

Point-to-Point Repetitive Control of Functional Electrical Stimulation for Drop-Foot

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Abstract

Drop-Foot is a common problem resulting from a range of neurological conditions, and prevents normal leg swing during gait, leading to abnormal, inefficient motion with an increased risk of falling. It damages the quality of life of over 122,000 people in the US and 11,400 people in the UK every year.

Functional electrical stimulation (FES) addresses drop-foot by artificially contracting the tibialis anterior, and has had considerable success both clinically and commercially. However current commercial controllers are open loop and have long set-up times. The few controllers in the research domain are predominantly open-loop, lack accuracy, and struggle with muscle delays, non-linearities and the onset of fatigue. More advanced controllers require extensive sensor data and/or are highly dependent on an identified model. Recent developments have shown model based controllers combined with learning can facilitate higher accuracy, however previous attempts employed batch-wise learning, and led to disjointed control signals.

This paper applies repetitive control (RC) to drop-foot for the first time, facilitating a continuous, smooth process of learning with no resetting. To maximise performance, a comprehensive extension to the standard RC framework is undertaken to enable only isolated time points to be tracked, improving robustness and reducing memory and communication requirements. Experimental data confirms that RC can achieve normal gait when applied to FES-assisted gait with no voluntary effort. The new ‘point-to-point’ RC framework outperformed standard RC, while using only 5 data points per gait cycle and minimal control effort.

Keywords: Repetitive Control, Point-to-Point, FES, Gait, Assistive Technology, Drop Foot

1. Introduction

Drop-foot is characterised by ankle dorsiflexion weakness, caused by nerve or muscle damage. It results in abnormal gait, producing slow, tiring and often unsafe ambulation. Typically caused by compression or damage to the peroneal nerve, drop-foot manifests in approximately 20% of the 10 million people worldwide who survive stroke each year [1]. This number continues to climb due to increased life expectancy and demographic changes. Additionally, multiple sclerosis (MS) can also lead to drop-foot, with studies showing that 63% of people with MS fear falling and 83% thus avoid activity [2]. Full recovery from drop-foot if due to skeletal

15 or neuropathy/neurological issues is often not possible, so there is an urgent need for effective technologies to aid mobility and combat pathological gait. Mechanical ankle orthoses are commonly used and comprise of a rigid or semi-rigid splint that fixes the ankle. These typically restrict motion and can discourage recovery, leading to increased spasticity and soft tissue shortening. For this reason, clinicians are often unwilling to prescribe such devices, as reflected by studies in which 47% of people have never used them [3].

25 The leading active intervention technology is functional electrical stimulation (FES), and can be used if drop-foot is caused by damage to the central nervous system, leaving the peripheral nerves intact. Small electrical impulses, via small wearable skin electrodes, allow FES to control muscle contraction. Clinical trials of FES have shown an increase in walking speed by 16%, a reduction in

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effort of 29% as well as reduced spasticity, anxiety and depression [4].

A variety of commercial FES systems for drop-foot exist, but all employ open-loop control which is usually triggered by a pressure sensor mounted in the heel or a button on a supporting frame. This generates effective, but crude, dorsiflexion around the ankle. In the research domain, a range of open and closed loop systems have been developed. The first approaches to open loop FES control required manual user input to initiate stimulation pulses [5]. Manual user commands were compared with “hand-crafted” rules, and the latter found to improve walking speed by 7% and reduce the stimulation duration by 38%. Open-loop control has also been used with an electrode array [6]. Here electrode pads were sequentially stimulated to locate an optimal ‘virtual’ pad. However, once the optimal pad was found, a simple open loop ramp input was applied and was initialised with a heel switch.

Fuzzy logic and PID controllers have been combined with neural networks in [7], and applied in a study with 3 hemiplegic participants. The experiment consisted of each participant lying on a bed with the ankle elevated off the bed, the controller then attempted to track a gait reference stretched over 13 seconds and consisting of 500 points. The neural network achieved an angular root mean squared error (RMSE) of 8.11° , 7.81° and 5.59° for each participant. Neural network combined with PID performed better, achieving 5.57° , 4.83° and 5.05° , but neural network combined with fuzzy logic performed best with 4.07° , 3.75° and 4.19° .

An adaptive approach based on sliding mode control and non-linear compensation controlled the agonist-antagonist muscles to generate ankle movement in [8]. It considered each muscle as a subsystem and employed decentralized control. The study included experimental validation on 3 healthy and 3 paraplegic subjects. The experiment was conducted on a bench, with hip angle 90° , knee angle 0° , and the ankle free to move. The references tracked, however, were not natural gait, rather a biphasic trapezoidal (20s period), biphasic raised-cosine (12s period) and sine wave (20s period). The mean RMSE for healthy patients, across all tests, was $3.2^\circ \pm 1.2^\circ$ and for paraplegic subjects $3.4^\circ \pm 0.2^\circ$.

The most advanced approaches reported used iterative learning control (ILC) [9, 10]. The latter employed 6 inertial measurements to provide the ankle angle. Here the ILC activated after the heel was lifted and had a duration equal to the period

of the swing phase. The ILC was then reset before the next cycle. A study with 6 post stroke patients validated the approach. Here the first cycle was an approximation of the required input. Although no data values were explicitly reported, graphs indicate RMSE values in the range 2° to 6° were achieved within 2 cycles and maintained. Although effective, the piecewise application of ILC introduced discontinuities in the input signals and resulting motion, as well as complicating design and convergence properties. In addition, it required significant sensor data and an accurate system model.

The above approaches firstly show the improvements possible by using closed-loop control combined with model based approaches to address drop-foot. Learning has then been found to further improve performance, taking advantage of the inherent cyclic nature of gait. However, the ILC methods used consider each step as a distinct, separate task rather than a constantly repeating process. This has degraded both performance and convergence properties. To address these limitations, this paper will develop a control framework that also embeds learning in a continuous manner, thereby avoiding discontinuities, and leading to transparent performance and convergence properties. Furthermore, it will also utilise minimal data, hence reducing communication and energy demands that are critical in wearable devices.

The approach will employ repetitive control (RC), and constitutes its first application for drop-foot. The next section develops the underlying theoretical background, which is then expanded to take a new ‘point-to-point’ form that embeds the minimal data requirement.

2. Control Framework

Iterative learning control (ILC) is applicable to systems undertaking repeated tasks, with the fundamental requirement that they reset to identical initial conditions between attempts. Since its inception in the 1980s, ILC has developed a mature theoretical background and has been applied to numerous fields. RC emerged as a method of controlling systems that track a periodic reference. However, no resetting is assumed, and this has resulted in a clear separation between disciplines in terms of both theoretical underpinning, and application areas. However, duality properties connect both ILC and RC, and have been defined in [11].

135 RC is underpinned by the internal model principle, which states that a necessary condition for any system to reject a periodic disturbance is to have a model of that disturbance embedded within its control structure. RC was previously employed in
 140 FES tremor suppression in [12], where an underlying linear model was assumed. This was possible since linearising action was used to remove the non-linearities associated with FES induced limb dynamics. The approach of linear RC design, followed by linearising action will thereby be adopted
 145 in the current application to drop-foot.

Traditional RC structures enforce tracking of a reference at all time points. This requires full sensor data, and often leads to slow convergence and a lack
 150 of robustness to model uncertainty and noise. To address this drawback, the next section expands the RC framework to allow tracking of isolated points. This is the first RC framework to do this, although there exist parallel approaches in the frequency domain which track only a subset of frequencies [13].
 155

2.1. Repetitive Control Formulation

Let plant P be expressed by the state-space form

$$P: u \mapsto y \quad \begin{cases} x_P(i+1) &= A_P x_P(i) + B_P u(i) \\ y(i) &= C_P x_P(i) + D_P u(i) \end{cases} \quad (1)$$

where the state transition matrix $A_P \in \mathbb{R}^{n_P \times n_P}$, the input matrix $B_P \in \mathbb{R}^{n_P \times m}$, the output matrix $C_P \in \mathbb{R}^{o \times n_P}$, the feed-through matrix $D_P \in \mathbb{R}^{o \times m}$. Here, m is the number of inputs, o is the number of outputs, n_P is the number of states, x_P is the state vector, $u(i)$ is the input and $y(i)$ is the output at sample $i \in \mathbb{N}$. Here, without loss of generality,
 160 (A_P, B_P, C_P, D_P) are assumed minimal, and the initial state is $x_P(0) = x_0$.
 165

Let reference signal r be N -periodic, that is $r(i) = r(i+N)$ for a given $N \in \mathbb{N}$ and all i . The RC tracking problem is for the plant output to asymptotically converge to the reference as the cycle index, $k \in \mathbb{N}$, increases. That is

$$\lim_{k \rightarrow \infty} y(kN + i) = r(i), \quad i = 0, \dots, N-1 \quad (2)$$

while the control input converges to a fixed signal,

$$\lim_{k \rightarrow \infty} u(kN + i) = \hat{u}(i), \quad i = 0, \dots, N-1. \quad (3)$$

Now introduce the one-sample advance operator q , defined by $q(v(k)) = v(k+1)$ for any signal v .

The most common RC update form is then

$$u(i+N) = Q(q)(u(i) + L(q)e(i)), \quad (4)$$

where, $\forall i \in \mathbb{N}$, and $Q(q)$, $L(q)$ are filters and $e(i) = r(i) - y(i)$ is the tracking error, as seen in Fig. 1. See [14] for an overview of RC design approaches.

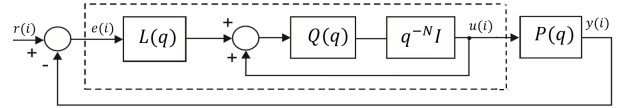


Figure 1: Traditional RC structure.

Defining $P(q) = C_P(Iq - A_P)^{-1}B_P + D_P$, from (4) it follows that the disturbance-free control action evolution is

$$q^N(u(i)) = u(i+N) = Q(q)(1 - L(q)P(q))u(i) + Q(q)L(q)r(i). \quad (5)$$

and a necessary and sufficient condition for convergence of the input is that the poles of the closed loop system

$$\frac{u(i)}{r(i)} = \frac{Q(q)L(q)}{I - q^{-N}Q(q)(I - P(q)L(q))} \quad (6)$$

are all inside the unit circle. A sufficient condition for this is given by

$$\|Q(q)(I - P(q)L(q))\|_\infty < 1 \quad (7)$$

or equivalently, in the frequency domain, by

$$\sup_{\omega \in [0, \pi]} |Q(e^{j\omega})(I - P(e^{j\omega})L(e^{j\omega}))| < 1. \quad (8)$$

Note, for an arbitrary reference r , convergence to zero error requires $Q(q) = I$.

2.2. Lifted Repetitive Control Formulation

In order to expand RC to track only a subset of points of $r(t)$, it is necessary to adopt the ‘lifted’ framework, in which the signals for each period, $k \in \mathbb{N}$, are packaged together as vectors. Although commonly used in ILC, it was only recently introduced for RC in [11]. Accordingly, the input and output signals are defined as the super vectors

$$\begin{aligned} \mathbf{u}(k) &= [u(kN), u(kN+1), \dots, u((k+1)N-1)]^\top, \\ \mathbf{y}(k) &= [y(kN), y(kN+1), \dots, y((k+1)N-1)]^\top. \end{aligned} \quad (9)$$

where $\mathbf{u}(k) \in \mathbb{R}^{mN}$ and $\mathbf{y}(k) \in \mathbb{R}^{oN}$. Similarly, the reference and error are given as

$$\begin{aligned} \mathbf{r}(k) &= \mathbf{r} = [r(0), r(1), \dots, r(N-1)]^\top \in \mathbb{R}^{oN}, \\ \mathbf{e}(k) &= \mathbf{r} - \mathbf{y}(k) \end{aligned} \quad (10)$$

and the tracking requirement (2) becomes

$$\lim_{k \rightarrow \infty} \mathbf{y}(k) = \mathbf{r}. \quad (11)$$

Using these signals, RC update (4) is written as

$$\mathbf{u}(k+1) = \mathbf{Q}(\mathbf{q})(\mathbf{u}(k) + \mathbf{L}(\mathbf{q})\mathbf{e}(k)), \quad (12)$$

where \mathbf{Q} and \mathbf{L} are lifted versions of the robustness and learning filters respectively. The plant dynam-

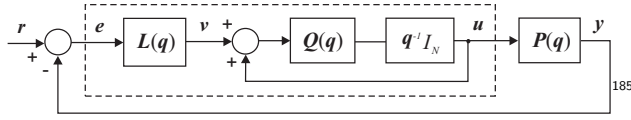


Figure 2: RC system lifted description.

ics (1) can be written in terms of the super-vectors (9), to yield the lifted plant dynamics

$$\mathbf{P} : \begin{cases} \begin{aligned} \mathbf{x}_P((k+1)N) &= \mathbf{A}_P^N \mathbf{x}_P(kN) + \\ &\underbrace{\begin{bmatrix} \mathbf{A}_P & \mathbf{x}_P(k) \\ \mathbf{A}_P^{N-1} \mathbf{B}_P, & \mathbf{A}_P^{N-2} \mathbf{B}_P, \dots, \mathbf{B}_P \end{bmatrix}}_{\mathbf{B}_P} \mathbf{u}(k) \end{aligned} \\ \mathbf{y}(k) &= \underbrace{\begin{bmatrix} \mathbf{C}_P \\ \mathbf{C}_P \mathbf{A}_P \\ \vdots \\ \mathbf{C}_P \mathbf{A}_P^{N-1} \end{bmatrix}}_{\mathbf{C}_P} \mathbf{x}_P(kN) + \\ &\underbrace{\begin{bmatrix} \mathbf{D}_P & 0 & \dots & 0 \\ \mathbf{C}_P \mathbf{B}_P & \mathbf{D}_P & \ddots & \vdots \\ \vdots & \ddots & \ddots & 0 \\ \mathbf{C}_P \mathbf{A}_P^{N-2} \mathbf{B}_P & \dots & \mathbf{C}_P \mathbf{B}_P & \mathbf{D}_P \end{bmatrix}}_{\mathbf{D}_P} \mathbf{u}(k) \end{cases}$$

where $\mathbf{A}_P \in \mathbb{R}^{n_P \times n_P}$, $\mathbf{B}_P \in \mathbb{R}^{n_P \times mN}$, $\mathbf{C}_P \in \mathbb{R}^{oN \times n_P}$ and $\mathbf{D}_P \in \mathbb{R}^{oN \times mN}$. Likewise, lifted forms of $\mathbf{Q}(\mathbf{q})$ and $\mathbf{L}(\mathbf{q})$ can be defined as

$$\mathbf{Q} : \begin{cases} \mathbf{x}_Q(k+1) &= \mathbf{A}_Q \mathbf{x}_Q(k) + \mathbf{B}_Q(\mathbf{v} + \mathbf{u})(k) \\ \mathbf{u}(k+1) &= \mathbf{C}_Q \mathbf{x}_Q(k) + \mathbf{D}_Q(\mathbf{v} + \mathbf{u})(k), \end{cases} \quad (13)$$

where $\mathbf{A}_Q \in \mathbb{R}^{n_Q \times n_Q}$, $\mathbf{B}_Q \in \mathbb{R}^{n_Q \times mN}$, $\mathbf{C}_Q \in \mathbb{R}^{mN \times n_Q}$ and $\mathbf{D}_Q \in \mathbb{R}^{mN \times mN}$, and

$$\mathbf{L} : \begin{cases} \mathbf{x}_L(k+1) &= \mathbf{A}_L \mathbf{x}_L(k) + \mathbf{B}_L \mathbf{e}(k) \\ \mathbf{v}(k) &= \mathbf{C}_L \mathbf{x}_L(k) + \mathbf{D}_L \mathbf{e}(k), \end{cases} \quad (14)$$

where $\mathbf{A}_L \in \mathbb{R}^{n_L \times n_L}$, $\mathbf{B}_L \in \mathbb{R}^{n_L \times oN}$, $\mathbf{C}_L \in \mathbb{R}^{mN \times n_L}$ and $\mathbf{D}_L \in \mathbb{R}^{mN \times oN}$. If $\mathbf{Q}(\mathbf{q})$ and $\mathbf{L}(\mathbf{q})$ have defined unlifted state-space forms, then explicit structured forms of (13) and (14) can be defined, see [11] for details. Convergence condition (7) expressed for the lifted system corresponds to

$$\|\mathbf{Q}(\mathbf{q})(\mathbf{I} - \mathbf{P}(\mathbf{q})\mathbf{L}(\mathbf{q}))\|_\infty < 1, \quad (15)$$

and it is shown in [11] that satisfying (7) and (8) is a sufficient condition for satisfying (15).

2.3. Point-to-Point Repetitive Control

Having introduced the lifted framework, the following section develops the framework necessary to enable RC to track a set of defined input positions at pre-described time points. Reducing the points in the control update reduces the data that need to be stored between periods, and also results in improved robustness and faster convergence.

Suppose a subset of $M < N$ points of reference \mathbf{r} are required to be tracked, with distinct indices

$$i = i_1, i_2, \dots, i_M. \quad (16)$$

The subset of reference points can then be extracted from \mathbf{r} using the projection operator $\Phi : \mathcal{L}^{N^m} \rightarrow \mathcal{L}^{M^m}$. For any lifted signal $\mathbf{s} \in \mathcal{L}^{mN}$, let

$$\Phi : \mathcal{L}^{mN} \rightarrow \mathcal{L}^{mM} : \mathbf{s} \mapsto \mathbf{s}^\Phi, \quad (17)$$

$$\mathbf{s}^\Phi(i) = \begin{bmatrix} s(iN + i_1) \\ s(iN + i_2) \\ \vdots \\ s(iN + i_M) \end{bmatrix} = \bar{\Phi} \mathbf{s}(i) \in \mathbb{R}^{mM},$$

where

$$\bar{\Phi}_{j,i} = \begin{cases} I, & \text{if } i = i_j \\ 0, & \text{otherwise} \end{cases}. \quad (18)$$

In particular, applying Φ to \mathbf{r} extracts the set of desired point-to-point output positions to be tracked

$$\mathbf{r}^\Phi = \Phi \mathbf{r} = \begin{bmatrix} r(i_1) \\ r(i_2) \\ \vdots \\ r(i_M) \end{bmatrix}. \quad (19)$$

Given this new tracking objective, the RC system is now reformed as in Fig. 3. Error \mathbf{e} is replaced by $\Phi \mathbf{e} = \mathbf{e}^\Phi$, and now $\mathbf{Q}(\mathbf{q})$ and $\mathbf{L}(\mathbf{q})$ must be redesigned for this lower dimensional system. The tracking objective (11) is replaced by

$$\lim_{k \rightarrow \infty} \mathbf{y}^\Phi(k) = \mathbf{r}^\Phi, \quad (20)$$

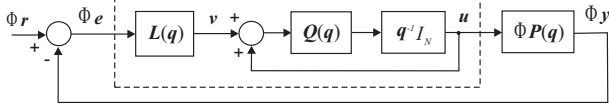


Figure 3: Point-to-point RC system lifted structure.

and the requirement (3) that the input converges to a fixed signal is now expressed as

$$\lim_{k \rightarrow \infty} \mathbf{u}(k) = \hat{\mathbf{u}}. \quad (21)$$

A new RC update to solve the point-to-point problem can now be stated as follows.

Theorem 1. *The general lifted RC update form*

$$\mathbf{u}(k+1) = \mathbf{Q}(q)(\mathbf{u}(k) + \mathbf{L}(q)\mathbf{e}^\Phi(k)), \quad (22)$$

where $\mathbf{e}^\Phi(k) = \mathbf{r}^\Phi - \Phi \mathbf{y}(k)$, and $\mathbf{L}(q) : \mathcal{L}^{mM} \rightarrow \mathcal{L}^{mN}$ is selected to satisfy

$$\|\mathbf{Q}(q)(I - \Phi \mathbf{P}(q)\mathbf{L}(q))\|_\infty < 1, \quad (23)$$

solves the point-to-point RC problem (20), (21).

Proof. The lifted closed loop system is

$$\mathbf{u}(i) = \mathbf{Q}(q)\mathbf{L}(q)(I - q^{-1}\mathbf{Q}(q)(I - \Phi \mathbf{P}(q)\mathbf{L}(q)))^{-1}\mathbf{r}(i) \quad (24)$$

and from the internal model principle, (20), (21) hold true if its poles are all inside the unit circle. A sufficient condition for this is 23. ■

The general lifted update (22) has a form which makes it difficult to choose $\mathbf{L}(q)$ transparently. The next theorem shows how it can be selected by modifying any of the many existing operators that have been proposed in traditional RC.

Theorem 2. *Let unlifted operator $\bar{\mathbf{L}}(q)$ satisfy the traditional RC criterion*

$$\|I - P(q)\bar{\mathbf{L}}(q)\|_\infty < 1, \quad (25)$$

or alternatively

$$\sup_{\omega \in [0, \pi]} |I - P(e^{j\omega})\bar{\mathbf{L}}(e^{j\omega})| < 1, \quad (26)$$

then the lifted operator

$$\mathbf{L}(q) = \bar{\mathbf{L}}(q)\Phi^*, \quad (27)$$

satisfies condition (23) where $(\cdot)^*$ denotes the adjoint of its argument.

Proof. Inserting form (27) into (23) gives

$$\|I - \Phi \mathbf{P}(q)\bar{\mathbf{L}}(q)\Phi^*\|_\infty = \|\Phi(I - P(q)\bar{\mathbf{L}}(q))\Phi^*\|_\infty, \quad (28)$$

and since (7), (26) imply

$$\|I - P(q)\bar{\mathbf{L}}(q)\|_\infty < 1, \quad (29)$$

it follows that

$$\|I - \Phi \mathbf{P}(q)\bar{\mathbf{L}}(q)\Phi^*\|_\infty \leq \|I - P(q)\bar{\mathbf{L}}(q)\|_\infty < 1, \quad (30)$$

so that (23) holds with $\mathbf{Q}(q) = I$. ■

To illustrate Theorem 2, consider the so-called gradient RC update, proposed in [15, 16]. This is considered due to its track record in experimental effectiveness, attractive robustness properties, and ease of implementation. These were illustrated in, for example, its use in tremor suppression [12].

Lemma 3. *The gradient RC algorithm, given by*

$$\bar{\mathbf{L}}(q) = \beta P^*(q), \quad (31)$$

where $P^*(q)$ is the adjoint of $P(q)$ and β is a scalar such that

$$0 < \beta < \frac{2}{\sup_{\omega \in [0, \pi]} |P(e^{j\omega})|^2}, \quad (32)$$

satisfies the traditional RC conditions (25) and (26). Note that, for causality of the RC update, it is necessary that the plant satisfies the condition

$$C_P A_P^i B_P = 0 \quad \forall \quad i \geq N. \quad (33)$$

Lemma 3 and Theorem 2 immediately yield a point-to-point RC update that satisfies Theorem 1. This lifted gradient point-to-point RC update is

$$\mathbf{u}(k+1) = \mathbf{u}(k) + \beta(\Phi \mathbf{P}(q))^* \mathbf{e}^\Phi(k). \quad (34)$$

The next section focuses on computing the converged input limit $\hat{\mathbf{u}}$ within (21). This will show that one of the properties of the gradient point-to-point RC update is that the converged input signal optimises an objective function.

2.4. Convergence to optimal input signal

Theorem 4. *Let gradient point-to-point RC update (34) be modified to assume the more general form*

$$\mathbf{u}(k+1) = \mathbf{u}(k) + \underbrace{\beta \mathbf{F}(q)\mathbf{F}^*(q)(\Phi \mathbf{P}(q))^*}_{\mathbf{L}(q)} \mathbf{e}^\Phi(k) \quad (35)$$

where $\mathbf{F}(\mathbf{q})$ is a lifted operator with unlifted state-space matrices $\{A_F, B_F, C_F, D_F\}$ satisfying

$$C_F A_F^i B_F = 0 \quad \forall \quad i \geq n_F, \quad (36)$$

with $n_F + n_P \leq N$, and β a scalar satisfying

$$0 < \beta < \frac{2}{\sup_{\omega \in [0, \pi]} |P(e^{j\omega})|^2 |F(e^{j\omega})|^2}. \quad (37)$$

This solves point-to-point RC problem (20), (21). In particular, the converged control signal $\hat{\mathbf{u}}$ in (21) is the solution to the optimal control problem

$$\hat{\mathbf{u}} := \min_{\mathbf{u}} \|\mathbf{H}(\mathbf{q})\mathbf{u}\|^2 \quad \text{such that} \quad \mathbf{r}^\Phi = \Phi \mathbf{P}(\mathbf{q})\mathbf{u} \quad (38)$$

where operator $\mathbf{H}(\mathbf{q})$ has a steady-state value equal to the inverse of the steady state of $\mathbf{F}(\mathbf{q})$.

Proof. The steady-state solution satisfies $\mathbf{u}(k) = \hat{\mathbf{u}} \quad \forall k$, so the operators can hence be replaced by

$$\mathbf{P} = (\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P). \quad (39)$$

Similarly for \mathbf{H} , with lifted state-space matrices $\{\mathbf{A}_H, \mathbf{B}_H, \mathbf{C}_H, \mathbf{D}_H\}$, we have in steady-state

$$\mathbf{H} = (\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H) \quad (40)$$

Using Lagrangian multiplier λ , the solution $\hat{\mathbf{u}}$, to (38) is

$$\begin{aligned} & \operatorname{argmin}\{J(\mathbf{u}), J(\mathbf{u}) = \frac{1}{2}((\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H)\mathbf{u})^\top \\ & \times (\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H)\mathbf{u} + \lambda^\top (\Phi(\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P)\mathbf{u} - \mathbf{r}^\Phi)\} \end{aligned}$$

and, since (40) is full rank, λ satisfies

$$(\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H)^\top (\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H)\hat{\mathbf{u}} + (\Phi \mathbf{P})^\top \lambda = 0$$

and since $M \leq N$ and $\Phi(\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P)\mathbf{u}^* = \mathbf{r}^\Phi$

$$\begin{aligned} \lambda &= -(\Phi(\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P)((\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H)^\top \\ & (\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H))^{-1}(\Phi(\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P))^\top)^{-1} \mathbf{r}^\Phi \\ \Rightarrow \hat{\mathbf{u}} &= ((\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H)^\top (\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H))^{-1} \\ & \times (\Phi(\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P))^\top \\ & \times (\Phi(\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P)((\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H)^\top \\ & \times (\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H))^{-1}(\Phi(\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P))^\top)^{-1} \mathbf{r}^\Phi. \end{aligned} \quad (41)$$

This can be expressed as $\hat{\mathbf{u}} = A^\dagger \mathbf{r}^\Phi$ where $A^\dagger := X A^\top (A X A^\top)^{-1}$ with $X = ((\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H)^\top (\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H))^{-1}$.

Next consider the update (35) which can be written as

$$\begin{aligned} \mathbf{v}(k+1) &= (\Phi \mathbf{P} \mathbf{F})\mathbf{u}(k+1) = \Phi \mathbf{D}_P \mathbf{D}_F \mathbf{u}(k+1) \\ &+ \Phi (\mathbf{D}_P \mathbf{C}_F \mathbf{B}_F + \mathbf{C}_P \mathbf{B}_P \mathbf{D}_F)\mathbf{u}(k) \\ &+ \underbrace{\Phi (\mathbf{C}_P \mathbf{B}_P \mathbf{C}_F \mathbf{B}_F)}_{=0} \mathbf{u}(k-1) \end{aligned} \quad (42)$$

where the constraint $n_F + n_P \leq N$ ensures $\mathbf{C}_P \mathbf{B}_P \mathbf{C}_F \mathbf{B}_F = 0$. After considerable manipulation it is possible to write the recurrence relation

$$\begin{aligned} \mathbf{u}(k+1) &= \mathbf{u}(k) + \beta \mathbf{v}(k) \\ &= (I + \beta \Phi \mathbf{D}_P \alpha_2)^{-1} \\ & \times \left\{ (\alpha_1 + \alpha_2 + \alpha_3) \mathbf{r} + (I - \beta \Phi \mathbf{D}_P \alpha_1 \right. \\ & \left. - \beta \Phi \mathbf{C}_P \mathbf{B}_P \alpha_2) \mathbf{u}(k) \right. \\ & \left. - \beta (\Phi \mathbf{D}_P \alpha_3 + \Phi \mathbf{C}_P \mathbf{B}_P \alpha_1) \mathbf{u}(k-1) \right\} \end{aligned} \quad (43)$$

where

$$\begin{aligned} \alpha_1 &= \mathbf{D}_F (\Phi \mathbf{D}_P \mathbf{D}_F)^\top + \mathbf{C}_F \mathbf{B}_F (\Phi \mathbf{D}_P \mathbf{C}_F \mathbf{B}_F \\ & + \Phi \mathbf{C}_P \mathbf{B}_P \mathbf{D}_F)^\top \\ \alpha_2 &= \mathbf{D}_F (\Phi \mathbf{D}_P \mathbf{C}_F \mathbf{B}_F + \Phi \mathbf{C}_P \mathbf{B}_P \mathbf{D}_F)^\top \\ \alpha_3 &= \mathbf{C}_F \mathbf{B}_F (\Phi \mathbf{D}_P \mathbf{D}_F)^\top. \end{aligned} \quad (44)$$

Further manipulation, and application of block matrix inverse relations, see [17], gives

$$\begin{aligned} \mathbf{u}_\infty &= \left[\Phi (\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P) ((\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H)^\top (\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H))^{-1} \right. \\ & \times (\Phi (\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P))^\top \left. \right]^{-1} ((\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H)^\top \\ & \times (\mathbf{D}_H + \mathbf{C}_H \mathbf{B}_H))^{-1} (\Phi (\mathbf{D}_P + \mathbf{C}_P \mathbf{B}_P))^\top \mathbf{r}^\Phi \end{aligned}$$

which equates to (41) as required. \blacksquare

Although causal, update (35) cannot be applied in practice since calculation of \mathbf{u}_{k+1} requires knowledge of the whole of \mathbf{e}_{k+1} . Therefore (35) must now be converted to an along-the-trial form.

Proposition 1. Update (35) has equivalent unlifted form

$$\begin{aligned} u((k+1)N + i) &= u(kN + i) + \\ & \beta \left(\sum_{j=i_f}^M \psi(i_j - i - N) e((k-1)N + i_j) + \right. \\ & \sum_{j=i_f}^M \psi(i_j - i) e(kN + i_j) + \\ & \left. \sum_{j=1}^{\bar{i}} \psi(N + i_j - i) e((k+1)N + i_j) \right) \end{aligned} \quad (45)$$

where $\bar{i} = \max_{j \geq 0} \{i_j | i_j \leq i, i_0 = 0\}$, $\bar{i}_f = \min_{j \geq 1} \{i_j | i_j \geq i - n_F\}$ and $\bar{i}_f = \min_{j \geq 1} \{i_j | i_j \geq i - n_F + N, i_{m+1} = 2N\}$. Function $\psi(i) := \sum_{a=-n_F}^{n_F} \sum_{j=0}^{n_F} f(j)f(a+j)p(i+a)$ where $f(i) = C_F A_F^i B_F$, $p(i) = C_P A_P^i B_P$, are the impulse responses of $F(q)$ and $P(q)$ respectively.

Proof. Since $F(q)$ is causal, we write $v(q) = F(q)w(q)$ in the FIR convolution form

$$v(i) = \sum_{j=0}^{n_F} f(j)w(i-j) \quad (46)$$

and similarly $w(q) = F(q)*x(q)$ can be written as

$$w(i) = \sum_{r=0}^{n_F} f(r)x(i+r) \quad (47)$$

and it follows that $\mathbf{v} = \mathbf{F}(\mathbf{q})\mathbf{F}^*(\mathbf{q})\mathbf{x}$ corresponds to the unlifted update

$$\begin{aligned} v(i) &= \sum_{j=0}^{n_F} f(j) \sum_{r=0}^{n_F} f(r)x(i-j+r) \\ &= \sum_{j=0}^{n_F} \sum_{a=-j}^{n_F-j} f(j)f(a+j)x(i+a) \\ &= \sum_{a=-n_F}^{n_F} \underbrace{\left\{ \sum_{j=0}^{n_F} f(j)f(a+j) \right\}}_{:=h(a)} x(i+a) \end{aligned} \quad (48)$$

where $h(a)$ is defined over $a \in [-n, n]$. It can be shown that the operator $\mathbf{x} = \Phi \mathbf{P}^*(\mathbf{q})\mathbf{e}$ has form,

$$\begin{aligned} \mathbf{x}(k) &= (\Phi \mathbf{D}_P)^\top \Phi \mathbf{e}(k) + \\ &\sum_{i=1}^{\infty} \left\{ \left(C_P (\mathbf{A}_P)^{i-1} B_P \right)^\top \Phi^\top \Phi \mathbf{e}(k+i) \right\} \end{aligned} \quad (49)$$

and since (36) implies (33) holds, this simplifies to

$$\mathbf{x}(k) = (\Phi \mathbf{D}_P)^\top \Phi \mathbf{e}(k) + B_P^\top (\Phi C_P)^\top \Phi \mathbf{e}(k+1) \quad (50)$$

which has the unlifted form

$$\begin{aligned} x(kN+i) &= \sum_{j=1}^{\bar{i}-n_f} p(N+i_j-i)e((k+1)N+i_j) \\ &+ \sum_{j=\bar{i}+1}^M p(i_j-i)e(kN+i_j). \end{aligned} \quad (51)$$

where $\bar{i} = \max_j i_j \leq i$ is the most recent point-to-point index.

Substituting (51) into (48) and then into (35) gives rise to the update

$$\begin{aligned} u((k+1)N+i) &= u(kN+i) \\ &+ \beta \left(\sum_{j=1}^{\bar{i}-n_f} \sum_{a=-n_f}^{n_f} h(a)p(N+i-i_j+a)e((k+1)N+i_j) \right. \\ &\left. + \sum_{j=\bar{i}+1}^M \sum_{a=-n_f}^{n_f} h(a)p(i_j-i+a)e(kN+i_j) \right), \end{aligned} \quad (52)$$

Partitioning the sum into separate cycles, $k-1$, k , $k+1$, yields the form (45). \blacksquare

Remark 1. Selecting $F = I$ in Theorem 4 reduces update (35) to the simplified form of (34). In this case optimal control problem (38) then simplifies to

$$\hat{\mathbf{u}} := \min_{\mathbf{u}} \|\mathbf{u}\|^2 \quad \text{such that} \quad \mathbf{r}^\Phi = \Phi \mathbf{P}(\mathbf{q})\mathbf{u} \quad (53)$$

This is the minimum control effort solution to the tracking problem, and is of special significance to the current application since it has been proposed as a solution to human motor control and therefore can be expected to approximate natural motion.

Remark 2. Selecting $F = I$ in Proposition 1 reduces the unlifted update form (45) to

$$\begin{aligned} u((k+1)N+i) &= u(kN+i) \\ &+ \beta \left(\sum_{j=1}^{\bar{i}} C A^{N-i+i_j} B e((k+1)N+i_j) \right. \\ &\left. + \sum_{j=\bar{i}+1}^M C A^{i-i_j} B e(kN+i_j) \right) \end{aligned} \quad (54)$$

Remark 3. Other choices of filter F can lead to a more accurate representation of human motor control. Perhaps the most important set of examples for the assistance of human motor function is: $H(q) = \frac{1}{q^n}$, $n \geq 1$ (i.e. minimisation of velocity, acceleration, jerk norms etc.). These correspond to $F(q) = q^n$, $n \geq 1$. Here H and F are the unlifted transfer function versions corresponding to \mathbf{H} and \mathbf{F} in Theorem 4. The associated impulse responses h and f are, however, infinite and hence cannot satisfy the requirement $n_F + n_P \leq N$. It is therefore necessary to replace them with suitable approximations, or to cut off the update when it becomes non-causal.

3. Experimental Application to Drop-Foot

Although widely applicable in other domains, the motivation for the point-to-point RC framework

255 was to support impaired gait. In this section it is
 experimentally applied to assess its performance to
 improve accuracy, reduce control effort and achieve
 normal motion.

3.1. Hardware

260 To apply the electrical pulses that induce move-
 ment in the muscle, electrode pads need to be
 placed on the skin. To find the best location, the
 fabric based electrode array developed in [18] was
 used. This was placed on the shank, and an ankle
 265 goniometer was attached around the joint within a
 specially designed sock. The identification and con-
 trol system was implemented using a myRIO (Na-
 tional Instruments) microprocessor which outputs a
 pulsewidth modulated signal to drive the FES. This
 270 signal was amplified by a stimulator unit [19] to a
 level which achieves muscle contraction, and out-
 putted to the electrode array. For each participant,
 the FES current amplitude was tuned to be within
 a comfortable region at the beginning of the experi-
 275 ment. The pulsewidth was then the controlled vari-
 able and was restricted to $0 \leq u \leq 300$. A sam-
 pling frequency of 40Hz was used by the control system.

3.2. Model Identification

280 The plant model P of equation (1) represents the
 response of the ankle to electrical stimulation, and
 must be identified. The best stimulation position
 was first found by applying FES to each pad in
 turn and selecting the pad which produces the max-
 imum dorsiflexion and minimum twitch response
 285 and roll. This is a similar approach to that reported
 in [20].

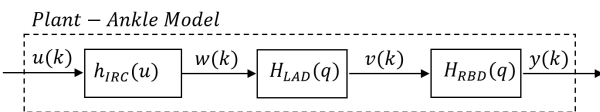


Figure 4: Stimulated ankle system; static non-linearity, linear activation dynamics and rigid body dynamic model.

290 Once a pad was selected, the dynamics relating
 the applied FES and the resulting angular move-
 310 ment was identified. These comprise the isometric
 recruitment curve (IRC), the muscle linear activa-
 tion dynamics (LAD), and the rigid body dynam-
 ics (RBD). The IRC was acquired using a slow (ap-
 proximately steady state) ascending and descending
 315 (triangle) signal, as described in [21]. To perform
 a linearising action, a monotonic realisation of the

IRC is required which was fitted using a 3 param-
 eter spline function. The inverse of this function
 was then applied by the controller to cancel the
 nonlinearity, as shown in Figure 5. The remain-
 300 ing dynamics were then identified by applying suf-
 ficiently exciting FES signals and employing least
 mean squared parameter identification. From this
 the state-space model parameters were obtained.

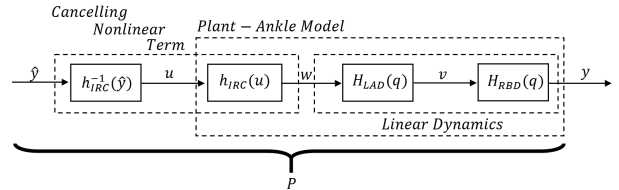


Figure 5: Stimulated ankle system with linearising action.

3.3. Gait Cycle

Most medical texts express gait cycle positions
 as a percentage, to avoid issues of varying speed
 and stride lengths, the same approach will be taken
 here. Gait is commonly split into stance (60% of
 motion) and swing (40% of motion). This can then
 be further partitioned into 8 distinct sections [22].
 By amalgamating experimental results from [23]
 and [24] a full human gait cycle is shown in Fig-
 ure 6. Although gait periods vary, an average of 2
 seconds is commonly assumed. From this cycle, five
 key points have been extracted that correspond to
 extremes of motion and key transitions. These will
 be the points tracked by point-to-point RC. The
 $M = 5$ tracked points in Figure 6 are

$$i_1 = 5\%, i_2 = 25\%, i_3 = 45\%, i_4 = 63\%, i_5 = 84\%. \quad (55)$$

These correspond to the following samples,

$$i_1 = 4, i_2 = 20, i_3 = 36, i_4 = 51, i_5 = 68. \quad (56)$$

3.4. Methodology

Experimental trials with healthy individuals were
 undertaken to evaluate the effectiveness of the de-
 signed RC schemes. Following ethical approval
 (ERGO/FPSE/47517), five participants were re-
 310 cruited for this study. Participant 1 and 5 had
 experience/partaken in FES studies before, while
 participants 2, 3 and 4 had not. The participants
 are asked to sit on an elevated stool, thus leaving
 the leg able to swing freely.

To limit the effects of fatigue there was a 20
 minute break between each test, allowing the mus-
 cles to recover [25]. To limit the impact of model

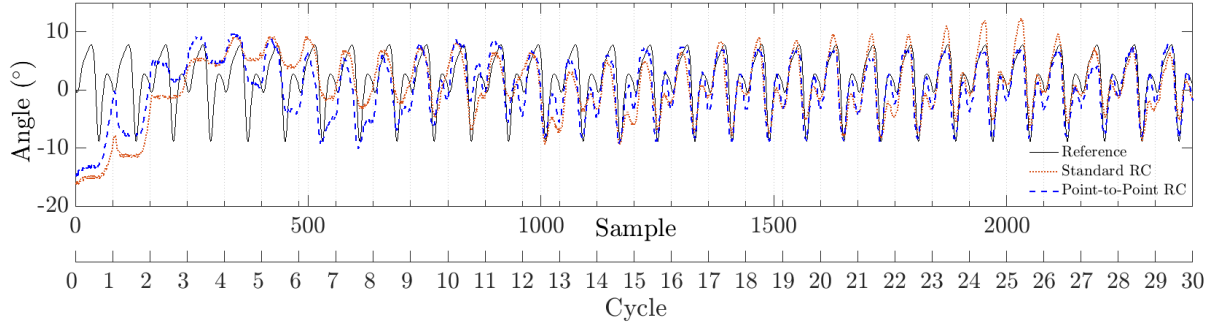


Figure 7: Typical outputs of both control schemes.

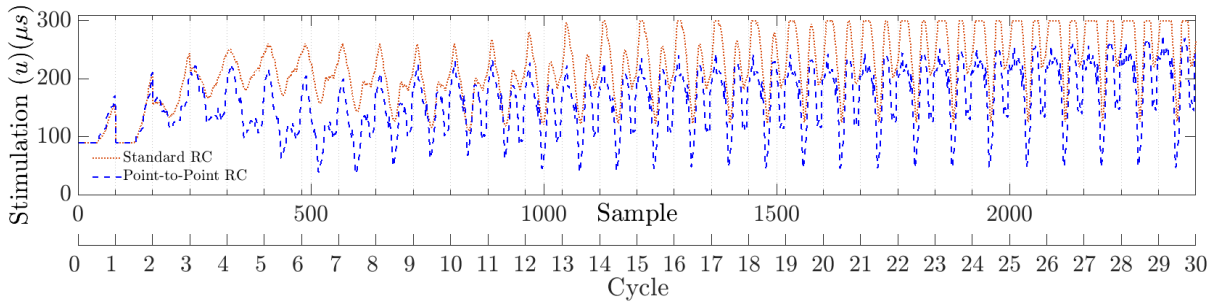


Figure 8: Inputs required to produce the tracking outputs.

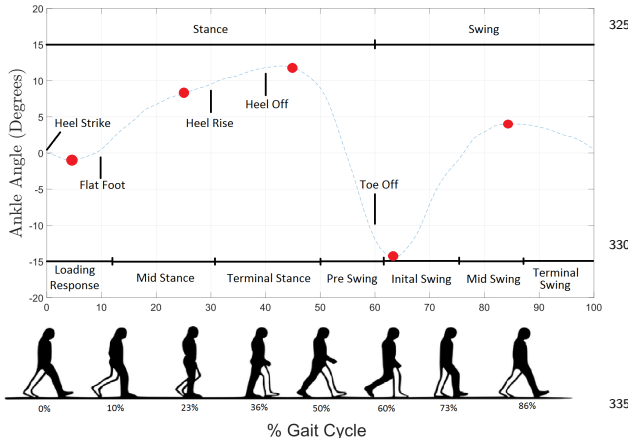


Figure 6: Standardised gait cycle, with gait events/phases labelled. The red dots correspond to the point-to-point tracking positions.

uncertainty, all tests with a participant were conducted in a single session, using the same initial model and pad position.

4. Results

Tests were performed using both traditional RC (i.e. tracking a reference defined by all sample instances) and point-to-point RC to compare their

ability to produce normal gait motion.

4.1. Converged Output

The traditional and point-to-point RC are implemented with (4) and (54), both of which use the gradient algorithm put forward in Lemma 3.

Figure 7 shows the results from a representative trial from participant 1. The tracking can be seen to converge faster for the point-to-point scheme as well as maintain tracking, despite the presence of noise, exogenous disturbance and fatigue. This confirms Theorem 1 and the convergence criteria in Theorem 2. For completeness, Figure 8 shows the stimulation u which produced Figure 7.

4.2. Error Norm

Figure 9 shows the repeatability of each control scheme. Each scheme is repeated 3 times with the same β value, 80%. The percentage denote how the selected value differ from the value of β which produces fastest convergence based on their nominal plant model, i.e. the nominal value

$$\frac{1}{\sup_{\omega \in [0, \pi]} |P(e^{j\omega})|^2}. \quad (57)$$

The points of subset M are used to compute the error norm, with different starting values due to small variations in starting angle. The point-to-point controller can be seen to converge faster than standard repetitive control as well as demonstrating repeatable characteristics despite involuntary twitch response and fatigue. It is also observed that the point-to-point has little to no oscillation visible in the norm suggesting improved robustness to model uncertainty.

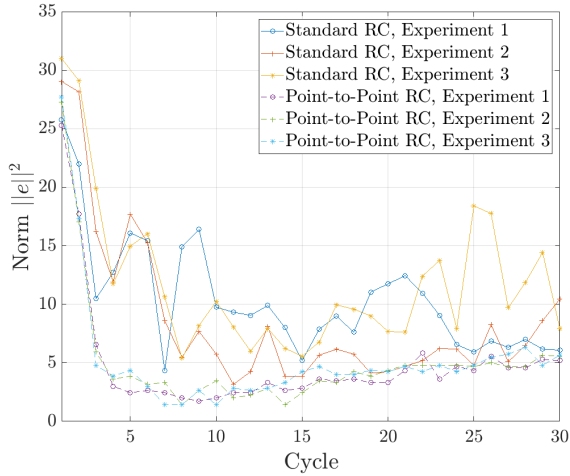


Figure 9: The effect of altering learning rate on convergence.

4.3. Control Effort

The amount of control effort is of interest as it directly leads to fatigue and possible discomfort. Figure 10 shows the control effort norm for each cycle ($\|u\|^2$) to achieve the tracking motion. Point-to-point RC can be seen to require less energy to achieve the same task as the standard RC. The stimulation increases with time as it acts against the effect of fatigue. This can also be seen in Figure 8 and 7. After 30 - 40 cycles the control effort level off to a fixed value as the effect of fatigue is fully realised.

Figure 8, shows the control effort applied during each cycle of both schemes. The traditional RC can be seen to use more stimulation as it tries to achieve perfect tracking of the full reference. Due to the nonlinear relationship between control effort and angular position, the resulting input signals can appear very different.

It is important to note that both repetitive control schemes lead to a stimulation input that is highly individual to each person, and potentially

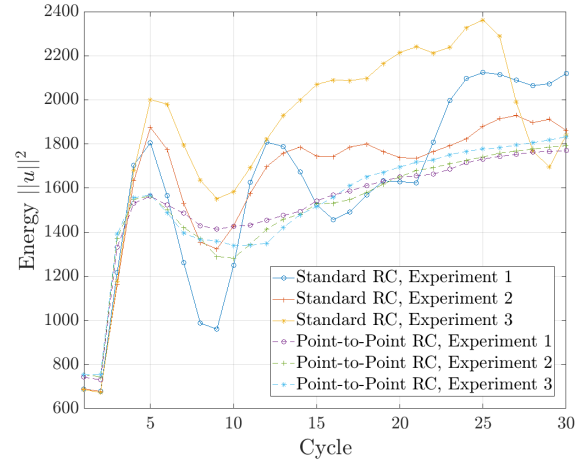


Figure 10: Control effort comparison for both schemes and varying learning rates.

from day to day use, without any changes to the FES parametrization and reference trajectory used. This illustrates the advantage of optimising a cost as opposed to tracking a homogenous gait profile.

4.4. Comparison

Table 1 contains data gathered from all participants using only 80% of the optimal β values computed using their nominal plant model. It compares key parameters for both the traditional RC and point-to-point RC.

The point-to-point RC and traditional RC controllers achieve similar tracking of the subset of points M . The total control effort for the point-to-point scheme is lower in the majority of cases, reflecting the minimum energy property of Theorem 4. Comparing entire signals, both controllers achieve excellent tracking, despite the point-to-point controller having $\frac{1}{16}$ of the points to track, justifying the use of the gradient algorithm in Lemma 3 and the minimum energy filter from 4. The point-to-point RC can also be seen to converge below a 10% and 5% error norm faster, where this was achievable.

Table 2 contains the RMSE (degree) of the last 5 cycles of the recorded data. The mean and standard deviation for the 5 participants using traditional RC are $2.56^\circ \pm 0.76^\circ$ and for point-to-point RC are $2.99^\circ \pm 0.99^\circ$. This illustrates that in all cases the RMSE falls within the natural variance of gait [10].

RC and point-to-point RC have been shown to achieve better tracking than neural networks ($8.11^\circ, 7.81^\circ$ and 5.59°), neural network + PID

Participant	Average P-to-P Norm $(\frac{1}{5} \sum_{n=26}^{30} \ \Phi e_n\ ^2)$		Control Effort $(\sum_{n=0}^{30} \ u_n\ ^2)$		Average Norm of Full Cycle $(\frac{1}{5} \sum_{n=26}^{30} \ e_n\ ^2)$ [Average Degree Error]		Cycles to 10% [5%] Error Norm ($\ \Phi e\ ^2$)	
	RC	P-to-P RC	RC	P-to-P RC	RC	P-to-P RC	RC	P-to-P RC
	1	45.13	40.16	57519	43598	43.72 [1.8°]	41.1 [1.6°]	9 [20]
2	89.8	85.8	51895	48322	63.1 [2.6°]	110.39 [4.2°]	16 [N/A]	12 [N/A]
3	75.9	77	49745	46416	56.2 [1.93°]	78.3 [2.6°]	10 [15]	7 [10]
4	34.88	39.28	34691	50948	13.1 [1.28°]	17.67 [1.49°]	4 [8]	4 [7]
5	47.1	48.8	43779	44501	66.65 [2.33°]	70.2 [2.48°]	7 [10]	5 [10]

Table 1: Comparison Table

Participant	RMSE ($\sqrt{\frac{e^2}{5T_s}}$)	
	RC	P-to-P RC
1	2.19°	2.06°
2	3.15°	5.52°
3	2.81°	3.91°
4	1.57°	1.97°
5	3.32°	3.51°

Table 2: Root mean square degree error of the last 5 recorded cycles of both control schemes

(5.57°, 4.83° and 5.05°), neural networks + fuzzy logic (4.07°, 3.75° and 4.19°) [7], model-based open-loop (8.2° ± 2.3°, 15.1° ± 1.9°), feedback (4.6° ± 0.7°, 7.3° ± 2.4°) feed-forward feedback hybrid (3.4° ± 0.3°, 5.7° ± 2.6°) [26] and similar to adaptive control, healthy 3.2° ± 1.2°, stroke 3.4° ± 0.2° [8] noting simple references were used in this case. The RC controllers have achieved this with no time-lag issues that effected the feedback based controllers. The point-to-point RC controller also used a fraction of the measurement data.

5. Conclusion

This paper has developed a framework that increases the flexibility of RC and has potential utilisation in applications that demand fast convergence, optimal input signals and reduced sensor information. Experimental results have shown point-to-point RC to be more robust to model uncertainty than traditional RC, producing faster convergence whilst using/storing less data. It has also been shown that less stimulation is required to achieve tracking facilitated by the reduction in points to

track. The controller has shown it is able to successfully maintain tracking despite fatigue by increasing the control effort with time. The controllers put forward have also been compared to other controllers, where superior tracking has been observed for the RC and point-to-point RC schemes.

Future work will investigate alternative filter selection, such as the minimum jerk cost function proposed in [27]. The system will then be evaluated in a clinical trial with stroke patients.

6. Acknowledgements

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