**Opportunities to diagnose fibrotic lung diseases in routine care: A primary care cohort study**

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**SUMMARY AT A GLANCE**

We analysed a primary care clinical cohort database to investigate respiratory symptoms and healthcare use in the 10 years prior to a diagnosis of pulmonary fibrosis. Utilisation progressively increased in the years prior to diagnosis, suggesting multiple opportunities for diagnosis at an earlier stage.

**ABSTRACT**

**Background and objective**: Temporal trends of healthcare use in the period before a diagnosis of pulmonary fibrosis are poorly understood. We investigated trends in respiratory symptoms and lower respiratory healthcare resource utilisation (HRU) in the 10 years prior to diagnosis.

**Methods**: We analysed a primary care clinical cohort database (UK Optimum Patient Care Research Database) and assessed patients aged ≥40 years who had an electronically coded diagnosis of pulmonary fibrosis between 2005–2015 and a minimum two years continuous medical records prior to diagnosis. Exclusion criteria consisted of electronic codes for recognised causes of pulmonary fibrosis such as CTD, sarcoidosis or extrinsic allergic alveolitis.

**Results**: Data for 2223 patients were assessed. Over the 10 years prior to diagnosis of pulmonary fibrosis there was a progressive increase in HRU across multiple lower respiratory (LR)-related domains. Five years before diagnosis, 18% of patients had multiple healthcare contacts for LR complaints; this increased to 79% in the year before diagnosis, with 38% of patients having five or more healthcare contacts.

**Conclusion**: There are opportunities to diagnose pulmonary fibrosis at an earlier stage; research into case-finding algorithms and strategies to educate primary care physicians is required.

**Short title:** Earlier diagnosis of pulmonary fibrosis

**Key Words:** Pulmonary Fibrosis, Cough, Respiratory function tests, Clinical Epidemiology, Clinical Respiratory Medicine

**INTRODUCTION**

Idiopathic pulmonary fibrosis (IPF) is a form of chronic, progressive fibrotic lung disease. It affects 14–43 people per 100,000, with a mean age at presentation of 66 years [1–4]. Median post-diagnostic survival of IPF is 2–5 years, with a 5-year survival of 20% [3,5]. There is a lack of public awareness, yet IPF kills more people per year than leukaemia or ovarian cancer, with the number affected increasing [6].

Historically, treatment of IPF was limited to symptom management and palliation, potentially providing little motivation for earlier diagnosis. However, even without approved pharmacological treatment, delayed access to specialist interstitial lung disease (ILD) services increases risk of death [7]. Recent approval of two effective anti-fibrotic treatments (nintedanib and pirfenidone), which slow disease progression, heralds a new era for patients with IPF [8,9]. Thus, the importance of an early and accurate diagnosis is clear [10].

While early diagnosis and treatment of IPF is now widely advocated [11,12], how this can be achieved remains less clear. Precision medicine and genomic techniques are being widely researched to identify blood- or lung-specific biomarkers to enhance IPF diagnosis [13]. However, such methodologies are available only in specialist centres, access to which first requires suspicion, or diagnosis, of pulmonary fibrosis. A challenge to the specialist referral pathway is the limited understanding of the natural history of pulmonary fibrosis; it is thought patients may be symptomatic for five years before a formal diagnosis is made [14].

As all patients with pulmonary fibrosis (including IPF) in the United Kingdom (UK) present first in primary care, primary care medical records provide an important resource for understanding temporal patterns of healthcare system utilisation (HRU) prior to diagnosis. This study assessed trends in HRU over 10 years prior to diagnosis of pulmonary fibrosis to inform potential strategies for earlier identification.

**METHODS**

**Data source and study approvals**

The Optimum Patient Care Research Database (OPCRD) contains anonymised, longitudinal medical records for patients registered at primary care practices across the UK [17]. It includes demographic, lifestyle, diagnostic and HRU data recorded from primary and secondary care. At the time of the study it comprised records for approximately 2.5 million patients registered across 525 UK-based primary care practices.

The OPCRD is approved by the Health Research Authority of the UK NHS for clinical research use (REC reference: 15/EM/0150). Access was approved by the OPCRD’s Anonymised Data Ethics and Protocol Transparency Committee (approval code, ADEPT0616). The study protocol was developed by an independent steering committee of the Respiratory Effectiveness Group (REG) and registered with the European Network of Centres for Pharmacoepidemiology and Pharmacovigilance (ENCePP; registration number EUPAS12086) [18].

**Study design and population**

This historical cohort study included an observation period of 2–10 years (as available) prior to an index date at which patients received a first diagnostic code for pulmonary fibrosis. Data extraction cut-off date was December 31st 2015. Cases were identified from diagnostic (Read) code lists developed by the REG ILD Working Group and aligned with published IPF-related research conducted in the UK [6,14]. Acknowledging variations in coding practice between healthcare professionals, cases were labelled as “pulmonary fibrosis-clinical syndrome” (PFCS) in the presence of a code considered diagnostic of pulmonary fibrosis and the absence of codes associated with ILD, such as a connective tissue disease (CTD), sarcoidosis or extrinsic allergic alveolitis (EAA). A subpopulation of patients with only IPF-specific diagnostic codes—here termed “IPF clinical syndrome” (IPFCS)—was identified. Diagnostic codes used as inclusion criteria for the PFCS and IPFCS cohorts are provided in Supplementary Table S1 [6,14]. Codes contributing to PFCS or IPFCS were recorded in primary and secondary care.

Eligible patients were aged ≥40 years at diagnosis and diagnosed with PFCS between 2005–2015. Patients with less than two years of continuous medical records immediately prior to diagnosis were excluded. Exclusion of patients with CTD, sarcoidosis and EAA (codes in Supplementary Table S2) was agreed by the REG ILD Working Group.

**Outcome measures**

*Characteristics at diagnosis*

Characteristics of the study population: demographic (age, sex, anthropomorphic measures); lifestyle (smoking history); clinical features (lung function [FVC, FEV1/FVC] recorded closest to diagnosis); common comorbidities (chronic obstructive pulmonary disease [COPD], asthma and lung cancer, as defined by codes rather than functional tests); and use of obstructive lung disease (OLD) pharmacotherapy in the year preceding PFCS diagnosis.

*Healthcare resource utilisation*

Temporal trends in respiratory symptoms were evaluated by assessment of population-level per-patient annualised cough events coded through primary care consultations over 10 years prior to PFCS diagnosis. Functional impairment was evaluated using the mini-Medical Research Council (mMRC) score captured through a systematic data capture protocol. Availability of mMRC scores reflected patients’ willingness to participate in routine data collection rather than their clinical situation.

10-year trends in lower respiratory (LR) HRU were evaluated through annualised per-patient rates of coded events, including: primary care consultations, chest radiography (CXR), hospitalisations and emergency room attendances, and antibiotics and acute oral steroid prescriptions for an LR complaint. LR complaint codes included those for LR tract infections (bronchitis, tracheitis, pneumonia), non-infective LR conditions (chronic respiratory failure), and respiratory symptoms (breathlessness, cough, wheeze).

Opportunities for earlier PFCS diagnosis were explored by evaluating unique patients with 0, 1, 2, 3, 4, ≥5 LR-related primary care contacts in each 1-year period in the decade preceding PFCS diagnosis. Prevalence of potential differential pulmonary diagnoses (COPD, asthma and lung cancer) at time of PFCS diagnosis, and their proximity to PFCS diagnosis, were also explored.

*Statistical analysis*

Summary statistics (n [%]) for patient characteristics at time of PFCS or IPFCS diagnosis were generated using STATA (version 14). Joinpoint Trend Analysis software from the Surveillance Research Programme of the US National Cancer Institute (available at http://surveillance.cancer.gov/joinpoint) was used to test whether non-segmented or segmented regression curves best described the evolution of temporal trends for outcomes (LR symptoms and HRU) over the ten-year period prior to diagnosis [19]. Joinpoints (inflection points) indicated timepoints from which a difference in annual percentage change (APC) in outcomes was observed in a segmented curve.

**RESULTS**

A total of 2223 PFCS patients (Figure 1), including 743 IPFCS patients, were eligible (supplementary Figure S1).

**Cohort characteristics**

The PFCS cohort comprised more men (62.9%) than women, with a mean (standard deviation [SD]) age of 72.6 (9.7) years. Two-thirds (67.2%) were current/former smokers. Cardiorespiratory conditions were the most common comorbidities at time of PFCS diagnosis: ischaemic heart disease (32.4%), COPD (22.6%), lung cancer (18.0%), asthma (13.9%). Mean (SD) FVC was 2.9 (5.7) L and FEV1/FVC ratio was 0.78 (0.1). Almost one-quarter of patients (23.2%) received a prescription for a short-acting beta2-agonist one year prior to PFCS diagnosis (Table 1). PFCS and IPFCS patient characteristics were broadly similar (Tables 1 and Supplementary Table S3, respectively). In patients with OLD, COPD diagnosis occurred at a mean (SD) of 5.1 (6.7) years, and asthma at a mean (SD) of 12.1 (14.1) years, prior to PFCS diagnosis. 40% of patients received asthma diagnosis within five years prior to PFCS diagnosis (60% within 10 years). COPD diagnosis occurred at 4.6 (6.2) years, and asthma at 13.0 (17.4) years, prior to IPFCS diagnosis.

Functional impairment (assessed by mMRC) score prior to PFCS diagnosis were available for 47% of patients. When stratified by time between mMRC assessment and PFCS diagnosis, no pronounced temporal trends were observed, although a slight reduction in patients without signs of functional impairment was apparent over the 10-year study period (Figure S2).

**Healthcare resource utilisation**

The mean pre-observation period for patients with non-missing HRU data ranged from 6–8 years. There was marked increase in cough events in lead up to PFCS diagnosis (Figure 2). Mean (SD) annual per-patient cough event rate increased 10-fold (from 0.06 [0.3] per patient per year [pppy] at 10 years and 0.58 [0.6] pppy one year prior to diagnosis). Joinpoint regression analysis identified a significant increase in cough events two years prior to PFCS diagnosis (APCs for years 10–2 vs 2–1: 19.67% vs 115.09%; P=0.0002). In the IPFCS cohort cough event rates increased from 0.05 to 0.13 pppy over the 10-year period (data not shown).

Trends in LR HRU demonstrated that mean (SD) primary care LR consultations increased from 0.4 (1.3) pppy at 10 years prior to PFCS diagnosis, to 4.5 (1.6) pppy one year prior to diagnosis (Figure 3 and Table 2). APCs for LR consultations significantly increased two years prior to PFCS diagnosis (APCs for years 10–2 vs 2–1: 19.96% vs 199.72%; P=0.0002). Antibiotic and acute oral steroid prescriptions for LR events increased over the 10-year period (0.08 [0.3] to 0.81 [0.7] pppy and 0.02 [0.2] to 1.07 [0.7] pppy, respectively). APCs for antibiotic and acute steroid prescriptions significantly increased two years (APCs for years 10–2 vs 2–1: 20.70% vs 118.72%; P=0.0002) and three years (APCs for years 10–3 vs 3–1: 36.92% vs 151.27%; P=0.0024) prior to PFCS diagnosis, respectively. These trends were consistent in the IPFCS cohort (Supplementary Figure S3 and Table S4).

APC for secondary care contacts for LR complaints (hospital admissions or ER attendances) was 0.00% until two years prior to PFCS diagnosis. For years 2–1 prior to PFCS diagnosis, an APC of 700.00% was observed (Figure 3 and Table 2). This was consistent in the IPFCS cohort ( Supplementary Figure S3 and Table S4). A 3-fold increase in pneumonia in the two years immediately prior to PFCS diagnosis was also observed (data not shown). Chest X-rays (CXRs) increased 10-fold from 10 years to one year prior to PFCS diagnosis (mean [SD] CXRs: 0.03 [0.2] to 0.40 [0.5] pppy, respectively; Figure 3 and Table 2). APC for CXRs significantly increased two years prior to PFCS diagnosis (APCs for years 10–2 vs 2–1: 18.64% vs 216.07%; P=0.0002). In the IPFCS cohort, however, APC for CXRs significantly increased one year earlier, i.e. three years prior to IPFCS diagnosis (Supplementary Figure S3 and Table S4).

All-cause primary care consultations were examined to explore whether increases in LR consultations reflected a general escalation in all-cause HRU due to aging. LR consultations accounted for 10% and 35% of all-cause consultations 10 years and one year prior to PFCS diagnosis, respectively. APC for all-cause consultations significantly increased two years prior to PFCS diagnosis (APCs for years 10–2 vs 2–1: 11.90% vs 46.76%; P=0.0004). This increase was driven by LR consultations (APCs for years 10–2 vs 2–1: 19.96% vs 199.72%; P=0.0002), while APC for non-LR consultations was constant (11.03%) (Figure S4). The contribution of LR consultations to all-cause consultations was evident in the IPFCS cohort (data not shown).

Ten years prior to PFCS diagnosis, 18% of patients visited primary care for an LR reason. Of these patients, only 6.3% consulted ≥2 times and 1.5% ≥5 times. Five years later, approximately 30% of patients consulted ≥1 times for an LR complaint, 14.4% ≥2 times and 3.8% ≥5 times. One year prior to PFCS diagnosis, almost all patients (99.9%) consulted ≥1 times for an LR complaint, 78.8% ≥2 times and 38.0% ≥5 times (Figure 4 and Supplementary Table S5). Consistent with this, APCs for two, three, four, and five or more LR consultations significantly increased two years prior to PFCS diagnosis (APCs for 10–2 vs 2–1 years: 12.60% vs 85.47% (P=0.0018), 20.96% vs 67.79% (P=0.0104), 21.95% vs 141.43% (P=0.0007), and 25.35% vs 309.71% (P=0.0002), respectively).

**DISCUSSION**

Our findings show a significant increase in HRU across several domains prior to a PFCS diagnosis: cough, LR consultations, antibiotic and acute steroid prescriptions, and CXR. Eighteen percent of patients made multiple primary care visits for LR complaints five years before PFCS diagnosis; increasing to almost 80% one year before diagnosis, with 38% having five or more primary care contacts. Therefore, opportunities exist for earlier referral for pulmonary fibrosis suspected in primary care.

Whilst the natural history of OLD is growing [20], that of fibrotic lung diseases remains limited. Research into fibrotic lung disease has traditionally been restricted to evaluations conducted within specialist centres; large-scale primary care databases offer an opportunity to study more widely representative and generalisable populations.

We analysed a high-quality dataset widely used in primary care studies [17,20]. Anthropomorphic and lung function measures are consistent with previous IPF cohorts [6,8,9,21]. PFCS diagnosis was based on codes diagnostic for pulmonary fibrosis and the absence of codes associated with ILD. While potentially including patients with a form of pulmonary fibrosis other than IPF, a subgroup analysis of codes specific for IPF demonstrated comparability. These patients need specialist referral for investigation irrespective of final diagnosis. Additional studies are required to validate specific diagnostic groups, including the correlation of primary care diagnostic codes with specialist care diagnosis.

Our findings extend those of Hewson *et al.* who identified increasing breathlessness and cough in the five years prior to IPFCS diagnosis [14]. We identified significant increases in cough events and LR-related HRU two years preceding PFCS diagnosis. This may reflect symptom progression from minor to moderate/severe, with symptoms affecting quality resulting in increased HRU. Increases in LR consultations, antibiotic and acute oral steroid prescriptions suggests infective episodes may precipitate HRU and lead to subsequent diagnosis. Alternatively, acute prescriptions may represent empirical trials by primary care physicians following repeat patient attendances for persistent symptoms of uncertain aetiology.

Thirty eight percent of patients had five or more LR consultations one year preceding IPF diagnosis. This suggests repeated primary care attendances are required for specialist referral. Given the potential for misdiagnosis, we investigated the relationship between respiratory comorbidities and PFCS diagnosis. COPD and asthma diagnoses occurred at five and 12 years before PFCS diagnosis, respectively. While these timelines may suggest alternative respiratory diagnoses, this could reflect misdiagnosis of initial functional impairment due to early-onset PFCS.

Limitations in our data and framework conditions potentially restrict our interpretations. For example, spirometry is not routine in primary care, with COPD or asthma patients more likely to undergo spirometry testing. Secondary care data requires manual reporting and entry into primary care records, resulting in under-reporting and under-estimation of HRU from secondary care. A limitation to the interpretation of any relationship between PFCS diagnosis and preceding comorbidities is that comorbidities were captured through codes rather than functional tests. Miscoding represents a source of potential misdiagnosis. Another consideration is framework conditions that determine drug prescribing policies which change over time and may influence HRU in the lead up to diagnosis. Our analysis did not account for this potential covariate.

Nevertheless, patients consistently report dissatisfaction with time taken to diagnosis [15,16]. Studies investigating how to effectively increase awareness of pulmonary fibrosis among primary care physicians are required. This may include re-emphasis of routine lung auscultation to check for crackles in older patients presenting with repeated LR complaints over a short time period. In older populations, a joint spirometric and lung auscultation approach may have value for obstructive and fibrotic lung disease diagnosis. Future research should seek to link primary and secondary care data to focus on the development of a pulmonary fibrosis risk algorithm for integration within clinical decision management systems.

**Disclosure statement:**

The study protocol was developed by REG on behalf of its independent ILD Working Group. The dataset was provided by Optimum Patient Care Ltd and the analysis conducted by REG on behalf of the ILD Working Group who reviewed the results and approved the development of this manuscript. The corresponding author had full access to all of the data and accepts responsibility for their submission for publication.

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**FIGURE LEGENDS**

**Figure 1. Pulmonary fibrosis clinical syndrome (PFCS) eligibility flow diagram.**

OPCRD: Optimum Patient Care Research Database; CTD: connective tissue disease; RA: rheumatoid arthritis

**Figure 2. Joinpoint regression analysis of cough-related events over 10 years prior to PFCS diagnosis.**

APC: annual percent change; Joinpoint: point of change in regression trends; pppy: per patient per year; PFCS: pulmonary fibrosis clinical syndrome; Number of patients with non-missing data in year 10 to year 1 prior to PFCS diagnosis: 1474, 1533, 1598, 1686, 1772, 1861, 1944, 2019, 2087, 2223; Mean pre-observation period: 8 years.

**Figure 3. Joinpoint regression analysis of temporal HRU trends over 10 years prior to PFCS diagnosis.**

Temporal HRU trends in the 10 years prior to PFCS diagnosis for A) lower respiratory (LR) consultations, B) acute antibiotic prescriptions, C), acute steroid prescriptions, D) LR hospital contacts, and E) chest X-rays. APC: annual percent change; Joinpoint: point of change in regression trends; pppy: per patient per year; PFCS: pulmonary fibrosis clinical syndrome; Number of patients with non-missing data in year 10 to year 1 prior to PFCS diagnosis: 1474, 1533, 1598, 1686, 1772, 1861, 1944, 2019, 2087, 2223; mean pre-observation period: 8 years.

**Figure 4. Joinpoint regression analysis of LR healthcare contacts over 10 years prior to PFCS diagnosis.**

Temporal HRU trends showing percentage of patients with A) 0, B) 1, C) 2, D) 3, E) 4, and F) ≥5 LR consultations in the 10 years prior to PFCS diagnosis; APC: annual percent change; Joinpoint: point of change in regression trends; PFCS, pulmonary fibrosis clinical syndrome; Number of patients with non-missing data in year 10 to year 1 prior to PFCS diagnosis: 1474, 1533, 1598, 1686, 1772, 1861, 1944, 2019, 2087, 2223; Mean pre-observation period: 8 years

**Table 1. Baseline characteristics at time of PFCS diagnosis**

|  |  |
| --- | --- |
| Characteristic | n = 2,223 |
| **Male sex, n (%)** | 1,399 (62.9) |
| **Age at index date (years), mean (SD)** | 72.6 (9.7) |
| **BMI (kg/m2), mean (SD)** | 27.3 (7.0) |
| **Smoking status** |
| Never | 688 (32.8) |
| Current | 280 (13.4) |
| Former | 1,127 (53.8) |
| **Comorbidities, n (%)** |
| COPD | 503 (22.6) |
| Asthma | 309 (13.9) |
| Ischaemic heart disease | 720 (32.4) |
| Heart failure | 249 (11.2) |
| Hypertension | 118 (5.3) |
| Myocardial infarction | 258 (11.6) |
| Lung Cancer | 401 (18.0) |
| Sleep Apnoea | 18 (0.8) |
| GERD\* | 170 (7.7) |
| Anxiety and depression  | 55 (2.5) |
| **Any prescriptions in year prior to PFCS diagnosis, n (%)** |
| SABA | 514 (23.2) |
| SAMA | 133 (6.0) |
| ICS | 196 (8.8) |
| ICS/LABA | 210 (9.4) |
| **Lung Function**  |
| **Without comorbid COPD**  | **n = 245** |
| FVC (L), mean (SD) | 2.9 (5.7) |
| FEV1/FVC ratio, mean (SD) | 0.78 (0.1) |
| **With comorbid COPD** | **n = 74** |
| FVC (L), mean (SD) | 2.5 (0.9) |
| FEV1/FVC ratio, mean (SD) | 0.72 (0.2) |

PFCS: pulmonary fibrosis clinical syndrome; \* Active comorbidities defined as recorded within two years prior to diagnosis; SABA: short-acting beta-agonist; SAMA: short-acting anti-muscarinic; ICS: inhaled corticosteroid; GERD: gastroeosophogeal reflux disease

**Table 2. Temporal trends in healthcare resource utilisation in the 10 years prior to PFCS diagnosis**

|  |  |
| --- | --- |
|  | **Year prior to PFCS diagnosis** |
|  | **10** | **9** | **8** | **7** | **6** | **5** | **4** | **3** | **2** | **1** |
| **n (non-missing)** | 1474 | 1533 | 1598 | 1686 | 1772 | 1861 | 1944 | 2019 | 2087 | 2223 |
| **Primary care, mean (SD) pppy** |
| LR consultations | 0.36 (1.3) | 0.44 (1.3) | 0.51 (1.4) | 0.61 (1.5) | 0.68 (1.7) | 0.80 (1.9) | 0.98 (2.0) | 1.23 (2.3) | 1.57 (0.9) | 4.45 (1.6) |
| **Prescriptions issued for LR complaints, mean (SD) pppy** |
| Antibiotics | 0.08 (0.3) | 0.11 (0.5) | 0.12 (0.5) | 0.14 (0.5) | 0.17 (0.6) | 0.19 (0.6) | 0.27 (0.7) | 0.31 (0.8) | 0.37 (0.5) | 0.81(0.7) |
| Acute oral steroid | 0.02 (0.2) | 0.02 (0.2) | 0.05 (0.3) | 0.05 (0.3) | 0.07 (0.4) | 0.07 (0.4) | 0.12 (0.5) | 0.15 (0.7) | 0.52 (0.4) | 1.07 (0.6) |
| **Secondary care, mean (SD) pppy** |
| LR hospital admissions\* | 0.01 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0.3 (0.1) | 0.08 (0.2) |
| LR hospital admissions (sen)† | 0.01 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0.01 (0.1) | 0.05 (0.1) |
| **Diagnostics, mean (SD) pppy** |
| Chest X-Rays | 0.03 (0.2) | 0.04 (0.2) | 0.05 (0.3) | 0.06 (0.3) | 0.06 (0.3) | 0.07 (0.3) | 0.08 (0.3) | 0.10 (0.4) | 0.14 (0.2) | 0.40 (0.5) |

PFCS: pulmonary fibrosis clinical syndrome; SD: standard deviation; pppy: per patient per year; \* Lower respiratory (LR) code recorded on same day as inpatient admission; † LR code recorded within 14 days of an inpatient admission; Sen: sensitivity; Number of patients with non-missing data in year 10 to year 1 prior to PFCS diagnosis: 1474, 1533, 1598, 1686, 1772, 1861, 1944, 2019, 2087, 2223; Mean pre-observation period: 8 years.