

1 **Distinct genetic changes reveal evolutionary history and heterogeneous molecular grade**  
2 **of DLBCL with *MYC/BCL2* double-hit**

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40 **ABSTRACT**

41 Using a Burkitt lymphoma-like gene expression signature, we recently defined a high-risk  
42 molecular high-grade (MHG) group mainly within germinal centre B-cell like diffuse large B-  
43 cell lymphomas (GCB-DLBCL), which was enriched for *MYC/BCL2* double-hit (*MYC/BCL2*-DH).  
44 The genetic basis underlying MHG-DLBCL and their aggressive clinical behaviour remain  
45 unknown. We investigated 697 cases of DLBCL, particularly those with *MYC/BCL2*-DH (n=62)  
46 by targeted sequencing and gene expression profiling. We showed that DLBCL with  
47 *MYC/BCL2*-DH, and those with *BCL2* translocation, harbour the characteristic mutation  
48 signatures that are associated with follicular lymphoma and its high-grade transformation.  
49 We identified frequent *MYC* hotspot mutations that affect the phosphorylation site (T58)  
50 and its adjacent amino acids, which are important for MYC protein degradation. These *MYC*  
51 mutations were seen in a subset of cases with *MYC* translocation, but predominantly in  
52 those of MHG. The mutations were more frequent in double-hit lymphomas with IG as the  
53 *MYC* translocation partner, and were associated with higher MYC protein expression and  
54 poor patient survival. DLBCL with *MYC/BCL2*-DH and those with *BCL2* translocation alone  
55 are most likely derived from follicular lymphoma or its precursor lesion, and acquisition of  
56 *MYC* pathogenic mutations may augment MYC function, resulting in aggressive clinical  
57 behaviour.

## 58 INTRODUCTION

59 Diffuse large B-cell lymphoma (DLBCL) is the most common lymphoma in adults, accounting  
60 for around 75% of aggressive lymphomas. The current standard treatment for DLBCL is  
61 immunochemotherapy, typically R-CHOP (rituximab plus cyclophosphamide, doxorubicin,  
62 vincristine, and prednisone), with 60% of patients living 10 years or more.<sup>1</sup> Patients who fail  
63 R-CHOP treatment respond poorly to currently available alternative therapies, and mortality  
64 is highest within the first two years after diagnosis.<sup>1</sup> The ability to risk-stratify patients with  
65 a low probability of cure following R-CHOP at diagnosis, and to enter them into clinical trials  
66 investigating alternative therapies is a significant unmet clinical need. A number of  
67 biomarkers have been investigated, but only *MYC* translocation and to a lesser extent, cell  
68 of origin (COO), are used routinely or in a clinical trial.<sup>1</sup>

69 *MYC* translocation occurs in ~10% of DLBCL, and is frequently (21-83%) accompanied by an  
70 additional *BCL2* and/or *BCL6* translocation, known as double-hit (DH) or triple-hit (TH).<sup>2-15</sup>  
71 *MYC/BCL2*-DH DLBCL are generally aggressive and respond poorly to currently available  
72 therapies, with the majority of patients dying within two years of diagnosis, although a  
73 minority of cases experience a long term survival.<sup>15,16</sup> The clinical outcomes for *MYC/BCL6*-  
74 DH DLBCL are less clear owing to the small number of cases investigated and their  
75 heterogeneous COO.<sup>14,17,18</sup> Patients with a single *MYC* translocation (*MYC*-SH) show variable  
76 clinical courses. A subset of these cases has *TP53* mutations and displays a worse survival,  
77 similar to that of *MYC/BCL2*-DH.<sup>18,19</sup> There remains a need to clarify the prognostic  
78 stratification of *MYC* translocation-bearing DLBCL.

79 Based on COO classification, DLBCL has been divided into two broad subgroups: activated B-  
80 cell like (ABC) and germinal centre B-cell like (GCB) subtypes, with the ABC-DLBCL showing  
81 enhanced NF- $\kappa$ B activation and generally a worse prognosis.<sup>20,21</sup> Although the COO

82 classification provides broad biologically distinct categories, there is an apparent  
83 heterogeneity in clinical outcome within each subtype. Further sub-classification of these  
84 broad molecular subtypes has been investigated by several recent studies based on  
85 clustered genetic changes and/or gene expression signatures.<sup>22-26</sup> Among these recent  
86 advances, Sha et al and Ennishi et al have defined a clinically and biologically distinct high-  
87 risk subgroup within GCB-DLBCL using, respectively, a Burkitt lymphoma-like or *MYC/BCL2*-  
88 DH-founded gene expression signature.<sup>25-27</sup> This subgroup, termed molecular high-grade  
89 (MHG) in the study by Sha et al, is enriched in cases with *MYC/BCL2*-DH, and more  
90 importantly includes other poor prognosis cases without the double-hit, which are not  
91 readily identified by other methods.<sup>25,28</sup>  
92 Intriguingly, although MHG-DLBCL is enriched in *MYC/BCL2*-DH, a proportion of cases with  
93 *MYC/BCL2*-DH do not have the MHG gene expression signature and these cases show no  
94 worse survival than that of conventional GCB-DLBCL (non-MHG).<sup>25</sup> To understand the  
95 genetic basis underlying MHG-DLBCL and its aggressive clinical behaviour, we used targeted  
96 sequencing to investigate 697 cases of DLBCL, particularly enriched for those with  
97 *MYC/BCL2*-DH (TH) (n=62).

98

## 99 **METHODS**

### 100 **Case selection and materials**

101 Of the 697 DLBCL cases included, 400 were from the REMoDL-B trial (28 *MYC* translocation  
102 positive) and 297 (97 *MYC* translocation positive) from a UK population based cohort.<sup>25,28</sup>  
103 The vast majority of cases in the population based cohort were from the Haematological  
104 Malignancy Research Network (HMRN) ([www.hmrn.org](http://www.hmrn.org)), which tracks all haematological

105 malignancies across 14 hospitals diagnosed by a centralised fully-integrated  
106 haematopathology laboratory.<sup>29</sup> Case selection in the present study was biased toward  
107 those with a *MYC* translocation, which, together with *BCL2* and *BCL6* translocations, was  
108 determined at the time of diagnosis (HMRN) or retrospectively collected from pathology  
109 records or by performing interphase fluorescent in situ hybridisation (FISH) on TMA  
110 (REMoDL-B).<sup>18,25</sup> Among the population based cohort, 5 cases had a previous history of  
111 follicular lymphoma (FL), and a further 28 cases had a histological evidence of concurrent FL.  
112 For the REMoDL-B trial, patients with a previous history of low grade lymphoma was  
113 excluded.

114 Formalin-fixed paraffin-embedded (FFPE) diagnostic tissue biopsy was available in each case  
115 and local ethical guidelines were followed for the use of these tissue materials for research  
116 with the approval of the ethics committees of the involved institutions (05-Q1604-10, 04-  
117 Q1205-125, 10-H0504-79).

#### 118 **DNA extraction and quality assessment**

119 Haematoxylin and eosin stained FFPE tissue slides were reviewed and tumour rich areas  
120 (>40%) in consecutive sections were isolated by crude macrodissection in each specimen.  
121 DNA was extracted using the QIAamp DNA Micro Kit (QIAGEN, Crawley, UK) and quantified  
122 using a Qubit® Fluorometer (Life Technologies, UK). The quality of DNA samples was  
123 assessed by PCR of variably sized genomic fragments using a standardised protocol.<sup>30</sup>

#### 124 **Targeted sequencing by HaloPlexHS enrichment and Illumina HiSeq sequencing**

125 This was essentially carried out as described previously.<sup>31</sup> Briefly, 100ng genomic DNA was  
126 subjected to targeted enrichment of 70 genes (Table S1), which are recurrently mutated in

127 aggressive B-cell lymphomas using a customised HaloPlexHS probe library (Agilent  
128 Technologies). The HaloPlexHS probes incorporate molecular barcodes, hence allowing  
129 removal of PCR errors during data analysis. Library preparation was performed according to  
130 the manufacturer's instructions for FFPE tissue samples. The pooled libraries were  
131 sequenced on an Illumina HiSeq4000 (2 × 150 bp end sequencing protocol) or HiSeq2500  
132 (Rapid Run Mode 2 × 150 bp end sequencing protocol). As stipulated by our previous study,  
133 DNA samples amenable for PCR of ≥400bp genomic fragments were investigated in a single  
134 replicate, while those amenable for PCR of 300bp were analysed in duplicates, with  
135 reproducible variants being considered as a true change.<sup>31</sup>

#### 136 **Variant calling and annotation**

137 Sequence data analysis and variants calling were performed using a previously validated in-  
138 house protocol.<sup>31</sup> Briefly, SNV were called using UnifiedGenotyper with no downsampling.<sup>32</sup>  
139 As this was unable to call SNVs at <8% AAF reliably, MuTect2 was additionally employed for  
140 detection of hotspot mutations at low AAF values. Indel detection was separately carried  
141 out on the recalibrated bam files using Pindel v0.2.5,<sup>33</sup> which allowed detection of indels as  
142 low as 2% AAF. Variant calling files were concatenated to produce one library vcf each for  
143 the SNV and Indel pipelines, and then filtered using vcftools v0.1.15 and bedtools v2.25 for  
144 read depth, quality score, and known PCR/sequence artefacts. Further filtering was carried  
145 out to remove variants in intronic regions outside essential splicing sites, SNPs with a minor  
146 allele frequency ≥0.1% (dbSNP database, 1000 Genomes Project, the ExAC exome  
147 sequencing database) and synonymous changes. In addition, missense variants predicted to  
148 be benign by at least 7 out of 9 functional prediction tools (SIFT, Polyphen2 HDIV, Polyphen2  
149 HVAR, LRT, MutationTaster, MutationAssessor, FATHMM, SVM score and LR score) were

150 excluded. The resulting variants were further scrutinised by reviewing the bam file to  
151 eliminate potential PCR and sequence artefacts. Only variants above the cut-off value (20  
152 alternative allele depth for DNA samples amenable for PCR of  $\geq 400$ bp, 15 alternative allele  
153 depth in both replicates for DNA samples amenable for PCR of 300bp) were considered to  
154 be a true change.<sup>31</sup> Finally, extensive search of COSMIC database and published literature  
155 was carried out to retain those known and confirmed to be somatic variants. The final  
156 mutation list can be found in Table S2.

### 157 **Molecular subtyping by gene expression profiling**

158 Whole genome gene expression profiling was performed on mRNA extracted from FFPE  
159 diagnostic tissue specimens using the Illumina whole genome DASL array.<sup>34</sup> Data analyses  
160 and COO classification were carried out using the “DLBCL automatic classifier” (DAC).<sup>35</sup> The  
161 MHG group was identified based on a Burkitt lymphoma-like signature as defined in  
162 previous studies.<sup>25,36</sup>

### 163 **Interphase fluorescence in situ hybridisation (FISH)**

164 Chromosome translocation status was available from routine haematopathological  
165 diagnosis or previous studies for *MYC*, *BCL2* and *BCL6* in 550, 233 and 218 cases  
166 respectively.<sup>18,25</sup> In the REMoDL-B and HMRN cohort, *MYC* translocation was screened with  
167 Dako *MYC* break-apart probe, and those showing no evidence of *MYC* translocation but with  
168 MHG phenotype were further investigated with Abbott *MYC* break-apart and *MYC/IGH* dual  
169 fusion probe. In the remaining cases from other UK hospitals, *MYC* translocation was  
170 investigated with Abbott *MYC* break-apart probe.



171 Interphase FISH was performed for *BCL2* and *BCL6* translocation in 433 and 366 cases in the  
172 present study. In cases with *MYC* translocation, additional FISH was performed with  
173 *MYC/IGH* (Abbott) (if not yet done), then *MYC/IGK* and *MYC/IGL* (Cytocell) dual fusion  
174 probes in those without any evidence of *MYC* and *IGH* fusion. All FISH was carried out on  
175 tissue microarray or whole tissue section as described previously.<sup>18,25</sup>

### 176 **MYC immunohistochemistry**

177 Data on *MYC* protein expression by immunohistochemistry on tissue microarrays (TMA)  
178 slides were available from our previous study.<sup>25</sup> The immunostained slides were scanned  
179 and *MYC* protein expression was quantified and presented as percentage of positive nuclear  
180 staining in lymphoma cells using IHC Profiler Image-J software according to the instructions  
181 for nuclear protein targets (<https://imagej.net/>).<sup>37</sup>

### 182 **Statistical analysis**

183 The probability of *MYC* hotspot mutations occurring by chance was assessed by Poisson  
184 regression. Associations among chromosomal rearrangements, mutations and clinical  
185 variables were analysed using Fisher's exact test. Survival analysis was carried out using Cox  
186 proportional hazards and likelihood ratio tests in R (<https://cran.r-project.org>). All quoted *P*  
187 values are two-sided.

188

## 189 **RESULTS**

190 Among the 697 cases investigated by targeted sequencing, 553 were investigated for  
191 chromosomal translocations by interphase FISH; and *MYC*, *BCL2*, and *BCL6* translocations

192 were found in 125, 136 and 97 cases respectively, with *MYC/BCL2/BCL6*-TH in 11,  
193 *MYC/BCL2*-DH in 51 (*BCL6* translocation data unknown in 8), and *MYC/BCL6*-DH in 22 (Figure  
194 1&2).

195 **The mutation profile of DLBCL with *MYC/BCL2*-DH or *BCL2*-SH suggests their derivation**  
196 **from follicular lymphoma**

197 In general, DLBCL with *MYC/BCL2/BCL6*-TH and *MYC/BCL2*-DH had a similar mutation profile,  
198 and were characterised by a higher mutation load and more frequent mutations in *BCL2*,  
199 *KMT2D*, *CREBBP*, *EZH2* and *TNFRSF14* than those with isolated *MYC* translocation (Figure 2A,  
200 Figure S1&S2). Interestingly, these mutations are the cardinal features of follicular  
201 lymphoma,<sup>38-42</sup> and were similarly seen in DLBCL with *BCL2*-SH (Figure 2B). The *BCL2*  
202 mutation profile was almost identical between *BCL2* translocation positive DLBCL and  
203 follicular lymphoma (Figure 2C).<sup>42</sup> These findings suggest that DLBCL with a *BCL2*  
204 translocation may be derived from a follicular lymphoma or its precursor lesion. In support  
205 of this suggestion, DLBCL with *MYC/BCL2*-DH (TH) and those with *BCL2*-SH also harboured  
206 an additional mutation profile (*MYC*, *GNA13*, *TP53*, *P2RY8*, *PIM1*, *CCND3*, *B2M*, *EBF1* and  
207 *S1PR2*), which was associated with high-grade transformation of follicular lymphoma as  
208 shown by several previous studies (Figure 2B).<sup>38-41</sup> Furthermore, both groups (28% and 50%  
209 respectively) frequently presented with either a previous or concurrent follicular lymphoma  
210 (Figure 2D). Intriguingly, DLBCL with *MYC-BCL2*-DH(TH) were more often associated with a  
211 previous, but not concurrent follicular lymphoma than those with *BCL2*-SH. Nonetheless, 65%  
212 of *BCL2* tr+ve cases lacked documented evidence of follicular lymphoma at diagnosis  
213 (Figure 2D). With the exception of *MYC* mutations, there was no significant difference in the  
214 mutation profile between *BCL2* translocation positive cases with and without follicular

215 lymphoma (Figure S3). *MYC* mutation was more frequent in *BCL2* translocation positive  
216 cases without follicular lymphoma, and this was primarily due to a high frequency of *MYC*  
217 translocation in this group.

218 As expected, DLBCLs with *MYC/BCL2*-DH(TH) were either MHG (56%) or GCB (38%), the  
219 remaining cases being unclassifiable (6%) (Figure 3). Similarly, the majority of DLBCL with  
220 *BCL2*-SH were GCB (74%), with the remaining cases distributed among MHG (14%), ABC (4%)  
221 and unclassified (8%). It is worth noting that the three cases of ABC-DLBCL with *BCL2*-SH  
222 lacked both *EZH2* and *GNA13* mutations that were nearly exclusively seen in GCB (MHG)-  
223 DLBCL.

224 **MHG-DLBCL with *MYC* translocation are enriched with *MYC* mutations that enhance its**  
225 **stability and transforming capacity**

226 Our previous study showed that among patients with a *MYC* translocation, MHG-DLBCL had  
227 a significantly worse survival than GCB-DLBCL (non-MHG).<sup>25</sup> To understand the genetic basis  
228 underlying MHG-DLBCL and its aggressive clinical behaviour, we compared the mutation  
229 profile among MHG, GCB and ABC subtype, and also between MHG and GCB within  
230 *MYC/BCL2* double hit groups (Figure 4). This revealed a significantly higher frequency of  
231 *MYC* mutations in the MHG group (Figure 4B).

232 *MYC* is a known target of somatic hypermutation machinery, and as expected many of the  
233 *MYC* mutations were in the RCY-motif (R=A/G, Y=C/T), with their extent attenuating when  
234 further downstream from the promoter (Figure 5A). In comparison with synonymous  
235 mutations, there was a significant enrichment of nonsynonymous changes in codons 57, 58  
236 and 59 (Figure 5A). Additionally, an in-frame deletion of codons 56-60 was seen in one case.

237 These mutations are likely to be functional, pathogenic and selected during lymphoma  
238 development as they affect the phosphorylation site (T58) and its neighbouring amino acids,  
239 which are important for MYC protein degradation.<sup>43</sup> Several lymphoma derived MYC  
240 mutants, including P57S and T58A, have been previously shown to dramatically increase the  
241 half-life of MYC protein, and also confer increased transforming capacity.<sup>44,45</sup>  
242 These *MYC* hotspot mutations were seen in a subset of DLBCL with *MYC* translocation, more  
243 frequently in those with *MYC/BCL2*-DH, and the majority (74%) were MHG (Figure 5B). In  
244 contrast, cases with *MYC* non-synonymous mutations in other codons did not show any  
245 association with molecular subtype although occurred predominantly in those with *MYC/IG*  
246 translocation (Figure 5B&C). *MYC* hotspot mutations were significantly more frequent in  
247 cases with *MYC/IG* (41%) than those with *MYC/non-IG* translocation (8%), and together had  
248 a considerable overlap with MHG phenotype (Figure 5C). Cases with *MYC* hotspot  
249 mutations had a significantly higher MYC protein expression than those with other *MYC*  
250 mutations (Figure 5D) as shown by immunohistochemistry and quantitative analysis of the  
251 scanned immunostained slides.<sup>25</sup> Finally, *MYC* pathogenic mutations had a potential  
252 adverse effect on patient survival (Figure 6). Even within the MHG group, cases with *MYC*  
253 pathogenic mutations had significantly worse overall survival than those without these  
254 mutations in the REMoDL-B trial, and a similar trend was also seen in HMRN's population-  
255 based cohort (Figure 6 A&B). In a separate analysis within cases with *MYC/BCL2*-DH  
256 irrespective of their MHG status, cases with *MYC* pathogenic mutations also had significantly  
257 worse overall survival than those without these mutations in the REMoDL-B trial, albeit not  
258 in HMRN's population-based cohort (Figure S4). In multivariable analysis adjusting for MHG  
259 and *MYC/BCL2*-DH, *MYC* pathogenic mutations retained statistical significance in the  
260 REMoDL-B group, albeit not in the HMRN cohort.

261 A low allelic frequency (4-5% AAF) of the above *MYC* pathogenic mutations was seen in 3  
262 cases, likely representing a subclonal change. Among these 3 cases, 2 had molecular  
263 subtyping data and 1 was MHG.

264 **DLBCL with *MYC/BCL6*-DH or *BCL6*-SH, or *MYC*-SH are heterogeneous in their mutation**  
265 **profile and molecular subtype**

266 There was no apparent mutation signature, nor biased molecular subtype associated with  
267 *BCL6* translocation with exception of *BCL10* and *NOTCH2* mutations which were significantly  
268 enriched in cases with *MYC/BCL6*-DH or *BCL6*-SH *BCL6* (Figures 2A, 3 & S5). Similarly, there  
269 was no specific mutation signature in DLBCL with *MYC*-SH with the exception of high  
270 frequent *MYC* mutations. Cases with *MYC*-SH varied in their molecular subtype,  
271 nonetheless MHG (39%) and GCB (29%) accounted for the majority. Interestingly, 6 of the  
272 11 MHG-DLBCL with *MYC*-SH had *TP53* mutations.

273

274 **DISCUSSION**

275 Using integrated analyses of chromosome translocation, somatic mutation profiling of a  
276 panel of 70 genes that are recurrently mutated in aggressive B-cell lymphoma, and  
277 molecular subtype in a large cohort of DLBCL, the present study made two novel  
278 observations.

279 Firstly, we have provided several strands of evidence indicating that DLBCL with *MYC/BCL2*-  
280 DH and those with *BCL2*-SH are most likely derived from a low-grade follicular lymphoma or  
281 its precursor lesion. These include finding: 1) a cardinal mutation signature (*BCL2*, *KMT2D*,  
282 *CREBBP*, *EZH2*, and *TNFRSF14*) associated with follicular lymphoma development; 2) a

283 mutation profile (*MYC*, *GNA13*, *TP53*, *P2RY8*, *PIM1*, *CCND3*, *B2M*, *EBF1* and *S1PR2*)  
284 associated with follicular lymphoma high-grade transformation; and 3) frequent presence of  
285 a previous or concurrent follicular lymphoma in DLBCL with *BCL2* translocation.

286 We acknowledge the limitation of the relatively small gene panel used in the present study,  
287 and the above speculation needs to be confirmed by more comprehensive genetic profiling.

288 Nonetheless, the speculation is supported by the finding that transformed follicular  
289 lymphomas also show frequent *MYC* hotspot mutation.<sup>41</sup> In support of our study, the  
290 mutation signature associated with follicular lymphoma was also the characteristic change  
291 in high grade B-cell lymphoma with *MYC* and *BCL2* translocations,<sup>46</sup> and in the EZB or C3  
292 genetic subgroup, which are enriched by *BCL2* translocation.<sup>23,24</sup>

293 Intriguingly, DLBCL with *MYC/BCL2*-DH had strong mutation signatures associated with  
294 follicular lymphoma, but less frequent evidence of a concurrent follicular lymphoma than  
295 those with *BCL2*-SH (28% vs 50%). Given the highly proliferative nature of DLBCL with  
296 *MYC/BCL2*-DH, these high-grade lymphoma cells may frequently efface the low-grade  
297 follicular lymphoma lesion, potentially leading to its underdetection. In addition, a single  
298 lymph node is commonly biopsied for histological diagnosis, increasingly needle core rather  
299 than excision biopsies. This would underestimate the true incidence of follicular lymphoma  
300 in patients with DLBCL. Alternatively, DLBCL with *MYC/BCL2*-DH may be derived from a  
301 precursor lesion, such as a common mutated precursor cell population. In fact, transformed  
302 follicular lymphomas are more commonly (66-83%) derived from a common mutated  
303 precursor cell (CPC) population, in a process of divergent evolution.<sup>38-41</sup> The tissue  
304 compartment containing the CPC population is likely to be in-situ follicular neoplasia (ISFN),  
305 the precursor lesion of FL, albeit this remains to be confirmed in future investigations. It is  
306 pertinent to speculate that *BCL2* translocation positive DLBCL could be similarly derived

307 from an ISFN lesion, regardless of any evidence for parallel follicular lymphoma  
308 development.

309 Secondly, we have identified frequent *MYC* hotspot mutations that affect the  
310 phosphorylation site (T58) and its adjacent amino acids, which are critical for FBXW7  
311 mediated proteasome degradation (Figure 5A).<sup>43</sup> Such lymphoma derived *MYC* mutants  
312 (T58A, P57S) have been shown to increase the half-life of *MYC* protein from 30 to 110  
313 minutes, and also confer increased transforming capacity, but are defective in promoting  
314 apoptosis due to failure to activate BIM.<sup>44,45,47</sup> Thus, these hotspot mutations are likely to  
315 be pathogenic and selected during lymphoma development.

316 Although these *MYC* hotspot mutations were seen in a subset of cases with *MYC*  
317 translocation, they were predominantly in MHG. This may explain, at least in part, the  
318 heterogeneous molecular subtype and clinical outcome of DLBCL with *MYC* translocation,  
319 including those with *MYC/BCL2*-DH. *MYC* hotspot mutations (pathogenic changes) were  
320 significantly more frequent in cases with *MYC/IG* than those with *MYC/non-IG* translocation  
321 (41% vs 8%,  $P=0.005$ ), potentially explaining in part why these cases showed a worse  
322 prognosis than those with non-IG gene as the *MYC* partner.<sup>48,49</sup> Cases with *MYC* pathogenic  
323 mutations were significantly associated with higher *MYC* protein expression as assessed by  
324 immunohistochemistry and quantitative imaging analysis. This could potentially explain in  
325 part the variability (20-100%) of *MYC* protein expression in tumour cells of *MYC*  
326 translocation positive DLBCL.<sup>50</sup> The above findings are also consistent with the observation  
327 that the adverse prognosis of the *MYC/BCL2*-DH group is primarily due to those with IG as  
328 *MYC* translocation partner.<sup>48,51</sup>

329 It is worth noting that the above *MYC* hotspot mutations were also seen at a sub-clonal level,  
330 albeit in only a few cases. This finding raises an interesting question about whether tumour  
331 cells carrying these mutations are more resistant to therapies, and could thus be enriched in  
332 resistant or relapsed disease. If this is the case, the clinical impact of these *MYC* mutations  
333 may be under-estimated in the present study, as the investigation was exclusively based on  
334 diagnostic tissue biopsies.

335 More recently, a second hotspot of tumour associated *MYC* mutations was identified in  
336 codons 243-249 through meta-analysis of mutation data from Burkitt lymphoma.<sup>52</sup> These  
337 mutants (T244A, P245A) phenocopy the aforementioned mutations in their enhanced *MYC*  
338 protein stability, transforming capacity, and also defective BIM activation.<sup>52</sup> We did not  
339 observe any hotspot mutations in this region, but this could be due to the relatively small  
340 number of cases in the present study, or different mutation spectra between Burkitt and  
341 DLBCL. Mutation in codon 138 has also been suggested to enhance *MYC* protein stability  
342 and thus regarded as pathogenic change in DLBCL.<sup>53,54</sup> Nonetheless, mutation in codon 138  
343 was frequently accompanied by change in codon 58, and its independent impact remains  
344 uncertain due to a very limited number of mutant cases identified.<sup>54</sup>

345 In the 2017 WHO lymphoma classification, *MYC/BCL6*-DH DLBCL are included in the double-  
346 hit category, without any distinction from those with *MYC/BCL2/BCL6*-TH or *MYC/BCL2*-  
347 DH.<sup>55</sup> We show here that DLBCL with *MYC/BCL6*-DH are significantly different in their  
348 mutation profile and molecular subtype from those with *MYC/BCL2/BCL6*-TH or *MYC/BCL2*-  
349 DH. This observation is further supported by a recent study albeit based on a smaller  
350 cohort.<sup>46</sup> In fact, *MYC/BCL6*-DH DLBCL are highly heterogeneous in their molecular subtypes,  
351 indicating their diverse COO, notwithstanding the high prevalence of *NOTCH2*



352 mutations.<sup>23,24</sup> *BCL6* translocation is recurrently seen in both follicular and marginal zone  
353 lymphoma.<sup>56-59</sup> It would be interesting to explore whether *MYC/BCL6*-DH DLBCL also result  
354 from high-grade transformation of a low-grade lesion such as follicular or marginal zone B-  
355 cell lymphoma or their precursor lesions, and their heterogeneous molecular subtypes  
356 reflect their inherent features from their derived low-grade lesion. These heterogeneities,  
357 in addition to the small numbers of cases available for each study, may explain the disparate  
358 clinical outcomes reported for *MYC/BCL6*-DH DLBCL.<sup>14,17,18</sup> In light of this, *MYC/BCL6*-DH  
359 DLBCL should not be regarded as a single group, and their biology and clinical management  
360 need to be explored in the context of their respective molecular subtype, rather than within  
361 the double-hit category.

362 In summary, DLBCL with *MYC/BCL2*-DH harbour the characteristic mutation signatures that  
363 are associated with follicular lymphoma development and its high-grade transformation,  
364 suggesting their derivation from follicular lymphoma or its precursor lesion, probably  
365 following acquisition of a *MYC* translocation. Our study also identifies the novel association  
366 of MHG-DLBCL with *MYC* hotspot mutations that enhance its stability and transforming  
367 capacity, and further highlight the pathogenic role of these mutations and their clinical  
368 significance, beyond transcriptional deregulation as a result of translocation.

369

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384

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386 RD; Illumina sequencing analysis and variant calling: ZC, JST; Gene expression, FISH and IHC  
387 data: SB and AR; Gene expression data analysis and molecular subtyping: CS, MAC; The  
388 REMoDL-B trial was led by PWMJ, AJD, TC, JC; Case contribution: SB, CB, DP, AS, ER, HL;  
389 Survival analysis: FC, SC, CS; Study design and coordination: MQD, PJ, DRW, AD, CB, RT, ER,  
390 JF, AS; Manuscript writing and preparation: MQD, FC with contributions from all authors.  
391 All authors read and approved the final manuscript.

392

### 393 **FIGURE LEGENDS**

394 **Figure 1:** Summary of cases of DLBCL included in the study. A total of 697 cases were  
395 studied, including 400 from the REMoDL-B trial and 297 cases from population based cohort,

396 mainly from the Haematological Malignancy Research Network (HMRN). Laboratory data on  
397 chromosome translocations and molecular subtypes by gene expression profiling are  
398 indicated.

399 **Figure 2:** DLBCL with *BCL2* translocation harbor the cardinal mutation signature of follicular  
400 lymphoma. A) Heatmap illustration of mutation distribution according to chromosome  
401 translocation status; Where data available, evidence of previous or concurrent follicular  
402 lymphoma is indicated. B) DLBCL with *BCL2* translocation, particularly those with  
403 *MYC/BCL2*-DH, harbor the cardinal mutation signature of FL, and also the mutation profile  
404 associated with its high-grade transformation.<sup>38-41</sup> Representative mutation data in FL and  
405 transformed FL are from the study by Kridel et al,<sup>41</sup> with *EZH2* mutation considered as the  
406 core changes associated with FL.<sup>38-41</sup> C) Comparison of *BCL2* mutation profile between *BCL2*  
407 translocation positive DLBCL in the present study and FL in the study by Huet et al.<sup>42</sup> D)  
408 DLBCL with *BCL2* translocation often have a previous or concurrent follicular lymphoma.  
409 *MYC/BCL2*-DH: *MYC/BCL2*-double-hit; TH: *MYC/BCL2/BCL6*-triple hit; SH: single hit; tr-ve:  
410 translocation negative. FL: follicular lymphoma.

411 **Figure 3:** Molecular subtype of DLBCL according to translocation status. MHG: molecular  
412 high-grade; GCB: germinal center B-cell like; ABC: activated B-cell like; UNC: unclassified.

413 **Figure 4:** A) Comparison of mutation profile among MHG, GCB and ABC subtypes. The  
414 panel includes only the genes (n=57) that had a mutation frequency  $\geq 5\%$  in at least one  
415 molecular subtype to make the figure legible. B) Mutation comparison between MHG and  
416 GCB within the *MYC/BCL2*-DH(TH) group. Only genes showing a significant or apparent  
417 difference are included in the figure panel, with *BCL2* mutation included as a reference.

418 Fisher exact test was used to analyse the difference of mutation frequency between various  
419 groups with statistical significance indicated.

420 **Figure 5.** *MYC* pathogenic mutations and their relationship to molecular subtype and genetic  
421 changes in DLBCL. **A)** Distribution of *MYC* variants with hotspot mutations clustered at the  
422 phosphorylation site (T58) and its neighbouring amino acids that are important for *MYC*  
423 degradation. \*One case shows an in-frame deletion of codons 56-60 and all other  
424 mutations are missense changes. The codons 57, 58 and 59 hotspot mutations and the in-  
425 frame deletion are likely pathogenic and selected during lymphoma development. *MYC*  
426 variants are annotated according to transcript ENST00000377970.6 in keeping with the  
427 literature. **B)** *MYC* hotspot mutations in codons 57, 58 and 59 are seen in a subset of cases  
428 with *MYC* translocation, more frequent in those with *MYC/BCL2-DH*, but are significantly  
429 enriched in MHG-DLBCL. **C)** *MYC* hotspot mutations are more commonly seen in  
430 *MYC/BCL2-DH* DLBCL with IG gene as the *MYC* translocation partner, with a considerable  
431 overlap with MHG phenotype. **D)** DLBCL with *MYC* mutation in codons 57-59 show a  
432 significantly higher *MYC* protein expression than those with *MYC* translocation, but lacking  
433 these pathogenic mutations. The *MYC* protein expression was investigated by  
434 immunohistochemistry, quantified using IHC Profiler Image-J software and presented as  
435 percentage of positive nuclear staining in lymphoma cells. Unpaired t-test was used to  
436 compare the two groups. MHG: molecular high-grade; GCB: germinal center B-cell like; ABC:  
437 activated B-cell like; UNC: unclassified.

438 **Figure 6.** Prognostic value of *MYC* codons 57-59 mutations in DLBCL. **A)** Differential impact  
439 on survival between *MYC* mutations in codons 57-59, and others. **B)** MHG-DLBCL with *MYC*  
440 mutations in codons 57-59 show the worst overall survival in comparison with GCB-DLBCL.

441 C) Single variable Cox proportional hazards regression analysis of progression-free survival in  
442 GCB-DLBCL. \*In multivariable analysis adjusting for MHG and *MYC/BCL2*-DH, *MYC*  
443 pathogenic mutations in codons 57-59 retain statistical significance in the REMoDL-B cohort,  
444 albeit not in the HMRN cohort.

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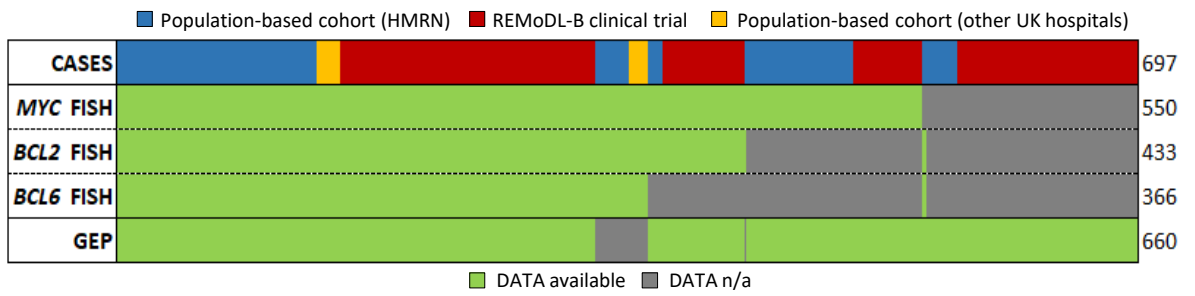
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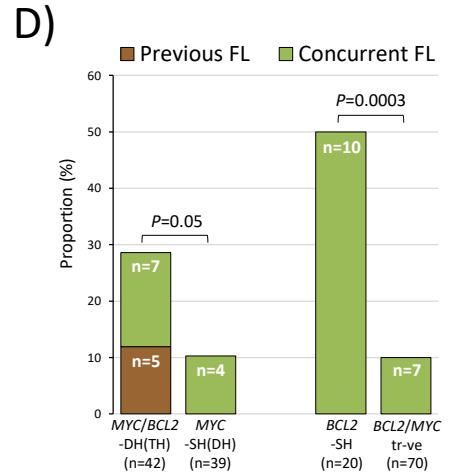
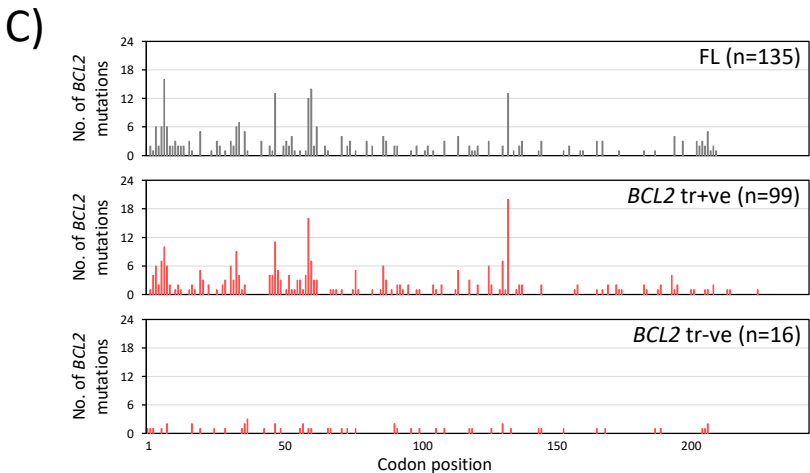
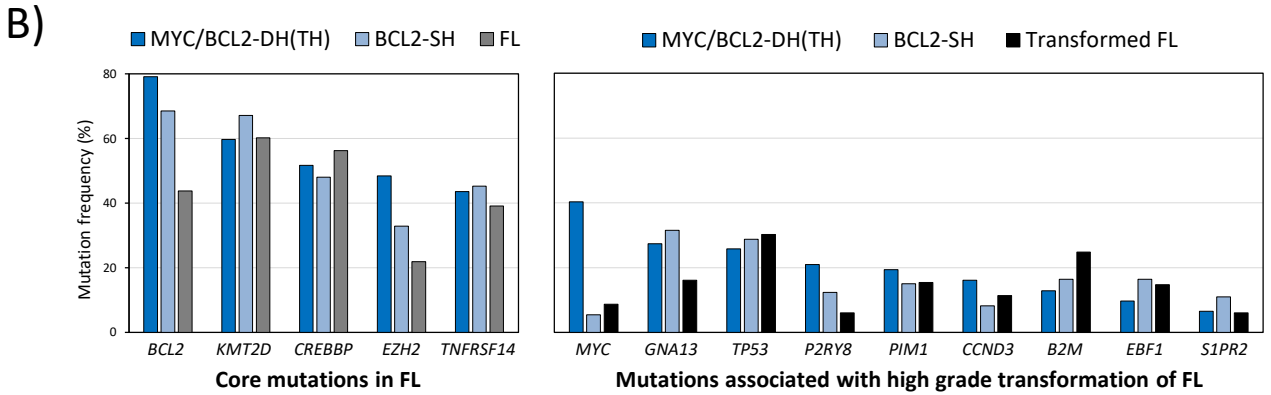
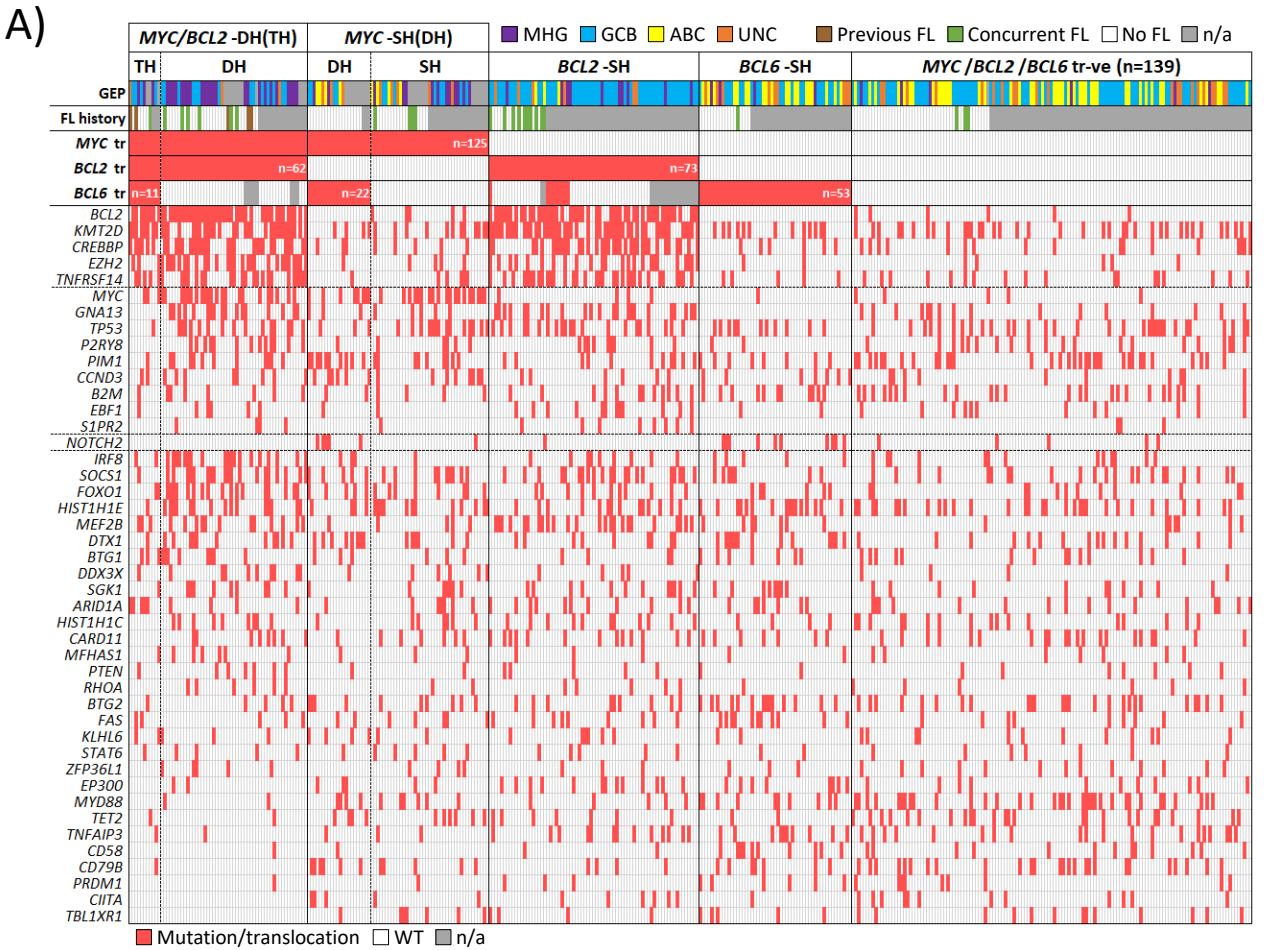
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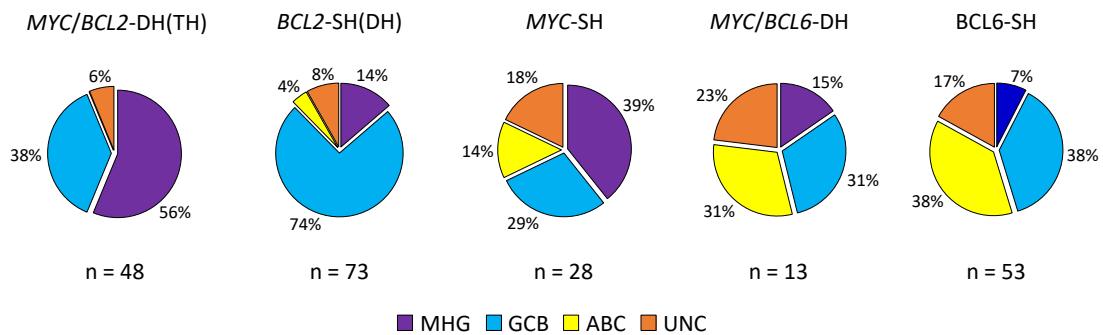
**Figure 1**



**Figure 2**



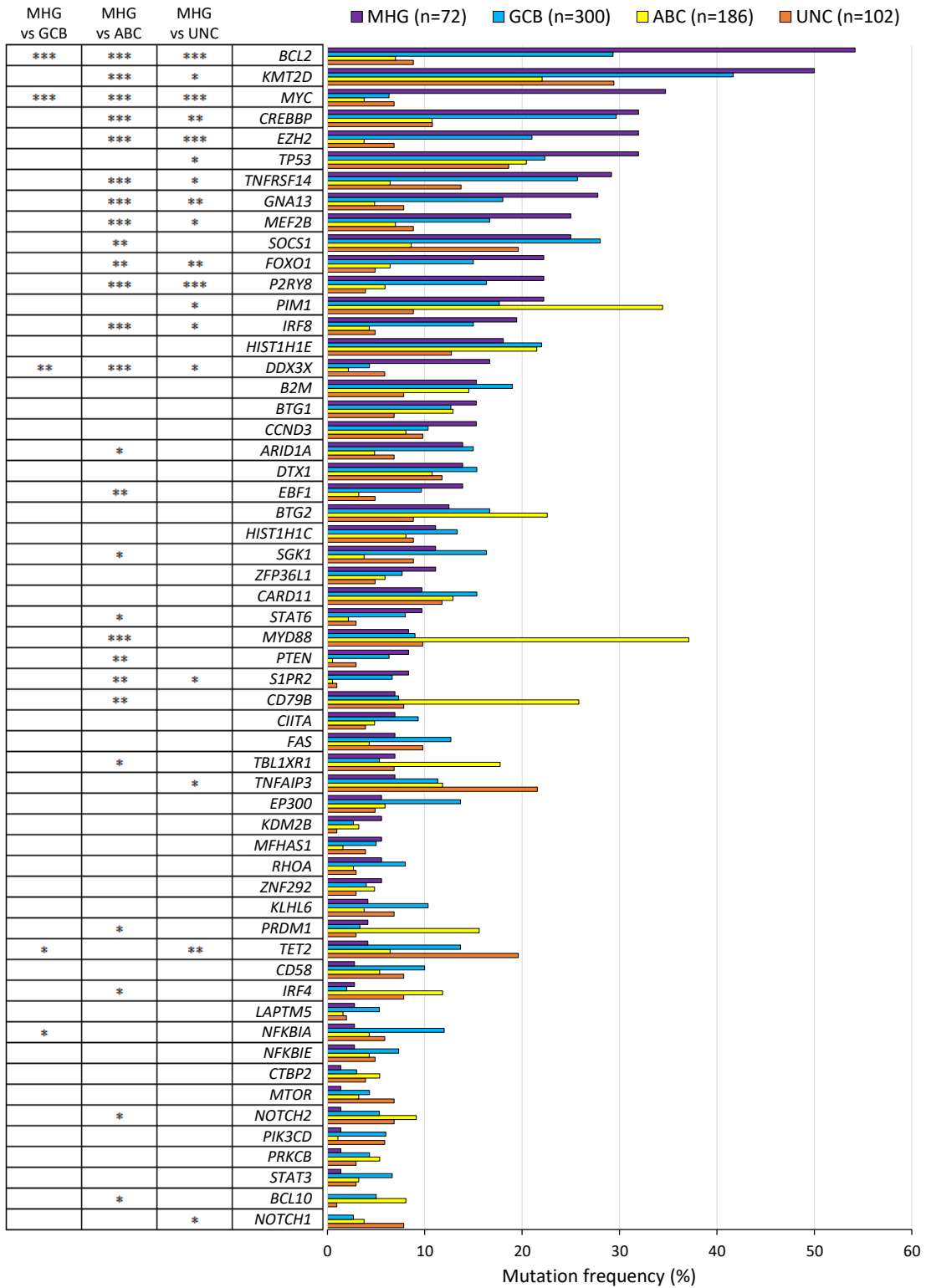
**Figure 3**



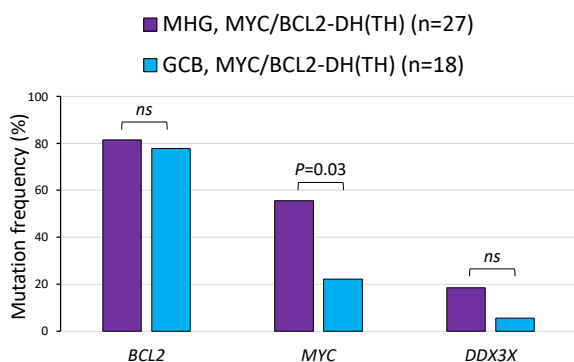


**Figure 4**

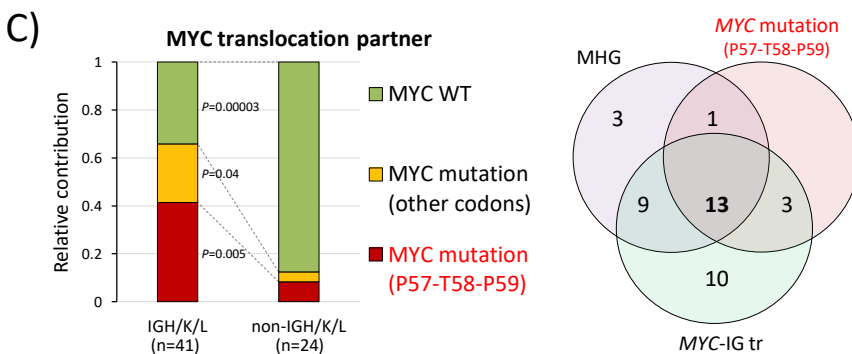
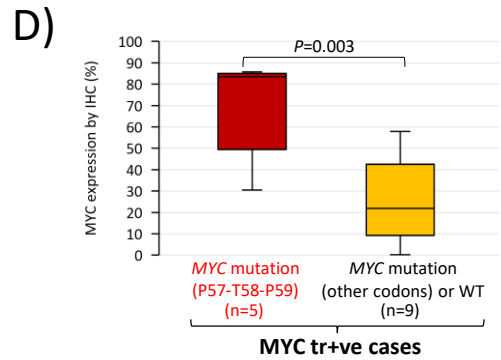
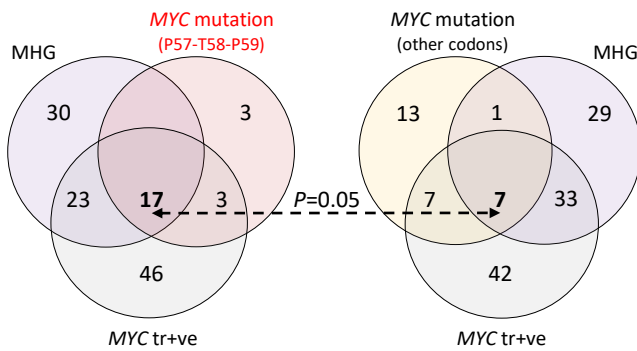
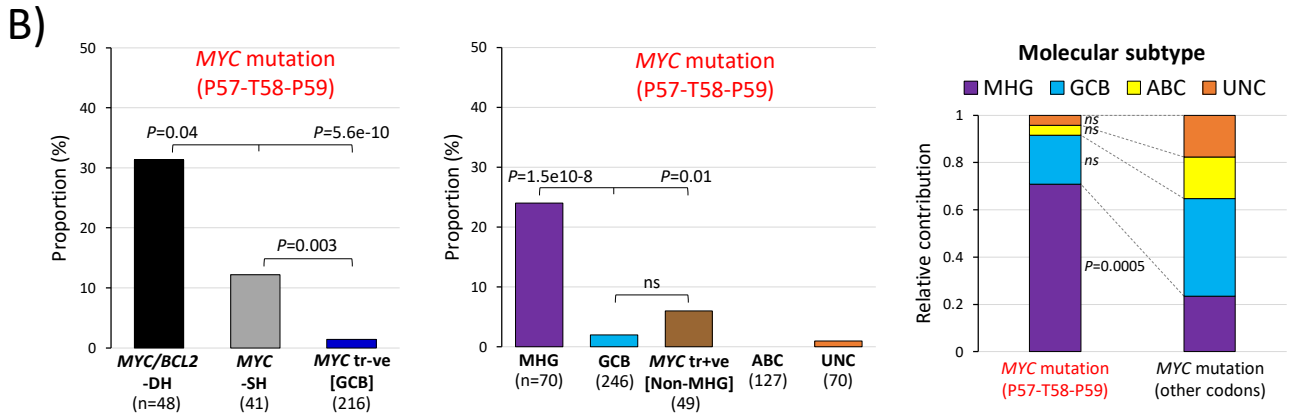
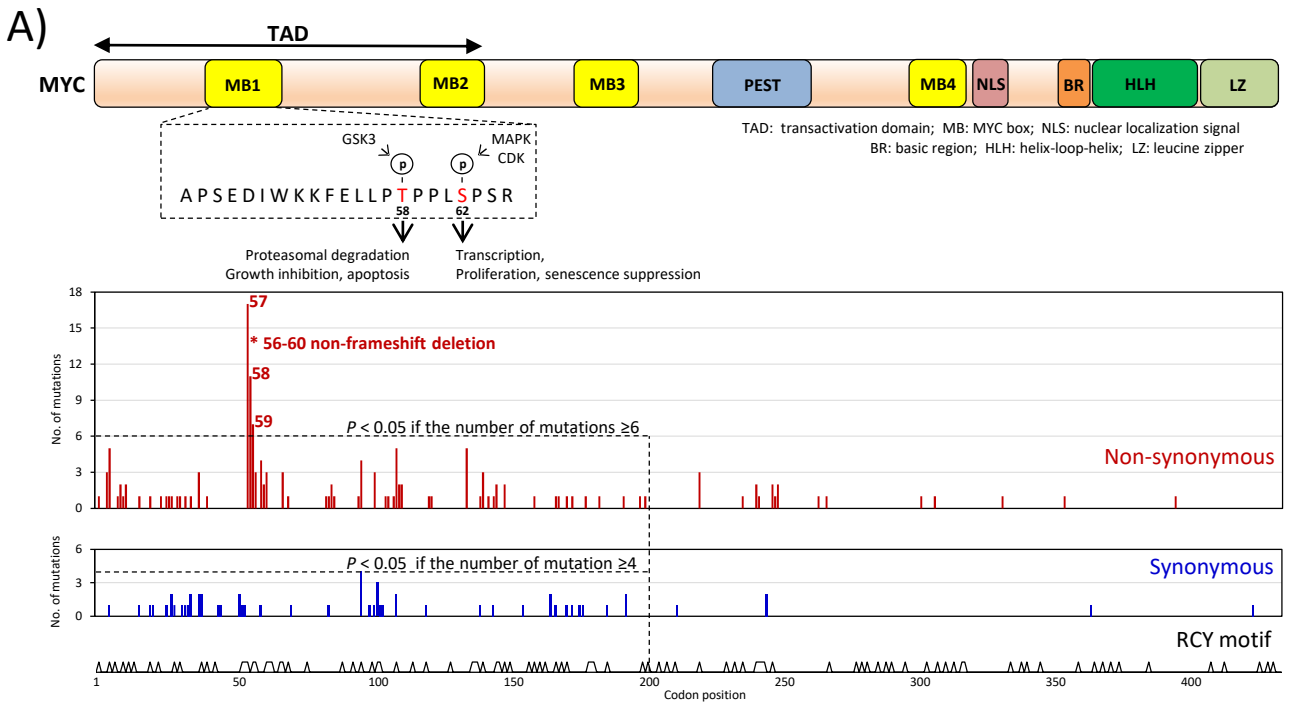
**A)**



**B)**

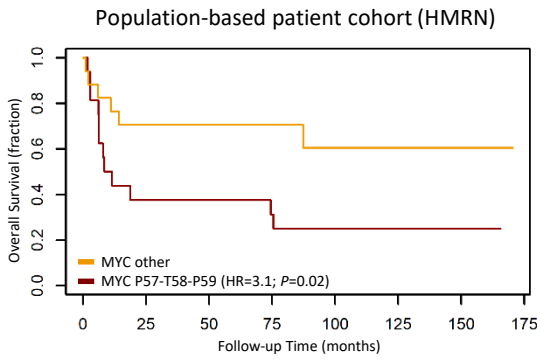


**Figure 5**

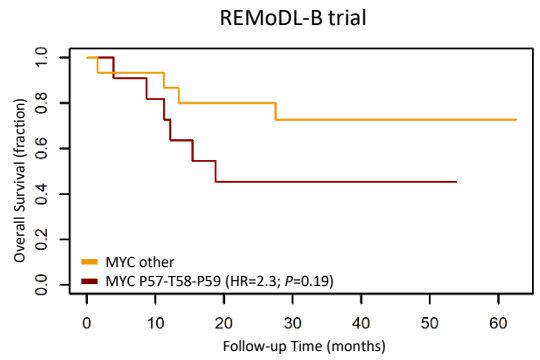


**Figure 6**

**A)**

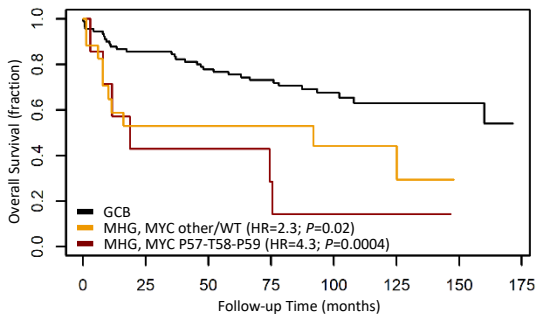


| No. at risk     |    | 0  | 25 | 50 | 75 | 100 | 125 | 150 | 175 |
|-----------------|----|----|----|----|----|-----|-----|-----|-----|
| MYC other       | 17 | 12 | 12 | 12 | 5  | 4   | 3   | 0   |     |
| MYC P57-T58-P59 | 16 | 6  | 6  | 5  | 4  | 3   | 1   | 0   |     |

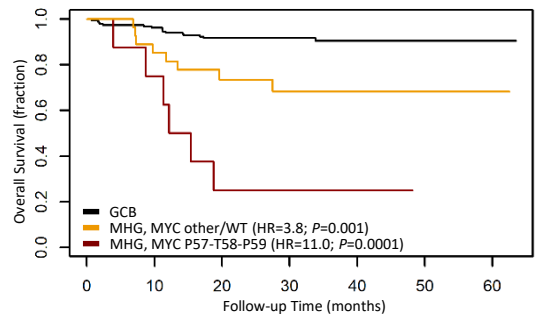


| No. at risk     |    | 0  | 10 | 20 | 30 | 40 | 50 | 60 |
|-----------------|----|----|----|----|----|----|----|----|
| MYC other       | 15 | 14 | 11 | 9  | 7  | 4  | 1  |    |
| MYC P57-T58-P59 | 12 | 9  | 5  | 4  | 4  | 2  | 0  |    |

**B)**



| No. at risk          |    | 0  | 25 | 50 | 75 | 100 | 125 | 150 | 175 |
|----------------------|----|----|----|----|----|-----|-----|-----|-----|
| GCB                  | 90 | 77 | 70 | 61 | 34 | 21  | 11  | 0   |     |
| MHG, MYC other/WT    | 17 | 8  | 8  | 8  | 4  | 3   | 0   | 0   |     |
| MHG, MYC P57-T58-P59 | 7  | 3  | 3  | 2  | 1  | 1   | 0   | 0   |     |



| No. at risk          |     | 0   | 10  | 20 | 30 | 40 | 50 | 60 |
|----------------------|-----|-----|-----|----|----|----|----|----|
| GCB                  | 190 | 175 | 144 | 80 | 48 | 17 | 1  |    |
| MHG, MYC other/WT    | 28  | 23  | 17  | 11 | 7  | 4  | 1  |    |
| MHG, MYC P57-T58-P59 | 9   | 6   | 2   | 2  | 2  | 0  | 0  |    |

**C)**

Univariate Cox proportional hazards regression analysis of progression-free survival in **GCB-DLBCL**

|                                              |                             | Population-based cohort (HMRN) |               | REMoDL-B trial   |               |
|----------------------------------------------|-----------------------------|--------------------------------|---------------|------------------|---------------|
|                                              |                             | HR (95% CI)                    | P value       | HR (95% CI)      | P value       |
| <b>IPI</b>                                   | <b>Low (0 - 1)</b>          | Reference                      |               | Reference        |               |
|                                              | <b>Intermediate (2 - 3)</b> | 2.1 (0.8, 5.7)                 | 0.13          | 2.6 (1.1, 6.4)   | <b>0.032</b>  |
|                                              | <b>High (4 - 5)</b>         | 5.2 (1.9, 14.0)                | <b>0.0013</b> | 3.4 (1.2, 9.6)   | <b>0.02</b>   |
| <b>Age</b>                                   | <b>&lt;60 year</b>          | Reference                      |               | Reference        |               |
|                                              | <b>60 year</b>              | 1.2 (0.63, 2.2)                | 0.61          | 0.89 (0.47, 1.7) | 0.71          |
| <b>Stage</b>                                 | <b>I/II</b>                 | Reference                      |               | Reference        |               |
|                                              | <b>II/IV</b>                | 2.8 (1.5, 5.5)                 | <b>0.002</b>  | 2.2 (1.0, 4.8)   | <b>0.042</b>  |
| <b>MHG</b>                                   | <b>non-MHG</b>              | Reference                      |               | Reference        |               |
|                                              | <b>MHG</b>                  | 1.9 (1.0, 3.7)                 | <b>0.049</b>  | 3.0 (1.6, 5.8)   | <b>0.001</b>  |
| <b>TP53</b>                                  | <b>wild type</b>            | Reference                      |               | Reference        |               |
|                                              | <b>mutation</b>             | 1.6 (0.85, 2.9)                | 0.15          | 2.0 (1.1, 3.8)   | <b>0.03</b>   |
| <b>MYC translocation</b>                     | <b>No</b>                   | Reference                      |               | Reference        |               |
|                                              | <b>Yes</b>                  | 1.8 (0.97, 3.2)                | 0.062         | 2.4 (1.1, 5.3)   | <b>0.034</b>  |
| <b>TP53 mutation &amp; MYC translocation</b> | <b>No</b>                   | Reference                      |               | Reference        |               |
|                                              | <b>Yes</b>                  | 4.5 (1.8, 12)                  | <b>0.0017</b> | 4.7 (1.8, 12)    | <b>0.0014</b> |
| <b>MYC mutations in P57-T58-P59*</b>         | <b>No</b>                   | Reference                      |               | Reference        |               |
|                                              | <b>Yes</b>                  | 1.4 (0.55, 3.5)                | 0.49          | 4.9 (2.1, 12)    | <b>0.0003</b> |
| <b>MYC/BCL2-DH</b>                           | <b>No</b>                   | Reference                      |               | Reference        |               |
|                                              | <b>Yes</b>                  | 2.1 (1.1, 3.9)                 | <b>0.017</b>  | 4.0 (1.8, 9.1)   | <b>0.0008</b> |