

# JOURNAL

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## TITLE

Diabetes is associated with increased risk of hepatocellular carcinoma in non-alcoholic steatohepatitis with cirrhosis – Implications for surveillance and future pharmacotherapy.


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## MAIN TEXT

Hepatocellular carcinoma (HCC) is the most common liver related complication seen in patients with non-alcoholic fatty liver disease (NAFLD) and non-alcoholic steatohepatitis (NASH).<sup>1</sup> NASH is strongly associated with type 2 diabetes mellitus (T2DM) but it has also been apparent for a number of years that T2DM is associated with an increased risk of HCC independent of the presence of NASH.<sup>2</sup> International guidelines advocate surveillance for HCC in patients with NASH who have progressed to cirrhosis.<sup>3</sup> An important clinical question is whether T2DM confers an increased risk of HCC once patients with NASH have progressed to cirrhosis. Yang and colleagues<sup>4</sup> recently reported strong evidence that T2DM is an independent risk factor for HCC in patients with NASH-related cirrhosis.

Yang and colleagues performed a retrospective analysis of a prospectively registered research dataset of patients with NASH-related cirrhosis. Liver cirrhosis was defined by at least one of the following: (1) liver histology; (2) features of portal hypertension or hepatic encephalopathy; (3) radiological evidence including a nodular liver; (4) increased liver stiffness (>5kPa on magnetic resonance elastography). The dataset consisted of 354 patients who were seen at the Mayo Clinic Rochester between January 2006 and December 2015. The mean age of the cohort was 61.5 years, 41% of the patients were male, 71% of the patients had T2DM and 95% were white. The median follow-up period was 46 months for patients with T2DM and 47 months for patients without T2DM. The T2DM group was proportionally less white in ethnicity (92.1% vs 99.0% respectively), had lower bilirubin, had lower Child-Pugh score, but had more hypertension and dyslipidaemia. There was a trend towards more cigarette smoking in the T2DM group.

The magnitude of increased risk of HCC in patients with T2DM was modest but significant. The multivariate analysis demonstrated that in patients with NASH-related cirrhosis T2DM was an independent risk factor for the development of HCC with an adjusted hazard ratio (aHR) 4.18, 95% confidence interval (CI) 1.23-14.2. The multivariate analysis adjusted for age, sex, ethnicity, Child-Pugh score, albumin, platelets and metformin use.

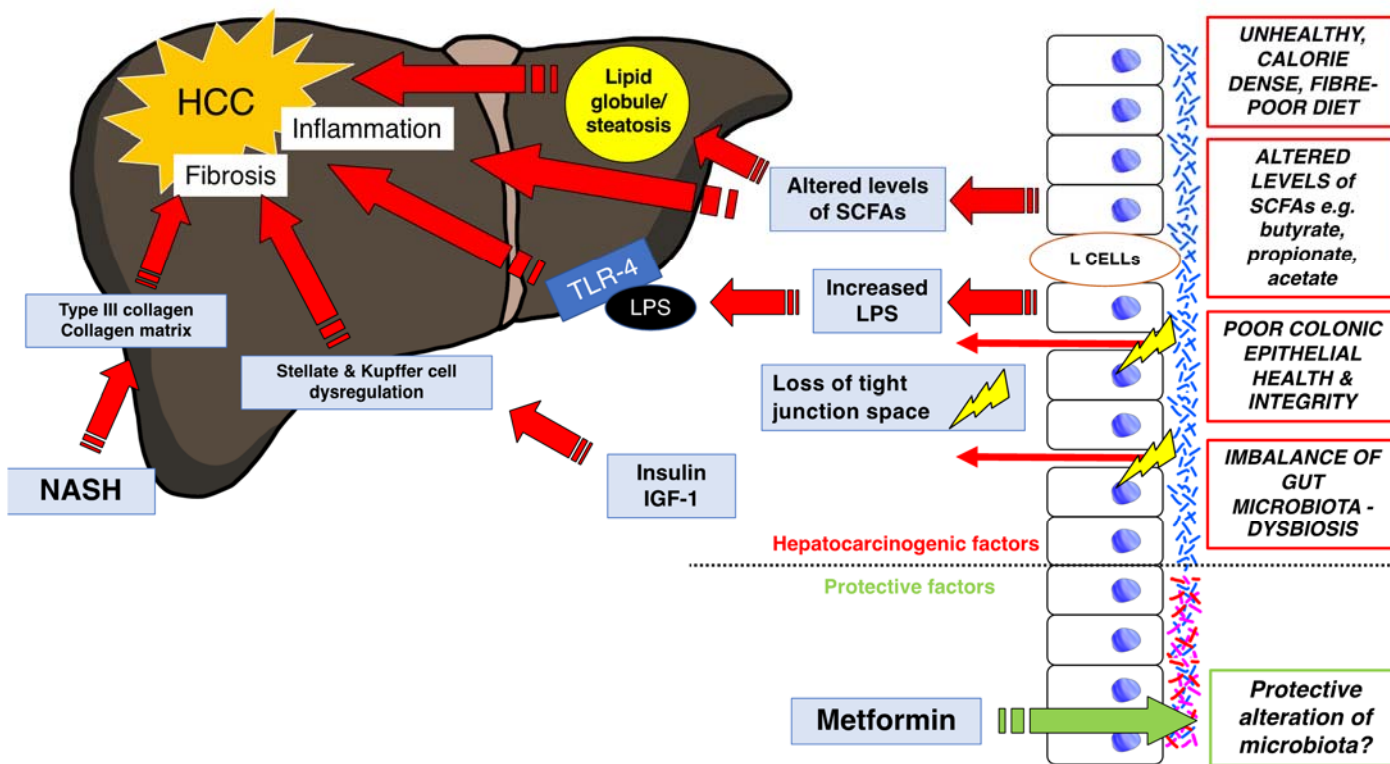
There were 30 cases of HCC (27 in T2DM group and 3 in group without T2DM). The authors acknowledged that the small number of cases of HCC is a limitation of the study. However, to account for this Yang and colleagues went on to validate the association between T2DM and HCC risk in 6,630 liver transplant registrants with NASH-related cirrhosis identified within the United Network for Organ Sharing / Organ Procurement and Transplantation Network (UNOS/OPTN) dataset from between 1<sup>st</sup> January 2003 and 31<sup>st</sup> December 2016. The authors demonstrated in the UNOS dataset that T2DM was an independent risk factor for HCC in patients with NASH-related cirrhosis (aHR 1.38, 95% CI 1.19-1.59). The multivariate analysis

adjusted for all variables for age, sex, Child-Pugh score, albumin and creatinine. There are limitations in analysis of the UNOS registry, particularly with regard to removal of patients from the registry. A previous study of data from four transplant centres demonstrated that the UNOS registry misclassified 8.6% of patients removed for death or clinical deterioration – they were assigned the code ‘other’.<sup>5</sup> Nearly half of the 8.6% patients misclassified as ‘other’ were in fact removed due to development of HCC. The authors acknowledged a further limitation of the UNOS dataset which was the potential removal of patients who develop HCC outwith Milan criteria but who were censored from the analysis due to their removal. These censored patients would not have been counted as cases of HCC. These stated limitations of the UNOS registry may also account for the lower aHR observed compared to the Mayo Clinic Rochester cohort.

Obesity has been previously identified as a risk factor for HCC.<sup>6</sup> It is interesting that Yang and colleagues did not find an association between obesity and risk of HCC in their study. However, it is possible that the apparent lack of association relates to the methodology in the study by Yang and colleagues. Firstly, by virtue of being a cirrhotic population there was presumably a number of patients with ascites or hypoalbuminaemia associated oedema. The presence of ascites or oedema confounds the conventional definition of obesity based on body mass index (BMI). Secondly, an increased risk of HCC is most strongly associated with early adult obesity.<sup>6</sup> A history of early adult obesity was not used in the study by Yang and colleagues.

Kang and colleagues found that the use of metformin was not associated with an altered risk of HCC in patients with NASH-related cirrhosis. However, the authors acknowledged the limitation which was the small number of patients on metformin in the study. Treatment with metformin has previously been associated with a ~50% decrease in incidence of HCC in patients with NAFLD when compared to incidence of HCC in patients treated with sulphonylureas, glitazones or insulin.<sup>7</sup> Furthermore, a protective effect of metformin against HCC development seems to have biological plausibility. As illustrated in **Figure 1**, metformin is associated with alteration of the gut microbiota, and in a mouse model there is a particular increase in number of the bacterium *Akkermansia*.<sup>8</sup> Oral administration of *Akkermansia* to high fat diet mice without metformin improves glucose tolerance and reduces adipose tissue inflammation.<sup>8</sup> The possibility of an effect of insulin on HCC risk in T2DM is less clear. There has been a previous in vitro study of human hepatic stellate cells which demonstrated that insulin stimulated hepatic stellate cell proliferation and collagen synthesis in vitro.<sup>9</sup> This might suggest a potential mechanism by which exogenous insulin could promote liver fibrosis and hepatocyte proliferation leading to HCC. However, the difficulty in analysing previous observational studies for the

association between metformin and HCC risk, or insulin and HCC risk, is that therapy for T2DM changes with severity of T2DM. Therefore, severity of T2DM is likely to be a confounder.



**Figure 1.** Mechanistic pathways associated with promotion of hepatocarcinogenesis in T2DM.

T2DM is associated with an increased risk of NASH. NASH is associated with a progressive process of collagen deposition and fibrogenesis. This inflammatory process drives hepatocellular DNA damage, endoplasmic reticulum stress and hepatocellular necrosis leading to regenerative nodule formation, dysplastic nodules and ultimately carcinoma. Unhealthy diet and T2DM-related dysbiosis within the gut are associated with loss of intestinal integrity and altered levels of SCFAs. Due to loss of intestinal integrity there is translocation of pro-inflammatory bacterial components such as LPS across the gut wall, which activates TLR-4 receptors. There is evidence that TLR-4-dependent secretion of growth factors, including epiregulin, mediate a hepatocarcinogenic effect.<sup>10</sup> Butyrate is a SCFA that upregulates apoptosis in the liver via modulation of ROS. The alteration in levels of SCFAs, including butyrate, is associated with reduced apoptosis and increased steatosis, cholestasis and hepatocarcinogenesis.<sup>11</sup> In the presence of insulin resistance and NASH there is also a reduction in levels of IGF-1.<sup>12</sup> Alteration in IGF-1 levels may dysregulate immune response by hepatic stellate cells (HSC), predisposing to hepatocellular proliferation and hepatocarcinogenesis. Insulin appears to stimulate HSCs collagen deposition.

(HCC, hepatocellular carcinoma; HSC, hepatic stellate cell; IGF-1, insulin-like growth factor 1; L cell, L type neuroendocrine cell; LPS, lipopolysaccharide; NASH, non-alcoholic steatohepatitis; ROS, reactive oxygen species; SCFA, short chain fatty acid; T2DM, type 2 diabetes mellitus; TLR-4, Toll like receptor 4)

The finding that T2DM is an independent risk factor for HCC even in the presence of NASH and liver cirrhosis supports a proposal that there may be additional mechanisms promoting hepatocarcinogenesis. Some of the mechanisms by which T2DM appears to promote hepatocarcinogenesis are mediated via changes in the gut wall (see **Figure 1**). The intestinal dysbiosis which is associated with T2DM appears to have an effect on the integrity of the gut wall.<sup>13</sup> This increased permeability of the gut wall allows direct translocation into the portal vein of pro-inflammatory bacterial components such as lipopolysaccharide (LPS), which has been shown to promote tumorigenesis in a mouse model via the Toll-like receptor 4 (TLR-4).<sup>14</sup> There is also the potential effect of change in concentrations of short chain fatty acid (SCFA) in T2DM.<sup>13</sup> Changes in levels of SCFAs such as butyrate have been associated with reduced apoptosis and increased steatosis, cholestasis and hepatocarcinogenesis.<sup>11</sup>

Yang and colleagues have demonstrated that T2DM is an independent risk factor for the development of HCC in the most severe form of NAFLD, i.e. NASH-related cirrhosis. The authors have made reference to the potential clinical implications of this finding. Firstly, it may better help identify those at risk of HCC such that more sensitive surveillance strategies may be developed. Secondly, it may identify modifiable risk factors which could be the target of interventions or pharmacotherapy, and therefore reduce overall risk of developing HCC.

With regards to the first issue of surveillance or monitoring for HCC in NASH, the authors concluded that 'high-risk patients such as older diabetics with low serum albumin level should be carefully monitored for HCC development'. However, all of the patients in the study by Yang and colleagues had cirrhosis. Whereas there is already an accepted strategy to monitor for HCC in patients with cirrhosis<sup>3</sup> there is much more of a debate about whether to monitor for HCC in non-cirrhotic patients.<sup>15</sup>

With regards to modifiable risk factors, Yang and colleagues also mention the lack of association between risk of development of HCC and metformin use in their study. However, the authors were unable to provide data on HbA1c or duration of T2DM. Therefore, in the absence of a well-matched control incorporating these characteristics it is difficult to draw a conclusion about whether metformin attenuates HCC risk or not.

It is important to consider the effect of potential pharmacotherapy in T2DM and the effect it may have on risk of development of HCC in NASH-related cirrhosis. Downregulation of the mechanisms contributing to HCC risk in NASH will be challenging for any future single agent licensed for NASH. Yang and colleagues have demonstrated that T2DM is also an independent

risk factor for development of HCC in NASH-related cirrhosis. We agree with the authors that prospective studies are needed to examine the effect of agents for T2DM and their effect on HCC risk.

## ABBREVIATIONS

aHR, adjusted hazard ratio; BMI, body mass index; CI, confidence interval; HbA1c, haemoglobin A1c; HCC, hepatocellular carcinoma; HSC, hepatic stellate cell; IGF-1, insulin-like growth factor 1; L cell, L type neuroendocrine cell; LPS, lipopolysaccharide; NAFLD, non-alcoholic fatty liver disease; NASH, non-alcoholic steatohepatitis; OPTN, Organ Procurement and Transplantation Network; ROS, reactive oxygen species; SCFA, short chain fatty acid; T2DM, type 2 diabetes mellitus; TLR-4, Toll-like receptor 4; UNOS, United Network for Organ Sharing

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