

**MicroRNA23a overexpression in Crohn's Disease targets Tumour Necrosis Factor
Alpha Inhibitor Protein 3, increasing sensitivity to TNF and modifying the epithelial
barrier**

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Short Title: MicroRNA23a in Crohn's Disease

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Abbreviations: IBD, inflammatory bowel disease; TNF, Tumour necrosis factor alpha; NFκB,
Nuclear factor kappa B; TNFAIP3, Tumour necrosis factor alpha inhibitory protein 3.

26 **Abstract**

27 **Background and Aims:** Mucosal healing is important in Crohn's disease therapies. Epithelial
28 homeostasis becomes dysregulated in Crohn's with increased permeability, inflammation and
29 diarrhoea. MicroRNAs are small non-coding RNAs, which regulate gene expression and show
30 changes in inflammatory bowel disease. Tumour Necrosis Factor Alpha Inhibitor Protein 3 is raised
31 in Crohn's and regulates TNF α -mediated activation of NF κ B. We investigated TNF α regulation by
32 microRNA in Crohn's disease and studied effects on epithelial permeability and inflammation.

33 **Methods:** Colonic epithelium from CD and healthy donor biopsies was isolated using laser capture
34 microdissection and microRNA quantified. Tumour Necrosis Factor Alpha Inhibitor Protein 3 was
35 characterized immunohistochemically on serial sections. Expression effect of microRNA was
36 confirmed with luciferase reporter assays. Functional barrier permeability studies and innate cytokine
37 release were investigated with cell and explant culture studies.

38 **Results:** MicroRNA23a levels significantly increased in colonic Crohn's epithelium compared to
39 healthy. Luciferase reporter assays in transfected epithelial cells confirmed that microRNA23a
40 repressed expression via the 3' untranslated region of Tumour Necrosis Factor Alpha Inhibitor
41 Protein 3 mRNA coinciding with increased NF κ B-mediated transcription. Immunohistochemical
42 staining of TNFAIP3 protein in colonic biopsies was reduced or absent in adjacent Crohn's sections,
43 correlating inversely with microRNA23a levels and encompassing some inter-cohort variation.
44 Overexpression of microRNA23a increased epithelial barrier permeability in a colonic epithelial
45 model and increased inflammatory cytokine release in cultured explant biopsies, mimicking Crohn's
46 disease characteristics.

47 **Conclusion:** MicroRNA23a overexpression in colonic Crohn's epithelium represses Tumour
48 Necrosis Factor Alpha Inhibitor Protein 3, enhancing sensitivity to TNF α with increased intestinal
49 permeability and cytokine release.

50 **Keywords:** MicroRNA, Epithelium, TNFAIP3, Inflammatory Bowel Disease, Crohn's, TNF

51 Introduction

52 A key outcome for the treatment of Crohn's disease is the attainment of mucosal healing and deep
 53 remission. This is associated with reduced treatment need and possibly an improved long term
 54 disease course ^{1,2}. Remission of clinical symptoms with anti-TNF is attainable in 45% of patients ³,
 55 but only a small proportion of those achieve mucosal healing ^{4,5}. Up to 50% of patients relapse
 56 after treatment stops, suggesting persistence of the underlying drivers of inflammation ⁶. Strong
 57 clinical motivation exists to understand the mechanisms underpinning dysregulation of TNF α
 58 responsive pathways in inflammatory bowel disease (IBD), not only to improve mucosal healing
 59 rates, but also to maintain remission.

60 Mucosal healing includes restitution of the intestinal epithelial barrier. In healthy individuals, the
 61 intestinal epithelium maintains a selective barrier between the lumen and underlying mucosa, via
 62 the coordinated expression and interaction of proteins within circumferential tight and adherens
 63 junctions ⁷⁻¹¹. This is maintained even during the normal shedding of epithelial cells ¹². However in
 64 Crohn's disease the barrier is disrupted with loss of junctional proteins and increased intestinal
 65 permeability ¹³⁻¹⁶. Barrier perturbation often coincides with dysregulated activation of the immune
 66 system and increased TNF α release ¹⁷⁻¹⁹. Epithelial tight junctions are dynamic structures,
 67 modulated by numerous stimuli ^{7,20,21} of which TNF α is of particular importance ^{22,23} in disrupting
 68 barrier integrity, with increased intestinal permeability, by a mechanism involving disruption of the
 69 actin cytoskeleton via myosin light chain kinase phosphorylation and loss of zonula occludens 1 ²⁴⁻
 70 ²⁷. Induction of NF κ B by TNF α caused junction disruption via the down regulation of zonula
 71 occludens 1 ²⁵. Additionally TNF α stimulation promoted loss of occludin from the tight junction via
 72 endocytosis ²⁴⁻²⁸. Data from cell culture models suggested that TNF antagonists may stabilise
 73 epithelial tight junctions and therefore enhance barrier integrity ²⁹. Disruption of the epithelial
 74 barrier is an early feature of disease relapse suggesting an initiating role in the development of
 75 mucosal inflammation³⁰⁻³². Increased epithelial permeability is observed in inactive disease in both
 76 animal models and human studies and is strongly predicative of clinical relapse ^{18,19}, thus
 77 underpinning the importance of the epithelial barrier in disease pathogenesis.

78 Given the detrimental effects of TNF α on barrier integrity and NF κ B activation, appropriate
 79 regulation of TNF signaling is critically important in IBD. One regulator of TNF is Tumor Necrosis

Alpha Inhibitor Protein 3 (TNFAIP3), which negatively regulates the TNF driven NFkB pathway, a fundamental driver of inflammation and epithelial tight junction instability^{25,33,34}. This pathway is active in epithelia in Crohn's disease³⁵. In addition TNFAIP3 protein may itself directly contribute to the maintenance of junction integrity via the deubiquitination and stabilisation of occludin within the junctional complex³⁶. Genome Wide Association Studies have identified the TNFAIP3 gene in a susceptibility loci for CD³⁷ suggesting its relevance to inflammatory bowel disease. Reduced TNFAIP3 mRNA expression was observed in mucosal samples from Crohn's disease patients and is associated with a more severe disease phenotype^{38,39}. It is unclear how this protein is regulated within the epithelium in Crohn's disease.

MicroRNA have emerged as potential key regulators of cellular responses that are dysregulated in IBD⁴⁰⁻⁴². These small non-coding RNAs regulate gene expression by binding to the 3' untranslated region (UTR) of mRNA and inhibiting expression^{43,44}. In this way they function to modify cellular protein levels⁴⁵⁻⁴⁷. Limited information exists on the potential role of microRNA in regulating epithelial barrier and innate immune responses in the colonic epithelium. In irritable bowel syndrome (IBS), increased expression of microRNA29a,b correlated with decreased expression of glutamine synthetase, NFkB repressing factor (NKRF) and claudin 1, with these changes contributing to increased intestinal permeability in mice, suggesting an important role for microRNA in regulating barrier stability^{48,49}. In addition, claudin 2, associated with increased barrier permeability¹⁶ and cingulin were upregulated in IBS, correlating with reductions in the targeting by microRNA16 and 125b, with confirmed functional increases in barrier permeability⁵⁰. Increased expression of microRNA223 correlated with decreased claudin 8 in whole human biopsies from ulcerative colitis (UC) and Crohn's disease⁵¹ and microRNA200b expression in CaCo2 cells attenuated tight junction damage and suppressed IL-8 secretion⁵².

Existing microRNA studies in Crohn's disease have mostly defined expression profiles in patient serum or whole mucosal intestinal biopsies^{40,53-55}. In situ hybridization showed increased expression of microRNA21 and microRNA126 in UC lamina propria and endothelial cells respectively⁵⁶. Here we utilised laser capture microscopy and immunohistochemistry in serial tissue sections. This revealed cell-specific information on microRNA expression in colonic epithelium of mucosal biopsies from patients with Crohn's disease, relative to expression of TNF-induced TNFAIP3, a negative regulator of NFkB^{33,57-60}. Our results suggest a link between

110 increased epithelial microRNA23a expression and the dysregulation of TNF α signaling via
111 repression of TNFAIP3. Recapitulating these changes *in vitro*, significantly increased epithelial
112 barrier permeability and enhanced innate inflammatory cytokine release in *ex vivo* explant biopsies
113 of human colon, implicating this pathway as a therapeutic target in Crohn's disease.

114 **Materials and Methods**

115 **Additional information is in Supplementary Methods**

116 **Human tissue collection**

117 IBD and investigative colonoscopy patients were recruited with informed consent, at Southampton
118 University NHS Trust, under full ethical approval (REC No 10/HO502/69). Pinch biopsies were from
119 sigmoid colon, with active and inactive Crohn's disease, or healthy mucosa. Diagnosis was
120 confirmed by the presence or absence of active mucosal inflammation by histopathology on an
121 adjacent biopsy to the sample. Features of acute inflammation and presence of ulceration or
122 granulomas defined active Crohn's, which were absent in inactive disease in the presence or
123 absence of focal or segmental crypt and glandular distortion or ulcer-associated cell lineage
124 changes. Biopsy samples were placed in 10% neutral buffered formalin before being embedded in
125 paraffin blocks.

126 **Laser capture microdissection and RNA extraction**

127 10µm thick biopsy sections were cut from paraffin blocks, dewaxed and stained with 0.1% w/v Cresyl
128 Violet. Epithelial tissue was isolated utilising laser capture microscopy (LCM) (Leica AS LMD
129 microscope). Total RNA was extracted using the Ambion RecoverAll™ Total Nucleic Acid Isolation
130 Kit utilising columns from the Ambion RNAqueous-Micro Kit (Thermofisher Scientific) according to
131 manufacturer's instructions. RNA integrity was determined using the Nanodrop ND-1000
132 Spectrophotometer.

133 **microRNA real time PCR**

134 MicroRNA23a is hsa-miR-23a-3p; microRNA29a is hsa-miR-29a-3p; microRNA29b is hsa-miR-29b-
135 3p; microRNA29c is hsa-miR-29c-3p.; microRNA429 is hsa-miR-429. Quantitative RT-PCR was
136 performed using TaqMan® microRNA assays comprising specific RT primers and TaqMan® PCR
137 primers with fast Universal PCR Mastermix, in MicroAmp optical 384 well plates and assayed using
138 Applied Biosystems 7900HT Fast Real Time PCR system (Thermofisher Scientific). All microRNA
139 values were expressed relative to an internal RNU44 housekeeping ribosomal RNA gene value in
140 order to normalise for differences in cell number, RNA isolation and assay consistency. Consistent
141 expression of RNU44 was verified across samples. The $\Delta\Delta\text{ct}$ method was used to calculate
142 intergroup variation with values compared to one value in the healthy group to show the data spread
143 within and between groups.

144 RT-qPCR RNA assay

145 Primers for TNFAIP3, Keratin8 and CD45, with glyceraldehyde 3-phosphate dehydrogenase
146 (GAPDH) to normalise for RNA integrity and assay consistency, were used to quantify expression
147 using a TaqMan 7900HT machine (Thermofisher Scientific).

148 **Immunohistochemistry**

149 Serial 4µm sections cut from patient biopsies, adjacent to those used for microRNA analysis,
150 underwent antigen retrieval, blocking of endogenous peroxidase and avidin biotin unspecific
151 binding, then incubated overnight at 4°C with rabbit anti-TNAFIP3 (ProSci). Biotinylated Dako
152 swine anti-rabbit (Agilent) was applied and sections were developed with 3,3'-Diaminobenzidine,
153 counterstained with haematoxylin, viewed (Leica DMLB) and photographed (Nikon Coolpix 4500).

154 **Immunohistochemistry Quantification**

155 All slides were coded blind and five random fields per slide were quantified, using an established
156 method ¹⁶.

157 **TNFAIP 3'UTR Construct and Luciferase Reporter Assay**

158 Approximately 600bp of the 3'UTR of TNFAIP3, with putative microRNA23a binding site (seed
159 nucleotides 1823-1830 Target Scan V7.0)⁶¹, was cloned 3 prime to Renilla luciferase in the pRLTK
160 reporter (Promega UK). Genomic DNA was amplified using forward primer GCTAGC-
161 AGACTGGCAATGGTCACAGG and reverse primer GCGGCCGC-
162 ATCCAACAAAGAATAGGTGGC. This fragment was cloned Nhe1 into Xba1 (pRLTK base 1971)
163 and Not1 into Not1 (pRLTK base 1978). A paired TNFAIP3 reporter was made with mutant
164 microRNA binding site comprising an ECoR1 restriction site. For overexpression, the genomic
165 region encompassing microRNA23a premiR (NCBI NR_029495.1) was cloned in pcDNA3.1
166 (Thermofisher Scientific), with empty pcDNA3.1 vector as a negative control. PremiR genomic
167 DNA was amplified and cloned into Xba1 (using forward primer AAGCTTTCTAGA-
168 TGCCAGCCTCTGGCCC) and Kpn-1 (using reverse primer GGTACCACGCGT-
169 CTCCTCAGGCCAGGCACAG) restriction sites of the pcDNA3.1 expression vector. TNFAIP3
170 reporter DNA was transfected into cells, with pGL3 luciferase transfection efficacy control, using
171 Superfect (Qiagen). Lysates were harvested after 16 hours and assayed with Dual Luciferase
172 Reporter Assay System (Promega), according to manufacturer's instructions. Experiments were
173 performed five times in duplicate.

174 **NFκB luciferase reporter assay**

175 The Cignal NFκB reporter (Qiagen) with or without tandem repeats of the NFκB response element
 176 cloned upstream of a basal promoter and firefly luciferase coding region was used. All firefly
 177 luciferase reporters were normalised to a simultaneously transfected efficacy control *CMV Renilla*
 178 Luciferase. Each preMiR construct and NFκB reporter were transfected into HeLa cells using
 179 Superfect (Qiagen) and incubated for 48 hours. Two hours prior to cell harvest, 1ng/ml TNFα (R+D
 180 Systems) was added to selected wells. Luciferase levels were measured using Dual Luciferase
 181 Reporter Assay System (Promega) according to manufacturer's instructions. Experiments performed
 182 five times in duplicate and statistical comparisons were within each NFκB reporter type to control for
 183 inherent transcriptional variation between reporter constructs.

184 **Cell culture**

185 HeLa cells were cultured in Modified Eagle Media with Earle's salts, glutamine,
 186 penicillin/streptomycin and 10% heat inactivated Fetal Calf Serum. T84 cells were cultured in
 187 Advanced Dulbecco Modified Eagle Media: F12 supplemented with L-glutamine,
 188 penicillin/streptomycin and 5% heat inactivated Fetal Calf Serum.

189 **T84 cell model with microRNA transfection and permeability studies**

190 T84 cells were seeded on 12 well, 0.4μm pore Transwell filters (Fisher Scientific UK), transfected
 191 with 100nM pre-miR-23a-3p or pre-miR negative control #1, with minimal homology to human
 192 microRNA (Ambion, Thermofisher Scientific) using 3μl of HiPerFect reagent (Qiagen), according to
 193 manufacturer's instructions. Amounts of pre-miR RNA and HiPerFect reagent were optimised and
 194 transfection efficiency checked prior to experimental assays (Supplementary figure 3C,D).
 195 Inhibitory experiments utilised anti-miR hsa-23a-3p inhibitor or anti-miR negative control #1
 196 (Ambion, Thermofisher Scientific) and effect of transfections on miR-23a levels were assayed
 197 (Supplementary figure 4). Cells were cultured for 5 days, with media replacement, with or without
 198 TNFα 1ng/ml, every 24 hrs to the apical and basolateral compartments. Transepithelial resistance
 199 was measured daily, in triplicate at 37°C, using an EVOM epithelial volt/Ohm meter and STX2
 200 electrode (World Precision Instruments). At day 5 the macromolecule paracellular permeability was
 201 measured using 4KDa Fluorescein isothiocyanate dextran (Sigma Aldrich) permeability assay.
 202 Three independent experiments were done with 8-10 individual filters per condition.

203 **Ex vivo Culture and MicroRNA Transfection of Intestinal Biopsies**

204 Approximately four sigmoid colon pinch biopsies, with macroscopic and histologically normal colonic
205 mucosa, were collected from each patient and rinsed and plated in 1ml ice cold RPMI 1640 medium,
206 containing L glutamine, penicillin/streptomycin and 10% heat-inactivated fetal calf serum. Biopsies
207 were transfected with 100nM of pre-miR23a-3p RNA precursor mimic or pre-miR negative control
208 #1 (Ambion UK) using Interferin (PolyPlus Transfection) according to manufacturer's instructions. At
209 24 hours, culture supernatants were stored at -20°C. Biopsies were fixed in neutral buffered formalin
210 and embedded in paraffin blocks. Epithelium from transfected and negative control biopsies was
211 isolated with LCM and microRNA23a overexpression was confirmed with RT-qPCR.

212 **Total protein extracts T84 cells**

213 Cells and supernatants were lysed for SDS_PAGE in 0.5M Tris pH6.8, 10% sodium dododecyl
214 sulphate, 1mM dithiothreitol (DTT), glycerol and protease inhibitor cocktail (Sigma Aldrich). Protein
215 concentration was determined using a bicinchoninic acid (BCA) assay (Thermo Fisher Scientific)
216 and adjusted to 1M DTT prior to loading on gels.

217 **Western Blot**

218 Samples were run on 10% Mini Protean TGX gels and transferred to PVDF membranes using a
219 Trans-Blot®Turbo™ system (BioRad). TNFAIP3 rabbit antibody (Cell signalling Technology) was
220 used with horseradish peroxidase linked donkey anti-rabbit IgG (GE Healthcare), with 1:5000 mouse
221 anti-beta actin HRP linked (Abcam) loading control antibody applied for 1 hour at room temperature.
222 Detection was with ECL Select™ (BioRad) and blots viewed using the Biorad ChemiDoc Imaging
223 system.

224 **Cytokine assay**

225 Inflammatory cytokines were assayed simultaneously in biopsy culture supernatant using a high
226 sensitivity MesoScale Discovery V-PLEX assay (MSD) according to manufactures instructions.

227 **Statistical Analysis**

228 Statistical analysis for RT-qPCR data was analysed using unpaired 2 tailed student's t test.
229 Immunohistochemistry quantification, barrier permeability measurements, luciferase assays and
230 cytokine measurements were analysed with non-parametric tests as appropriate, using Graph Pad
231 Prism 6. A p value of <0.05 was considered significant. R correlations were tested with Spearman
232 Rank Coefficient and Pearson correlation coefficient as outlined in figure legends.

Results

MicroRNA23a expression was higher in colonic epithelium from Crohn's disease relative to healthy donor epithelium

Previous microRNA studies have analysed whole mucosal biopsies thus hampering interpretation of results due to cell type heterogeneity. Data detailing cell specific microRNA expression and function is lacking in IBD studies. We therefore isolated epithelial cells from colonic biopsies using Laser Capture Microscopy (Supplementary Figure 1A,B) and isolated total RNA to include small RNAs and microRNA. The epithelial enrichment of samples was confirmed using RT-qPCR for epithelial-specific keratin 8 and immune cell marker CD45. Samples showed high levels of mRNA for keratin 8 and reduced levels of CD45 compared with THP-1 control mRNA indicating epithelial differentiation (Supplementary Figure 1C,D). In preliminary work, we performed bioinformatic sequence analysis for microRNA seed complementarity within the 3' UTR of *TNFAIP3*. This analysis showed potential binding of several microRNAs to the 3'UTR of *TNFAIP3* mRNA, therefore the expression of microRNA29a, 29b, 29c, 429, 23a (Supplementary Figure 2) was assayed in a subset of 5 healthy controls and 5 active Crohn's disease patients. These data demonstrated that microRNA quantitation from LCM isolated epithelium is feasible. Only microRNA23a showed significant over expression compared to the healthy controls and was studied further in a larger patient cohort.

Sigmoid colonic biopsies from 16 patients showing active Crohn's disease, 7 with inactive disease without mucosal inflammation and 10 healthy donors were analysed for comparison. Clinical characteristics of each patient group are in Table 1.

Table 1: Clinical characteristics of tissue donors

		<i>Healthy</i>	<i>Active Crohn's</i>	<i>Inactive Crohn's</i>
Number		10	16	7
Average Age (Range)		70 (49-86)	32 (17-66)	51 (26-80)
Sex	Male	3	7	4
	Female	7	9	3
Ethnicity	White	10	14	7
	Asian	0	2	0
Smoking	Non smoker	9	12	9
	Smoker	1	1	0
	Ex-smoker	0	3	0
Medication	5 ASA n (%)	0 (0)	1 (6)	1 (14)
	Steroids n (%)	0 (0)	3 (18)	0 (0)
	Thiopurine n (%)	0 (0)	3 (18)	3 (42)
	TNF antagonist n (%)	0 (0)	2 (12.5)	3 (42)

255

256 MicroRNA23a was increased in isolated colonic epithelium in both active ($p=0.04$) and inactive
257 Crohn's disease ($p=0.02$) compared to healthy controls in these groups (Figure 1A). Review of the
258 Crohn's disease data showed the presence of individuals with low/normal 23a expression and
259 those with expression greater than the mean of the healthy group. We interrogated the associated
260 clinical data of these patients to identify any characteristics that might correlate with this
261 observation. Across all Crohn's Disease and healthy donors, a positive correlation of $r=0.347$,
262 $p=0.04$ (Spearman) was found between relative microRNA23a expression and loose stool
263 frequency (Figure 1B). Importantly, active disease alone showed a correlation of $r=0.5269$ $p=0.036$
264 (Figure 1C).

265 **MicroRNA23a repressed expression via a predicted seed binding sequence on the 3'UTR of** 266 **TNFAIP3**

267 A search of bioinformatic data (TargetScan V7.0 and MicroRNA.org (August 2010 release)
268 indicated a microRNA-23a predicted binding site in the 3'UTR of TNFAIP3 (Figure 2A). To test this
269 we generated an expression vector construct for microRNA23a, plus *Renilla* luciferase reporter
270 constructs harboring approximately 600bps of the 3'UTR of TNFAIP3, cloned three prime to the
271 luciferase coding region, with either wild type or mutant microRNA23a predicted seed binding
272 sequences (Figure 2B). Transfecting Hela cells with the wild type 3'UTR and expression vector for
273 microRNA23a resulted in a 31 % reduction in luciferase activity $p=0.02$ (Figure 2C). This was not

seen when the mutated 3'UTR or empty microRNA vectors were transfected. This confirms that microRNA23a is able to repress expression via complementary nucleotide sequences in the 3'UTR of TNFAIP3. Reduction in TNFAIP3 encoded A20 protein in T84 colonic epithelial cells, transfected with pre-miR-23a to overexpress microRNA23a (miRNA23a) or pre-miR negative control (Negative Control), was confirmed with semi-quantitative western blotting (Figure 2D). Conversely, transfection with anti-miR-23a in T84 cells was observed to maintain TNFAIP3 encoded A20 protein at levels similar to anti-miR control (Figure 2E). The effect of transfection on miR-23a levels in T84 cells was confirmed with RT-qPCR (Supplementary Figure 3C,D and Supplementary Figure 4).

TNFAIP3/A20 protein staining was reduced in colonic epithelia in Crohn's disease

After observing that microRNA23a was overexpressed within the epithelium in Crohn's disease, with potential effects on TNFAIP3, we next sought to determine expression of TNFAIP3 mRNA and its encoded protein TNFAIP3/A20, within the colonic epithelium. Using RT-qPCR analysis, total RNA extracted from LCM dissected epithelium, was assayed for expression of TNFAIP3 mRNA in Crohn's donors compared to the healthy cohort. Expression in active disease was not significantly changed compared to healthy controls ($p=0.333$). The expression of mRNA in inactive disease was also not significantly different from healthy controls with a 0.37 fold change ($p=0.294$) (Supplementary Figure 5).

Changes were observed in TNFAIP3/A20 protein staining in tissue sections adjacent to LCM sections assayed for RNA. In biopsies from healthy controls strong immunostaining was seen at apical tight junction and subapical membrane regions of epithelial cells (Figure 3A). In biopsy sections from active and inactive Crohn's disease, there was an observed loss of staining from these cellular regions, with full loss, partial loss with reduced intensity, or patchy staining, (Figure 3A-D). Consistent with these observations, quantification of the immunohistochemical staining by an independent blinded observer confirmed there was a significant reduction in TNFAIP3/A20 protein at apical and subapical membrane locations in both active and inactive Crohn's disease (Figure 3E). Further analysis of data supporting Figure 1A and Figure 3E, correlated specific individual donor values of microRNA 23a in epithelial biopsy sections and TNFAIP3 histochemistry score from adjacent sections (Supplementary Figure 6A,B). This shows there is variation in the

303 level of microRNA23a relative to the immunohistochemistry score in individual snapshots of these
304 dynamic processes.

305 **MicroRNA23a increases NFkB transcriptional activity**

306 TNFAIP3/A20 is known to function as a negative regulator of NFkB signalling^{33,34,60}. We observed
307 that increased levels of microRNA23a in the epithelium in Crohn's Disease coincided with decreased
308 expression of TNFAIP3/A20 protein in adjacent cell sections. Therefore, we investigated whether
309 microRNA23a expression promotes an increase in NFkB transcriptional activity, using a luciferase
310 reporter activated via NFkB response elements upstream of the promoter. There was no difference
311 in basal NFkB transcription when microRNA23a was transfected into cells with the NFkB or non-
312 reporter. The relative luciferase activity of NFkB/23a transfected cells compared to NFkB reporter
313 alone was 1.08 (p=0.1602) (Figure 3F). However when NFkB mediated transcription was stimulated
314 by the addition of 1ng/ml TNF α , a significantly greater increase in luciferase activity was observed,
315 confirming the efficacy of the assay. Relative luciferase expression after TNF α stimulation increased
316 12.9 fold in the absence of microRNA23a, whereas in microRNA23a transfected cells, a 17.43 fold
317 increase was achieved with TNF α (p=0.0098) (Figure 3F). This data confirms that microRNA23a
318 expression increased the transcriptional activity of NFkB in the presence of TNF α .

319 **Overexpression of microRNA23a impairs epithelial barrier function.**

320 Dysregulated epithelial barrier function is linked to inflammatory bowel disease pathogenesis^{19,62-64}.
321 A separate study suggested that TNFAIP3/A20 stabilised occludin at the tight junction, contributing
322 to maintenance of epithelial barrier integrity³⁶. The observed increase in microRNA23a levels and
323 correlation with reduced TNFAIP3/A20 staining in Crohn's, led us to investigate the functional effect
324 of overexpressing microRNA23a on epithelial barrier function. We used the T84 colonic epithelial
325 model⁶⁵ as these cells show limited effects on barrier loss with TNF α alone, requiring synergy with
326 Interferon γ ⁶⁶. This facilitated barrier analysis of increased microRNA23a, in the presence of TNF α ,
327 as often found in the disease milieu. There was no significant difference in transepithelial ionic
328 permeability over days 1-5 with or without TNF α or increased microRNA23a (Supplementary Figure
329 3; Figure 4A). Macromolecular barrier function between transfected cells overexpressing
330 microRNA23a or negative control precursor, assessed at day 5 by FITC dextran permeability

331 measurements, was not significantly different (Figure 4B). However, in the presence of TNF α , FITC-
332 dextran permeability in negative precursor transfected cells was increased (Figure 4B, lanes NC and
333 NC TNF3), and microRNA23a transfection caused a greater increase in permeability with TNF α in
334 the medium (Figure 4B, lanes NC TNF and 23a TNF). Median FITC permeability was 0.24mcg/ml in
335 negative precursor cells and 0.68 mcg/ml with TNF α , compared to 1.11mcg/ml in TNF α with
336 microRNA23a transfection ($p=0.0009$) (Figure 4B). These data show that increased expression of
337 microRNA23a, in the presence of TNF α , leads to significant increase in the permeability of the
338 epithelial macromolecular barrier.

339 **Overexpression of microRNA23a enhances inflammatory cytokine release in an ex vivo**
340 **colonic culture system.**

341 In our study, the NF κ B luciferase reporter assay confirmed that in the presence of microRNA23a
342 there was an increase in NF κ B-mediated transcription (Figure 3F). NF κ B shows enhanced activity
343 in inflammatory bowel disease leading to increased inflammatory cytokine release^{35,67}. We therefore
344 investigated the effect of microRNA23a overexpression on proinflammatory cytokine release in an
345 ex vivo model of human colon. We utilised an explant biopsy culture model⁶⁸, transfected with pre-
346 miR-23a-3p RNA precursor mimic to overexpress microRNA23a, or pre-MiR negative control. LCM
347 with RT-qPCR of mature microRNA23a confirmed transfection of biopsy epithelium (Supplementary
348 Figure 7). Overexpression of microRNA23a resulted in significant release of TNF α at 24hours into
349 explant culture medium (Figure 5A). Median baseline TNF α concentration from explants transfected
350 with empty vector was 14.37pg/ml, with 42.26pg/ml ($p=0.0391$) in microRNA23a overexpressing
351 explants. A trend towards enhanced IL-1 β , IL6 and IL8 release was not statistically significant (Figure
352 5 B-D). However, the marked increase in TNF α release suggested a significant proinflammatory
353 effect of microRNA23a in human colonic explant tissue. Increased detection levels of TNF mRNA,
354 in LCM isolated epithelia from active Crohn's disease patient biopsies, correlated positively with
355 increased microRNA23a expression in these samples ($r=0.8025$ $p=0.0165$) (Figure 6) supporting
356 this interpretation.

357 Discussion

358 In this study, we have demonstrated for the first time that microRNA23a is overexpressed specifically
359 within the colonic epithelium in Crohn's disease compared to healthy controls. Importantly, we have
360 shown that increased microRNA23a was present in both active and inactive disease epithelium,
361 suggesting it is an intrinsic feature of pathogenesis, predisposing the mucosa to increased sensitivity
362 to effects of proinflammatory mediators, including TNF α , by reducing the negative feedback on
363 inflammatory effects of NF κ B⁶⁹. Differential expression of microRNA has previously been reported
364 in several Crohn's disease cohorts^{53,55}. Interestingly, increased microRNA23a levels were reported
365 in the serum of Crohn's disease patients⁴⁰. However, mucosal expression profiles remain poorly
366 characterised. Previous mucosal studies have utilised whole biopsies^{53,55,70}. Due to the
367 heterogeneous cellular nature of these samples, it is difficult to define the cell populations
368 responsible for the observed microRNA changes. Our innovative approach, using laser capture
369 dissection to isolate epithelial cells from colonic biopsies, has allowed us to define microRNA23a
370 expression within a defined colonic cell population and importantly to investigate a functional
371 consequence of this change in epithelial cells.

372 Interestingly, TNFAIP3 was defined here as a putative target, due to a microRNA23a seed binding
373 sequence in the 3' untranslated mRNA. Importantly TNFAIP3 is a negative regulator of the
374 TNF α /NF κ B pathway that was identified in a susceptibility locus for Crohn's³⁷ with reduced TNFAIP3
375 mRNA expression in Crohn's disease mucosa associated with a more severe disease phenotype
376^{38,39}. Our study showed that overexpression of microRNA23a by transfection, in reporter assays with
377 the 3' UTR of TNFAIP3, caused reduced luciferase activity via the intact microRNA23a binding site.
378 MicroRNA23a also reduced TNFAIP3 protein on blots of colonic epithelial cells, although we cannot
379 exclude alternative target mRNA effects. Increased microRNA23a in serial sections of Crohn's
380 epithelium correlated with reduced staining for TNFAIP3/A20 protein. Assays of mRNA for
381 TNFAIP3/A20 were not significantly different between healthy and disease donor biopsies. Therefore
382 taken together these data suggest that microRNA23a overexpression effects on translation are an
383 important factor in the repression of TNFAIP3/A20 protein in Crohn's colonic epithelia. Effects of
384 microRNA on translation has previously been reported^{71,72}.

Our work has identified dysregulation of TNFAIP3, specifically within the epithelium in Crohn's disease, as a contributing factor for increased epithelial permeability. Modulation of TNF α responses is critical for epithelial barrier stability. In this study, increased expression of microRNA23a *per se*, did not affect ionic or macromolecular permeability. However, dextran permeability was sensitive to low doses of TNF α in the presence of increased microRNA23a where TNFAIP3 protein expression was reduced. TNFAIP3 was reported to stabilise the epithelial barrier by altering ubiquitination of occludin, preventing its internalisation from the junction ³⁶. In support of this, we have shown that increased microRNA23a, targeting TNFAIP3 mRNA 3'UTR, caused an increase in epithelial macromolecular flux in the presence of TNF α , a pathway which is mechanistically influenced by occludin ⁷³.

TNF α induces transcription of NFkB, which is elevated in the mucosa of IBD patients ^{35,74}. Our data suggests that one effect of microRNA23a is to increase NFkB activation in response to TNF α in cell culture. Enhanced NFkB transcription was previously reported to cause inflammatory cytokine release and disruption to the epithelial barrier directly via the loss of occludin ^{25,75,76}. TNFAIP/A20 represses NFkB activation ⁷⁷. Our data in an *ex vivo* colonic model demonstrate a functional consequence of microRNA23a overexpression. The gut mucosal explants released baseline levels of TNF α . We cannot exclude that only explant epithelial cells were transfected with microRNA23a, however, the functional consequence was release of increased amounts of TNF α , indicative of a proinflammatory phenotype and increased NFkB transcription, consistent with effects seen in IBD ^{22,78}.

Antagonism of TNF is the cornerstone of current IBD treatment and has been shown to improve epithelial barrier permeability ^{79,80}. Recent work has focussed on understanding the mechanisms underpinning the efficacy of anti-TNF antibodies in the restitution of the barrier. It has been shown that anti-TNF α antibodies prevent internalisation of occludin from epithelial tight junctions, suggesting that they prevent structural impairment of the barrier ^{29,81}. In patients where NFkB is endogenously activated via additional mechanisms to TNF α stimulation, including regulation by microRNA ⁸² it would be anticipated that increased TNFAIP3 and TNF α synthesis would occur ³⁴. Antibody neutralisation of TNF α would allow the TNFAIP3 protein to play a significant role in stabilisation of tight junctions and augmentation of its own synthesis in response to glucocorticoids

414 ⁸³ . Therefore, the observation from our work that increased microRNA23a may inhibit expression of
415 TNFAIP3/A20 is relevant to enhancing disease. In the cytokine milieu associated with active
416 inflammation, the increase in microRNA23a could significantly impair epithelial cells to mitigate the
417 effect of TNF α negatively by TNFAIP3 protein. The effect of glucocorticoids, on expression of
418 TNFAIP3/A20 to mitigate inflammation ⁸³, would also be reduced leading to increased NF κ B
419 activation and further increasing cytokine release. Thus, treatments that target microRNA23a may
420 restore the negative feedback provided by TNFAIP3/A20 and help to enable longer lasting remission
421 within the mucosa after anti-TNF α therapies are clinically discontinued.

422 MicroRNA23a overexpression and TNFAIP3 repression were present in both active and inactive
423 disease, suggesting this dysregulation is an intrinsic feature of the epithelium in Crohn's disease. A
424 further study of a larger active and inactive Crohn's patient cohort would be of value, as we observed
425 donor variation in the level of microRNA23a relative to the immunohistochemistry histology score. In
426 the present study, barrier dysfunction only occurred in the presence of TNF α . This suggests that in
427 the absence of an inflammatory stimulus, epithelial integrity is maintained despite the reduction of
428 TNFAIP3. However, this is fragile and when TNF α is encountered, the epithelium maybe less able
429 to adequately regulate its response, leading to barrier disruption, increased permeability and
430 inflammation with enhanced TNF α expression. This is supported by data from mouse studies in
431 which animals lacking TNFAIP3, specifically within the epithelium, were healthy in the absence of
432 any inflammatory stimulus. However all animals were hypersensitive to sub-therapeutic doses of
433 TNF α with profuse diarrhoea, inflammatory cytokine release and death occurring in all subjects ⁸⁴.
434 Taken together these data may help to explain why patients relapse when discontinuing an anti-
435 TNF α , particularly if the intrinsic TNF regulatory mechanisms remain dysregulated within an
436 epithelium that is sensitised to the effects of TNF because of aberrant microRNA23a expression.

437 This study's principal strength has been the characterisation of a dysregulated TNF regulatory
438 pathway in a defined colonic epithelial cell population. The use of laser capture microscopy to isolate
439 defined cell populations from colonic biopsies has been poorly utilised in IBD research. We have
440 shown that this approach is technically feasible and can enable functionally relevant microRNA
441 protein studies. Current treatments for Crohn's disease antagonise the action of TNF α . Within the
442 epithelium, anti-TNF antibodies exert their effect by stabilising occludin in tight junctions and

supporting the gut barrier, with similarity to the function of TNFAIP3²⁹ suggesting that augmentation of epithelial TNFAIP3 may be beneficial. We have identified microRNA23a as a potential therapeutic target to restore epithelial TNFAIP3 levels. This could augment and improve the efficacy of our current treatments ultimately leading to improved clinical outcomes, greater rates of mucosal healing and lasting remission.

Strategies for the development of anti-microRNA therapy is an evolving field^{85,89}. The approach has entered the clinical area with a successful phase 2 study of MiraVirsen, a chemically-modified anti-microRNA against hepatitis C in human subjects⁸⁷. Targeting of epithelia in inflammatory bowel disease requires delivery of high affinity anti-microRNA oligonucleotides with nuclease resistance and efficient cellular uptake, without immune activation. Administration of miRNA antagonists in several murine colitis models, including via intracolonic injection, reduced colitis severity scores suggesting this could be a viable therapeutic option⁸⁶. Chemical modifications of anti-miR oligonucleotides and improved delivery mechanisms are in development, including the use of nanoparticle-miR oligonucleotide complexes and engineered bacteria which may offer promise in IBD^{86,88}. Taken together these results have identified a novel epithelial-specific mechanism contributing to the disruption of the epithelial barrier and impairment of mucosal healing in Crohn's disease. Further research is required to translate microRNA therapeutics to the field of IBD.

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Author Contributions

JC, RF and FC designed the study. RF and FC recruited patients. RF undertook most experimental work, with GD and RMN doing cloning work, with TSE giving advice on microRNA assays and transfection experiments. JC and RF analysed the data and wrote the paper with FC, with important contributions from all authors in completing and reviewing the manuscript.

The manuscript, including related data, figures and tables has not been previously published and that the manuscript is not under consideration elsewhere

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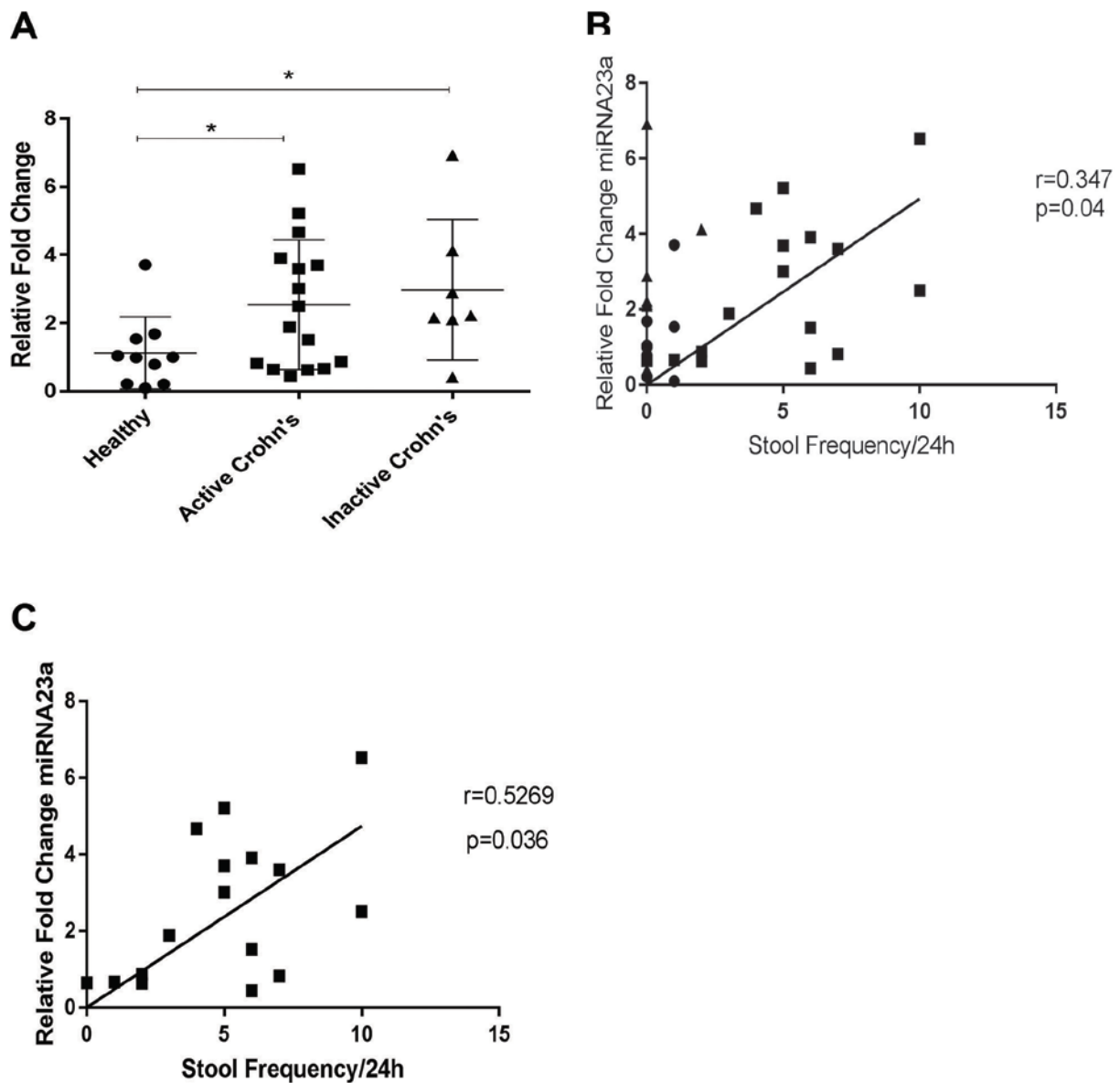
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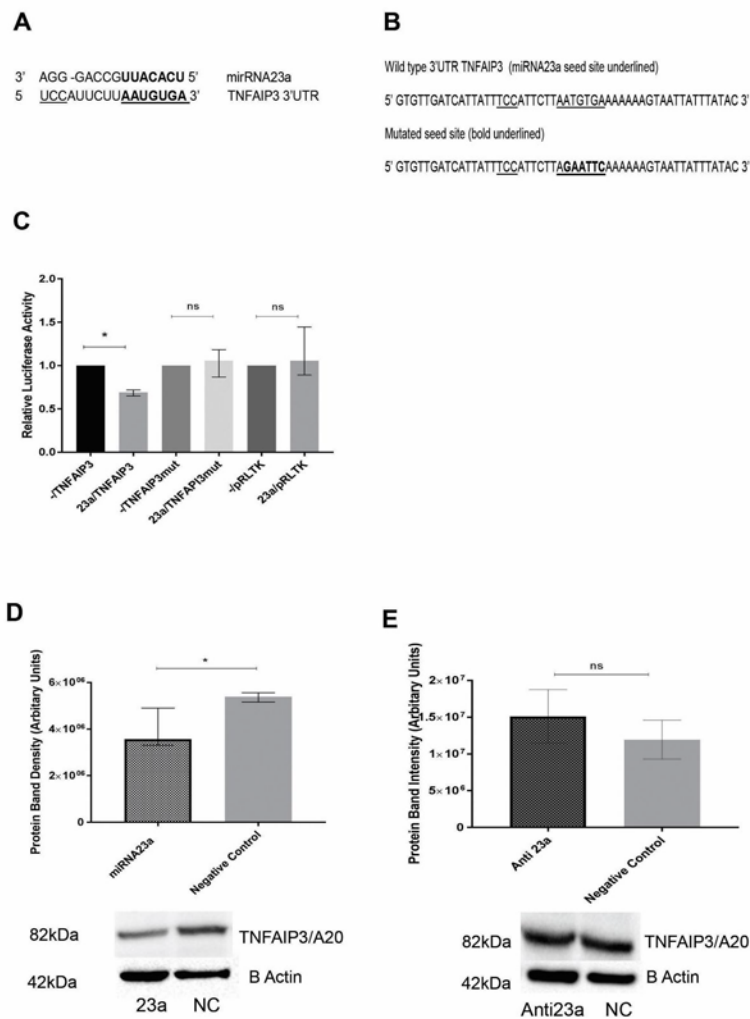
696 **Figure1**

697

698 **Figure 1:** MicroRNA23a expression in Crohn's Disease epithelium and correlation with stool
 699 frequency. MicroRNA23a expression in active and inactive Crohn's disease relative to healthy
 700 controls with median and interquartile range (A), * $P < .05$. Correlation between stool frequency and
 701 microRNA23a in Crohn's disease for all donors $R=0.347$ $P=<.05$ (B) and correlation for active
 702 disease only $R=0.5269$ $P=<.05$ (C), both assessed with Spearman rank coefficient. Each point
 703 represents an individual value, healthy (circles), active Crohn's (squares), inactive disease
 704 (triangles).

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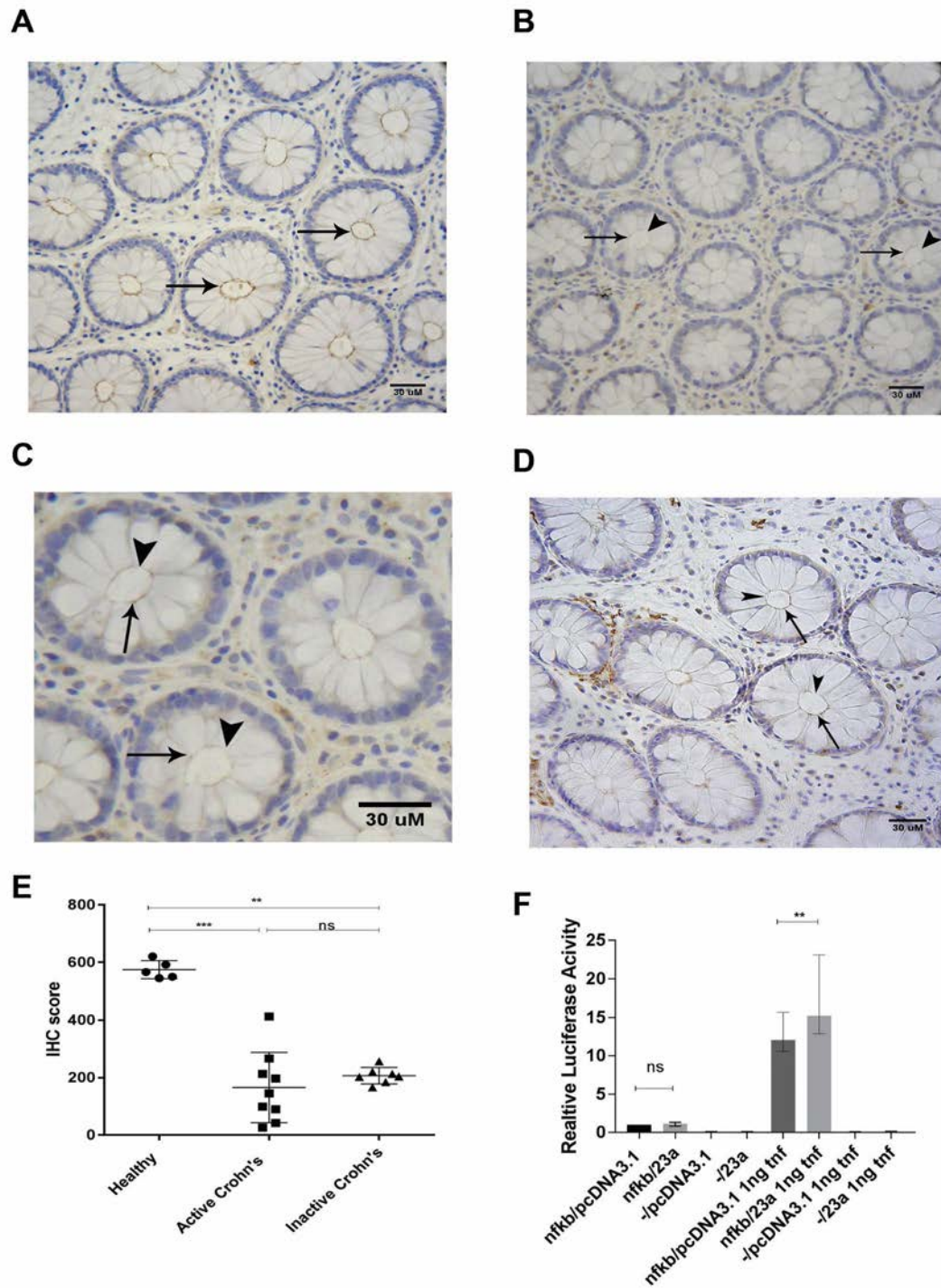
706 **Figure 2**



707

708 **Figure 2:** MicroRNA23a represses luciferase via TNFAIP3 3'UTR. Bioinformatic data
709 (MicroRNA.org) showing predicted microRNA23a binding site in 3'UTR TNFAIP3 (A). Sequence of
710 3'UTR of TNFAIP3 with microRNA23a binding site underlined above, with mutated microRNA23a
711 binding site in bold underlined below (B). Relative *Renilla* luciferase activity (normalised to CMV
712 *Firefly* luciferase) showing a reduction in Hela cells when microRNA23a is transfected with the 3'UTR
713 of TNFAIP3, which recovers when the 3'UTR is mutated (C) * $P<0.05$. Quantification of western blots
714 (D) showing reduction in TNFAIP3 protein in T84 cells transfected with pre-miR-23a to overexpress
715 microRNA23a (miRNA23a) or pre-miR negative control (Negative Control) relative to beta actin
716 staining (n=3) * $P<0.05$. Representative blot (E) of T84 cells transfected with anti-miR-23a (anti23a)
717 compared to control anti-miR inhibitor (Negative Control) showing TNFAIP3 protein levels relative to
718 beta actin $P=0.29$

719

720 **Figure 3**

721

722 **Figure 3:** Immunohistochemical staining of TNFAIP3 (brown) in colonic biopsies from healthy (A)

723 active Crohn's disease (B,C) and inactive Crohn's (D). Strong apical staining is seen in healthy tissue

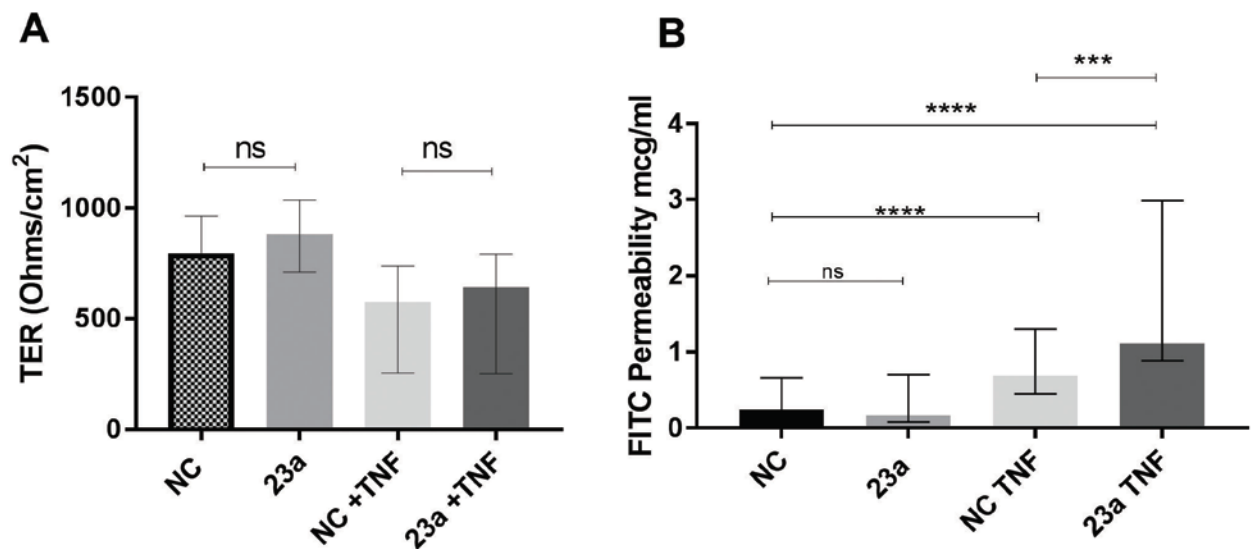
724 (arrows in A). Apical staining is present but reduced in intensity in both active and inactive disease

725 (arrows B-D) with discrete areas of staining loss (arrow heads). Immunohistochemistry

726 quantification (E), each value is one donor with data shown as median and interquartile range

727 **** $P<0.01$, *** $P<0.001$.** Effect of microRNA23a on NFkB reporter activity in Hela cells (F). Cells were
728 transfected with NFkB luciferase reporter with (nfkb) or without (-) NFkB response elements, in the
729 presence of pcDNA3.1-23a (23a) or empty vector (pcDNA3.1) with or without TNF α at 1ng/ml (tnf).
730 Data presented from n=5 duplicates, **** $P<0.01$.**

731 **Figure 4**

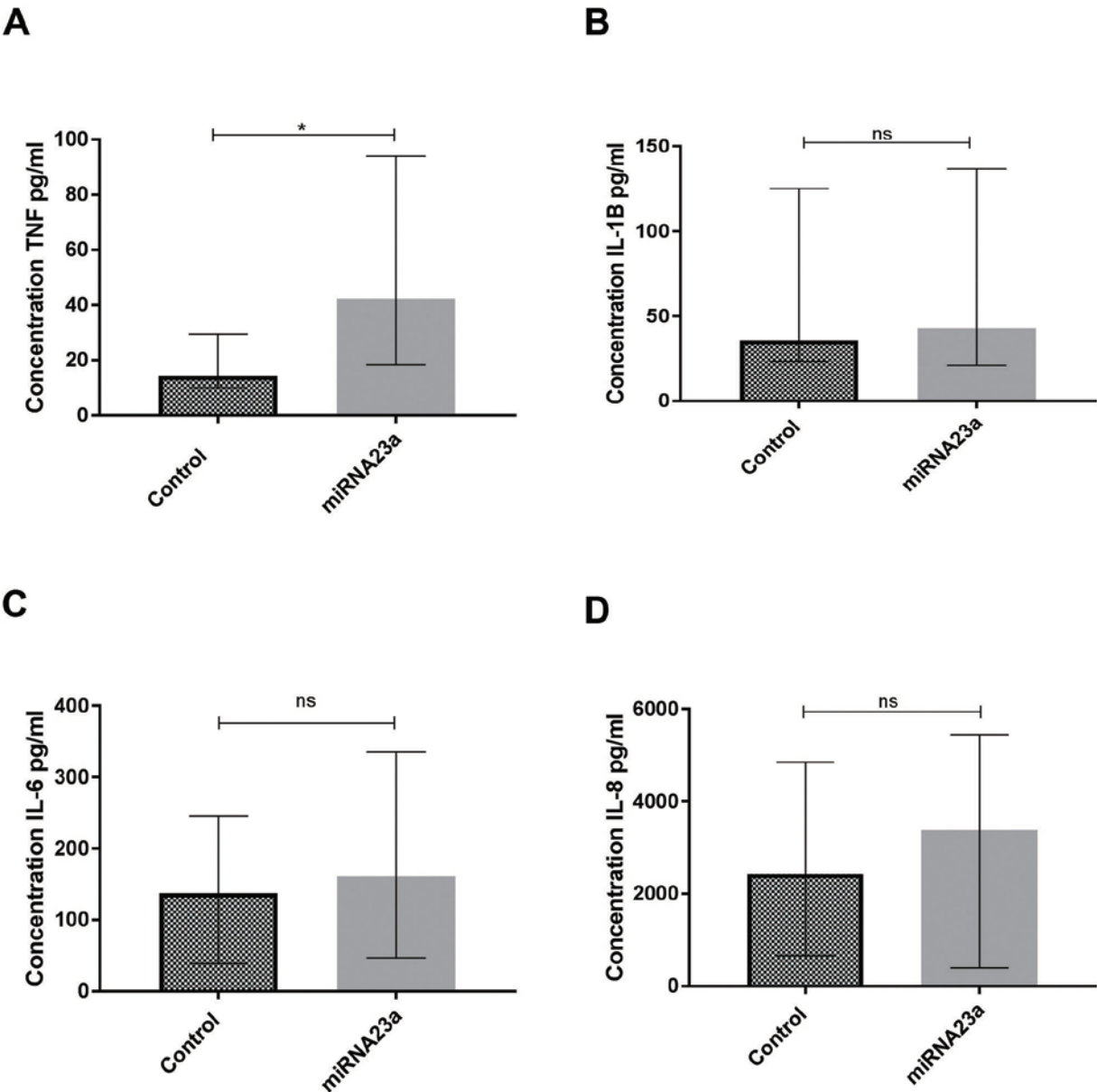


732

733 **Figure 4:** Effect of microRNA23a transfection on ionic permeability (TER) of T84 cells transfected
734 with pre-miR-23a (23a) or pre-miR negative control (NC) plus or minus addition of TNF α at 1ng/ml
735 (23a TNF) and (NC TNF) on day 5, showing no significant differences (A). FITC dextran permeability
736 on day 5 in same cultures, showing effect of microRNA23a (23a) or pcDNA3.1 empty (NC), minus
737 or plus TNF α (TNF) (B). All data show as median with interquartile range from n=3 duplicates,
738 ***** $P<0.001$ **** $P<0.0001$.**

739

740 **Figure 5**



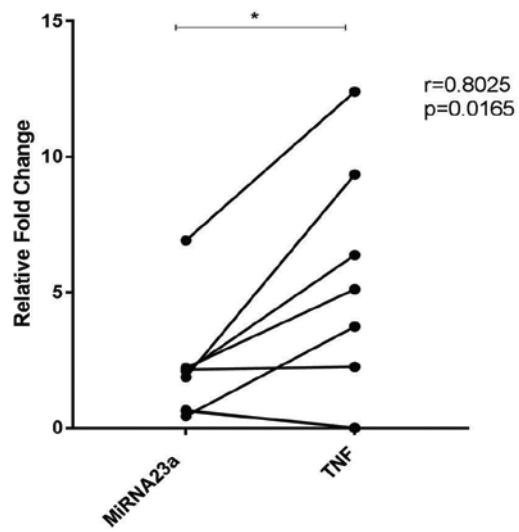
741

742 **Figure 5:** Cytokine assays of supernatants from 24 hour explant cultures of healthy human colonic
743 biopsies transfected with pre-miR-23a to overexpress microRNA23a (microRNA23a) or nonspecific
744 pre-miR negative control vector (Control). Data shown as median with interquartile range (n=8). (A)
745 TNFα **P*<0.05. (B) IL-1β *P*<1.0. (C) 24 hour supernatant IL-6 concentration *P*<1.0. (D) IL-8 *P*<1.0.

746

747

748

749 **Figure 6**

750

751 **Figure 6:** MicroRNA23a expression and corresponding TNFα mRNA expression in active Crohn's
 752 disease LCM isolated epithelium from individual donors. Correlation strength was tested with
 753 Pearson correlation coefficient $R=0.8025$ $*P<.05$