**Fracture Risk in Women with Breast Cancer Initiating Aromatase Inhibitor Therapy: A Registry-Based Cohort Study**

Running Title: Fracture Risk in Women with Breast Cancer

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**ABSTRACT**

**BACKGROUND:** Aromatase inhibitors (AI) used in breast cancer induce loss in bone mineral density (BMD) and are reported to increase fracture risk.

**MATERIALS AND METHODS:** Using a population-based BMD registry we identified women age ≥ 40 years initiating AI for breast cancer with at least 12 months AI exposure (N=1775), women with breast cancer not receiving AI (N=1016), and women from the general population (N=34,205). Fracture outcomes were assessed to March 31, 2017 (mean 6.2 years for AI users).

**RESULTS:** At baseline AI users had higher BMI, higher BMD, lower osteoporosis prevalence and fewer prior fractures than women from the general population or breast cancer women without AI use (all P<0.001). When adjusted for all covariates, AI users were not at significantly greater risk for major osteoporotic fractures (MOF) (HR 1.15, 95% CI 0.93–1.42), hip fracture (HR 0.90, 95% CI 0.56–1.43) or any fracture (HR 1.06, 95% CI 0.88–1.28) compared with the general population.

**CONCLUSIONS:** Higher baseline BMI, BMD and lower prevalence of prior fracture at baseline may offset the adverse effects of AI exposure. Although confirmatory data from large cohort studies are required, our findings challenge the view that all women with breast cancer initiating AI therapy be considered at high risk for fractures.

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**Implications for Practice**

In a population-based observational registry that included 1775 initiating long-term aromatase inhibitor therapy, risk for major osteoporotic fracture, hip fracture or any fracture were similar to the general population. Higher baseline body mass index, bone mineral density and lower prevalence of prior fracture at baseline may offset the adverse effects of aromatase inhibitor exposure. **INTRODUCTION**

According to global estimates for 2018, breast cancer is the most common cancer in women (1). Breast cancer survivors are known to be at increased risk for osteoporosis and fractures (2). Aromatase inhibitors are recommended to reduce the risk of cancer recurrence in postmenopausal women with hormone-receptor positive breast cancer (3). The use of aromatase inhibitors (AI) increases bone turnover and induces bone loss at trabecular-rich bone sites at an average rate of 1-3% per year, with reports of up to threefold increased fracture incidence (4, 5). In contrast, a large nationwide population-based cohort study using U.S. Medicare data identified minimal excess fracture risk from AI use compared with tamoxifen (11% higher for non-vertebral fractures, not significantly increased for hip fractures) (6).

 Observations in the clinical trial setting may differ from routine clinical practice. Therefore, we examined fracture outcomes using a large clinical registry of bone mineral density (BMD) results for the Province of Manitoba, Canada, that allowed us to identify women initiating AI therapy for breast cancer, women with breast cancer not receiving AI therapy, and women from the general population without breast cancer.

**MATERIALS AND METHODS**

*Study population*

We performed a registry-based cohort study to examine fracture outcomes among women 40 years of age or older who had undergone baseline BMD of the hip during 2005-2016 and had at least 1 year of follow up, with the date of BMD testing the index date. In the Canadian province of Manitoba, health services are provided to nearly all residents through a single public health care system (7). For each health system contact, information is recorded to document the patient's demographics, date and type of service, and diagnosis code(s). Hospital discharge abstracts (diagnoses and procedures) are coded using the International Classification of Diseases (ICD), 9th revision, Clinical Modification [i.e., ICD‑9‑CM] prior to 2004 and the 10th revision of ICD, Canadian version [i.e., ICD‑10‑CA] and Canadian Classification of Interventions (CCI) thereafter. Physician billing claims are coded using ICD‑9‑CM as previously described (8, 9). Medication use is obtained from the provincial pharmacy system (10). BMD testing through the Manitoba Density Program has been managed as an integrated program since 1997 (11). The Manitoba Density Program maintains a database of all results that can be linked with the other provincial population-based databases through an anonymous personal identifier. The associated database exceeds 99% in terms of completeness and accuracy (12). The study was approved by the Research Ethics Board of the University of Manitoba and the Health Information Privacy Committee of Manitoba Health.

*Aromatase inhibitor use and breast cancer diagnosis*

We categorized the women into one of three mutually exclusive subgroups: breast cancer with AI use, breast cancer without AI use, and general population without a breast cancer diagnosis [referent]. Breast cancer diagnosis was based upon physician and hospitalization codes for malignant neoplasm of breast (ICD-9-CM 174-175, ICD-10-CA C50) during the prior 3 years. This approach identifies breast cancer cases with high sensitivity, specificity and overall accuracy (kappa 0.97) compared with cancer registry data (13). AI use has been the standard of care for management of estrogen receptor (ER) positive breast cancer in post-menopausal women since approximately 2005. AI use (ATC code L02BG) was obtained from medication dispensations records through the province-wide retail pharmacy system for up to 5 years before the index date (entire 5 years available in 95%) and 5 years after the index date (entire 5 years available in 61%), and was tabulated as yearly total number of medication days, and did not distinguish the specific agent used (anastrozole, letrozole, exemestane) (10). To be classified as an AI user, we required that there be at least 365 days of medication exposure after the index date, with at least 180 days in the first year to exclude delayed treatment initiation. The intensity of exposure was quantified as the medication possession ratio (MPR, medication days dispensed divided by the total number of days). We excluded long-term AI users (more than 180 days use prior to the index date) to reflect the common clinical scenario of a women initiating AI therapy when BMD changes are expected to be greatest. Characteristics of the included versus excluded AI users are shown in **Supplemental Table S1**. Women from the general population (controls) without a breast cancer diagnosis and breast cancer cases without AI use had no exposure to these medications at any time point.

*Assessment of incident fractures*

Longitudinal health service records (i.e., hospital discharge abstract and physician billing claims) were assessed between April 1, 1987 and March 31, 2017 for the presence of MOF (hip, clinical spine, forearm and humerus), hip fracture and any fracture (excluding head/neck, hands/feet and ankle) not associated with codes indicative of severe trauma (i.e., external injury) using published and validated definitions (8, 14). Hip and forearm fractures were required to have a site-specific fracture reduction, fixation or casting code. To minimize misclassification of prevalent and incident fractures at the same skeletal site, we required that there be no hospitalization or physician visit(s) with the same fracture type in the 12 months preceding an incident fracture. There was no time restriction on prior and incident fractures involving different skeletal sites.

*Bone densitometry and covariates*

All dual-energy x-ray absorptiometry (DXA) scans were performed with a commercial fan-beam device (Prodigy or iDXA, GE Healthcare, Madison, WI, USA) and analyzed in accordance with manufacturer recommendations. Femoral neck BMD T-scores were calculated using the NHANES III white female reference values (15). The DXA instruments were cross-calibrated using anthropomorphic phantoms and no clinically significant differences were identified (T‑score differences < 0.1). Short-term reproducibility (coefficient of variation [CV]) for femoral neck BMD from the multiple technologists was 2.3% (over 400 repeat hip DXA scans performed within 28 days).

 We also considered multiple covariates that affect fracture risk independent of BMD: age, sex, body mass index (BMI), prior fragility fracture, parental history of hip fracture, current smoking, long-term oral glucocorticoid use, rheumatoid arthritis diagnosis and high alcohol consumption (16). Weight and height were measured at the time of DXA, and BMI was calculated as weight (in kilograms) divided by height (in meters) squared. Other covariates were assessed using a combination of self-report at the time of DXA and hospital discharge abstracts, physician billing claims, and prescription drug records as previously described (17). We defined prior fragility fracture as any non-traumatic MOF that occurred before the baseline DXA test examining medical records back to 1987. Prolonged oral corticosteroid use (>90 days dispensed in the 1 year prior to DXA) was obtained from the provincial pharmacy system (10). Smoking and parental hip fracture was by self-report. High alcohol use was directly assessed from 2012 onwards and represented by a proxy variable in earlier years (alcohol substance abuse diagnosis codes). Finally, we also ascertained use of tamoxifen and osteoporosis medications (>180 days dispensed in the 1 year prior and the year following DXA). Osteoporosis medications included oral or parenteral bisphosphonates (~90% of all osteoporosis medication use), raloxifene, denosumab, calcitonin, teriparatide or any systemic estrogen product. We categorized osteoporosis medication and tamoxifen use as recent (in the year prior to the index date) and current (in the year after the index date).

*Statistical analyses*

Statistical analyses were performed with Statistica (Version 13.0, StatSoft Inc, Tulsa, OK). Descriptive statistics for demographic and baseline characteristics are presented as mean ± SD for continuous variables or number (%) for categorical variables. Analysis of variance and χ2 tests of independence were used to test for between-subgroup differences. Cumulative incidence of fracture according to breast cancer status and AI use was constructed from Kaplan-Meier curves to time to first fracture. Curves were compared using the log-rank test. Cox proportional hazards models were used to test for differences in time to first fracture, with patient subgroup (breast cancer with AI use, breast cancer without AI use, and general population [referent]) as the covariate of interest, controlled for the effect of other covariates and presented as hazard ratio (HR) with 95% confidence intervals (CI). Models sequentially adjusted for the effects of age alone (Model 1); with addition of clinical risk factors (Model 2); with addition of BMD (Model 3); and with addition of tamoxifen and osteoporosis medication use, prior and current (Model 4). In sensitivity analyses, we also looked at women with minimum 5 years of observation, at women without clinical risk factors at baseline that may trigger BMD testing, at women without osteoporotic BMD, and for an interaction according to age (< 65 years versus age 65 years and older). We also looked for evidence of channeling bias among women selected for tamoxifen therapy rather than AI (18).

**RESULTS**

The study population included 36,996 women, among whom 1775 (4.8%) had breast cancer treated with an AI, 1016 (2.7%) had breast cancer without AI use, and 34,205 (92.5%) were women from the general population (**Supplemental Figure S1**). In women with breast cancer initiating AI therapy, median total exposure was 4.2 years (interquartile range 2.9 – 4.9 years); there was a consistently high level of use: median MPR during the first year was 0.99 (interquartile range 0.90 – 1.00), median MPR for up to 5 years was 0.97 (interquartile range 0.84 – 1.00).

The groups were similar in terms of age at baseline but there were significant between-group differences in other characteristics (**Table 1**). Specifically, AI users had significantly higher BMI than women from the general population and women with breast cancer without AI use (all *P* < 0.001). Femoral neck BMD was also greater in AI users, but similar amongst women from the general population and women with breast cancer not receiving an AI (*P* < 0.001), with lower proportions of AI users with BMD T-scores in the osteoporotic range or with prior fracture (*P* < 0.001). Osteoporosis treatment increased for all subgroups in the year following BMD testing, but was significantly less among AI users (*P* < 0.001). Furthermore, osteoporosis treatment rates remained lower among AI users even up to 5 years (**Supplemental Table S2**). Among the subset of women not receiving osteoporosis treatment who underwent a second BMD test there was significantly greater BMD loss (*P* < 0.001) among AI users than the other groups (**Supplemental Table S3**).

Mean follow-up ranged from 6.2 (SD 2.8) years in AI users to 7.3 (SD 3.2) years for women with breast cancer who were not AI users. During the observation period, incident MOF were experienced by 2,795 women (104 AI users), incident hip fractures by 864 women (19 AI users), and any clinical fracture by 3,502 women (133 AI users). The crude (unadjusted) cumulative incidence for fracture to 10 years is shown in **Figure 1**. No significant between-group differences were seen for incident MOF. There was a significant difference for incident hip fracture (*P*=0.007) which was lower in AI users than in the other groups, with a similar trend for any fracture (*P*=0.052). Age-adjusted regression analyses (**Table 2**) showed similar patterns: no significant difference for incident MOF (HR 0.92, 95% CI 0.75-1.12), lower hip fracture risk among AI users (HR 0.61, 95% CI 0.39 – 0.98), and a non-significant lower trend for any fracture among AI users (HR 0.86, 95% CI 0.72 – 1.02). After adjustment for additional covariates (which included the higher BMI and BMD in AI users), hip fracture risk among AI users was similar to the general population.

Sensitivity analyses were performed. Results reported in **Table 2** were essentially unchanged when analysis was limited to these women with high adherence to AI therapy and at least 5 years of observation (**Supplemental Table S4**). Results were also similar when limited to individuals without previous fracture, parental hip fracture, smoking or glucocorticoid use (**Supplemental Table S5**). More than half of the women (N=1090, 61%) had over 5 years follow-up (median MPR for AI use over the 5 years was 0.93, interquartile range 0.74 – 0.98). Women with osteoporotic BMD at baseline were over-represented among non-AI subgroups. When women with osteoporotic BMD were excluded there was a non-significant trend to higher age-adjusted MOF risk in AI users (not seen for hip fracture or any fracture), and this completely disappeared with full covariate adjustment (**Supplemental Table S6**). There was no evidence that AI use affected fracture risk differently in women below versus above age 65 years (all age-interaction *P*-values >0.4). We looked for evidence of channeling bias among women selected for tamoxifen therapy rather than AI (**Supplemental Table S7**). Among women with breast cancer who were not AI users, 231 (22.7%) were currently treated with tamoxifen and 77 (7.6%) were new users of tamoxifen. There was no evidence that current or new tamoxifen users had lower femoral neck T-scores or were at higher fracture risk than the general population due preferential channeling of women at high fracture risk from AI to tamoxifen.

**DISCUSSION**

This analysis of fracture risk among women with breast cancer initiating AI therapy found unexpected results. Contrary to the suggestion from previous studies that these women would be at increased fracture risk compared with the general population (4, 5), fracture risk was similar to the general population when adjusted for baseline covariates. Higher baseline BMI, BMD and lower prevalence of prior fracture at baseline among these women compared with the general population and women with breast cancer not receiving AI may offset the adverse effects of AI exposure.

The fact that hip BMD was relatively better in women initiating AI therapy may at first appear surprising. However, estrogen receptor-positive breast cancer has been associated with higher baseline BMD, perhaps reflecting circulating estrogen, and this risk is independent of the Gail score (19-21). Higher serum estrogen is a risk factor for breast cancer (22) and confers a reduced risk for osteoporotic fracture because of its effect on BMD (23). Higher BMI is a known risk factor for estrogen receptor-positive breast cancer and is also correlated with higher BMD and lower fracture risk (24, 25). Indeed, similarly high BMI has been reported among postmenopausal women with low bone mass and hormone-receptor-positive breast cancer on an aromatase inhibitor (26, 27). Prior tamoxifen use and/or osteoporosis treatment could have contributed to higher baseline BMD among AI users, but osteoporosis treatment was actually less frequent than for the other subgroups and our results were unchanged when adjusted for both exposures. Clinical trials in breast cancer patients receiving adjuvant AIs versus tamoxifen have documented an increased risk of fracture (increase 15-113%) (4, 28-32). Since placebo arms were not included in these trials, the effect of AIs alone on fracture risk is less clear, as tamoxifen has a favorable effect on BMD in postmenopausal women (33) and this appears to translate into a reduced incidence of osteoporotic fracture (34). Channeling bias, in which women with very low baseline BMD and high fracture risk would not receive AI therapy, cannot be excluded but is unlikely to account for our findings (18). If this were a frequent occurrence then mean BMD among women with breast cancer not receiving AI (and particularly among those selected to receive tamoxifen rather than AI) should be lower than in the general population but this was not the case. Regardless of the mechanism, our findings suggest that fracture risk in women encountered in routine clinical practice receiving AI therapy may not be as elevated as has previously been suggested (5), and is consistent with the U.S. Medicare data suggesting little excess risk (6). A smaller observational "real-life" cohort found that 3 years of AI treatment was not associated with a major increase in fracture risk in postmenopausal 267 non-osteoporotic women with breast cancer (35).

Our findings, if confirmed in other large cohort studies and in conjunction with existing data, may help to inform clinical guidelines regarding the role of BMD testing and fracture risk assessment for AI recipients. The National Comprehensive Cancer Network (NCCN) guideline on breast cancer (version 2.2011) recommends BMD monitoring at baseline and periodically in AI recipients. The optimal testing interval is unclear. The American Society of Clinical Oncology (ASCO) has suggested annual DXA assessment of the spine and hip (36). A UK expert group suggested that postmenopausal women with normal BMD at baseline risk are at low risk of developing osteoporosis over a 5-year treatment and do not require specific intervention or monitoring beyond the usual recommendations for healthy postmenopausal women (4). Of interest, a systematic review and guidelines development from Cancer Care Ontario and ASCO concluded that adjuvant bisphosphonates be considered to reduce bone recurrence and improve survival in postmenopausal patients with non metastatic breast cancer (effects on fragility fractures in women with low bone mineral density was not addressed in this guideline) (37). Finally, there is evidence from a large clinical trial (ABSCG-18) that adjuvant therapy with denosumab substantially reduces fracture risk in women with breast cancer receiving AI therapy, even when BMD is in the normal range (38).

Strengths of our study include the comprehensive population-based data sources which allowed us to identify a large cohort of women initiating AI therapy as well as two comparison groups, women with breast cancer who did not receive AI therapy and women from the general population without breast cancer. In addition to BMD results for the population, we were able to assess long term medication use and fracture outcomes. Limitations to this study are acknowledged. Lifestyle factors, including diet and exercise, are unavailable through administrative data. Although fractures were ascertained from administrative data, the definitions used have been directly validated against x-ray confirmed fractures and adopted for national osteoporosis surveillance (8, 9, 14). Some of the fractures could have been pathologic (related to breast cancer metastases), but this would bias results towards higher fracture rates rather than lower fracture rates in women with breast cancer. Median use of AI therapy was 4.2 years rather than the currently recommended 5 years, though subgroup analysis showed comparable results in women highly adherent to AI therapy during at least 5 years of observation. It is uncertain whether results would differ if recommendations for AI use were to be extended to 10 years (39).

Although confirmatory data from large cohort studies are required, our findings challenge the view that all women with breast cancer initiating AI therapy be considered at high risk for fractures. In fact, at baseline such women appear to be at slightly lower fracture risk than women from the general population and women with breast cancer not initiating AI therapy because of higher BMI, BMD T-score and lower prevalence of prior fracture. This highlights the importance of identifying those women receiving AI therapy who experience accelerated BMD loss and develop a level of fracture risk where intervention is warranted.

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**Table 1. Study characteristics stratified by breast cancer status and aromatase inhibitor (AI) use.**

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| --- | --- | --- | --- | --- |
|  | General population | Breast cancer,AI user | Breast cancer,Non-AI user | *P*-value |
|  | *N*=34,205 | *N*=1775 | *N*=1016 |  |
| Age (years) | 65.0 ± 11.0 | 64.7 ± 9.9 | 65.1 ± 11.6 | 0.415 |
| BMI (kg/m2) | 27.6 ± 6.3 | 28.8 ± 5.9 a,b | 27.3 ± 5.4 | <0.001 |
| Prior fracture | 5608 (16.4) | 140 (7.9) a,b | 138 (13.6) | <0.001 |
| Parental hip fracture | 4017 (11.7) | 160 (9.0) a | 109 (10.7) | 0.001 |
| Smoking | 4658 (13.6) | 160 (9.0) a | 112 (11.0) | <0.001 |
| Glucocorticoid use | 1190 (3.5) | 22 (1.2) a | 15 (1.5) a | <0.001 |
| Rheumatoid arthritis | 823 (2.4) | 25 (1.4) | 11 (1.1) | <0.001 |
| High alcohol use | 161 (0.5) | S (<1.0) | S (<1.0) | 0.382 |
| Femoral neck T-score | -1.4 ± 1.0 | -1.1 ± 1.0 a,b | -1.4 ± 1.0 | <0.001 |
| Femoral neck T-score osteoporotic | 4035 (11.8) | 103 (5.8) a,b | 110 (10.8) | <0.001 |
| Prior osteoporosis treatment | 3289 (9.6) | 88 (5.0) a | 58 (5.7) a | <0.001 |
| Current osteoporosis treatment | 7376 (21.6) | 199 (11.2) a,b | 177 (17.4) | <0.001 |
| Prior tamoxifen treatment | S (<0.1) | 347 (19.5) a,b | 221 (21.8) a | <0.001 |
| Current tamoxifen treatment | S (<0.1) | 14 (0.8) a,b | 231 (22.7) a | <0.001 |
| Observation time (years) | 7.0 ± 3.1 | 6.2 ± 2.8 a,b | 7.3 ± 3.2 a | <0.001 |
| Incident MOF | 2616 (7.6) | 104 (5.9) | 75 (7.4) | 0.020 |
| Incident hip fracture | 825 (2.4) | 19 (1.1) a | 20 (2.0) | <0.001 |
| Incident any fracture | 3502 (10.2) | 133 (7.5) a | 100 (9.8) | <0.001 |

Data are mean ± SD or N (%). p-value for analysis of variance (continuous) or Chi-square (categorical). a = p-value <0.05 vs General population; b = p-value <0.05 vs Non-AI user. BMI, body mass index. MOF, major osteoporotic fracture. S, small cell size suppressed.

**Table 2. Hazard ratios (HR) with 95% confidence intervals (CI) for outcomes of incident fracture according to breast cancer status and aromatase inhibitor (AI) use, sequentially adjusted for multiple covariates.**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Model 1: Adjusted for age only | Model 2: Adjusted for clinical risk factors without BMD | Model 3: Adjusted for clinical risk factors with BMD | Model 4: Adjusted for risk clinical factors with BMD, tamoxifen and osteoporosis medications |
| Outcome: MOF | HR (95% CI) | HR (95% CI) | HR (95% CI) | HR (95% CI) |
| General population | 1 (REF) | 1 (REF) | 1 (REF) | 1 (REF) |
| Breast cancer, AI user | 0.92 (0.75-1.12) | 1.05 (0.86-1.28) | 1.15 (0.94-1.40) | 1.15 (0.93-1.42) |
| Breast cancer, non-AI user | 0.87 (0.69-1.10) | 0.91 (0.72-1.14) | 0.91 (0.72-1.15) | 1.00 (0.79-1.28) |
| *P*-value | 0.353 | 0.604 | 0.276 | 0.434 |
| Outcome: Hip Fracture | HR per SD | HR per SD | HR per SD | HR (95% CI) |
| General population | 1 (REF) | 1 (REF) | 1 (REF) | 1 (REF) |
| Breast cancer, AI user | **0.61 (0.39-0.97)** | 0.74 (0.47-1.17) | 0.85 (0.54-1.34) | 0.90 (0.56-1.43) |
| Breast cancer, non-AI user | 0.68 (0.44-1.07) | 0.73 (0.47-1.14) | 0.75 (0.48-1.17) | 0.72 (0.44-1.19) |
| *P*-value | **0.030** | 0.168 | 0.349 | 0.409 |
| Outcome: Any Fracture | HR per SD | HR per SD | HR per SD | HR (95% CI) |
| General population | 1 (REF) | 1 (REF) | 1 (REF) | 1 (REF) |
| Breast cancer, AI user | 0.86 (0.72-1.02) | 0.98 (0.82-1.16) | 1.06 (0.89-1.26) | 1.06 (0.88-1.28) |
| Breast cancer, non-AI user | 0.87 (0.72-1.07) | 0.91 (0.74-1.10) | 0.91 (0.75-1.11) | 0.97 (0.78-1.20) |
| *P*-value | 0.101 | 0.607 | 0.524 | 0.772 |

Data from Cox proportional hazards models. Significant results are in boldface. MOF, major osteoporotic fracture. \* Clinical risk factors: Age, BMI, prior fracture, parental hip fracture, smoking, glucocorticoid use, rheumatoid arthritis, high alcohol use.

**Figure 1. Cumulative incidence for fracture according to breast cancer status and aromatase inhibitor (AI) use.**





