-infected  
224 differentiated PBECs to aqueous grass pollen extract for 48h resulted in an increased release  
225 of infective virions indicating enhanced viral replication ( $p<0.01$ ; **fig. 1, A**) and attenuated by  
226 trend the virally induced expression of the IFN- $\lambda$ s, IL28A (fig. 1, **B**) and IL-29 (**fig. 1, C-D**).  
227 Grass pollen exposure also reduced the expression of several antiviral genes (IFN- $\beta$ , IRF-7,  
228 MDA-5) and of the gene for the pro-inflammatory chemokine CCL5 (**fig. S1**). Exposure of  
229 HRV16-infected differentiated HNECs to aqueous grass pollen extract did not result in  
230 increased virion release (**fig. 1, E**) and only slightly attenuated the IL-29 response (**fig. 1, F**).  
231 Upon co-exposure of HNECs to aqueous birch pollen extract, the virion release of HRV16-  
232 infected differentiated HNECs was, by trend, increased ( $p=0.08$ ; **fig. 1, G**), and the IL-29  
233 response was significantly reduced ( $p<0.05$ ; **fig. 1, H**). The attenuation of the HRV16-  
234 induced IL-29 secretion was already significant after 24h of exposure with either an aqueous  
235 birch pollen extract or with whole birch pollen grains (**fig. S2**).  
236

237 **Pollen modulate TLR3, RIG-I and MDA5 signaling in nasal epithelial cells.**

238 To characterize how pollen interferes with antiviral pathways, we carried out further  
239 experiments using submerged monolayer cultures of primary human nasal epithelial cells  
240 (HNECs) stimulated with viral mimics. We first tested the effect of a TLR3 ligand, PolyIC  
241 (10 $\mu$ g/mL). Cells of atopic and non-atopic donors all responded to PolyIC stimulation with  
242 release of IFN- $\lambda$ s (**fig. S3, A-B**). Co-incubation of HNECs with PolyIC and whole birch or

243 grass pollen grains significantly decreased the PolyIC-induced production of the IFN-λs (**fig.**  
244 **2, A**), with birch pollen being slightly more potent than grass pollen. Following our  
245 hypothesis of an allergen-independent effect of pollen we tested an allergen-free fraction of  
246 aqueous birch pollen extract (APE<3kDa). APE<3kDa, at the highest tested concentration,  
247 also significantly decreased the PolyIC-induced release of IFN-λs (**fig. 2, B**). The effect of  
248 APE on the PolyIC-induced IFN-λ1 (IL-29) response did not differ significantly between non-  
249 atopic and atopic cells (**fig. 2, C**). We then tested whether pollen also had an impact on the  
250 cytosolic antiviral defense pathway and transfected HNECs with a RIG-I/MDA5 ligand  
251 (PolyIC-LyoVec) in the absence or presence of birch or grass pollen grains. Transfection with  
252 PolyIC-LyoVec led to the production of IFN-λs, and co-exposure to pollen grains decreased  
253 the PolyIC-LyoVec induced production of IL-28A (p<0.05 for grass pollen), but not IL-29.  
254 (**fig. 2, D**). Aqueous birch pollen extract significantly decreased the IFN-λ production of  
255 HNECs transfected with PolyIC-LyoVec (**fig. S3, C**).  
  
256 We also checked for the effect of pollen exposure on the pro-inflammatory cytokine and  
257 chemokine response of HNECs to PolyIC stimulation (**fig. S3, D**). Pollen grains, mainly of  
258 birch, significantly decreased the release of several chemokines, such as G-CSF, CCL2,  
259 CCL3, CCL4, CCL5 and CXCL10, whereas they increased levels of IL-1β.

260

261 **The PolyIC-induced IFN-λ response of HNECs is not mediated by known immune-**  
262 **modulatory substances in pollen.**

263 We previously reported immune-modulatory effects, such as PPAR-γ dependent inhibition of  
264 IL-12 and blocking of nuclear translocation of NF-κB, by non-allergenic pollen substances <sup>15</sup>.  
265 Two classes of pollen-associated lipid mediators (PALMs) were previously identified and  
266 discussed as potential PPAR-γ ligands, the phytosteranes and the phyo-  
267 hydroxyoctodecadienoic/-hydroxyoctodecatrienoic acids (HODEs, HOTEs). We tested both  
268 phytosteranes and HODE/HOTEs as candidate active substances because (i) the promoter

269 regions of type III IFN genes contain PPAR- $\gamma$  responsive elements (**fig. S4, A**) and (ii) PPAR-  
270  $\gamma$  agonists were previously shown to inhibit IRF-3 translocation to the IFN- $\beta$  promoter in  
271 LPS- and PolyIC-stimulated murine peritoneal macrophages <sup>27</sup>. However, none of the tested  
272 PALMs had any significant effect on the PolyIC-induced IFN- $\lambda$  secretion (**fig. S4, B**). A  
273 PPAR- $\gamma$  antagonist, GW-9662, did not abolish the inhibitory effect of APE<3kDa on the IFN-  
274  $\lambda$  response to PolyIC (**fig. S4, C**).

275 Since adenosine is a major constituent of APE<3kDa and can exert immune-modulatory  
276 effects on human dendritic cells <sup>17</sup>, we assessed whether the effect of pollen on the PolyIC-  
277 induced IFN- $\lambda$  response of HNECs could be mediated by adenosine. At concentrations as  
278 contained in aqueous pollen extracts (1-10 $\mu$ M), adenosine did not have a pronounced effect  
279 on the PolyIC-induced IL-29 production of HNECs (**fig. S4, D**). However, in the presence of  
280 an A2a inhibitor, the IL-29 response to PolyIC was approximately 4-fold increased as  
281 compared to cells not treated with the inhibitor (**fig. S4, E**).

282

283 **Pollen reduce the PolyIC-induced nuclear translocation of IRF-3 and IRF-7.**

284 We next assessed whether pollen interfere with the activation of interferon regulatory factors  
285 downstream of TLR-3. We prepared nuclear and cytoplasmic extracts of HNECs, subjected  
286 them to Western blotting and probed the blots with antibodies against IRF-3 and -7.  
287 Phosphorylation of IRF-3 was maximally induced after only 5 minutes of PolyIC stimulation  
288 (**fig. S5**). PALMs (PPE<sub>1</sub> or a mixture of HODEs/HOTEs) were tested along with APE as  
289 candidate substances. As shown in **fig. 3, A**, co-exposure to PolyIC and APE or  
290 HODE/HOTE diminished nuclear phosphorylated IRF (p-IRF) 3 as compared to PolyIC  
291 alone. In contrast, co-incubation with PolyIC and PPE<sub>1</sub> resulted in increased nuclear  
292 localization of p-IRF-3. Phospho-IRF-7 was present at low amounts in nuclear extracts of  
293 PolyIC-stimulated cells and was further reduced by APE, whereas it was induced under

294 HODE/HOTE co-stimulation. PolyIC-induced levels of nuclear, but not of cytoplasmic p-  
295 IRF-3 and -7 were significantly reduced by APE co-stimulation (**fig. 3, B**).

296

297 **Pollen diminish the antiviral response in a murine RSV infection model.**

298 In order to study the consequence of pollen on viral respiratory infections *in vivo*, we used a  
299 respiratory syncytial virus (RSV) mouse model and investigated the effect of experimental  
300 pollen exposure on the primary immune response. C57BL/6 mice were infected with RSV-A2  
301 and treated them intranasally with either PBS or an aqueous ragweed pollen extract on three  
302 successive days (one day prior to infection, the same day and one day post infection). We  
303 analyzed the antiviral immune response of the mice 4, 6 or 10 days after RSV-infection (**fig.**  
304 **4, A**). The clinical phenotype of RSV-infected mice includes increased pulmonary viral load  
305 and weight loss<sup>28,29</sup>, the latter typically being less pronounced in C57BL/6 than in BALB/c  
306 mice. In our experiment, although we used the C57BL/6 model, both RSV-infected groups  
307 lost weight, and weight loss was significant at day 6 post infection (**fig. S6**); however, weight  
308 loss did not differ between the RSV+PBS or RSV+pollen treatment (**fig. 4, B**). On day 6 after  
309 infection, RSV-infected pollen-treated mice had a significantly higher virus load in BALF  
310 compared to only RSV-infected mice (**fig. 4, C**). On day 4 after infection we determined the  
311 expression of antiviral genes in lung tissue. Most measured genes of the antiviral response,  
312 with the exception of RIG-I, were by trend decreased in RSV-infected pollen-treated mice as  
313 compared to RSV-infected PBS-treated mice (MDA5: p<0.05; IFN-λ: p=0.15, IFN-β: p=0.16)  
314 (**fig. 4, D-G**). On day 6 we restimulated total lung cells *in vitro* with RSV infected or  
315 uninfected dendritic cells (D1 cells) for 24h and measured antiviral T cell response by  
316 intracellular staining of IFN-γ (Th1) and IL-13- or IL-4 (Th2)-producing lung cells. We  
317 observed a slight trend towards decreased numbers in IFN-γ-producing CD4<sup>+</sup> and CD8<sup>+</sup> T  
318 cells in the lungs of pollen-treated RSV-infected animals compared to only RSV-infected  
319 animals, but differences were not statistically significant (**fig. 4, H, I**). Numbers of RSV

320 specific Th2 cells (IL4<sup>+</sup>, IL13<sup>+</sup>) were not changed by pollen co-exposure (data not shown).

321 For gating strategy, see **fig. S7**.

322

323 **Relationships of pollen exposure with rhinovirus, nasal symptoms, and antiviral gene**  
324 **expression in human cohorts.**

325 Especially in springtime, co-exposure occurs with pollen and respiratory viruses, e.g.  
326 rhinovirus. We therefore obtained data from a large set of human clinical samples (n=20,062)  
327 from Gothenburg, Sweden, spanning a total of three successive years, and regressed the  
328 numbers of rhinovirus positive cases (n=5,782) within this data set against local airborne  
329 pollen concentrations and meteorological factors. The datasets were limited to periods within  
330 the main pollen season. Time series analysis revealed a significant correlation between  
331 rhinovirus positive cases, airborne birch pollen concentrations and precipitation ( $p=0.005$ ).  
332 The relationship between rhinovirus and pollen was non-linear and positive, whereas it was  
333 negative between rhinovirus/pollen and precipitation (**fig. 5, A**).

334 As information on patient demographics or sensitization status was lacking in the Gothenburg  
335 patient cohort, which might have an impact, we cannot exclude the possibility of a  
336 predominant response by allergic patients. Therefore, we conducted a panel study on 8 well-  
337 characterized non-allergic volunteers who reported their daily symptoms during the main  
338 birch pollen season of 2016 in Augsburg, Germany. Nasal symptoms, although overall low,  
339 coincided with local airborne pollen concentrations ( $p<0.001$ ,  $r=0.76$ ) (**fig. 5, B**). Time series  
340 analysis revealed a significant cross-correlation of nasal symptoms and birch pollen which  
341 exhibited a lag effect of up to 9 days (data not shown). The strongest cross-correlations of  
342 symptoms were observed with the airborne birch pollen concentrations of the previous day  
343 (plotted in **fig. 5, B**).

344 We additionally performed a controlled out-of-season pollen challenge experiment on two  
345 groups (n=9 each) of non-allergic volunteers and measured the antiviral gene expression in  
346 nasal curettages before and after challenge. Three repetitive challenges with aqueous birch  
347 pollen extract (each single one corresponding to 2,500 SBE) decreased, by trend, the relative  
348 mRNA expression of all type I- and type III IFNs in nasal samples as compared to saline  
349 challenge (IFNA1:  $p=0.06$ ; IFNB1:  $p=0.09$ ; IFNL1:  $p=0.16$ ; IFNL2:  $p=0.05$ ; **fig. 5, C**).

350 **Discussion**

351 This is the first study combining evidence from human cohorts, a mouse model and human  
352 primary cell culture, showing that pollen compromise the defense against respiratory viruses.  
353 Infection of respiratory epithelial cells with rhinovirus, a single-stranded RNA virus, activates  
354 an innate anti-viral response involving TLR3, RIG-I and MDA5<sup>30-33</sup>. Downstream signaling  
355 pathways result in the activation of members of IRF and NF-κB transcription factors families  
356<sup>34</sup> which play a central role in regulating innate antiviral immune responses<sup>32,35</sup>. IRF3 and  
357 IRF7 are phosphorylated, dimerize and translocate into the nucleus where they are part of  
358 enhanceosome multiprotein complexes regulating the expression of antiviral genes<sup>36</sup>. IRF3  
359 and IRF7 have been shown to be centrally involved in the regulation of the expression of type  
360 I and III interferons and pro-inflammatory chemokine CCL5/RANTES<sup>35,37,38</sup>. Using human  
361 *in vitro* models of rhinovirus infection, we showed that exposure to pollen during viral  
362 infections reduces the release of pro-inflammatory chemokines and type I- and III interferons  
363 and increases viral replication. Moreover, pollen exposure reduces the expression of MDA5,  
364 and MDA5 deficiency can predispose to recurrent rhinovirus infections<sup>39</sup>. Furthermore,  
365 exposure to pollen resulted in a reduced translocation of phosphorylated IRF3 and IRF7 into  
366 the nucleus following activation by double-stranded RNA suggesting a mechanistic link  
367 between pollen exposure, reduction in enhanceosome complexes and reduced CCL5 and IFN-  
368 λ release. IRF3 has also been shown to play a role in triggering apoptosis, a defense  
369 mechanism of virally infected cells to reduce viral load and replication and thus prevent  
370 spreading of the infection<sup>40</sup>. Reduced phosphorylation and translocation of IRF3 by exposure  
371 to pollen during viral infections can result in decreased expression of pro-apoptotic genes,  
372 which results in enhanced viral replication during exposure to pollen that we observed in this  
373 study. Of note, pollen exposure reduced the IFN-λ production in cells of atopic and non-  
374 atopic donors, and the non-allergenic fraction (APE<3kDa) had similar effects on cells of

375 both atopic and non-atopic donors. This argues for a mechanism independent of the atopy  
376 trait.

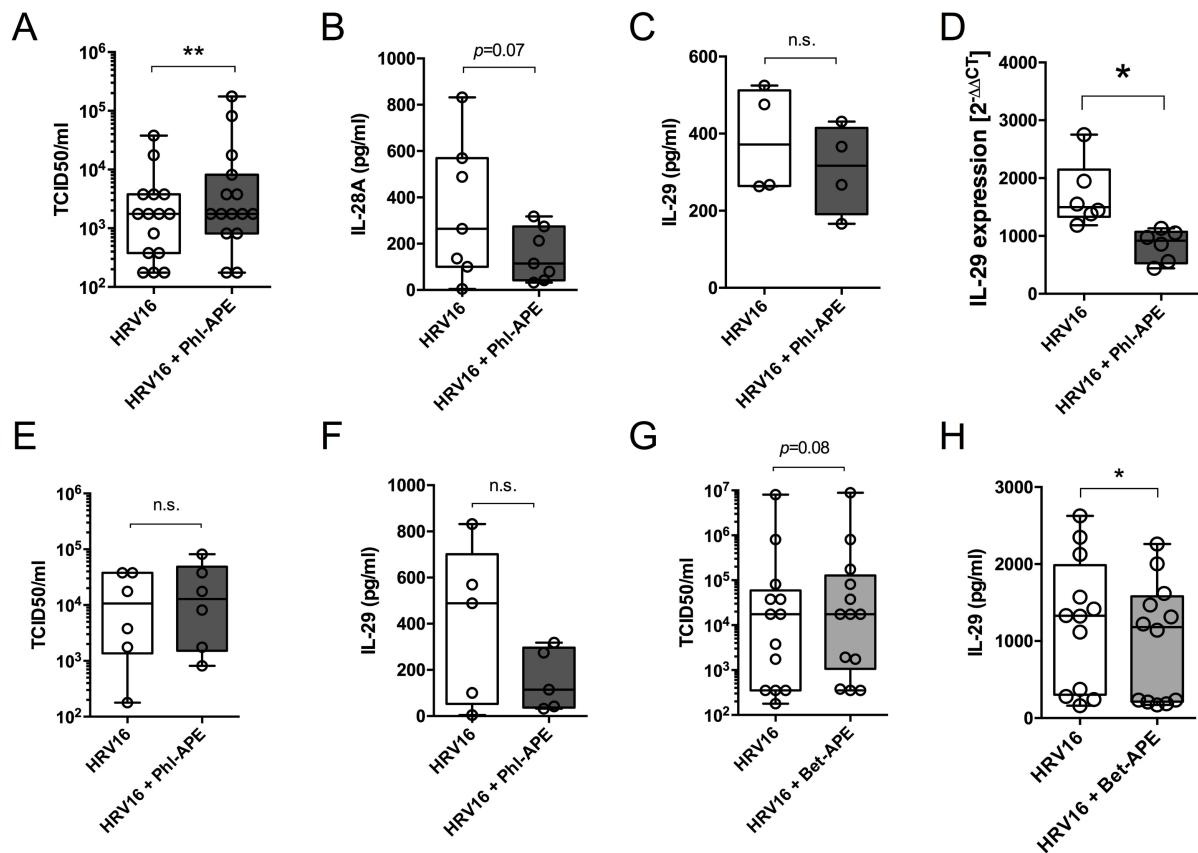
377 Our *in vitro* findings were reemphasized *in vivo*. The murine RSV infection model was  
378 characterized by a mild clinical phenotype, which is typical for C57BL/6 mice<sup>23</sup>. The  
379 immune response to RSV infection differs between mouse strains<sup>41</sup>. Within C57BL/6 mice  
380 there is a clear role for Type I IFNs as well as IFNAR in RSV infection<sup>42-44</sup>. We also chose  
381 the C57BL/6 background to exclude any pollen effect mediated by allergic sensitization. Of  
382 note, three successive intranasal pollen instillations did not lead to allergic sensitization even  
383 in the allergy-prone Balb/c strain<sup>22</sup>. The difference in viral load as well as pulmonary  
384 antiviral gene expression when co-treated with pollen supports our hypothesis that pollen  
385 exposure compromises innate antiviral immune responses.

386 In a temperate climate, airborne pollen as well as many viruses show a high degree of  
387 seasonality<sup>26,45</sup>. Airborne pollen concentrations correlated with nasal symptoms in the non-  
388 allergic volunteers of our dedicated panel study. The strongest correlation was observed  
389 between symptoms and pollen counts of the previous day, indicating a direct, fast-acting  
390 effect of pollen. In our off-season pollen challenge study, we observed by trend a down-  
391 regulation of all nasal type I- and type III IFN genes after only three repetitive challenges with  
392 APE, supporting an inhibitory effect of pollen on the early antiviral response of the  
393 epithelium. Since the cohorts were small and the study could not be conducted on virus-  
394 infected individuals, baseline transcript levels of antiviral IFNs were initially rather variable.  
395 The only controlled allergen challenge study on virus-infected patients published so far  
396 reports diminished cold symptoms and rhinovirus titers after priming by repetitive nasal  
397 allergen challenges<sup>46</sup>. However, only 5/10 patients in the allergen treated group were  
398 challenged with pollen extract. Of note, the timing of pollen exposure relative to virus  
399 challenge could be relevant to the outcome. In our large Gothenburg cohort numbers of  
400 rhinovirus positive patients positively correlated with airborne birch pollen concentrations,

401 indicating that pollen exposure enhances the susceptibility to rhinovirus under real-life co-  
402 exposure. In a carefully designed case-crossover study on an Australian children and  
403 adolescents asthma cohort, hospital admissions occurred most frequently in springtime, but  
404 not during the pollen season at large (October-January) <sup>47</sup>. Interestingly, high levels of  
405 airborne grass pollen (50 grains/m<sup>3</sup> or more) increased hospital admissions in HRV-infected  
406 boys but not in girls <sup>48</sup>. A recent meta-analysis stresses the relevance of outdoor pollen  
407 exposure for asthma exacerbations, especially in children and adolescents <sup>49</sup>. Unfortunately,  
408 information on demographics, sensitization or asthma status was not available for our  
409 Gothenburg rhinovirus cases. Atopy and asthma are traits linked to a defective antiviral  
410 response <sup>8</sup>, possibly due to chronic exposure of the respiratory epithelium to Th2 and type-2  
411 cytokines, e.g. IL-33 <sup>50,51</sup>. Since samples from allergic asthmatics or patients with other  
412 respiratory diseases could be over-represented among samples obtained during the birch  
413 pollen season, the positive correlation we observed between pollen and rhinovirus is most  
414 likely due to a combined effect of atopy or respiratory disease and pollen. Overall, however,  
415 we have acquired consistent results in three independent human cohorts from two different  
416 geoclimatic regions, despite confounding effects such as rising air temperatures in springtime.  
417 Taken together, our results indicate that pollen exposure itself modulates the antiviral defense  
418 of the respiratory epithelium. This might be of special relevance for individuals with chronic  
419 respiratory diseases where viral infections are a main cause of severe exacerbations.  
420 Furthermore, also non-allergic individuals at risk for respiratory infections might benefit from  
421 restricting their extensive outdoor activities when pollen and respiratory virus seasons  
422 coincide, particularly during days with high pollen counts. However, large-scale clinical trials  
423 are needed to confirm these findings and to formulate guidelines for people at risk.

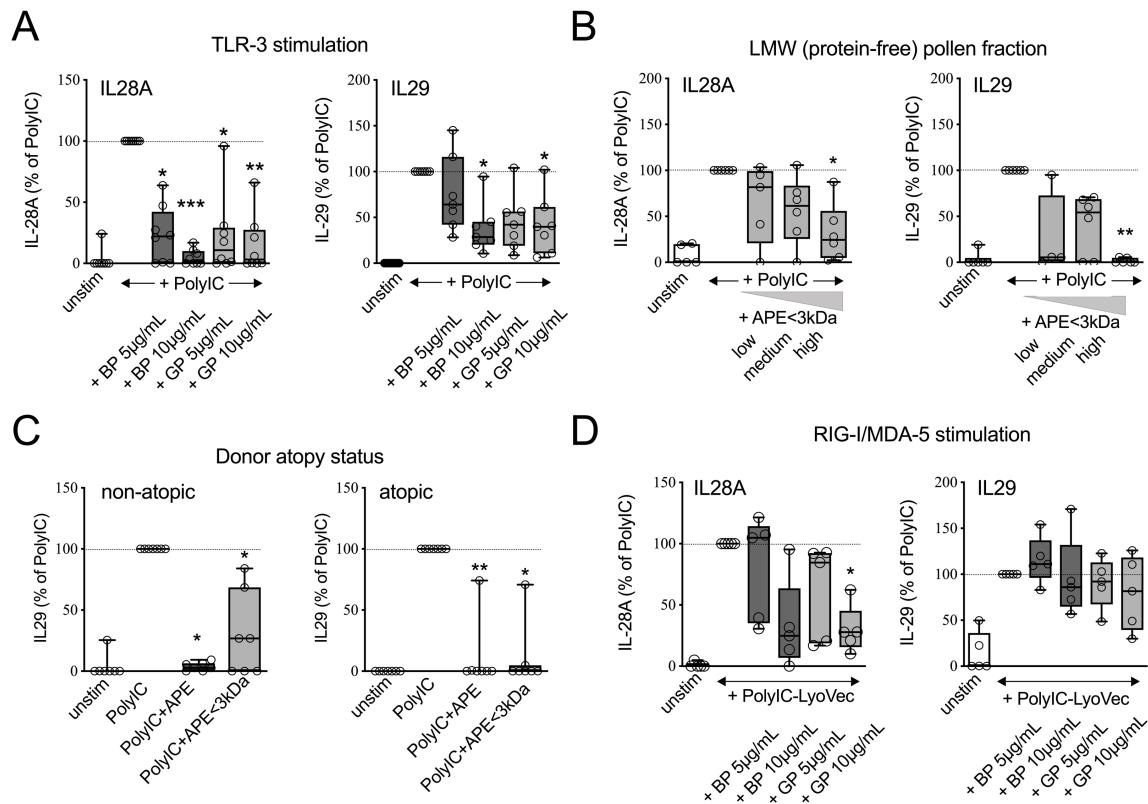
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425 **Word count:** 3971

426 **Figures**

427

428 **Figure 1: Viral replication and antiviral IFN- $\lambda$  expression in rhinovirus-infected human**  
 429 **airway epithelium upon co-exposure to pollen.** Differentiated human primary bronchial  
 430 (PBEC; **A-D**) and nasal epithelial cells (HNEC; **E-H**) were infected for 48h with HRV16 and  
 431 co-exposed with 100 $\mu$ g/mL aqueous extracts of birch (Bet-APE) or timothy grass pollen (Phl-  
 432 APE). **A:** HRV16 virion release in apical washes of PBEC cultures 48h after infection.  
 433 \*\*:p<0.005 (n=15). **B-D:** Release of antiviral FN- $\lambda$ s 48h after infection. \*: p<0.05 (n=4-6). **E,**  
 434 **G:** HRV16 virion release of HNECs 48h after infection, with or without co-exposure to  
 435 100 $\mu$ g/mL aqueous grass (**E:** Phl-APE) or birch pollen extract (**G:** Bet-APE). **F, H:** IFN- $\lambda$   
 436 response of HRV16-infected HNECs with or without co-exposure to grass (**F**) or birch pollen  
 437 extract (**H;** p<0.05; n=5-13). As control, UV-irradiated RV16 was used (data not shown). All  
 438 experiments were carried out with cultures of n different, non-atopic donors.

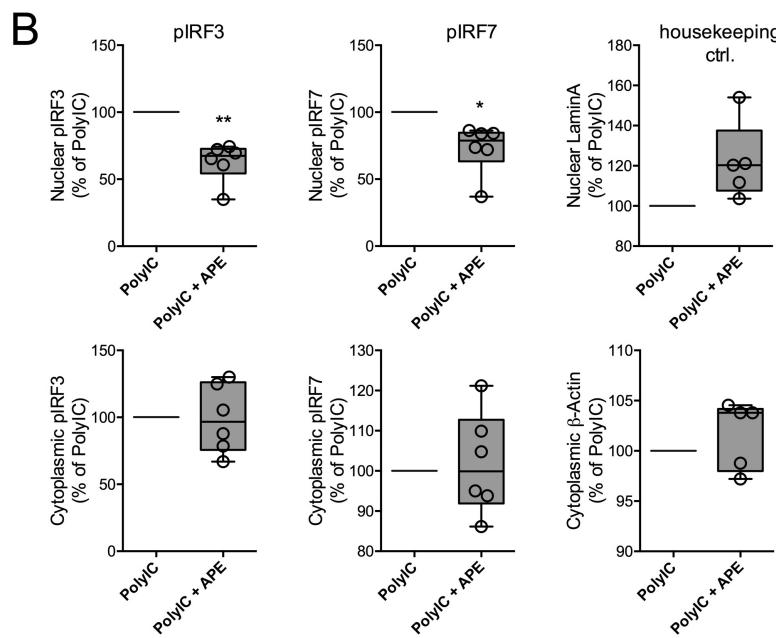
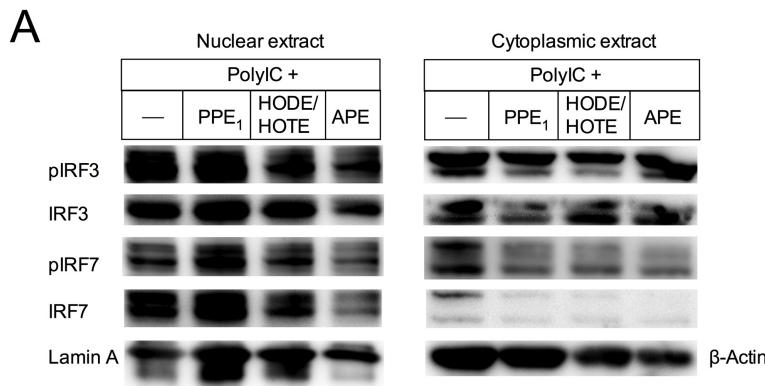


439

440 **Figure 2: Antiviral response in primary human nasal epithelial cells stimulated with**  
 441 **viral mimics.**

442 **A:** Inhibition of the PolyIC-induced IFN- $\lambda$  response of HNECs by whole birch pollen (BP)  
 443 and timothy grass pollen (GP) grains. \*:  $p<0.05$ , \*\*:  $p<0.01$ , \*\*\*:  $p<0.005$ ; n=7. **B:** IFN- $\lambda$   
 444 production in cells stimulated with PolyIC (10 $\mu$ g/mL) in the absence or presence low  
 445 molecular weight aqueous birch pollen extracts (APE<3kDa; 10, 30 and 100 $\mu$ g/mL). \*:  
 446  $p<0.05$ , \*\*:  $p<0.01$ ; n=6. **C:** IFN- $\lambda$  inhibition by aqueous birch pollen extract (APE;  
 447 100 $\mu$ g/mL) and the low molecular weight fraction (APE<3kDa; 100 $\mu$ g/mL) in cells from non-  
 448 atopic and atopic donors. \*:  $p<0.05$ , \*\*:  $p<0.01$ ; n=7. **D:** IFN-  $\lambda$  response in cells transfected  
 449 with PolyIC-LyoVec in the absence and presence of whole pollen grains. \*:  $p<0.05$ ; n=5. All  
 450 experiments were carried out with cells of n independent donors.

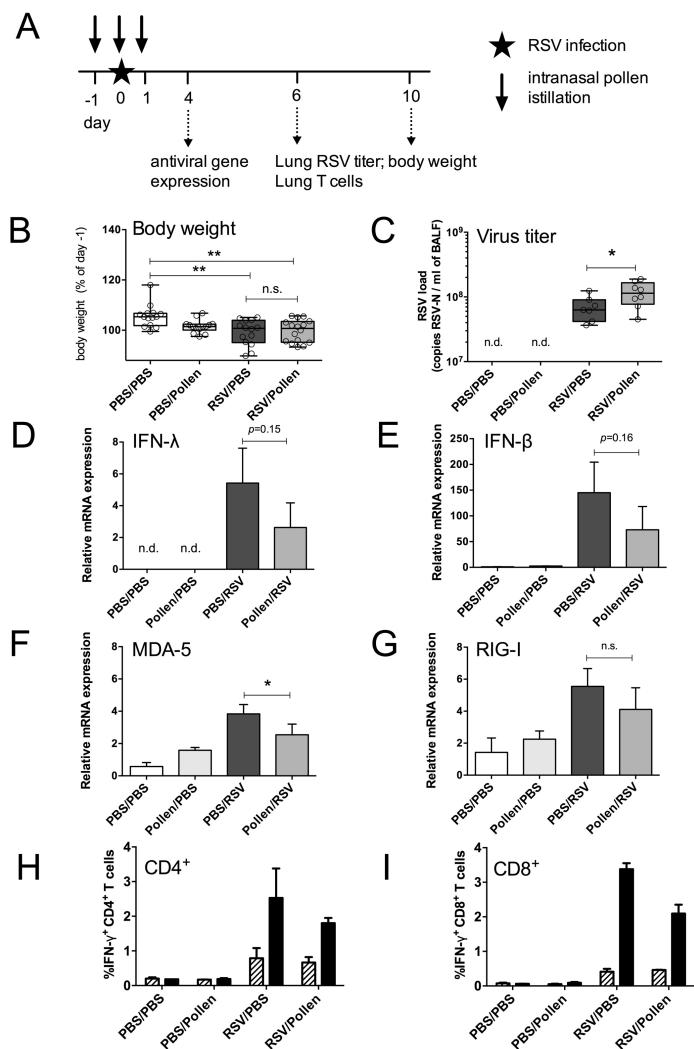
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452

453 **Figure 3: Nuclear translocation of phosphorylated transcription factors IRF-3 and IRF-  
454 7 in PolyIC- and pollen-stimulated nasal epithelial cells.**

455 **A:** Nuclear and cytoplasmic extracts of HNECs stimulated as indicated were subjected to  
456 SDS-PAGE and Western Blot analysis using antibodies against total and phosphorylated IRF-  
457 3 and IRF-7.  $\beta$ -actin and lamin A served as loading controls. APE: aqueous birch pollen  
458 extract (corresponding to 100 $\mu$ g/mL total protein); PPE<sub>1</sub>: E<sub>1</sub>-phytoprostane, 1 $\mu$ M;  
459 HODE/HOTE: equimolar mixture of hydroxyoctadecadienoic- and hydroxyoctadecatrienoic  
460 acids, 1 $\mu$ M. **B:** Quantitation of proteins in nuclear and cytoplasmic extracts of HNECs  
461 stimulated with PolyIC (10 $\mu$ g/mL) vs. PolyIC plus APE. \*:  $p<0.05$ , \*\*:  $p<0.01$ ; n=6  
462 independent experiments, each using different donors.

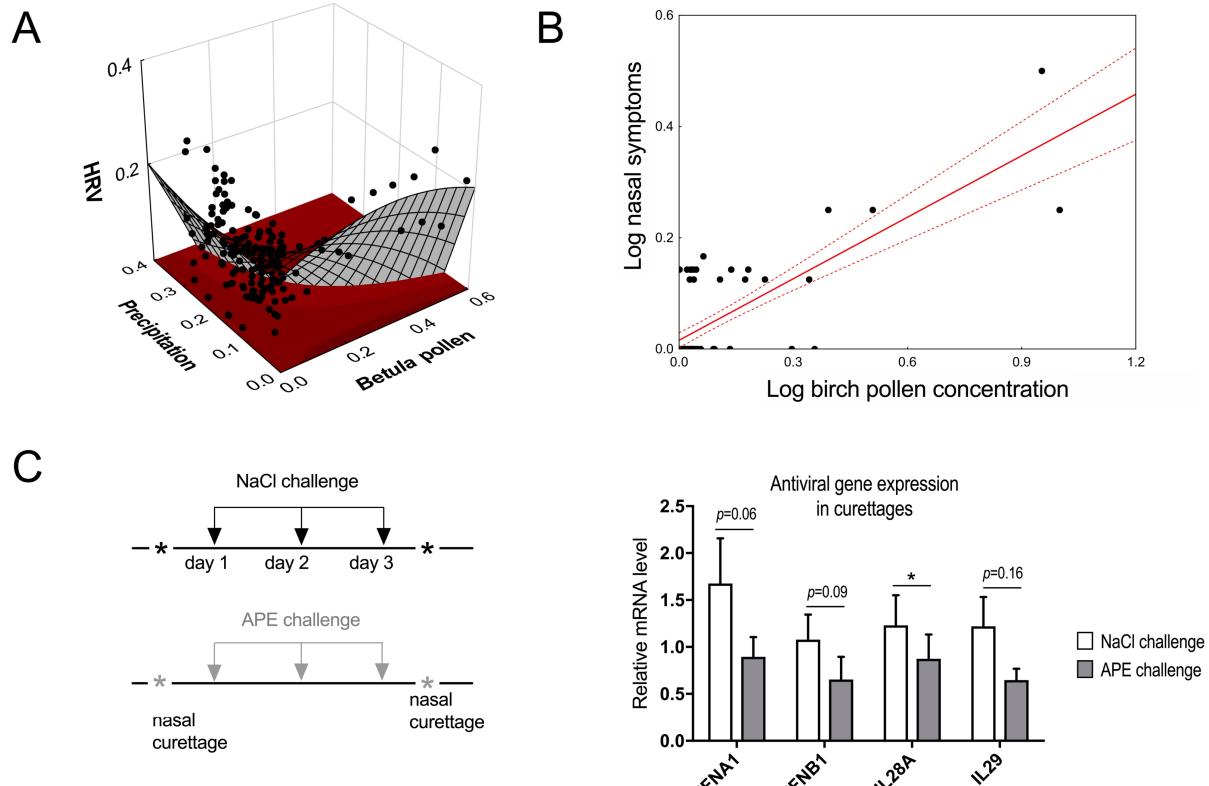


463

464 **Figure 4: Intranasal pollen exposure increases viral load and diminishes expression of**  
465 **MDA-5 in a murine respiratory syncytial virus model.**

466 **A:** Experimental setup. **B:** Body weight of mice days after intranasal treatment with buffer  
467 (PBS/PBS), ragweed pollen extract (PBS/pollen; 10 $\mu$ g/mL), respiratory syncytial virus (RSV)  
468 (RSV/PBS) or a combination of RSV and pollen extract (RSV/pollen). \*\*: p<0.01; n=15 mice  
469 per group **C:** Viral load in BALF of mice 6 days after indicated treatment. \*: p<0.05; n=8-9 **D-**  
470 **G:** Antiviral gene expression in the lungs of mice 4 days after indicated treatment. \*: p<0.05  
471 vs. PBS/RSV; n=3-4. **H-I:** Percentages of virus-specific IFN- $\gamma$ + CD4 $^{+}$  and CD8 $^{+}$  T cells in re-  
472 stimulated spleens of mice 6 days after indicated treatment. Clear bars: Re-stimulation with  
473 uninfected D1 cells. Grey bars: Re-stimulation with RSV-infected D1 cells.

474



475

476 **Figure 5: Relationships of rhinovirus, nasal symptoms and expression of IFN genes in**  
 477 **three different human cohorts.**

478 **A:** Correlation (GLM) between rhinovirus positive cases, airborne birch pollen concentrations  
 479 and precipitation in Gothenburg, Sweden. Nasopharyngeal swabs ( $n = 5,782$ ) tested positive  
 480 for rhinovirus (z-axis) were regressed against airborne birch pollen concentrations (y-axis)  
 481 and precipitation (x-axis);  $p=0.005$ . All values are 7-day moving averages of normalized  
 482 original values. **B:** Time series analysis (cross-correlation) of nasal symptoms in a well-  
 483 characterized cohort of non-allergic volunteers ( $n=8$ ) from Augsburg, Germany, with local  
 484 airborne birch pollen concentrations of the previous day (GLM, simple regression). **C:** Type I  
 485 and type III IFN gene expression in nasal samples of non-allergic volunteers subjected to 3  
 486 successive intranasal challenges with saline (NaCl challenge;  $n=9$ ) or 2,500 SBE of aqueous  
 487 birch pollen extract (APE challenge;  $n=9$ ). Mean + SEM. \*:  $p<0.05$ .

SUB_ID	Total IgE (IU/mL)	HDM	cat dander	wheat	timothy grass	rye	birch	hazel	mugwort
		d1	e1	f1	g6	g12	t3	t4	w6
PAB_NA_01	46.80	0.01	0.00	0.06	0.02	0.02	0.00	0.00	0.00
PAB_NA_02*	21.60	0.00	0.00	0.05	0.04	0.05	0.03	0.03	0.03
PAB_NA_03	7.44	0.02	0.02	0.02	0.00	0.01	0.00	0.00	0.02
PAB_NA_04*	8.51	0.01	0.01	0.05	0.03	0.03	0.01	0.01	0.01
PAB_NA_05	5.62	0.01	0.01	0.02	0.13	0.10	0.00	0.00	0.00
PAB_NA_06	37.80	0.00	0.00	0.02	0.00	0.01	0.00	0.00	0.00
PAB_NA_07	4.47	0.00	0.00	0.05	0.01	0.01	0.00	0.00	0.01
PAB_NA_08	17.90	0.00	0.00	0.05	0.01	0.02	0.00	0.00	0.00
PAB_NA_09	12.20	0.00	0.00	0.02	0.00	0.00	0.00	0.00	0.00
PAB_NA_10	152.00	0.00	0.00	0.03	0.01	0.00	0.00	0.00	0.01

488

489

490 **Table 1: Overview of the non-atopic panel cohort.** Shown are serum levels of total IgE  
 491 (IU/mL) and IgE specific for common perennial and seasonal aeroallergens (HDM: house  
 492 dust mite). \* Subjects PAB\_NA\_2 and PAB\_NA\_4 were excluded from analysis because they  
 493 were abroad during parts of the Augsburg birch pollen season.

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638

639 **Author contribution statement**

640 Original idea: CTH, SG, CB, DED

641 Study design and main manuscript writing: SG, CB

642 In vitro experiments: SG (TLR3, RIG-I, MDA5 model); CB (PBEC HRV infection model);  
643 SS (Western Blots, signal transduction)

644 CBG and SE (HNEC HRV infection model)

645 ENT related expertise, specimens from nasal surgery: AC

646 Murine RSV infection model: MW, LM, BvtL, JG

647 Nasal pollen challenge study: MG

648 Data on airborne pollen concentrations: AD, FH (Augsburg), ÅD (Gothenburg)

649 Data on rhinovirus samples in human cohort: NS, ML, LMA, JW

650 Statistical analysis: AD, AUN

651 Project and manuscript discussions: SG, CB, CAA, DED, CTH

652

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