

₁ The impact of a hot sodium ion population on the
₂ growth of the Kelvin-Helmholtz instability in
₃ Mercury's magnetotail

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4 **Abstract.**

5 Observations of Mercury's local plasma environment by MESSENGER have
6 revealed that the planet hosts a strongly asymmetric magnetosphere as a re-
7 sult of an off-axis dipolar or quadrupolar internal field and significant finite
8 Larmor radius effects at the boundary layer between magnetospheric and so-
9 lar wind plasma environments. One important asymmetry appears in the growth
10 and evolution of Kelvin-Helmholtz (K-H) waves at the dawn and dusk flanks
11 of the magnetopause. Linear analysis and global hybrid simulations support
12 a dusk-dawn asymmetry in the growth rate caused by finite Larmor radius
13 effects, and indeed K-H waves have been almost exclusively observed at the
14 dusk magnetopause during northward IMF. Observations of these K-H waves
15 at sodium gyro-scales invites investigation into the impact of the hot plan-
16 etary sodium ion population, itself distributed preferentially on the dusk flank,
17 on the growth of the K-H instability and associated plasma transport. We
18 present local two-dimensional hybrid simulations of the dusk and dawn bound-
19 ary layer, with varying magnetospheric sodium ion number density, and ex-
20 amine the associated changes in the growth rates of the K-H instability, K-
21 H wave spectra, and cross-boundary particle transport. We show that gyro-
22 resonance between growing K-H vortices and sodium ion gyration introduces
23 a strong spectral peak at sodium gyro-scales at the dusk magnetopause, that
24 an increase in sodium ion number density increases dawn-dusk asymmetry
25 of K-H growth rates, and that cross-boundary particle transport decreases
26 with sodium number density at the dawn flank.

1. Introduction

Fly-bys and the orbital campaign of the MErcury Surface, Space ENvironment, GEO-chemistry, and Ranging (MESSENGER) spacecraft have revealed that Mercury hosts a magnetosphere that is in many respects an analogue of the terrestrial system [Zurbuchen et al., 2008; Slavin et al., 2008]. However, a combination of small system size, low intrinsic magnetic field strength and high solar wind density makes the Hermean local plasma environment an extreme and highly dynamic system [Slavin et al., 2009]. Major asymmetries in the system are introduced by effects relating to an off-axis dipolar or quadrupolar intrinsic field [Anderson et al., 2008], and ion gyro-scales on the order of the system size. Hence, Mercury's plasma environment presents a useful laboratory for the study of kinetic and time-dependent plasma processes.

The study presented in this paper focuses on the growth and evolution of the Kelvin-Helmholtz (K-H) instability driven by shear flows at the flanks of Mercury's magnetopause. K-H waves have also been observed in several other systems, including Venus [Pope et al., 2009], Saturn [Masters et al., 2009, 2010; Delamere et al., 2011] and Earth [Chen & Kivelson, 1993; Chen et al., 1993; Kokubun et al., 1994; Fairfield et al., 2000, 2003, 2007; Otto & Fairfield, 2000; Farrugia et al., 2000; Hasegawa et al., 2004]. Given the local plasma parameters, the instability is expected to have a much more significant effect on the Hermean system than the terrestrial magnetosphere [Sundberg et al., 2010]. The instability is also expected to play an important role in cross-boundary transport of solar wind and magnetospheric ions, and structure formation in the magnetotail [Huba, 1996; Hasegawa et al., 2004; Gingell et al., 2014]. Observations by the MESSENGER spacecraft

48 have revealed that Kelvin-Helmholtz waves are found almost exclusively in the dusk flank
49 of the magnetosphere during northward interplanetary magnetic field (IMF) [Sundberg
50 et al., 2012; Liljeblad et al., 2014], and are characterised by sawtooth oscillations in
51 the equatorial components of the magnetic field [Boardsen et al., 2010], which can be
52 reconstructed to demonstrate the emergence of shear vortices [Sundberg et al., 2011].
53 The growth of the Kelvin-Helmholtz instability is favoured for conditions which minimise
54 the field line tension in the streaming direction [Chandrasekhar, 1961], i.e. for northward
55 IMF (parallel to the planetary field) and southward IMF (antiparallel). However, weak
56 or no signatures of the instability are present at both flanks during southward IMF. This
57 can be attributed to disruption of the boundary layer by reconnection at the dayside
58 [Hwang et al., 2011]. Given the small system size and Mercury’s weak dipole, the dawn-
59 dusk symmetry breaking can be attributed to gyration of protons and heavier ions of
60 exospheric origin.

61 It is well established that ion gyration has an important effect on the growth rate
62 of the Kelvin-Helmholtz instability at ion-scale shear boundaries [Nagano, 1979; Thomas
63 & Winske, 1991, 1993; Thomas, 1995; Huba, 1996]. However, the nature of the effect is
64 strongly dependent on the initial conditions and plasma beta [Huba, 1996]. Early analytic
65 results suggested that the co-rotating boundary, at which the background magnetic field
66 and vorticity at the shear layer are parallel, would have a faster growth rate for Kelvin-
67 Helmholtz waves than the counter-rotating boundary, at which the background magnetic
68 field and vorticity are antiparallel [Nagano, 1979]. However, more recent numerical re-
69 sults suggest that widening of the co-rotating boundary (required by the kinetic equilib-
70 rium) leads to a faster growth rate at the counter-rotating boundary than the co-rotating

71 boundary [Nakamura et al., 2010]. Global hybrid simulations of Mercury’s magnetosphere
72 [Trávníček et al., 2009; Paral & Rankin, 2013] and observations by MESSENGER [Sund-
73 berg et al., 2012] appear to support the latter result: the growth of Kelvin-Helmholtz
74 instability is faster on the counter-rotating, dusk flank during northward IMF.

75 A spectral analysis of Kelvin-Helmholtz waves at the dusk flank of the magnetopause has
76 revealed an apparent bias towards the growth of K-H vortices at scales associated with the
77 gyration of a hot sodium ion population [Gershman et al., 2015], created by ionisation of
78 exospheric neutrals at the dayside, sub-solar region [Zurbuchen et al., 2008, 2011; Raines
79 et al., 2011; Gershman et al., 2014]. This suggests that the gyration of sodium ions plays
80 an important role in the evolution of the K-H instability, despite its relatively low number
81 density.

82 For the first time, we investigate the effect of the hot sodium ion population on the
83 growth of the K-H instability at the flanks of Mercury’s magnetotail by means of local,
84 two-dimensional hybrid simulations. We find that gyro-resonance between sodium ions
85 and growing Kelvin-Helmholtz vortices produces strong peaks in K-H wave spectra at
86 sodium gyro-scales at the dusk, counter-rotating shear boundary, and suppresses growth
87 of the instability at the dawn, co-rotating shear boundary. We use test particle sim-
88 ulations to investigate the gyro-resonance mechanism, which we suggest is due to the
89 combined current of petal-like orbits of trapped counter-rotating sodium ions. We also
90 present measurements of cross-boundary transport and mixing coefficients for the sodium
91 ion and proton populations, which are relevant to investigations of magnetospheric ion
92 distributions and exospheric loss.

2. Simulations

93 We investigate the evolution of ion scale shear boundaries by means of simulations utiliz-
 94 ing a hybrid model, which combines a kinetic, particle-in-cell treatment of ion species with
 95 a charge-neutralising, massless and adiabatic electron fluid [Matthews, 1994]. Maxwell's
 96 equations are solved under the low-frequency Darwin limit, neglecting collisions and with
 97 zero resistivity. The simulations presented in this paper are two-dimensional in configu-
 98 ration space, with 3 vector components for fields and velocities (e.g. $B_{x,y,z}(x, y, t)$), and
 99 periodic boundary conditions in both x - and y -dimensions.

100 Simulations are initialised with two parallel velocity shear boundaries separating a high
 101 density solar wind proton population and a lower density magnetospheric proton and
 102 sodium ion populations. The number densities $n_s(x)$ for each species are initialised as
 103 follows:

$$T(x) = \frac{1}{2} \left[\tanh\left(\frac{x-x_0}{L}\right) - \tanh\left(\frac{x-x_1}{L}\right) \right] \quad (1)$$

$$n_{\text{msp}}(x) = n_0^{\text{msp}} T(x) \quad (2)$$

$$n_{\text{Na}}(x) = n_0^{\text{Na}} T(x) \quad (3)$$

$$n_{\text{swp}}(x) = n_0^{\text{swp}} (1 - T(x)), \quad (4)$$

104 for boundary layers of width L at $x = x_0$ and $x = x_1$, with the magnetospheric populations
 105 dominating for $x_0 < x < x_1$, and the solar wind population dominating for $x < x_0$ and
 106 $x > x_1$. Each population s is initialised with shear velocity $\mathbf{U}_s = (0, u_s, 0)$ relative to
 107 the simulation frame, and thermal velocity v_{th}^s . The parameters n_0^s determine the relative
 108 densities of each species. The magnetic field is initialised as uniform and perpendicular

109 to the grid, $\mathbf{B} = (0, 0, B_0)$, such that we can neglect the effects of changing magnetic
 110 topology via reconnection. Using further simulations including a small, uniform in-plane
 111 field component $B_y = 0.05B_0$, we have verified that the main results concerning K-H
 112 growth and spectral properties are unchanged from the perpendicular field cases. The
 113 conclusions drawn from this study relating to the search for a mechanism for sodium
 114 gyro-resonance are therefore unaffected by the additional physical processes introduced
 115 by this geometry. The parameters B_0, n_0^s and v_{th}^s are chosen such that the boundary layer
 116 is initialised in MHD pressure balance. We note that low amplitude magnetoacoustic
 117 waves propagate from the boundary at $t = 0$ as a result of the deviation from full kinetic
 118 equilibrium [Henri et al., 2013; Cerri et al., 2013]. Simulations are normalised such that the
 119 reference background number density $n_0 = 1$, $B_0 = 1$, and spatial scales are given in units
 120 of the proton inertial length $d_p = v_A/\Omega$, for $v_A = B_0/\sqrt{\mu_0 m_p n_0}$, and time scales are given
 121 in units of the inverse proton gyrofrequency $t_\Omega = \Omega^{-1} = (eB_0/m_p)^{-1}$ respectively. Ion
 122 thermal velocities are initialised as $v_{\text{th}}^{\text{SWP}}/v_A = 0.4$, $v_{\text{th}}^{\text{SWP}}/v_A = 1.2$, and $v_{\text{th}}^{\text{SWP}}/v_A = 0.2$. The
 123 sets of relative densities and electron temperatures used for simulations presented in this
 124 paper are given in Table 1. For these simulations, the shear velocity of the magnetospheric
 125 populations is given by $u_{\text{msp}} = u_{\text{Na}} = 0$, and the shear velocity of the solar wind population
 126 is given by $u_{\text{swp}} = -0.02v_A$.

127 The simulations are conducted using a Cartesian grid with 256 cells in x and y , with
 128 resolution $\Delta x, y = 0.5d_p$. We make use of 1500 computational particles per cell per
 129 species to sufficiently sample the distribution function in the tail regions of velocity space.
 130 A diagram of the simulation geometry is shown in Figure 1. Each simulation includes
 131 two shear boundaries centred at $x_0 = 64d_p$ and $x_1 = 192d_p$, as necessitated by our use

132 of doubly periodic boundary conditions. The simulation grid is large enough that there
133 is no significant transport of plasma across the boundary at $x = 0$ within the timescales
134 discussed in this paper. That is, the vortices generated by the K-H instability at the
135 dusk shear boundary do not interact with those at the dawn boundary. We note that
136 for the initial conditions and parameters discussed in this section, the shear boundary at
137 $x_0 = 64d_p$ has vorticity anti-parallel to the background magnetic field and associated ion
138 gyration, and is termed the “counter-rotating” boundary. At $x_1 = 192d_p$, the vorticity is
139 parallel to the background magnetic field, and is termed the “co-rotating” boundary. For
140 northward IMF, the counter-rotating boundary corresponds to the dusk flank of Mercury’s
141 magnetosphere, and the co-rotating boundary corresponds to the dawn flank.

142 The simulation geometry described above constitutes a localised treatment of the mag-
143 netospheric boundary layers. In contrast to a full global simulation, we are therefore
144 neglecting the effects of magnetic curvature, interactions with the planetary surface, and
145 other complex features of the magnetic topology. However, our local approach allows
146 us to more easily isolate the effect of high energy sodium ions on the evolution of K-H
147 unstable boundaries, and the mechanism behind the interaction, at high spatio-temporal
148 resolution.

3. Results

149 The following sections present an account of the evolutionary features in our simulations.

3.1. Kelvin-Helmholtz Instability

150 The time evolution of the number density of solar wind protons is shown for simulations
151 of varying peak sodium number density n_0^{Na} in Figure 2. Several important features emerge
152 from comparison between the simulations shown, including:

153 1. Waves associated with the Kelvin-Helmholtz instability are seen to grow at both
154 shear boundaries at early times, forming characteristic rolled-up vortices clearly visible,
155 for example, at $t = 80t_\Omega$ for $n_0^{\text{Na}} = 0.5$ in Figure 2.

156 2. For all simulations, the growth of vortices on the dawn side shear boundary (right
157 hand boundary) is suppressed compared to the dusk boundary for the same initial condi-
158 tions. For high sodium ion densities, no coherent vortices form at the dawn, co-rotating
159 boundary at all, as is perhaps most clear at $t = 320t_\Omega$ for $n_0^{\text{Na}} = 1$ in Figure 2.

160 3. As the number density of the sodium ion population is increased, the growth rate
161 of the K-H instability is increased at the dusk side boundary, and decreased at the dawn
162 side boundary.

163 4. Increases in the magnetospheric sodium ion density can affect the scales sizes of the
164 fastest growing modes of the K-H instability, resulting in differences in the size of vortices.
165 This effect can be clearly seen in comparison of the dusk boundaries at $t = 160t_\Omega$ for
166 $n_0^{\text{Na}} = 0$ and 0.5.

167 5. The density enhancements parallel to the y -axis are associated with transient fast
168 mode waves, as mentioned in Section 2.

169 We show the dependence of the growth rate of the Kelvin-Helmholtz instability on
170 sodium ion density at dusk and dawn boundaries in Figure 3. The growth rate of the
171 K-H instability is higher at the dusk boundary than the dawn boundary for all sodium ion

172 densities, which agrees with the MESSENGER results. Importantly, the change in growth
173 rate due to differences in the initial conditions between simulations, i.e. the sodium ion
174 density and electron temperature, are shown to have a much smaller effect on the growth
175 rate of K-H waves than the direction of ion gyration. This dominant change in the
176 growth rate of the K-H instability with the direction of ion gyration demonstrates that
177 kinetic effects play an important role in the evolution of magnetospheric shear boundaries.
178 Indeed, the dependence of the growth rate on ion gyro-radius is well known to have
179 an important effect based on linear analysis [Nagano, 1979] and simulation data (e.g.
180 [Thomas & Winske, 1993; Nakamura et al., 2010; Henri et al., 2013]). However, it has
181 been shown that the growth rate may be enhanced (or suppressed) at either the dusk or
182 dawn boundary depending on the local plasma beta [Huba, 1996]. Given this variation,
183 the mechanism which allows ion gyration to enhance or suppress the instability is not yet
184 clear. Some simulations of kinetic scale boundaries suggest that suppression of K-H at
185 co-rotating boundaries may be a result of a widening of the boundary layer to achieve
186 kinetic equilibrium [Nakamura et al., 2010]. Indeed, widening of the boundary layer to
187 approximately twice the initial width is visible at the dawn boundary at early times.
188 Importantly, the wider dawn boundary and first K-H waves have scale lengths much less
189 than the sodium ion gyro-radius, and hence we can expect sodium kinetic effects to be
190 important to both dawn and dusk shear boundaries.

191 In Figure 4 we examine the time evolution of the power spectrum of fluctuations in
192 the motional electric field E_y , in order to address this question in the context of the K-H
193 instability in Mercury's magnetotail. These electric field fluctuations are associated with
194 cross-boundary bulk velocities within K-H vortices.

195 In support of the observations of the proton number density in Figure 2, we see that
196 in each case the power in the instability at the dawn, co-rotating boundary is lower than
197 at the dusk-boundary across all scales. On the dusk boundaries, we can also see the
198 transfer of power from small to large scales as K-H vortices grow. At early times for
199 the dusk boundaries, we see an increase in power at proton gyro-scales. At later times,
200 most importantly, we see even greater increases in power in the instability at modes
201 with wavelengths of approximately the sodium gyro-radius. These sharp peaks coincide
202 with periods in the evolution associated with strongly coherent vortices, as seen, for
203 example, at $t = 160t_\Omega$ for $n_0^{\text{Na}} = 0.5$ in Figure 2. This suggests that a resonance between
204 sodium ion gyration and the Kelvin-Helmholtz vortices is an important contributor to
205 the dynamics and evolution of the system, even for low sodium ion densities, strongly
206 enhancing the asymmetry between the dusk and dawn flanks of the magnetotail. We
207 examine the mechanism behind this gyro-resonance in the following section.

3.2. Vortex Gyro-resonance

208 In order to determine the mechanism responsible for the observed resonance between
209 K-H vortices and sodium ion gyration, we perform test particle simulations representing
210 the interaction of a low number density ion species (i.e. sodium ions) with fixed electro-
211 magnetic fields associated with a K-H vortex in the higher number density background
212 population (i.e. the protons). Hence, we examine only the trajectories and bulk properties
213 of the sodium ion population in a dominant proton plasma background, and neglect the
214 self-consistent interaction of ion species to simplify the problem. With fixed, perpendic-
215 ular magnetic field B_0 , the convective electric field $\mathbf{E} = -\mathbf{u} \times \mathbf{B}$ is set up to represent a

216 shear boundary with a superimposed vortex of varying radius. The non-zero background
 217 electromagnetic fields are therefore given by:

$$B_z = (-1)^m B_0, \quad (5)$$

$$E_x = (-1)^{m+1} r^2 \exp(-r^2/R_v^2) \sin \theta \\ + (-1)^{m+1} E_{\text{drift}}(x - x_0)/|x - x_0|, \quad (6)$$

$$E_y = (-1)^{m+1} r^2 \exp(-r^2/R_v^2) \cos \theta, \quad (7)$$

218 where polar coordinates $r^2 = (x - x_0)^2 + (y - y_0)^2$ and $\tan \theta = (y - y_0)/(x - x_0)$ are taken
 219 relative to the centre of the vortex at $(x_0, y_0) = (100d_p, 600d_p)$, and the shear boundary
 220 centred at $x = x_0$. Parameter R_v determines the radius of the vortex, which is co-rotating
 221 for $m = 1$ and counter-rotating for $m = 0$. A total of 10^5 particles are initialised with
 222 Maxwellian thermal velocity distribution and $\mathbf{E} \times \mathbf{B}$ drift v_d in a region upstream of the
 223 vortex with $x > x_0$, of size $3R_v + 2\rho_{\text{Na}}$ in x , and $40\rho_{\text{Na}}$ in y . This samples a population
 224 of interacting and non-interacting sodium ions on the magnetospheric side of the shear
 225 boundary, represented in Figure 1 by a hatched grey box. These test particles drift towards
 226 the stationary vortex and interact if their trajectories intersect the region for which the
 227 vortex electric field is significant. We track both interacting and non-interacting particle
 228 trajectories in order to better understand the interaction.

229 The time evolution of the one-dimensional number density $n(y)$ for test particles inter-
 230 acting with a vortex of varying radius and vorticity direction are shown in Figure 5. In
 231 panel (a), which shows results for a counter-rotating vortex with $R_v = \rho_{\text{Na}}$, test particles
 232 are seen to drift towards the vortex and, after interaction at approximately $t = 0.7t_\Omega$,

233 continue with the same drift velocity. This behaviour manifests as a largely unbroken
234 diagonal line in (y, t) . Results in panel (b), for a counter-rotating vortex with $R_v = 2\rho_{\text{Na}}$,
235 demonstrate that although non-interacting test particles continue to drift with the local
236 shear velocity, many become trapped within the vortex. This behaviour manifests as a
237 horizontal bar at the vortex's centre $y = y_0$. Panels (a) and (b) therefore demonstrate
238 that test particles become trapped within the counter-rotating vortices provided they are
239 larger than approximately twice the test particle gyro-radius (i.e. $R_v > \rho_{\text{Na}}$). At a co-
240 rotating boundary of radius $R_v = 2\rho_{\text{Na}}$, as seen in panel (c), no test particles become
241 trapped. Sodium ions are instead deflected around the vortex before continuing to drift
242 with the local shear velocity. Hence, sodium ions cannot continue to interact with the
243 vortex for a time longer than $\approx 2R_v/v_d$. We note that this behaviour leads to a modula-
244 tion of particle trajectories in gyro-phase, visible as periodic peaks in the number density
245 after interaction with the vortex.

246 The differences in the interaction of test particles with co- and counter-rotating vortices
247 can be seen clearly in the particle trajectories, shown in Figure 6. For a typical trajectory
248 for the co-rotating case (shown in blue), we observe a deflection of intersecting test parti-
249 cles in the outward direction from the vortex centre, leading to an azimuthal drift of test
250 particles around the vortex. For the counter-rotating case (shown in red), test particles
251 which intersect with the vortex are accelerated towards the centre of the vortex by the
252 radial motional electric field, and become trapped in a precessing petal orbit with scale
253 size equal to the vortex radius. Although the gyration of these particles is in the opposite
254 direction to the background vorticity, these particles contribute a co-rotating current, as

255 shown in Figure 7. This co-rotating current increases the power in the counter-rotating
256 K-H vortex, leading to the observed gyro-resonance in Figure 4.

3.3. Ion Transport

257 In this section we examine the effect of sodium ion density on the transport of protons
258 and sodium ions across the magnetopause. Having calculated the mean-square displace-
259 ment of a chosen population of ions $\langle \Delta x_s^2 \rangle$, we can characterise the time evolution by
260 defining a diffusion coefficient D_s as follows:

$$D_s = \frac{\langle \Delta x_s^2 \rangle}{t}. \quad (8)$$

261 We can then test for a power law increase in mean-square displacement such that $\langle \Delta x_s^2 \rangle \propto$
262 $(\Omega_s t)^\gamma$. For classical diffusion, $\gamma = 1$ and the diffusion coefficient D is constant. Plots
263 of the time evolution of the mean square displacement for ions initialised within $10d_i$ of
264 each boundary are shown in Figure 8. The curves demonstrate hyper-diffusive power laws
265 $\gamma > 1$ apply for both dawn and dusk boundaries in all simulations. With no sodium ion
266 impurity, the exponent γ is higher at the dawn flank. However, increasing the sodium ion
267 density decreases the transport exponent at the dawn flank, but has little effect on the
268 dusk flank. This implies that the suppression of the growth of K-H vortices at the dawn
269 flank by the sodium ion population reduces the cross-boundary transport of plasma in
270 that region.

271 Ion transport can also be quantified by considering a “mixing parameter”, M , defined
272 as the fraction of computational cells in the simulation domain with a given minimum
273 number density of both magnetospheric and solar wind protons:

$$M = \sum_i f_i / N_{\text{cells}}, \quad (9)$$

274 for a sum over all computational cells i , with total cells N_{cells} . The conditional function
 275 f_i for cell i is given by:

$$f_i = \begin{cases} 1, & \text{if } (n_i^{\text{swp}} \geq \frac{1}{2}n_0^{\text{swp}}) \ \& \ (n_i^{\text{msp}} \geq \frac{1}{2}n_0^{\text{msp}}) \\ 0, & \text{otherwise.} \end{cases} \quad (10)$$

276 Plots of the time evolution of the mixing parameter M for the simulations discussed in
 277 this paper are shown in Figure 9. These plots demonstrate that the mixing rate is higher at
 278 the dusk boundary than the dawn boundary for both proton and sodium ion populations.
 279 Additionally, increasing the sodium ion density appears to increase the mixing rate of the
 280 sodium with solar wind protons at both flanks. Together with the results in Figure 8, this
 281 implies that the growth of coherent vortices at the dusk boundary, and the suppression of
 282 the K-H instability at the dawn flank, leads to faster cross-boundary transport and more
 283 efficient mixing at the dusk flank.

4. Conclusions

284 Our simulations have reproduced the strong dusk-dawn asymmetry in the growth of the
 285 Kelvin-Helmholtz instability observed in both global hybrid simulations [Trávníček et al.,
 286 2009; Paral & Rankin, 2013] and observational data from the MESSENGER spacecraft
 287 [Liljeblad et al., 2014; Sundberg et al., 2012]. We have further demonstrated that it is
 288 necessary to consider the effect of low density, massive ion species of exospheric origin
 289 if we are to properly model the growth and evolution of plasma instabilities in the local
 290 Hermean plasma environment.

291 We have shown that the inclusion of a hot sodium ion population introduces a resonance
292 between K-H vortices and ion gyration. Our results are consistent with the observations
293 of Gershman et al. [2015], who report high correlation between K-H wave frequency and
294 sodium gyro-frequency in the dusk magnetotail. In our simulations, this manifests as a
295 strong spectral peak in K-H wave power close to sodium gyro-scales, corresponding to
296 highly coherent, persistent vortices visible at the sodium gyroradius at dusk, counter-
297 rotating boundaries. In contrast, the inclusion of sodium ions leads to almost complete
298 suppression of vortex growth at dawn, co-rotating boundaries.

299 Test particle simulations suggest that the source of the gyro-resonance at dusk bound-
300 aries is the generation of a co-rotating current formed by the combination of petal-like
301 orbits of counter-rotating sodium ions trapped in K-H vortices.

302 An analysis of diffusion power laws and mixing parameters has shown that ion transport
303 is faster at dusk boundaries than at the dawn flank in the presence of sodium ions, and that
304 the dusk flank plasma is more highly mixed than at the dawn flank. This suggests that
305 the growth of gyro-resonant, coherent K-H vortices leads to a more efficient mixing of the
306 solar wind and magnetospheric populations, and that suppression of the K-H instability
307 reduces cross-boundary transport.

308 Although local plasma simulations provide an ideal environment for the study of highly
309 specific plasma processes, as in this paper, high-resolution global simulations may still be
310 necessary to determine the importance of sodium ion gyro-resonance to Mercury's local
311 plasma environment. For example, a more realistic, three-dimensional geometry will en-
312 able the assessment of the impact of magnetic reconnection in K-H vortices, which can
313 accelerate and heat both proton and sodium ion populations. Non-periodic boundary

314 conditions representing the interaction of the magnetospheric plasma with the plane-
315 tary surface may also be necessary to more robustly predict the evolution of the Kelvin-
316 Helmholtz instability and other boundary layer instabilities. Finally, though the K-H
317 instability grows self-consistently from grid-scale noise in the simulations presented in
318 this paper, a more realistic model of a magnetosphere may also include K-H waves ini-
319 tialised by high amplitude solar wind perturbations and fluctuations in the magnetosheath
320 dynamic pressure. In such cases, the gyro-resonance mechanism we have discussed can
321 be expected to operate for perturbations of scale length less than the sodium gyro-radius.
322 However, suppression of the growth of vortices on the dawn shear boundary may be over-
323 come with sufficient driving. These considerations will be important to direct comparison
324 of three-dimensional, global simulations of vortex gyro-resonance at the magnetopause
325 with observational data.

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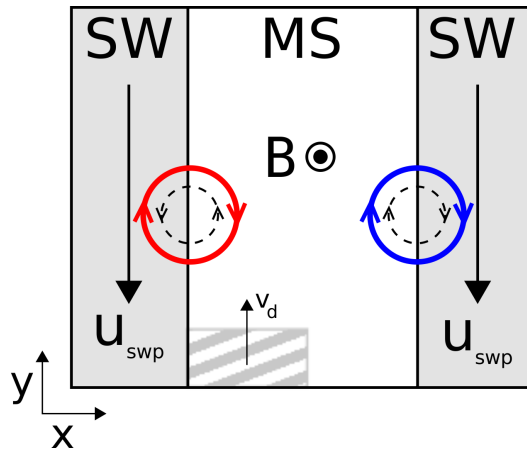


Figure 1. Diagram of the geometry of the initial conditions used for simulations discussed in this paper. Shear boundaries separating the solar wind (SW) and magnetospheric (MS) populations are shown with coloured circles corresponding to the direction of gyration of co-rotating (blue) and counter-rotating (red) ions. Dashed circles demonstrate the direction of bulk flow within vortices generated by the K-H instability at each shear boundary. Note that the relation between the direction of gyration (coloured circles) and vorticity (dashed circles) determines which boundary is co-rotating and which is counter-rotating. The hatched grey box represents the initial position of the test particle population discussed in Section 3.2.

Table 1. List of simulation parameters, including peak magnetospheric proton density n_0^{msp} , peak solar wind proton density n_0^{swp} , peak sodium ion density n_0^{Na} and dimensionless electron temperature $\tau_e = 2\beta_e$.

n_0^{msp}/n_0	n_0^{swp}/n_0	n_0^{Na}/n_0	τ_e
1	4	0	0.133
1	4	0.1	0.154
1	4	0.5	0.252
1	4	1	0.430

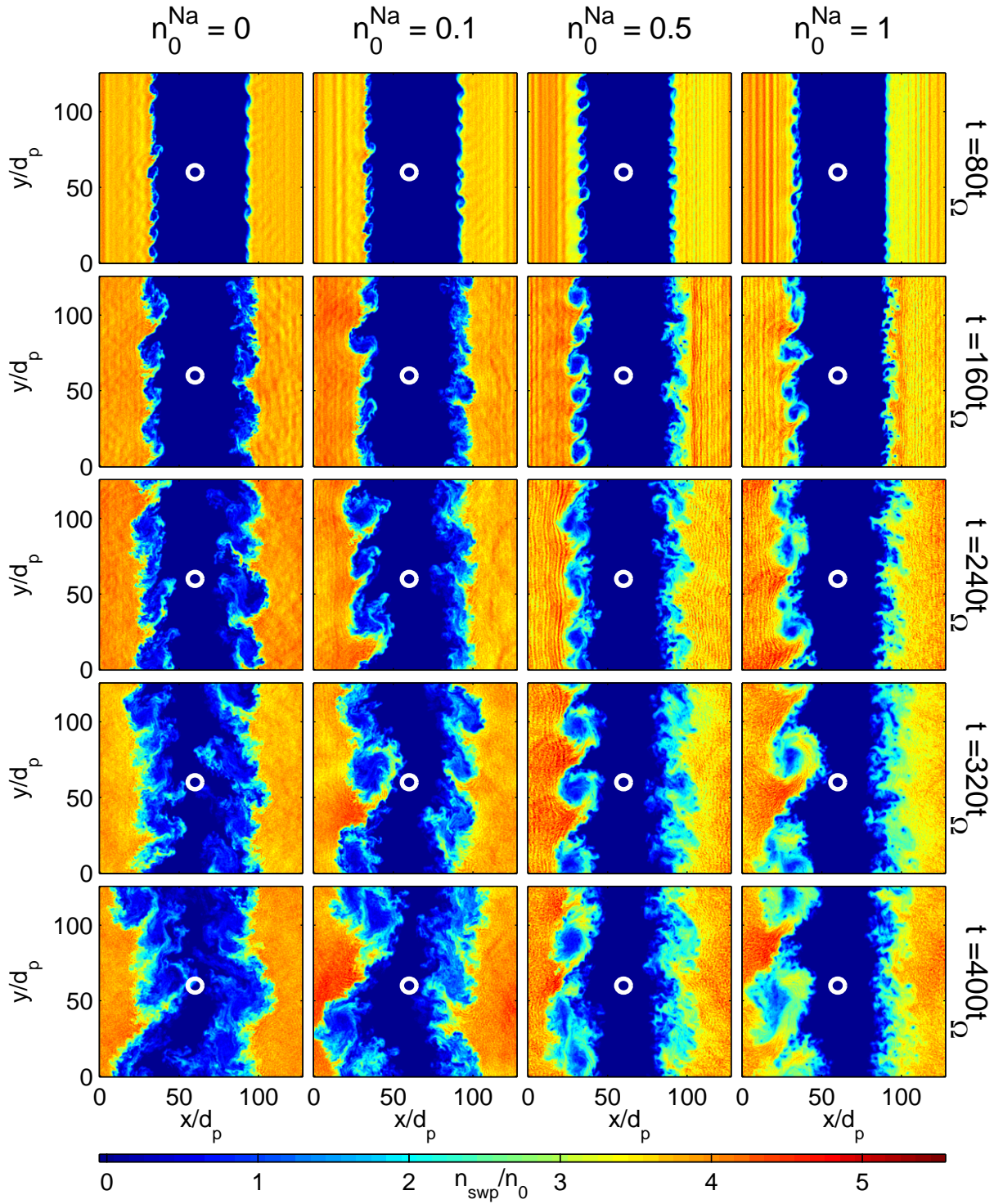


Figure 2. The time evolution of the number density of solar wind protons, for simulations with $n_0^{\text{Na}} = (0, 0.1, 0.5, 1)$ left to right. Note that an increase in the number density of sodium ions in the magnetospheric population leads to a strong asymmetry in the growth of the Kelvin-Helmholtz instability between the dusk and dawn shear boundaries. The thermal gyro-radius of the sodium ion population is represented with a white circle.

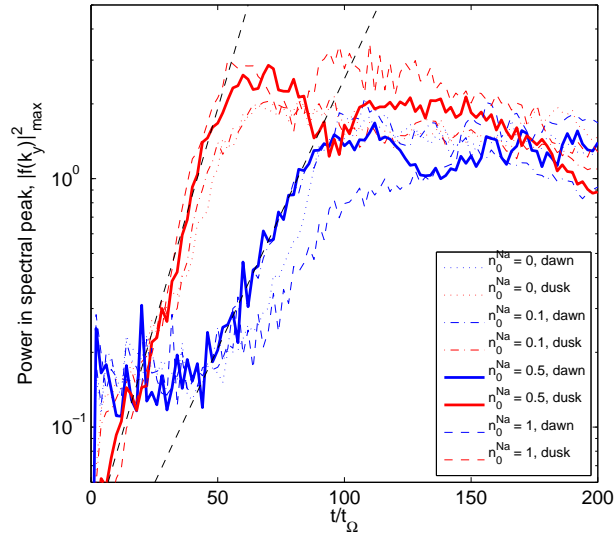


Figure 3. Peak power in the spectrum of K-H waves, from cross-boundary motional electric field E_y , for dusk (red) and dawn (blue) boundaries. For dusk, counter-rotating boundaries, the growth rate of the K-H instability is both faster, and begins at an earlier time. However, there is no definitive trend in the change in growth rate caused by sodium ion density at either boundary.

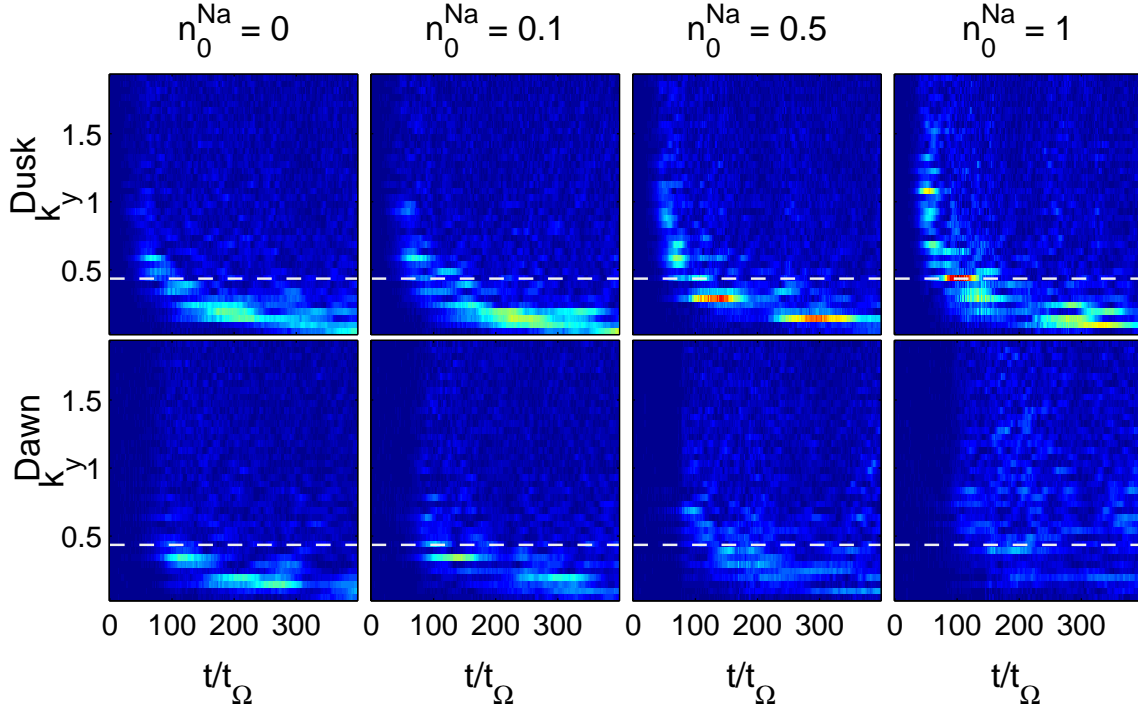


Figure 4. The time evolution of power spectral density of K-H waves from the field E_y at dusk (top) and dawn (bottom) boundaries, for increasing sodium ion density from left to right. Two important features emerge: i) increasing sodium ion density causes the suppression of waves across all scales at dawn boundaries and ii) increasing sodium ion density introduces spectral features at sodium gyro-scales. The sodium ion gyro-radius is represented with a white dashed line.

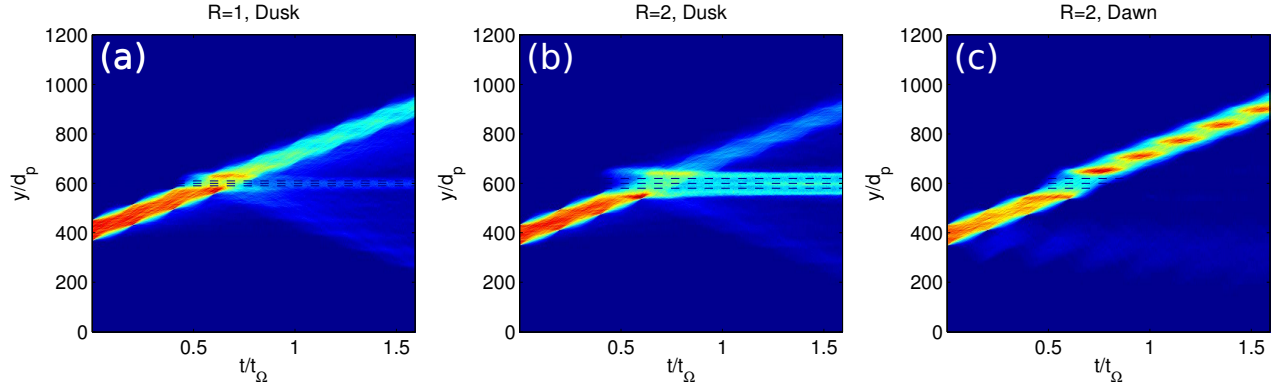


Figure 5. Histograms showing the time evolution of test particle number density for varying size and direction of imposed vortex, for a set of test particles initialised in the region $380 < y_0/d_p < 450$. Dashed lines represent the centres and radii of the vortices. For counter-rotating vortices above approximately twice the sodium gyro-radius, we see significant trapping of sodium ion within the vortex. For all sizes of co-rotating boundaries, sodium ions are deflected rather than trapped.

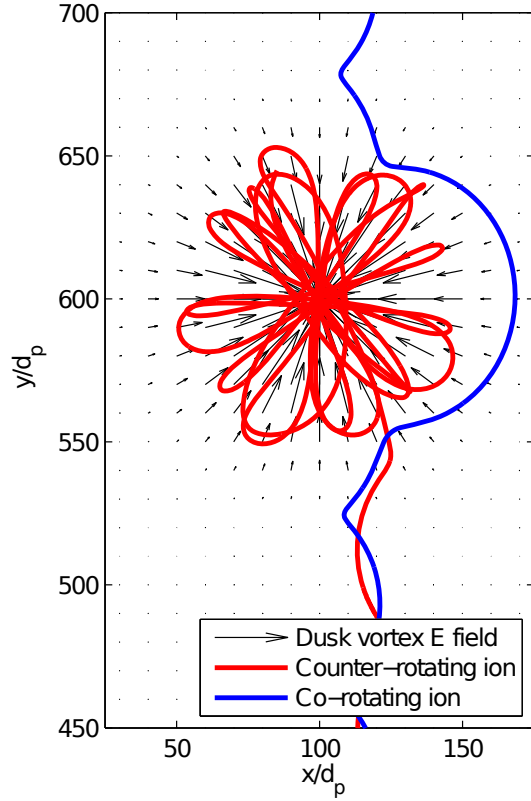


Figure 6. Examples of test particle trajectories for counter-rotating (red) and co-rotating (blue) vortices, corresponding to dusk and dawn shear boundaries respectively. These trajectories demonstrate the characteristic petal-shaped orbit of a trapped counter-rotating ion and deflection of a co-rotating ion.

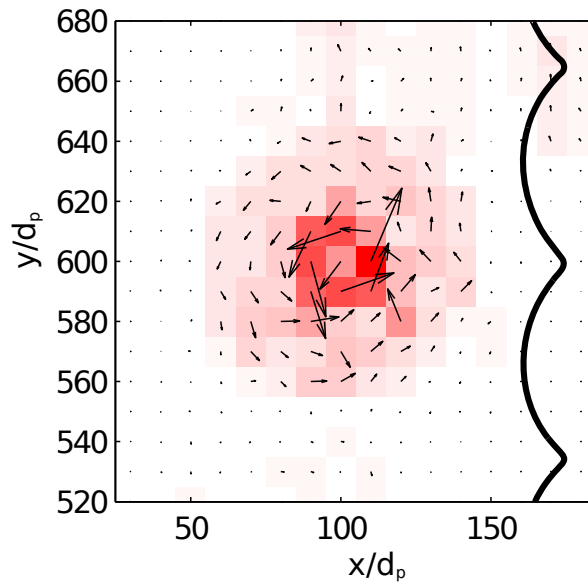


Figure 7. Magnitude (colour) and direction (arrows) of the contribution of test particles to the current for a counter-rotating shear boundary. A sample background ion trajectory is shown in black. Note that the direction of the total current of the sodium ion population is co-rotating with the vortex, despite the counter-rotation of the individual particle gyration.

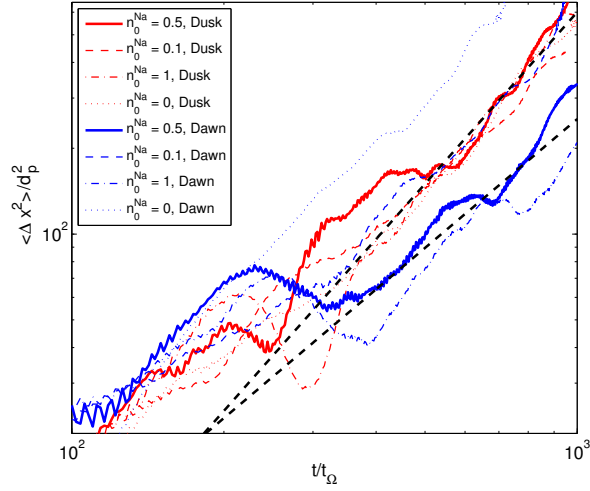


Figure 8. Mean square ion displacement, $\langle \Delta x_s^2 \rangle$, for solar wind protons at dusk (red) and dawn (blue) boundaries with varying sodium ion number density. Although proton transport appears unaffected by sodium density at the dusk boundary, introduction of sodium at the dawn boundary is seen to reduce transport. Two dashed black lines represent power laws with exponents $\gamma = 2$ and $\gamma = 1.5$.

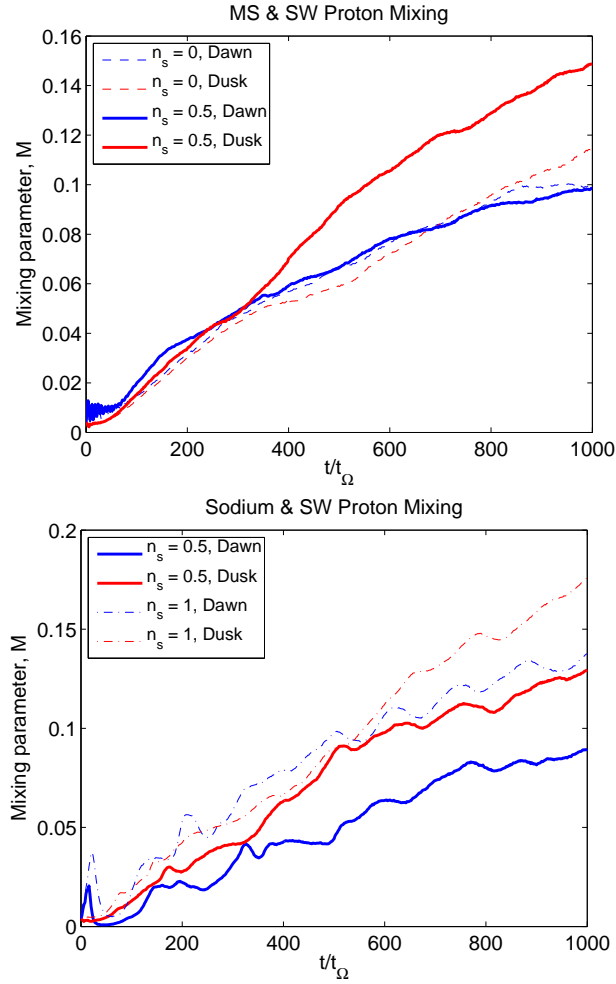


Figure 9. Time evolution of the mixing parameters for magnetospheric and solar wind protons (top), and for sodium ion and solar wind protons (bottom). In both cases, the plasma at the dusk boundaries are seen to be more highly mixed than their dawn counterparts with the introduction of a sodium ion population.