

Observations of Magnetic Reconnection in the Transition Region of Quasi-Parallel Shocks

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Key Points:

- Reconnecting current sheets have been observed at a quasi-parallel bow shock.
- The ion-scale current sheet exhibits only an electron jet and heating, with no ion response.
- Consistent with kinetic simulations, reconnection relaxes complexity in the shock transition region.

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23 **Abstract**

24 Using observations of Earth’s bow shock by the Magnetospheric Multiscale mission, we
 25 show for the first time that active magnetic reconnection is occurring at current sheets
 26 embedded within the quasi-parallel shock’s transition layer. We observe an electron jet
 27 and heating but no ion response, suggesting we have observed an electron-only mode.
 28 The lack of ion response is consistent with simulations showing reconnection onset on
 29 sub-ion timescales. We also discuss the impact of electron heating in shocks via recon-
 30 nection.

31 **1 Introduction**

32 Collisionless shocks are found in many astrophysical plasma environments, includ-
 33 ing planetary and stellar bow shocks, interplanetary shocks in the solar wind, and su-
 34 pernova remnants (Burgess & Scholer, 2015). In order to reduce flows from super- to sub-
 35 sonic speeds, collisionless shocks must dissipate energy by particle processes, i.e. they
 36 are by necessity kinetic plasma structures. Understanding these microphysical processes
 37 is critical for understanding particle heating and acceleration (Auer, Hurwitz, & Kilb,
 38 1962; Gosling & Robson, 1985; Morse, Destler, & Auer, 1972). The family of kinetic plasma
 39 processes responsible for energy dissipation is strongly dependent on shock parameters
 40 such as the Mach number, plasma beta, and the angle, θ_{Bn} , between upstream magnetic
 41 field and shock normal (Burgess & Scholer, 2015).

42 In examining the non-stationary structure of quasi-parallel shocks ($\theta_{Bn} < 45^\circ$),
 43 recent simulations have shown that processes within the shock foot can generate current
 44 sheets and magnetic islands (Gingell et al., 2017). The evolution of these regions is mod-
 45 ulated by cyclic self-reformation of the shock ramp over ion time scales. Reformation is
 46 a kinetic process driven by ions reflected from the shock ramp (Biskamp & Welter, 1972;
 47 Hada, Oonishi, Lembège, & Savoini, 2003; Scholer, Shinohara, & Matsukiyo, 2003), or
 48 by instabilities associated with whistler waves localised in the foot region (Scholer & Burgess,
 49 2007), or by instabilities of the backstreaming ions in the foreshock (Burgess, 1989, 1995;
 50 Krauss-Varban & Omidi, 1991). Within the shock transition region, distinct from the
 51 magnetosheath downstream, magnetic islands merge to form larger scale structures that
 52 are convected towards the magnetopause. An example snapshot of one such simulation,
 53 revealing embedded current sheets and magnetic islands (twisted fields or flux ropes),
 54 is visible in Figure 1. Within this model, self-reformation and other foot instabilities gen-

55 erate a region of disordered or turbulent magnetic fluctuations close to the shock ramp.
56 Decay of these disordered fluctuations may then occur via magnetic reconnection at cur-
57 rent sheets and magnetic islands. These structures and processes thus are closely asso-
58 ciated with magnetic reconnection.

59 In this letter, we demonstrate for the first time that active magnetic reconnection
60 is occurring in the transition region of Earth’s quasi-parallel bow shock. We show that
61 reconnecting current sheets are present within a disordered transition region close to the
62 shock ramp, which is consistent with the appearance of these structures in recent hybrid
63 and kinetic shock simulations (Bohdan, Niemiec, Kobzar, & Pohl, 2017; Gingell et al.,
64 2017; Matsumoto, Amano, Kato, & Hoshino, 2015). Magnetic reconnection, for which
65 localised changes in magnetic topology result in rapid transfer of energy from fields to
66 particles, has been observed in detail by Magnetospheric Multiscale (MMS) at Earth’s
67 magnetopause (Burch et al., 2016) and more recently in the turbulent magnetosheath
68 (Phan et al., 2018). In contrast to magnetosheath observations reported by Phan et al.
69 (2018) and global hybrid simulations by Karimabadi et al. (2014), structures discussed
70 here appear within seconds of crossing the bow shock, suggesting a close association with
71 shock processes and a rapid evolution. In the standard model, reconnection occurs within
72 an electron-scale diffusion region (Burch et al., 2016; Vasyliunas, 1975), while at ion scales
73 coupled ions are ejected from the diffusion region as bi-directional jets (Gosling, Skoug,
74 McComas, & Smith, 2005; Paschmann et al., 1979; Phan et al., 2000). Reconnection ex-
75 hausts then extend to much larger scales. In turbulent plasmas, magnetic reconnection
76 is thought to play an important role in dissipation of energy at kinetic scales (Matthaeus
77 & Lamkin, 1986; Retinò et al., 2007; Servidio, Matthaeus, Shay, Cassak, & Dmitruk, 2009;
78 Sundkvist, Retinò, Vaivads, & Bale, 2007). Given the observations of electron heating
79 detailed in this letter, we raise the question of how reconnection can contribute to shock
80 energetics.

81 **2 Case Study of a Quasi-parallel Shock**

82 Here we discuss a crossing of Earth’s bow shock by the four MMS spacecraft on
83 26 January 2017, 08:13:04 UTC. The mean spacecraft separation was 7km. Electromag-
84 netic field data are provided by the flux gate magnetometer (FGM) (Russell et al., 2016)
85 and electric field double probe (EDP), both within the FIELDS suite (Torbert et al., 2016).
86 Particle data have been provided by the Fast Plasma Investigation (FPI) (Pollock et al.,

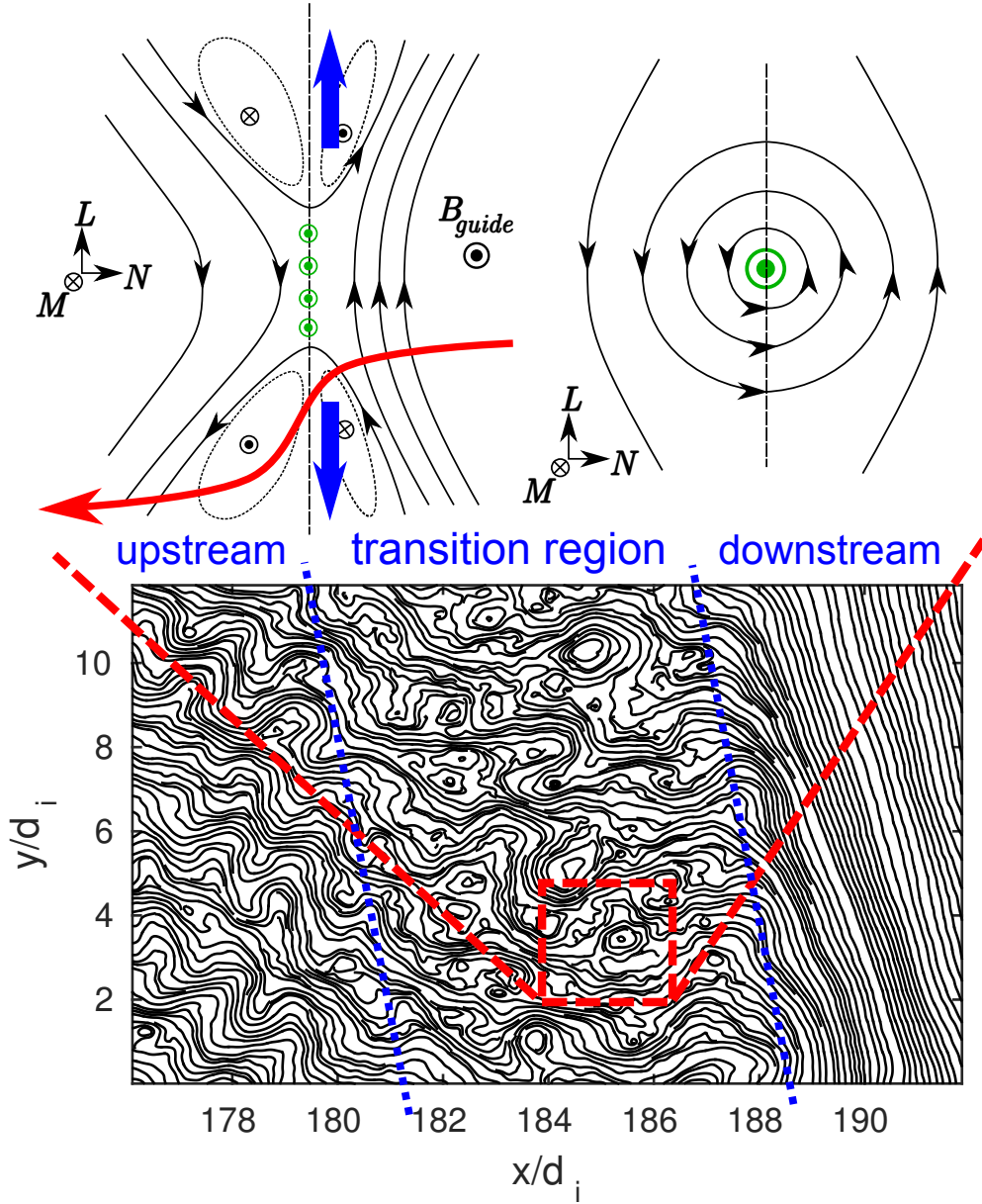


Figure 1. Top: Schematics of the magnetic structure (black), out-flowing jet directions (blue) and current densities (green) for an asymmetric, reconnecting current sheet (left) and rope-like twisted field structures (right). A red arrow depicts the trajectory of MMS1 through the structure observed in Figure 3. Bottom: Snapshot of the magnetic field line structure of a hybrid simulation of a reforming quasi-parallel shock (Gingell et al., 2017), demonstrating the appearance of current sheets and twisted field structures within the transition region.

87 2016). The sampling frequency is 128Hz for the FGM magnetic fields, and 8kHz for the
 88 EDP electric fields. The full three-dimensional ion phase space is sampled by FPI ev-
 89 ery 0.15s, and the electron phase space is sampled every 0.03s.

90 For the chosen event, the angle between the upstream magnetic field and shock nor-
 91 mal is given by $\theta_{Bn} = 21^\circ$, the Alfvénic Mach number of the upstream flow is $M_A =$
 92 3 , the fast magnetosonic Mach number is $M_{\text{fast}} \approx 2$, and the upstream plasma beta is
 93 $\beta = 1.4$. The Mach numbers and β are determined from the mean fields and particle
 94 moments given by MMS for the upstream burst period 08:16:30 to 08:17:04 UTC, and
 95 downstream burst period 08:13:04 to 08:14:04. The shock normal \hat{n} and hence the an-
 96 gle θ_{Bn} is determined by mixed method, using the magnetic field and electron bulk ve-
 97 locities upstream and downstream of the shock (Abraham-Shrauner, 1972; Schwartz, 1998).
 98 Given the disordered and nonstationary nature of the shock transition layer for quasi-
 99 parallel shocks, multiple spacecraft timing analysis is not reliable for determining the large-
 100 scale orientation of the shock.

101 An overview of the event is shown for MMS1 in Figure 2. The magnetic field data
 102 in panel (a) demonstrates the presence of a transition region (highlighted in grey) be-
 103 tween the relatively quiescent magnetosheath (before 08:14:04) and solar wind (after 08:16:04).
 104 Within this region the magnetic field is disordered, exhibiting multiple directional dis-
 105 continuities. Using all four MMS spacecraft, we can use the curlometer method (Robert,
 106 Dunlop, Roux, & Chanteur, 1998) to determine the barycentric current density, shown
 107 in panel (b). The high amplitude, narrow peaks within the current density (i.e. $\nabla \times B / \mu_0$)
 108 reveal several narrow current sheet-like structures with peak current densities on the or-
 109 der of $1 \mu A m^{-2}$. This transition region is associated with significant fluctuations of the
 110 electron velocity, and enhancements in the electron number density and temperatures.
 111 Although we also observe fluctuations in the ion temperatures, there is no enhancement
 112 across the full transition region. We note that the change in field and plasma proper-
 113 ties from the magnetosheath to the transition region at 08:14:04 may be in part asso-
 114 ciated with changes in the upstream plasma conditions rather than stationary shock struc-
 115 ture.

116 In the solar wind, periodic reductions in the wind speed, visible at 08:16:20 and 08:16:40
 117 in the ion differential energy flux and the bulk velocity V_{eX} (panels (g) and (c)), sug-
 118 gest that, as with the simulation in Figure 1, this shock may be undergoing cyclic self-

119 reformation (Burgess, 1989) on a 20s timescale. Thus, this event is appropriate for eval-
 120 uating the predictions of recent hybrid simulations of reforming, quasi-parallel shocks
 121 with respect to reconnection (see Gingell et al. (2017) and Figure 1).

122 3 Current Sheets

123 For discussion of individual coherent structures, we introduce a new coordinate sys-
 124 tem derived by using a hybrid minimum variance analysis (Gosling & Phan, 2013; Phan
 125 et al., 2018). The current sheet normal N is determined using $\mathbf{B}_1 \times \mathbf{B}_2 / |\mathbf{B}_1 \times \mathbf{B}_2|$, where
 126 $\mathbf{B}_{1,2}$ are the fields at the two edges of the current sheet. The M direction, correspond-
 127 ing to the current carrying direction, is given by $\mathbf{M} = \mathbf{L}' \times \mathbf{N}$, where \mathbf{L}' is the direc-
 128 tion of the maximum variance of the magnetic field. Finally, $\mathbf{L} = \mathbf{N} \times \mathbf{M}$.

129 Although many magnetic directional discontinuities are visible within the transi-
 130 tion region shaded in Figure 2, we must observe electron or ion jets in order to conclude
 131 that these current sheets are actively reconnecting. These jets, corresponding to outflow
 132 of plasma from an active reconnection site, are expected in the L -direction. Structures
 133 in bulk velocity may be unipolar if the spacecraft crosses only one jet, or bipolar if the
 134 spacecraft crosses both jets. A schematic of the magnetic field, current and jet directions
 135 is shown in the top left of Figure 1.

136 An example of a well-resolved current sheet with an electron jet is shown in Fig-
 137 ure 3. Panel (a) shows the magnetic field components, demonstrating a change in sign
 138 of B_L (red) over approximately 1s, a guide field with bipolar Hall fields in B_M (green),
 139 and a reduction in field magnitude (black). The field magnitude is not symmetric across
 140 the current sheet; it transitions from 40nT to 20nT over 3s, with an intermediate plateau
 141 for 1.5s where $B_L \approx 0$. This is consistent with an asymmetric current sheet embedded
 142 within an inhomogeneous transition layer. However, we note that significant asymme-
 143 try is only visible within the magnetic fields. The electron and ion densities are symmet-
 144 ric, with $n_{e,i} \approx 70cm^{-3}$ throughout the interval. Under Taylor's hypothesis, using the
 145 normal component of the bulk velocity, this corresponds to a current sheet width of 3
 146 ion inertial lengths.

147 Panel (b), showing bulk velocities, and panels (c)-(d) showing current densities, re-
 148 veal that the current in V_M (green) is carried by the electrons. The ion bulk velocities
 149 (dashed lines) do not vary across the current sheet. The reconnection jet is visible in V_{eL}

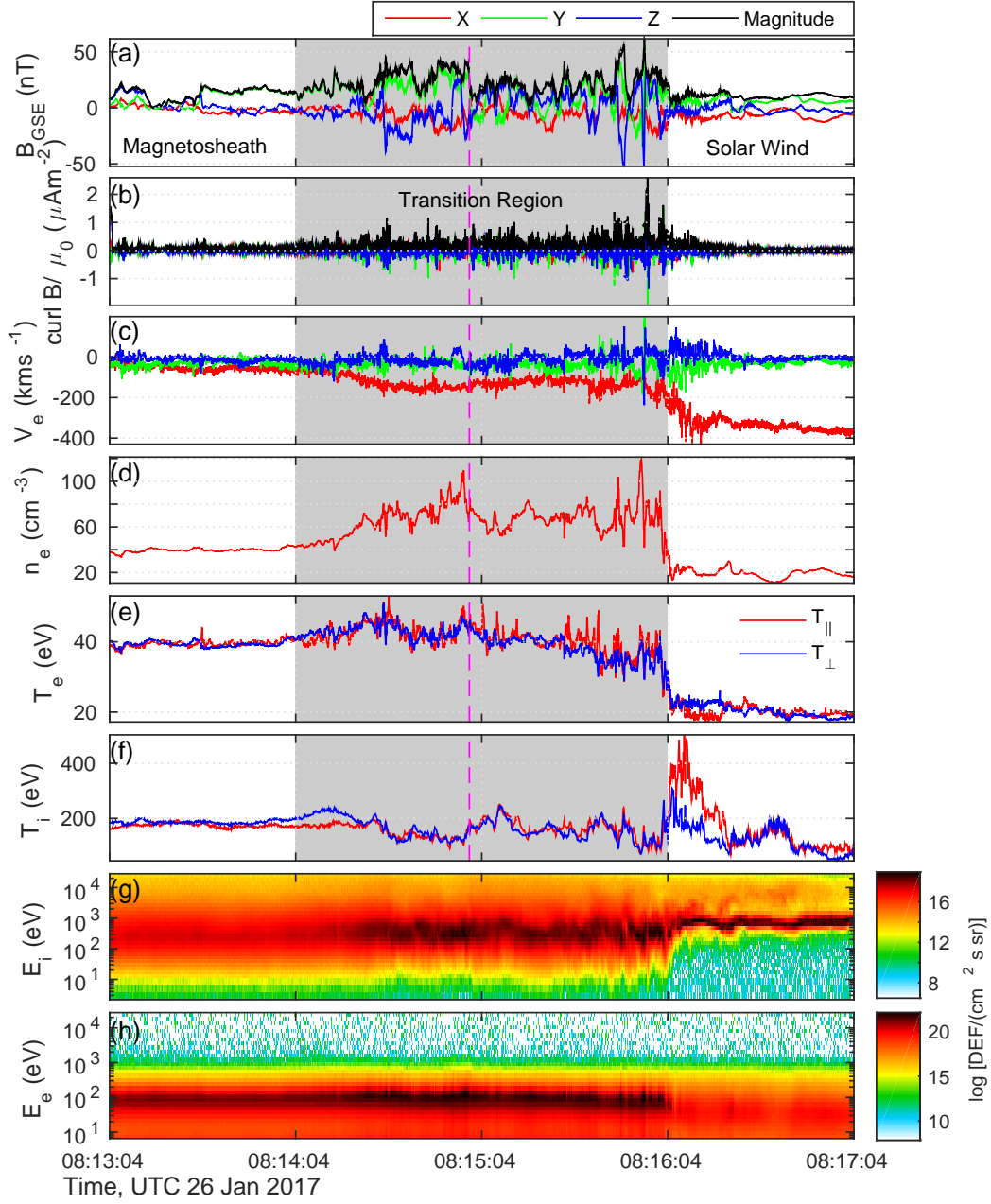


Figure 2. Overview of the bow shock crossing observed by MMS1 on 26th January 2017, 08:13:04 UTC, in Geocentric Solar Equatorial (GSE) coordinates. From top to bottom: magnetic field, curl of the magnetic field, electron bulk velocity, electron number density, electron temperature, ion temperature, spectrograms of the differential energy flux for ions and electrons. A disordered transition region is evident for the period 08:14:04 to 08:16:04 UTC, shown in grey. The dashed magenta lines show the time of the event in Figure 3.

150 (red) as a deviation from the background velocity in the $-L$ direction, centered on the
 151 dashed vertical line. For a current sheet, a peak in the bulk velocity in the maximum
 152 variance L direction is indicative of reconnection. Both the current and jet are associ-
 153 ated with the high-field side of the separatrix, as expected for asymmetric reconnection
 154 (Eastwood et al., 2013). It is important to note that no jet is visible in the ion bulk ve-
 155 locity. The peak electron velocity at the centre of the jet is approximately $1.2V_A$ for the
 156 mean Alfvén speed across the transition region, or $3.2V_{AL,\text{inflow}}$, where
 157 $V_{AL,\text{inflow}} = [B_{L,1}B_{L,2}(B_{L,1} + B_{L,2})/\mu_0(\rho_1B_{L,1} + \rho_2B_{L,2})]^{0.5}$. Subscripts 1 and 2 de-
 158 note the regions either side of the current carrying region (shown in Figure 3 with or-
 159 ange dashed lines) and ρ is the ion mass density. Given the directions of the magnetic
 160 field, current and electron jet, we can infer the trajectory of spacecraft through an ide-
 161 alised reconnection site. This trajectory is shown with a red arrow in the top left of Fig-
 162 ure 1. We note that all four MMS spacecraft observe similar features, suggesting all four
 163 cross the current sheet on the same side of the diffusion region.

164 The appearance of a reconnecting electron jet is further supported by the corre-
 165 lation between Ve_L and B_L . A scatter plot is shown inset in Figure 3. The jet is Alfvénic,
 166 lying principally along the Walén slopes $B_L \propto \pm Ve_L(\mu_0\rho)^{1/2}$ (dashed lines), positively
 167 correlated approaching the electron jet (red points), and anti-correlated on passing the
 168 electron jet (blue points).

169 The electron jet is coincident with peaks in the perpendicular and parallel electron
 170 temperatures, corresponding to a 3eV increase. The mean electron temperature increase
 171 across the current-carrying region (shown with orange dashed lines in Figure 3) is 0.5eV.
 172 However, as with the bulk velocities, ion temperatures do not show similar peaks. This
 173 further suggests that ions are not coupled to reconnection processes for this current sheet,
 174 despite the fact that the current sheet width is on the order of the ion inertial length.
 175 Another measure of heating, $\mathbf{J}\cdot\mathbf{E}'$, where $\mathbf{E}' = \mathbf{E} + \mathbf{v}_e \times \mathbf{B}$, is shown in panel (g). This
 176 corresponds to the exchange of energy between particles and fields in the particle rest
 177 frame. Such a feature may be visible for 0.5s before the peak velocity of the electron jet.
 178 However, given the fluctuations of similar magnitude in the preceding second of the in-
 179 terval, it is unclear whether this feature is linked to ongoing heating driven by reconec-
 180 tion.

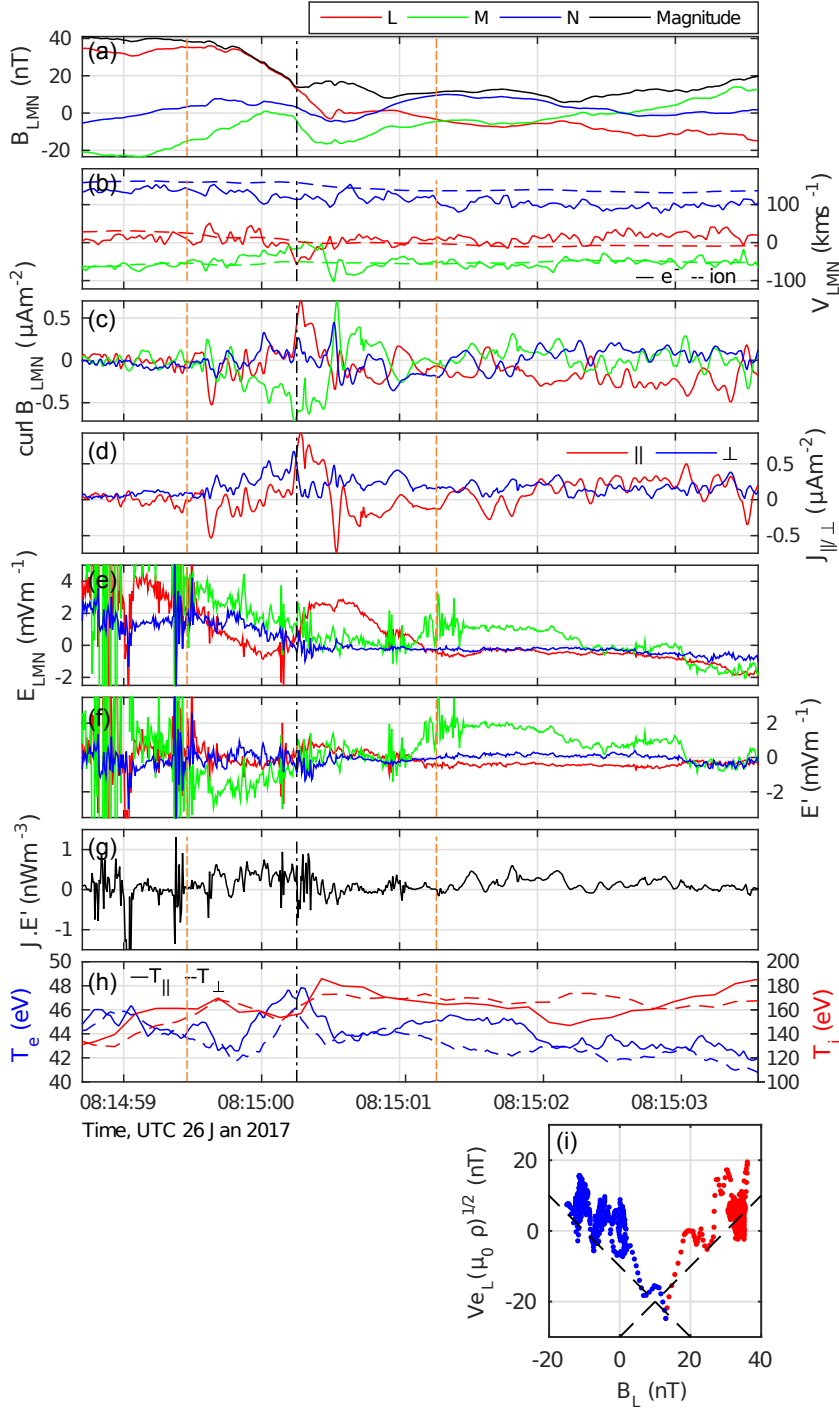


Figure 3. Observation of a reconnecting current sheet within the transition region, presented in a minimum variance coordinate system, and in the spacecraft frame. From top to bottom: magnetic field, electron (solid) and ion (dashed) bulk velocity, curl of the magnetic field, current density parallel and perpendicular to the magnetic field, electric fields, $\mathbf{E}' = \mathbf{E} + \mathbf{V}_e \times \mathbf{B}$, heating measure $\mathbf{J} \cdot \mathbf{E}'$, and electron and ion temperatures, and scatter showing correlation of the L -component of the electron bulk velocity and magnetic field. The dashed black vertical line is centered on the peak of the electron jet observed in V_{eL} , and the orange dashed vertical lines surround the current-carrying region. Data in the scatter are coloured according to whether they are recorded before (red) or after (blue) crossing the electron jet.

181 The preceding analysis demonstrates that reconnection occurs within the transi-
 182 tion region of a quasi-parallel shock. Similarly to recent observations of magnetosheath
 183 reconnection (Phan et al., 2018), the outflow jet and particle heating appear limited to
 184 electrons. However, in this example, the current sheet width is larger; on the order of
 185 the ion inertial length. Given the lack of ion response, this suggests that this feature is
 186 relatively young, on the order of the ion gyro-period or less, and may have just formed.
 187 This is supported by recent hybrid simulations, which suggest that reformation-driven
 188 generation of current sheets occurs on timescales faster than the ion gyro-period (Gin-
 189 gell et al., 2017). It may be that an ion jet exists further from the reconnection site than
 190 the spacecraft trajectories pass. Although we do not observe clear ion jets for any other
 191 potential reconnection event associated with this shock, it may be that ion jets embed-
 192 ded within the turbulent structure of the transition region exhibit unexpected orienta-
 193 tions.

194 4 Conclusion

195 Using observations of Earth’s bow shock by MMS, we have demonstrated that re-
 196 connecting current sheets are present in the transition region of quasi-parallel shocks.
 197 Several reconnection jets have been observed within the shock shown in Figure 2, the
 198 clearest of which is shown in Figure 3. A further example of a current sheet, discovered
 199 within the transition region of another bow shock crossing observed by MMS on 31st De-
 200 cember 2016, 06:06:24 UTC, is shown in the supporting information (Phan et al., 2014).
 201 The observation of current sheets is consistent with the magnetic structure of the tran-
 202 sition region reported in hybrid simulations by Gingell et al. (2017). Magnetic reconec-
 203 tion may therefore play an important role in the energetics of collisionless shocks. How-
 204 ever, given the hybrid nature of these simulations, they cannot accurately capture the
 205 observed electron-dominated plasma response.

206 Observations of the magnetopause suggest that 1.7% of the available inflow mag-
 207 netic energy is transferred to the electrons during reconnection (Phan et al., 2013), i.e.
 208 $\Delta T_e = 0.017 m_i V_{AL, \text{inflow}}$ where $V_{AL, \text{inflow}} = [B_{L,1} B_{L,2} (B_{L,1} + B_{L,2}) / \mu_0 (\rho_1 B_{L,1} + \rho_2 B_{L,2})]^{0.5}$.
 209 Subscripts 1 and 2 denote the regions either side of the current carrying region and ρ
 210 is the ion mass density. For the asymmetric current sheet detailed in Figure 3, we take
 211 the fields and densities at the orange dashed lines such that $B_{L,[1,2]} = [35, 4.0] nT$ and
 212 $n_{1,2} = 70 cm^{-3}$. In this case, $V_{AL, \text{inflow}} = 30 km s^{-1}$ and hence $\Delta T_e = 0.2 eV$. This is

213 consistent with a mean electron temperature increase of 0.5eV across the current sheet.
 214 However, we note that reconnection at the shock appears to partition energy differently
 215 to magnetopause reconnection, favouring the electrons. The total heating across the tran-
 216 sition region can be seen in panels (e) and (f) of Figure 2. We find that the electron tem-
 217 perature rises from 20eV to 33eV in the ramp, and continues to rise another 7eV within
 218 the transition region. Thus, 35% of the total shock electron heating occurs in the tran-
 219 sition region. We note that no similar trend is visible in the ion temperatures, suggest-
 220 ing again that dissipative processes in this region affect only electrons. We can estimate
 221 the ability of reconnection to provide the observed 7eV heating by considering the mag-
 222 netic energy of the fluctuations per electron, $E_f = \langle (\delta B)^2 \rangle / (2\mu_0 n_e)$, where $\delta B = |\mathbf{B} - \langle \mathbf{B} \rangle|$
 223 and $\langle \mathbf{B} \rangle$ is the mean field across the transition region highlighted in Figure 2. For the
 224 transition region shown in Figure 2, $E_f = 20eV$ per electron, while in the magnetosheath
 225 $E_f = 10eV$. A 10eV dissipation is consistent with the observed 7eV electron temper-
 226 ature increase across the transition region. However, further work is required to estab-
 227 lish the balance between reconnection and other dissipative processes in accounting for
 228 this temperature change.

229 Mechanisms for electron heating are strongly dependent on shock parameters such
 230 as the Mach number, θ_{Bn} , and plasma betas (Ghavamian, Schwartz, Mitchell, Masters,
 231 & Laming, 2013). At supernova remnants, heating can be driven by waves excited by
 232 shock reflected ions or streaming cosmic rays, via the lower hybrid drift instability (Ghavamian,
 233 Laming, & Rakowski, 2007) or the Buneman instability (for $M_A > 50$) (Cargill & Pa-
 234 papodopoulos, 1988). Within the solar wind, heating may be driven by a modified two-stream
 235 instability or electron cyclotron drift instability (Matsukiyo, 2010; Umeda, Kidani, Mat-
 236 sukiyo, & Yamazaki, 2012), or simply by the cross shock potential (Lefebvre, Schwartz,
 237 Fazakerley, & Décr au, 2007). However, these mechanisms are most efficient for quasi-
 238 perpendicular shocks. Thus, the observation of reconnection-driven heating at a quasi-
 239 parallel shock represents an important development in the characterisation of energy par-
 240 tition at shocks in both astrophysical and space plasmas.

241 The reconnection event featured in this paper represents a regime in which the cur-
 242 rent and reconnection outflows are associated only with electrons, similar to the mag-
 243 netosheath event reported by (Phan et al., 2018). However, in this case the scale lengths
 244 are on the order of the ion inertial scale. No similar structures are observed at electron
 245 scales. Thus, the observed current sheets may represent the end-stage of a turbulent cas-

246 cade which dissipates energy at ion scales. The observed lack of ion response may alter-
247 natively indicate a rapid onset time. Given the proximity of some current sheets to the
248 shock ramp, and the timescale for cyclic reformation for similar shocks (Gingell et al.,
249 2017), the observed reconnection site may be younger than an ion gyro-period. In sim-
250 ulations (Gingell et al., 2017), rapid onset reconnection is driven at ion scales by insta-
251 bilities in the foreshock and foot, generating coherent magnetic islands in the transition
252 region. These instabilities, modulated by cyclic reformation, may generate a range of scales
253 simultaneously, rather than by ongoing cascade as expected in magnetosheath turbulence.
254 These structures then coalesce via secondary reconnection as they convect downstream,
255 relaxing the magnetic field.

256 These observations support the need for more detailed simulations of reconnection
257 at shocks, and observational surveys across all parameter regimes. This will allow us to
258 asses the broader impact of reconnection on heating and particle acceleration at colli-
259 sionless shocks, explore the evolution of these structures as they convect downstream,
260 and determine how reconnection properties at coherent, rapidly-driven thin boundaries
261 differ from models of reconnection operating elsewhere in the magnetosphere and helio-
262 sphere.

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