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27 **Quadriceps muscle strength is a discriminant predictor of**
28 **dependence in daily activities in nursing home residents**

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30 Short title: Quadriceps strength and ADL performance

31

32 Julia Wearing^{1,#a¶}, Maria Stokes^{2¶}, Eling D. de Bruin^{3,#b*¶}

33

34 ¹Faculty of Health, Medicine and Sciences, School for Public Health and Primary
35 Care, University Maastricht, Maastricht, The Netherlands

36 ²School of Health Sciences, University of Southampton, Southampton, United
37 Kingdom

38 ^{3*}Institute of Human Movement Sciences and Sport (IBWS), Department of Health
39 Sciences and Technology, ETH Zurich, Zurich, Switzerland

40 ^{#a} Adullam Stiftung, Basel, Switzerland

41 ^{#b} Division of Physiotherapy, Department of Neurobiology, Care Sciences and
42 Society, Karolinska Institutet, Stockholm, Sweden

43

44 *Corresponding author:

45 E-mail: eling.debruin@hest.ethz.ch (EdB)

46

47 ¶ These authors contributed equally to this work.

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51 **Abstract**

52 *Objective:* This study aimed to explore the relationship between dependence in
53 Activities of Daily Living and muscle strength, muscle morphology and physical
54 function in older nursing home residents, taking possible confounders into
55 consideration.

56 *Methods:* A total of 30 nursing home residents (age, 85.6 ± 7.1 years) were included
57 in this observational cross-sectional study. Performance of basic Activities of Daily
58 Living (ADL) was assessed with the Resident Assessment Instrument and
59 categorized as either independent or dependent. Isometric grip, quadriceps and
60 elbow-flexor strength were determined by hand-dynamometry, muscle thickness and
61 echo intensity by B-mode ultrasonography, a sit-to-stand task by using a stop watch
62 and physical activity by the German-Physical-Activity Questionnaire. Degree of frailty
63 was evaluated according to Fried's frailty criteria, whereas cognition, depression,
64 incontinence, pain and falls were part of the Resident Assessment Instrument.

65 *Results:* Dependence in Activities of Daily Living was negatively correlated with
66 physical activity ($r_s = -0.44$, $p = .015$), handgrip ($r_s = -0.38$, $p = .038$), elbow-flexor ($r_s = -$
67 0.42 , $p = .032$) and quadriceps strength ($r_s = -0.67$, $p < .001$), analysed by Spearman's
68 correlation. Chronic diseases ($r_s = -0.41$, $p = .027$) and incontinence ($r_s = -0.39$, $p = .037$)
69 were positively correlated with ADL while the other variables were not related. Only
70 quadriceps strength remained significant with logistic regression ($Wald(1) = 4.7$,
71 $p = .03$), when chronic diseases, quadriceps and handgrip strength were considered
72 ($R^2 .79$). 11 kg was the best fitting value in this sample to predict performance in
73 Activities of Daily Living, evaluated with Receiver-Operating Characteristic analysis,
74 with a sensitivity of 100% and a specificity of 79%.

Quadriceps strength and ADL performance

75 *Conclusion and implication:* Quadriceps strength had a positive independent
76 relationship with performance in ADL in the nursing home residents studied.
77 Although a large prospective study is needed to verify the results, maintaining
78 quadriceps strength above 11 kg may be helpful in retaining independence in this
79 cohort.

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81 Keywords: nursing home residents, activities of daily living, physical function, muscle
82 strength

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100 **Introduction**

101 In 2017, 15.7% of the Swiss population aged 80 years and over were
102 institutionalized [1]. The demand for long-term care is expected to expand [2] since
103 life expectancy will continue to increase in industrialized countries [3], with an
104 expected rise of the old-old population by 300% in 2050 [4]. Admission of older
105 persons to nursing homes is dependent on cognitive and/or functional impairment in
106 combination with a lack of assistance in daily home life, which often leads to
107 dependence in activities of daily living (ADL) [5]. In 30-50% of these people,
108 dependence actually increases within the first 18 months of institutionalization due to
109 further functional decline [6, 7], which adversely affects quality of life [8] and health
110 care costs [7]. Prevention of physical decline in nursing home residents is, therefore,
111 essential to maintain a certain amount of independence, with beneficial effects for
112 the individual as well as for the health care system.

113 The ability of nursing home residents to perform ADL independently is
114 associated with multiple factors, both modifiable and non-modifiable, but is mainly
115 dependent on age, chronic disease and disability, with the latter factor being the
116 most discriminant predictor [9]. Physical disability in old age is highly associated with
117 low muscle strength, which decreases progressively due to an age-related decline in
118 muscle mass and quality [10]. Muscle strength is reduced by up to 50% in people
119 aged 80 years and over [11], with highest rates of loss in physically inactive
120 individuals [12, 13] that are institutionalized in nursing homes [14].

121 Previous studies involving community dwelling older people have shown the
122 relevance of quadriceps strength for e.g. independent performance of sit-to-stand
123 tasks [15, 16] and the effortless execution of ADL [15, 17]. In older people in need of
124 long-term care, only a few studies investigated whether quadriceps strength relates

125 to the level of required care and findings were inconclusive [18-20]. A positive
126 association between quadriceps strength and ADL performance was confirmed by
127 intervention studies that have shown training to be effective in improving physical
128 function even in non-healthy, non-robust, ADL-dependent older adults suffering from
129 disuse-related muscle weakness [21-26]. However, the optimal program remains
130 unclear [23]. Therefore, specifying the underlying physical determinants of
131 dependence in basic ADL of institutionalized older adults would help to determine
132 the most important component of a specific training program.

133 In the present study, we aimed to investigate whether muscle structure,
134 strength, function or physical activity were predictive variables of dependence in ADL
135 in nursing home residents, when accounting for cognition, depression, falls,
136 incontinence, chronic disease, sedative medication and pain.

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139 **Methods**

140 **Study Design**

141 A cross-sectional study of muscle characteristics and physical function of
142 nursing home residents was undertaken in a long-term care institution in Switzerland
143 between August and December 2017. Recruitment targeted older adults, aged 65
144 years and over. Exclusion criteria were a) an inability to understand study content
145 and provide sign informed consent; b) severely impaired decision making (Cognitive
146 performance scale > 4 points) [27]; c) a history of acute lower limb pathology
147 (fracture and/or surgery within the last 6 months); d) skin disorders involving the
148 anterior thigh and/or arm; e) limb paralysis; and f) confinement to bed.

149 Nursing home residents were screened by the senior nurse for exclusion
150 criteria based on the last RAI assessment. Only participants who were deemed
151 competent to consent and voluntarily agreed to participate were considered for study
152 inclusion. All study procedures complied with the principles of the Declaration of
153 Helsinki for ethical research in humans and the study received approval from the
154 local ethics committee (project-ID 2017-00839).

155 Details are presented in the following report, which adheres to the reporting
156 guidelines for cross-sectional studies [28].

157

158 **Sample size**

159 Sample size was calculated a priori using published data for quadriceps
160 strength in nursing home residents [20]. A total sample size of 19 participants was
161 required to ensure sufficient statistical power ($\beta=0.2$) to detect a 25% difference in
162 strength between ADL independent and dependent groups ($\alpha=.05$). It has been
163 previously shown that disabled older adults, as defined by self-reported
164 independence in basic and instrumental ADL, exhibit up to 50% lower muscle
165 strength than non-disabled individuals [17].

166

167 **Data collection**

168 **Participant demographics**

169 Participant demographics, medications history, medical history and
170 independence in ADL were obtained using of the Long-Term Care Facility Resident
171 Assessment Instrument (RAI; Minimum Data Set Version 2.0). For assessment of
172 ADL, urinary incontinence, cognitive performance and depressive symptoms,

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173 observations were judged and encoded by one of four nurses who were experienced
174 RAI-item coders. All nurses had received the same training on the use of the tool in
175 its application. Detailed RAI items are shown in Table 1.

176

177 Table 1: Items of the Resident Assessment Instrument obtained from the study
178 participants

RAI items	Units and classification
age	years
height	meters
weight	kilogram
urinary continence	4-point-scale from 0 (= continent) to 4 (= always incontinent, no bladder control)
pain intensity	Numeric Analog Scale from 0 (= no pain) to 10 (=worst pain)
falls	frequency within last three months
cognitive performance	Minimum Data Set Cognitive Performance Scale (scale ranging from 0 (= intact cognition) to 6 (= severely limited cognition)
frequency of depressive symptoms	scale from 0 (symptoms weren't shown) to 2 (symptoms shown on 6/7 days/week)
amount and type of chronic diseases	metabolic, musculoskeletal, neurological, psychiatric, respiratory disease, renal insufficiency, vertigo and cancer
regular intake of medication	antidepressants, sedatives and muscle relaxants
self-performance in activities of daily living (ADL)	bed mobility, transfer, walking in a room, walking in a corridor, locomotion on the ward, locomotion outside the ward, dressing, eating/drinking, toilet use and personal hygiene over the past 7 days,

each rated on a scale from 0 (independent) to 4 (fully dependent), full range of possible outcome 0-40. Categorization as independent in ADL when total score = 0, which reflected no need for assistance or staff oversight. Categorization as dependent when total score ≥ 1 , which reflected a need for assistance or staff oversight in at least one activity

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One investigator, a physiotherapist, trained and experienced in

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musculoskeletal assessments and ultrasonography, completed the following test

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series for all participants.

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185 **Muscle strength**

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Maximal isometric quadriceps and elbow-flexor muscle strength, as the

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highest of two trials, was evaluated using a hand-held dynamometer (Microfet2[®],

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CompuFET, Hoggan Health Industries, Biometrics Europe). For measurement of

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elbow-flexor strength, the participant was seated on a chair, elbow flexed at 90° and

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forearm supinated. For measurement of quadriceps strength, the participant was

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seated on a plinth, with their back resting against a firm support, thighs fully

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supported, knees flexed to 90° and the lower legs hanging freely. The curved

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transducer pad of a hand-held dynamometer was positioned at 80% of the forearm

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and tibial length respectively, to resist maximal isometric force of the elbow flexors

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and quadriceps. The participants were asked to push against the dynamometer as

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hard as possible for 3 seconds. Strength was measured in Newtons (N), and

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converted into Kilograms (kg) by dividing N by 9.81. Torque was calculated in

198 Newtonmeters (Nm) by multiplying force by the lever arm length of the forearm and
199 tibia respectively. Hand-held dynamometry has been shown to be a valid and reliable
200 technique to assess isometric strength in older adults [29, 30]. Test-retest reliability
201 of hand-held dynamometry is high when assessed by a trained examiner using a
202 standardized protocol [31]; with intra-rater reliability Intraclass Correlation Coefficient
203 (ICC) ranging between 0.90 and 0.98 [29].

204 Handgrip strength was measured with a hand dynamometer (Jamar®,
205 Lafayette, USA) according to the standardized protocol recommended by the
206 American Society of hand therapists [32].

207

208 **Muscle morphology**

209 Real-time, B-mode ultrasonography (Nemio MX Type SSA-590A, Toshiba,
210 Japan) with a 12 MHz linear transducer array (45 mm footprint) was used to obtain
211 transverse images of the dominant extremities. Images of the rectus femoris/vastus
212 intermedius as well as the biceps brachii/brachialis were taken using a previously
213 published protocol for community-dwelling older adults [33, 34], and post-processed
214 using semi-automated MATLAB code (MathWorks®, Massachusetts, USA). Muscle
215 thickness and primary muscle echotexture statistics were subsequently calculated,
216 with the mean of two images taken for further analysis.

217 The thickness of the muscles was defined as the distance between the inner
218 border of the fascial layer that distinguishes muscles from superficial fat and bone.
219 Ultrasound-based measures of thigh tissue thickness are highly correlated with the
220 gold standard of Magnetic Resonance Imaging ($r=0.99$) [35] and have a reported
221 intra-rater reliability of ICC 0.88-.099 in older people [33, 36].

222 For grayscale analysis, settings of the ultrasound scanner (gain, time gain
223 control, dynamic range value, focus and power) were adjusted to assure good quality
224 of the images and kept constant for all participants; depth was readjusted to
225 individual muscle thickness. Echogenicity of rectus femoris and biceps brachii was
226 defined as the average grayscale within a rectangular region of interest and recorded
227 as unspecified units (UU) 0-255. The analysis method has been previously shown to
228 have high intra-rater reliability, with a reported ICC of 0.97-0.99 [37]. High muscle
229 echo intensity is associated with low tissue density in CT scans [38] and high
230 adipose tissue content in muscle biopsies [39].

231

232 **Functional mobility**

233 Functional mobility was estimated using the sit-to-stand task repeated 5 times
234 [40]. A chair with a straight backrest, 40 cm seat height and without armrests was
235 placed against a solid support. Participants were instructed to complete 5 sit-to-stand
236 maneuvers as fast as possible without the use of their arms. The time taken for
237 completion was recorded. Times in excess of 13.6 seconds are associated with
238 increased disability [41].

239

240 **Physical activity**

241 The German physical activity 50+ questionnaire was used to calculate energy
242 expenditure (kcal/week) [42]. The questionnaire has a test-retest reliability of $r=0.52-$
243 0.6 [42] and is widely used in German speaking countries to evaluate physical
244 activity in older people.

245

246 **Frailty**

247 Physical frailty was evaluated according to Fried's frailty criteria [43].
248 Participants were characterized as "not frail", "pre-frail" or "frail" according to the
249 number of positive criteria identified (0, 1-2 and ≥ 3 , respectively).

250

251 **Statistical Analysis**

252 The Statistical Package for the Social Sciences (SPSS Statistics, Version 23.0. IBM
253 Corporation, Armonk, NY) was used for analysis. Shapiro-Wilk test was used to test
254 for normality. Dependent on data distribution, descriptive statistics are presented as
255 mean \pm standard deviation or median (range); differences between the ADL groups
256 were analyzed using independent t-tests and Mann-Whitney-U-test. Relationships of
257 ADL performance with independent variables were identified by Spearman's
258 correlation coefficients and binary logistic regression analysis. Receiver-Operating
259 Characteristic (ROC) curve was utilized for sensitivity/specificity analysis of
260 predictors of ADL performance.

261

262

263 **Results**

264 **Characteristics of study population**

265 Of 177 nursing home residents who were screened, 30 fulfilled the eligibility
266 criteria and were included in the study (mean age (SD) 85.7 (7.1) years, 76.7%
267 female). 43% of the study sample were categorized as pre-frail, 57% as frail, 97%
268 had \geq two chronic diseases, 30% experienced depressive symptoms, 20% took
269 sedative medication, 62% were cognitively impaired, while 70% were diagnosed with
270 dementia, 23% had fallen, 34% experienced pain and 28% were incontinent. Four

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271 participants were excluded from strength measurements due to concerns associated
 272 with the risk of osteoporotic fracture and 14 were incapable of rising from a chair
 273 without using their arms. Given that only half of the participants could rise from a
 274 chair 5 times without using their arms, functional mobility was dichotomized into the
 275 categories “able to complete 5STS” and “unable to complete 5STS” for further
 276 analysis.

277 Of the 30 participants, 16 were categorized as independent and 14 as
 278 dependent in ADL based on items of the RAI. There were no statistically significant
 279 differences between groups in terms of age, weight, height, number of years
 280 institutionalized, medication history, as well as for muscle morphology, functional
 281 mobility and physical activity. The group dependent in ADL experienced a
 282 significantly higher number of chronic diseases and more severe incontinence, lower
 283 handgrip, elbow-flexor and quadriceps strength. Quadriceps strength of participants
 284 independent in ADL was greater by a median of 4.3 kg than those dependent in ADL
 285 (Fig 1).

286

287 **Fig 1: Quadriceps strength in people independent and dependent in ADL**

288

289 Characteristics of participants are presented in Table 2.

290 Table 2: Participants’ characteristics of the ADL dependent and independent group

Characteristic (unit)	ADL independent	ADL dependent	equality of means/ medians	
			t/U	p
	Mean (SD)/ Median (range)	Mean (SD)/ Median (range)		
Demographics				
<i>Age[†] (years)</i>	87.0 (15)	86.0 (35)	U = 100.5	.64
<i>Weight[†] (kg)</i>	66.5 (52.2)	68.0 (51)	U = 98.0	.58

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<i>Height</i> [†] (m)	1.64 (0.23)	1.59 (0.21)	U = 80.5	.19
<i>Incontinence (0-4 points)</i>	0 (1)	0 (4)	U = 68	.038*
<i>Pain intensity (0-10)</i>	0.53 (0.74)	0.64 (1.60)	t(18.1) = -.234	.82
<i>Falls (number)</i>	0.63 (1.63)	0.29 (0.47)	t(17.8) = .797	.44
<i>Cognitive performance (0-6)</i>	1.0 (3)	2.0 (3)	U = 79	.24
<i>Depressive symptoms (0-32)</i>	1.62 (3.95)	0.71 (1.27)	t(25) = 0.815	.42
<i>Chronic diseases (number)</i>	2.75 (1.24)	3.86 (1.29)	t(27.1) = -2.387	.024*
<i>Medication (number)</i>	0.81 (0.40)	0.79 (0.43)	t(27.0) = .176	.86
<i>Institutionalization (years)</i>	2.9 (2.2)	3.4 (1.8)	t(27.8) = -.572	.57
Muscle strength				
<i>Handgrip strength</i> [†] (kg)	16.0 (22)	13.3 (13)	U = 63.0	.043*
<i>Quadriceps strength</i> [†] (kg)	13.1 (5.7)	8.8 (6.9)	U = 18.5	<.001*
<i>Quadriceps strength</i> [†] (N)	128.2 (56.4)	85.4 (63.3)	U = 18.5	<.001*
<i>Strength/body weight (N/kg)</i>	2.1 (0.7)	1.4 (0.4)	t(16.7) = 2.797	.013*
<i>Quadriceps torque</i> [†] (Nm)	39.1 (18.8)	26.8 (20.3)	U = 20	.001*
<i>Torque/body weight (Nm/kg)</i>	0.6 (0.2)	0.4 (0.1)	t(16.9) = 2.763	.013*
<i>Elbow-flexor strength</i> [†] (kg)	9.7 (7.3)	7.0 (9.5)	U = 43.0	.036*
Muscle morphology				
<i>Quadriceps thickness (mm)</i>	17.7 (4.7)	18.7 (6.7)	t(28) = -.465	.65
<i>Rectus femoris grayscale</i> [†] (UU)	117.2 (78)	115.5 (101)	U = 109.0	.92
<i>Elbow-flexor thickness</i> [†] (mm)	24.8 (18)	26.5 (16)	U = 111	.98
<i>Biceps brachii grayscale</i> [†] (UU)	132 (81)	133 (86)	U = 111	.98
Functional mobility				
<i>5 sit-to-stand (sec)</i>	20.3 (11.6)	20.6 (12.3)	t(8.0) = -.051	.96
Physical activity (kcal/week)				
	842 (879)	508 (1347)	t(21.9) = .792	.44

291 * significant difference

292 † data not normally distributed, differences determined with the Mann-Whitney-U
 293 test, p equates exact significance

294

295 Quadriceps strength, in the present study, was not related to body weight.
 296 Therefore, actual strength values in kg were used for analysis. To aid comparison
 297 with previous research, quadriceps strength was also expressed as a ratio of
 298 strength [(N)/body weight (kg)].

299 Of the group that was independent in ADL (n=16), 9 participants could
 300 complete the 5STS, while 7 could not. Of the group that was dependent in ADL

Quadriceps strength and ADL performance

301 (n=14), 5 participants could complete the 5STS, while 9 were not able to complete
302 the 5STS.

303

304 **Correlations of ADL category with demographics, medical** 305 **and medications history**

306 The level of ADL dependence significantly correlated with a higher number of
307 comorbidities ($r_s=.41$, $p=.027$) and incontinence ($r_s=.39$, $p=.037$), but was not
308 associated with sex, age, falls, cognitive performance, sedative medication,
309 depressive mood, pain or physical frailty at the univariate level (S1 Appendix).

310

311 **Correlations of ADL category with muscle strength, muscle** 312 **morphology, functional mobility and physical activity**

313 Dependence in ADL was weakly associated with lower handgrip strength ($r_s=-$
314 0.38 , $p=.038$), lower elbow-flexor strength ($r_s=-0.42$, $p=.032$) and lower physical
315 activity ($r_s=-0.44$, $p=.015$) but moderately correlated with lower quadriceps strength
316 ($r_s=-0.67$, $p<.001$). There was no correlation of ADL dependence with muscle
317 morphology and functional mobility/the ability to rise from a chair 5 times (S2
318 Appendix).

319

320 **Regression and ROC analysis**

321 To determine factors that were predictive of ADL performance, binary logistic
322 regression was undertaken iteratively using different combinations of covariates,
323 including the factor with the highest correlation with ADL (quadriceps strength) and
324 factors that were moderately correlated with ADL (handgrip strength, elbow-flexor

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325 strength, urinary incontinence and number of chronic diseases) at the univariate
 326 level (S1 and S2 Appendix).

327

328 The combination of variables that best explained the variance in ADL
 329 performance included quadriceps strength, chronic diseases and handgrip strength
 330 (S3 Appendix). Approximately 79% of the variance was explained by these three
 331 factors (Nagelkerke's $R^2=0.786$). However, quadriceps strength was the only
 332 independent predictor of dependence with an Odds Ratio (OR) of 0.353 (95% CI
 333 0.138-0.905, $p=.030$), indicating a 65% lower risk of being dependent when
 334 quadriceps strength increased by 1 kg (Table 3).

335

336 Table 3

337 Binary logistic regression with inclusion analysis of the variables quadriceps
 338 strength, chronic diseases and physical activity

predictor	Regression coefficient (B)	Significance level (p)	Exp(B)=O R	95% CI for Exp(B)	
				lower	upper
quadriceps strength	-1.040	.030	0.353	0.138	0.905
chronic diseases	1.325	.074	3.763	0.877	16.139
handgrip strength	-.213	.390	0.809	0.498	1.313
constant	10.863	.067	52183.975		

339

340 The ROC curve for analysis of sensitivity and specificity of quadriceps
 341 strength to identify people independent and dependent in ADL showed an area
 342 under the curve of 0.89. Strength of 11.25 kg was the best fitting value with a

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343 sensitivity of 100% and a specificity of 79%. Sensitivity and specificity of quadriceps
 344 strength values are shown in Table 4.

345

346 Table 4

347 Coordinates of ROC curve analysis for accuracy of quadriceps strength in detecting
 348 residents of nursing homes dependent in ADL

Quadriceps strength (kg) greater than or equal to	Sensitivity	1-specificity
5.800	1.000	1.000
7.000	1.000	.929
7.350	1.000	.857
7.550	1.000	.786
7.900	1.000	.643
8.450	1.000	.571
8.750	1.000	.500
9.300	1.000	.429
10.150	1.000	.357
10.600	1.000	.286
‡11.250	1.000	.214
11.950	.833	.214
12.150	.750	.214
12.300	.667	.214
12.550	.583	.214
12.850	.583	.143
13.100	.500	.071
13.350	.417	.071
13.600	.333	.071
13.900	.333	0.000
14.950	.250	0.000
16.650	.083	0.000
18.500	0.000	0.000

349

350 ‡ Best fitting quadriceps strength value to detect dependent/independent

351 performance of ADL

352

353 **Discussion**

354 This study aimed to evaluate potential muscle-related predictors of
355 dependence in ADL in nursing homes residents, taking cognitive function,
356 depression, pain, urinary incontinence, chronic diseases, medication and falls into
357 consideration.

358 Of the investigated parameters, greater handgrip-strength, elbow-flexor
359 strength, quadriceps strength and physical activity, as well as less incontinence and
360 chronic diseases, were positively associated with the ability to independently perform
361 basic ADL whereas quadriceps strength was the only independent predictor.

362 The positive relationship observed between quadriceps strength and ADL
363 performance is consistent with a previous study in which functional performance was
364 evaluated in older people with dementia in need of long-term care [20]. ADL-
365 independent participants in their study had 40-45% higher strength than ADL-
366 dependent, while ours differed by about 33%. Suzuki and colleagues specifically
367 included people diagnosed with dementia, whereas the present study also included
368 participants without dementia and of different cognitive performance levels.

369 Interestingly, neither quadriceps strength nor ADL dependence was related to
370 cognitive performance in our population. This is opposed to previously reported
371 findings [44] and might be due to the small size of our sample.

372 The present findings do not correspond with other studies in which the level of
373 care was observed to be independent of quadriceps strength in old, physically

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374 disabled people [18, 19]. These earlier studies differed in regard to the assessment
375 instrument (care time [19], respectively a non-specified instrument [18] versus RAI),
376 included ADL (basic and instrumental ADL [19] respectively non-specified
377 assessment [18] versus basic ADL) and categorization of ADL performance (3-point
378 scale [18, 19] versus dichotomous classification). However, the main difference is
379 potentially the type of ADL on which the categorization is based. In contrast to most
380 basic activities, many instrumental ADL, such as the regulation of finances and
381 telephone use, do not require appreciable quadriceps strength. Hence, it could be
382 expected that when ADL dependence is categorized on the basis of instrumental
383 activities, it is unlikely to be correlated with quadriceps strength.

384 Consequently, the present study adds the following new information to
385 previous findings: 1. the relationship between low quadriceps strength and ADL
386 dependence may be valid for residents in nursing homes independent of cognitive
387 performance; 2. quadriceps strength not only has a significant relation to
388 dependence in basic ADL but also has a strong independent association with ADL
389 dependence regardless of muscle structure, muscle function, physical activity and
390 important confounding factors of demographics, medical and medication history.

391 It is an interesting outcome that low quadriceps strength but not low muscle
392 thickness or high echo intensity was associated with ADL dependence. One possible
393 explanation for this finding could be that a decline in motor cortical properties rather
394 than changes in muscle morphology accounted for low voluntary strength in our
395 population. Muscle weakness associated with aging has diverse underlying
396 mechanisms and is not solely explained by atrophy of muscle [45]. The nervous
397 system's overall ability to maximally activate a muscle, including descending drive
398 from the motor cortex, also declines with age and significantly contributes to

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399 decreased voluntary contraction of available musculature [46]. Particularly in older-
400 old individuals, voluntary activation, defined as “the level of voluntary drive during an
401 effort” [47, 48] is diminished [49-51] and may account for up to one third of the loss in
402 force production [52].

403 Furthermore, it seems contradictory that an older person may have adequate
404 quadriceps strength to rise from a chair independently but is not able to complete the
405 repeated sit-to-stand exercise (5STS). However, while poor performance on the STS
406 test (>10-13 seconds) has been shown to predict incidence of disability in older
407 community-living older adults [40, 53], its sensitivity is limited (50%) and its clinical
408 use could be largely restricted to high functioning, community-living older people
409 [54]. Quadriceps strength is one important underlying precondition of the ability to
410 stand up from a chair [55], however, it is not the only determinant. In most elderly
411 nursing home residents the ability to rise from a standard-height chair is also
412 dependent on the use of arms and arm rests [56] and, when not constrained by
413 artificially imposed time limits, may still be performed independently. Completion of
414 the 5STS test, in comparison, requires more complex abilities, as it is performed
415 without the use of arms and is timed. Hence, the 5STS test needs coordinated
416 contraction and high contraction speed (power) of multiple lower extremity muscles,
417 including the gluteal and ankle dorsi flexor muscles [57-59]. Secondly, successful
418 completion of 5STS also requires balance, proprioception and tactile sensation [58],
419 all of which also decrease with age and disease. Thirdly, voluntary functional
420 strength in older people might be mainly explained by reduced voluntary activation
421 due to changes in central and peripheral nervous systems [46]. The functional
422 mobility task of 5STS with its physical requirements, therefore, may not reflect the
423 abilities necessary to live an ADL independent, nursing home life. Rather,

Quadriceps strength and ADL performance

424 quadriceps strength would appear to be a better predictor of ADL disability than the
425 5STS test in this population of lesser functioning older people.

426 An isometric quadriceps strength of > 11kg predicted ADL-independence by
427 100% and ADL-dependence by 79% in this study sample. The findings show that
428 quadriceps strength was of high importance for explaining the variance in ADL
429 performance; an improvement of 1 kg lowers the risk of becoming dependent by
430 65%. Interventional studies have shown that exercise programs of 8-12 weeks,
431 including resistance exercises 2-3 times weekly, were effective in increasing
432 quadriceps strength in older, nursing home residents by a minimum of 3 kg [18, 22].
433 Thus, nursing home residents with physical disability may be able to improve ADL
434 independence through quadriceps strengthening. This finding supports the call for
435 preventive measures to avoid functional decline in nursing home dwellers [7].
436 Previous research has established that quadriceps strength differs significantly in
437 community living older people independent in ADL (3.5-3.8 N/kg) from those partially
438 dependent (2.2-2.9 N/kg) [15], when independence is considered as being able to
439 e.g. walk 50 m without any personal or device support and without loss of normal
440 speed and safety. In comparison, the present study showed that frail, disabled,
441 nursing home residents of the same age were only half as strong, with the
442 independent group having a median strength of 2.1 N/kg, compared to the ADL
443 dependent group with median strength of 1.4 N/kg. However, approximately half of
444 the participants could still perform usual nursing-home ADL, such as walking a few
445 meters with a walker and rising from a chair with the use of arm rests, without
446 supervision or assistance of another person.

447 Some limitations of this cross-sectional study should be mentioned. Firstly,
448 observations by nursing staff of behavior and emotions by nursing staff entailed the

Quadriceps strength and ADL performance

449 risk that some actions of the participants could have been unrecognized and
450 therefore not recorded adequately. However, the observation period included seven
451 days in which residents were closely observed by a trained nurse attentive to precise
452 assessment. Therefore, the risk of information bias was likely to be small. Even
453 though RAI data were obtained by different assessors, data can still be assumed
454 sufficiently reliable since inter-rater reliability of the RAI items has been shown to be
455 0.63-0.92 (weighted Kappa) [60]. Secondly, physical activity measures were based
456 on self-report and behavioral observations of nursing staff. Although previous studies
457 have questioned the accuracy of self-reported measures of physical activity in older
458 populations [61], participants were closely monitored during the study so that any
459 discrepancy between reported and actual activity was likely to be small. Thirdly, the
460 number of participants who could complete the timed sit-to-stand task was rather
461 small. Findings with regard to this variable could therefore be underpowered.
462 However, even when the participants were categorized into two groups depending
463 on their ability to complete the task, results did not change. Therefore, the results
464 were assumed to be valid for this cohort. Fourthly, a causal relationship between
465 ADL performance and quadriceps strength cannot be made due to the nature of the
466 cross-sectional study design. However, the results indicate a strong association
467 between ADL dependence and low quadriceps strength and longitudinal studies
468 have demonstrated beneficial effects of quadriceps' strength training on physical
469 function [21-26]. Fifthly, the study sample only included participants from one nursing
470 home. Therefore, the results of the sample might not be generalizable to a wider
471 population of older, frail nursing home residents. The study did, however, include a
472 wide variety of participants with regard to ADL performance. Therefore, the
473 participants could be considered representative of the target population.

474

475 **Conclusions**

476 This study has shown that strength, physical activity and incontinence were
477 potentially modifiable factors associated with ADL dependence in nursing home
478 residents, with quadriceps strength being the only independent predictor of
479 dependence in ADL, independent of age, frailty status, co-morbidities and cognitive
480 function.

481 Although further research is required, interventions aimed at increasing these
482 physical abilities with a specific focus on enhancing leg muscle strength beyond
483 target threshold values may be a useful strategy for reducing dependence in ADL of
484 nursing home dwellers.

485

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492

493

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728 **Supporting information**

729 **S1 Appendix. Correlations of ADL category with demographics**

730 **S2 Appendix. Correlations of ADL category with muscle-related parameter**

731 **S3 Appendix. Binary logistic regression**

732 **S4 Appendix. STROBE Statement—checklist**

733

734 **S1 Appendix:** Correlations of ADL category with demographics
 735

variable	Correlation coefficient (r _s)	Significance (p)
demographics		
age	-.09	.639
sex	-.12	.542
height	-.24	.194
weight	.11	.570
urinary incontinence	.39	.037*
pain intensity	-.12	.543
falls	.07	.701
cognitive performance	.23	.238
frequency of depressive symptoms	.09	.660
symptoms of physical frailty	.22	.234
amount of chronic diseases	.41	.027*
regular intake of medication	-.03	.861

736
 737 *significant difference
 738

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740

741 **S2 Appendix:** Correlations of ADL category with muscle-related parameter

742

variable	Correlation coefficient (r_s)	Significance (p)
muscle strength		
handgrip strength	-0.38	=.038*
elbow flexor strength	-0.42	=.032*
quadriceps strength	-0.67	<.001*
muscle morphology		
quadriceps thickness	.01	=.968
rectus femoris grayscale	-.02	=.903
elbow-flexor thickness	-.01	=.968
biceps brachii grayscale	.01	=.968
functional mobility/ 5 sit-to-stand category	-.21	=.276
physical activity	-0.44	=.015*

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744 *significant difference

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750 **S3 Appendix:** Binary logistic regression

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752

predictor	Regression coefficient (B)	Significance level (p)	Exp(B)=OR	95% CI for Exp(B)	
				lower	upper
Quadriceps strength	-0.992	.014	0.371	0.167	0.821
constant	11.782	.017	130829.640		

753

754 Nagelkerke's R²=0.65

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756

predictor	Regression coefficient (B)	Significance level (p)	Exp(B)=OR	95% CI for Exp(B)	
				lower	upper
Quadriceps strength	-1.166	.031	0.312	0.108	0.901
Incontinence category	1.957	.174	7.078	0.422	118.848
constant	13.251	.038	568610.962		

757

758 Nagelkerke's R²=0.69

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760

predictor	Regression coefficient (B)	Significance level (p)	Exp(B)=OR	95% CI for Exp(B)	
				lower	upper
Quadriceps strength	-1.128	.018	0.324	0.127	0.825
Chronic diseases	1.092	.091	2.979	0.839	10.579
constant	9.575	.083	14403.725		

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762 Nagelkerke's R²=0.77

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predictor	Regression coefficient (B)	Significance level (p)	Exp(B)=OR	95% CI for Exp(B)	
				lower	upper
Quadriceps strength	-0.992	.053	0.317	0.136	1.012
Chronic diseases	1.091	.090	2.979	0.845	10.504
Elbow-flexor strength	-0.205	.591	0.815	0.387	1.717
constant	10.483	.087	19426.475		

Quadriceps strength and ADL performance

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766 Nagelkerke's $R^2=0.78$
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predictor	Regression coefficient (B)	Significance level (p)	Exp(B)=OR	95% CI for Exp(B)	
				lower	upper
Quadriceps strength	-1.127	.019	0.324	0.126	0.832
Chronic diseases	.998	.149	2.712	0.699	10.528
Incontinence category	.709	.607	2.203	0.108	44.774
constant	9.614	.094	14967.836		

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771 Nagelkerke's $R^2=0.76$
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predictor	Regression coefficient (B)	Significance level (p)	Exp(B)=OR	95% CI for Exp(B)	
				lower	upper
Quadriceps strength	-1.149	.019	0.317	0.121	0.828
Chronic diseases	.976	.126	2.655	0.761	9.262
Physical activity	.000	.572	1.000	0.998	1.001
constant	10.483	.089	35706.192		

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776 Nagelkerke's $R^2=0.77$
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781 **Import Appendix 4 from landscape file**

Quadriceps strength and ADL performance

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