

1 **ASPP1 deficiency promotes epithelial-mesenchymal**
2 **transition, invasion and metastasis in colorectal cancer**

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17 **Running title:** ASPP1 in CRC

1 **Abstract**

2 The apoptosis-stimulating protein of p53 (ASPP) family of proteins can regulate
3 apoptosis by interacting with the p53 family and have been identified to play an
4 important role in cancer progression. Previously, we have demonstrated that ASPP2
5 downregulation can promote invasion and migration by controlling β -catenin-
6 dependent regulation of ZEB1, however, the role of ASPP1 in colorectal cancer
7 (CRC) remains unclear. We analyzed data from The Cancer Genome Atlas (TCGA)
8 and coupled this to *in vitro* experiments in CRC cell lines as well as to experimental
9 pulmonary metastasis *in vivo*. Tissue microarrays of CRC patients with information of
10 clinical-pathological parameters were also used to investigate the expression and
11 function of ASPP1 in CRC. Here, we report that loss of ASPP1 is capable of
12 enhancing migration and invasion in CRC, both *in vivo* and *in vitro*. We demonstrate
13 that depletion of ASPP1 could activate expression of Snail2 via the NF- κ B pathway
14 and in turn, induce EMT; and this process is further exacerbated in *RAS*-mutated
15 CRC. ASPP1 could be a prognostic factor in CRC, and the use of NF- κ B inhibitors
16 may provide new strategies for therapy against metastasis in ASPP1-depleted CRC
17 patients.

1 **Introduction**

2 The Apoptosis Stimulating Proteins of p53 (ASPP) family consists of three members
3 (ASPP1, ASPP2 and iASPP), which have similar sequences in the C-termini including
4 Ankyrin repeats, SH3 domain and Proline-rich region^{1, 2, 3, 4}. Functionally they bind
5 with apoptosis regulating proteins, including p53, p63 and p73, to regulate cell
6 apoptosis⁴. Studies have also linked the ASPP family to a number of other processes
7 including autophagy, RAS-induced senescence, gestational trophoblastic disease and
8 the development of cancer^{3, 5, 6, 7, 8, 9, 10, 11}.

9 A number of studies have identified a link between members of the ASPP family,
10 epithelial-mesenchymal transition (EMT) and cancer progression. EMT is a cell
11 plasticity program where epithelial cells lose their cell polarity and cell-cell adhesion
12 to gain migratory and invasive properties in turn becoming mesenchymal cells^{12, 13}. It
13 is widely regarded as being crucial for cancer progression¹⁴. EMT-Transcription
14 Factors (EMT-TFs) including Snail1/2 (*SNAIL*/2), Twist-related protein 1/2
15 (*Twist*1/2) and zinc-finger E-box-binding homeobox 1/2 (*ZEB*1/2) are able to
16 activate the EMT program^{15, 16}.

17 The ASPP family have been implicated in cancer, ASPP1/2 are tumour suppressors,
18 whilst iASPP is an oncogene⁴. High ASPP2/low iASPP expression in a number of
19 human cancers has been evaluated and found to correlate with higher survival rates,
20 improved curative effect and better prognosis^{17, 18, 19, 20, 21, 22}. Meanwhile, ASPP1 has
21 been found to be downregulated in a variety of human cancers including acute
22 lymphoblastic leukemia, breast cancer, hepatitis B virus-positive hepatocellular
23 carcinoma, clear cell renal cell carcinoma and colorectal cancer (CRC)^{21, 22, 23, 24, 25}.
24 Previously, we have demonstrated that ASPP2 downregulation can promote invasion
25 and migration by controlling β -catenin-dependent regulation of ZEB1²⁶, however the

1 mechanisms underlying ASPP1's functions in CRC remain unclear. Here, we show
2 that reduced ASPP1 expression in CRC tissue correlates with more invasive disease.
3 Further, we demonstrate that downregulation of ASPP1, can facilitate invasion and
4 migration, via the NF- κ B pathway, in turn activating Snail2, inducing EMT. This
5 process is further exaggerated in *RAS*-mutated CRC. This study could provide
6 potential prognostic indicators and novel treatments for the treatment against
7 metastasis in ASPP1-depleted CRC patients.

1 **Results**

2 **Expression of ASPP1 is decreased in colorectal tissues, and correlates with both** 3 **clinical and lymph node stages**

4 To determine the role of ASPP1 in CRC, we first investigated the protein expression
5 of ASPP1 in 86 paired CRC and adjacent normal tissues by IHC. We demonstrated
6 that ASPP1 is expressed in the nucleus and cytoplasm, both in normal and CRC
7 tissue. However, we determined that ASPP1 expression was significantly lower in
8 both the nucleus ($P = 0.0007$) and cytoplasm ($P < 0.0001$) in CRC, compared to
9 adjacent normal tissue (Supplementary Fig. S1).

10 Next, we analyzed the correlation between ASPP1 expressions (Fig. 1a) and clinical
11 pathological factors by categorizing tissues into high and low expression groups
12 according to both clinical stage and lymph node stage. Low nuclear, but not
13 cytoplasmic, expression of ASPP1 was significantly correlated with both lymph node
14 metastasis and higher clinical stage (Fig. 1b; Table 1 and 2), indicating that lower
15 nuclear ASPP1 expression may promote invasion and migration in CRC.

16

17 **ASPP1 inhibition promotes CRC invasion and migration *in vivo* and *in vitro***

18 Given the clinical findings implicating ASPP1, together previous studies
19 demonstrating a role for the ASPP family in migration and invasion²⁶, we sought to
20 investigate whether ASPP1 is capable of facilitating invasion and migration in CRC.
21 We stably expressed control or ASPP1 (*PPP1R13B*) shRNA (shRNA1, shRNA2,
22 shRNA3, Supplementary Fig. S2) in HCT116 cells and then utilized Transwell
23 invasion and migration assays. In both Transwell migration and invasion assays,

1 depletion of ASPP1 significantly promoted invasion and migration in HCT116 cells
2 (Fig. 2). Similar results have been demonstrated in a MCF10A derivative cell line,
3 MCF10A ER:HRASV12, which was engineered to express ER:HRAS. Addition of 4-
4 hydroxytamoxifen (4-OHT) acutely activates the RAS pathway in this cell line.
5 MCF10A is an immortalized human breast epithelial cell line, it maintains many
6 features of normal breast epithelial cells, including the ability to form cell-cell
7 junctions²⁶. These results show that when ASPP1 RNAi-transfected 4-OHT-treated
8 MCF10A ER:HRASV12 cells are cultured in Matrigel, they have longer protrusions
9 compared to those treated with 4-OHT alone (Supplementary Fig. S3), suggesting that
10 ASPP1 inhibits RAS-induced invasion.

11 Next, we conducted experiments in a mouse model to examine whether ASPP1-
12 depleted cells have more metastatic potential by using tail vein injection of firefly
13 luciferase tagged HCT116 cells with ASPP1 shRNA or control shRNA. Lung
14 fluorescence signals of mice from ASPP1 shRNA group were significantly more
15 intense, suggesting more metastasis in mice lungs ($P = 0.0254$; Fig. 3a and b). The
16 area of metastatic clusters present in the lungs of mice injected with ASPP1
17 shRNA/HCT116 cells was significantly higher than the group injected with control
18 shRNA/HCT116 cells, as examined by hematoxylin and eosin (H/E) staining ($P =$
19 0.0069 ; Fig. 3c and d). These results indicate that ASPP1 may play a critical role in
20 inhibiting invasion and metastasis of colorectal cancer cells.

1 **ASPP1 depletion induces Snail2 expression and facilitates oncogenic RAS-** 2 **induced EMT**

3 Previously, we identified ASPP2 as a molecular switch of epithelial plasticity via the
4 β -catenin-ZEB1 pathway²⁶. Given that the structure and function of ASPP1 is similar
5 to ASPP2, we hypothesized they may act in a similar manner. As such, we analyzed
6 the expression of a number of EMT-TFs in HCT116 cells transfected by siRNA
7 against ASPP1 or control siRNA. Surprisingly, levels of Snail2 (*SNAI2*), protein and
8 mRNA, but not those of ZEB1 or other EMT-TFs, were significantly higher upon
9 ASPP1 depletion (Fig. 4a and b). As expected, ASPP2 depletion induces ZEB1
10 expression in HCT116 cells (Fig. 4b).

11 Next, we further validated our results in HKe3 ER:HRAS V12, in which HRASV12
12 expression is induced by 4-OHT^{26,27}. HKe3 is an isogenic derivative of HCT116 with
13 the wild-type HRAS allele generated by genetic disruption of HRAS G13D²⁸.
14 Knockdown of ASPP1 alone resulted in a reduction in E-cadherin protein and mRNA
15 levels, however when ASPP1 is depleted together with 4-OHT treatment this leads to
16 a synergistic effect and E-cadherin (*CDH1*) levels are significantly reduced (Fig. 5a
17 and b). Further, mRNA levels of *SNAI2* (Snail2) are increased upon both RAS-
18 activation and ASPP1 knockdown, however together the treatment increases *SNAI2*
19 levels over 3-fold. *VIM* (Vimentin) levels are 10-fold higher upon RAS-activation
20 compared to ASPP1 knockdown, but both are significantly increased; when both are
21 used together levels of *VIM* double compared to RAS-activated (Fig. 5b). Taken
22 together, this data suggests downregulation of ASPP1 induces EMT in CRC cells and

1 this induction could be enhanced in the presence of active RAS.

2

3 **ASPP1 inhibition induces expression of Snail2 via NF-κB pathway**

4 The correlation between ASPP1 (*PPP1R13B*) and Snail2 (*SNAI2*) was further
5 confirmed by analyzing TCGA data. Low ASPP1 (*PPP1R13B*) expression was
6 significantly correlated with high levels of Snail2 (*SNAI2*) (Fig. 6a and b) in the
7 colorectal adenocarcinoma RNASeq data (TCGA dataset, Nature 2012). In order to
8 find how ASPP1 regulates Snail2 expression, we analysed data from TCGA project.
9 Gene, RNASeq (IlluminaHiSeq) TCGA colorectal adenocarcinoma data set were
10 obtained from UCSC Cancer Genomics Browser (<https://genome-cancer.ucsc.edu/>).
11 Significantly differential expressed genes between high and low ASPP1 (*PPP1R13B*)
12 samples are shown in the heatmap in Fig. 6c. To demonstrate whether the
13 significantly positive genes with ASPP1 (*PPP1R13B*) in TCGA data set are involved
14 in the same pathway, ToppGene Suite (<https://toppgene.cchmc.org/>) website was used
15 to perform pathway analysis. We found both canonical and noncanonical NF-κB
16 pathways are significantly enriched (Fig. 6d). These analyses suggested that ASPP1
17 may regulate Snail2 expression via NF-κB pathway.

18 Indeed, ASPP1 has been previously demonstrated to bind with NF-κB^{29, 30, 31, 32},
19 which is one of the key pathways that can directly bind the promoters of EMT-TFs
20 and induce their expression³³. To further confirm the role of the NF-κB in these
21 processes we utilized NF-κB reporter assays in HCT116 and HKe3 ER:HRAS V12
22 cells. Significantly, NF-κB activity in HCT116 transfected with ASPP1 siRNA
23 increased nearly 3-fold compared to control siRNA group (Fig. 7a). In HKe3

1 ER:HRAS V12 cells, both ASPP1 knockdown and RAS-activation were sufficient to
2 significantly increase NF- κ B activity, however RAS-activation together with ASPP1
3 knockdown over doubled the effect of either treatment-alone (Fig. 7b). To investigate
4 this further, we used an immunoprecipitation assay to try and determine whether
5 ASPP1 could bind with NF- κ B p65. In HCT116 cells, NF- κ B p65 was
6 immunoprecipitated with ASPP1 and NF- κ B1 p50, but not NF- κ B2 p52 (Fig. 7c). To
7 further elucidate the role of these proteins, we knocked down ASPP1 in HCT116 cells
8 and conducted a co-immunoprecipitation, we saw a significant increase in the binding
9 between NF- κ B1 p50 and p65 upon ASPP1 depletion (Fig. 7d). Finally, to confirm
10 whether ASPP1 regulates Snail2 via NF- κ B pathway, in HCT116 cells transfected
11 with ASPP1 RNAi, we depleted Snail2 or p65 simultaneously. Knockdown of ASPP1
12 induced EMT in HCT116 cells, visible as decreased E-cadherin and increased Snail2
13 protein levels. The depletion of NF- κ B p65 completely abolished the increase in
14 Snail2, and restored E-cadherin expression (Fig. 7e). Depletion of Snail2 (*SNAI2*) was
15 sufficient to restore the epithelial phenotype of HCT116 cells, demonstrated by the
16 western blot of E-cadherin (Fig. 7e). Taken together, these findings suggest that
17 ASPP1 knockdown induces EMT via NF- κ B pathway mediated regulation of Snail2
18 (Fig. 7f).

19
20

1 **Discussion**

2 Colorectal cancer (CRC) has the third highest incidence of malignant tumors and was
3 globally the second leading cause of cancer death in 2018³⁴. Development of CRC is a
4 result of interactions between genetics and environmental factors³⁵. The RAS family
5 (*KRAS*, *HRAS*, *NRAS*), are frequently mutated in human cancer, approximately 25%
6 of human malignances harbor *RAS* mutations³⁵. In CRC, *KRAS* and *NRAS* mutations
7 are found in approximately 44.7% and 7.5% of cases respectively³⁵ and RAS has not
8 only been found to drive tumour progression but also is key in tumour maintenance³⁶,
9 ^{37, 38}. Lifestyle factors, including smoking, diet, lack of exercise, combined with an
10 aging population all contribute to an increased risk of CRC³⁹. A number of advances
11 have been made in recent years to both treatment and diagnosis; however, despite new
12 treatments doubling survival for advanced disease³⁹, prognosis for metastatic disease
13 is still poor⁴⁰. CRC frequently metastasizes to both the lungs and the liver, and this is
14 a leading cause of treatment failure with up to 50% of CRC patients developing
15 metastatic liver disease after resection of the primary tumour⁴¹.

16 The role of ASPP1 in cancer has been reported in several studies^{21, 22, 25, 42, 43, 44}.
17 However, the relationship between ASPP1 and metastasis has never been reported in
18 CRC. In our study, we found that nuclear ASPP1 is expressed at low levels in CRC
19 patients and correlates with CRC patients TMN clinical stages (stages III+IV vs. I+II)
20 and lymph node metastasis. CRC is typically staged based on a system established by
21 the American Joint Committee on Cancer called the TNM staging system. The tumor
22 is found in nearby lymph nodes from Stage III and distant organs from Stage IV. In

1 the commercial available colorectal tissue microarray used in this study, there are only
2 3 cases of Stage IV CRC samples, which won't allow us to directly check is the
3 relationship between ASPP1 expression and distant metastasis. However, in mouse
4 models, we demonstrated that loss of ASPP1 increased metastatic potential of CRC
5 cells to the lungs. These corroborated the *in vitro* findings, which demonstrated, using
6 Transwell migration and invasion assays that loss of ASPP1 increased invasion and
7 migration in CRC.

8 The mechanisms underlying metastasis are complicated, from epithelial cell
9 invading locally through surrounding extracellular matrix (ECM) and stromal cell
10 layers, to resuming their proliferative programs at metastatic sites. These complex
11 biological processes are regulated by molecular pathways in cancer cells and cell non-
12 autonomous interactions between carcinoma cells and non-neoplastic stromal cells¹⁴.
13 EMT is a dynamic process, where epithelial cells convert to a mesenchymal
14 phenotype and this process has been widely implicated in cancer progression^{13, 14}.
15 EMT can be induced in a number of ways, including by pleiotropically acting EMT-
16 TFs including *SNAIL*/2 (Snail1/2), *ZEB1*/2 and *TWIST1*/2¹⁵, these have been shown to
17 have a role in cancer. Snail2 (*SNAIL2*), in particular, has been reported to have a key
18 role in the invasion and migration of cancer^{16, 45, 46} and we have previously
19 demonstrated it can promote EMT in RAS-active colorectal cancer cells²⁷.

20 The NF- κ B pathway consists of five transcription factors and these regulate a
21 number of cellular processes, such as proliferation and apoptosis⁴⁷. Constitutive NF-
22 κ B activity has been reported in human cancers^{29, 47, 48}, as a result of inflammatory

1 microenvironment and oncogenic mutations⁴⁷, and has been linked to cancer
2 progression and promoting an invasive phenotype^{49, 50, 51, 52}. Further it has been shown
3 to mediate EMT-TFs, ZEB1 and TWIST1, to regulate EMT⁵³. Although ASPP1 has
4 been shown to bind with NF- κ B^{30, 31, 32, 54}, these mechanisms haven't been previously
5 described in cancer. Here we show using analysis of CRC TCGA datasets that
6 reduced ASPP1 expression correlates with increased *SNAI2* expression and pathway
7 analysis showed that low ASPP1 expression resulted in NF- κ B activation. We
8 observed that downregulating ASPP1 induces NF- κ B activation, potentially by
9 enhancing the complex formation between NF- κ B1 p50 and NF- κ B p65. Together,
10 these data suggest that ASPP1 inhibition induces expression of Snail2 via NF- κ B
11 pathway (Fig. 7f).

12 Taken together, we demonstrated that ASPP1 expression was reduced in CRC and
13 low ASPP1 expression was correlates with higher clinical stage. Our study also
14 identifies a new mechanism showing that downregulated ASPP1, could increase
15 Snail2 to promote invasion and migration in colorectal cancer via active NF- κ B.
16 Further, downregulated ASPP1 could cooperate with active RAS could facilitate
17 EMT, invasion and migration via upregulating expression of Snail2. Our research
18 provides a valuable indication that ASPP1 could be a prognostic indicator of CRC and
19 patients with low ASPP1 levels could be more suitable for therapy with NF- κ B
20 inhibitors^{55, 56}. These results may offer new information for the development of novel
21 drugs in the treatment of CRC.

22

1 **Methods**

2 **Colorectal cancer tissue microarray and immunohistochemistry (IHC)**

3 A colorectal tissue microarray (HColA180Su11; Shanghai Outdo Biotech, Shanghai,
4 China) with 86 matched pairs of primary CRC samples and adjacent normal tissues
5 were purchased for IHC analysis. All procedures were approved by the Ethical
6 Committee of Tongji Hospital. Informed consent was obtained from all subjects. The
7 tissue section was de-waxed, rehydrated and incubated with 3% hydrogen peroxide to
8 block endogenous peroxidase activity for 30 min. After microwave antigen retrieval,
9 sections were blocked and then incubated overnight at 4°C with a primary antibody
10 against ASPP1 (1:100, HPA006394, Sigma). Sections were then incubated with
11 secondary antibodies for 60 min at room temperature. After washing with TBS three
12 times, the slides were stained with 3,3-diaminobenzidine and counter- stained with
13 hematoxylin. Counterstained by haematoxylin, the slides were dehydrated in graded
14 alcohol and mounted. For each section, five random non-overlapping fields
15 containing at least 200 cells per field were observed and scored based on the
16 percentage of positively stained cells (0%-100%) and the staining intensity (score 0
17 for negative, 1 for weak staining, 2 for moderate staining, and 3 for strong staining).
18 The immunoreactive score (IRS) was calculated with the formula, the percentage of
19 positive cells \times the staining intensity \times 100, to produce a value between 0 and 300.
20 Patients were divided into low and high expression groups according to the median
21 IRSs.

22

1 **Cell culture, reagents and transfections**

2 HKe3 ER:HRAS V12, HCT116 and firefly luciferase tagged HCT116 cells were
3 cultured in DMEM (Fisher Scientific UK, 11594446) supplemented with 10% FBS
4 (Invitrogen, 10270106). MCF10A ER: HRAS V12 cells were maintained in a 1:1
5 mixture of DMEM and Ham's F12 medium (Fisher Scientific UK, 11524436)
6 supplemented with 5% horse serum (Gibco, 26050088), 20 ng/ml EGF (Bio-technie,
7 236-EG), 100 ng/ml cholera toxin (Sigma, C8052), 10 µg/ml insulin (Sigma, I1882),
8 500 ng/ml hydrocortisone (Sigma, H0888) and antibiotics. Cells were kept in a
9 humidified 37°C incubator with 5% CO₂ and 95% air. For 3D acini cultures,
10 MCF10A ER:HRAS V12 cells were cultured as previously described⁵⁷ on growth
11 factor-reduced Matrigel (BD Biosciences, 354230). 4-Hydroxytamoxifen (4-OHT)
12 was purchased from Sigma-Aldrich (H6278). No mycoplasma contamination was
13 detected in the cell lines used.

14 MCF10A ER:HRAS V12 cells were transfected with the indicated siRNA oligos at a
15 final concentration of 37.5 nM using Lullaby (OZ Biosciences, LL71000), according
16 to the manufacturer's instructions. All other cell lines were transfected with the
17 indicated siRNA oligos at a final concentration of 35 nM using Dharmafect 1 reagent
18 (Dharmacon, T-2001-03). Short interfering RNA (siRNA) oligos against ASPP1
19 (MQ-010492-01-0002) were purchased from Dharmacon (Lafayette, CO, USA).
20 Sequences are available from Dharmacon or upon request. As a negative control, we
21 used siGENOME RISC Free siRNA (Dharmacon).

22 Stable knockdown of human ASPP1 was carried out using pLVX-shRNA-mCherry-

1 Hygro lentiviral expression plasmid. The ASPP1 short hairpin RNA (shRNA) plasmid
2 was designed to target the three independent shRNA constructs. Target sequences are:
3 shRNA-1, 5'-GCAACGAACTCAGAGAAATGT-3';
4 shRNA-2, 5'-GGTTGGGAATCCACGTGTTGA-3';
5 shRNA-3, 5'-GCAATCTGTCTGCTGAAATAG-3'.

6

7 **Western blot analysis**

8 Western blot analysis was performed with lysates from cells or tissues with urea
9 buffer (8 m urea, 1 m thiourea, 0.5% CHAPS, 50 mm DTT and 24 mm spermine). For
10 immunoprecipitations, the cells were lysed for 30 min at 4 °C in pNAS buffer [50 mm
11 Tris/HCl (pH 7.5), 120 mm NaCl, 1 mm EDTA and 0.1% Nonidet P-40], with
12 protease inhibitors. Indicated antibodies and immunoglobulin G (IgG) agarose were
13 added to the lysate for 16 h at 4 °C. Immunoprecipitates were washed four times with
14 cold PBS followed by the addition of SDS sample buffer. The bound proteins were
15 separated on SDS polyacrylamide gels and subjected to immunoblotting with the
16 indicated antibodies. Primary antibodies were from: Sigma (ASPP1, HPA006394;
17 ASPP2, HPA021603), Santa Cruz Biotechnology (ZEB1, sc-25388; E-cadherin, sc-
18 21791; Snail2, sc-166476), Abcam (β -tubulin, ab6046; NF- κ B1 p50, ab32360; NF-
19 κ B2 p52, ab174482; ZEB2, ab138222), Cell Signaling Technology (phospho-AKT,
20 9271; AKT, 4685; Snail1, 3879; Snail2, 9585; NF- κ B p65, 8242), BD Transduction
21 Laboratories (E-cadherin, 610405). Signals were detected using an ECL detection
22 system (GE Healthcare) (Chicago, IL, USA) or an Odyssey imaging system (LI-
23 COR), and evaluated by ImageJ 1.42q software (National Institutes of Health)

1 (Berhesda, MD, USA).

2

3 **qRT-PCR**

4 The real-time RT-PCR was carried out using gene-specific primers (QuantiTect
5 Primer Assays, Qiagen) for *SNAI1*, *SNAI2*, *ZEB1*, *ZEB2*, *TWIST1*, *CDH1*, *VIM*,
6 *GAPDH* or *ACTN* with QuantiNova SYBR Green RT-PCR kits (Qiagen). Relative
7 transcript levels of target genes were normalised to *GAPDH* or *ACTN* mRNA level.

8

9 **Transwell migration and Matrigel invasion assays**

10 For the Transwell migration assay, Transwell membranes (8-µm pore size, 6.5-mm
11 diameter; Corning Costar, 3422) were used. The bottom chambers of the Transwell
12 were filled with migration- inducing medium (with 30% FBS). The top chambers
13 were seeded with 1.5×10^5 live serum-starved control or ASPP1 shRNA HCT116
14 cells per well. After 48 h, the filters were fixed with 4% paraformaldehyde for 10 min
15 at room temperature; subsequently, the cells on the upper side of the membrane were
16 scraped with a cotton swab. Similar inserts coated with Matrigel (Corning, 354480)
17 were used to determine invasive potential in invasion assays. Filters were stained with
18 crystal violet for light microscopy. Images were taken using an Olympus inverted
19 microscope and migratory cells were evaluated by ImageJ 1.42q software (National
20 Institutes of Health, United States).

21

22 **Immunofluorescence microscopy**

23 Cells were fixed in 4% PBS (Fisher Scientific UK, 12579099)-paraformaldehyde for

1 15 min, incubated in 0.1% Triton-X-100 (Fisher Scientific UK, 11471632) for 5 min
2 on ice, then in 0.2% fish skin gelatin (Sigma, G7041) in PBS for 1 h and stained for
3 1h with an anti-E-cadherin (1:100, Santa Cruz sc-21791, mouse monoclonal 67A4)
4 antibody. Protein expression was detected using Alexa Fluor 488 (1:400: Fisher
5 Scientific UK) for 20 min. TO- PRO-3 (Invitrogen, T3605: 1:1000) was used to stain
6 nucleic acids. For immunofluorescence staining of 3D cultures from MCF10A
7 ER:HRAS V12 cells, acini were fixed with 4% paraformaldehyde for 40 min,
8 permeabilized in 0.5% Triton X-100 for 10 min on ice and stained with Rhodamine-
9 phalloidin (Molecular Probes, R415) for 1 h at room temperature. Acini were
10 counterstained with DAPI. Samples were observed using a confocal microscope
11 system (Carl Zeiss LSM 510 or LSM 710, or Leica SP8). Acquired images were
12 analyzed using Photoshop (Adobe Systems, United States) according to the guidelines
13 of the journal.

14

15 **TCGA data mining and pathway analysis**

16 Colorectal adenocarcinoma (TCGA, Nature 2012), RNASeq, RPKM, mRNA
17 expression was obtained from the cBioPortal for Cancer Genomics website
18 (<http://www.cbioportal.org/>). The colorectal adenocarcinoma samples were separated
19 into high and low *PPP1R13B* (ASPP1), mRNA expression, by selecting the top and
20 bottom 20% of samples for high and low ASPP1 expression, respectively. Then an un-
21 paired *t*-test was performed to find the significantly different ($P < 0.05$) mRNAs
22 between high and low ASPP1 sample groups (all done using *R*, version 3.4.4).

23 To explore the pathways represented in the colorectal adenocarcinoma TCGA

1 mRNA data analysis, ToppGene Suite (<https://toppgene.cchmc.org/>) website was
2 used. In TCGA mRNA data, 4319 mRNAs were significantly upregulated in high
3 ASPP1 sample group compared to the low ASPP1 group. The identified 4319 mRNAs
4 in TCGA gene data were analysed with ToppGene Suite website to detect functional
5 enrichment of the genes on pathway analysis. The identified NF- κ B related pathways
6 in pathway analysis with the number of shared mRNAs and *P*-value were plotted with
7 histogram plot in GraphPad Prism V8.2.1.

8 GraphPad Prism V8.2.1 was used to plot bar plot to identify mRNA expression of
9 *SNAI2* between high and low ASPP1 groups in colorectal adenocarcinoma (TCGA,
10 Nature 2012) data set. Moreover, the correlation between *SNAI2* and ASPP1 mRNA
11 expression within all colorectal adenocarcinoma samples was analysed by Pearson's
12 correlation analysis in GraphPad Prism V8.2.1.

13

14 **Luciferase reporter assay**

15 HCT116 and HKE3 ER:HRAS V12 cells were transfected with the indicated siRNAs
16 for 48 h in 24-well plates, followed by 24 h transfection with 250 ng of NF- κ B
17 reporter and 10 ng of phRL-CMV (Promega, E2261), which constitutively expresses
18 the *Renilla* luciferase reporter. One day before the measurement of luciferase activity
19 100 nM 4-OHT was added. Finally, the transcription assay was carried out using the
20 Dual-luciferase® reporter assay system (Promega, E1960) following the
21 manufacturer's protocol.

Tumorigenicity and metastasis experiment

For lung metastasis, 1×10^6 cells were injected into the tail vein of 8 weeks old male nude mice anesthetized with 2% isoflurane gas. Two weeks later, Images of Lung fluorescence signals were acquired by IVIS Lumina LT series III (Caliper, MA) with the excitation (640nm) and emission wavelength (710nm 845nm). The mice were injected with D-luciferin, anesthetized with isofluorane, and imaged 5 min after luciferin injection. Fluorescence intensity within specific regions of individual animals was quantified using the region of the interest (ROI) tools in the Live Image 4.5 software (PerkinElmer). Four weeks after injection, mice were euthanized by CO₂ followed by cervical dislocation. Lungs were removed and fixed in 10% buffer formalin and paraffin embedded. Sections (5 μ m) of lungs were stained with hematoxylin and eosin to visualize the pulmonary metastases under a microscope. All animal experiments were performed in accordance with a protocol approved by the Ethics Committee of Tongji Hospital, Tongji Medical College, Huazhong University of Science and Technology, China.

Blinding, randomization, statistical analysis and repeatability of experiments

IHC scoring was conducted with the researcher blind to the treatment. The animal studies were randomized and blinded according to the protocol approved by Ethics Committee of Tongji Hospital, Tongji Medical College, Huazhong University of Science and Technology, China. The sample size was estimated in light of a retrospective analysis of our previous studies²⁶. Each experiment was repeated at least twice. Unless otherwise noted, data are presented as mean \pm s.d., and a two-tailed,

1 unpaired Student's *t*-test was used to compare two groups for independent samples.
2 Chi-square test or Fisher exact test were used to evaluate the relationship of ASPP1
3 expression and clinical parameters of CRC. Correlation between the expression of
4 ASPP1 and Snail2 was analysed using Pearson's correlation. Statistical analysis was
5 conducted using SPSS version 19.0 (Endicott, NY, USA). Unpaired *t*-test was
6 performed for TCGA data analysis in *R* version 3.4.4 or in GraphPad prism V8.2.1. *P*
7 < 0.05 was considered statistically significant.

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13

14 **Conflict of interest**

15 The authors declare that they have no conflict of interest.

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1 **Tables**

2 **Table 1** The relationship between patients' clinical–pathological characteristics and
3 nuclear ASPP1 expression in CRC.

4

5 **Table 2** The relationship between patients' clinical–pathological characteristics and
6 cytoplasmic ASPP1 expression in CRC.

Table 1 The relationship between patients' clinical–pathological characteristics and nuclear ASPP1 expression in CRC.

Parameters	No.	Nuclear low	Nuclear high	<i>P</i> -value
Age (years)				
≤60	32	17	15	0.54
> 60	54	25	29	
Gender				
Male	48	21	27	0.127
Female	38	22	16	
Lymph node				
N0	48	18	30	0.021 ^a
N1+N2	33	21	12	
Clinical stage				
I+II	42	14	28	0.01 ^a
III+IV	36	23	13	
Pathological staging				
I+II	61	29	32	0.476
III	25	14	11	
Distant metastasis				
M0	83	40	43	0.529
M1	3	2	1	
Ki67				
0	10	3	7	0.178
0.5-3	76	40	36	

P -values were generated by Chi-square test or Fisher's exact test.

^a*P* < 0.05 was considered as statistically significant.

Table 2 The relationship between patients' clinical–pathological characteristics and cytoplasmic ASPP1 expression in CRC.

Parameters	No.	Cytosol low	Cytosol high	<i>P</i> -value
Age (years)				
≤60	32	15	17	0.54
> 60	54	29	25	
Gender				
Male	48	21	27	0.193
Female	38	22	16	
Lymph node				
N0	48	22	26	0.299
N1+N2	33	19	14	
Clinical stage				
I+II	42	18	24	0.108
III+IV	36	22	14	
Pathological staging				
I+II	61	31	30	0.812
III	25	12	13	
Distant metastasis				
M0	83	42	41	0.584
M1	3	2	1	

P -values were generated by Chi-square test or Fisher's exact test.

^a*P* < 0.05 was considered as statistically significant.

1 **Figure Legends**

2 **Fig. 1** ASPP1 expression levels associate with clinical and lymph node stages in
3 colorectal cancer (CRC). **a** Representative ASPP1 staining pattern (high or low
4 ASPP1) in 86 tissue microarray cores. Scale bars: 500 μ m. **b** The relationship
5 between nuclear ASPP1 expression and clinical or lymph node stages in CRC samples
6 was analysed, with *P* values of 0.0284 and 0.0393, respectively.

7
8 **Fig. 2** Downregulation of ASPP1 promotes cell migration and invasion *in vitro*. **a**
9 Transwell migration assays in HCT116 cells with indicated treatment. Cells were
10 stained by crystal violet. Data are mean \pm s.d. *n* = 3. ** *P* < 0.01. **b** Transwell
11 invasion assays in HCT116 cells with indicated treatment. Cells were stained by
12 crystal violet. Scale bar: 100 μ m. Data are mean \pm s.d. *n* = 3. ** *P* < 0.01. *** *P* <
13 0.001.

14
15 **Fig. 3** Downregulation of ASPP1 promotes lung metastasis *in vivo*. Bioluminescent
16 imaging (**a**) and quantification of photon flux (**b**) of lung metastatic tissues from nude
17 mice with intravenous injection of the indicated cells (*P* = 0.0254). ASPP1 knockdown
18 could markedly promote the pulmonary metastasis of CRC cells. **c** H&E staining of
19 the dissected lungs from the nude mice in the indicated group are presented (*n* = 6
20 mice per group), showing that ASPP1 shRNA/HCT116 cells gave rise to more and
21 larger metastases than did control ones (*P* = 0.0069) (**d**). Scale bar: 2mm.

22

1 **Fig. 4** ASPP1 depletion induces Snail2 expression. **a** Relative fold change in mRNA
2 levels of *SNAIL1* (Snail1), *SNAIL2* (Snail2), *ZEB1*, *ZEB2*, *TWIST1* in HCT116 cells
3 transfected with ASPP1 siRNA and control siRNA. *** $P < 0.001$. Scores above the
4 bars are relative levels when compared with control cells. **b** Protein expression of
5 ZEB1, ZEB2, Snail2, Snail1, ASPP2 and ASPP1 in HCT116 cells with indicated
6 treatment. β -tubulin was used as a loading control.

7
8 **Fig. 5** ASPP1 deficiency promotes oncogenic RAS-induced EMT. **a**
9 Immunofluorescence staining of E-cadherin (green) in HKe3 ER:HRAS V12 cells
10 with indicated treatments. TO-PRO-3 (blue) was used to stain nuclei. Scale bars: 10
11 μ m. **b** Fold change in mRNA levels of *SNAIL2* (Snail2), *CDH1* (E-cadherin) and *VIM*
12 (Vimentin) in HKe3 ER:HRAS V12 cells with indicated treatments. ** $P < 0.01$. ***
13 $P < 0.001$. Scores above the bars are relative levels when compared with control cells.
14 Protein expression of Snail1, Snail2, E-cadherin, phospho-AKT (p-AKT), AKT and
15 ASPP1 in HKe3 ER:HRAS V12 cells with indicated treatment. β -tubulin was used as
16 a loading control.

17
18 **Fig. 6** TCGA analysis reveals a link between ASPP1 status and Snail2 expression as
19 well as NF- κ B signalling in CRC samples. **a** Bar plot shows the *SNAIL2*, mRNA
20 expression between high and low ASPP1 groups in colorectal adenocarcinoma
21 (TCGA, Nature 2012) data. ** $P < 0.01$. **b** The scatter plot for the correlation between
22 *SNAIL2*, mRNA expression (RPKM) (log10) and *ASPP1*, mRNA expression (RPKM)

1 (log10) (Pearson's correlation (r) = -0.2679 and P = <0.0001). **c** Heat-maps of the
2 columns indicate each individual sample in high and low PPP1R13B (ASPP1) groups
3 across each mRNA in TCGA colorectal adenocarcinoma data, which obtained from
4 cBioportal website. Rows indicates mRNA expressions (RNASeq, RPKM). Dark blue
5 colour indicates low expression of mRNA and dark pink colour illustrates highly
6 expressed mRNAs. Unpaired *t*-test was analysed to find the significantly different
7 mRNAs in colorectal adenocarcinoma (TCGA, Nature 2012) data set. *n* represents the
8 number of samples in each group. **d** The genes that were upregulated in high ASPP1
9 group was analysed in ToppGene Suite website to show which pathways are
10 regulated. Histogram shows NF- κ B related pathways. Y-axis shows the pathways and
11 top x-axis and bottom x-axis show the number of shared mRNAs in each pathway and
12 $-\log_{10}$ (P value), respectively.

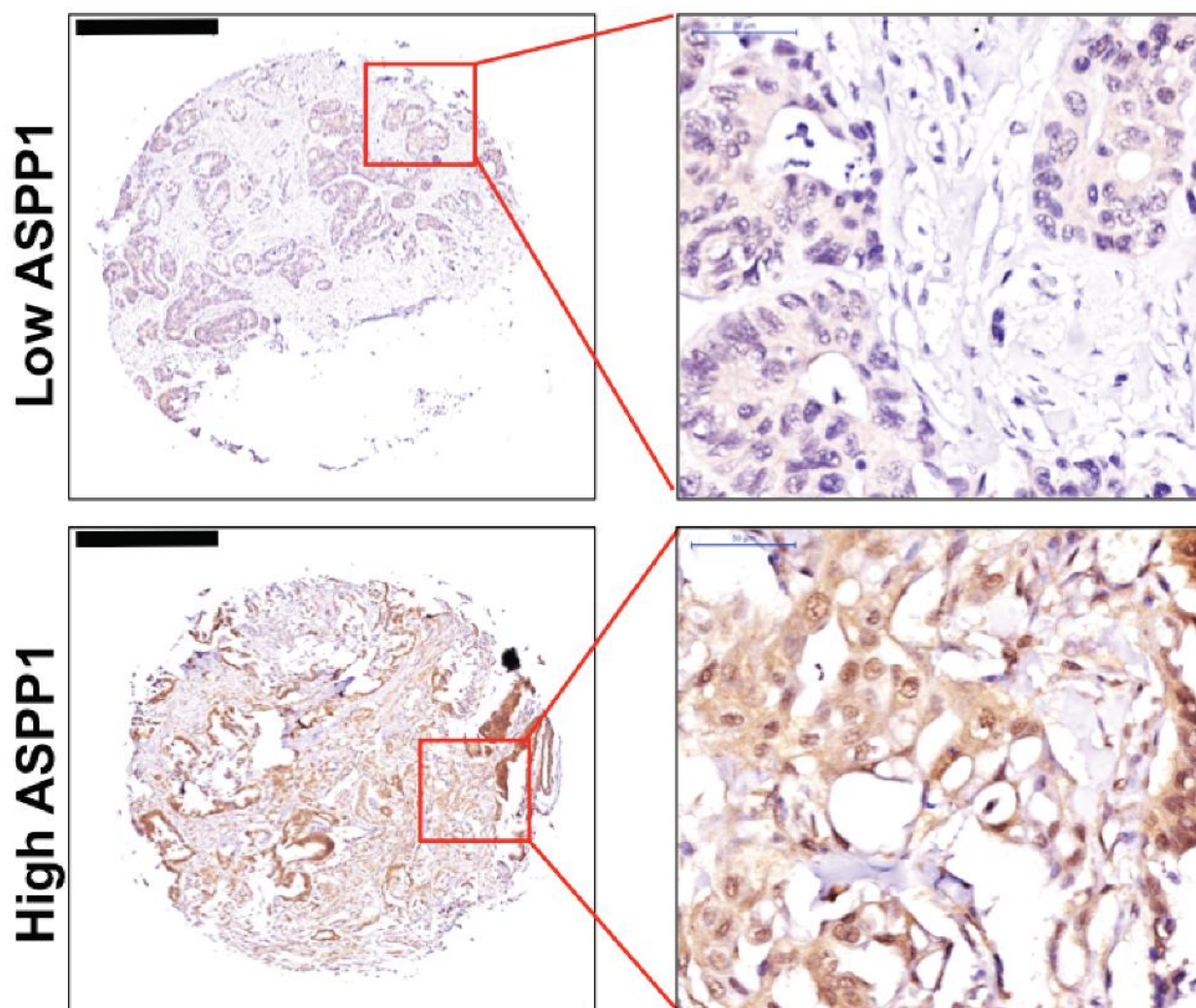
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14 **Fig. 7** ASPP1 represses Snail2 expression via inhibiting NF- κ B pathway. Fold
15 changes in NF- κ B reporter activity in HCT116 (**a**) or HKe3 ER:HRAS V12 cells (**b**)
16 with the indicated treatments. NF- κ B reporter luciferase readings normalized to
17 *Renilla* in control cells were used to set the baseline value at unity. Data are mean \pm
18 s.d. *n* = 3 samples per group. ** P < 0.01. *** P < 0.001. **c** Total cell lysates from
19 HCT116 cells were immunoprecipitated (IP) with an anti-p65 antibody or control
20 IgG. ASPP1, p65, NF- κ B1 p50 and NF- κ B2 p52 levels are indicated. **d** HCT116 cells
21 transfected with control or ASPP1 RNAi were immunoprecipitated with an anti-p65
22 antibody or control IgG. NF- κ B1 p50, p65 and ASPP1 levels are indicated. β -tubulin

1 was used as a loading control. Scores under the bands are relative levels when
2 compared with control cells (1.0). **e** Protein expression of E-cadherin, Snail2, p65 and
3 ASPP1 in HCT116 cells with indicated treatment. β -tubulin was used as a loading
4 control. **f** Diagram showing ASPP1 represses EMT via inhibiting NF- κ B-Snail2
5 pathway in CRC.

Figure 1

A



Colorectal Adenocarcinoma

B

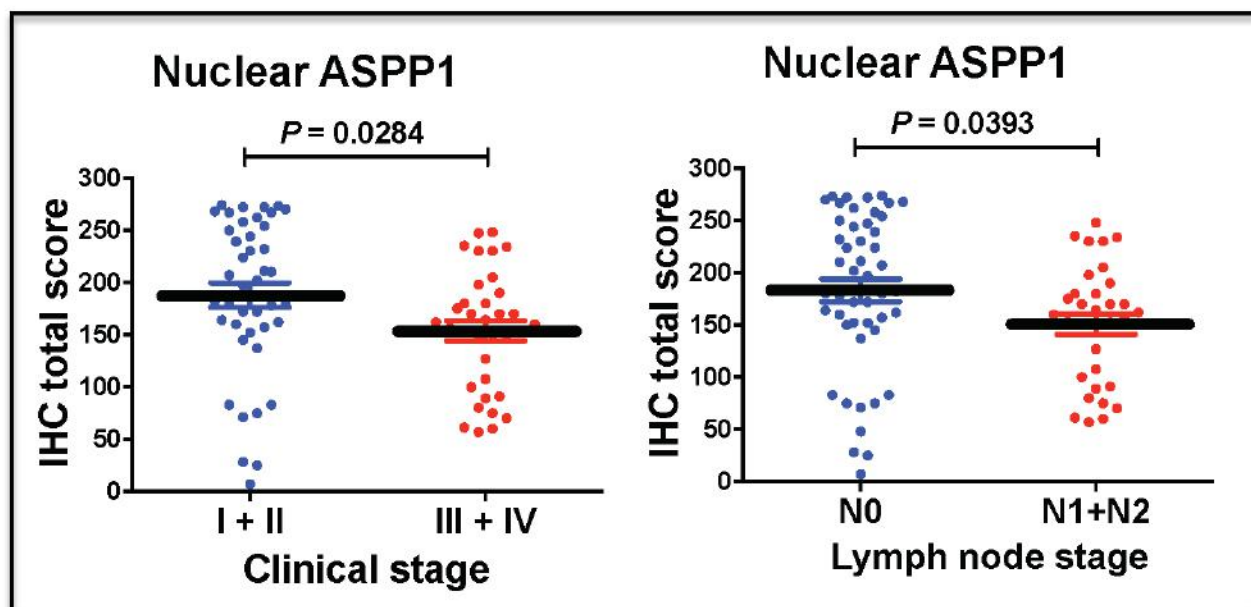


Figure 2

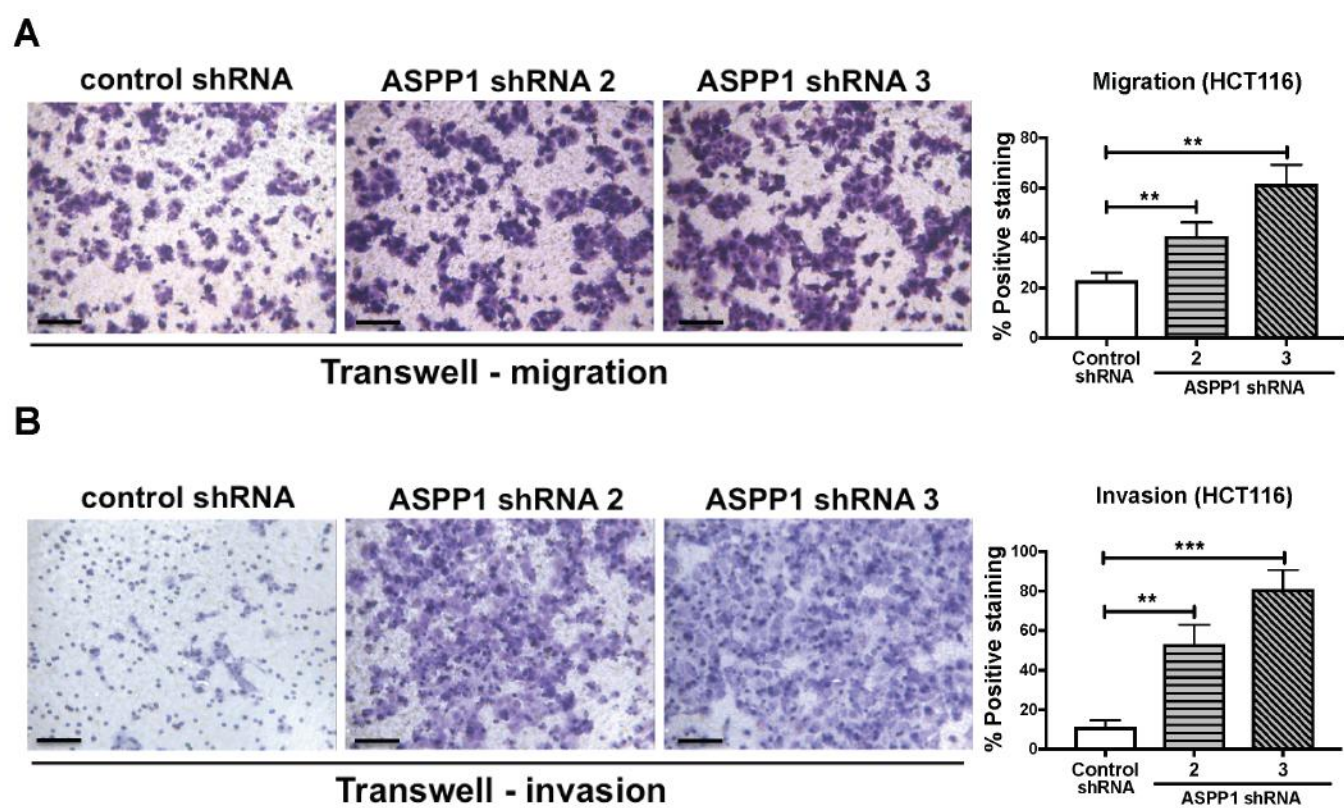


Figure 3

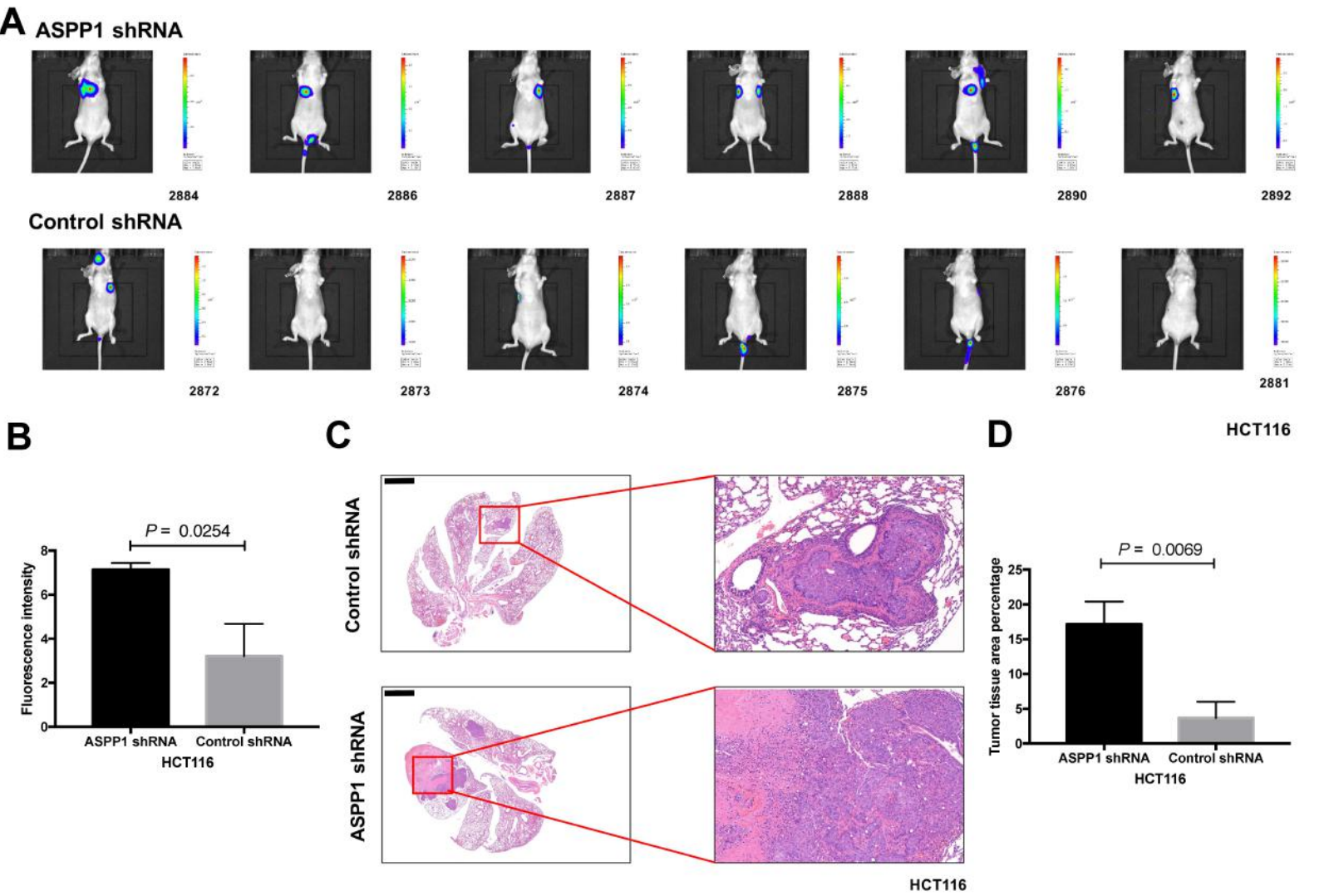


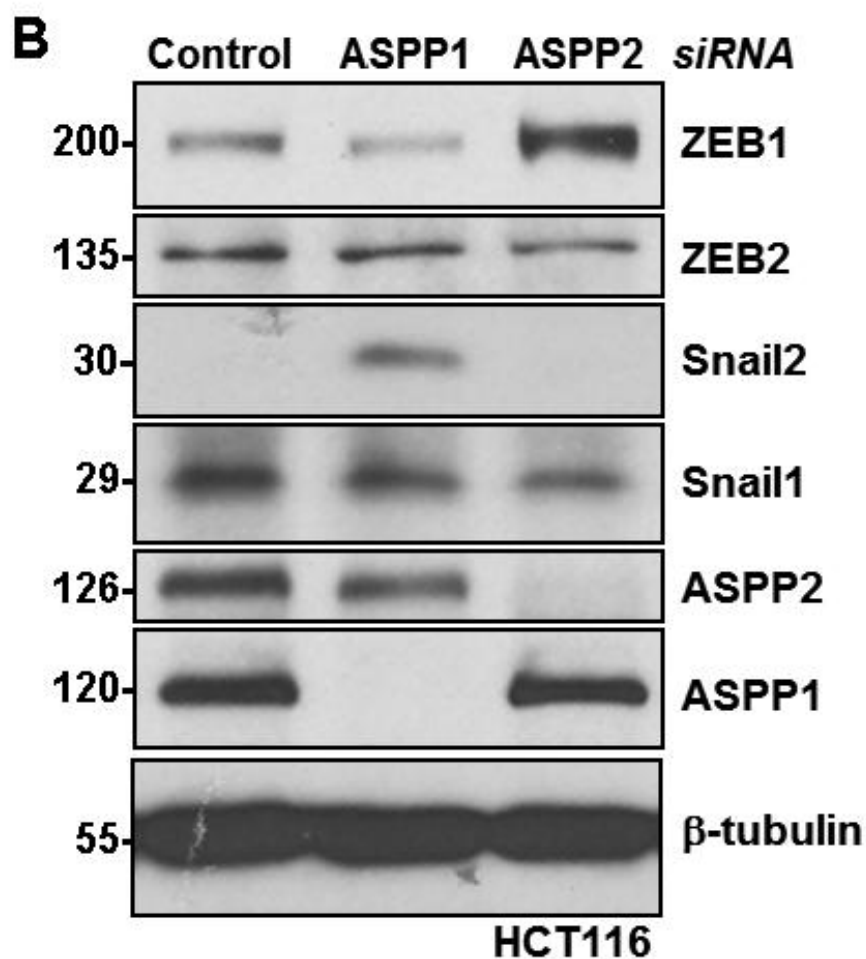
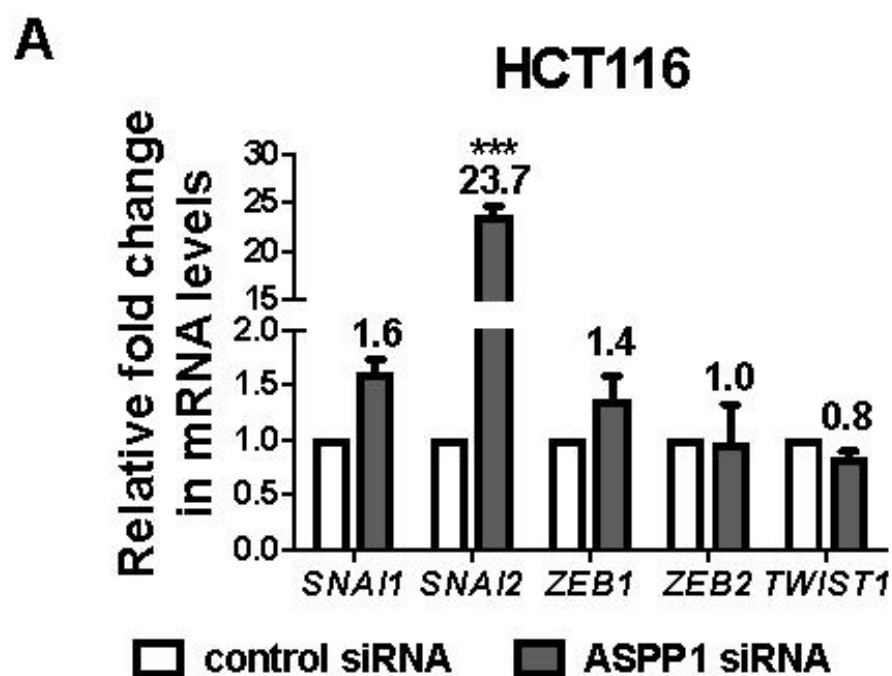
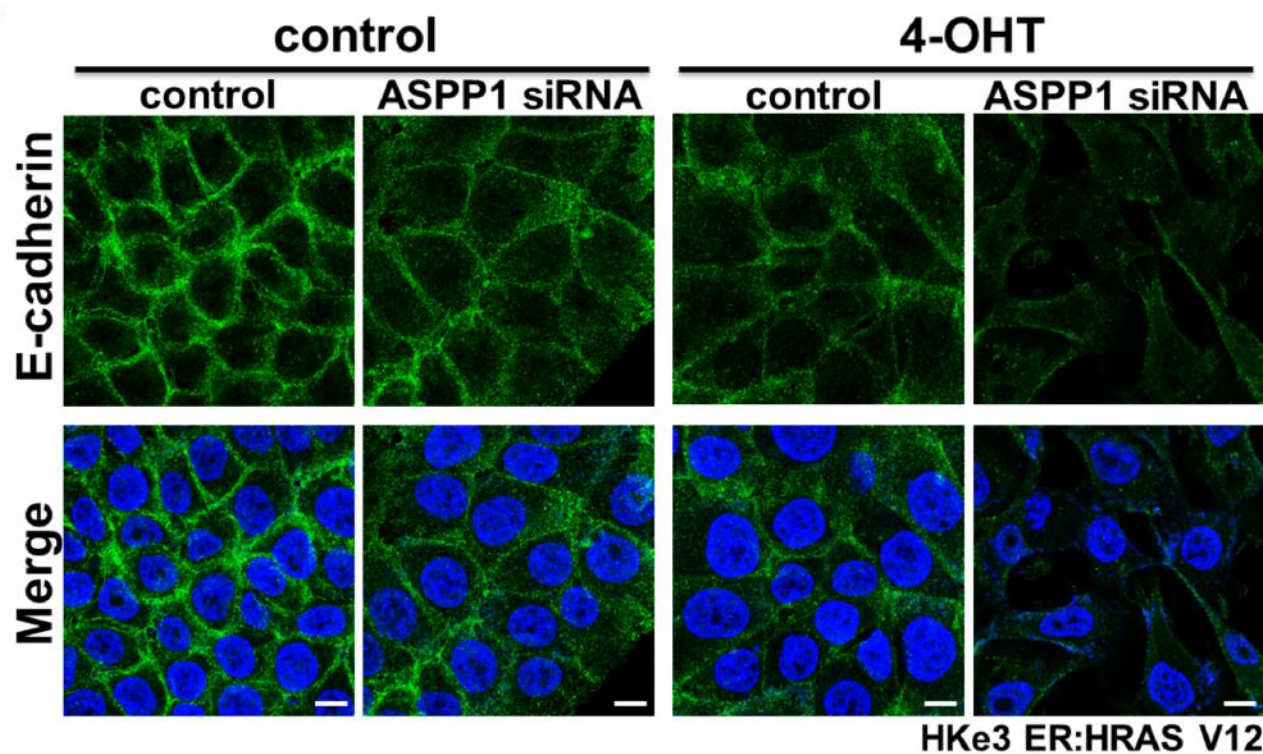
Figure 4

Figure 5

A



B

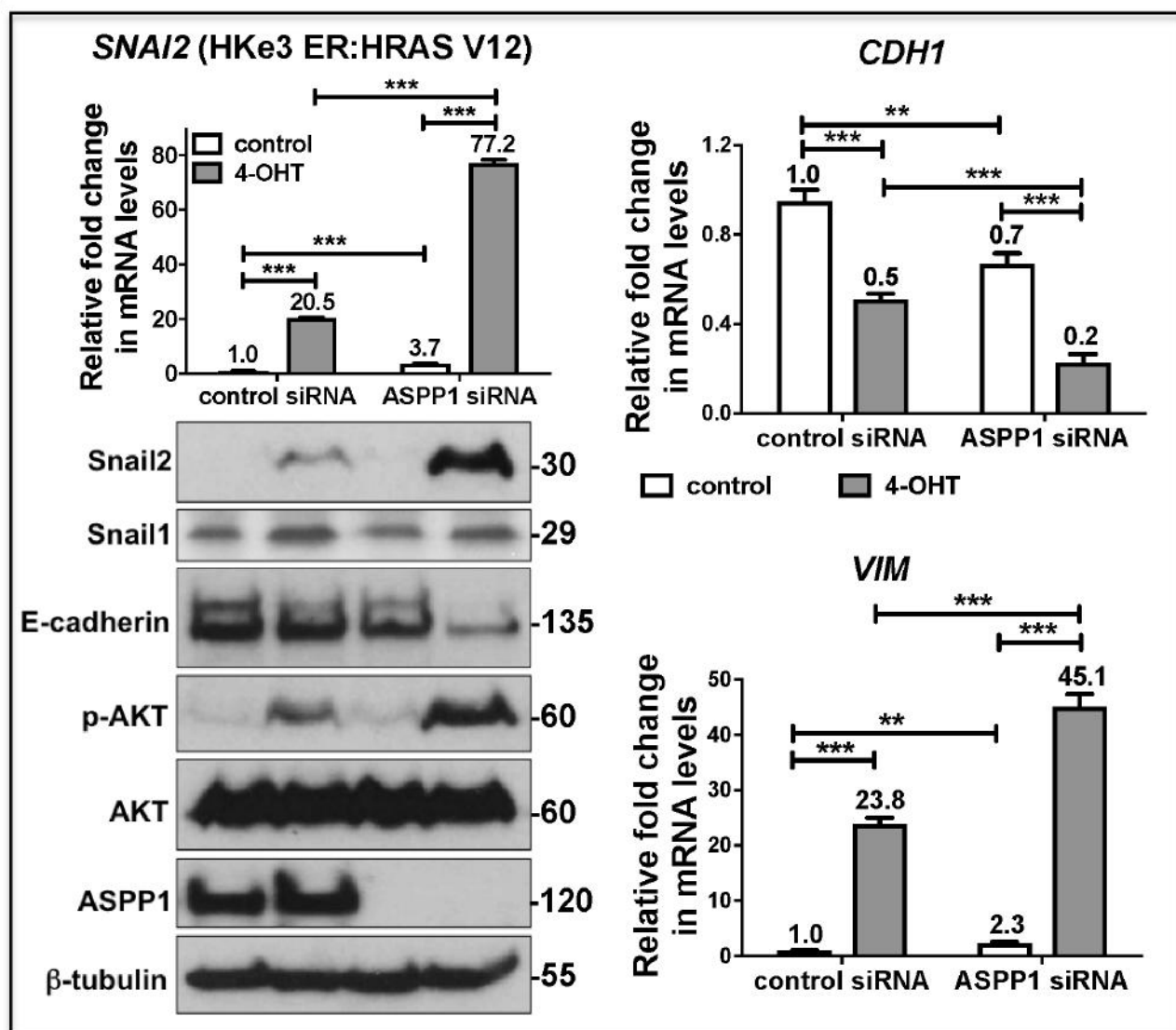


Figure 6

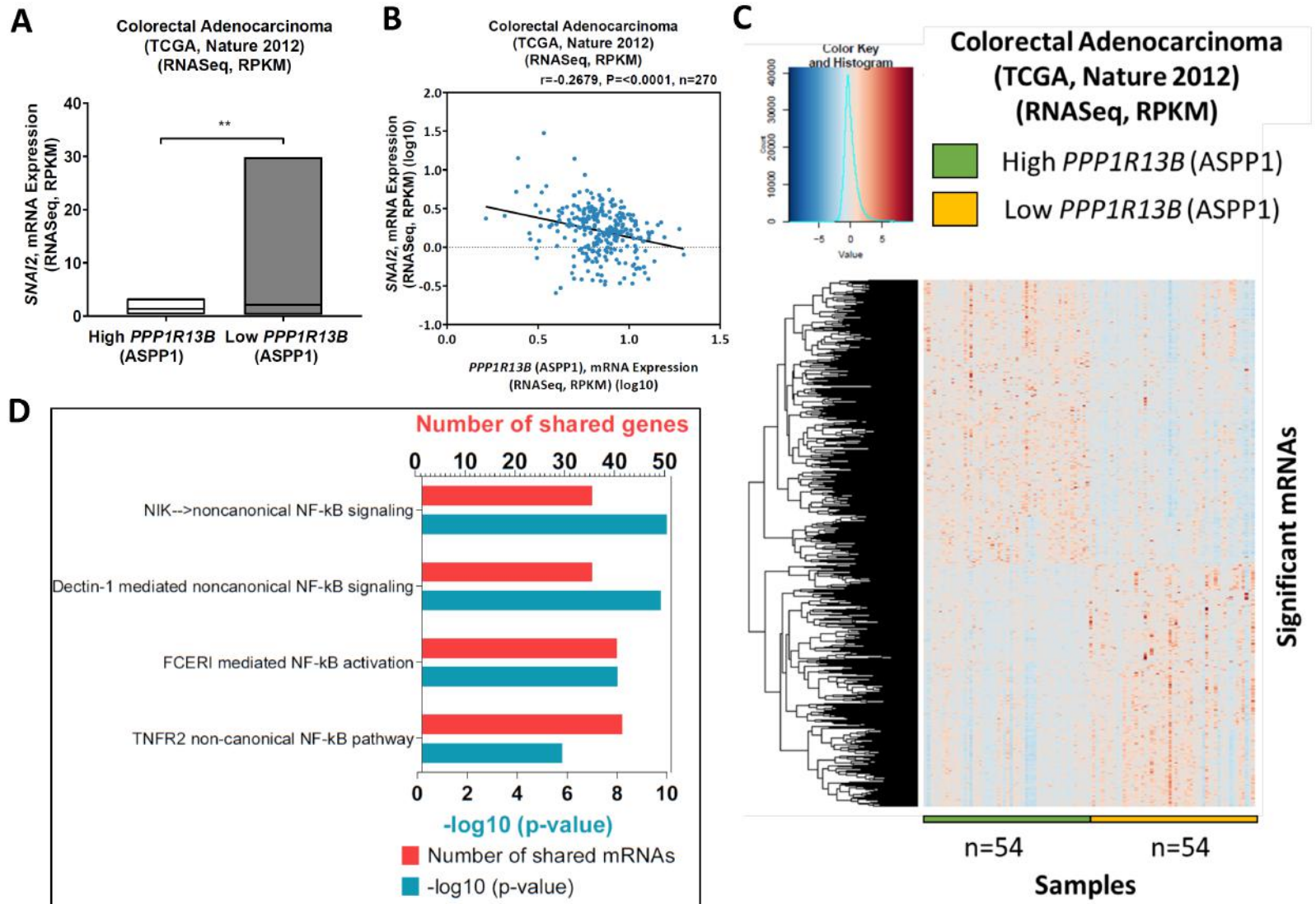
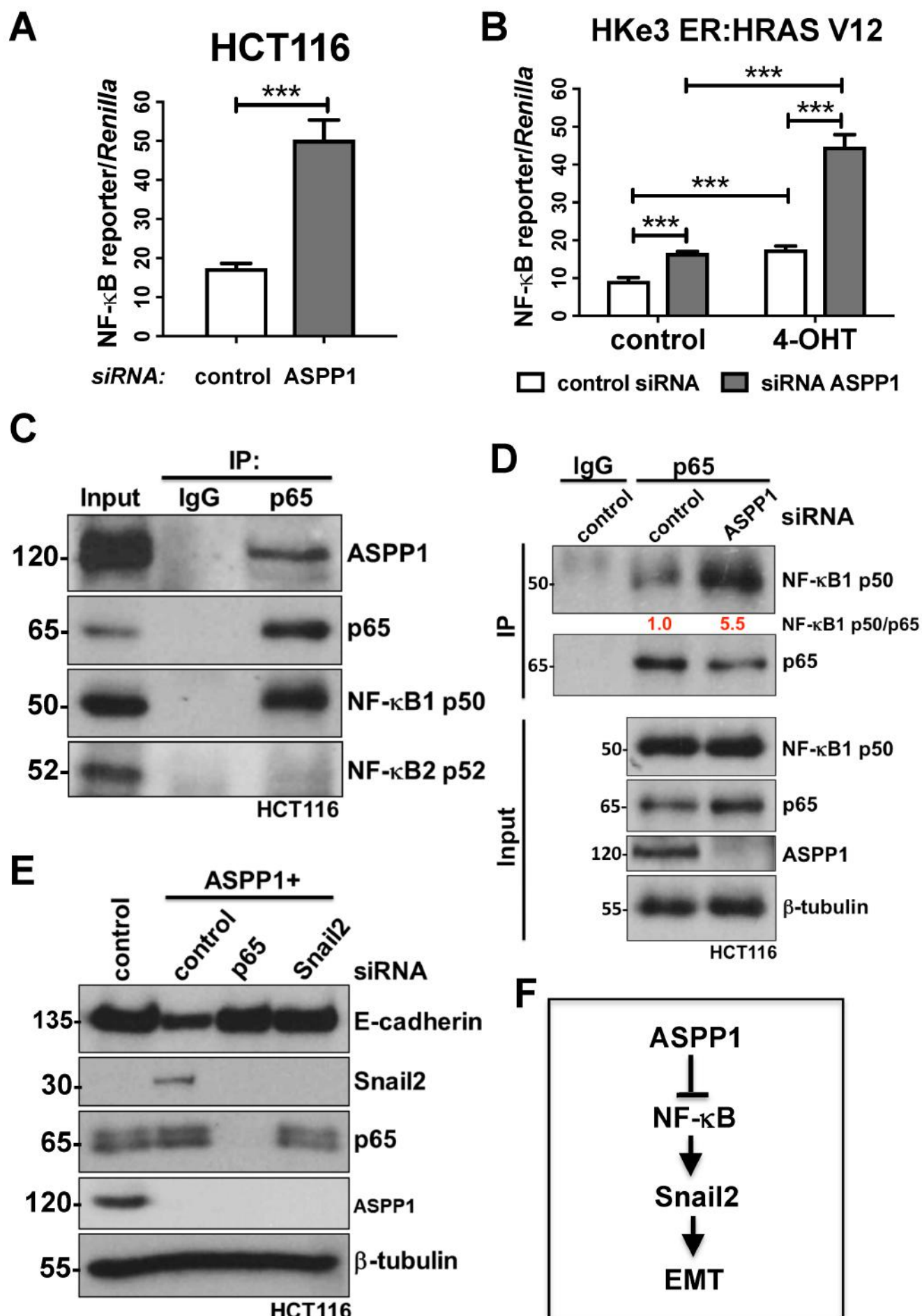


Figure 7



Supplementary Figure Legends

Supplementary Fig. 1 Expression of ASPP1 is decreased in colorectal cancer (CRC).

a Representative ASPP1 expression in paired human CRC and adjacent normal tissues.

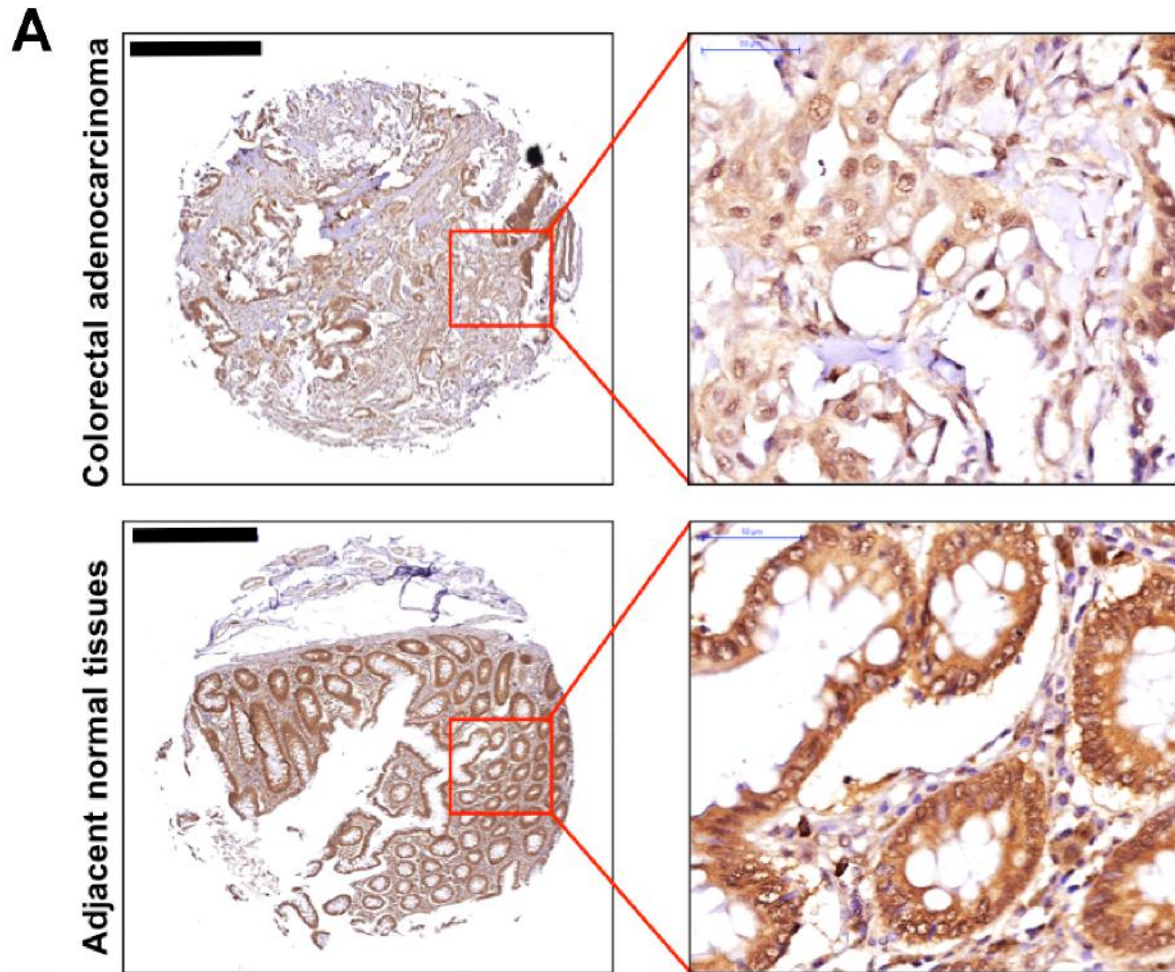
Scale bars: 500 μ m. Images in the upper panel are also shown in Fig. 1a. **b**

Comparison of ASPP1 expression in 86 paired CRC and adjacent normal tissues in nucleus ($P = 0.0007$) or cytoplasm ($P < 0.0001$), respectively.

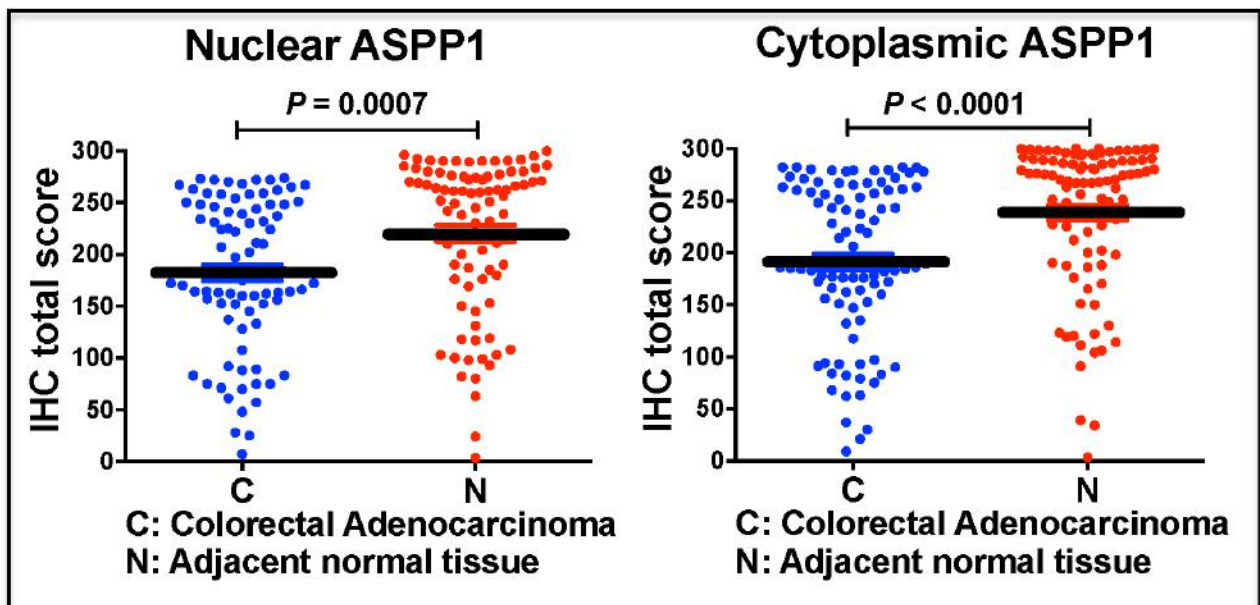
Supplementary Fig. 2 Downregulation of ASPP1 with shRNAs. Protein expression of ASPP1 in HCT116 cells with indicated treatment. β -actin was used as a loading control.

Supplementary Fig. 3 Downregulation of ASPP1 promotes cell invasion *in vitro*. **a** Representative 3D confocal images of MCF10A ER:HRAS V12 cells cultured in Matrigel with indicated treatment. MCF10A ER:HRAS V12 cells were transfected with control or ASPP1 siRNA for 5 days, followed by 100 nM 4-OHT treatment for 1 day. Spheres were stained for F-actin with Rhodamine-phalloidin (yellow) and DAPI (blue). Scale bars: 40 μ m. **b** Protein expression of ASPP1, ASPP2 and phospho-ERK (p-ERK) in MCF10A ER:HRAS V12 cells with indicated treatment. β -tubulin was used as a loading control.

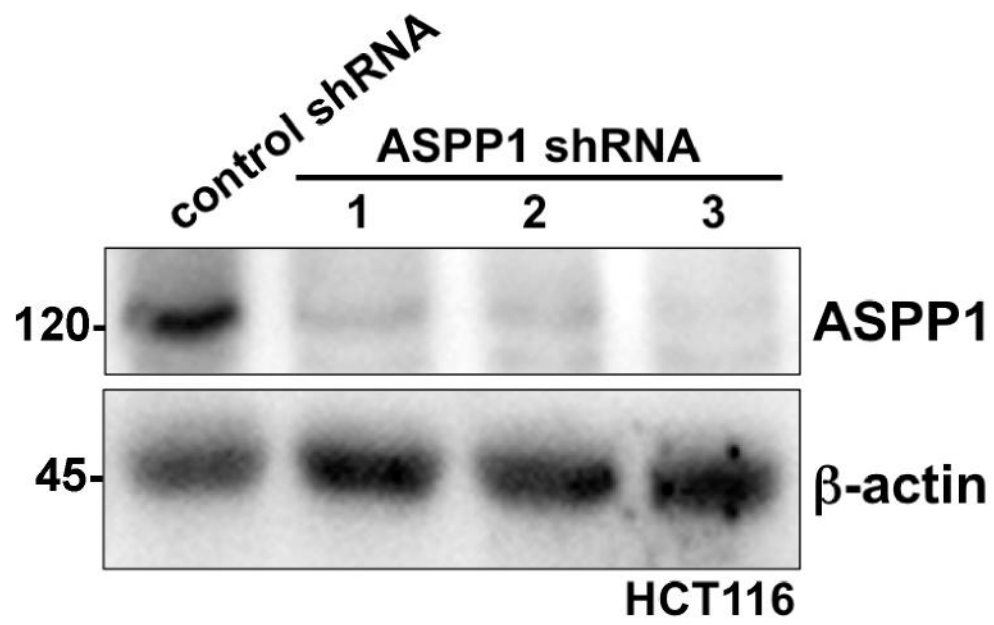
Supplementary Figure 1



B



Supplementary Figure 2



Supplementary Figure 3

