

1 Article

# 2 Low Levels of Low Density Lipoprotein Cholesterol 3 and Mortality Outcomes in Non-Statin Users

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27 **Abstract:** We aimed to test the association between low density lipoprotein-cholesterol (LDL-C) and  
28 cardiovascular disease (CVD), cancer and all-cause mortality in non-statin users. 347,971 subjects in  
29 Kangbuk Samsung Health Study (KSHS.57.4% men, mean follow up: 5.64 ± 3.27 years) were tested.  
30 To validate these associations, we analyzed data from another cohort (Korean genome and  
31 epidemiology study, KoGES, 182,943 subjects). All subjects treated with any lipid lowering therapy  
32 and who died during the first 3 years of follow up were excluded. Five groups were defined  
33 according to baseline LDL-C concentration (<70, 70-99, 100-129, 130-159, ≥160 mg/dL). 2,028 deaths  
34 occurred during follow-up in KSHS. The lowest LDL-C group (LDL<70 mg/dL) had a higher risk of  
35 all-cause mortality (HR 1.95, 1.55-2.47), CVD mortality (HR 2.02, 1.11-3.64) and cancer mortality (HR  
36 2.06, 1.46-2.90) compared to the reference group (LDL 120-139 mg/dL). In the validation cohort, 2,338  
37 deaths occurred during follow-up. The lowest LDL-C group (LDL<70 mg/dL) had a higher risk of  
38 all-cause mortality (HR 1.81, 1.44-2.28) compared to the reference group. Low levels of LDL-C  
39 concentration are strongly and independently associated with increased risk of cancer, CVD and  
40 all-cause mortality. These findings suggest that more attention is needed to subjects with not statin-  
41 induced decrease in LDL-C concentrations.

42 **Keywords:** low density lipoprotein cholesterol; Mortality; Cancer; Cardiovascular disease

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## 44 1. Introduction

45 For decades, low density lipoprotein cholesterol (LDL-C) has been considered to be the major  
46 causative factor in the development of atherosclerotic cardiovascular disease (CVD) and CVD

47 mortality [1]. Numerous studies have robustly represented that reduction of plasma LDL-C  
48 concentration by lipid lowering agents is associated with a greater reduction in development of CVD  
49 and CVD mortality [2-10].

50 In contrast to the enormous evidences from previous studies regarding CVD, the correlation  
51 between low plasma concentrations of LDL-C and mortality outcome is still uncertain especially in  
52 relatively healthy populations. In most randomized controlled trials or observational studies, subjects  
53 with unusually low concentration of LDL-C level have been excluded in analysis. Therefore, to date,  
54 we could not clearly find the impact of lower LDL-C on mortality outcome especially in subjects who  
55 does not take lipid lowering agents. Moreover, some recent Japanese epidemiological studies have  
56 shown that high total cholesterol is not a risk factor for CVD and it is rather conversely associated  
57 with overall mortality [11]. Similarly, other observational study showed that healthy individuals with  
58 low LDL-C have a significantly increased risk of both infectious diseases and cancer [12,13]. These  
59 studies raised an important issue whether low level of LDL-C could be related to all-cause mortality  
60 and cancer mortality in healthy populations. However, no study has evaluated the impact of LDL-C,  
61 not statin-induced decrease in LDL-C concentrations, on all-cause, cancer and CVD mortality.

62 Since the effect of low concentrations of LDL-C on cancer and overall mortality remains  
63 controversial, we have investigated the associations between low levels of serum LDL-C, and cancer,  
64 all-cause mortality and even CVD mortality in a very large, young and well characterized, relatively  
65 healthy occupational cohort (Kangbuk Samsung health study, KSHS) during a median 5.82-year  
66 follow-up. To validate these associations, we then analyzed other dataset from a large population-  
67 based cohort study with government funding, named the Korean genome and epidemiology study  
68 (KoGES).

## 69 2. Methods

### 70 2.1. Study population

71 The study population consisted of individuals who participated in a comprehensive health  
72 screening program with serum LDL-C at Kangbuk Samsung Hospital, Seoul, Korea from 2002 to 2012  
73 (n=396,951). The purpose of the screening program was to promote health through early detection of  
74 chronic diseases and their risk factors. Additionally, the Korean Industrial Safety and Health Law  
75 demands working individuals participate in an annual or biennial health examination. For this  
76 analysis, subjects were excluded for one or more of the following reasons: subjects with missing data  
77 for smoking, alcohol, exercise or lipid profiles at baseline (n=42,020); subjects with lipid medication  
78 (n=3,667); subjects with histories of malignancy (n=5,342); subjects with mortality within 3 years after  
79 baseline (n=649). Some of the excluded subjects had more than one of the above exclusion criteria.  
80 The total number of eligible subjects for testing associations with all cause and CVD mortality was  
81 347,971 (median follow up: 5.82 [IQR 2.62-8.63] years and mean [SD] follow up: 5.64 [± 3.27] years).  
82 This study was approved by the Institutional Review Board of Kangbuk Samsung Hospital.  
83 Requirement for informed consent was waived as de-identified information was retrieved  
84 retrospectively.

85 In the validation cohort, the cohort profile of KoGES has been previously reported [14]. The  
86 KoGES cohort was designed to investigate and assess genetic and environmental factors as correlates  
87 or determinants of the incidence of chronic diseases, (e.g. type 2 diabetes, hypertension, CVD and  
88 cancer) in Koreans. The number of baseline subjects was 211,714. For this analysis, subjects were  
89 excluded for one or more of the following reasons: subjects with missing data for smoking, alcohol,  
90 exercise or lipid profiles at baseline (n=4,149); subjects with lipid medication (n=16,488); subjects with  
91 histories of malignancy (n=6,578) and subjects with mortality within 3 years after baseline (n=1,556).  
92 The total number of eligible subjects for testing associations with all cause and CVD mortality was  
93 183,943 (mean [SD] follow up: 8.57 [± 2.59] years). The percentile of women was 65.4%. At each visit,  
94 informed written consent was obtained from all participants. The study protocol was approved by  
95 the Ethics Committee of the Korean Center for Disease Control and the Institutional Review Boards  
96 of Yonsei University Wonju College of Medicine.

## 97 2.2. Data collection

98 As part of the health screening program, individuals completed questionnaires related to their  
99 medical and social history and medication use. Individuals were asked about duration of education  
100 (years), frequency of exercise (none, less than once a week, at least once a week,  $\geq 3$  times per week  
101 [regular exercise]), smoking history (never, former, or current) and alcohol consumption (grams [g] /  
102 week). Trained staff also collected anthropometric measurements and vital statistics. Body weight  
103 was measured in light clothing with no shoes to the nearest 0.1 kilogram using a digital scale. Height  
104 was measured to the nearest 0.1 centimeter. Body mass index (BMI) was calculated as weight in  
105 kilograms divided by height in meters squared. Blood pressure was measured using standard  
106 mercury sphygmomanometers. Blood samples were collected after minimum 10-hours of fasting and  
107 analyzed in the same core clinical laboratory. The core clinical laboratory has been accredited and  
108 participates annually in inspections and surveys by the Korean Association of Quality Assurance for  
109 Clinical Laboratories. Serum levels of total cholesterol, triglycerides, LDL-C, and high-density  
110 lipoprotein cholesterol (HDL-C) were measured using Bayer Reagent Packs (Bayer Diagnostics,  
111 Leverkusen, Germany) on an automated chemistry analyzer (Advia 1,650 Autoanalyzer; Bayer  
112 Diagnostics, Leverkusen, Germany).

113 Deaths among participants were identified by matching the information to death records from  
114 the National Statistical Office using identification numbers assigned to subjects at birth. Causes of  
115 death were coded centrally by trained coders using the ICD-10 classification (International  
116 Classification of Diseases, 10th revision). In this study, CVD mortality was defined as ICD-10 codes  
117 I00 to I99.

## 118 2.3. Statistical analysis

119 The statistical analysis was performed using STATA version 14.0 (StataCorp LP, College Station,  
120 TX, USA). Reported *P* values were two-tailed, and  $<.05$  were considered statistically significant. The  
121 distribution of continuous variables was evaluated and transformations were conducted for  
122 nonparametric variables. We divided our subjects according to plasma LDL-C concentrations ( $<70$ ,  
123  $70$ - $99$ ,  $100$ - $129$ ,  $130$ - $159$ ,  $\geq 160$  mg/dL) at baseline. Cox proportional hazards models stratified by five  
124 group were used to estimate hazard ratios (HRs and 95% CIs for all-cause mortality, CV and cancer  
125 mortality in each LDL-C category, compared with the LDL-C  $100$ - $129$  mg/dL as the reference group).  
126 This LDL-C  $100$ - $129$  mg/dL group was chosen as the reference because this group contained the mean  
127 LDL-C concentration for adults in Korea over the last 10 years (approximately 110 mg/dL) [15]. For  
128 testing linear risk trends across LDL-C concentration groups in the regression models, we used the  
129 categories rank as a continuous variable. To minimize the influence of possible “reverse causation”  
130 (illnesses causing low LDL-C), we excluded the subjects who died with in less than 3 years after the  
131 baseline measurements. A cubic spline analysis was used to characterize non-linear, dose-response  
132 associations between LDL cholesterol levels and mortality, and to minimize residual confounding for  
133 continuous confounders [16,17]. We checked the proportional hazards assumption by examining  
134 graphs of estimated log (-log) survival.  $P<.05$  was considered significant.

## 135 3. Results

### 136 3.1. Baseline characteristics of participants

137 347,971 subjects (mean age 39.6 years) (57.4% men) were studied in KSHS over a mean follow  
138 up of  $5.64 \pm 3.27$  years. Five groups were defined according to the level of baseline LDL-C  
139 concentration ( $<70$ ,  $70$ - $99$ ,  $100$ - $129$ ,  $130$ - $159$ ,  $\geq 160$  mg/dL). Table 1 shows baseline characteristics of  
140 study participants respectively according to LDL-C concentrations at baseline. Subjects in the lowest  
141 LDL-C group (LDL  $<70$  mg/dL) were of similar age to subjects in each of the other groups with a  
142 small albeit significant increase in age from the lowest to the highest LDL-C groups. Blood pressure  
143 was also very similar across LDL-C groups with a small albeit significant increase in systolic BP from  
144 the lowest to the highest LDL-C groups. Systolic BP was  $-2$  mmHg lower in the lowest LDL-C group

145 compared with the highest LDL-C group. The proportion of current smokers and former smokers  
 146 was remarkably similar across LDL-C groups.

147 **Table 1.** Baseline characteristics of participants according to LDL-C concentrations in Kangbuk  
 148 Samsung Health Study.

Characteristics	Overall	LDL-C (mg/dL)					p for trend
		<70	70-99	100-129	130-159	≥160	
Number	347,971	18,298	97,660	131,879	73,614	26,520	
Age (years)	39.5 (9.8)	37.2 (9.4)	37.6 (9.0)	39.6 (9.6)	41.4 (10.1)	42.7 (10.5)	<0.001
BMI (kg/m <sup>2</sup> )	23.4 (3.1)	21.8 (3.0)	23.3 (2.9)	23.5 (3.0)	24.5 (3.0)	25.1 (3.0)	<0.001
Systolic BP (mmHg)	113.8 (14.4)	110.2 (14.2)	110.9 (13.8)	114.0 (14.2)	116.6 (14.3)	118.20 (14.7)	<0.001
Diastolic BP (mmHg)	73.3 (10.1)	70.6 (10.0)	71.3 (9.8)	73.5 (10.0)	75.4 (10.1)	76.4 (10.2)	<0.001
Laboratory							
Fasting glucose (mg/dl)	94.8 (16.5)	93.2 (16.5)	92.7 (13.9)	94.6 (15.6)	96.6 (18.0)	99.1 (22.4)	<0.001
Total cholesterol (mg/dl)	194.6 (34.9)	144.4 (26.8)	166.6 (18.7)	193.6 (17.9)	222.7 (18.0)	259.6 (25.1)	<0.001
HDL-C (mg/dl)	55.8 (13.0)	58.2 (15.8)	57.4 (14.0)	55.3 (12.8)	54.2 (11.6)	54.7 (11.2)	<0.001
Triglycerides (mg/dl)	101 (71- 150)	73 (53- 114)	80 (59- 118)	102 (74- 148)	123 (91- 171)	139 (104- 186)	<0.001
Smoking status (%)							
Never smoker	55.5	64.4	63.5	54.9	47.4	45.2	<0.001
Former smoker	16.8	12.6	13.4	17.2	20.2	20.6	<0.001
Current smoker	27.6	22.8	22.9	27.8	32.3	34.1	<0.001
Alcohol intake (%)							
0 g/day	33.6	37.7	36.8	33.1	30.3	30.7	<0.001
10 g/day	49.7	46.7	49.1	50.4	50.3	48.7	<0.001
20 g/day	16.6	15.4	13.9	16.4	19.3	20.5	<0.001
Regular exercise (%) <sup>1</sup>	15.7	15.2	15.4	16.1	15.9	14.6	0.969
Hx of Hypertension (%)	7.6	6.3	5.6	7.3	9.8	11.6	<0.001
Hx of Diabetes mellitus (%)	2.3	3.1	2.0	2.1	2.4	2.7	<0.001
Hx of coronary artery disease (%)	3.6	4.6	3.6	3.4	3.6	4.0	0.159
Diabetes (%)	3.7	4.2	2.8	3.4	4.4	6.0	<0.001
Hypertension (%)	15.8	11.6	11.3	15.5	20.5	23.6	<0.001
Medication for diabetes (%)	1.6	2.5	1.4	1.5	1.6	1.6	0.029
Medication for hypertension (%)	5.3	5.2	4.1	5.2	6.5	7.2	<0.001
Higher education (%) <sup>2</sup>	72.3	70.3	72.5	72.7	72.5	70.2	0.194

149 Data are mean (standard deviation), median [interquartile range], or percentage.  
 150 BMI = body mass index; BP = blood pressure; HDL-C = high-density lipoprotein-cholesterol; LDL-C = low-  
 151 density lipoprotein cholesterol.  
 152 <sup>1</sup> ≥ 3 time per week; <sup>2</sup> Above college graduate.  
 153 SI unit Conversion (Multiply the conversion factor): glucose, 0.0555 (mmol/L); total cholesterol, 0.0259  
 154 (mmol/L); HDL-C, 0.0259 (mmol/L); LDL-C, 0.0259 (mmol/L); triglyceride, 0.0113 (mmol/L).

155 3.2. Association between low density lipoprotein cholesterol and all-cause, CVD and cancer mortality in  
 156 Kangbuk Samsung Health Study

157 There were 1,379 deaths (0.40%) during follow up in KSHS cohort (Mean age of death: 58.2 (13.8))  
 158 . Of these deaths, 675 deaths were due to cancer, (482 deaths in men and 193 deaths in women). There

159 were 188 deaths from CVD (134 in men and 54 deaths in women). The results of cox regression models  
 160 showing risk of all-cause mortality, CVD mortality and cancer mortality according to baseline LDL-  
 161 C concentrations are shown in Table 2, Table 3 and Table 4, respectively. Inspection of spline plots  
 162 revealed U-shaped association between LDL-C concentrations and all-cause mortality, in which  
 163 mortality risk increases significantly with LDL-C less than 70 mg/dL in men (Figure 1). A higher risk  
 164 of all-cause mortality was observed in lowest LDL-C group compared with the LDL-C 100-129 mg/dL  
 165 as the reference group (Table 2). After adjusting for age, BMI, smoking status alcohol intake, regular  
 166 exercise, educational level, history of hypertension, diabetes and CVD and HDL-C concentration, the  
 167 association between the lowest LDL-C levels and higher risk of all-cause mortality still remained  
 168 significant (HR 1.95 [1.55-2.47]). However, this association was significant only in men (HR 2.07 [1.58-  
 169 2.70] for men; HR 1.56 [0.95-2.55] for women). The lowest LDL-C group (LDL<70 mg/dL) was also  
 170 associated with increased risk of CVD mortality compared to the reference group (Table 3). When we  
 171 stratify subjects by sex, we observed the highest risk of CVD mortality was seen in both men and  
 172 women, although the statistically significance slightly attenuated due to low incidence of  
 173 cardiovascular mortality (HR 1.99 [0.99-4.02] for men, HR 2.41 [0.80-7.29] for women). Similarly, the  
 174 lowest LDL-C levels were significantly associated with higher risk of cancer mortality (HR 1.81 [1.44-  
 175 2.28]) and this association was more prominent in men than in women (Table 4). Since there was a  
 176 slightly higher proportion of subjects with diabetes or with a history of CVD in the lowest LDL-C, all  
 177 the regression models were repeated after exclusion of these subjects in order to ensure the robustness  
 178 of the results (Tables S1-S3). The results were not affected after the omission of subject with diabetes  
 179 or with a history of CVD. Table S4-5 show baseline characteristics of study participants respectively  
 180 according to LDL-C concentrations at baseline according to gender in KSHS cohort.

181 **Table 2.** Risk of all-cause mortality according to baseline LDL-C levels excluding subjects who died  
 182 within 3 years of follow up in Kangbuk Samsung Health Study.

LDL-C (mg/dL)	Person-years	Number of events	Mortality rate (10,000 person-year)	Age-adjusted HR (95% CI)	Multivariate HR (95% CI)		
					Model 1	Model 2	Model 3
Total (n=347,322)							
LDL <70	102,558.0	113	11.0	2.21 (1.80-2.71)	2.07 (1.68-2.54)	1.94 (1.54-2.45)	1.95 (1.55-2.47)
LDL 70-99	548,590.4	323	5.8	1.16 (1.01-1.34)	1.16 (1.01-1.34)	1.14 (0.97-1.34)	1.15 (0.98-1.35)
LDL 100-129	756,557.6	488	6.4	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	413,829.3	312	7.5	0.96 (0.83-1.10)	0.96 (0.83-1.10)	0.97 (0.82-1.13)	0.95 (0.81-1.12)
LDL ≥160	139,579.8	143	10.2	1.16 (0.96-1.40)	1.19 (0.99-1.44)	1.18 (0.96-1.46)	1.15 (0.93-1.42)
p for trend				0.001	0.001	0.003	0.001
Men (n=199,195)							
LDL <70	43,175.1	89	20.6	2.37 (1.87-3.00)	2.21 (1.75-2.80)	2.06 (1.58-2.69)	2.07 (1.58-2.70)
LDL 70-99	261,503.7	221	8.5	1.17 (0.99-1.38)	1.15 (0.97-1.36)	1.11 (0.92-1.34)	1.12 (0.92-1.34)
LDL 100-129	462,384.7	351	7.6	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	290,047.8	231	8.0	1.00 (0.85-1.18)	0.99 (0.84-1.17)	0.98 (0.81-1.18)	0.97 (0.80-1.17)
LDL ≥ 160	99,505.8	99	9.9	1.27 (1.01-1.58)	1.24 (0.99-1.55)	1.29 (1.01-1.65)	1.25 (0.98-1.61)
p for trend				0.001	0.001	0.032	0.016

Women (n=148,127)

LDL<70	59,382.9	24	4.0	1.60 (1.04-2.48)	1.54 (0.99-2.39)	1.53 (0.94-2.51)	1.56 (0.95-2.55)
LDL 70-99	287,086.7	102	3.5	1.20 (0.91-1.52)	1.15 (0.89-1.50)	1.20 (0.90-1.59)	1.21 (0.91-1.62)
LDL 100-129	294,173.0	137	4.7	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	123,781.6	81	6.5	0.90 (0.68-1.19)	0.91 (0.69-1.20)	0.97 (0.72-1.30)	0.96 (0.71-1.29)
LDL ≥ 160	40,074.0	44	11.0	1.16 (0.82-1.63)	1.15 (0.82-1.63)	1.01 (0.68-1.50)	0.98 (0.66-1.47)
p for trend				0.120	0.172	0.103	0.070
p for interaction by gender				0.507	0.608	0.487	0.495

183 CI = confidence intervals; HDL-C = high-density lipoprotein cholesterol; HR = hazard ratio; LDL-C = low-  
 184 density lipoprotein cholesterol.

185 Model 1: Adjusted for age, body mass index, smoking status, alcohol intake, regular exercise.

186 Model 2: Model 1 + education level, hypertension, diabetes, history of coronary artery disease.

187 Model 3: Model 2 + HDL-C.

188 **Table 3.** Risk of cardiovascular disease mortality according to baseline LDL-C levels excluding  
 189 subjects who died within 3 years of follow up in Kangbuk Samsung Health Study.

LDL-C (mg/dL)	Person-years	Number of events	Mortality rate (10,000 person-year)	Age-adjusted HR (95% CI)	Multivariate HR (95% CI)		
					Model 1	Model 2	Model 3
Total (n=347,322)							
LDL <70	102,558.0	14	1.3	1.99 (1.12-3.55)	1.83 (1.02-3.27)	2.03 (1.12-3.67)	2.02 (1.11-3.64)
LDL 70-99	548,590.4	34	0.6	0.90 (0.59-1.36)	0.91 (0.60-1.38)	0.93 (0.59-1.45)	0.92 (0.59-1.43)
LDL 100-129	756,557.6	67	0.8	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	413,829.3	53	1.2	1.17 (0.81-1.68)	1.15 (0.80-1.66)	1.17 (0.79-1.73)	1.19 (0.80-1.75)
LDL ≥160	139,579.8	20	1.4	1.16 (0.70-1.92)	1.16 (0.70-1.92)	1.05 (0.60-1.84)	1.08 (0.61-1.89)
p for trend				0.957	0.930	0.678	0.764
Men (n=199,195)							
LDL <70	43,175.1	10	2.0	1.91 (0.97-3.77)	1.77 (0.89-3.52)	2.01 (0.99-4.04)	1.99 (0.99-4.02)
LDL 70-99	261,503.7	25	0.9	0.94 (0.58-1.53)	0.94 (0.58-1.53)	1.01 (0.60-1.69)	1.00 (0.59-1.68)
LDL 100-129	462,384.7	49	1.1	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	290,047.8	35	1.2	1.09 (0.71-1.68)	1.05 (0.68-1.62)	1.07 (0.66-1.72)	1.08 (0.67-1.75)
LDL ≥160	99,505.8	15	1.6	1.37 (0.77-2.45)	1.27 (0.71-2.28)	1.20 (0.63-2.29)	1.23 (0.64-2.37)
p for trend				0.889	0.983	0.612	0.674
Women (n=148,127)							

LDL <70	290,047.8	4	0.6	2.47 (0.83-7.34)	2.35 (0.79-7.02)	2.44 (0.81-7.35)	2.41 (0.80-7.29)
LDL 70-99	287,086.7	9	0.3	0.91 (0.41-2.03)	0.87 (0.39-1.94)	0.82 (0.35-1.91)	0.81 (0.35-1.90)
LDL 100-129	294,173.0	18	0.6	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	123,781.6	18	1.5	1.34 (0.70-2.59)	1.37 (0.71-2.65)	1.35 (0.68-2.66)	1.36 (0.69-2.68)
LDL ≥160	40,074.0	5	1.2	0.85 (0.31-2.30)	0.87 (0.32-2.35)	0.74 (0.25-2.21)	0.75 (0.25-2.27)
p for trend				0.747	0.888	0.751	0.798
p for interaction by gender				0.808	0.798	0.760	0.759

190 CI = confidence intervals; HDL-C = high-density lipoprotein cholesterol; HR = hazard ratio; LDL-C = low-  
 191 density lipoprotein cholesterol.  
 192 Model 1: Adjusted for age, body mass index, smoking status, alcohol intake, regular exercise.  
 193 Model 2: Model 1 + education level, hypertension, diabetes, history of coronary artery disease.  
 194 Model 3: Model 2 + HDL-C.

195 **Table 4.** Risk of cancer mortality according to baseline LDL-C levels excluding subjects who died  
 196 within 3 years of follow up in Kangbuk Samsung Health Study.

LDL-C (mg/dL)	Person-years	Number of events	Mortality rate (10,000 person-year)	Age-adjusted HR (95% CI)	Multivariate HR (95% CI)		
					Model 1	Model 2	Model 3
Total (n=347,322)							
LDL <70	102,558.0	50	4.8	2.01 (1.48-2.73)	1.95 (1.43-2.65)	2.05 (1.45-2.88)	2.06 (1.46-2.90)
LDL 70-99	548,590.4	159	2.8	1.17 (0.96-1.44)	1.19 (0.98-1.46)	1.20 (0.96-1.51)	1.21 (0.97-1.52)
LDL 100-129	756,557.6	238	3.1	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	413,829.3	150	3.6	0.94 (0.77-1.16)	0.93 (0.76-1.14)	0.97 (0.77-1.22)	0.96 (0.76-1.20)
LDL ≥160	139,579.8	78	5.5	1.30 (1.00-1.68)	1.30 (1.01-1.69)	1.31 (0.98-1.74)	1.27 (0.95-1.70)
p for trend				0.033	0.030	0.058	0.033
Men (n=199,195)							
LDL <70	43,175.1	43	9.9	2.41 (1.72-3.38)	2.33 (1.66-3.26)	2.42 (1.66-3.53)	2.42 (1.66-3.53)
LDL 70-99	261,503.7	111	4.2	1.24 (0.98-1.58)	1.24 (0.97-1.57)	1.19 (0.91-1.56)	1.19 (0.91-1.57)
LDL 100-129	462,384.7	166	3.6	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	290,047.8	109	3.8	1.00 (0.78-1.27)	0.98 (0.77-1.25)	1.00 (0.76-1.31)	0.99 (0.76-1.30)
LDL ≥160	99,505.8	53	5.3	1.43 (1.05-1.95)	1.38 (1.01-1.88)	1.37 (0.97-1.95)	1.36 (0.96-1.93)
p for trend				0.025	0.020	0.060	0.047
Women (n=148,127)							
LDL <70	290,047.8	7	1.1	0.83 (0.38-1.81)	0.86 (0.39-1.87)	0.97 (0.42-2.27)	0.99 (0.43-2.32)

LDL 70-99	287,086.7	48	1.6	1.00 (0.69-1.44)	1.03 (0.71-1.49)	1.17 (0.78-1.75)	1.19 (0.79-1.79)
LDL 100-129	294,173.0	72	2.4	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	123,781.6	41	3.3	0.91 (0.62-1.34)	0.89 (0.61-1.31)	0.98 (0.64-1.49)	0.97 (0.63-1.48)
LDL ≥160	40,074.0	25	6.2	1.34 (0.85-2.12)	1.28 (0.81-2.04)	1.27 (0.75-2.13)	1.22 (0.73-2.06)
p for trend				0.418	0.624	0.940	0.910
p for interaction by gender				0.271	0.305	0.448	0.460

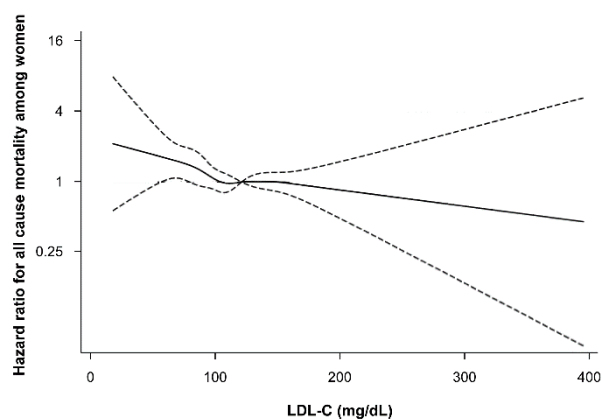
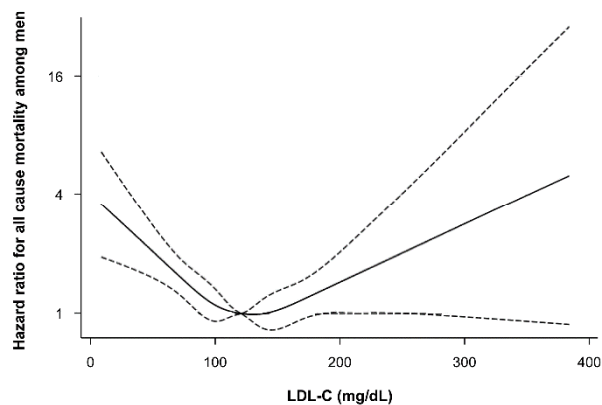
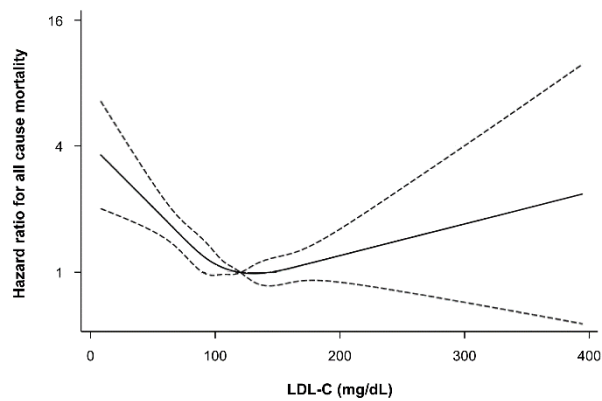
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CI = confidence intervals; HDL-C = high-density lipoprotein cholesterol; HR = hazard ratio; LDL-C = low-density lipoprotein cholesterol.

Model 1: Adjusted for age, body mass index, smoking status, alcohol intake, regular exercise.

Model 2: Model 1 + education level, hypertension, diabetes, history of coronary artery disease.

Model 3: Model 2 + HDL-C.



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205 **Figure 1.** Spline plot of plasma low density lipoprotein cholesterol and all-cause mortality rate,  
206 normalized to the mortality rate at low density lipoprotein cholesterol of 100-129 mg/dL. The adjusted  
207 hazard ratios and 95% confidence intervals were calculated with Cox proportional-hazards models  
208 after adjusting for adjusted for age, BMI, smoking status, alcohol intake, regular exercise: education,  
209 house income, marital status, diabetes and hypertension and high density lipoprotein cholesterol.

210 3.3. Association between low density lipoprotein cholesterol and all-cause, CVD and cancer mortality in  
211 Korean Genome and Epidemiology Study

212 In the validation cohort (KoGES), 2,338 deaths (1,823 from cancer and 199 from CVD) occurred  
213 during follow-up of (mean±SD) 8.57±2.59 years. Table S6 represents baseline characteristics of KoGES  
214 participants according to baseline LDL-C concentrations. There were 2,338 deaths (1.28%) during  
215 follow up in KoGES. Of these deaths, 199 deaths were due to CVD (121 in men and 78 in women) and  
216 675 deaths were due to cancer (482 deaths in men and 193 deaths in women) in KoGES. The results  
217 of cox regression models showing risk of all-cause mortality, CVD mortality and cancer mortality  
218 according to baseline LDL-C concentrations are shown in Tables 5, 6 and 7, respectively. In the lowest  
219 LDL-C group (LDL<70 mg/dL) comparing reference group (LDL 100-129 mg/dL), the adjusted HR  
220 (95% CIs) were 1.81 (1.44-2.28) for all-cause mortality, 1.93 (0.81-4.61) for CVD mortality and 1.24  
221 (0.95-1.63) for cancer mortality after adjustment for age, BMI, smoking status, alcohol intake, house  
222 income, marriage status, hypertension, diabetes and HDL-C concentrations. Similar to the results  
223 obtained within KHS, the association between the lowest LDL-C and higher risk of mortality was  
224 more prominent in men than in women. Additionally, a U-shaped association between LDL-C  
225 concentrations and CVD mortality in men were observed with a nadir at 100-129 mg/dL in KoGES  
226 data.

227 **Table 5.** Risk of all-cause mortality according to baseline LDL-C levels excluding subjects who died  
228 within 3 years of follow up in KoGES data.

LDL-C (mg/dL)	Person-years	Number of events	Mortality rate (10,000 person-year)	Age-adjusted HR (95% CI)	Multivariate HR (95% CI)		
					Model 1	Model 2	Model 3
Total (n=182,943)							
LDL <70	68,149	207	30.4	2.16 (1.85-2.51)	2.15 (1.71-2.70)	1.82 (1.45-2.29)	1.81 (1.44-2.28)
LDL 70-99	357,358	565	15.8	1.28 (1.15-1.43)	1.45 (1.23-1.70)	1.35 (1.15-1.59)	1.35 (1.15-1.59)
LDL 100-129	597,261	800	13.4	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	388,906	520	13.4	0.88 (0.79-0.98)	1.03 (0.87-1.22)	1.06 (0.89-1.25)	1.06 (0.90-1.25)
LDL ≥160	157,296	246	15.6	0.94 (0.81-1.08)	1.05 (0.84-1.31)	1.08 (0.86-1.35)	1.08 (0.87-1.36)
p for trend				<0.0001	<0.0001	<0.0001	0.0001
Men (n=63,318)							
LDL <70	33,865	174	51.4	2.06 (1.73-2.45)	2.17 (1.69-2.79)	1.83 (1.42-2.36)	1.83 (1.42-2.36)
LDL 70-99	133,332	405	30.4	1.31 (1.14-1.49)	1.46 (1.21-1.76)	1.37 (1.13-1.65)	1.37 (1.13-1.65)
LDL 100-129	210,838	487	23.1	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)

LDL 130-159	124,980	258	20.6	0.90 (0.78-1.05)	0.97 (0.78-1.21)	0.98 (0.79-1.22)	0.98 (0.79-1.22)
LDL ≥160	41,542	114	27.4	1.20 (0.98-1.47)	1.09 (0.80-1.49)	1.11 (0.81-1.52)	1.11 (0.81-1.52)
p for trend				<0.0001	<0.0001	<0.0001	<0.0001
Women (n=119,625)							
LDL <70	34,284	33	9.6	1.29 (0.90-1.85)	1.48 (0.83-2.64)	1.30 (0.73-2.33)	1.30 (0.73-2.32)
LDL 70-99	224,026	160	7.1	1.06 (0.87-1.28)	1.31 (0.96-1.78)	1.23 (0.91-1.68)	1.23(0.90-1.68)
LDL 100-129	386,423	313	8.1	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	263,926	262	9.9	0.96 (0.81-1.13)	1.24 (0.95-1.63)	1.28(0.98-1.68)	1.28 (0.98-1.68)
LDL ≥160	115,755	132	11.4	0.97 (0.79-1.19)	1.21 (0.86-1.69)	1.25 (0.89-1.75)	1.26 (0.90-1.76)
p for trend				0.1964	0.8682	0.6045	0.5733

229 CI = confidence intervals; HDL-C = high-density lipoprotein cholesterol; HR = hazard ratio; LDL-C = low-  
 230 density lipoprotein cholesterol.

231 Model 1: Adjusted for age, body mass index, smoking status, alcohol intake, regular exercise.

232 Model 2: Model 1 + education level, hypertension, diabetes, history of coronary artery disease.

233 Model 3: Model 2 + HDL-C.

234 **Table 6.** Risk of cardiovascular disease mortality according to baseline LDL-C levels excluding  
 235 subjects who died within 3 years of follow up in KOGES data.

LDL-C (mg/dL)	Person-years	Number of events	Mortality rate (10,000 person-year)	Age-adjusted HR (95% CI)	Multivariate HR (95% CI)		
					Model 1	Model 2	Model 3
Total (n=180,804)							
LDL <70	66,931	14	2.1	2.00 (1.12-3.58)	2.53 (1.07-6.01)	2.00 (0.84-4.78)	1.93 (0.81-4.61)
LDL 70-99	353,873	39	1.1	1.21 (0.81-1.81)	2.07 (1.14-3.74)	1.88 (1.04-3.42)	1.86 (1.03-3.37)
LDL 100-129	592,339	59	1.0	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	385,834	53	1.4	1.21 (0.83-1.75)	1.70 (0.94-3.07)	1.77 (0.98-3.20)	1.82 (1.01-3.31)
LDL ≥160	155,906	34	2.2	1.74 (1.14-2.65)	2.65 (1.37-5.14)	2.83 (1.46-5.49)	3.00 (1.54-5.81)
p for trend				0.2492	0.7319	0.3009	0.2016
Men (n=62,001)							
LDL <70	32,840	11	3.3	2.25 (1.12-4.50)	3.91 (1.51-10.01)	3.25 (1.24-8.47)	3.15 (1.21-8.21)
LDL 70-99	130,856	30	2.3	1.64 (0.99-2.74)	2.78 (1.32-5.84)	2.60 (1.23-5.47)	2.55 (1.21-5.38)
LDL 100-129	207,799	29	1.4	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	123,520	31	2.5	1.82 (2.00-3.02)	2.25 (1.04-4.91)	2.35 (1.08-5.13)	2.40 (1.10-5.22)
LDL ≥160	40,912	20	4.9	3.57 (2.02-6.31)	3.46 (1.39-8.63)	3.70 (1.49-9.24)	3.85(1.54-9.60)

p for trend				0.0164	0.9761	0.6235	0.5223
Women (n=118,803)							
LDL <70	34,091	3	0.9	1.21 (0.37-3.96)	NA*	NA*	NA*
LDL 70-99	223,017	9	0.4	0.63 (0.30-1.32)	1.11 (0.37-3.31)	0.97 (0.33-2.92)	0.97 (0.32-2.90)
LDL 100-129	384,541	30	0.8	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	262,314	22	0.8	0.83 (0.48-1.43)	1.12(0.45-2.83)	1.21 (0.48-3.05)	1.24 (0.49-3.13)
LDL ≥160	114,994	14	1.2	1.04 (0.55-1.95)	2.00 (0.77-5.20)	2.18 (0.84-5.67)	2.27 (0.87-5.93)
p for trend				0.5300	0.3287	0.1649	0.1363

236 CI = confidence intervals; HDL-C = high-density lipoprotein cholesterol; HR = hazard ratio; LDL-C = low-  
 237 density lipoprotein cholesterol.

238 Model 1: Adjusted for age, body mass index, smoking status, alcohol intake, regular exercise.

239 Model 2: Model 1 + education level, hypertension, diabetes, history of coronary artery disease.

240 Model 3: Model 2 + HDL-C.

241 **Table 7.** Risk of cancer mortality according to baseline LDL-C levels excluding subjects who died  
 242 within 3 years of follow up in KoGES data.

LDL-C (mg/dL)	Person-years	Number of events	Mortality rate (10,000 person-year)	Age-adjusted HR (95% CI)	Multivariate HR (95% CI)		
					Model 1	Model 2	Model 3
Total (n=182,428)							
LDL <70	67,784	145	21.4	1.92 (1.60-2.29)	1.31 (1.01-1.72)	1.24 (0.95-1.62)	1.24 (0.95-1.63)
LDL 70-99	356,508	449	12.6	1.26 (1.12-1.43)	1.07 (0.90-1.26)	1.04 (0.88-1.23)	1.04 (0.88-1.23)
LDL 100-129	596,054	640	10.7	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	388,202	421	10.8	0.90 (0.80-1.02)	0.97 (0.82-1.14)	0.98 (0.83-1.15)	0.97 (0.83-1.15)
LDL ≥160	156,791	168	10.7	0.82 (0.69-0.97)	0.89 (0.71-1.13)	0.90 (0.71-1.14)	0.90 (0.71-1.13)
p for trend				<.0001	<.0001	0.0022	0.0021
Men (n=62,993)							
LDL <70	33,550	119	35.5	1.82 (1.48-2.24)	1.41 (1.05-1.91)	1.34 (0.99-1.82)	1.34 (0.99-1.82)
LDL 70-99	132,685	310	23.4	1.29 (1.11-1.50)	1.09 (0.88-1.34)	1.06 (0.86-1.31)	1.06 (0.86-1.31)
LDL 100-129	210,008	379	18.0	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	124,852	239	19.1	1.07 (0.91-1.26)	1.14 (0.92-1.40)	1.14 (0.93-1.41)	1.14 (0.92-1.41)
LDL ≥160	41,233	66	16.0	0.90 (0.70-1.17)	0.87 (0.62-1.23)	0.88 (0.62-1.24)	0.87 (0.62-1.24)
p for trend				<.0001	0.0949	0.1915	0.1837
Women (n=119,435)							
LDL <70	34,234	26	7.6	1.22 (0.82-1.82)	0.96 (0.52-1.77)	0.90 (0.48-1.66)	0.90 (0.49-1.67)

LDL 70-99	223,823	139	6.2	1.04 (0.85-1.28)	1.00 (0.75-1.33)	0.98 (0.73-1.30)	0.98 (0.74-1.30)
LDL 100-129	386,046	261	6.8	1.00 (reference)	1.00 (reference)	1.00 (reference)	1.00 (reference)
LDL 130-159	263,350	182	6.9	0.86 (0.71-1.04)	0.82 (0.63-1.07)	0.83 (0.64-1.09)	0.833 (0.64-1.09)
LDL ≥160	115,558	102	8.8	0.99 (0.79-1.25)	0.98 (0.71-1.35)	1.00 (0.72-1.37)	0.99 (0.72-1.37)
p for trend				0.0994	0.4654	0.6899	0.6713

243 CI = confidence intervals; HDL-C = high-density lipoprotein cholesterol; HR = hazard ratio; LDL-C = low-  
 244 density lipoprotein cholesterol.

245 Model 1: Adjusted for age, body mass index, smoking status, alcohol intake, regular exercise.

246 Model 2: Model 1 + education level, hypertension, diabetes, history of coronary artery disease.

247 Model 3: Model 2 + HDL-C.

248 **4. Discussion**

249  
 250 Our novel results show that low levels of LDL-C (<70 mg/dL) were associated with increased  
 251 risk of CVD mortality, cancer mortality, and even all-cause mortality especially in men who were not  
 252 treated with lipid lowering therapy. The finding of increased CVD mortality in men with low levels  
 253 of LDL-C (<70 mg/dL) was observed in both different cohorts even though it showed U shape. In this  
 254 study, we were able to take account of multiple confounders and the young age of the cohort helped  
 255 decrease the influence of potential reverse causality between clinically relevant outcomes and low  
 256 levels of plasma LDL-C concentrations. Additionally, we excluded subjects who died within 3 years  
 257 of follow up to avoid the possibility of reverse causality. Furthermore, to validate these associations,  
 258 we then analyzed other dataset from a large population-based cohort study with government funding,  
 259 named the Korean genome and epidemiology study (KoGES) which consists of community-dwellers  
 260 aged ≥ 40 years at baseline. .

261 We chose the third LDL-C group (i.e. LDL-C 100-129 mg/dL) as the reference group, because as  
 262 indicated above this group contained the mean LDL-C concentration for the Korean population as  
 263 measured in the Korean National Health and Nutrition Examination Survey during 1998 to 2010 [15].  
 264 As we expected, the highest category of LDL-C (≥160 mg/dL or ≥130 mg/dL in KoGES) was associated  
 265 with increased risk of CVD mortality. However, the lowest LDL-C concentration category (LDL-C  
 266 <70 mg/dL) also showed higher risk of CVD mortality compared to the reference group.

267 In line with our findings, another recent study also presented that whereas low LDL-C (<70  
 268 mg/dL) was not associated with protective effects on CVD outcome, low hs-CRP appeared to be  
 269 associated with reduced risk of incident CVD and CVD mortality in high risk population [18]. These  
 270 findings provide a paradoxical contradiction to the traditional LDL-C hypothesis; a lower CVD and  
 271 all-cause mortality in lower LDL-C levels. It suggests the possibility that lower LDL-C concentration  
 272 itself may not be a crucial factor for health outcome and other factor such as inflammatory process  
 273 may have more important role in health outcome. However, considering the known strong  
 274 association lowering LDL-C levels and better CV outcome, our finding indicates potential higher risk  
 275 of poor health outcome in subjects who have too lower level of LDL-C although they do not take lipid  
 276 lowering agents.

277 Associations between too lower levels of LDL-C and poor health outcome have been reported  
 278 in some, but not all, prior studies. Observational cohort studies have revealed that people with low  
 279 total cholesterol levels (e.g., total cholesterol <154.4 mg/dL) have increased risk of subsequent death  
 280 in some cancers, respiratory diseases and other non-medical causes than people with high baseline  
 281 cholesterol levels [4]. A recent systematic review of 19 cohort studies including more than 68,000  
 282 elderly people showed that CVD mortality was highest in the lowest LDL-C quartile group [19].  
 283 However, these studies included participants who were taking lipid lowering agents and who had  
 284 other co-morbidities which may have influenced outcomes. Our study has excluded all subjects who

285 were taking any lipid-lowering therapy at baseline in order to investigate the direct association  
286 between low levels of LDL-C and mortality outcomes. We demonstrated an increase in any cause of  
287 mortality outcomes in the lowest LDL-C concentration group especially in men. The finding of  
288 increased risk of mortality in men with low level of LDL-C was similar when we even excluded  
289 subjects who have history of diabetes and CVD at baseline. We additionally confirmed this  
290 phenomenon in another validation cohort. Our finding provide evidence supporting the 'lipid  
291 paradox', suggesting that too lower level of cholesterol concentrations do not always confer  
292 protective effects on mortality outcomes in the healthy population who does not take lipid lowering  
293 agents.

294 While the exact mechanism remains to be elucidated, several possibilities could explain our  
295 findings. Firstly, a low LDL-C concentration increases susceptibility to fatal disease. Some  
296 experiments have shown that LDL-C binds to and inactivates a broad range of microorganisms and  
297 toxic products which might be a possible causal factor of CVD and cancer [20,21]. Furthermore, a  
298 common mechanism may operate that links low LDL-C concentration to different disease states.  
299 Links between low LDL-C and death from different diseases, only seems plausible if low LDL-C  
300 concentration is a marker for another phenomenon and to this effect although it is pure speculation,  
301 we and others have suggested that dysbiosis and altered bile acid metabolism [22-25] could provide  
302 that common link.

303 There are strengths and limitations of our study that should be considered in the interpretation  
304 of these controversial data. 347,971 relatively young subjects (mean age 39.6 years) (57.4% men) were  
305 studied in a retrospective cohort study design over a median follow up of almost 6 years and data on  
306 cardiovascular mortality in men validated in another independent cohort. Additionally, we have  
307 excluded the data from individuals who were identified at baseline and who subsequently died  
308 during the first three years of follow up. These factors limit the possibility of reverse causality  
309 explaining our findings. However, since we excluded all subjects at baseline who were taking any  
310 lipid-lowering therapy, it is likely that subjects with extremely highest level of LDL-C have been  
311 excluded. Moreover, the weaknesses of our study design is that treatment with LDL-C lowering  
312 therapy during the period of follow up is not available, although given what is known about the  
313 benefit of statins, treatment with statins would decrease CVD and misclassification bias would  
314 operate to bias our results towards the null. Additionally, the fact that the numbers of deaths,  
315 especially cardiovascular mortality, are relatively low may make attenuate the causal-relationship  
316 between LDL cholesterol and mortality. We could not measure some specific lipoproteins such as  
317 small dense LDL, and lipoprotein which may explain this phenomenon. Other limitation of our study  
318 is that the Korean relatively young-aged participants and whether the findings are applicable to other  
319 ethnic groups is uncertain.

## 320 5. Conclusions

321 We demonstrated that low levels of LDL-C concentration (<70 mg/dL) was not associated with  
322 protective effects on overall mortality in relatively healthy Korean adults who does not take lipid  
323 lowering agents. Whilst, men with the lowest levels of LDL-C concentration (<70 mg/dL) are at risk  
324 of increased all- cause, cancer and even CVD mortality and even though the association between  
325 LDL-C concentration and CVD mortality was U shaped in men, lowest levels of LDL-C concentration  
326 were significantly and independently associated with increased risk of CVD mortality. These findings  
327 suggest that more attention might be needed to subjects with not statin-induced decrease in LDL-C  
328 concentrations. Further large-scale, population-based research with long term follow up is warranted  
329 in other ethnic groups to re-evaluate the relationship between low levels of LDL-C and mortality  
330 outcomes.

331 **Supplementary Materials:** The following are available online at [www.mdpi.com/xxx/s1](http://www.mdpi.com/xxx/s1), Table S1: Risk of death  
332 from all causes according to baseline LDL excluding subjects who died within 3 years of follow up and excluding  
333 subjects with existing diabetes or cardiovascular disease at baseline; Table S2: Risk of death from cardiovascular  
334 disease according to baseline LDL excluding subjects who died within 3 years of follow up and excluding  
335 subjects with existing diabetes or cardiovascular disease at baseline; Risk of death from cancer according to

336 baseline LDL excluding subjects who died within 3 years of follow up and excluding subjects with existing  
337 diabetes or cardiovascular disease at baseline; Table S4-5: Baseline characteristics of participants according to  
338 LDL-C concentrations in KSHS(Men and Women). S6: Baseline characteristics of participants according to LDL-  
339 C concentrations in KoGES.

340

341 **Author Contributions:** K-C.S. conceived and designed the study. S.H.R., S.B.K., D.S.H. contributed to data  
342 analysis. J.Y.K., E.S., C.B., contributed to data interpretation. K-C.S., J.H.H., J.Y.L. drafted the manuscript. All  
343 authors contributed to discussion and reviewed/edited the manuscript. K-C.S. and J.H.H. are the guarantor of  
344 this work and, as such, had full access to all the data in the study and take responsibility for the integrity of the  
345 data and the accuracy of the data. All authors approved the final version of the manuscript.

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347 **Conflicts of Interest:** The authors declare no conflict of interest.

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