

1 **Cavitation clusters in lipid systems – the generation of a bifurcated streamer and the dual**
2 **collapse of a bubble cluster**

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21 **Abstract**

22 Several studies have reported the use of high intensity ultrasound (HIU) to induce the crystallization
23 of lipids. The effect that HIU has on lipid crystallization is usually attributed to the generation of
24 cavities but acoustic cavitation has never been fully explored in lipids. The dynamics of a particular
25 cavitation cluster next to a piston like emitter (PLE) in an oil, was investigated in this study. The lipid
26 systems, which are important in food processing, are studied with high-speed camera imaging, laser
27 scattering and acoustic pressure measurements. A sequence of stable clusters were noted. In
28 addition, a bifurcated streamer was detected which exists within a sequence of clusters. This is
29 shown to originate from two clusters on the PLE tip oscillating with a 180° phase shift in time with
30 respect to one another. Finally, the collapse phase of the cluster is shown to involve a rapid ($< 10 \mu\text{s}$)
31 two stage process. These results show that the dynamics of cluster formation and collapse is driven
32 by HIU power levels and might have implications in lipid sonocrystallization.

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34 **Keywords:** Lipids, cavitation, crystallisation, bubbles, clusters, dynamics

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42 **Introduction**

43 Cavitation in aqueous environments has been extensively investigated (Lauterborn, Kurz,
44 2010, Young, 1999). However, acoustic cavitation in edible lipids has received relatively
45 little fundamental investigation (Martini, Tejeda-Pichardo, Ye, Padilla, Shen, Doyle, 2012).
46 Edible lipids provide flavour, mouthfeel, and palatability to foods. In many cases, the
47 amount of solidified lipids and their crystalline network properties provide these desired
48 characteristics. In turn, these characteristics can be tailored by changing processing
49 conditions or the solidification method. To this end, the deployment of high intensity
50 ultrasound (HIU) has gained traction as this technique is able to alter the kinetics of
51 crystallisation of materials (Chen, Zhang, Sun, Wang, Xu, 2013, Higaki, Ueno, Sato, 2001,
52 Martini, Tejeda-Pichardo, Ye, Padilla, Shen, Doyle, 2012, Patrick, Blindt, Janssen, 2004,
53 Suzuki, Hartel, Martini, 2010, Ueno, Ristic, Higaki, Sato, 2003, Ye, Martini, 2015, Ye, Wagh,
54 Martini, 2011, Zhong, Allen, Martini, 2014). However, control over and the understanding
55 of the exact mechanisms and processes which occur in these systems remains uncertain.
56 This lack of fundamental knowledge limits the exploitation of this approach within this
57 highly important arena, as large sets of empirical experiments are needed. Such
58 experiments must be repeated for different lipid materials, which is both laborious and
59 ineloquent.

60 Clearly, the use of a targeted approach, where the dynamics of the relevant process
61 may be predictable, would be highly desirable. If we consider the fundamental mechanisms
62 available, the ability of HIU to generate and alter the bubble population (Birkin, Leighton,
63 Power, Simpson, Vinc, Joseph, 2003) and their dynamics must be a key area for
64 investigation. These bubble processes or cavitation dynamics will undoubtedly have a
65 profound effect on these systems as they do for other chemical (Flint, Suslick, 1991, Price,
66 1992, Price, Ashokkumar, Grieser, 2003, Price, Lenz, 1993, Suslick, Hammerton, Cline, 1986,

67 Weessler, Cooper, Snyder, 1950) and physical (Birkin, Offin, Joseph, Leighton, 2005, Vyas,
68 Preece, 1976, Whillock, Harvey, 1997) processes. For example, the effects on nucleation
69 and crystal growth phenomena have been proven to be significant (Chow, Blindt, Chivers,
70 Povey, 2005, Chow, Blindt, Kamp, Grocutt, Chivers, 2004, Higaki, Ueno, Sato, 2001, Ueno,
71 Ristic, Higaki, Sato, 2003). Nevertheless, these key mechanistic processes in lipids have still
72 to be fully mapped. Previous work (Birkin, Foley, Truscott, Merritt, Martini, 2017) has
73 shown that the bubble dynamics observed in an oil environment are different from those
74 observed in aqueous systems. The most interesting observation was that a bubble
75 cluster (Hansson, Kedrinskii, Morch, 1982, Hansson, Morch, 1980) with an unusual shape and
76 specific periodicity could be generated in the oil media. This cluster was associated with a
77 collimated streamer of bubbles that travelled away from the cluster at velocities in the
78 order of $1-10 \text{ m s}^{-1}$. In addition local heating and possible outgassing were identified, all
79 implying significant differences in these oil systems compared to that of water.

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81 Hence, it is timely, particularly considering the technological significance of these
82 materials, to characterise and contrast the cavitation fields that are generated in these
83 environments with the eventual aim of understanding the factors present and their
84 influence on the crystallisation of oils. This characterisation stage is the topic of the
85 investigation reported here.

86

87 **Materials and Methods**

88 *Experimental set-up:* The experimental set up has been described previously (Birkin, Foley,
89 Truscott, Merritt, Martini, 2017). Briefly, liquid oil was placed in a polycarbonate cell (60
90 mm x 60 mm x 150 mm) and cavitation was generated using a piston like emitter (PLE,
91 Misonix, XL2000, 23 kHz and Misonix, S-3000, 20 kHz) immersed in vegetable oil. A 3.2 mm
92 diameter tip was employed in all cases. The tip of the PLE was not polished as this was
93 found not to be conducive to the formation of stable clusters in these oil systems (Birkin,
94 Foley, Truscott, Merritt, Martini, 2017). Sonication was initiated and applied continuously
95 through the data capture windows employed by recording the signals a few seconds after
96 the PLE was started. This approach avoids any ring-up processes, which, although
97 interesting, are not considered here. The power reported for the systems used was
98 determined from the amplifiers used and the associated unit given (W_{rms} for the XL2000 and
99 W for the S-3000). Temperature changes, both local and through the bulk, and the calorific
100 input to the system have been detailed elsewhere (Birkin, Foley, Truscott, Merritt, Martini,
101 2017). Acoustic data, laser scattering and imaging were gathered with the PLE running
102 continuously (to avoid ring-up issues). However, to avoid excessive heating these PLE
103 excitation was terminated after $\sim 10\text{-}20$ s exposure.

104 *Characterization of bubble dynamics:* High-speed imaging, acoustic emission, and laser
105 scattering measurements were recorded during sonication. High-speed imaging (using a
106 Photron APX-RS or SAZ camera and Navitar x12 lens or a Phantom V2011 and a Sigma (105
107 mm) fixed lens with 50 mm extension tubes) of the region below the tip within the oil
108 system was combined with a calibrated hydrophone (Reson TC4013) positioned 15 ± 5 mm
109 to the side of the tip of the probe (itself 15 mm immersed into the oil). For reference the
110 sensitivity is -2.66×10^{-5} V Pa⁻¹ at ~ 22.7 kHz in water (from the data sheet provided from the
111 manufacturer), however, this calibration data cannot be assumed to be accurate within the




112 oil system without further information. Hence, the sensitivity is given for reference only.
113 The hydrophone signals are left as voltage time series but are useful in ascertaining the
114 acoustic emissions present within the system. In addition, although the high-speed camera
115 images were used to identify specific clusters and their dynamics, hydrophone
116 measurements allow for the routine identification of the periodicity of the oscillation of the
117 clusters formed during sonication.

118 A laser scattering approach (Birkin, Foley, Truscott, Merritt, Martini, 2017; Birkin,
119 Offin, Vian, Leighton, 2011; Offin, 2006) was also deployed below the HIU source. This
120 system is used to follow transient bubble expansion in this environment on the μs timescale
121 and is suitable for the monitoring of bubble dynamics below PLE tips. FFT analysis of the
122 acoustic data was performed using a bespoke data analysis program (Microsoft Visual Basic
123 2010 and National Instruments Measurement Studio). Briefly, the data was captured on an
124 oscilloscope with the appropriate timing signals controlling both acquisition and cameras
125 experiments. This data was then processed as discrete time windows (related to the
126 periodicity of the PLE) and the frequency spectrum was obtained through a Measurement
127 studio function (National Instruments). A digital oscilloscope (Owon, DS7102V DSO) was
128 used to capture the acoustic and scattering data (sample rate 1 MHz). Air saturated
129 sunflower oil (Independent) or soybean oil (Great Value, Arizona), SBO, was used in the
130 experiments (see legends). The clusters were found to be very reproducible across labs and
131 with different sets of equipment (see above). Images, hydrophone voltage-time histories
132 and scattering data are representative of a larger data set.

133

134 **Results and discussion**

135 *Bubble events generated during sonication:* In a previous work, we have described the two
136 main elements of the observed bubble dynamics generated through sonication of an oil.
137 These were the formation of a cluster of bubbles close to the sonicator tip and a streamer of
138 bubbles (that are generated by this cluster) that move away from the PLE tip (Birkin, Foley,
139 Truscott, Merritt, Martini, 2017). One of the experimentally observable characteristics of
140 these lipid systems under these conditions is that a set of clear audible ‘notes’ can be
141 detected by ear. These ‘notes’ change as the amplitude of the sound source is altered. In
142 brief, as the amplitude of tip oscillation was increased (through using higher settings in the
143 sonicator) the cluster of bubbles that formed at the tip of the PLE were found to oscillate at
144 a reduced frequency with respect to the frequency of the source deployed (Birkin, Foley,
145 Truscott, Merritt, Martini, 2017). These cluster frequencies were found to be stable, over
146 an extended period for many seconds, for particular sonicator settings. For these stable
147 clusters, their frequency of oscillation are defined as f/N where f is the frequency of the PLE
148 used and N is an integer value. The audible ‘notes’ are then attributed to the particular
149 periodicity of the stable cluster that forms under the PLE for any given set of conditions and
150 its associated acoustic emission (Birkin, Foley, Truscott, Merritt, Martini, 2017). To
151 investigate the mechanisms generated in this environment further, it is desirable to
152 characterise the system over a wider range of tip amplitudes to understand all the
153 conditions, which are generated as the tip amplitude of the HIU source (the PLE in this case)
154 was varied. To do this, a set of experiments were performed looking for the conditions
155 required to generate a ‘clear’ acoustic signature. Note that between these clear ‘notes’, a
156 mixed response (where the audible emission is notably different) was observed. This
157 corresponds to a less well-defined or mixed cluster behaviour. Figure 1 shows the
158 frequency components obtained from the acoustic signature recorded as the PLE drive

159 conditions were changed. Here the amplitude of the PLE tip oscillation (a Misonix S-3000
160 system that is a more powerful source than the Misonix XL2000 system) was altered and the
161 acoustic emission from a bubble populated liquid oil analysed to determine the frequency
162 components present. Figure 1 is arranged to show the lowest power inputs at the base and
163 the highest at the top (see legend). Note in these experiments, the data was recorded in
164 the steady state (e.g. the PLE was driven continuously while the data was captured) and that
165 the experiments were recorded sequentially from low to high with respect to the energy
166 input. Figure 1 shows that at the lowest power inputs employed (3 W, ) a single
167 subharmonic peak was detected indicating an $f/2$ component generated at the tip. Imaging
168 with a high-speed camera is inconclusive under these conditions. Bubble events are
169 observed (not shown) but the origin of the emission may be either general cavitation bubble
170 dynamics (Lauterborn, Kurz, 2010) or cluster behaviour. As the reported power of the HIU
171 source was increased, the acoustic emission changed and two subharmonic emissions
172 appeared (9 W, ). This was attributed to a cluster with an $f/3$ frequency. However,
173 increasing the power input further yields a single subharmonic at ~ 12 W (Figure 1, ). This
174 was accompanied by a change in shape of the cavitation plume observed by eye. Under
175 these conditions the streamer that formed appeared to split into two (all other clusters
176 produced a single streamer moving away from the PLE tip). This streamer is termed a
177 'bifurcated streamer' (BiS). Further characterisation of this unusual event was obtained
178 using high-speed imaging of the system (see later discussion and Figure 3). The generation
179 of the BiS event was accompanied by a distinct drop in the audible output of the cell (as
180 determined by ear and shown in Figure 1 as a largely featureless region from 0-15 kHz
181 except for a single peak at ~ 10 kHz). Increasing the power input further allows for clusters
182 with different periodicity (with higher N values in the range 4-7) to be observed. Here,

183 under these experimental conditions, a cluster with an $f/7$ frequency can be generated at
184 the higher end of the power applied. Presumably, this upper limit was determined by the
185 possible power output of the PLE employed in this study and the tip dimensions. This data
186 also allows us to map the regions in power (and the PLE oscillation amplitude) where
187 different behaviours can be generated. Figure 2 shows that as the drive stimuli was
188 increased (as indicated by the power in watts reported by the amplifier), the order of the
189 cluster climbs. Included in this figure is a measure of the actual tip amplitude (zero-to-peak)
190 determined from independent high-speed camera experiments. These results suggest that
191 the origin of the 'clear' audible note from the experimental system are these remarkably
192 stable clusters with a consistent period of oscillation. The audible notes in turn are
193 representative of the set of subharmonics, which are generated. This contrasts with the
194 general 'hiss' associated with the so called 'white noise' generation often associated with
195 cavitation(Young, 1999) itself and when mixed cluster behaviour is generated.

196 *Characterization of the bifurcated streamer:* Amongst the set of experimental investigations
197 discussed above, an unusual BiS event was observed in the bubble populated media. This
198 occurred at power inputs between an $f/3$ and $f/4$ cluster (see Figure 1 and 2). Further
199 evidence for the mechanism driving this bifurcated behaviour can be gathered from high-
200 speed imaging of the clusters that form under these conditions. Figure 3 shows a set of
201 images obtained of a bifurcated streamer formed during sonication . Here the images were
202 obtained at 150 kfps and a suitably fast shutter speed. The 14 images shown represent a
203 single period of the clusters observed. In this case the imaging shows clear evidence for the
204 origin of the BiS event, specifically two small clusters operating 180° out of phase (labelled
205 C1 and C2 in Figure 3). In each case the cluster has a period of $93 \pm 7 \mu\text{s}$ (14 ± 1 frames of
206 the high-speed image shown in Figure 3). This represents 2 periods of the 22.5 kHz ($44 \mu\text{s}$)

207 PLE source employed. However, as there are two clusters, which oscillate out of phase (e.g.
208 an 180° phase shift with respect to one another), the acoustic emission will be composed of
209 a pressure pulse every cycle of the acoustic drive. The frequency analysis reflects this
210 behaviour with a weak emission centred on $f/2$, which presumably suggests a non-ideal
211 nature of the pressure pulses produced or the conditions within the cell (non-uniform
212 shielding, for example). Moreover, the bifurcated cluster/streamer represents a $f/2+(f/2)'$
213 case (where the ' indicates a 180° phase shift of one cluster with respect to the other
214 cluster) which appears between the $f/3$ and $f/4$ clusters. The two bubble streams that are
215 produced in this case (see Figure 3) also show that the streams are strongly associated with
216 the individual clusters. The high-speed imaging show that these streams change in intensity
217 as each cluster produces shocks on collapse. These pressure shocks compress all the
218 bubbles present in the local vicinity and cause rebounds, termed transient flashes (Birkin,
219 Offin, Vian, Leighton, 2011) within both streamers. These dynamics help explain the
220 unusual acoustic emission as both clusters contribute to the acoustics and presumably form
221 a 'dipole' like weak emission (Kinsler, Frey, Coppens, Sanders, 1982). The exact conditions
222 necessary for the BiS event are unclear at this time. It seems plausible that a combination of
223 the physical properties of the oil (viscosity, gas content etc.) play a role. However, further
224 exploratory experiments are necessary to fully elucidate this phenomenon, which are
225 beyond the scope of the current work reported here.

226 *Characterization of the bubble cluster:* Previous imaging of the bubble clusters produced in
227 these oil environments indicated that the collapse phase of the cluster involved an
228 elaborate structure. This structure was assigned as consisting of an 'arrowhead', 'neck' and
229 'ring' section (Birkin, Foley, Truscott, Merritt, Martini, 2017). Further finer details on this
230 process are now possible. Figure 4 shows a high-speed image sequence obtained at 300

231 kfps and a suitably fast shutter speed (1.726 μ s). This high frame rate, accompanied with
232 the camera resolution employed, allows for more details of the collapse phase to be
233 obtained. Figure 4 image A0 shows the arrowhead, neck and ring structure annotated as (i),
234 (ii) and (iii) respectively. In addition, an elongated bubble reported previously (Birkin, Foley,
235 Truscott, Merritt, Martini, 2017) is highlighted with an arrow and bubbles B_1 and B_2 are
236 marked and used to highlight the pressure pulses generated in the local environment close
237 to the cluster. The sequence of frames A0-B3 shows that the entire structure (arrowhead,
238 neck and ring) initially start to collapse together. However, B4 shows that a ring or disk of
239 bubbles starts to reform on the surface of the PLE surface. This is labelled '(iii)' in frame C1.
240 The full collapse of the arrowhead and neck is seen in frame C3 and a compression and
241 rebound event seen through changes in bubbles B_1 and B_2 in frame C3-C4 (assigned TS_1).
242 However, the ring remains, and this collapses slightly later in frame D3. This second collapse
243 is also accompanied with a compression and rebound event for B_1 and B_2 highlighted in
244 frames D3-4 (assigned as TS_2). The following frames E0-5 then show the cluster starting to
245 reform. The second collapse (TS_2) and rebound events appear more significant in that most
246 of the bubbles in view in D3 appear compressed (and many disappear from view at this
247 resolution). This suggests that the pressure shock generated at this point is the highest in
248 the sequence. This high-speed sequence suggests that the cluster collapse generates at least
249 two shocks, which cause bubble compression and rebound in the local vicinity of the PLE tip.
250 Further supporting evidence for this two-stage collapse process can be gathered by looking
251 at the laser scattering data obtained under these conditions. Figure 5 shows examples of the
252 scattering transient events caused by the cluster collapse under similar conditions. In this
253 case, two transient light scattering events are often detected and accompanied by pressure
254 pulses. Figure 5 demonstrates that the first collapse (which we presume would be

255 associated with TS_1 on Figure 4) is significantly weaker than the second collapse (which we
256 presume would be associated with TS_2 on Figure 4). This is highlighted in Figure 5 which
257 shows that the first collapse (Figure 4 TS_1) was accompanied with a smaller transient change
258 in the light scattering (Figure 5 orange arrows) through the oil and a weak pressure pulse
259 detected by the hydrophone. Whereas the second collapse (marked as TS_2 on figure 4) is
260 accompanied by a larger transient change in the light scattering through the oil (Figure 5
261 blue arrows) and a larger pressure pulse. Note the pressure pulses detected by the
262 hydrophone are detected $\sim 13 \mu\text{s}$ later which is in reasonable agreement with the speed of
263 sound in oil (Kaye, Laby, 1959) (1440 m s^{-1}) and the distance between the PLE and the
264 hydrophone ($15 \pm 5 \text{ mm}$). The timing between the two collapses is estimated from the laser
265 scattering data as $10\text{-}14 \mu\text{s}$ in good agreement with the high-speed camera data shown in
266 Figure 4. The magnitude of the light scattering events and the pressure pulses detected by
267 the hydrophone suggest that the second collapse is more intense in nature. This is in
268 agreement with the high-speed sequence shown in Figure 4.

269

270 **Conclusions**

271 The generation of clusters at an PLE tip in the oil/lipid system shows some remarkable
272 characteristics. The acoustic emission, high-speed imaging and scattering data show that the
273 PLE tip amplitude plays a key role in the dynamics of the cavitation cloud. The cavitation
274 cloud in the oil systems forms a set of well-defined clusters which collapse under the
275 conditions employed at a variety of different frequencies (here ranging from $f/2$ - $f/7$) relating
276 to the amplitude of the PLE tip zero-to-peak displacement. A 'bifurcated streamer' can also
277 be generated within this system. This has been shown to originate from two clusters

278 oscillating at a frequency of $f/2$ with an 180° phase shift between them. Finally, the collapse
279 phase of the clusters generated has been shown to occur in a two-stage process. This
280 process generates two transient flashes(Birkin, Offin, Vian, Leighton, 2011) (bubble
281 compression and rebound) and are accompanied by pressure pulses within the fluid.

282

283 **Acknowledgements**

284 This project was supported by Agriculture and Food Research Initiative (AFRI) Grant No.
285 2017-67017-26476 from the USDA National Institute of Food and Agriculture, Improving
286 Food Quality–A1361. This project was approved by the Utah Agricultural Experiment Station
287 as Project Number 9045. PRB would like to thank Photron and, in particular, Tim Nichols for
288 their assistance with some of the high-speed imaging.

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368 **Captions**

369 **Fig. 1** Plots showing the frequency characteristics of the acoustic emission from an SBO
370 system as a function of reported wattage employed. The symbols indicated the
371 subharmonics detected at points during the experiment. Here (♦) represents the
372 subharmonic emissions associated with stable clusters, while (◆) represents the possible
373 position of the bifurcated streamer. Experiments performed in soybean oil, SBO (23-40 °C).
374 Hydrophone positioned ~ 15 mm from the PLE tip. The grey section shows the fundamental
375 (~19.9 kHz). The stimuli (determined by the instrumentation) were 3, 9, 12, 21, 36, 54 and
376 84 W for —, —, —, —, —, — and — respectively (moving from the base of the figure to
377 the top).

378

379 **Fig. 2** Plot showing the reported power (RP, ●) and the measured zero-to-tip amplitude (◆).
380 The error bars are calculated from the uncertainty estimated for the image analysis. The
381 experiments relate to SBO. The number in parenthesis indicated the periodicities (*N*)
382 observed (through acoustic analysis). 'B' indicated where the bifurcated streamer was
383 observed.

384

385 **Fig. 3** Images showing the growth and collapse of two clusters responsible for the bifurcated
386 streamer (BiS) in sunflower oil. The images were recorded at 150 kfps and a 5 μs shutter
387 speed. The red highlight squares show the complete period of the cluster on the right (C2)
388 while the yellow highlight shows the time where the left cluster has collapsed (C1). The
389 sunflower oil was maintained at ambient conditions (~24 °C). The scale bar represents 1

390 mm. The frames should be viewed from top left in a raster like fashion. The PLE tip (3.2 mm
391 diameter) was driven at $9 W_{rms}$. S1,2 shows the motion of the two streams generated and
392 the arrows their associated motion in the cell.

393

394 **Fig. 4** Images showing the collapse of an $f/5$ cluster. The images were recorded at 300 kfps
395 and a $1.726 \mu s$ shutter speed. The frames should be viewed from top left in a raster like
396 fashion (e.g. A0 to A4 then B0 to B4 and so on). The PLE tip (3.2 mm diameter) was driven
397 at $26 W_{rms}$. Frame A0 shows the arrowhead, neck and ring ((i), (ii) and (iii)) respectively as
398 well as an elongated bubble (orange arrow) and two highlighted bubbles (B_1 and B_2) are
399 used for reference and are discussed in the main text. The highlight squares (TS_1 and TS_2)
400 represent the two transient collapse processes. The sunflower oil was maintained at
401 ambient conditions ($\sim 24^\circ C$). The scale bar (D0) represents 1 mm.

402

403 **Fig. 5** Plot showing the laser scattering signal from the photodiode (PD) (—) and pressure
404 data (—) as a function of time recorded for an experiment in bubble at $32 W_{rms}$ setting on
405 the PLE. The orange arrows (\downarrow) mark the positions of the TS_1 collapse and the blue arrow (\downarrow)
406 collapse TS_2 . The laser path was ~ 2.5 mm below the PLE.

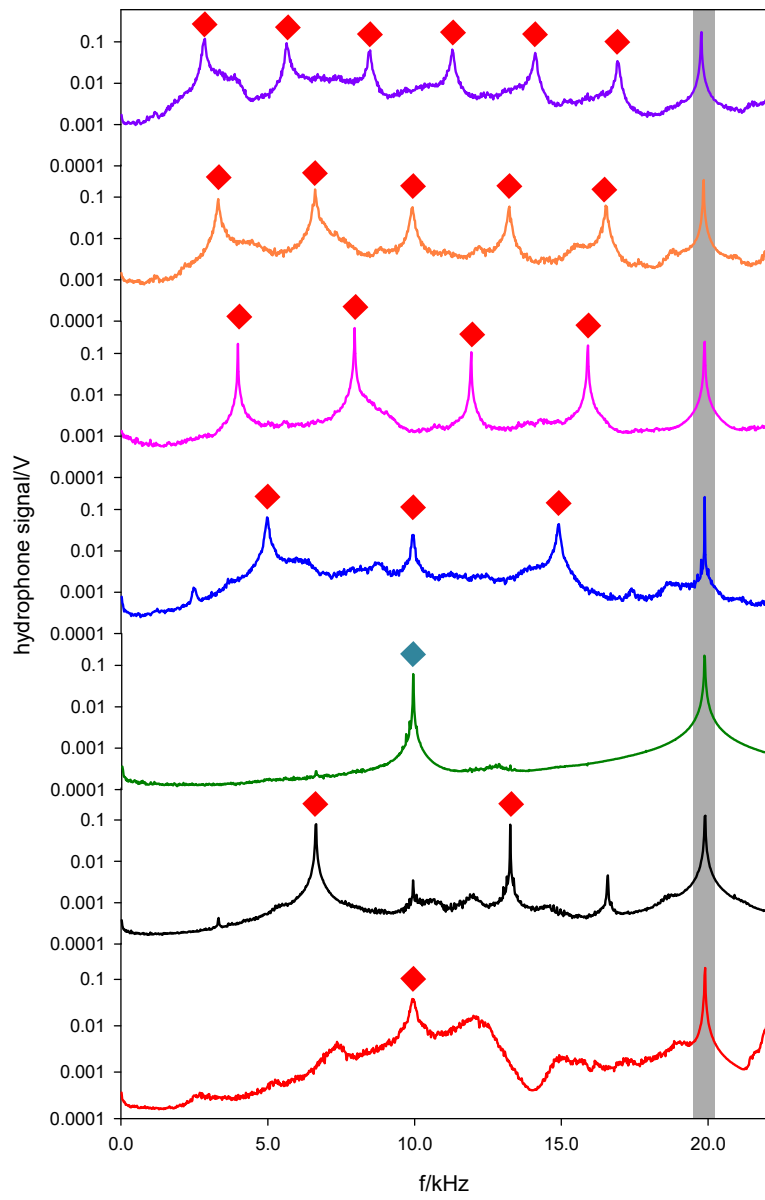


Fig. 1

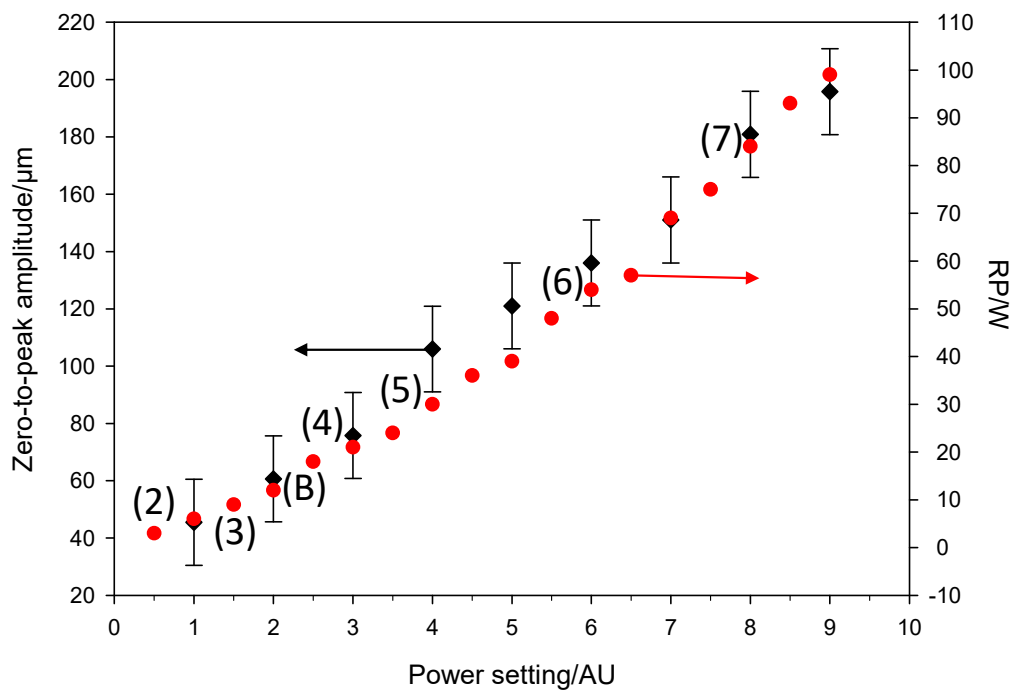


Fig. 2

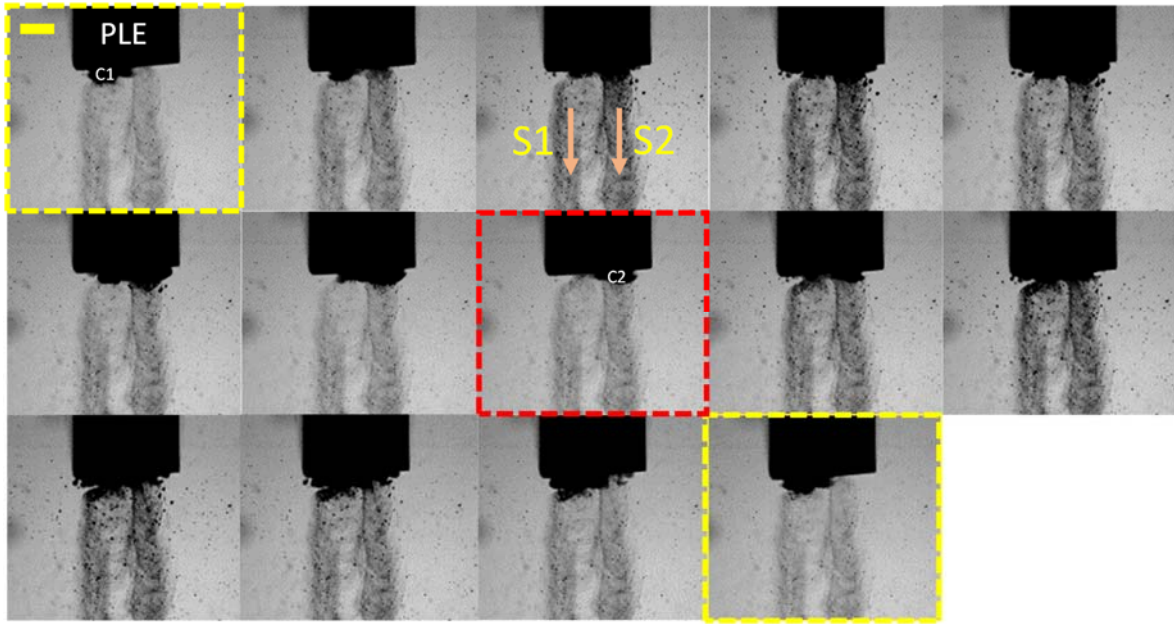


Fig. 3

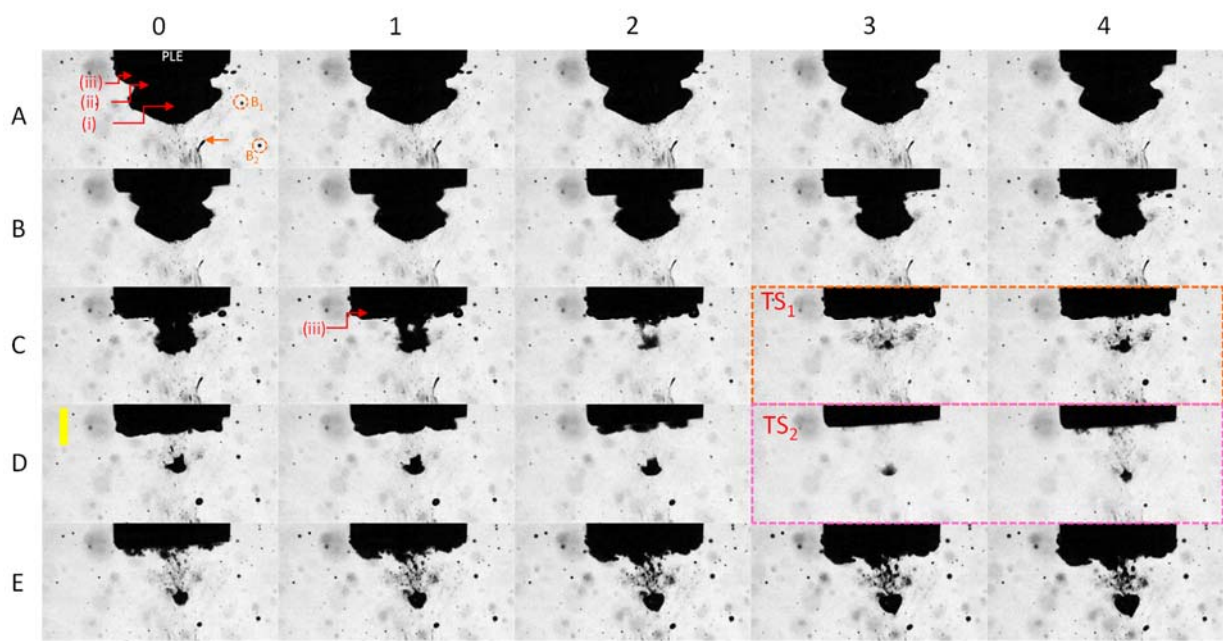


Fig. 4

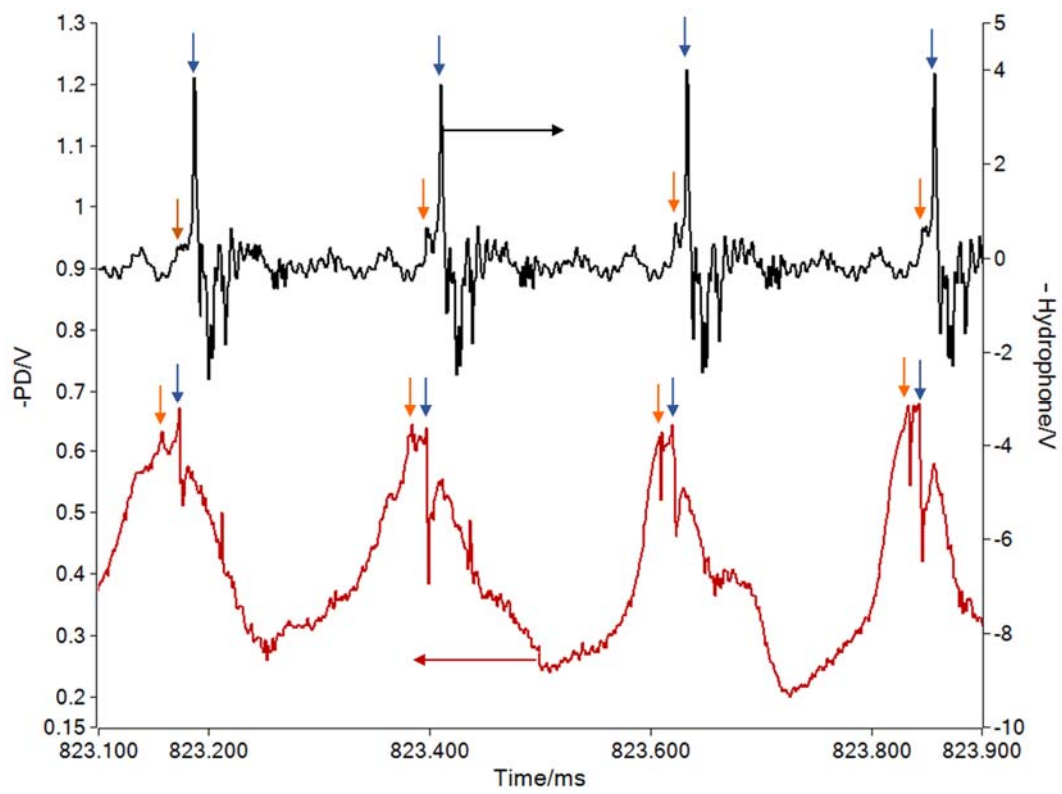


Fig. 5