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	C. D. O'Loughlin, Z. Zhou, S. A. Stanier and D. J. White March 2019
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A new method to assess the combined effects of cyclic loading and consolidation C. D. O'LOUGHLIN¹, Z. ZHOU², S. A. STANIER³ AND D. J. WHITE⁴

Load-controlled cyclic T-bar tests:

Full-flow T-bar and ball penetrometer tests are often used to measure intact and remoulded soil strengths, with the latter determined after several large amplitude displacement cycles. In offshore design the remoulded soil strength is often the governing design parameter during installation of subsea infrastructure, whilst a 'cyclic strength' applies for the less severe operational cyclic loading. This paper utilises T-bar penetrometer tests to measure both remoulded and cyclic strengths, where the latter is determined via a new test protocol involving cycles between load rather than displacement limits. The tests use kaolin clay and a reconstituted carbonate silt and involve three cyclic phases with intervening consolidation periods. The results demonstrate the important and beneficial role of consolidation, with the loss in strength due to remoulding sometimes surpassed by the strength recovery from consolidation. The most significant gains in strength, to 2.5 times the initial value, were measured in the load-controlled cyclic tests. These data demonstrate a novel way to characterise undrained cyclic strength, taking advantage of consolidation to reduce conservatism.

Keywords: penetrometer, soil strength, cyclic loading, soil strength, consolidation and remoulding.

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INTRODUCTION

Offshore foundations are subject to cyclic loading from the ocean environment and from operational loads, such as expansion and contraction of pipelines. In conventional design, cyclic loading of fine-grained soils is treated as 'damage', so the cyclic undrained shear strength is less than the monotonic value at the same strain rate. The severity of the 'damage' is governed by the magnitude and number of cycles, and whether the loading is one-way or two-way. Procedures to estimate design cyclic strengths are based on contour diagrams of shear strain or excess pore pressure (e.g. Andersen et al. 1988, Andersen 2015). Although this methodology is well-established and robust, it neglects the potential for regains in soil strength associated with dissipation of the excess pore pressure induced by the cyclic loads.

Ignoring the regain in strength due to dissipation of excess pore pressures can result in a conservatively low estimate of soil strength, if in practice some dissipation will occur prior to the governing load being applied. This recovery is illustrated by the model scale T-bar penetrometer test in soft kaolin clay shown in Figure 1 (Hodder et al. 2013), which involved episodes of undrained cycling interspersed with consolidation periods. Although the strength degrades within each episode, the regain from consolidation is significant. This example represents onerous cyclic loading, such as that caused by an oscillating catenary riser pipe where it touches down on the seabed. Another example in which consolidation-induced strength gain is increasingly recognised, and considered in design, is the soil strength and axial friction beneath on-bottom pipelines. Experimental and numerical modelling shows that cyclic loading as a pipe is laid on the seabed causes a loss of strength due to remoulding, but the consolidation process leads to higher friction in the long term (White et al. 2017).

Previous evidence of this behaviour has been limited to clays of low sensitivities. Natural offshore clays are typically more sensitive, which raises the question of whether the potential for strength regain is as significant in these soils. Other offshore cyclic loading scenarios are also less severe, such as one-way cyclic loading of an anchor. In this case the cyclic loads do not exceed the monotonic capacity, in contrast to the soil flow during large amplitude cycles of a T-bar, which strains the soil beyond failure. The regain in soil strength from this lower-amplitude cycling has received less attention, despite its higher relevance for most offshore design problems.

This paper addresses these knowledge gaps through an experimental study of changes inundrained shear strength from mild and severe cyclic loading and consolidation, applied via a

T-bar penetrometer.

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PENETROMETER TESTS 96

97 The tests used normally consolidated kaolin clay and carbonate silt with properties given in 98 Table 1. Both soils were consolidated from a slurry. To vary the sensitivity of the kaolin clay, 99 two further slurry batches were prepared with the addition of a dispersant (Sodium Hexametaphosphate) and a flocculant (Sodium Polyacrylate). These additives raise the initial voids ratio of the kaolin clay during consolidation by encouraging groups of particles to 102 coalesce together into effectively larger particles (Bergaya et al. 2006). As shown later, the 103 concentrations were varied in the two 'modified' batches, which had the effect of raising the 104 soil sensitivity from $S_t = 2.5$ (unmodified kaolin clay) to $S_t = 4.5$ and $S_t = 6.5$ (see Table 1). The experimental programme involved both single gravity and centrifuge tests. The single gravity samples were consolidated in tubes with a specific surcharge plate to accommodate the penetrometer (Suzuki 2015, Colreavy et al. 2019) whereas the centrifuge experiments were 108 conducted at an acceleration of 150g in rectangular sample containers (Figure 2).

All samples were normally consolidated, which was achieved by self-weight consolidation for the centrifuge samples (at 150g) and by increasing the oedometric consolidation pressure to a vertical stress of $\sigma'_{v0} = 48$ kPa for the single gravity tests.

112 The T-bar penetrometer uses a cylindrical bar, 5 mm in diameter and 20 mm in length, 36 113 connected perpendicularly to a 5 mm diameter shaft. Strain gauges are located on a thin-walled section near the base of the shaft to measure penetration and extraction resistance. Each 38 114 penetrometer test involved penetration to a target depth followed by cyclic sequences, 40 115 undertaken in either displacement or load control, interspersed with consolidation periods 116 117 during which the T-bar was held at a fixed displacement (see Table 2 and Figure 3 for details). 118 The displacement controlled cycles involved moving the T-bar vertically by ± 4 or 4.5 47 119 diameters for N = 20 cycles, whereas the load controlled cycles were undertaken between load 49 120 limits that mobilised either 0% and 75% or 25% and 75% of the intact penetration resistance 51 121 (and therefore the initial undrained shear strength, $s_{u,i}$) for either N = 20 or 1080 cycles.

122 Consolidation periods, $t_c = 1$ and 2.5 hours for the silt and kaolin respectively, were included in the centrifuge cyclic loading sequences. A longer consolidation period, $t_c = 24$ hours was used in the single gravity tests. A penetration velocity, $v_p = 3$ mm/s and a loading frequency of 1 or 5 Hz were adopted for the displacement and load-controlled cycles respectively. A

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126 penetration velocity, $v_p = 3$ mm/s was selected to ensure that the response was primarily undrained, 127 noting that the dimensionless group, $v_pD/c_h = 53$ (where *D* is the T-bar diameter), in excess of the v_pD/c_h 128 > 10 criteria for undrained behaviour (e.g. Lehane et al. 2009, Colreavy et al. 2016). A loading frequency 129 of 1 or 5 Hz was adopted for the load-controlled cycles to strike a balance between achieving the targeted 130 undrained response and ensuring accurate load limit control. Undrained shear strength was 131 calculated as $s_u = q/N_{T-bar}$, where *q* is the measured penetration resistance (i.e. the gross pressure 132 on the T-bar projected area) and N_{T-bar} is the T-bar bearing factor, taken as 10.5 (Martin and 133 Randolph, 2006).

4 EFFECT OF SOIL SENSITIVITY ON CONSOLIDATION-INDUCED STRENGTH 5 REGAIN

Figure 4a shows profiles of undrained shear strength, s_u , with depth, z, for the single gravity Type II tests in kaolin clay with different sensitivities (Tests 1-3). Adding flocculants to the kaolin clay increased the intact strength, while the remoulded strength remaining approximately constant. Sahdi et al. (2010) saw a similar response for kaolin clay with coloured dye. Sensitivity, S_t , defined as the ratio of intact to fully remoulded strength in the first cyclic episode, was $S_t = 2.5$ for pure kaolin but up to 6.5 for samples with additives. The corresponding undrained shear strength ratios, $(s_{u,i}/\sigma'_{v0})_{NC}$ are 0.15 and 0.4.

After the $t_c = 24$ hour consolidation period between each episode, s_u increases due to dissipation of the excess pore pressure from the preceding cycles. During the first consolidation period strength increases by a factor of 2.6 - 4.6, and during the second period by a factor of 1.9 - 2.5 (Figure 4b). The greater gains are for the soils with higher sensitivity, indicating that the potential for consolidation-induced strength gain is actually higher in soils with increased sensitivity.

The changes in strength, expressed relative to the initial strength, $s_{u,i}$, for pure kaolin and the carbonate silt show similar trends (Figure 5). The carbonate silt has a higher sensitivity, so the cyclic sequences soften more. However, the proportional gain in strength during each consolidation period is also higher for the carbonate silt.

These responses can be illustrated conceptually via the stress path of a soil element during and after cyclic remoulding for soils of low and high sensitivity (Figure 6). The elements for a low and a high sensitivity soil are assumed to start at the same state on a normal compression line (NCL) (Point O in Figure 6). Initial penetration of the T-bar induces excess pore pressures that reduce the vertical effective stress from point O to point A. Cycling the T-bar generates

additional excess pore pressure until the stress reaches the fully remoulded strength line (RSL)
at point B (White and Hodder 2010; Hodder et al. 2013; Zhou et al. 2019).

The distance between the NCL and the RSL is controlled by the sensitivity, such that the fully 160 161 remoulded state for a low sensitivity soil is represented by point B₁, which is at a higher vertical 162 effective stress than for a high sensitivity soil, which is represented by point B_2 . The reconsolidation phase follows a stress path shown by the κ line. After consolidation the reduction in specific volume, v, and hence the increase in s_u , is higher for the higher sensitivity soil (point C_2) than for the low sensitivity soil (point C_1). This analysis matches the test results, and is intended to indicate only the relative positions of the NCL and RSL. In practice, both 166 167 may move due to the level of structure in the soil, as evident from the higher intact strengths in 168 the higher sensitivity kaolin in Figure 4. However, the relative changes in strength are controlled by the relative spacing of the (normal and remoulded compression) lines, not their absolute position.

171 EFFECT OF ONE-WAY CYCLIC LOADING ON SOIL STRENGTH

The Type III tests involved penetration to 43 mm depth followed by 20 load-controlled cycles between $0.25q_i$ and $0.75q_i$, where q_i was the initial resistance at that depth. After the cycles, penetration either resumed immediately (Test 6a, Figure 7a) or after consolidation for $t_c = 2.5$ hours (Test 6b, Figure 7b). A reference test without cycling is also shown on Figure 7. The cycles alone have negligible effect on s_u (Figure 7a) but after consolidation there is a localised increase in s_u to ~2.2 $s_{u,i}$.

The changes in s_u from all Type III tests (Tests 6a-e, Tests 9a-e) with small-amplitude loadcontrolled cycles are summarised in Figure 8, alongside the large-amplitude cyclic tests (Type IV), with a sub-figure for each soil type. The following observations are made:

- The displacement-controlled cycles fully remould the soil causing a significant reduction in strength. In contrast, the load-controlled cycles cause minimal reduction in soil strength (<5%), even though each cycle mobilises $0.75s_{u,i}$.
- The increase in s_u after the consolidation period following each load-controlled cyclic episode is significant for both soils. Strengths of $2.1s_{u,i}$ and $2.5s_{u,i}$ are reached after the first and second consolidation periods in kaolin, compared to $2.0s_{u,i}$ and $2.3s_{u,i}$ in the carbonate silt. These post-consolidation strengths are typically 2-3 times greater than observed after the displacement-controlled cycles.

- Consolidation immediately following the initial penetration (i.e. without load cycles, 189 190 Test Type I) also led to a significant increase in s_u , to ~1.9 $s_{u,i}$ for kaolin (Test 4) and $\sim 1.7 s_{u,i}$ for carbonate silt (Test 7). However, a greater strength is measured when the 191 consolidation period is preceded by a cyclic episode, which generates additional excess 192 8 193 pore pressure.
- 194 Tests 10 and 11 provide further evidence of the gain in strength from combined cyclic loading and consolidation. These Type IV tests (Figure 3d) involved N = 1,080 cycles 195 14 196 in a single episode (reflecting the number of cycles that might occur in a typical three-16 197 hour storm). There was no subsequent consolidation period but consolidation would 18 198 have occurred concurrent with the cycles. This process caused s_u to increase by 2.35 times in Test 10 (which cycled from $0.25q_i - 0.75q_i$), and by 2.9 times in Test 11 (which 199 200 cycled from 0 - $0.75q_i$). These increases are slightly higher than the strength gain from 201 three N = 20 cyclic episodes with intervening $t_c = 1$ hour consolidation periods.

26 202 Figure 8 highlights the range of changes in soil strength that can result from cyclic loading; the 203 variation from fully remoulded conditions to the consolidation-induced hardening after oneway cyclic loading is a factor of 6 for kaolin clay and 11.5 for the carbonate silt. 204

32 205 These varying changes in strength can also be explained using conceptual stress-paths for each 34 206 Test Type. For example, the first episode of one-way cyclic loading followed by consolidation (Type III) is represented in Figure 9a by the stress path O-A₂-B₂, which generated more excess 207 208 pore pressure than Type I (O-A₁-B₁) as Type III involved 20 cycles of one-way loading after 209 the initial penetration. During the subsequent consolidation, the reduction in specific volume 41 210 for Type III (Δv_{III}) is higher than that for Type I (Δv_I), so the potential for further excess pore pressure generation (e.g. in the next T-bar pass, stress paths A_1 - B_1 and A_2 - B_2) is lower for Type 43 211 45 212 III than Type I. Consequently, the next pass of the T-bar involves a higher vertical effective 213 stress and soil strength for Type III (point B₂) than Type I (point B₁).

49 214 The same logic applies to Type IV; the additional cycles (N = 1080) generate additional pore 51 215 pressure, although concurrent consolidation leads to a curved effective stress path. The pore 53 216 pressure generation increases with cyclic amplitude so the reduction in specific volume is 217 higher for Test IV-b (0 - 0.75 q_i , Δv_{IV-b}) than Test IV-a (0.25 q_i - 0.75 q_i , Δv_{IV-a}), leading to a 218 higher strength gain.

Figure 9b compares stress paths for a soil element subjected to 20 displacement- and load-

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controlled cycles followed by the same consolidation period. The displacement-controlled 2 221 cycles in Type II led to fully remoulded conditions and hence a low vertical effective stress on the RSL, whereas the load-controlled cycles generated much less excess pore pressure so the post-cyclic effective stress was higher. Hence, after consolidation the reduction in specific volume is greater for Type II (Δv_{II}) than Type III (Δv_{III}), so the remobilised soil strength is higher for Type II than Type III, whereas Figure 8b shows the opposite. However, if the very high accumulated shear strain causes the intact strength line (ISL) to migrate to the left (e.g. as per the models of Cocjin et al. 2017; Hodder et al. 2013; Zhou et al. 2019), then the effective stress and soil strength in Type II (point B_5) is lower than in Type III (point B_2).

Across all of the test types, the gain in strength relative to the initial strength is converging towards $s_u/s_{u,i} \sim 3-4$. Similar evidence is provided by other studies using variable rate and episodic penetrometer tests (Chow et al. 2019). This value is similar to the spacing ratio between the intact and remoulded or critical state lines, which controls the gain in strength predicted from these critical state-type frameworks. Parallel work for the axial friction on pipelines and shallow penetrometers shows that the undrained strength of normally-consolidated soil can rise by this ratio under episodes of sliding failure and reconsolidation (White et al. 2015, Schneider et al. 2019). The present study suggests that the same ratio may be generally applicable for bearing-type loading.

CONCLUSIONS

The changing strength of soft soil when subjected to varying episodes of cyclic loading is a topical challenge in offshore engineering.

Data from T-bar penetrometer tests involving episodes of large amplitude cyclic displacements and also novel small-amplitude load cycling highlights the effect of consolidation on strength. Large amplitude cyclic loading remoulds the soil to a minimum value, although the regain in strength due to consolidation is significant, and can surpass the strength loss from remoulding. The regain is higher in soils with higher sensitivities. Low amplitude one-way cyclic loading, mobilising a peak resistance equivalent to 75% of the initial monotonic strength, did not cause a reduction in strength, but led to a very significant increase in soil strength, to almost 2.5 times the initial monotonic strength, due to the consolidation either during or after cycling.

Consolidation around a T-bar penetrometer is relatively rapid due to the small device, which allows these new test protocols to explore changes in strength that would occur over the life of

a larger structure, due to both small and large amplitude cyclic loads.

The experimental evidence in this paper provides impetus to challenge the conventional design paradigm of discounting undrained shear strength to allow for cyclic loading. Although a consolidation period is necessary for the observed strength gains to accumulate, they can be created by relatively low-level cyclic loading and offer potentially significant benefits in available bearing capacity.

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1 273	NOTATION	
2		
3	C_{h}	coefficient of consolidation
4		
5	D	diameter of T-bar
0 7	D	
8	N	cycle number
9	1 V	cycle number
10	37	
11	/V _T -bar	I-bar bearing factor
12 13		
14	q	measured penetration resistance
15		
16	$q_{ m i}$	initial penetration resistance
17		
18 19	Su	undrained shear strength
20		
21	Su,i	initial undrained shear strength
22		C C
23	S _t	soil sensitivity
24 25	t	
26	(S_u)	normally consolidated undrained strength ratio
27	$\left(\frac{\sigma'_{u0}}{\sigma'_{u0}}\right)$	
28	NC VO NC	
29 30	+	consolidation time
31	ιc	consolidation time
32		panetration valoaity
33	Vp	penetration velocity
34	_	and donth
36	Z.	son depui
37	_1	
38	O v0	In shu geostatic effective stress
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40	γ^*	soli effective unit weight
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1. FIGURE CAPTIONS

$\frac{2}{2}$	Figure 1. Changing soil strangth due to evolic nerroulding and recorded idetion (often Hedden et
3 333 4	Figure 1 Changing soil strength due to cyclic remoulding and reconsolidation (after Hodder et
₅ 334	al. 2013)
⁶ ₇ 335	Figure 2 Experimental arrangement: (a) single gravity tests, (b) centrifuge tests
8 ₉ 336	Figure 3 Test procedures: (a) Type I, (b) Type II, (c) Type III, (d) Type IV17
10 337	Figure 4 Single gravity test results: displacement controlled cycles (Test Type II): (a) undrained
12 338	shear strength profiles, (b) Change in undrained shear strength during cycles and after
14 339	consolidation periods
$^{15}_{16}$ 340	Figure 5 Comparison of changing soil strength due to remoulding (displacement controlled
$^{17}_{18}$ 341	cycles, Test type II) and reconsolidation in carbonate silt and kaolin clay
$^{19}_{20}$ 342	Figure 6 Effective stress path for Test type II (single gravity tests)
²¹ 343	Figure 7 Example results from load-controlled T-bar tests in kaolin clay: (a) cyclic loading, (b)
23 344	cyclic loading followed by a consolidation period
25 345	Figure 8 Comparison of changing soil strength due to load and displacement controlled loading
$^{26}_{27}$ 346	cycles: (a) kaolin clay, (b) carbonate silt
²⁸ 347	Figure 9 Effective stress paths for: (a) load controlled cyclic T-bar tests, (b) displacement- and
³⁰ 348	load-controlled T-bar tests
32 349	
³⁴ 350	2. TABLE CAPTIONS
35 36 25 1	Table 1. Soil peremeters 24
37 331	
39 352	Table 2 Test parameters 25
⁴⁰ ₄₁ 353	

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Figure 1 Changing soil strength due to cyclic remoulding and reconsolidation (after Hodder et al. 2013).











(d)

Figure 3 Test procedures: (a) Type I, (b) Type II, (c) Type III, (d) Type IV





(b)

Figure 4 Single gravity test results: displacement controlled cycles (Test Type II): (a) undrained shear strength profiles, (b) Change in undrained shear strength during cycles and after consolidation periods

$1 \\ 2 \\ 3 \\ 4 \\ 5 \\ 6 \\ 7 \\ 8 \\ 9 \\ 101 \\ 12 \\ 13 \\ 14 \\ 15 \\ 16 \\ 17 \\ 18 \\ 9 \\ 201 \\ 22 \\ 23 \\ 24 \\ 25 \\ 27 \\ 28 \\ 9 \\ 31 \\ 23 \\ 33 \\ 35 \\ 36 \\$	
38 39 41 43 44 45 55 55 55 56 66 66	379



Figure 5 Comparison of changing soil strength due to remoulding (displacement controlled cycles, Test type II (centrifuge)) and reconsolidation in carbonate silt and kaolin clay

















(a)



Figure 8 Comparison of changing soil strength due to load and displacement controlled loading cycles in the centrifuge tests: (a) kaolin clay, (b) carbonate silt

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388	Table	Table 1 Soil parameters				
2 3 1 5	Soil properties	Kaolin clay	Carbonate silt			
	Specific gravity, G_s	2.6	2.71			
	Liquid limit, LL (%)	61	67			
	Plastic limit, PL (%)	27	39			
	Compression index, λ	0.205	0.287			
	Swelling index, k	0.044	0.036			
	Soil sensitivity, S_t	2.5, 4.5, 6.5	5			
	Normally consolidated undrained strength ratio, $(s_u/\sigma'_{v0})_{NC}$	0.15 ($S_t = 2.5$) 0.25 ($S_t = 4.5$)* 0.40 ($S_t = 6.5$)**	0.385			
	Coefficient of horizontal consolidation, c_h (m ² /year)	2.6 ($\sigma'_{\rm v}$ = 40 kPa)	8.9 ($\sigma'_{\rm v}$ = 40 kPa)			
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*: Batch 1 ($S_t = 4.5$): 5 kg of kaolin powder mixed with (a) flocculant: 0.1 kg Sodium Hexametaphosphate dissolved in 2.5 kg water; and (b) dispersant: 0.0005 kg Sodium Polyacrylate dissolved in 2.5 kg water.

**: Batch 2 ($S_t = 6.5$): 5 kg of kaolin powder mixed with (a) flocculant: 0.1 kg Sodium Hexametaphosphate dissolved in 2.5 kg water; and (b) dispersant: 0.00075 kg Sodium Polyacrylate dissolved in 2.5 kg water.

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02			Table 2 Test	t parameters		
	Test environment	Soil type	Test no.	Soil sensitivity	Test type	Test parameters
			Test 1	2.5		$t_{\rm c} = 24 \rm hrs$
	Single	Kaolin clay	Test 2	4.5	Type II	$t_{\rm c} = 24$ hrs
	gravity		Test 3	6.5		$t_{\rm c} = 24 \rm hrs$
			Test 4		Type I	$t_{\rm c} = 2.5 {\rm hrs}$
		Kaolin clay	Test 5	2.5	Type II	$t_{\rm c} = 2.5 {\rm hrs}$
			Test 6a	-		-
			Test 6b			
			Test 6c		Type III	$t_{a} = 2.5$ hrs
			Test 6d			$i_{\rm C} = 2.5$ ms
	Centrifuge		Test 6e			
			Test 7		Туре І	$t_{\rm c} = 1 \rm hr$
		Carbonate silt	Test 8	5	Type II	$t_{\rm c} = 1 \rm hr$
			Test 9a		Type III	-
			Test 9b			
			Test 9c			t = 1 hr
			Test 9d			$\iota_{\rm C} = 1$ III
			Test 9e			
			Test 10		Type IV	Cyclic loading $0.25q_i - 0.75q_i$
			Test 11		Type IV	Cyclic loading: $0 - 0.75q_{i}$



Figure 1 Changing soil strength due to cyclic remoulding and reconsolidation (after Hodder et al. 2013).





(a)



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(c)













Vertical effective stress, σ'_v (log scale)



(a)





(a)



(b)

