### 1 The use of finger foods in care settings: An integrative review.

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### 27 Abstract

quality trials are required.

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**Background:** Reduced food intake is prevalent in people in residential and hospital care 28 settings. Little is known about the use of finger-foods, (foods eaten without cutlery), to 29 increase feeding independence and food intake. The Social Care Institute for Excellence (1) 30 recommends the use of finger foods to enable mealtime independence and to prevent loss of 31 dignity and embarrassment when eating in front of others. The aim of this review is to 32 identify and evaluate existing literature regarding the use and effectiveness of finger foods 33 among adults in health and social care settings. 34 **Methods:** An integrative review methodology was used. A systematic search of electronic 35 databases for published empirical research was undertaken in October 2018. Following 36 screening of titles and abstracts, the full text of publications, which investigated outcomes 37 38 associated with the provision of finger foods in adult care settings, were retrieved and assessed for inclusion. Two independent investigators conducted data extraction and quality 39 assessment using Critical Appraisal Skills Programme checklists. Thematic analysis was used 40 to summarise the findings. 41 Results: Six studies met the inclusion criteria. Four themes were identified: Finger food 42 menu implementation; Importance of a team approach; Effect on nutrition and Influence on 43 wellbeing. Study designs were poorly reported, with small sample sizes. 44 45 Conclusions: There is some evidence that provision of finger foods may positively affect patient outcomes in long-term care settings. There is a paucity of research evaluating the use 46 47 of a finger food menu in acute care settings, including economic evaluation. Future high

### Introduction

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The aging population living with multiple co-morbitities, for example dysphagia, stroke and 50 dementia is increasing (2). Older people, particularly those living in residential care settings 51 and those admitted to hospital, are at risk of reduced oral food intake and malnutrition (3). 52 Being under- nourished can cause loss of muscle mass and weakness, together with other 53 physiological effects, including increased susceptibility to infection and delayed wound 54 healing (2). It can impact on mental well-being and lead to reduced quality of life as a result of 55 increased dependence on others (4,5). Malnutrition is associated with increased costs to 56 57 national health services as a result of extended and more frequent hospital stays and multiple General Practicitioner (GP) visits (6). 58 Reduced food intake in institutional care can be due to a number of complex factors, 59 including the environment and the patient <sup>(7)</sup>. Environmentally, staff shortages reducing 60 access to mealtime assistance, limited choice, unappealing food and mealtime interruptions 61 can lead to a patient refusing food. Patient factors relating to eating difficulties can be 62 associated with older age (8) as well as specific diseases such as dementia or stroke. People 63 with dementia experience change in cognition, which can cause difficulties recognising food 64 or cutlery, uncoordinated transfer of food from the plate to the mouth and distraction during 65 the mealtime task (9). People after stroke experience physical changes such as hemiparesis, 66 limb apraxia or visual disturbances, which can cause difficulty manipulating cutlery or 67 transferring food from the plate to the mouth (10; 11; 12), alongside embarrassment when eating 68 in view of others (13). 69 The need to improve food intake in care settings has been acknowledged internationally, 70 resulting in the publication of guidelines (14). Guidelines include various proposed strategies 71 72 to improve intake in older adults and particularly adults with dementia, however little is known about the effectiveness of these strategies to improve oral intake (15; 16; 17). Evidence 73 74 based recommendations for healthcare promote the provision of adequate support for people who are unable to eat independently (18) and offering food that is appropriate for the person, 75 using a food first approach (14). Despite this, relatives of older people frequently report 76 inadequate amount of appropriate food and lack of support for people unable to feed 77 themselves (19; 20). The European Society for Clinical Nutrition and Metabolism (ESPEN) 78 clinical recommendations (21) suggests using finger foods for older adults due to their limited 79 80 cost and low risk, although the supporting evidence for this intervention is sparse.

81	For the purpose of this integrative review, finger foods are defined as foods presented in a
82	form that are easily picked up with the hands and transferred to the mouth without the need
83	for cutlery. Finger foods are considered easier to eat as they do not require manipulation with
84	cutlery (22). Typically, a finger food menu includes small sandwiches, pieces of quiche, cut up
85	vegetables and cake slices or foods presented in bite sized portions, for people managing
86	regular textured foods <sup>(23)</sup> .
87	The Social Care Institute for Excellence (1) recommends the use of finger foods to enable
88	mealtime independence and to prevent loss of dignity and embarrassment when eating in
89	front of others (24). For people after stroke or with cognitive impairment, finger foods have the
90	potential to support participation and to increase independence at mealtimes (21; 25; 26).
91	Potential benefits of using finger foods are enhancement of nutritional intake and
92	maintenance of weight (21; 27). Additionally, finger foods are described as a more flexible
93	approach to dining <sup>(28)</sup> . They can be used as a portable alternative to a plated meal and can be
94	eaten "on the go" (22).
95	No previous high quality reviews have purposefully addressed the use of a finger food menu
96	with older adults in care settings. NHS hospital trusts have implemented finger foods as part
97	of a multimodal approach to nutritional intervention, without evidence showing that they
98	singularly have a positive impact on patients (29). Locating and reviewing the literature to
99	identify which finger foods are most appropriate, which groups would benefit and the cost
100	effectiveness of the intervention would inform future research and support clinical practice,
101	guiding decisions regarding resource allocation. Therefore, the aim of this review was to
102	locate and synthesise empirical published literature on the use of finger foods in adults in care
103	settings.
104	Materials and methods
105	An integrative review methodology allows full understanding of a phenomenon (30). It
106	supports the objective critique and summary of selected quantitative and qualitative research
107	studies, as opposed to a systematic review which addresses a distinctive clinical question and
108	evaluated the effectiveness of an intervention (31). This integrative review follows the five
109	steps outlined by Souza et al. (32): definition of the guiding question, a detailed and systematic

search of the literature, data extraction, critical analysis of included publications and

## Selection criteria

interpretation and synthesis of results.

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Eligible studies were selected through predefined inclusion criteria developed using the 113 PICOST tool (Population, intervention, comparator, outcome, setting, type) (33). Studies were 114 included if, (i) the sample population included adults aged 18 years or above, (ii) the study 115 involved use of finger foods, including an increase in finger foods offered, (iii) Any 116 comparator was present, or none at all, (iv) Any subsequent outcomes were used, (v) the 117 study was conducted in any institutional setting (e.g. long-term care centres, assisted living 118 residence, residential homes, nursing homes, acute hospital ward) (vi) was an example of 119 empirical research. Review publications were not included, as the aim was to find empirical 120 121 evidence. 122 **Search strategy** Databases were searched using a wide range of pre-defined search terms developed with the 123 124 assistance of a medical librarian and combined using Boolean operators (And/Or/Near) and MeSH (Medical Subject Heading) terms. This aimed to retrieve the widest scope of 125 publications possible across different platforms. In addition, reference lists of selected 126 publications were searched. In attempt to review the most robust publications, grey literature 127 was not included in this search. 128 Databases searched to October 2018 included MEDLINE, EMBASE, CINAHL Plus® with 129 Full Text (1937-2018), Psych INFO (1880-2018), Web of Science, Cochrane and Ahmed. No 130 language restrictions were placed during the search. Search terms included: adult, patient, 131 elderly, senior, geriatric, dementia, Alzheimer's, neurocognitive impairment, neurocognitive 132 decline, finger food, buffet, utensil less, menu modification, mealtime intervention, dementia 133 diet and eating with hands or fingers. 134 The inclusion criteria were used by two investigators (MH and NG) to screen title and then 135 abstracts initially. Full texts of publications that appeared to be relevant were retrieved for 136 further consideration by three investigators (MH, NG, SG). 137 138 Data extraction and quality Selected publications were read multiple times to ensure familiarity. Data were extracted 139 using a pre-prepared and piloted instrument based on the data extraction table by Souza et al. 140 (32). Studies were appraised using the appropriate Critical Appraisal Skills Programme 141 (CASP) tool for the study design (34). This tool supports systematic evaluation of published 142 papers, considering validity, credibility, relevance and results of papers (34). Results of the 143

CASP tool were discussed and agreed with multiple authors (SG and MH). None of the 144 publications included met all the criteria assessed by the CASP appraisal form. However, it 145 was not possible to assess whether the publications omitted these key components or whether 146 it was simply not reported by the authors, despite attempts to contact authors. 147 **Data synthesis** 148 Primary data sources were coded, categorized and synthesised using a systematic approach in 149 accordance with guidelines for preparing an integrative review (30). Due to the small number 150 of publications found, it was not necessary to subgroup papers. Initial codes were derived 151 inductively from publications, using descriptive codes to simplfy and sort data into 152 153 manageable data forms. Next, these descriptive codes were displayed in a visual matrix to observe patterns and themes. Codes were analysed iteratively by clustering descriptive codes 154 into overarching themes and comparing and contrasting codes. These overarching themes 155 were discussed and agreed with the other authors. All relevant studies identified were 156 included in the thematic analysis regardless of quality. 157 **Results** 158 **Descriptive findings** 159 Six publications were included in the final selection. Figure 1 summarises the selection 160 process using the preferred reporting items for systematic review and meta-analysis 161 (PRISMA) flow diagram, including reasons for exclusion. 162 Table 1 provides a summary of the publication characteristics. Publications reported studies 163 undertaken in long-term care settings in the United States of America (35; 36), the United 164 Kingdom (24; 27; 37) and France (38). None described the use of a finger foods in acute care 165 settings. Study designs varied including, observational studies (36; 37; 38), a pilot study (35), a 166 case-study (24) and a reterospective study (27), but did not include randomised controlled trials. 167 Sample sizes were generally small ranging from six participants (24) to 114 participants (38) 168 using a range of outcome measures. 169 All participants included had a diagnosis of dementia or other psychiatric conditions. 170 171 Participants presented with a range of physical and cognitive eating difficulties, which were attributed to their cognitive impairment. These included difficulties using utensils (24; 27; 35; 36; 172 <sup>37)</sup>, for example poor hand or finger control, tremor and limited concentration or high level of 173 distractibility (36). 174

### **Quality assessment** 175 Assessment using the CASP case control critical appraisal tool indicated that two 176 publications reporting quantitative findings were of low quality (27; 35). Soltesz and Dayton (27) 177 used a control group, which differed in key characteristics to the intervention group. The 178 control group comprised of 11 residents consuming a modified pureed diet, and an 179 intervention group of 43 residents with no swallowing difficulties eating a normal diet. In 180 addition, confidence intervals were not provided for key outcomes, giving no indication of 181 variability (27). 182 In the study by Jean <sup>(35)</sup>, participants acted as their own control groups, in a pre-post study 183 design. No confounding factors were reported, making it difficult to attribute maintenance or 184 increase in weight to the finger food menu intervention (35). Additionally, Jean (35) presented 185 186 results using only descriptive statistics, which makes it difficult to generalise the results found and places at risk of external validity. Based on the CASP case control checklist, 187 Pouyet et al. (38) study satisfied most criteria of the three studies, however being the only 188 study of its kind, reporting on attractiveness of pureed finger foods, limits the external 189 validity. 190 The studies employing a qualitative methodology were assessed as low quality (24; 36; 37). Ford 191 (37) did not report sufficient detail of the study methodology or findings. Barratt et al. (24); 192 Nangeroni and Pierce (36) did not adequately consider the researcher and participant 193 relationship, ethical considerations and included unclear statements of findings and 194 credibility. Limited information regarding the recruitment strategy or reasons for population 195 recruited, makes it difficult to establish target sample for all studies 196 Meta synthesis 197 Four main themes were identified inductively through thematic analysis: (i) Finger food 198 menu implementation; (ii) Importance of a team approach; (iii) Effect on nutrition (vi) 199 Influence on wellbeing. 200 Finger food menu implementation 201 Included publications defined finger foods as food that did not require cutlery (27; 37; 38), or 202 could be eaten easily with the hands (24; 36; 38). Generally, finger foods offered were considered 203 appropriate for residents eating normal or regular textured foods (23) and with no evidence of 204

oropharyngeal dysphagia. However, Barratt et al. (24); Nangeroni and Pierce (36); Pouyet et al.

(38) used softer foods and pureed forms of finger food (38) to support older people with 206 dysphagia or difficulties chewing. Pouyet et al. (38) showed the pureed finger foods were 207 generally well accepted by adults with Alzheimer's disease, with reports that shape was not 208 an influence on food attractiveness (38). The authors, however, did consider shape as 209 important to support manipulation with the hands. 210 Details of the specific implementation of a finger foods varied. In two studies, finger foods 211 were offered alongside the normal menu to increase variety of food offered (27; 36). Soltesz and 212 Dayton (27) added extra finger foods to the existing menu, however the overall number of 213 finger foods increased minimally, leading to difficulties comparing the control and 214 intervention group. This contrasts with other publications, where a finger food menu was 215 developed to replace the standard menu offered over lunch and dinner times (24; 35), or offered 216 as smaller, more frequent meals (37). None of the publications reported difficulties with 217 intervention fidelity and suggested no additional staff or additional food items were required 218 (27). Success with using finger foods was supported using simple and easy foods for staff to 219 make (35). 220 The cost of implementing a finger food menu was considered by Barratt et al. (24); Soltesz and 221 Dayton (27); Jean (35). However, none reported a robust economic evaluation, resulting in 222 conflicting results. Soltesz and Dayton (27) suggested the implementation of a finger food 223 menu cost no more than the provision of standard foods and Jean (35) suggested that high 224 energy and protein supplements were discontinued in 25% of participants receiving a finger 225 food menu giving a cost saving. Conversley, in a later study Barratt et al. (24) described an 226 227 increase on cost per person to implement the finger food menu. Importance of a team approach 228 229 Collaboration between clinical and catering teams to support the provision of a finger food menu was a common theme arising in three papers (24; 27; 35). Despite catering services often 230 perceived as non-clinical services, their involvement in ensuring food was presented in a way 231 that patients could access allowed observable changes in clinical outcomes (24). In 232 publications showing increased costs for providing finger foods, agreements between budget 233 holders - often clinical managers, commissioning services and catering teams - is required to 234 justify the need for this intervention <sup>(24)</sup>. Staff training in understanding the need and rationale 235 of finger foods was one approach influencing maintenance and success of implementing the 236 intervention across departments (35; 37). 237

238	Barratt et al. (24); Soltesz and Dayton (27) described collating feedback from the clinical and
239	catering team to support the development and implementation of the finger food, however
240	little detail was given about the changes made and how this data was collected.
241	Effect on nutrition
242	Nutritional outcomes were measured in only three studies by assessing food intake via food
243	chart reviews, plate waste observations and changes in weight (35; 37). Increased nutritional
244	intake and weight maintenance during the finger food menu intervention period was
245	demonstrated in all three studies (27; 35; 37). Full description of the menu offered with
246	nutritional values was not provided, therefore, although there was an increase in weight of
247	food consumed, the nutritional value of the foods eaten could not be evaluated. Ford (37)
248	suggested that changes in nutritional status could affect medical status, however an
249	explanation as to how medical status will change was not included.
250	Influence on wellbeing
251	The fourth theme describes the improvement in wellbeing during the implementation of
252	finger foods which was reported in all publications. Wellbeing was measured formally by
253	Barratt et al. (24), using Dementia Care Mapping. Barratt et al. (24) demonstrated an increase in
254	mean wellbeing scores of residents offered a finger food menu which was maintained six
255	weeks after the introduction. However, the small sample size used by Barratt et al. (24) and
256	pre-post study design limits control of confounding variables in the complex long-term care
257	setting and makes it difficult to attribute these findings wholly to the food offered.
258	Increased independence with eating for people chosing to eat finger foods was described in
259	three studies (24; 35; 36), despite variation in outcome measures used. Barratt et al. (24) observed
260	an increase in the mean percentage of observations recorded as 'independent feeding' over
261	lunchtime meals. This contrasts to Jean <sup>(35)</sup> who created a scale which demonstrated 3 of 12
262	residents became fully independent eating their meal when offered finger foods, despite
263	during the baseline measure being fully dependent with feeding. Nangeroni and Pierce (36) did
264	not provide details of how independence was measured. Within these studies, blinding or
265	reflexive views of the researcher were not described, which increases the risk of bias and
266	makes it difficult to distinguish whether this would lead to a reduced requirement for support
267	by staff and visitors <sup>(24)</sup> .

# Discussion

The aim of this integrative review was to locate and synthesise empirical published literature 269 on the use of finger foods for adults in care settings, to inform future research and support 270 clinical practice and policy decisions. 271 The lack of high quality trials identified suggests the use of a finger foods with adults is yet to 272 be robustly evaluated. There is some evidence to demonstrate improvement in relevant 273 outcomes, such as food intake, but this has been shown in studies that lacked a control 274 making it difficult to ascertain the cause of the effect shown. The variation in interventions 275 provided across these publications provides additional challenges when comparing outcomes. 276 However, this does highlight the need for a pragmatic approach to future research, 277 considering all stakeholders involved. A study by Cluskey and Kim (39) undertaken in the 278 USA suggested that finger foods are judged by healthcare professionals, working in long term 279 care settings, as being beneficial for residents, cheap and easily implemented in institutions. 280 The limited adverse effects and expense to provide these types of foods means that their use 281 continues to remain in clinical guidelines on nutrition and hydration in geriatrics (21). 282 Despite guidelines suggesting that finger foods could be used to support people with other 283 conditions, such as stroke (25), all studies focussed on people with cognitive impairment. Ford 284 (37) acknowledged the potential of using a finger food menu to support older adults with a 285 wide range of eating difficulties, including mental health or physical difficulties. An increase 286 in food intake in people with cognitive impairment has been shown in other studies with 287 different presentations of food. In a cross over, randomised controlled trial undertaken in a 288 nursing home, Young et al. (40) demonstrated increased energy intake when high carbohydrate 289 foods were offered in place of a usual meal which was not fully described. Although this 290 291 study did not aim to evaluate the use of finger foods, it was noted many of the high carbohydrate foods could be defined as finger foods, such as bread with jam, hard boiled egg, 292 293 muffins and slices of cheese. In addition, greater severity of cognitive deficit and atypical motor behaviour was associated with greater intervention success (40). Young et al 294 295 acknowledged that in this trial, people with nutritionally controlled diabetes were excluded from the trial. This highlights that the suitability for a finger food diet would need to be 296 297 assessed individually as the nutritional content and presentation may not meet some people's dietary needs. 298 None of the research studies in this integrative review conducted a well described economic 299 evaluation, to assess the benefits of individual interventions and to evaluate the best use of 300

available resources alongside highest patient satisfaction (41). It is important to reflect the true 301 direct and indirect costs of healthcare interventions, particularly when implementing a change 302 in practice <sup>(42)</sup>. 303 Interestingly, none of the studies in this review included or explored the views of staff, carers 304 or the recipient of the finger foods, despite suggestions that they may have positive benefits 305 on quality of life and wellbeing. A conference abstract, with no associated published paper, 306 was identified which used a survey methodology to explore residents, caregivers and relatives 307 experiences of providing a finger food menu in a nursing home (43). It appears further in depth 308 research investigating the experience of residents, caregivers and relatives could give further 309 information on the acceptability of this menu (43) to support effective and efficient service 310 delivery (44). 311 The findings of this review are in agreement with broader reviews on nutritional 312 interventions. Abdelhamid et al. (45); Malerba et al. (46) suggest positive outcomes for the use 313 of finger foods, but further need for high quality investigation and well powered randomised 314 control trials. The review by Abdelhamid et al. (45) focussed on interventions to support food 315 intake in people with dementia and included two studies which classified the use of finger 316 foods as a direct dietary intervention (27, 35). Adressing the use of multiple dietary 317 interventions meant the review did not focus specifically on the use of finger foods and 318 limited the range of publications found. However, two studies (27; 35) were also included in 319 this integrative review and interestingly no studies published later than 2016 were found. The 320 descriptive review by Malerba et al. (46), in France, commented on the use of finger foods for 321 people with dementia in community and home settings. Malerba et al. (46) suggests beneficial 322 outcomes relating to the use of finger foods, for example reduced workload of carers, 323 increased independence and individualised care for people with dementia. Despite useful 324 results, the review did not show a systematic approach to searching the literature or quality 325 critique of publications included. 326 Strengths and limitations to integrative review 327 The range of study designs included in this review and the synthesis of quantitative and 328 qualitative data adds a level of complexity to the review and therefore can introduce bias (30). 329 To ensure the quality of this review, rigorous systematic approaches were used throughout. 330 To reduce bias, two reviewers (MH and NG) screened 347 abstracts for inclusion and 331

discrepancies were dealt with through discussion. The full texts were chosen following 332 discussion with the other authors of this paper. 333 **Conclusions and future recommendations** 334 The findings suggest that the use of finger foods may increase nutritional intake and enhance 335 independence and wellbeing for adults with cognitive impairment in long term care settings. 336 However, the low quality of the studies included do not provide robust evidence for the 337 effectiveness for using these types of foods in care settings. Therefore results should be 338 interpreted with caution. 339 The review highlights key considerations to implementing a finger food menu within care 340 settings, and a particular need to focus on the use of this menu in hospital settings. Further 341 342 research is required to suggest whether this intervention is cost effective, feasible and acceptable to be used in acute care settings for older adults. 343 **Transparency Declaration:** The lead author affirms that this manuscript is an honest, 344 accurate, and transparent account of the study being reported. The reporting of this work is 345 compliant with PRISMA3 guidelines. The lead author affirms that no important aspects of the 346 study have been omitted and that any discrepancies from the study as planned have been 347 explained. 348

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