

# Diet and Immune Function

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**Abstract:** A well-functioning immune system is critical for survival. The immune system must be constantly alert, monitoring for signs of invasion or danger. Cells of the immune system must be able to distinguish self from non-self and furthermore discriminate between non-self molecules which are harmful (e.g. those from pathogens) from innocuous non-self molecules (e.g. from food). This Special Issue of *Nutrients* explores the relationship between diet and nutrients and immune function. In this preface, we outline the key functions of the immune system, and how it interacts with nutrients across the lifecourse, highlighting the work included within this Special Issue. This includes the role of macronutrients, micronutrients and the gut microbiome in mediating immunological effects. Nutritional modulation of the immune system has applications within the clinical setting, but can also have a role healthy populations, acting to reduce or delay the onset of immune-mediated chronic diseases. Ongoing research in this field will ultimately lead to a better understanding of the role of diet and nutrients in immune function and will facilitate the use of bespoke nutrition to improve human health.

**Keywords:** Nutrition, immunity, macronutrients, micronutrients, microbiome, lifecourse, probiotic, prebiotic, inflammation.

## 1. Overview of the immune system

Broadly, cells of the immune system may be divided into those of the innate and those of the adaptive immune response. The innate response is the first response to an invading pathogen. Cells of the innate immune response include phagocytes (e.g. macrophages and monocytes), neutrophils, dendritic cells, mast cells, eosinophils and others. The innate response is rapid, but not specialised and is generally less effective than the adaptive immune response.

The adaptive immune response has the ability to specifically recognise a pathogen and 'remember' it if exposed to it again. T cells are critical in antigen recognition and the co-ordination of the immune response. T cells are present in an array of subtypes that coordinate different types of immune responses. Broadly, they are divided into the cytotoxic T cells (bearing the CD8 receptor), which are involved in direct killing of infected damaged cells and tumour cells, and the T helper cells. T helper (Th) cells bear the CD4 receptor and are important in coordinating the responses of other immune cells. There are a number of subtypes of Th cells, defined by the cytokines they produce. Initial studies identified 2 subsets, the Th1 cells which produced interferon gamma (IFN- $\gamma$ ) and interleukin (IL)-2 and were important in antiviral and cellular immune responses, and the Th2 subset producing IL-4, IL-5 and IL-13 and involved in humoral (antibody) and anti-parasitic responses (but also in allergic responses)[1]. It is now apparent that there are a number of other Th subtypes, which do not fall into these categories. This include Th17 cells which produce IL-17A, IL-17F and IL-22 and are important in fighting extracellular pathogens (bacteria and fungi)[2]. There are also T regulatory cells (Treg) which are CD4 bearing T cells vital in maintaining immune tolerance to allow the immune system to ignore non-harmful non-self (such as food, pollen and environmental antigens such as latex). Thus, the role of T cells is coordinating an appropriate immune response following immune stimulation or challenge.

The other lymphocytes of the adaptive immune system are the B cells, which are responsible for antibody or immunoglobulin (Ig) production. Like T cells, B cells respond specifically to an antigen. They can differentiate into short-lived plasma cells, which produce Igs in the short term, or can

49 become long-lived plasma cells. Igs are pathogen-specific molecules, which help the immune system  
50 to recognise and destroy pathogens. The B cells can differentiate into plasma cells which produce one  
51 of 5 classes of Ig (IgM, IgD, IgG, IgA and IgE). Each class of Ig has a specialised role[3]. IgM is the  
52 first Ig expressed during development, is often found as a multimeric molecule (e.g. pentameric) and  
53 can bind antigen to identify it for destruction by immune cells. IgD is found in low concentrations in  
54 the plasma and the specialist role of IgD is not yet clear. IgG is the predominant Ig class and can  
55 persist for long periods. It has important roles in antigen labelling resulting in more effective removal.  
56 IgA can be found in the serum (mostly as a monomer) and at mucosal surfaces (normally as a dimer).  
57 At the mucosal surface IgA protects against bacteria and or viruses, preventing infection. IgA also  
58 has an important role in neutralising food antigens and helping to maintain immune tolerance to  
59 food antigens (preventing the development of food allergy)[4]. IgE has a role in clearance of  
60 extracellular parasites (e.g. helminths) but, when produced inappropriately to innocuous  
61 environmental and food antigens, has an important role in IgE-mediated allergy. B cells go through  
62 a process called class switching to set the class of Ig that the plasma cells derived from them will  
63 produce. B cell class switching is controlled by the cytokines present, particularly IL-4, IL-6 and IFN-  
64  $\gamma$  secreted from Th cells[5].

65 T and B cells can specialise to become memory cells which persist permanently or for very long  
66 periods and are able to recognise the antigen if encountered again and elicit a rapid, pathogen-specific  
67 immune response.

68 The effective deployment of the immune system against pathogens or harmful signals and the  
69 swift resolution of the immune response is required for survival. The fighting of infection is only one  
70 piece of the puzzle. A fulminating immune response is costly in terms of energy expended and results  
71 in damage to the host tissues; thus rapid and complete resolution of an immune response is also key.  
72 Cytokines play a role in resolution of immune responses. IL-10, which is produced by a range of  
73 immune cells including Tregs has anti-inflammatory actions including suppressing inflammatory  
74 cytokine production[6].

75 The instigation of an immune response and the activities of the immune cells results in  
76 inflammation (seen as redness, swelling and the feeling of heat and pain) which are signs of the  
77 damage to the tissue going on whilst the immune system does its work. This is an expected outcome  
78 of an effective immune response. Increasingly there is concern that modern lifestyle changes have  
79 resulted in the promotion of ongoing, low-grade, whole body (systemic) inflammation caused by  
80 immune and other cells (e.g. adipocytes, the cells which store lipids in fat tissue)[7]. Such exposures  
81 may include diet quality and quantity[8].

## 82 **2. The role of nutrition in immune function**

83 Adequate and appropriate nutrition is required for all cells to function optimally and this  
84 includes the cells in the immune system. An “activated” immune system further increases the  
85 demand for energy during periods of infection, with greater basal energy expenditure during fever  
86 for example. Thus optimal nutrition for the best immunological outcomes would be nutrition which  
87 supports the functions of immune cells allowing them to initiate effective responses against  
88 pathogens but also to resolve the response rapidly when necessary and to avoid any underlying  
89 chronic inflammation. The immune system’s demands for energy and nutrients can be met from  
90 exogenous sources i.e. the diet, or if dietary sources are inadequate from endogenous sources such as  
91 body stores. Some micronutrients and dietary components have very specific roles in the  
92 development and maintenance of an effective immune system throughout the life course or in  
93 reducing chronic inflammation. For example, the amino acid arginine is essential for the generation  
94 of nitric oxide by macrophages, and the micronutrients vitamin A and zinc regulate cell division and  
95 so are essential for a successful proliferative response within the immune system.

96 Undernutrition is well understood to impair immune function, whether as a result of food  
97 shortages or famines in developing countries, or as a result of malnutrition arising from periods of  
98 hospitalisation in developed countries. The extent of impairment that results will depend upon the  
99 severity of the deficiency, whether there are nutrient interactions to consider, the presence of

100 infection, and the age of the subject[9]. A single nutrient can also exert multiple diverse  
101 immunological effects, such as in the case of vitamin E, where it has a role as both antioxidant,  
102 inhibitor of protein kinase C activity, and potentially interacting with enzymes and transport  
103 proteins[10]. For some micronutrients, excessive intake can also be associated with impaired immune  
104 responses. For example, supplementation with iron can increase morbidity and mortality of those in  
105 malaria endemic regions. As well as nutrition having the potential to effectively treat immune  
106 deficiencies related to poor intake, there is a great deal of research interest in whether specific nutrient  
107 interventions can further enhance immune function in sub-clinical situations, and so prevent the  
108 onset of infections or chronic inflammatory diseases.  
109

### 110 3. Gut associated lymphoid tissue

111 The majority of immune cells within the human body are found within the gut associated  
112 lymphoid tissue (GALT), reflecting the importance of this immune tissue in maintaining host health.  
113 In ingesting food, we expose ourselves to near constant and massive antigenic stimulation, and our  
114 immune system must be able to provide strong and protective immunity against invasive pathogens,  
115 while tolerating food proteins and commensal bacteria. In order to achieve this, the GALT contains a  
116 varied of sensing and effector immune functions. Dendritic cells and M cells sample the gut content,  
117 while plasma B cells within the lamina propria produce IgA, providing protection against pathogenic  
118 organisms. Specialised immune regions known as Peyer's patches, rich in immune cells allow for  
119 communication between immune cells resident within the GALT, propagation of signals to the wider  
120 systemic immune system, and the recruitment or efflux of immune cells[11].

121 Within the gut lumen itself, the human gut microbiome will provide antigens and signals with  
122 the potential to interact with resident and systemic immune cells. The composition of the gut  
123 microbiome changes over the lifecourse, in response to dietary components, and to environmental  
124 factors such as antibiotic exposure. Dietary interventions targeted at the gut microbiome include  
125 probiotics and prebiotics. Probiotics are defined as "live microorganisms, which, when consumed in  
126 adequate amounts, confer a health benefit of the host" [12] while prebiotics, "a substrate that is  
127 selectively utilized by host microorganisms conferring a health benefit" [13] tend to be non-digestible  
128 oligosaccharides such as fructo-oligosaccharides and galacto-oligosaccharides. Provision of plant-  
129 based diets may enhance the diversity of nutrients which reach the gut microbiome, with the  
130 indigestibility of plant cell walls enabling peptides and lipids which may otherwise have been  
131 absorbed in the upper digestive tract to reach the microbiome[14]. There may be circumstances in  
132 which immune cells of the GALT come into direct contact with nutrients or gut microbiota, such as  
133 in the case of reduced epithelial integrity, or 'leaky gut' observed in both acute and chronic gut  
134 inflammation[15]. Such changes in gut permeability may be influenced by micronutrient status such  
135 as that of vitamin D[16].

136 A number of nutrients and dietary interventions have demonstrated the capacity to improve  
137 measures of gut health or to reduce gut inflammation. Protein hydrolysates have been demonstrated  
138 to enhance barrier function and IgA production in animal models, and as a result may have  
139 applications for incorporation within hypo-allergenic infant formula and clinical nutrition for those  
140 with conditions such as inflammatory bowel disease[17]. Animal models of gut inflammation have  
141 identified that providing probiotic bacteria can reduce inflammation, with reductions in  
142 proinflammatory Th1 and Th17 cytokines such as IL-17 and IFN- $\gamma$ , and enhanced production of  
143 inflammation resolving cytokine IL-10[18]. Prebiotics can also enhance barrier function, in addition  
144 to their role as substrates for bacterial metabolism[19]. Santiago-Lopez et al have investigated the  
145 effect of fermented milk on a murine model of inflammatory bowel disease [18] and demonstrated a  
146 reduction in serum IL-17 and IFN- $\gamma$  following fermented milk consumption when compared with  
147 the control group.

### 148 4. Immune function over the lifecourse

149 The developing fetus and neonates have an immature immune system, with poor antibody  
150 production and a low proliferative response to challenge. *In utero*, the fetus can gain passive  
151 protection from its mother via antibodies which cross the placenta. This is the basis by which infants  
152 in the UK are provided with early protection against whooping cough, with mothers offered  
153 vaccination in their third trimester, in order to provide passive immunity to their infants until they  
154 reach the age of infant vaccinations. While immature, the fetal immune system can produce  
155 antibodies, and allergens can reach the developing fetus, and allergen specific IgE can be detected in  
156 cord blood samples[20]. Another signature of the immaturity of the immune system in early life is  
157 the susceptibility of neonates to infections, and the associated higher burden of morbidity and  
158 mortality.

159 The development of the immune system in early life will be influenced by both feeding practices  
160 and environmental exposures. Breast feeding provides further passive immunity to the infant, for  
161 example via transfer of antibodies and cytokines. Breast milk components can also stimulate  
162 maturation of the gut associated lymphoid tissue, with breast milk known to be rich in bifidogenic  
163 oligosaccharides, and to contain its own unique microbiota. Human milk oligosaccharides (HMOs)  
164 are synthesised from lactose in the mammary gland, and the specific HMO profile will vary between  
165 individuals and across contexts and changes over the timecourse of lactation[21]. These HMOs have  
166 been found to confer health benefits to infants by inhibiting the adhesion of microorganisms to the  
167 intestinal mucosa, enhancing the production of short chain fatty acids by bacteria within the  
168 microbiome, and inhibiting inflammation[22]. Other immune active components of breast milk are  
169 also likely to be involved in immune system maturation, with studies identifying that the growth  
170 factors epidermal growth factor, fibroblast growth factor 21 and transforming growth factor- $\beta$ 2 can  
171 change lymphocyte phenotypes in newborn rats when provided as supplements by oral gavage[23].

172 In infancy, diverse environmental factors will impact upon immune system development;  
173 identified factors include pet ownership, antibiotic use, and the timing of introduction of foods[24].  
174 The opportunity for introduction of prebiotic oligosaccharides during the introduction of foods has  
175 been explored, with the suggestion that this could provide a unique opportunity to influence the  
176 developing microbiome and thereby interact with the developing immune system[19]. These early  
177 years of life are a critical period in the development of the immune system, particularly for T cell  
178 function, with the thymus maturing and reaching its maximum size relative to body weight in  
179 infancy[25].

180 As we move through the lifecourse towards later life, a decline in immune function is observed  
181 among older adults. As was the case in infancy, older adults are more susceptible to infections, and  
182 have more serious complications as a result than younger people. This declining immune function is  
183 known as immunosenescence, and reflects deterioration of both the acquired and innate immune  
184 systems[26]. Declining T cell function with age arises from thymic involution and decreased thymic  
185 output, resulting in fewer naive T cells and more memory cells in the circulation[27]. Ageing is also  
186 associated with increased inflammation in the absence of infection and has been found to predict  
187 hospitalisation and death[28]. A number of micronutrient deficiencies have been identified as  
188 contributors to such declining immunity, and so may provide opportunities for targeted  
189 interventions to restore immune function[29].

## 190 **5. Chronic systemic Inflammation**

191 Chronic systemic inflammation is a key underlying feature for a range of chronic non-  
192 communicable disease conditions such as cardiovascular disease, stroke and autoimmune disorders  
193 such as rheumatoid arthritis. This chronic inflammation is positively correlated with aging and other  
194 co-morbidities (e.g. obesity, cardiovascular disease, insulin resistance). Interestingly, in a study in  
195 healthy adults, increasing age was found to be a risk factor for chronic systemic inflammation,  
196 independent of other risk factors such as body mass index, blood pressure and blood lipid profiles  
197 [30].

198 The rising worldwide prevalence of obesity in children and adults is of grave concern. Obesity  
199 and over nutrition is strongly associated with chronic inflammation, metabolic perturbation and

200 higher risk for a number of chronic diseases including cardiovascular disease, stroke, type 2 diabetes  
201 mellitus and chronic liver disease. This metabolism-induced inflammation associated with obesity is  
202 termed metaflammation, and the Western diet is a known risk factor[31,32]. The Western diet is  
203 characterised by a diet high in sugar, trans and saturated fats but low in complex carbohydrates,  
204 fibre, micronutrients and other bioactive molecules such as polyphenols and omega 3  
205 polyunsaturated fatty acids. The mechanisms by which the Western diet predispose individuals to  
206 metaflammation are still under investigation. However, one mechanism which has been reported is  
207 the increased uptake of LPS (a constituent of gram-negative bacterial cells walls), from microbes in  
208 the gut because of increased gut leakiness. This LPS is sensed by cells of the innate immune system  
209 through TLR 4. Activation of TLR-4 by LPS will induce an inflammatory response by the immune  
210 cells. Certain nutrients, notably long chain omega 3 polyunsaturated fatty acids, can interfere with  
211 TLR4 activation and thus can ameliorate this inflammatory signal. Rogero et al. describe the  
212 relationship between obesity and inflammation and explores the immune pathway for this  
213 mechanism and the anti-inflammatory roles of omega 3 fatty acids in this process[33].

214 Interestingly, in juxtaposition with the review by Rogero et al. on inflammation in obesity,  
215 Dalton and colleagues report a study into systemic inflammation in individuals with the serious  
216 psychological eating disorder, anorexia nervosa[34]. They show that in a severely undernourished  
217 state, there are indications of systemic inflammation with an increased serum concentration of IL-6  
218 when compared with healthy control participants. IL-6 is a classically inflammatory cytokine  
219 produced by immune and other cells. Whether this inflammation is the result of the impact of  
220 undernutrition or whether the clinical condition is the result of pre-existing inflammation is a matter  
221 which remains to be determined. It has been shown that patients with clinical depression have  
222 increased systemic inflammation suggesting that inflammation may have a bearing on mental health  
223 and well-being[35].

224 In contrast with the Western diet, the Mediterranean diet is rich in vegetables, fruit, nuts,  
225 legumes, fish and 'healthy' dietary fats. The Mediterranean diet is associated with a reduced risk of  
226 chronic disease such as cardiovascular disease, cancer and more recently Alzheimer's disease[36]. A  
227 range of bioactive compounds found in fruits and vegetables have been reported to offer one  
228 explanation for the protective effect of diets rich in fruits and vegetables (e.g. Mediterranean diet) on  
229 the reduction of risk for developing non-communicable diseases attributed to chronic inflammation  
230 (e.g. cardiovascular disease). One family of molecules which are know to have a role in regulation of  
231 inflammation are the dietary polyphenols[37]. Yahfoufi et al explain the mechanisms by which  
232 polyphenols can be immunomodulatory and anti-inflammatory and explore the evidence for the role  
233 of dietary polyphenols in reducing the risk of cardiovascular disease, some neurological diseases and  
234 cancer[38].

## 235 6. Nutrition in the clinical setting

236 In clinical settings, acute inflammation may be a sudden, severe and overwhelming process. If  
237 not controlled, this severe systemic inflammation results in sepsis, culminating in multiple organ  
238 failure and death. Sepsis is a major global cause of death killing approximately 6 million people per  
239 year and is estimated to be the cause of 30% of neonatal deaths[39]. In this special issue of *Nutrients*,  
240 the role of zinc in sepsis is discussed[40]. Zinc is known to be an important micronutrient for the  
241 immune system. It has a role as a cofactor with both catalytic and structural roles in many proteins  
242 [41]. Even a mild deficiency in zinc has been associated with widespread defects in both the adaptive  
243 and innate immune response[42]. During sepsis, zinc homeostasis is profoundly altered with zinc  
244 moving from the serum into the liver. Alker and Haase consider this phenomenon and the  
245 implications for therapeutic options to improve outcomes in patients presenting with sepsis[40].

246 Selenium is a trace element that, like zinc, has critical functional roles, structural and enzymatic,  
247 in a range of proteins. Poor selenium status is associated with a higher risk for range of chronic  
248 diseases including cancer and cardiovascular disease[43]. In addition to critical roles in many non-  
249 immune tissues within the body, selenium is important for optimal immune function. Avery and  
250 Hoffman explain the role of selenium in immunobiology and the mechanisms by which

251 selenoproteins regulate immunity. The evidence for the significance of selenium status in infectious  
252 diseases including Human Immunodeficiency Virus infection is reviewed[44].

253 Glutamine is nonessential amino acid that provides an important energy source for many cell  
254 types including those involved in immune responses. It also serves as a precursor for nucleotide  
255 synthesis, particularly relevant for rapidly dividing cells such as the immune cells during an immune  
256 response. During infection, the rate of glutamine consumption by immune cells is equivalent or  
257 greater than that for glucose. Glutamine has roles in the functions of a number of immune cells  
258 including neutrophils, macrophages and lymphocytes[45]. In catabolic conditions (e.g. infection,  
259 inflammation, trauma) glutamine is released into the circulation, an essential process controlled by  
260 metabolic organs such as the liver, gut and skeletal muscles. Despite this adaptation, a significant  
261 depletion of glutamine is seen in the plasma and tissues in critical illness, which has provided a  
262 rationale for the use of in clinical nutrition supplementation of critically ill patients. How glutamine  
263 homeostasis is maintained and when and how to utilise glutamine in the clinical setting is explored  
264 in a review by Cruzat et al. [45].

265 The Vitamin D receptor (VDR) is a nuclear receptor which can directly affect gene  
266 expression[46]. The presence of VDR in the majority of immune cells immediately suggests an  
267 important role for this micronutrient in immune cell activities <sup>47</sup>. Furthermore, vitamin D activating  
268 enzyme 1- $\alpha$ -hydroxylase (CYP27B1) which results in the active metabolite 1,25-dihydroxyvitamin  
269 D<sub>3</sub> (1,25(OH)<sub>2</sub>D<sub>3</sub>), is expressed in many types of immune cells. Ligation of VDR by 1,25(OH)<sub>2</sub>D<sub>3</sub> can  
270 elicit the production of antimicrobial proteins and influence cytokine production by immune  
271 cells[47,48]. Sassi, Tamone and d'Amelio have reviewed the evidence for the role of the nutrient  
272 vitamin D in the innate and adaptive immune systems[16].

## 273 7. Conclusions

274 In this special issue of *Nutrients*, the collected works provide a breadth of reviews and research  
275 indicating the key influence of nutrients and nutrition on immune responses in health and disease  
276 and across the lifecourse. Nutrients may impact directly or indirectly upon immune cells causing  
277 changes in their function, or may exert effects via changes in the gut microbiome. A better  
278 understanding of the role of nutrients in immune function will facilitate the use of bespoke nutrition  
279 to improve human health.

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