

1 **Phase 1 study of the novel enhancer of zeste homolog 2 (EZH2) inhibitor**
2 **GSK2816126 in patients with advanced hematological and solid tumors**

3

4 **Running Title:** Phase 1 study of the EZH2 inhibitor GSK2816126

5

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109 **Statement of translational relevance**

110 Dysregulation of epigenetics is a feature of many malignancies. Preclinical
111 studies indicated that GSK2816126 is a potent inhibitor of enhancer of zeste
112 homolog 2 (EZH2), with marked growth inhibitory effects in malignant cells
113 carrying a mutation in EZH2. This trial tested the safety and clinical efficacy of
114 GSK2816126 given by intravenous infusion in a dose-escalation study of patients
115 with solid tumors or lymphoma. The maximum tolerated dose was determined as
116 2400 mg, and liver transaminitis was the dose-limiting toxicity. Minimal anti-
117 cancer activity was seen due to limited exposure resulting from the challenges of
118 twice weekly intravenous dosing and the pharmacokinetic profile of
119 GSK2816126. A patient with lymphoma achieved a radiological partial response.

120

121 **Abstract**

122

123 **Background:** Enhancer of zeste homolog 2 (EZH2) activity is dysregulated in
124 many cancers.

125 **Patients and Methods:** This phase 1 study determined the safety, maximum
126 tolerated dose (MTD), pharmacokinetics, and pharmacodynamics of the
127 intravenously administered, highly selective EZH2 inhibitor, GSK2816126,
128 (NCT02082977). Doses of GSK2816126 ranged from 50–3000 mg twice weekly,
129 and GSK2816126 was given 3-weeks-on/1-week-off in 28-day cycles. Eligible
130 patients had solid tumors or B-cell lymphomas with no available standard
131 treatment regimen.

132 **Results:** Forty-one patients (21 solid tumors, 20 lymphoma) received treatment .
133 All patients experienced ≥ 1 adverse event (AE). Fatigue (22/41 [53.7%]) and
134 nausea (20/41 [48.8%]) were the most common toxicity. Twelve (32%) patients
135 experienced a serious AE. Dose-limiting elevated liver transaminases occurred in
136 two of 7 patients receiving 3000 mg of GSK2816126; 2400 mg was therefore
137 established as the MTD. Following intravenous administration of 50–3000 mg
138 twice weekly, plasma GSK2816126 levels decreased biexponentially, with a
139 mean terminal elimination half-life of approximately 27 hours. GSK2816126
140 exposure (maximum observed plasma concentration and area under the plasma-
141 time curve) increased in a dose-proportional manner. No change from baseline
142 in H3K27me3 was seen in peripheral blood mononuclear cells. Fourteen of 41
143 (34%) patients had radiological best response of stable disease, 1 patient with

144 lymphoma achieved a partial response, 21 of 41 (51%) patients had progressive
145 disease and 5 were unevaluable for antitumor response.

146 **Conclusions:** The MTD of GSK2816126 was established at 2400 mg, but the
147 dosing method and relatively short half-life limited effective exposure, and
148 modest anticancer activity was observed at tolerable doses.

149

150 **Introduction**

151
152 Enhancer of zeste homolog 2 (EZH2) is the catalytic subunit of the polycomb
153 repressive complex 2 (PRC2) responsible for maintaining transcriptional
154 repression of its target genes through tri-methylation of histone H3 on lysine 27
155 (H3K27me3) (1). PRC2 activity is essential for maintaining the self-renewal
156 capacity of embryonic and adult stem cells, by epigenetic repression of target
157 genes controlling cell cycle arrest and terminal differentiation (2).

158
159 EZH2 activity and H3K27me3 levels are dysregulated in many cancers through
160 numerous pathways including gain-of-function heterozygous mutations, EZH2
161 overexpression, and inactivating mutations in UTX, an H3K27 demethylase that
162 acts in opposition to EZH2 (3). Mutations have been identified in approximately
163 14% to 22% of germinal center B-cell (GCB) diffuse large B-cell lymphomas
164 (DLBCLs) and 7% to 22% of follicular lymphomas (FLs) (4-7)
165 Biochemical studies have demonstrated that Y641, A677, and A687 mutants
166 exhibit an altered substrate preference and catalytic efficiency to enhance the
167 generation of H3K27me3 (8-10). Consistent with these data, primary lymphomas
168 and lymphoma cell lines harboring these EZH2 mutations have elevated levels of
169 H3K27me3 (3,9,10). EZH2 overexpression in numerous other solid tumors,
170 including prostate and endometrial cancers, correlates with increased tumor
171 aggressiveness and poor prognosis (11,12).

172

173 GSK2816126 is a highly selective and potent inhibitor of wild-type (WT) and
174 mutant (Y641N, A677G, and A687V) EZH2, over 150-fold selective over EZH1,
175 and more than 1,000-fold selective versus 20 other **Su(var) 3-9**, **Enhancer-of-**
176 **zeste** and **Trithorax (SET)** and non-SET domain-containing human methyl
177 transferases (13).

178

179 In cell culture, GSK2816126 induced loss of H3K27me3 in both EZH2 WT and
180 mutant cell lines from a diverse panel of lymphoma cell lines independent of
181 EZH2 mutation status, and sensitivity to GSK2816126 was not dependent on
182 B-cell lymphoma 2 (BCL2), apoptosis regulator, translocation or *TP53* mutations
183 (13). In proliferation assays using a panel of B-cell lymphoma lines, those of
184 DLBCL origin with EZH2 activating mutations were the most sensitive to
185 GSK2816126 (13). In mice bearing KARPAS-422 xenograft tumors, marked
186 tumor regression was noted after treatment with GSK2816126. The treatment in
187 mice was well tolerated, with no significant changes in blood cell counts or
188 decrease in body weight. Several other tumor types including SMARCB1-mutant
189 malignant rhabdoid tumors, and melanoma were also sensitive to EZH2 inhibition
190 in preclinical studies (14-16). The SMARCB1/INI1 gene is a tumor suppressor
191 gene that codes for a subunit of the chromatin remodeling complex regulating
192 cell cycle activity. Its loss results in uncontrolled cell cycle progression through
193 several mechanisms including elevated expression of EZH2 (17,18).

194

195 Pharmacokinetic studies indicated that GSK281626 is not orally bioavailable;
196 however, pharmacokinetic/pharmacodynamic modeling predicted that a
197 therapeutically effective dose could be achieved with twice weekly intravenous
198 dosing of 950-2700 mg. These data, together with preclinical efficacy studies,
199 suggest potential efficacy of GSK2816126 in patients with advanced solid and
200 hematological cancers after standard-of-care treatment options have failed.

201

202 This study was a first-in-human, open-label, dose-escalation study of
203 GSK2816126 to assess safety, pharmacokinetics, pharmacodynamics, and
204 preliminary clinical activity in patients with non-Hodgkin lymphoma (NHL),
205 metastatic solid tumors, and multiple myeloma with relapsed or refractory
206 disease.

207

208 **Materials and Methods**

209 *Study design*

210 This was an open-label, phase 1, dose-escalation study initially planned as 2
211 parts: a dose escalation study (part 1) of GSK2816126 (**Figure 1**) and a cohort
212 expansion study (part 2) to understand the clinical activity of GSK2816126. Data
213 analysis at the end of part 1 determined that dosing challenges would prevent
214 adequate exposure for significant benefit, and part 2 was not initiated. The study
215 was initiated on April 24, 2014, and terminated on June 20, 2017. The complete
216 study protocol can be found at <https://www.gsk-studyregister.com/study/4973>.

217

218 The primary objective was to determine safety and tolerability and establish a
219 recommended phase 2 dose for intravenously administered GSK2816126. The
220 secondary objective was to describe the pharmacokinetics after single- and
221 repeated-dose administration, as well as the pharmacodynamics and clinical
222 activity of GSK2816126.

223

224 In part 1, accelerated dose escalation was used, with one patient enrolled per
225 dose level beginning with a starting dose of 50 mg given as an IV infusion over 2
226 to 4 hours. GSK2816126 was administered twice weekly in a 3-weeks-on/1-
227 week-off, 28-day cycle. Twice-weekly IV administration was selected based on
228 preclinical pharmacokinetic murine and canine studies, and efficacy studies in
229 mice, which showed similar tumor growth inhibition for doses ranging from daily
230 to twice weekly, including intermittent twice-weekly dosing with 2 weeks on/1
231 week off. Dose escalation continued until a maximum tolerated dose (MTD), or a
232 dose of 3000 mg twice weekly was reached.

233

234 Accelerated dose escalation was employed until the first instance of a \geq grade 2
235 drug-related, nonhematological toxicity or until a dose-limiting toxicity (DLT) was
236 observed. The dose of the next cohort was determined based on the evaluation
237 of at least 1 patient who completed 1 cycle of treatment. In the absence of grade
238 ≥ 2 nonhematological toxicity or a DLT, subsequent cohorts were allowed up to
239 100% dose escalations (up to 500 mg). Subsequent dose-escalation steps were
240 planned up to a maximum of 50% at each step. Following the initial occurrence of

241 a grade 2 toxicity or DLT in a patient during the first cycle, accelerated dose
242 escalation transitioned to a standard 3+3 dose escalation.
243
244 During the 3+3 dose escalation, 3 patients were enrolled per dose level and
245 observed for any DLT during the first 28 days of treatment. Dosing proceeded to
246 the next higher dose level if no DLTs were observed in any of the patients
247 (similar to accelerated dose-escalation phase, $\leq 100\%$ increase in dose up to 500
248 mg, $\leq 50\%$ increase in dose thereafter). An additional 3 patients were enrolled at
249 this dose level if 1 of 3 patients experienced a DLT. Patients were entered into
250 the study using a staggered approach, with at least 7 days between each patient
251 to minimize the risk of inadvertently exceeding the MTD in multiple patients. Any
252 dose level could be expanded up to 12 patients to collect adequate data on
253 safety, pharmacokinetics, or pharmacodynamics, with no fixed number
254 prespecified for expansion. Due to elevated toxicity in the 3000-mg cohort, the
255 expansion of this cohort (3000 mg) was stopped after only 7 patients had been
256 enrolled to ensure minimal impact on patient safety, and additional patients were
257 assessed at the 2400-mg dose level. Inpatient dose escalations were
258 considered on a case-by-case basis provided that the patient did not experience
259 a \geq grade 2 drug-related, non-hematological toxicity or DLT.

260

261

262 *Eligibility criteria*

263 Patients with DLBCL or transformed FL, who had relapsed after or were
264 refractory to ≥ 1 prior line of therapy *and* not a candidate for standard salvage
265 regimens or autologous stem cell transplantation or who had relapsed after or
266 were refractory to ≥ 2 prior chemotherapy regimens were eligible for the study.
267 Patients with other NHLs who had failed ≥ 1 prior line of therapy and for which
268 there was no standard salvage regimen; patients with relapsed/refractory multiple
269 myeloma; and patients with solid tumors with lesions evaluable by Response
270 Evaluation Criteria in Solid Tumors (RECIST) criteria (castrate resistant prostate
271 cancer [CRPC] or CRPC patients with bone-only disease), who had received ≥ 1
272 and < 3 standard chemotherapy regimens were also eligible. Finally, patients with
273 solid tumor types with no approved therapy or for which standard therapy was
274 refused or declined were eligible. EZH2 mutation status was not an entry criterion
275 but was evaluated when tumor tissue was available.

276

277 All patients were required to have adequate organ function and an Eastern
278 Cooperative Oncology Group performance status of 0 or 1. A fresh biopsy
279 sample or archival tumor tissue was also required prior to study enrollment.

280

281 Patients with uncontrolled diabetes or any other medical condition that could
282 interfere with safety assessments were excluded. [Concomitant administration of](#)
283 [drugs known to prolong QT were to be avoided beginning 14 days prior to the](#)
284 [first dose of study drug.](#) Patients currently receiving chemotherapy, radiation

285 therapy, immunotherapy, or biologic therapy; those who had received an
286 investigational anti-cancer drug within 4 weeks, or within 5 half-lives (whichever
287 is shorter) of the first dose of study drug; or patients who had unresolved grade
288 >1 toxicity (National Cancer Institute – Common Terminology Criteria for Adverse
289 Events [NCI-CTCAE] version 4) from previous anti-cancer therapy, with the
290 exception of alopecia and peripheral neuropathy, were also excluded. Patients
291 could not have undergone any major surgery, radiotherapy, or immunotherapy
292 within the 4 weeks prior to the first dose of study drug or palliative radiotherapy to
293 a single symptomatic lesion within the 2 weeks prior to the first dose of study
294 drugs. Those who previously underwent an autologous stem cell transplant were
295 allowed to enroll if a minimum of 100 days had elapsed from the time of
296 transplant and the patient had recovered from transplant-associated toxicities
297 prior to the first dose of GSK2816126.

298

299 Complete eligibility criteria are included in the supplemental material.

300

301

302 *Study assessments*

303 A physical examination, electrocardiogram, assessment of vital signs, biomarker
304 assessments, coagulation testing, and hematology were performed at screening,
305 day 1, and weekly thereafter.

306

307 Safety data were evaluated prior to defining a new dose and starting the next
308 cohort. Subsequently, the dose was escalated up to the next level.

309

310 *Pharmacokinetic assessments*

311 Blood samples were analyzed by Bioanalytical Science and Toxicokinetics at
312 GlaxoSmithKline, King of Prussia, PA, USA. Analysis of pharmacokinetic
313 exposure in blood (ie, dose, concentration, maximum concentration [C_{max}], or
314 area under the plasma-time curve [AUC]), safety and efficacy responses, and
315 population pharmacokinetic parameters (ie, clearance [CL], volume of distribution
316 [Vd]), with relevant covariates that may have influenced exposure (ie, age,
317 weight, or disease-related covariates) of GSK2816126, were conducted using
318 noncompartmental methods and Phoenix WinNonLin v6.3 (Certara).

319

320 Each pharmacokinetic sample was collected as close as possible to the planned
321 time relative to the dose administered (ie, time zero). The actual date and time of
322 each blood sample collection was recorded. Blood sampling for
323 pharmacokinetics was performed on day 1 and day 4 predose and at the end of
324 the infusion; day 8 and day 11 soon after electrocardiogram (ECG) and

325 pharmacodynamic biomarker collection; day 15; and day 21 at the time of
326 pharmacodynamic biomarker collection. During cycles 2, 4, 6, and 12, samples
327 were taken predose and within 5 minutes prior to the end of the infusion on day
328 4.

329

330 The pharmacokinetic sampling during the first treatment cycle (days 1 and 15)
331 was done predose; 0.5, 1, and 2 hours following the start of infusion; immediately
332 prior to the end of the infusion; 0.5, 1, 2, 3, 4, and 6 hours following the end of
333 infusion; and 12, 18, 24, and 72 or 96 hours following the start of infusion. An
334 additional end of infusion sample was taken on day 4 of the first treatment cycle.

335

336 *Pharmacodynamic assessments*

337 Samples from tumor or surrogate tissue/body fluid were obtained pre- and post-
338 treatment for pharmacodynamic analysis. Changes from baseline in H3K27me3
339 were recorded to provide evidence of target engagement.

340

341 Blood sampling for pharmacodynamic biomarkers was performed during the first
342 treatment cycle (day 1 [predose] and days 4, 8, 11, 15, 18, 21 and 28). Further,
343 samples were obtained 2 hours after the end of infusion on days 1, 4, 8, 11, 15,
344 and 18 and on days 21 and 28. A tumor biopsy for pharmacodynamic analysis
345 (required for part 1 pharmacokinetic/pharmacodynamic cohort at MTD) was
346 obtained during the first treatment cycle on day 1 and day 18 (after the sixth
347 dose). The biopsy was performed according to individual institutional standards.

348

349

350 *Antitumor assessments*

351 Responses for patients with lymphoma were assessed using the Revised
352 Response Criteria for Malignant Lymphoma (19), while responses for patients
353 with solid tumors were assessed according to RECIST (20,21). Baseline disease
354 assessment for patients with lymphomas were completed within 4 weeks of the
355 first dose of GSK2816126. Disease assessments were done 8 weeks after
356 dosing was initiated, every 12 weeks thereafter, and at the final study visit.
357 Disease assessments for patients with solid tumors were completed 8 weeks
358 after the first dose of GSK2816126, repeated every 8 weeks thereafter, and at
359 the final study visit.

360

361 *Ethics*

362 This study was conducted in accordance with the International Conference on
363 Harmonization, Good Clinical Practice guidelines, and the ethical principles
364 outlined in the Declaration of Helsinki 2008. The study protocol, any
365 amendments, the informed consent, and other information that required pre-
366 approval were reviewed and approved by a national, regional, or investigational
367 center ethics committee or institutional review board, in accordance with the
368 International Conference on Harmonization of Technical Requirements for
369 Registration of Pharmaceuticals for Human Use Good Clinical Practice and
370 applicable country-specific requirements, including US 21 Code of Federal

371 Regulations 312.3(b) for constitution of independent ethics committees. Ethics
372 committee or institutional review board approvals are maintained in the sponsor's
373 study file. All participants provided written informed consent prior to study entry.

374

375 *Statistical analyses*

376 Results were summarized using descriptive statistics, and no formal statistical
377 hypotheses were tested. The sample size for dose escalation in part 1 was not
378 driven by statistical considerations.

379

380

381 **Results**

382 *Patient disposition*

383 In total, 42 patients from the United Kingdom, United States, and France were
384 enrolled in the study across dose levels. Of these 42 patients, 1 patient withdrew
385 consent and did not receive any study drug, 14 patients withdrew from the study
386 (9 on investigator advice, 2 withdrew consent, 2 were withdrawn due to study
387 termination, and 1 was lost to follow-up), and 5 patients died. No patient withdrew
388 due to an AE or serious AE (SAE). Of the 9 withdrawn on investigator advice, 7
389 had disease progression, 1 refused follow up, and 1 reported “alteration of
390 general state”.

391

392 *Patient characteristics*

393 Sixty-one percent of patients were men (25/41) and the mean age was 58.2
394 years (**Table 1**); 26 patients were between 18 and 64 years of age, 12 were 65
395 to 74 years of age, and 4 were >74 years of age. Twenty-one patients had stage
396 III or IV solid tumors, and 20 had lymphomas (11/20 were Ann Arbor stage IVA).
397 Of the 21 patients with solid tumors, all had measurable disease, 9 had visceral
398 disease, 4 had non-visceral disease, and 8 had both visceral and non-visceral
399 disease. The primary tumor type at initial diagnosis was prostate cancer in 5
400 subjects; head and neck cancer in 2 patients; ovarian cancer in 2 subjects;
401 pancreatic cancer in 2 patients; liposarcoma in 2 subjects; and one case each of
402 epithelioid sarcoma, endometrial cancer, colorectal cancer gastric cancer,
403 mesothelioma, thymic carcinoma, cholangiocarcinoma, and adrenocortical

404 carcinoma. EZH2 mutation status was determined for 6 patients with GCB-
405 DLBCL; 5 had no mutation and 1 had an Y641H point mutation. Lack of tissue or
406 poor tissue quality precluded assessment of EZH2 mutation status in other
407 lymphoma samples. EZH2 mutation status was not assessed in solid tumors
408 owing to the low incidence of EZH2 mutations in these tumor types.

409

410 *Dose distribution and intensity*

411 Of the 41 patients who were treated, 2 patients (4.9%) received the 50-mg dose,
412 1 each (2.4%) received the 100-, 200-, and 400-mg doses, 3 (7.3%) received the
413 800-mg dose, and 4 (9.8%) received the 1200-mg dose (**Table 2**). Ten patients
414 (24.4%) were in the 1800-mg dose cohort, 12 (29.3%) were in the 2400-mg dose
415 cohort, and 7 (17.1%) were in the 3000-mg dose cohort.

416

417 For the 28-day cycles, the mean dose intensity of GSK2816126 was 9350.7
418 mg/cycle, and the median was 9900.0 mg/cycle (range, 150 to 18,000 mg/cycle).
419 The mean number of cycles given to patients was 2.8 (range, 1 to 7 cycles). Of
420 the 41 patients, 25 (61%) had ≤ 2 cycles, 12 (29%) had 3 to 5 cycles, and 4 (10%)
421 had > 5 cycles. Two of the 41 patients treated (5%) had ≥ 1 dose reduction (800-
422 mg and 3000-mg dose cohorts), and 10 (24%) had ≥ 1 dose interruption. Of the
423 16 total dose interruptions, each of which lasted 1 to 5 days, 8 were due to an
424 AE.

425

426 *Adverse events*

427 All patients experienced at least 1 AE during the study. Fatigue (22/41 [53.7%])
428 and nausea (20/41 [48.8%]) were the most common AEs (**Table 3**). One or more
429 grade ≥ 3 AEs were reported in 18 (44%) patients (16 grade 3, and 1 each of
430 grade 4 and 5). Most grade ≥ 3 events occurred in 1 or 2 patients each; however,
431 grade 3 alanine aminotransferase (ALT) increase occurred in 4 patients. A grade
432 4 aspartate aminotransferase increase (DLT, study drug related) occurred in 1
433 patient in the 3000mg dose cohort 2 days after receiving the first dose of study
434 drug and a grade 5 respiratory tract infection (non-drug related) occurred in 1
435 patient in the 50mg dose cohort 11 days after the initial dose. Investigator
436 assessment of the Grade 5 respiratory tract infection for the subject was
437 secondary to extensive disease in the chest with lung parenchyma infiltration,
438 collapsed right lung segments and extensive pleural disease with effusion.

439

440

441 Hematology results and laboratory values were unchanged or returned to normal
442 after treatment in 68% of patients. Grade 3 or 4 increases in ALT and AST
443 occurred in 4/41 patients (10%) and 2/41 patients (5%) respectively, all of which
444 were reversible. There were not grade 3 or 4 changes in direct bilirubin in any
445 patient.

446

447 In most patients, vital signs were numerically similar to those observed at
448 baseline, and the majority of patients had ECG results that were unchanged
449 relative to baseline. ECGs were performed pre-infusion, at the end of infusion,

450 before bedtime, and before discharge the next morning. Seventeen percent and
451 10% of patients had a maximum increase in QTc (relative to baseline) to grade 2
452 (481 to 500 msec) or grade 3 (≥ 501 msec), respectively, using Bazett's method,
453 and 2% and 0%, respectively, using Fridericia's method. No AEs of QT
454 prolongation were considered serious by the investigator. One (2%) AE of QT
455 prolongation was considered to be treatment-related by the investigator. Four
456 patients (10%) had both abnormal and clinically-significant post-baseline QT
457 prolongation. All 4 patients with grade 3 (>500 ms) clinically-significant QT
458 prolongation returned to baseline levels using Bazett's method before discharge.
459 The potential underlying etiology of QT prolongation was unknown but was
460 suspected to be cancer-related or due to concomitant medications, which were
461 subsequently discontinued. One of these patients discontinued study treatment
462 shortly after infusion due to disease progression. No grade 3 QT prolongation
463 events were noted with Fridericia's method.

464

465 *Serious AEs*

466 Thirteen patients (32%) experienced a total of 16 SAEs, all of which were grade
467 3 or less, and none were considered related to the study drug. SAEs reported
468 included various infections ($n = 7$) and pyrexia ($n = 3$). The remaining SAEs were
469 tumor pain, hemoptysis, intestinal obstruction, hypokalemia, back pain, and
470 vomiting, which occurred in 1 patient each. One patient experienced an SAE of
471 respiratory tract infection that resulted in death. There were no treatment-related
472 SAEs other than the DLTs noted below.

473

474 *Treatment-related AEs*

475 Of the 41 patients treated, 37 (90%) experienced at least 1 AE that was
476 considered to be treatment related. The most frequent treatment-related AEs
477 were fatigue and nausea, which were observed in 20 (49%) and 16 (39%)
478 patients, respectively. Other AEs are listed in **Table 3**.

479

480 *Dose-limiting toxicity*

481 Two of seven patients in the 3000-mg dose cohort experienced a grade 2 or
482 higher ALT increase. One patient had both a grade 3 ALT increase and grade 4
483 AST increase, and the other patient had a grade 2 ALT increase.

484

485 *Pharmacokinetic and pharmacodynamic results*

486 Following intravenous administration of 50 to 3000 mg twice weekly,
487 GSK2861626 levels decreased in a bi-exponential manner with a mean terminal
488 elimination half-life of approximately 27 hours (**Figure 2**). GSK2861626 exposure
489 (C_{max} and AUC) increased in a dose-proportional manner over the 50- to 3000-
490 mg dose levels. There was moderate-to-high between-patient variability (**Table**
491 **4**). Up to the 2400 mg dose, the trough value at day 15 was below the in vitro
492 protein-binding adjusted IC_{50} value of 475 ng/mL for H3K27me3 in KARPAS-422
493 cells (**Figure 2**). On day 15, the trough plasma concentration (C_{trough}) ($\mu\text{g/mL}$)
494 was non-quantifiable for the 50- to 400-mg dose levels, and the geometric mean

495 C_{trough} ranged from 0.1 µg/mL for the 800-mg dose to 0.41 µg/mL for the 3000-
496 mg dose.

497 Peripheral blood mononuclear cells (PBMCs) showed no evidence of global
498 changes in H3K27me3 ratios compared with baseline. Of the paired tumor
499 biopsies obtained from 4 patients, one tumor biopsy pair was evaluable;
500 however, the results were inconclusive due to low baseline H3K27me3 levels in
501 pre-dose sample. Given that a pharmacodynamic response was not observed or
502 could not be assessed, a relationship between pharmacokinetic and
503 pharmacodynamic parameters could not be determined.

504

505 *Antitumor activity*

506 Investigator-assessed best response in patients with solid tumors included 11/21
507 (52%) with progressive disease, 8/21 (38%) with stable disease, and 2 who were
508 not evaluable. In the 20 patients with lymphoma, 1 patient with germinal cell B
509 cell (GCB)-DLBCL as determined by local subtyping (5%) achieved a partial
510 response (**Figure 3**); 6 patients (30%) had stable disease (SD); and 10 patients
511 (50%) had progressive disease. One patient with an EZH2 mutation had
512 progressive disease.

513

514 The patient with a partial response was treated at the 1800-mg dose and the
515 response lasted for 3 cycles. No tumor was present in this patient's tissue
516 sample; thus, EZH2 mutation status could not be assessed. Three additional

517 patients were not evaluable. Progression-free survival was not assessed in either
518 patients with solid tumors or lymphoma.

519

520 Among the 6 patients with lymphoma with SD, 1 had FL, 1 had activated B-cell-
521 like (ABC)-DLBCL, and 4 had GCB-DLBCL. GCB status was confirmed by
522 central testing in these 4 patients. There was insufficient tumor sample from the
523 patient with ABC-DLBCL to confirm subtype status centrally. The duration of SD
524 in 5 of these patients ranged from 34 to 118 days but was not assessed in 1
525 patient.

526

527 The percentage change from baseline in tumor measurements for patients with
528 solid tumors and patients with lymphoma is shown in **Supplemental Figure 1**.

529

530 **Discussion**

531 Here, we report the results for a phase 1 study of GSK2816126, an EZH2
532 inhibitor, in patients with relapsed/refractory solid tumors and hematologic
533 malignancies. While GSK2816126 is a potent, selective inhibitor of EZH2
534 methyltransferase activity, the lack of oral bioavailability necessitated IV
535 administration of GSK2816126, thus limiting the frequency of dosing. Based on
536 modeling using available preclinical pharmacokinetic data from murine and
537 canine studies, and efficacy data from EZH2 mutant tumor xenograft studies in
538 mice, tumor eradication with GSK2816126 was predicted to be achieved in
539 humans at doses ranging between 950 to 2700 mg when administered twice
540 weekly, suggesting potential clinical utility of GSK2816126. This study identified
541 the MTD for GSK2816126 as 2400 mg; however, given the limitation of twice
542 weekly intravenous dosing and the observed pharmacokinetic profile for
543 GSK2816126, biologically effective exposure was not achieved at tolerated
544 doses and anticancer activity was minimal. Thus, the planned portion of this trial,
545 in which efficacy would have been evaluated in relationship to EZH2 mutation
546 status in DLBCL was not opened.

547 Historically, the translation of anticancer efficacy from nonclinical models to the
548 clinic has been very challenging. Even though mouse models of tumor inhibition
549 lie at the center of translational efforts in oncology, the results from this study
550 suggest that a more comprehensive approach with validated biomarkers in
551 surrogate tissues is required to strengthen the probability of success.

552

553 Pharmacokinetic studies using dose proportional assessment have shown that
554 exposure to GSK2816126 increased proportionally to the increase in dose.
555 However, trough values at day 15 for all doses up to 2400 mg were below the in
556 vitro protein binding adjusted IC_{50} for inhibition of tri-methylation (H3K27me3).
557 PK/PD modeling predicted that maintenance of plasma concentrations above the
558 protein-adjusted IC_{50} for inhibition of methylation would be required for clinical
559 efficacy; therefore, these data suggest that serum concentrations are too low to
560 be clinically effective at doses that could be achieved with the chosen schedule
561 of administration. While a more frequent dosing schedule would result in higher
562 serum concentrations, more intravenous doses were considered too burdensome
563 for patients to justify evaluation. Thus, the interplay between the lack of oral
564 bioavailability and the desire to achieve a patient-feasible interdose interval in an
565 IV setting may have resulted in suboptimal levels of target engagement.

566

567 With regard to the relationship between GSK2816126 exposure and
568 pharmacodynamic parameters, there was no detectable relationship between
569 GSK2816126 dose and time after exposure for histone H3K27me3 in PBMCs.
570 While this may be due to the GSK2816126 exposure, preclinical studies
571 evaluating the effects of EZH2 inhibition on H3K27me3 in individual blood cell
572 populations, have since demonstrated that H3K27me3 reduction is most
573 pronounced in granulocytes (data not shown). For this reason, the inability to
574 detect a reduction in H3K27me3 may be due to lack of sensitivity in PBMCs. An

575 effect on the histone marker in paired pre-/post-therapy tumor biopsy specimens
576 could not be assessed for technical reasons.

577

578 In our study, one patient with GCB-DLBCL treated at the 1800-mg dose had a
579 partial response lasting 91 days, and 6 patients achieved SD (5 DLBCL and 1
580 FL). Among 21 patients with solid tumors, 8 (38%) showed SD, with no complete
581 or partial responses. In comparison, an early clinical study of the orally available
582 EZH2 inhibitor, tazemetostat, showed a more favorable pharmacokinetic profile,
583 with the recommended dose determined to be 800 mg twice daily (22). The
584 objective response rate in this dose-escalation study with tazemetostat was 38%
585 in patients with B-cell lymphomas and 5% in patients with solid tumors. In
586 patients with solid tumors, responses with tazemetostat were only seen in
587 patients with INI1-negative or SMARCA4-negative tumors. These findings
588 highlight the viability of EZH2 as a target for anti-cancer therapies. However,
589 additional research is needed to identify better EZH2 inhibitors with optimized
590 pharmacokinetic profiles and demonstrated activity against less-sensitive tumor
591 types.

592 In conclusion, this study showed that GSK2816126 is not a viable drug to target
593 EZH2 in patients with refractory/relapsed solid and hematologic malignancies,
594 despite preclinical data showing sensitivity of multiple solid tumor and lymphoma
595 cell lines (13). The study defined the MTD of GSK2816126 as 2400 mg, but at
596 this level, the drug showed inadequate clinical activity, with off-target DLTs

597 precluding further dose escalation. EZH2 is, nonetheless, a suitable target for
598 therapy as demonstrated by initial clinical experience with tazemetostat (22).
599

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616 All authors approved the final manuscript for submission and all agree to take

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618

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627

628 **Data Sharing Statement**

629

630 Anonymized individual participant data and study documents can be requested
631 for further research from www.clinicalstudydatarequest.com.

632

633

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718

719 **Table 1. Patient characteristics**

Category	Patients N = 41
Age, years, median (range)	57.0 (21 to 80)
Females, n (%)	16 (39)
≥1 past and/or current medical condition at baseline (%)	31 (76)
ECOG PS, n (%)	
0	16 (39)
1	25 (61)
Solid tumor, n (%)	21 (51.2)
Tumor type	
Prostate	5 (12.2)
Head and neck	2 (4.9)
Ovarian	2 (4.9)
Pancreas	2 (4.9)
Soft tissue sarcoma	2 (4.9)
Endometrial/uterine, mesothelioma, gastric, colon ^a	4 (9.8)
Other	4 (9.8)
Stage, n (%)	
III	2 (4.9)
IV	19 (89.5)
Lymphoma (NHL), n (%)	20 (48.7)
DLBCL	15 (75)
GCB ^b	14 (53) ^d
Follicular	4 (20)

Transformed ^c	3 (75)
MZL	1 (5)
Ann Arbor Stage, n (%)	
IA	1 (2.4)
IIA	2 (4.9)
IIIA	5 (12.2)
IVA	11 (26.8)
IVB	1 (2.4)

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^aOne of each tumor type.
^bPercentage calculated as a proportion of all DLBCL.
^cCalculated as a percentage of all FL.
^d8 confirmed centrally by immunohistochemistry; 6 could not be confirmed centrally due to limited availability of sample or inconclusive results.
DLBCL, diffuse large B cell lymphoma; ECOG, Eastern Cooperative Oncology Group; GCB, germinal center B cell; MZL, marginal zone lymphoma; NHL, non-Hodgkin lymphoma; PS, performance status; SD, standard deviation.

730 **Table 2. Summary of Exposure to Study Treatment**

731

	50 mg (N = 2)	100 mg (N = 1)	200 mg (N = 1)	400 mg (N = 1)	800 mg (N = 3)	1200 mg (N = 4)	1800 mg (N = 10)	2400 mg (N = 12)	3000 mg (N = 7)	Total (N = 41)
Dose Intensity (mg/cycle)^a										
N	2	1	1	1	3	4	10	12	7	41
Mean	183.3	680.0	1200.0	2400.0	4932.6	6850.0	9906.4	12393.3	12678.6	9350.7
SD	47.14	-	-	-	1284.58	412.31	1473.89	1856.04	5817.92	4737.17
Median	183.3	-	-	-	4400.0	6900.0	10277.1	12600.0	14250.0	9900.0
Min	150	-	-	-	4000	6400	6300	9600	3000	150
Max	217	-	-	--	6398	7200	11550	14400	18000	18000
Number of cycles										
N	2	1	1	1	3	4	10	12	7	41
Mean	2.0	5.0	2.0	2.0	3.0	2.3	3.8	2.3	2.4	2.8
SD	1.41	-	-	-	2.65	0.50	1.87	1.42	1.90	1.70
Median	2.0	-	-	-	2.0	2.0	3.5	2.0	2.0	2.0
Min	1	-	-	-	1	2	2	1	1	1
Max	3	-	-	-	6	3	7	5	6	7
Number of cycles										
≤2	1 (50%)	0	1 (100%)	1 (100%)	2 (67%)	3 (75%)	4 (40%)	8 (67%)	5 (71%)	25 (61%)
3-5	1 (50%)	1 (100%)	0	0	0	1 (25%)	4 (40%)	4 (33%)	1 (14%)	12 (29%)
>5	0	0	0	0	1 (33%)	0	2 (20%)	0	1 (14%)	4 (10%)

732 ^aDose intensity (mg/cycle) is the average dose per cycle and is multiplied by the number of doses planned for each cycle.

733 Table 3. Summary of treatment-related and serious adverse events

734

	50 mg (N = 2)	100 mg (N = 1)	200 mg (N = 1)	400 mg (N = 1)	800 mg (N = 3)	1200 mg (N = 4)	1800 mg (N = 10)	2400 mg (N = 12)	3000 mg (N = 7)	Total (N = 41)
Any event (all patients)	2 (100)	1 (100)	1 (100)	1 (100)	3 (100)	4 (100)	10 (100)	12 (100)	7 (100)	41 (100)
Treatment-related AEs										
Any event	1 (50)	1 (100)	1 (100)	1 (100)	3 (100)	3 (75)	9 (90)	11 (92)	7 (100)	37 (90)
Fatigue	0	1 (100)	1 (100)	1 (100)	2 (67)	1 (25)	6 (60)	6 (50)	2 (29)	20 (49)
Nausea	1 (50)	0	0	0	1 (33)	0	3 (30)	8	3 (43)	16 (39)
Vomiting	0	0	0	0	1 (33)	1 (25)	2 (20)	2 (17)	3 (43)	9 (22)
Anemia	0	0	0	0	2 (67)	0	1 (10)	4	1 (14)	8 (20)
Alanine aminotransferase increased	0	0	0	0	0	0	1 (10)	3 (25)	3 (43)	7 (17)
Infusion-related reaction	0	0	0	0	1 (33)	1 (25)	0	2 (17)	3 (43)	7 (17)
Oral paresthesia	0	0	0	0	1 (33)	0	1 (10)	2 (17)	1 (14)	5 (12)
Diarrhea	0	0	0	0	0	1 (25)	0	2 (17)	1 (14)	4 (10)
Pruritus	0	1 (100)	0	0	1 (33)	0	0	1 (8)	1 (14)	4 (10)
Aspartate aminotransferase increased	0	0	0	0	0	0	0	1 (8)	2 (29)	3 (7)
Blood alkaline phosphatase increased	0	0	0	0	1 (33)	0	0	1 (8)	1 (14)	3 (7)
Constipation	1 (50)	0	0	0	1 (33)	0	0	0	1 (14)	3 (7)
Serious AEs (≥ Grade 3)										
Any event	1 (50)	0	0	0	1 (33)	2 (50)	1 (10)	5 (42)	3 (43)	13 (32)
Pyrexia	0	0	0	0	0	1 (25)	0	1 (8)	1 (14)	3 (7)
Respiratory tract infection	1 (50)	0	0	0	0	0	0	1 (8)	0	2 (5)
Back pain	0	0	0	0	0	0	0	0	1 (14)	1 (2)
Bronchitis	0	0	0	0	0	1 (25)	0	0	0	1 (2)
Campylobacter infection	0	0	0	0	1 (33)	0	0	0	0	1 (2)
Enterocolitis	0	0	0	0	1 (33)	0	0	0	0	1 (2)
Hemoptysis	0	0	0	0	0	0	1 (10)	0	0	1 (2)
Hypokalemia	0	0	0	0	0	0	0	1 (8)	0	1 (2)
Intestinal obstruction	0	0	0	0	0	0	0	1 (8)	0	1 (2)
Pneumonia	0	0	0	0	0	0	0	1 (8)	0	1 (2)
Skin bacterial infection	0	0	0	0	0	0	0	1 (8)	0	1 (2)

Tumor pain	0	0	0	0	1 (33)	0	0	0	0	1 (2)
Vomiting	0	0	0	0	0	0	0	0	0	1 (2)
735 AE, adverse event.										
736										

737 **Table 4. Plasma GSK2816126 pharmacokinetic parameters based on actual sampling times for cycle 1, day 1 and**
 738 **cycle, 1 day 15**

PK Par ^a	Day	50 mg	100 mg	200 mg	400 mg	800 mg
AUC_(0-∞) (h*µg/mL)	1	1.33 (82), n=2	NA (NA), n=0	NA (NA), n=0	13.2 (NA), n=1	26.4 (12), n=3
	15	3.90 (NA), n=1	7.00 (NA), n=1	NA (NA), n=0	14.8 (NA), n=1	33.3 (24), n=2
AUC_(0-t) (h*µg/mL)	1	1.20 (62), n=2	27.2 (NA), n=1	27.7 (NA), n=1	11.8 (NA), n=1	24.2 (10), n=3
	15	3.20 (NA), n=1	5.70 (NA), n=1	7.10 (NA), n=1	13.1 (NA), n=1	25.8 (30), n=3
C_{max} (µg/mL)	1	0.42 (52), n=2	1.10 (NA), n=1	1.40 (NA), n=1	4.00 (NA), n=1	7.31 (16), n=3
	15	0.50 (NA), n=1	1.00 (NA), n=1	1.50 (NA), n=1	3.50 (NA), n=1	7.46 (13), n=3
t_{max} (h)	1	1.50 (1.0, 2.0), n=2	1.00 (1.0, 1.0), n=1	2.00 (2.0, 2.0), n=1	1.90 (1.9, 1.9), n=1	1.00 (0.5, 1.9), n=3
	15	2.7 (2.7, 2.7), n=1	2.0 (2.0, 2.0), n=1	1.0 (1.0, 1.0), n=1	1.0 (1.0, 1.0), n=1	2.0 (1.9, 2.0), n=3
t_{1/2} (h)	1	7.62 (96), n=2	NA (NA), n=0	NA (NA), n=0	49.1 (NA), n=1	26.5 (31), n=3
	15	46.50 (NA), n=1	36.80 (NA), n=1	NA (NA), n=0	39.70 (NA)	27.35 (9), n=2
CL (L/h)	1	37.39 (73), n=2	NA (NA), n=0	NA (NA), n=0	35.30 (NA), n=1	32.49 (9), n=3
	15	17.2 (NA), n=1	17.5 (NA), n=1	NA (NA), n=0	32.5 (NA), n=1	26.8 (23), n=2
V (L)	1	194 (37), n=2	NA (NA), n=0	NA (NA), n=0	722 (NA), n=1	467 (15), n=3

	15	757 (NA), n=1	598 (NA), n=1	NA (NA), n=0	887 (NA), n=1	601 (26), n=29 740
PK Par^a	Visit Day	1200 mg	1800 mg		2400 mg	3000 mg
AUC_(0-∞) (h*µg/mL)	1	60.9 (NA), n=1	51.2 (23), n=10		102 (28), n=10	103 (48), n=7
	15	60.2 (10), n=1	81.7 (70), n=9		123 (27), n=11	183 (32), n=4
AUC_(0-t) (h*µg/mL)	1	85.7 (91), n=4	45.9 (23, n=10)		92.4 (26), n=12	94.0 (48), n=7
	15	53.4 (14), n=4	68.7 (75), n=9		105 (29), n=11	158 (35), n=4
C_{max} (µg/mL)	1	10.9 (10), n=4	13.0 (27), n=10		22.3 (35), n=12	23.8 (21), n=7
	15	11.6 (27), n=4	14.2 (27), n=9		21.6 (27), n=11	30.5 (14), n=4
t_{max} (h)	1	2.05 (1.1, 72), n=4	1.95 (1.0, 2.9), n=10		2.00 (0.8, 2.8), n=12	2.00 (0.5, 3.3), n=7
	15	2.05 (2.0, 2.1), n=4	1.90 (0.5, 2.7), n=9		2.00 (1.0, 3.0), n=11	2.25 (2.0, 3.0), n=4
t_{1/2} (h)	1	14.1 (NA), n=1	31.8 (17), n=10		33.7 (20), n=10	22.5 (56), n=7
	15	27.30 (18), n=4	29.88 (50), n=9		22.75 (44), n=11	27.25 (44), n=4
CL (L/h)	1	20.50 (NA), n=1	39.01 (24), n=10		26.06 (27), n=10	28.60 (32), n=7
	15	22.6 (14), n=4	30.9 (25), n=9		22.2 (25), n=11	19.0 (36), n=4
V (L)	1	284 (NA), n=1	702 (50), n=10		482 (31), n=10	434 (74), n=7

15 499 (37), n=4 918 (111), n=9 424 (33), n=11 480 (72), n=4

741 ^aValues denote geometric mean (CVb%) except for t_{max} , which is presented as median (range).

742 AUC, area under the plasma-time curve; CL, clearance; C_{max} , maximum observed plasma concentration; NA, not applicable; Par, parameter; PK,

743 pharmacokinetic; $t_{1/2}$, apparent terminal phase half-life; t_{max} , time to C_{max} ; V, volume.

744 **Figures Legends**

745 **Figure 1 Study Design.**

746 ^aPatients could continue treatment in the study until the occurrence of disease
747 progression, unacceptable toxicity, or withdrawal of consent. In part 1, the dose
748 was escalated based on all available data.

749 **Figure 2 Mean plasma concentration-time plots (linear and semi-**

750 **logarithmic) for cycle 1, day 1 and day 15.** ^aMean plasma GSK2816126
751 concentration-time plots are shown for cycle 1, day 1 visit (panels A and B) and
752 cycle 1, day 15 visit (panels C and D) by nominal time on a linear scale (panels A
753 and C) and a semi-logarithmic scale (panels B and D). The dotted line in panels
754 B and D represents the lower limit of quantitation (5 ng/mL). The protein-binding
755 adjusted IC₅₀ for H3K27me3 (475 ng/mL) is indicated by an arrow on panels B
756 and D.

757 **Figure 3 Computed tomography scans of a patients with a partial response.**

758 The encircled area represents the soft tissue component associated with a rib
759 lesion.

760

Figure 1. Study Design

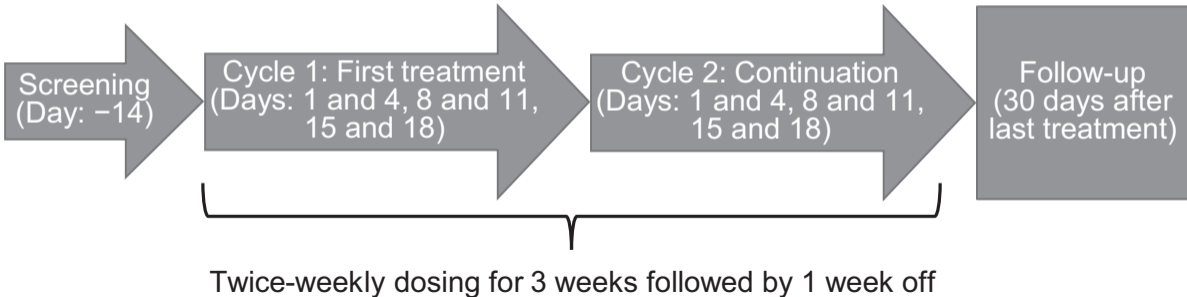
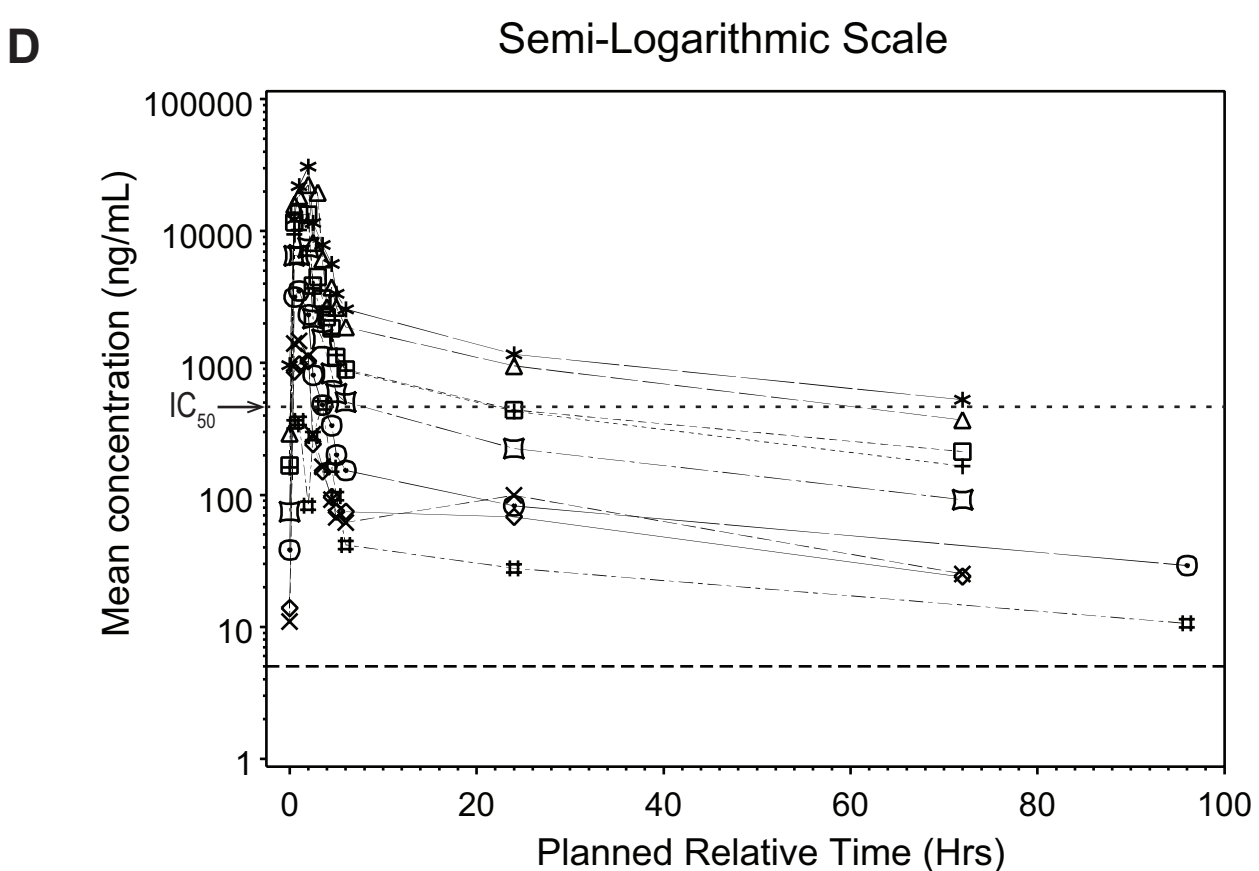
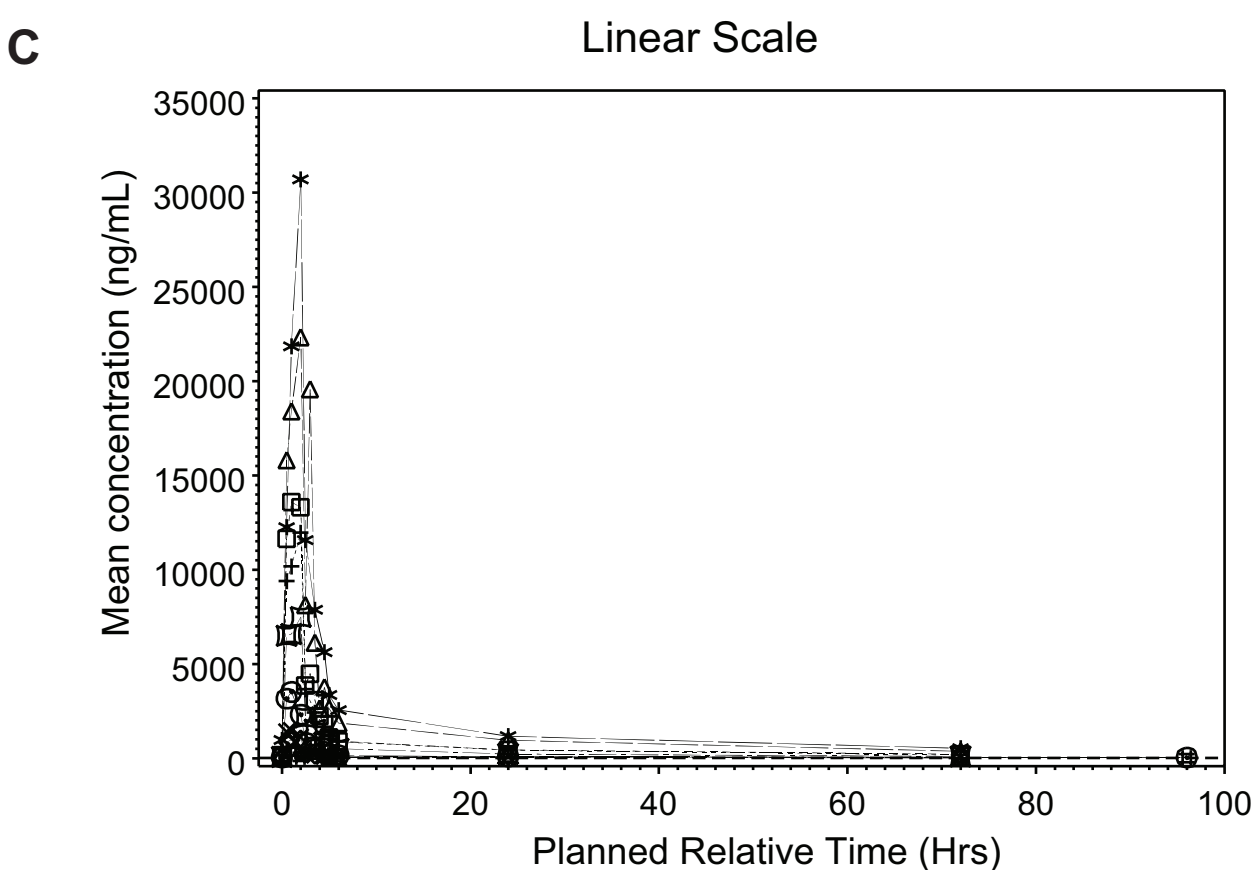
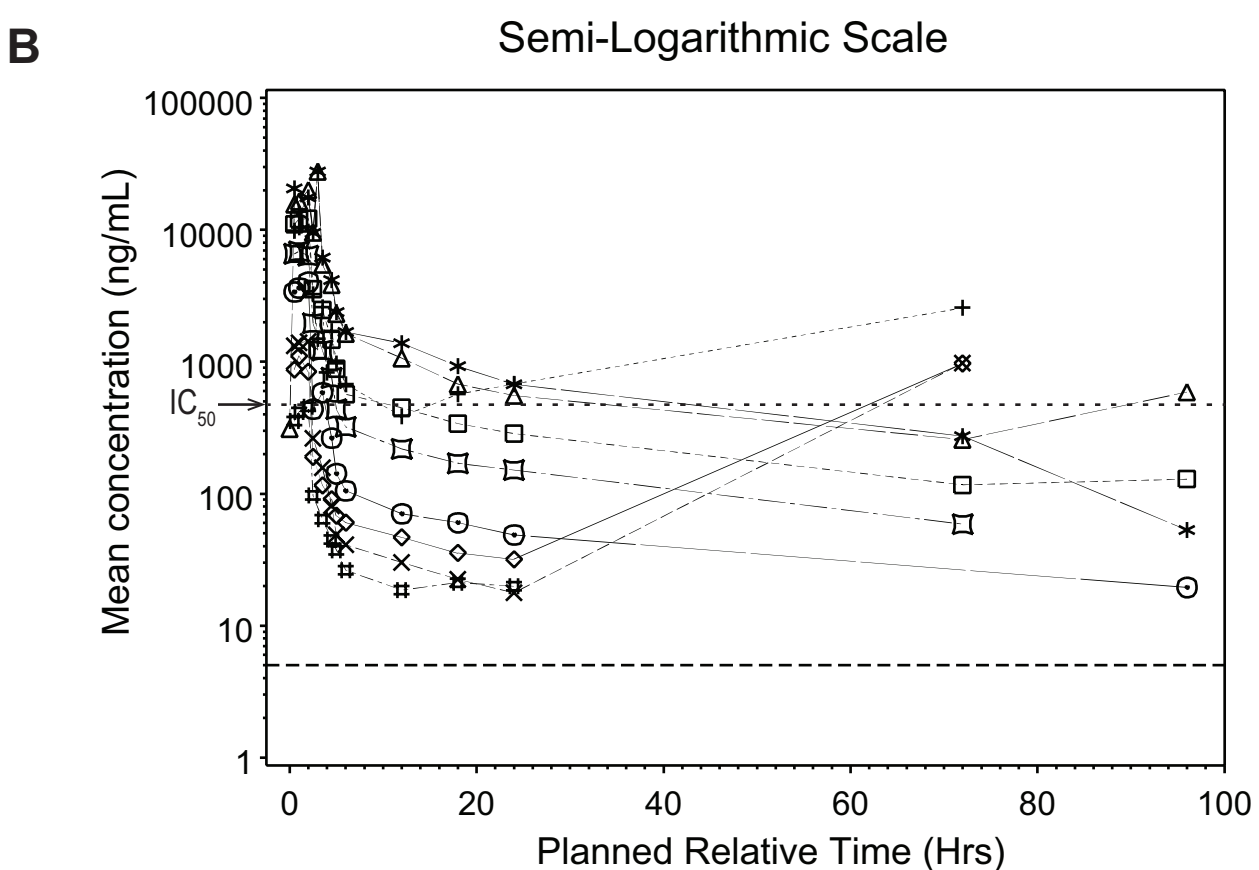
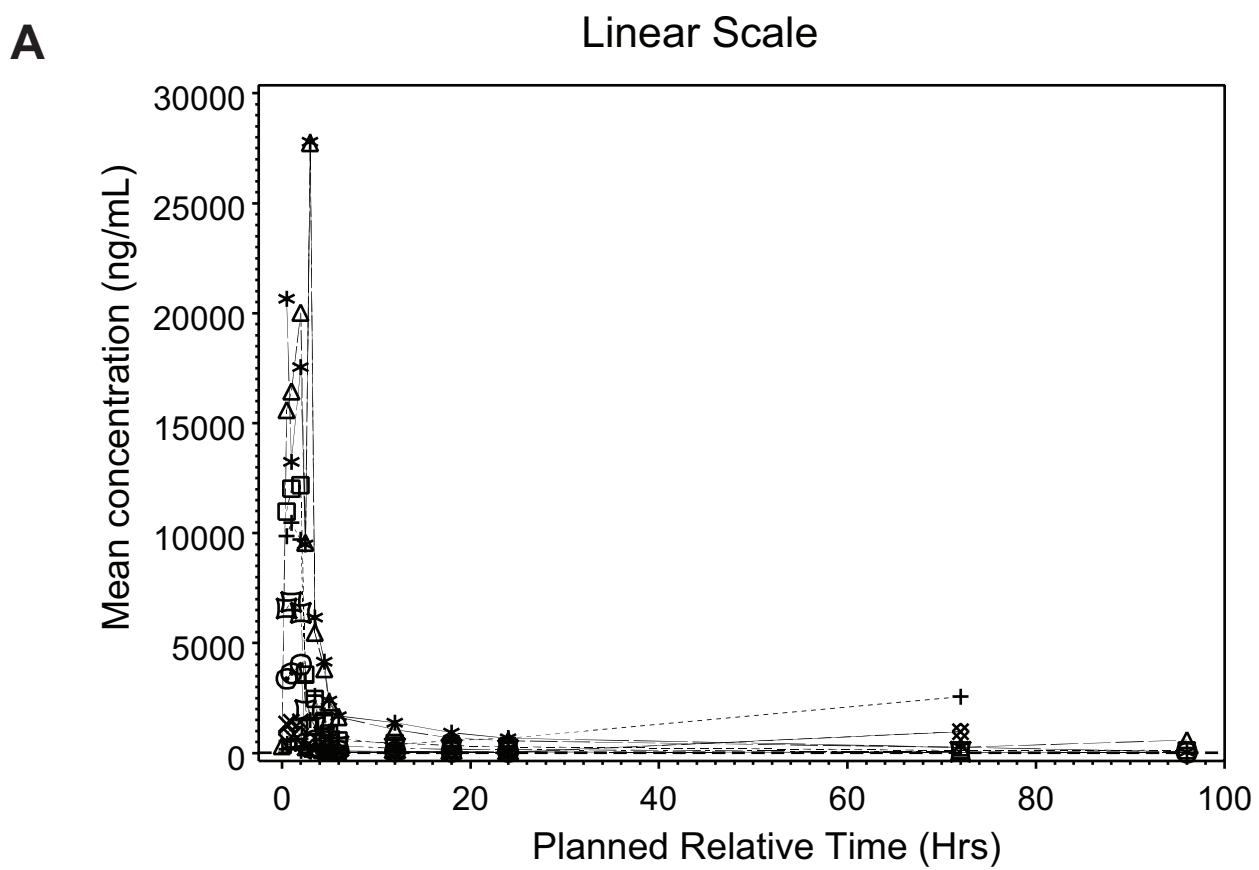


Figure 2 Mean plasma concentration-time plots

(linear and semi-logarithmic) for cycle 1, day 1 and day 15.

Mean plasma GSK2816126 concentration-time plots are shown for cycle 1, day 1 visit (panels A and B) and cycle 1, day 15 visit (panels C and D) by nominal time on a linear scale (panels A and C) and a semi-logarithmic scale (panels B and D).

The dotted line in panels B and D represents the lower limit of quantitation (5 ng/mL). The protein-binding adjusted IC_{50} for H3K27me3 (475 ng/mL) is indicated by an arrow on panels B and D.



Actual Treatment ◊-◊-◊ 100 mg +-+-+ 1200 mg ◻-◻-◻ 1800 mg *-*- 200 mg ▲-▲-▲ 2400 mg
 --* 3000 mg ⊖-⊖-⊖ 400 mg *-*-* 50 mg ◻-◻-◻ 800 mg

Figure 3 Computed tomography scans of a patients with a partial response.

Pre Cycle 2



Post Cycle 2



Final



Figure 3 Computed tomography scans of a patients with a partial response.

