

MARS: Mutation-Adjusted Risk Score for Advanced Systemic Mastocytosis

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Running title: Mutation-Adjusted Risk Score for Advanced Systemic Mastocytosis

Word count abstract: 271/275

Word count text: 3369

Figure count: 2

Table count: 4

Reference count: 25

51 **ABSTRACT**

52

53 **Purpose**

54 To develop a risk score for advanced systemic mastocytosis (AdvSM) patients that integrates
55 clinical and mutation characteristics.

56 **Patients and Methods**

57 The study included 383 AdvSM patients from the ‘German Registry on Disorders of
58 Eosinophils and Mast Cells’ (training set, n = 231) and several centers for mastocytosis in the
59 USA and Europe - all within the European Competence Network on Mastocytosis (ECNM)
60 (validation set, n = 152). A Cox multivariable model was used to select variables that were
61 predictive of overall survival (OS).

62 **Results**

63 In multivariable analysis, the following risk factors were identified regarding OS: age > 60
64 years, anemia (hemoglobin < 10 g/dL), thrombocytopenia (platelets < 100 x 10⁹/L), presence
65 of one high molecular risk gene mutation (ie, in *SRSF2*, *ASXL1*, and/or *RUNX1*), and of ≥ 2
66 high molecular risk gene mutations. By assigning hazard ratio (HR)-weighted points to these
67 variables, three risk categories were defined: low-risk (median OS not reached), intermediate-
68 risk (median OS 3.9 years, 95% CI 2.1 to 5.7 years), and high-risk (median OS 1.9 years, 95%
69 CI 1.3 to 2.6 years) (P < .0001). The mutation-adjusted risk score (MARS) was independent
70 of the World Health Organization (WHO) classification and was confirmed in the
71 independent validation set. During a median follow-up of 2.2 years (range 0-23), 63/383
72 (16%) patients had a leukemic transformation to secondary mast cell leukemia (32%) or
73 secondary acute myeloid leukemia (68%). The MARS was also predictive for leukemia-free
74 survival (P < .0001).

75 **Conclusion**

76 The MARS is a validated five-parameter WHO independent prognostic score that defines
77 three risk groups among patients with AdvSM and may improve upfront treatment
78 stratification for these rare hematologic neoplasms.

79 **INTRODUCTION**

80 Systemic mastocytosis (SM) is characterized by expansion of clonal mast cells that
81 infiltrate various organ systems. The extent of organ infiltration and subsequent organ damage
82 serve as a basis for the World Health Organization (WHO) classification into indolent SM
83 (ISM) and advanced SM (AdvSM). AdvSM compromises patients with SM and an associated
84 hematologic neoplasm (SM-AHN), aggressive SM (ASM), and mast cell leukemia (MCL).¹⁻⁴

85 SM-AHN (70-80% of all AdvSM patients) is the most heterogeneous and clinically
86 challenging subtype. The AHN usually resembles a myeloid neoplasm, e.g. chronic
87 myelomonocytic leukemia (CMML), myelodysplastic/myeloproliferative neoplasm
88 unclassifiable (MDS/MPN-U), chronic eosinophilic leukemia (CEL) or MDS. In the vast
89 majority of the patients, the phenotypically most important somatic mutation - *KIT* D816V -
90 is detectable in the clonal mast cell compartment as well as in cells derived from the AHN.^{5,6}

91 The WHO classification is most widely used for prognostication and has been validated
92 in multiple studies. In contrast to ISM, AdvSM has a poor prognosis.⁷ The overall survival
93 (OS) of AdvSM patients ranges from few months to several years with a median OS of
94 approximately 4 years.^{8,9}

95 A number of clinical, serological, cytomorphological, immunological and molecular
96 parameters have been reported to be of (WHO independent) prognostic significance in
97 patients with AdvSM.^{10,11} Recent data, however, have highlighted that the molecular
98 landscape of AdvSM is complex with at least one additional somatic mutation (e.g., in
99 *ASXL1*, *CBL*, *JAK2*, *RUNX1*, *SRSF2*, or *TET2*) being present in >60% of AdvSM patients.^{12,13}
100 In more recent studies, several groups examined the prognostic impact of these mutations.
101 The presence and number of additional molecular aberrations, notably in *SRSF2*, *ASXL*,
102 and/or *RUNX1* (S/A/R), have a strong adverse influence on progression (leukemic
103 transformation) to secondary MCL and/or secondary AML, response to treatment and OS.⁸⁻

104 ^{10,13-15} To date, the independent prognostic value of most variables and proposed risk scores
105 have been derived from relatively small sets of patients, and they have not been confirmed or
106 validated.¹⁴

107 In this study, we evaluated a large cohort of clinically, morphologically, and
108 genetically well characterized AdvSM patients who were enrolled within the ‘German
109 Registry on Disorders of Eosinophils and Mast Cells’ with the aim to establish a risk score
110 integrating both clinical and molecular characteristics. The proposed clinical risk score (CRS)
111 and mutation-adjusted risk score (MARS) were subsequently validated in an independent
112 cohort of AdvSM patients derived from several centers within the European Competence
113 Network on Mastocytosis (ECNM).

114

115

116 PATIENTS AND METHODS

117 Patients

118 A total of 383 AdvSM patients were included. For the training set, 231 AdvSM patients
119 were recruited within the ‘German Registry on Disorders of Eosinophils and Mast Cells’
120 between 2003 and 2018, with a final update performed in November 2018. The diagnosis of
121 AdvSM (SM-AHN, ASM, and MCL) was established according to the WHO classification.^{1,4}
122 For the training set, bone marrow (BM) biopsies and BM smears were evaluated by reference
123 pathologists of the ECNM (H-PH and KS). The study design adhered to the tenets of the
124 Declaration of Helsinki and was approved by the institutional review board of the Medical
125 Faculty of Mannheim, Heidelberg University. All patients gave written informed consent.

126 The validation set included 152 patients derived from multiple centers of excellence for
127 mastocytosis in the USA (Stanford, California, USA) and Europe (Spanish Network on
128 Mastocytosis [REMA], Toledo and Salamanca, Spain; Vienna, Austria; Freiburg, Germany -
129 all members of the ECNM).

130

131 Mutational and Cytogenetic Analyses

132 Molecular analyses were performed at diagnosis of AdvSM (prospectively or
133 retrospectively). Targeted Next-Generation Sequencing (NGS) was either performed by 454
134 FLX amplicon chemistry (Roche, Penzberg, Germany) or library preparation based on the
135 TruSeq Custom Amplicon Low Input protocol (Illumina, San Diego, CA) and sequencing on
136 the MiSeq instrument (Illumina, San Diego, CA) to investigate mutation status of *KIT* and the
137 following 32 genes: *ASXL1*, *BCOR*, *CALR*, *CBL*, *CSNK1A1*, *DNMT3A*, *ETNK1*, *ETV6*, *EZH2*,
138 *FLT3*, *GATA1*, *GATA2*, *IDH1*, *IDH2*, *JAK2*, *KRAS*, *MLL*, *MPL*, *NPM1*, *NRAS*, *PHF6*, *PIGA*,
139 *PTPN11*, *RUNX1*, *SETBP1*, *SF3B1*, *SRSF2*, *TET2*, *TP53*, *U2AF1*, *ZRSR2*, *WT1*.¹² Subsequent
140 to bcl2fastq and demultiplexing, alignment and variant calling were performed using JSI

141 SeqNext v4.4.0 (JSI Medical Systems, Kippenheim, Germany) software with default
142 parameters. Only base calls with quality score > 30 were considered for further processing. A
143 median of ~1800 reads were aligned to the target region. All regions below the minimal
144 coverage of 400 reads were rejected and resequenced for higher depth. Variants were called
145 with a variant allele frequency (VAF) cutoff of 3% and each assessed manually for
146 pathogenicity. Mutation assessment was performed using COSMIC (v78), dbSNP (v150),
147 ClinVar (2018-07), gnomAD (r2.0.2 and dbNSFP v3.5). Cytogenetic analysis and reporting
148 were performed according to the International System for Human Cytogenetic Nomenclature
149 criteria using standardized techniques.

150

151 **Statistical Analyses**

152 All statistical analyses considered clinical and laboratory parameters obtained at time of
153 diagnosis or first referral to our center that in most instances coincided with time of BM
154 biopsy and study sample collection. OS analysis was considered from the date of diagnosis to
155 date of death or last visit. Leukemia-free survival (LFS) was considered from the date of
156 diagnosis to date of death, last visit or progression (leukemic transformation) to secondary
157 MCL or secondary SM-AML. As the MARS reflected the highest C-index, LFS analyses was
158 examined for this score, only. OS probabilities and LFS were estimated with the Kaplan-
159 Meier method and compared by the log-rank test in univariate analysis. For OS, a Cox
160 proportional hazards model with a stepwise selection procedure was used to select covariates,
161 based on their statistical significance ($P < .05$). Significant covariates were confirmed by
162 forward-selection and backward-elimination techniques. Based on of the magnitude of the
163 hazard ratios (HRs) obtained from multivariable analysis, a weighted score was assigned to
164 each significant variable for OS in the learning set. Bonferroni adjustments were made to
165 univariate analysis with no changes the multivariable models. The Wilcoxon-Mann-Whitney

166 U test was used to compare continuous variables and medians of distributions. Receiver
167 operating characteristic (ROC) curve was used to dichotomize continuous variables to define
168 optimal cut-off value for each variable used in univariate analyses. Harrell's concordance
169 index (C-index, on the basis of ROC) was used to evaluate the ability of the risk scores to
170 predict outcome (C-index measures the goodness-of-fit of a model, with 0.5 indicating no
171 discrimination and 1.0 indicating perfect prediction). For categorical variables, two patient
172 groups were compared with the Fisher's exact test. All tests were two-sided, retaining $P < .05$
173 as statistically significant.
174

175 **RESULTS**

176 **Characteristics**

177 The characteristics of the training set patients (n = 231) are listed in Table 1. The
178 median age was 69 years with a male predominance (68%). The WHO diagnosis was ASM (n
179 = 30, 13%), SM-AHN (n = 181, 78%), and MCL (\pm AHN) (n = 20, 9%), respectively. The
180 four most common AHN subtypes were CMML, MDS/MPN-U, CEL, and MDS. The median
181 leukocyte counts, hemoglobin level, and platelet counts were $8.3 \times 10^9/L$, 10.3 g/dL and $115 \times$
182 $10^9/L$, respectively, and the median serum tryptase level was 168 $\mu g/L$ (normal value < 11.4).
183 Treatment modalities included midostaurin, cladribine, and sequential midostaurin/cladribine
184 or cladribine/midostaurin in 111 (48%) patients. During a median follow-up of 2.2 years
185 (range 0-23), 118 (51%) patients died. Transformation to secondary MCL (43%) or secondary
186 AML (57%) was observed in 35 (15%) patients (Table 1).

187 No significant differences were seen between the training and validation (n = 152) sets
188 regarding e.g. gender, hemoglobin, platelets, alkaline phosphatase, leukemic transformation,
189 median follow-up, and number of deaths, respectively (Table 1). Patients in the training set
190 were significantly older (median 69 versus 65 years), ASM was less frequent (13% versus
191 30%) and SM-AHN was more frequent (78% versus 63%). More patients in the training set
192 were treated with midostaurin or sequential midostaurin/cladribine or cladribine/midostaurin
193 treatment regimens.

194 Importantly, the median OS and LFS were not significantly different between the
195 training and the validation sets (OS, 3.8 and 4.4 years; LFS 3.3 and 3.5 years; $P = .8$ and $P =$
196 $.9$, respectively; supplementary Figure 1A). In addition, no differences were seen regarding
197 OS within the four most common AHN's (supplementary Figure 3A-B) and between *KIT*
198 positive and *KIT* negative patients (supplementary Table 2).

199

200 **Gene Mutations**

201 In the training set, the *KIT* mutation status was as follows: *KIT* D816V (n = 214, 93%),
202 other *KIT* mutations (n = 6, 2%), *KIT* mutation negative (n = 11, 5%). The status of additional
203 mutations was assessed in 190/231 (82%) patients. At least one additional mutation was
204 observed in 82% of all patients. The most frequently affected genes (in $\geq 5\%$ of patients) were
205 *TET2* (n = 79, 42%), *SRSF2* (n = 75, 39%), *ASXL1* (n = 42, 22%), *RUNX1* (n = 34, 18%),
206 *JAK2* (n = 23, 12%), *N/KRAS* (n = 17, 9%), *CBL* (n = 17, 9%), *IDH1/2* (n = 9, 5%), *SF3B1* (n
207 = 9, 5%), and *EZH2* (n = 9, 5%). The presence of at least 1 and of ≥ 2 S/A/R mutation(s) was
208 documented in 105 (55%) patients and 43 (23%) patient, respectively (Table 2, Figure 1A-D).
209 An aberrant karyotype was detected in 27/168 (16%) patients.

210 With the exception of different numbers of patients without *KIT* mutation (5% versus
211 12%, respectively), no significant differences were observed between the training and the
212 validation sets (e.g., the number of S/A/R positive patients was comparable; Table 2).

213

214 **Prognostic Impact of the WHO Classification**

215 The WHO classification of AdvSM is of prognostic significance. In the training and the
216 validation sets, the median OS for ASM, SM-AHN and MCL (\pm AHN) was not reached
217 (training set) and 10.1 years (validation set), 3.6 and 2.9 years, and 0.8 and 0.5 years,
218 respectively. The WHO defined intermediate-risk category of SM-AHN (n = 275, 72%)
219 represents by far the largest group compared to the low-risk category of ASM (n = 77, 20%)
220 and the high-risk category of MCL (n = 31, 8%) (supplementary Figure 1B, supplementary
221 Figure 2A-B).

222

223 **Prognostic Impact of the S/A/R Gene Panel**

224 Stratification based on the presence and number of high molecular risk gene
225 mutation(s) (i.e. S/A/R) was of significant prognostic impact. In the training and the
226 validation sets, median OS was not reached and 10.1 years, 3.0 years and 4.3 years, and 1.5
227 years and 1.8 years for no mutation, 1 mutation, and ≥ 2 gene mutations in the S/A/R panel,
228 respectively. The three S/A/R-based risk groups were balanced as followed: low-risk, 154
229 (47%); intermediate-risk 102 (31%); and high-risk 73 (22%) (Figure 2A-B; supplementary
230 Figure 1C).

231

232 **Development and Validation of Clinical Risk Score for Advanced SM: CRS**

233 We applied a Cox proportional hazard model using the patients from the ‘German
234 Registry on Disorders of Eosinophils and Mast Cells’ in the training set (n = 231). In
235 univariate analyses, the model included the following variables: age > 60 years, sex, WHO
236 subtype, hemoglobin < 10 g/dL, platelets < $100 \times 10^9/L$, mast cell infiltration in BM histology
237 > 30%, serum tryptase > 150 $\mu g/L$, albumin < 35 g/dL, alkaline phosphatase > upper normal
238 limit (UNL), and splenomegaly (palpable or radiographic, yes/no). The multivariable analysis
239 identified four independent predictors of survival: age > 60 years (HR 3.2, confidence interval
240 [CI] 1.8-5.9, $P < .0001$), hemoglobin < 10 g/dL (HR 2.0, CI 1.3-3.0, $P = .002$), platelets < 100
241 $\times 10^9/L$ (HR 1.7, CI 1.1-2.6, $P = .01$) and alkaline phosphatase > UNL (HR 1.8, CI 1.1-2.9, P
242 = .03). For assignment of individual scores, we divided the HR value of each variable by the
243 median value of the regression coefficients of all variables in the final model (rounded to
244 nearest 0.5 point). Accordingly, a weighted score of 1 was assigned to hemoglobin < 10 g/dL,
245 platelets < $100 \times 10^9/L$, and alkaline phosphatase > UNL, whereas a score of 1.5 was assigned
246 to age > 60 years. On this basis, we generated the CRS: low-risk, 0 to 1.5; intermediate risk 2
247 to 2.5; high-risk, 3 to 4.5. The model was then applied to the validation cohort (Table 4).

248 The median OS for the training set and the validation set was not reached (training set)
249 and 12.2 years (validation set), 3.8 and 4.3 years, 2.6 and 1.8 years, for low-risk (n = 98,
250 28%), intermediate-risk (n = 111, 32%), and high-risk (n = 136, 39%), respectively (Table 4,
251 Figure 2C-D; supplementary Figure 1D).

252

253 **Development and Validation of Mutation-Adjusted Risk Score: MARS**

254 To appreciate the value of adding molecular information to the CRS, we applied a Cox
255 proportional hazards model among patients for whom mutation status (including S/A/R gene
256 status) was available (training set, n = 191). The model was started by considering the same
257 variables using in developing the CRS and included the presence and number of high
258 molecular risk gene mutations: zero, one or ≥ 2 S/A/R mutation(s).

259 Table 3 summarizes the results of univariate and multivariable analyses in the training
260 set. The multivariable model identified five independent predictors of survival: age > 60 (HR
261 2.4, CI 1.4-5.0, $P < .003$), hemoglobin < 10 g/dL (HR 2.0, CI 1.3-3.0, $P = .002$), platelets <
262 $100 \times 10^9/L$ (HR 1.7, CI 1.1-2.5, $P = .02$), S/A/R 1 mutation (HR 2.5, CI 1.6-4.5, $P < .0001$),
263 and S/A/R ≥ 2 mutations (HR 4.4, CI 2.1-7.3, $P < .0001$). For assignment of individual scores,
264 we divided the HR value of each variable the median value of the regression coefficients of
265 all variables in the final model (rounded to nearest 0.5 point). Accordingly, a weighted score
266 of 1 was assigned to age > 60 years, hemoglobin < 10 g/dL, platelets < $100 \times 10^9/L$, and
267 S/A/R 1 mutation, whereas a score of 2 was assigned to S/A/R ≥ 2 mutations. These weighted
268 scores were used to generate three risk groups which comprise the MARS: low-risk, 0 to 1;
269 intermediate risk, 2; high-risk, 3 to 5. The model was then applied to the validation cohort.
270 Table 4 describes the OS of the combined training and validation sets for the CRS and the
271 MARS.

272 The median OS for the training and the validation sets was not reached (training set)
273 and 12.2 years (validation set), 3.9 years and 4.4 years, 1.9 years and 1.9 years, for low-risk (n
274 = 103, 31%), intermediate-risk (n = 86, 26%), and high-risk patients (n = 140, 43%),
275 respectively (Table 4, Figure 2E-F and Figure 2G).

276 The MARS was also predictive for LFS. The median LFS for the training and the
277 validation sets was not reached (training set) and 11 years (validation set), 3.9 and 3.9 years,
278 and 1.5 and 1.4 years, for low-risk, intermediate-risk, and high-risk, respectively (Figure 2H,
279 supplementary Figure 2C-D, and supplementary Table 1).

280

281 **Comparison of WHO, CRS and MARS**

282 On basis of ROC curve analyses, the C-index was 0.42 for the WHO classification, 0.73
283 for the CRS, and 0.81 for the MARS (Figure 1F). For better comparison of the C-index
284 between the four stratification tools (WHO, S/A/R, CRS, and MARS), we included the same
285 samples (with fully available data-set from the training set, n = 190) across all rules. We
286 established a cross table illustrating the distribution of AdvSM patients in the new scoring
287 system (rows Figure 1E) in comparison to the WHO classification (colors within each row in
288 Figure 1E). Figure 1E illustrates significant risk redistributions when using MARS across the
289 WHO classification. Particularly, the large SM-AHN (n = 237, 72% of all patients) cohort
290 defined as intermediate-risk according to the WHO classification was reclassified as low-risk
291 (n = 60, 25%), intermediate-risk (n = 64, 27%), and high-risk (n = 113, 48%) by the MARS.
292 In ASM and MCL (\pm AHN), 38% (n = 24) and 83% (n = 25) were represented in the
293 intermediate-risk or high-risk MARS categories, respectively. The significant advantages of
294 MARS in comparison to CRS were i) the enhanced stratification regarding OS within all three
295 risk groups, especially of the intermediate-risk and high-risk groups (Figure 2C-D, E-F and
296 supplementary Figure 1D) and ii) the prediction of LFS since S/A/R positivity (included in

297 the MARS) at initial diagnosis is significantly associated with transformation to secondary
298 MCL and AML. Seventy percent (n = 42) of all patients with leukemic transformation and
299 available S/A/R status (n = 60) had at least one S/A/R mutation at initial diagnosis.

300 **DISCUSSION**

301 In clinical practice, the 2016 WHO classification of SM is widely used for prognostic
302 purposes due to the lack of validated international risk scores. Although it robustly
303 distinguishes indolent SM from AdvSM, its value for stratification within the various
304 subtypes of AdvSM (OS: ASM > SM-AHN > MCL) remains suboptimal for three main
305 reasons: i) the clinical and histological heterogeneity represented by the various subtypes of
306 AdvSM, ii) the imbalance of the various subtypes, with SM-AHN representing 70-80% of
307 patients, and ASM and MCL representing only 20-30% of individuals, and iii) the wide range
308 of survival times within the subtypes of AdvSM, and particularly within the SM-AHN variant
309 between a few months and several years.^{7,8,11,16,17} Therefore, the main goal of the current
310 study was to devise and validate a new WHO independent risk score for patients with AdvSM
311 which integrates objective clinical and mutation characteristics.

312 The current analysis corroborates the prognostic value of the previously identified high
313 molecular risk gene mutations,^{8,9,13,14,18} especially the negative impact of S/A/R. The presence
314 and number of gene mutations in the S/A/R panel had a strong adverse impact on OS in both
315 the training set and the validation set. The three genes (S/A/R) are among the top five most
316 frequent mutations observed in AdvSM (but also other myeloid neoplasms)¹⁹⁻²¹ and allow a
317 balanced stratification into three risk cohorts.

318 Next, we established a clinical risk score (CRS) by defining four easily accessible and
319 objective parameters based on multivariable analyses: age > 60 years, anemia (hemoglobin <
320 10 g/dL), thrombocytopenia (platelets < 100 x 10⁹/L), and elevated alkaline phosphatase (>
321 UNL). As illustrated in Figure 2C-D and supplementary Figure 1D, LFS and OS were
322 significantly different among the three risk groups. The prognostic impact of the CRS was
323 confirmed in the validation set. The C-index was comparable with the S/A/R-based
324 stratification (0.73 versus 0.74).

325 Finally, we combined the clinical and molecular data and generated the MARS. In
326 multivariable analyses, age > 60 years, anemia (hemoglobin < 10 g/dL), thrombocytopenia
327 (platelets < 100 x 10⁹/L), 1 S/AR mutation, and ≥ 2 S/A/R mutations were independent
328 predictors for OS. Based on these five parameters, a simple risk scoring system was
329 established for OS. The MARS was confirmed in the validation set and categorizes AdvSM
330 patients into three groups of significant size. OS was not reached, 4.3 and 1.9 years for
331 AdvSM patients with low-risk, intermediate-risk, and high-risk, respectively. According to
332 the C-index (0.81), the MARS improves the prediction of OS as compared to the WHO
333 classification (C-index 0.42) and the CRS (0.73), especially for the intermediate-risk and
334 high-risk groups, and uses clinical and molecular data which are now commonly available.
335 S/A/R positivity at initial diagnosis, which is the backbone of the MARS, is significantly
336 associated with secondary leukemic transformation (MCL and AML) and therefore the
337 MARS is also predictive for LFS.

338 Some recently published risk scores from our own group and from others also
339 included variables such as anemia, thrombocytopenia, elevated alkaline phosphatase and high
340 molecular risk gene mutations.^{10,22} The pivotal strengths of the current analyses include i)
341 indolent SM was excluded in the prognostic models as it has *per se* a nearly normal life
342 expectancy, ii) the highest number of clinically, morphologically and genetically well
343 characterized AdvSM patients ever reported, iii) most patients had access to targeted
344 treatment modalities such as midostaurin, iv) the vast majority of patients of the training set
345 were diagnosed through fully centralized pathology and genetic analyses, and v) the
346 homogenous mutation profile (clinical and outcome characteristics) of the training set and the
347 large and independent validation set (derived from centers with expertise in mastocytosis),
348 particularly regarding the individual frequency of gene mutations in the S/A/R panel.

349 Although there are no data from clinical trials, the MARS may become useful for
350 guiding selection of, and predicting response to therapies. Previous data have shown that the
351 multikinase/KIT-inhibitor midostaurin has disease modifying activity in AdvSM with
352 sustained responses and more favorable outcome in patients with absence of mutations in the
353 S/A/R gene panel and at least 25% reduction of the *KIT* D816V expressed allele burden after
354 6 months of therapy.^{9,16,23,24} As the MARS low-risk cohort reflects the majority of these
355 patients, midostaurin may be an optimal choice for these individuals. The generally poor
356 prognosis of MARS intermediate- and high-risk patients may predict less robust responses
357 with currently available therapies, including midostaurin monotherapy, highlighting the need
358 for disease-modifying treatments in these higher risk cohorts.^{9,16,23-25} Because of the
359 significantly higher rates of leukemic transformation and inferior survival, more intensive
360 treatment, e.g. combination therapies with midostaurin that also target the AHN, or use more
361 potent and selective second generation *KIT* D816V inhibitors, followed by allogeneic stem
362 cell transplantation (SCT) in eligible candidates, should be taken into consideration in these
363 patients. In the largest, yet reported cohort of 57 AdvSM patients undergoing allogeneic SCT,
364 treatment-related mortality was generally similar to other hematological neoplasms. Important
365 details included the superior outcome of myeloablative vs. dose-reduced conditioning and the
366 heterogenous survival within AdvSM, being significantly better in SM-AHN as compared to
367 ASM or MCL, respectively. However, more data is warranted, preferably generated in
368 national and international registries upon the key questions regarding optimal timing,
369 debulking and conditioning strategies.

370 We conclude that the WHO classification remains the pivotal diagnostic tool for
371 subtyping of SM into indolent SM and AdvSM. The MARS is a WHO independent and
372 complementary tool for the heterogeneous cohort of patients with AdvSM by defining three
373 risk groups based on a five-parameter risk score which may improve upfront treatment

374 stratification for these rare hematologic neoplasms.

375 **AUTHORS' DISCLOSURES OF POTENTIAL CONFLICTS OF INTEREST**

376

377

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379

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391 C.P. Cross, Wolfgang R. Sperr, Peter Valent, Jason Gotlib, Alberto Orfao, Andreas Reiter

392 **Manuscript writing:** All authors

393 **Final approval of manuscript:** All authors

394 **Accountable for all aspects of work:** All authors

395

396

397 **SUPPORT**

398

399 MJ is supported by the 'Deutsche José Carreras Leukämie-Stiftung' (DJCLS 01 R/2018) and
400 the SEED program of the Mannheim Medical Faculty, Heidelberg University, Heidelberg,
401 Germany; WRS and PV are supported by the Austrian Science Fund (FWF) grant SFB
402 F4704-B20. IAT, JMG, AGM and AO are supported by grants from the Instituto de Salud
403 Carlos III (ISCIII) and fondos FEDER (PI16/00642; CIBERONC, CB16/12/00400) Madrid,
404 Spain. JG is supported by the Charles and Ann Johnson Foundation.

405

406 **PRIOR PRESENTATION**

407

408 Presented at the 60th Annual Meeting of the American Society of Hematology, San Diego,
409 CA, December 1-4, 2018

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- 472

473 **FIGURE**

474

475 **Figure 1.** Mutational profile, categorization of patients according to mutation-adjusted risk
476 score (MARS) of advanced systemic mastocytosis versus the World Health Organization
477 (WHO) classification, and the performance of the scores. Relative frequency distribution of
478 (A) *KIT* mutations, (B) number of affected genes in addition to *KIT*, (C) mutations in addition
479 to *KIT*, and (D) gene mutations in the ^a*SRSF2*, *ASXLI*, *RUNXI* (S/A/R) panel of the training
480 set. (E) colored bars represent the WHO risk stratification (x-axis) in the context of the
481 stratification based on the MARS (represented by the rows). (F) Shown is the C-index (to
482 evaluate the ability of the prognostic scores to predict outcome, 0.5 indicating no
483 discrimination and 1.0 indicating perfect prediction) of WHO based stratification, S/A/R
484 mutation based stratification, clinical risk score (CRS), and MARS. ASM, aggressive SM;
485 MCL, mast cell leukemia; SM-AHN; SM with an associated neoplasm. ^b The MCL cohort
486 included patients with MCL and MCL-AHN.

487

488 **Figure 2.** Overall survival (OS) for the training set (left) and the validation set (right) of
489 advanced systemic mastocytosis (AdvSM) patients. Patients in both sets are grouped by (A-B)
490 ^a *SRSF2*, *ASXLI*, *RUNXI* (S/A/R) mutation based stratification, (C-D) the clinical risk score
491 (CRS), and (E-F) the mutation-adjusted risk score (MARS). (G-H) OS and leukemia-free
492 survival of all AdvSM patients (training + validation) by MARS is shown.

Table 1. Baseline Clinical and Laboratory Characteristics in Training and Validation Sets of Patients With Advanced Systemic Mastocytosis (AdvSM)

Characteristics	Training (n = 231)	Validation (n = 152)	<i>P</i>
Age, years			
Median	69	65	.003
Range	24-90	22-92	
Sex, n (%)			
Men	156 (68)	92 (61)	.2
Women	75 (32)	60 (39)	.2
WHO diagnosis, n (%)			
ASM	30 (13)	46 (30)	< .0001
SM-AHN	181 (78)	95 (63)	.001
MCL (± AHN)	20 (9)	11 (7)	.7
AHN subtypes, n (%)			
CMML	57 (29)	22 (23)	.3
MDS/MPN-U	50 (26)	12 (13)	.01
CEL	34 (18)	11 (11)	.2
MDS	30 (16)	17 (18)	.7
Others ^a	22 (11)	34 (35)	.001
Leukemic transformation, n (%)	35 (15)	28 (18)	.4
Secondary MCL (± AHN)	15 (43)	5 (18)	
Secondary SM-AML	20 (57)	23 (82)	
Time to transformation, years			
Median	1.6	1.6	
Range	0.2-5.9	0.1-11.1	
Hemoglobin, g/dL			
Median	10.3	10.7	.3
Range	5.7-20.5	4-18.1	
< 10 g/dL, n (%)	100 (46)	59 (40)	.4
Leukocytes, x 10 ⁹ /L			
Median	8.3	7.4	.4
Range	1.3-124.0	0.6-191.0	
Platelets, x 10 ⁹ /L			
Median	115	125	.7
Range	5-958	6-486	
< 100 x 10 ⁹ /L, n (%)	94 (43)	62 (42)	.8
Mast cell infiltration in BM histology, (%)			
Median	30	20	.7
Range	5-100	5-90	
Serum tryptase, µg/L			
Median	168	159	.7
Range	15-1854	2-2036	
Albumin, g/L			
Median	37	40	.6
Range	16-48	26-57	
Alkaline phosphatase ^b , U/L			
Median	180	155	.3
Range	35-1928	28-1074	
> UNL, n (%)	128 (65)	85 (61)	.5
Splenomegaly ^c , n (%)	171 (74)	83 (60)	.007
Treatment modalities, n (%)			
Midostaurin	56 (24)	17 (12)	.001
Cladribine	20 (9)	23 (15)	.07
Midostaurin + cladribine ^d	35 (15)	8 (5)	.003
Follow-up, years			
Median	2.2	2.1	.7
Range	0-23	0-23	
Death, n (%)	118 (51)	76 (50)	

Abbreviations: AHN, associated hematologic neoplasm; ASM, aggressive systemic mastocytosis; BM, bone marrow; CEL, chronic eosinophilic leukemia; CMML, chronic myelomonocytic leukemia; MDS, myelodysplastic syndrome; MPN, myeloproliferative neoplasm; MDS/MPN-U, MDS/MPN unclassifiable; MCL, mast cell leukemia; UNL, upper normal limit; WHO, World Health Organization.

^a acute myeloid leukemia, primary myelofibrosis, polycythemia vera, essential thrombocythemia, chronic myeloid leukemia, indolent lymphoma, and myeloma

^b data available in n = 197 (training) and n = 140 (validation), respectively

^c palpable or radiographic

^d sequential midostaurin/cladribine or cladribine/midostaurin treatment

Table 2. Genetic Characteristics in Training and Validation Sets of Patients With Advanced Systemic Mastocytosis (AdvSM)			
Characteristics	Training (n = 231)	Validation (n = 152)	<i>P</i>
Driver mutation, n (%)			
<i>KIT</i> D816V	214 (93)	126 (88) ^b	.1
Other <i>KIT</i> mutations	6 (2) ^a	-	
No <i>KIT</i> mutations	11 (5)	18 (12)	.009
Additional somatic mutations ^c , n (%)			
<i>TET2</i>	79 (42)	58 (42)	1.0
<i>SRSF2</i>	75 (39)	45 (32)	.2
<i>ASXL1</i>	42 (22)	24 (17)	.3
<i>RUNX1</i>	34 (18)	32 (23)	.3
<i>JAK2</i>	23 (12)	2 (9) ^d	1.0
<i>N/KRAS</i>	17 (9)	5 (6) ^e	.6
<i>CBL</i>	17 (9)	8 (10) ^e	.8
<i>IDH1/2</i>	9 (5)	6 (7) ^e	.4
<i>SF3B1</i>	9 (5)	9 (8) ^f	.3
<i>EZH2</i>	9 (5)	8 (7) ^f	.4
S/A/R ^g mutation(s), n (%)	105 (55)	70 (50)	.4
≥ 2 S/A/R mutations	43 (23)	30 (22)	.9
Aberrant karyotype ^h , n (%)	27 (16)	19 (22)	.2
^a <i>KIT</i> D816H, n = 3; <i>KIT</i> D816Y, n = 2; <i>KIT</i> F522C, n = 1 ^b <i>KIT</i> status available in n = 144 ^c Most frequently affected genes (in ≥ 5% of patients); data available in n = 190 (training) and n = 139 (validation) ^d data available in n = 23 ^e data available in n = 82 ^f data available in n = 115 ^g ≥ 1 gene mutation(s) in <i>SRSF2</i> , <i>ASXL1</i> and/or <i>RUNX1</i> (S/A/R) panel ^h data available in n = 168 (training) and n = 85 (validation)			

Table 4. Clinical Risk Score (CRS) and Mutation-Adjusted Risk Score (MARS)
in Patients With Advanced Systemic Mastocytosis (AdvSM) – Proposal for Scoring Systems and Overall Survival (OS)

Characteristics	CRS	MARS	Category (n) (score range)	CRS	Category (n) (score range)	MARS
	Prognostic Points	Prognostic Points		Median (range) OS (years)		Median (range) OS (years)
Age > 60 years	1.5	1	Low (98)	N.R.	Low (103)	N.R.
Hemoglobin < 10 g/dL	1	1	(0-1.5)		(0-1)	
Platelets < 100 x 10 ⁹ /L	1	1	Intermediate (111)	3.9	Intermediate (86)	4.3
Alkaline phosphatase > UNL	1	-	(2-2.5)	(2.7-5.1)	(2)	(3.2-5.4)
S/A/R ^a (1 mutation)	-	1	High (136)	2.5	High (140)	1.9
S/A/R ^b (≥ 2 mutations)	-	2	(3-4.5)	(1.8-3.1)	(3-5)	(1.6-2.3)

Abbreviations: N.R., not reached; UNL, upper normal limit

^a 1 gene mutation in *SRSF2*, *ASXL1* and/or *RUNX1* (S/A/R) panel

^b ≥ 2 gene mutations in the S/A/R panel

Table 3. Univariate and Multivariable Overall Survival (OS) Analysis in Training Set Based on Clinical and Molecular Characteristics (Mutation-Adjusted Risk Score, MARS) in Patients With Advanced Systemic Mastocytosis (AdvSM)

Characteristics	MARS					
	Univariate			Multivariable		
	HR	95% CI	<i>P</i>	HR	95% CI	<i>P</i>
Age > 60 years	3.4	2.0-5.8	< .0001	2.4	1.4-5.0	.003
Sex (men vs. women)	1.7	1.1-2.5	.02			
WHO						
SM-AHN vs. ASM	2.3	1.3-4.0	.004			
MCL vs. SM-AHN	2.9	1.5-5.8	.002			
MCL vs. ASM	3.4	2.0-5.9	< .0001			
Hemoglobin < 10 g/dL	2.4	1.6-3.5	< .0001	2.0	1.3-3.0	.002
Platelets < 100 x 10 ⁹ /L	2.4	1.6-3.5	< .0001	1.7	1.1-2.5	.017
Mast cell infiltration ^a > 30%	1.3	0.8-1.9	.3			
Serum tryptase > 150 µg/L	1.7	1.1-2.5	.02			
Albumin < 35 g/L	1.9	1.3-3.0	.002			
Alkaline phosphatase > UNL	2.6	1.6-4.1	< .0001			
Splenomegaly	2.0	1.0-4.2	.05			
S/A/R ^b (1 mutation)	4.3	2.7-6.9	< .0001	2.5	1.6-4.5	< .0001
S/A/R ^c (≥ 2 mutations)	7.6	3.5-9.9	< .0001	4.4	2.1-7.3	< .0001
Aberrant karyotype	1.5	0.9-2.5	.1			

Abbreviations: AHN, associated hematologic neoplasm; ASM, aggressive systemic mastocytosis; BM, bone marrow; CI, confidence interval; MCL, mast cell leukemia; NR, not reached; vs., versus; UNL, upper normal limit; WHO, World Health Organization

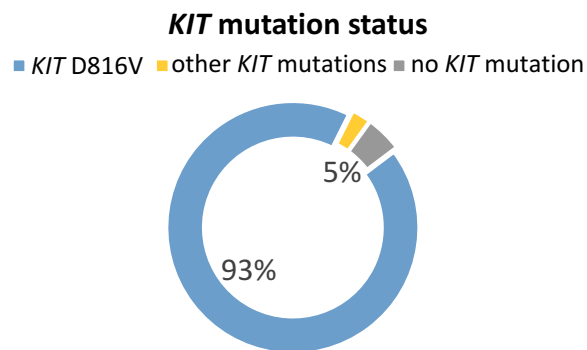
^a Mast cell infiltration in bone marrow histology

^b 1 gene mutation in *SRSF2*, *ASXL1* and/or *RUNX1* (S/A/R) panel

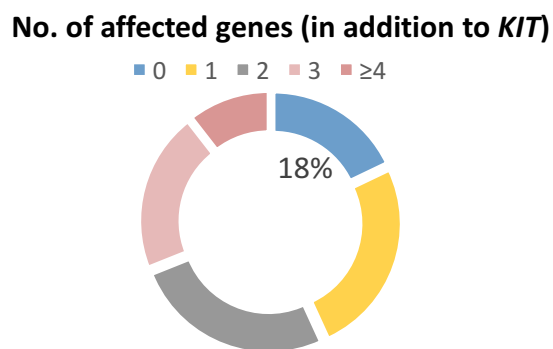
^c ≥ 2 gene mutations in the S/A/R panel

Figure 1

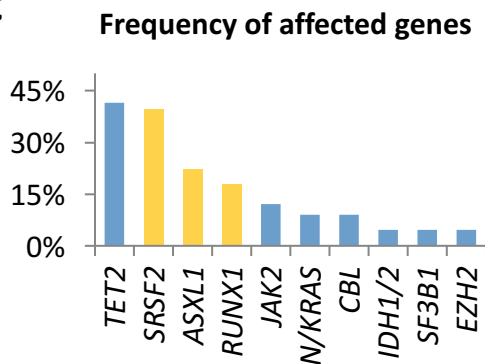
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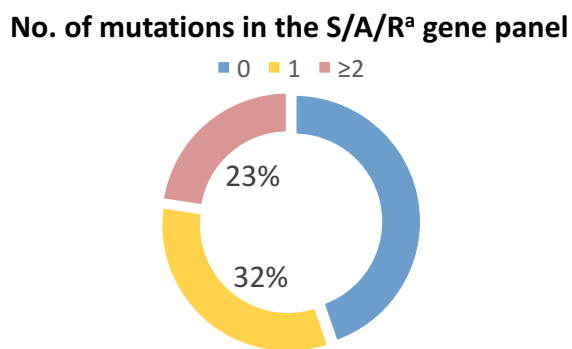
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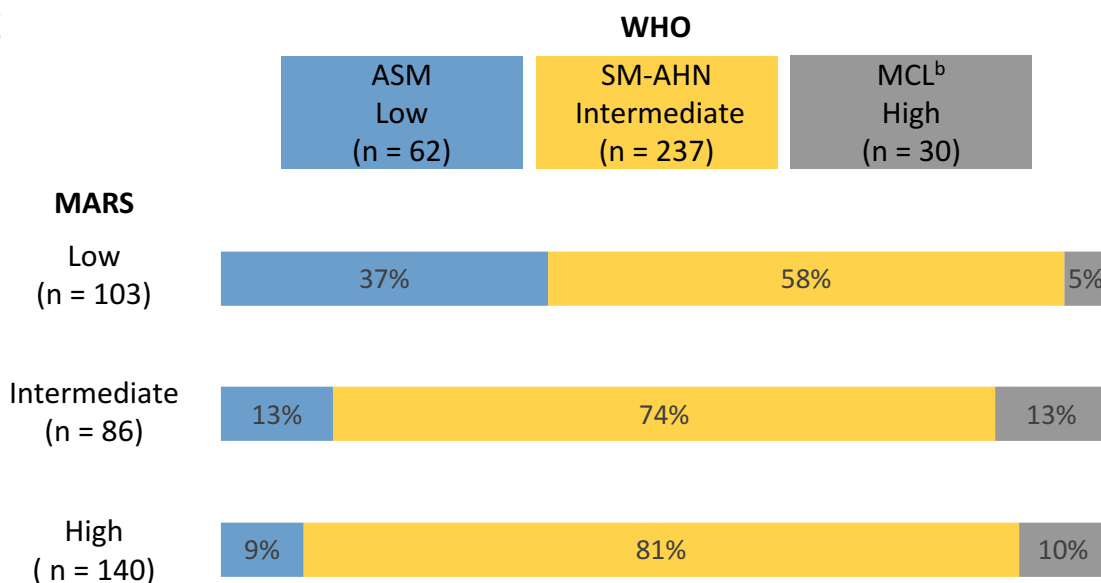
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E



F

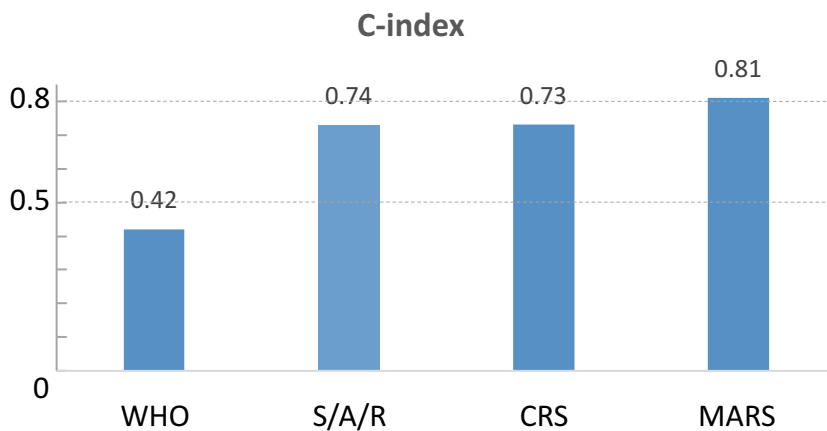
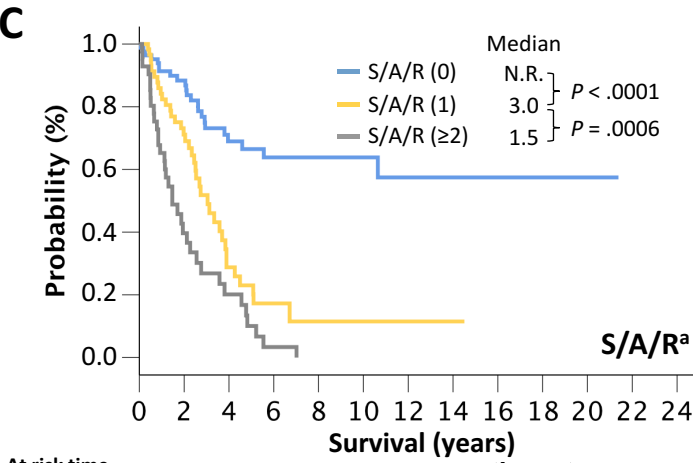


Figure 2

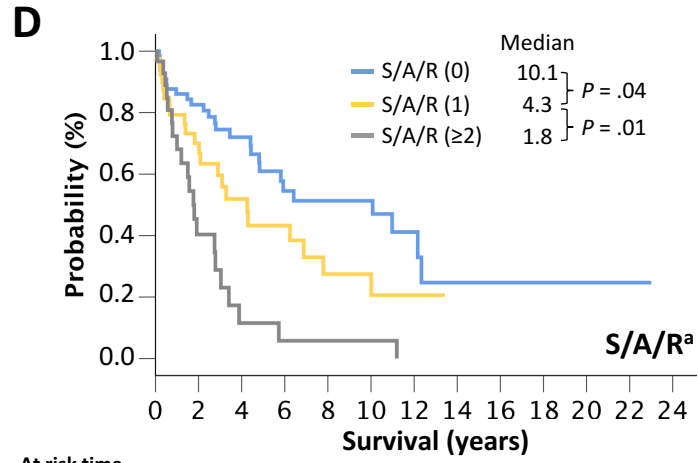
Training

Validation



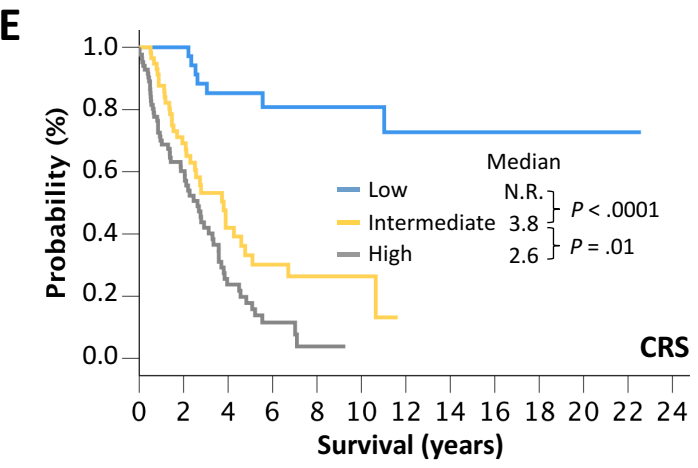
At risk time

S/A/R (0)	85	57	33	21	14	11	6	5	4	2	2
S/A/R (1)	62	34	10	5	2	1	1	1			
S/A/R (≥ 2)	43	13	6	1							



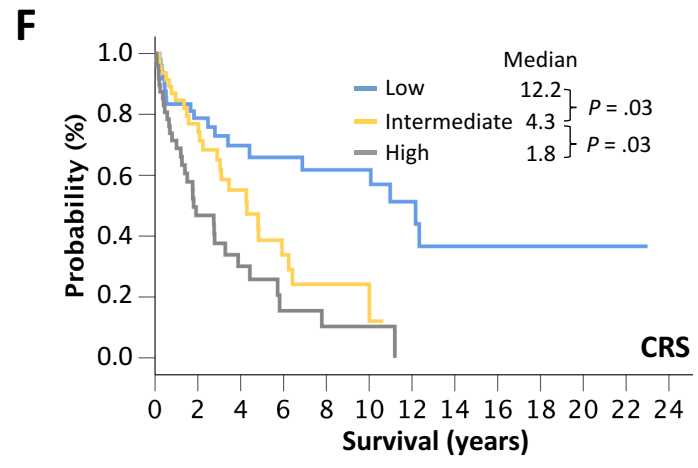
At risk time

S/A/R (0)	69	47	27	17	14	12	6	3	3	3	1	1
S/A/R (1)	40	21	13	9	5	4	1					
S/A/R (≥ 2)	30	8	2	1	1	1						



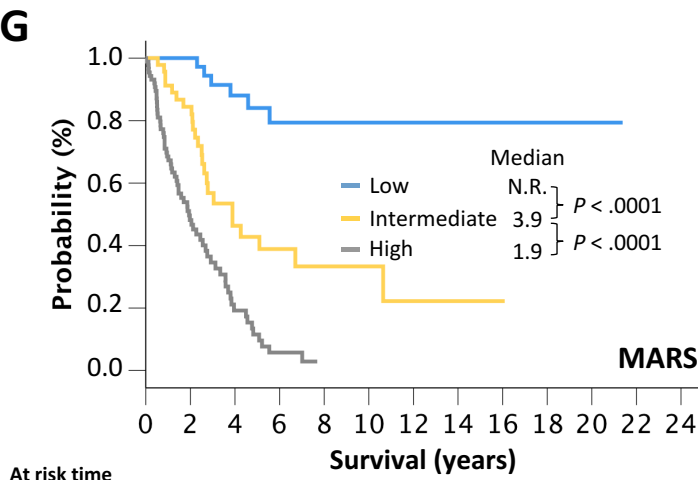
At risk time

Low	48	35	25	17	13	10	7	6	4	3	3	1
Intermediate	63	34	15	9	4	3						
High	85	39	13	4	1							



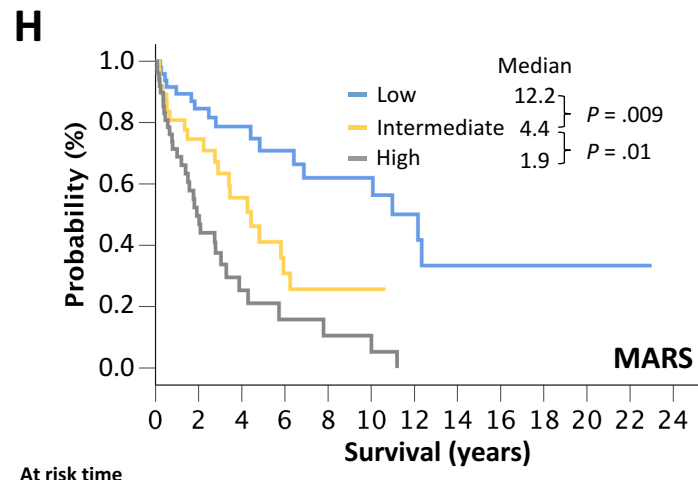
At risk time

Low	50	33	19	16	14	13	8	4	4	4	1	1
Intermediate	48	28	15	7	3	2						
High	51	17	7	3	2	2						



At risk time

Low	53	37	26	16	12	9	5	4	3	2	2
Intermediate	48	35	13	8	4	3	2	2	1		
High	89	32	10	3							

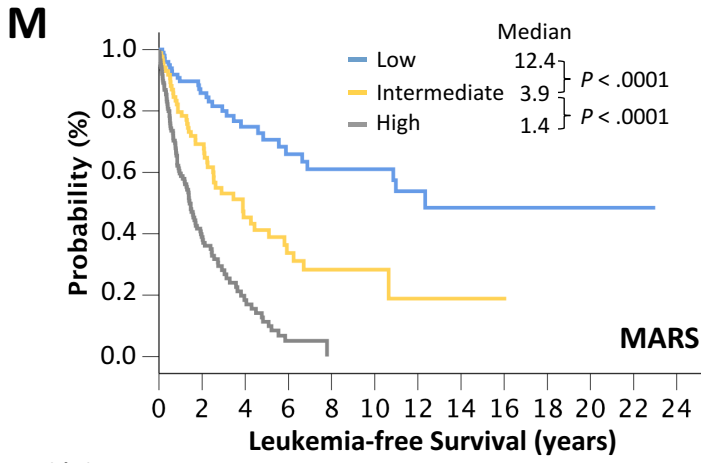
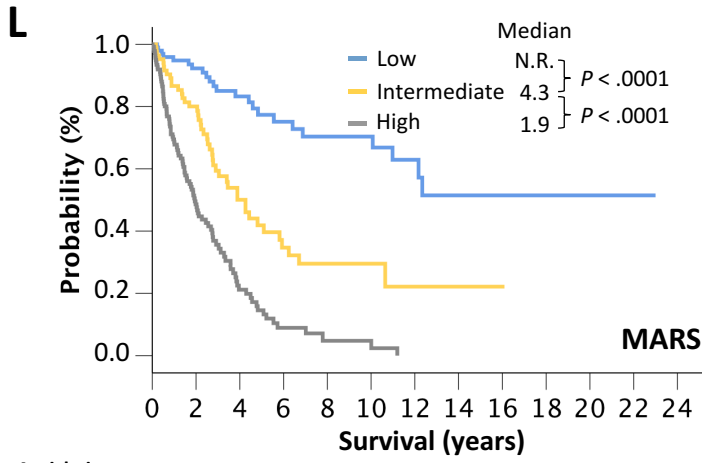


At risk time

Low	50	35	21	16	12	11	7	3	3	3	1	1
Intermediate	38	21	13	6	4	3						
High	51	18	6	3	2	2						

Figure 2

Training + Validation



At risk time

Low	103	72	47	32	24	20	12	7	6	5	3	1
Intermediate	86	56	26	14	8	6	2	2	1			
High	140	50	16	6	2	2						

At risk time

Low	103	66	41	27	21	18	11	7	6	5	3	1
Intermediate	86	48	23	13	7	5	2	2	1			
High	140	41	13	3								

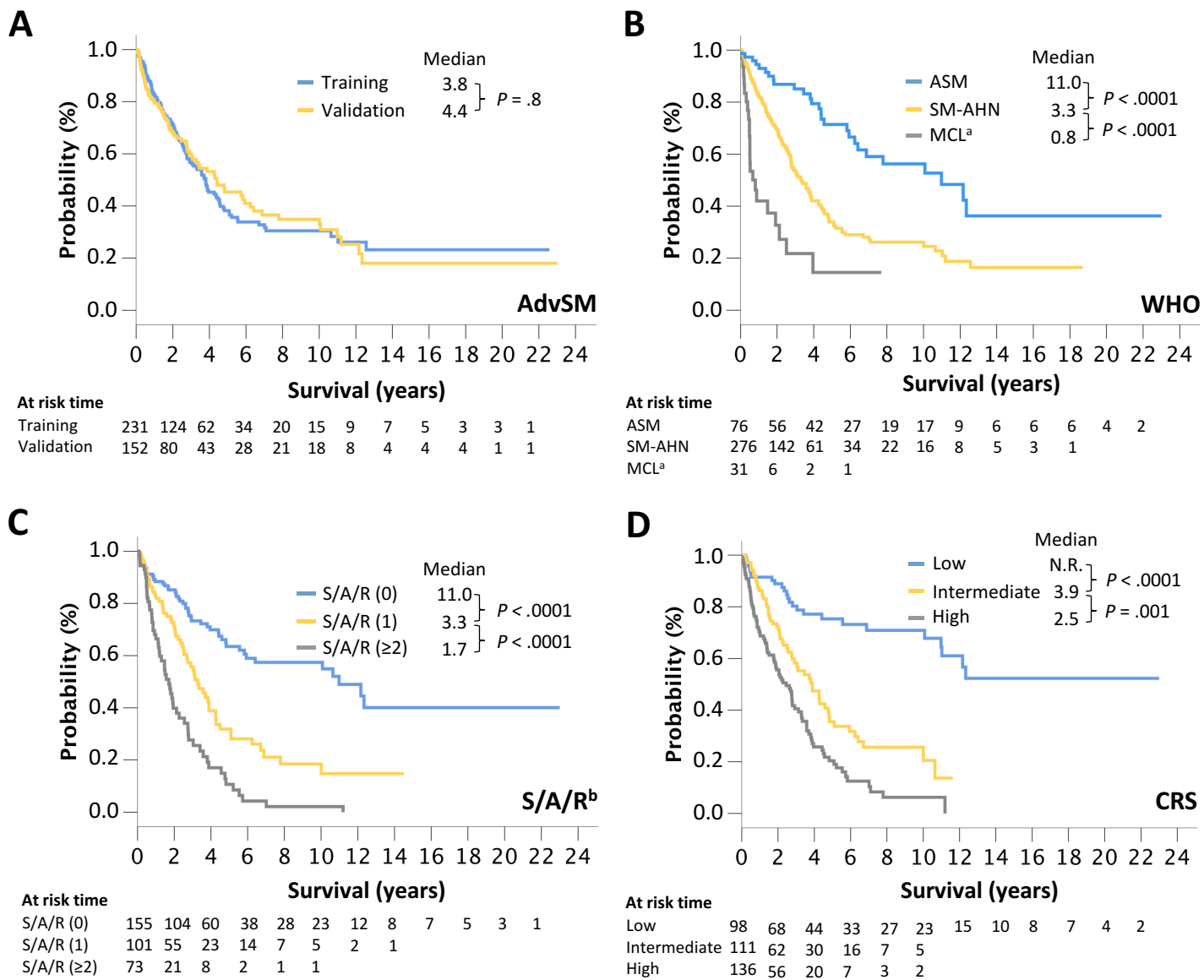
Supplementary Table 1. Clinical Characteristics and Outcome Stratified in Low-, Intermediate-, and High-risk According to the Mutation-Adjusted Risk Score (MARS) for Patients With Advanced Systemic Mastocytosis (Including Both, Training and Validation Sets)

Characteristics	MARS			<i>P</i> 1 vs. 2	<i>P</i> 1 vs. 3	<i>P</i> 2 vs. 3
	Low-risk (1) (n = 103)	Intermediate-risk (2) (n = 86)	High-risk (3) (n = 140)			
WHO diagnosis, n (%)						
ASM	38 (37)	11 (13)	13 (9)	< .0001	< .0001	.5
SM-AHN	60 (58)	64 (74)	113 (81)	.02	< .0001	.3
MCL (± AHN)	5 (5)	11 (13)	14 (10)	.07	.02	.5
Mast cell infiltration ^a , (%)						
Median	20	30	30	.8	.6	.9
Range	5-100	5-100	5-95			
Serum tryptase, µg/L						
Median	105	168	188	.08	.001	.1
Range	2-1970	4-2036	5-1854			
Alkaline phosphatase, U/L						
Median	107	151	234	.046	< .0001	< .0001
Range	28-639	35-1928	35-1279			
Treatment modalities, n (%)						
Midostaurin	19 (18)	11 (13)	32 (23)	.3	.4	.08
Cladribine	13 (13)	12 (14)	14 (10)	.8	.5	.4
Midostaurin + Cladribine ^b	6 (6)	11 (13)	24 (17)	.1	.01	.5
Death, n (%)	23 (22)	44 (51)	98 (70)			
Leukemia-free survival, years						
Median	12.4	3.9	1.4	< .0001	< .0001	< .0001
95% CI	-	2.4-5.5	1.1-1.7			
Overall survival, years						
Median	NR	4.3	1.9	< .0001	< .0001	< .0001
95% CI		3.2-5.4	1.6-2.3			
Abbreviations: AHN, associated hematologic neoplasm; ASM, aggressive systemic mastocytosis; BM, bone marrow; CI, confidence interval; MCL, mast cell leukemia; NR, not reached; vs., versus; UNL, upper normal limit; WHO, World Health Organization						
^a in bone marrow histology						
^b sequential midostaurin/cladribine or cladribine/midostaurin treatment						

Supplementary Table 2. Comparison Between <i>KIT</i> Positive and <i>KIT</i> Negative Patients With Advanced Systemic Mastocytosis (AdvSM) Regarding Baseline Clinical, Laboratory, and Genetic Characteristics			
Characteristics	<i>KIT</i> positive (n = 346)	<i>KIT</i> negative (n = 29)	<i>P</i>
Age, years			
Median	67	60	.001
Range	24-90	22-85	
Sex, n (%)			
Men	226 (65)	16 (55)	.3
Women	120 (35)	13 (45)	.3
WHO diagnosis, n (%)			
ASM	73 (21)	4 (14)	.5
SM-AHN	250 (72)	17 (59)	.1
MCL (\pm AHN)	23 (7)	8 (28)	.001
Leukemic transformation, n (%)	55 (16)	4 (14)	1.0
Hemoglobin, g/dL			
Median	10.4	11.0	.5
Range	4-20.5	7.4-15.1	
< 10 g/dL, n (%)	147 (44)	8 (30)	.2
Platelets, $\times 10^9/L$			
Median	116	128	.9
Range	5-958	18-486	
< 100 $\times 10^9/L$, n (%)	141 (43)	11 (41)	.8
Mast cell infiltration in BM histology, (%)			
Median	30	25	.8
Range	5-100	5-80	
Serum tryptase, $\mu g/L$			
Median	170	55	.06
Range	4-2036	2-926	
Alkaline phosphatase, U/L			
Median	179	91	.002
Range	28-1928	52-377	
Additional somatic mutations ^a , n (%)	242 (81)	11 (46)	< .0001
S/A/R mutation(s), n (%)	164 (55)	4 (17)	< .0001
Overall survival			
Median, years	3.9	4.3	
95% CI	3.1-4.6	3.1-5.4	
Abbreviations: AHN, associated hematologic neoplasm; ASM, aggressive systemic mastocytosis; BM, bone marrow; MCL, mast cell leukemia; WHO, World Health Organization.			
^a data available in n = 298 (<i>KIT</i> positive) and n = 24 (<i>KIT</i> negative)			

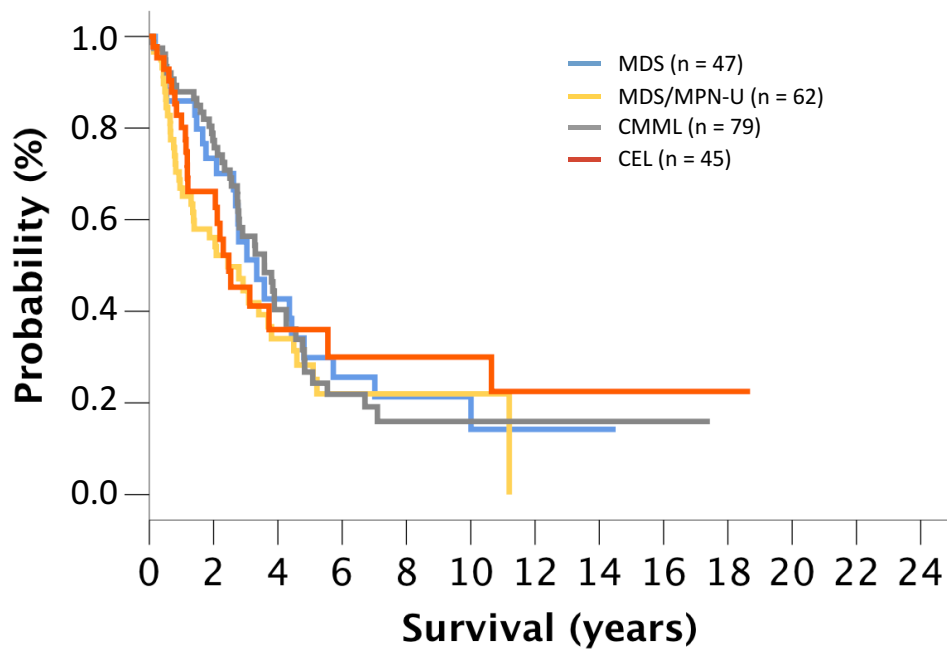
Supplementary Figure 1

Training + Validation



Supplementary Figure 1. Overall survival (OS) and leukemia-free survival (LFS) of all advanced systemic mastocytosis (AdvSM) patients (training + validation sets) grouped by (A) AdvSM (comprises all AdvSM subtypes, aggressive SM [ASM], SM with an associated hematologic neoplasm [SM-AHN], and mast cell leukemia [MCL]), (B) World Health Organization (WHO) based stratification, (C) *SRSF2*, *ASXL1*, *RUNX1* (S/A/R)^b mutation based stratification, and (D) the clinical risk score (CRS). ^a The MCL cohort included patients with MCL-AHN.

Supplementary Figure 2



Supplementary Figure 2. Overall survival of 233 advanced systemic mastocytosis (AdvSM) patients with SM and an associated hematologic neoplasm (SM-AHN; myelodysplastic syndrom, MDS, n = 47; MDS/myeloproliferative neoplasm unclassifiable, MDS/MPN-U, n = 62; chronic myelomonocytic leukemiam CMML, n = 79; chronic eosinophilic leukemia CEL, n = 45).