1	Importance of adequate diagnostic work-up for					
2	correct diagnosis of advanced systemic mastocytosis					
3						
4	Juliana Schwaab, MD ¹ ; Nicole Cabral do O Hartmann, MD ² ; Nicole Naumann PhD ¹ ; Mohamad Jawhar,					
5	MD ¹ ; Christel Weiß, PhD ³ ; Georgia Metzgeroth, MD ¹ ; Alicia Schmid, MD ¹ , Johannes Lübke ¹ ,					
6	Lukas Reiter ¹ , Alice Fabarius ¹ , PhD ¹ ; Nicholas C.P. Cross ⁴ , PhD; Karl Sotlar ⁵ , MD;					
7	Peter Valent ⁶ , MD; Hanneke C. Kluin-Nelemans, MD ⁷ ; Wolf-Karsten Hofmann, MD ¹ ;					
8	Hans-Peter-Horny, MD ⁸ ; Jens Panse, MD ² ; Andreas Reiter, MD ¹ ;					
9						
10 11	¹ Department of Hematology and Oncology, University Hospital Mannheim, Heidelberg University, Mannheim, Germany					
12 13	² Department of Hematology, Oncology, Hemostaseology and Stem Cell Transplantation, University Hospital RWTH Aachen, Aachen, Germany					
14 15	 ³ Department of Medical Statistics and Biomathematics, Medical Faculty Mannheim, Heidelberg University, Mannheim, Germany 					
16 17	⁴ Wessex Regional Genetics Laboratory, Salisbury, and Faculty of Medicine, University of Southampton, UK.					
18 19 20	 ⁵ University Hospital Salzburg, Paracelsus Medical University, Salzburg, Austria ⁶ Department of Internal Medicine I, Division of Hematology and Ludwig Boltzmann Institute for Hematology and Oncology, Medical University of Vienna, Vienna, Austria 					
21 22	⁷ Department of Hematology, University Medical Center Groningen, University of Groningen, Groningen, The Netherlands					
23 24	⁸ Department of Pathology, Ludwig-Maximilians-University, Munich, Germany					
24 25	Corresponding author:					
26	Prof. Andreas Reiter, MD					
27 28	Department of Hematology and Oncology University Hospital Mannheim					
29	Theodor-Kutzer-Ufer 1-3					
30	68167 Mannheim					
31	Germany					
32 33	Tel.: +49-621-383-4158 Fax: +49-621-383-4201					
34	e-mail: andreas.reiter@medma.uni-heidelberg.de					
35						
36	Conflict of interest and funding: The authors have no conflict of interest to declare. This work was					
37	supported by the "Deutsche José Carreras Leukämie-Stifung e.V." (Grant No. 01 R/2018), Germany.					
38 39 40 41	Short title: Epidemiology of advSM Word count: 2,810 Tables: 2 Figures: 3					
41 42						

43 Abstract 44

45 Background:

46 Little is known about epidemiology of advanced systemic mastocytosis (advSM).

47 **Objectives**:

48 We sought to investigate epidemiologic features and diagnostic pitfalls of advSM in Germany.

49 Methods:

50 Therefore, 140 patients from a single German reference center of the European Competence Network

51 on Mastocytosis between 2003 and 2018 were analyzed.

52 Results:

53 Median age was 68 years (range 26-86), male vs. female ratio was 2:1. An elevated serum tryptase, a 54 KIT D816 mutation and additional somatic mutations, e.g. in SRSF2, ASXL1 or RUNX1 (S/A/R), were 55 identified in 95%, 91% and 74% of patients, respectively. Median overall survival was 3.5 years (range 56 0.03-14.3; male vs. female 2.6 vs. 4.2 years, p=0.02). Two categories of misdiagnoses were identified in 57 51/140 (36%) patients: Firstly, systemic mastocytosis (SM) was overlooked in 28/140 (20%) patients 58 primarily diagnosed with various subtypes of myeloid neoplasms. Secondly, 23/140 (16%) patients were 59 diagnosed with supposed progression from indolent SM (ISM) to advSM; however, combination of an 60 elevated KIT D816V variant allele frequency (VAF) in peripheral blood (n=22), monocytosis (n=9), 61 eosinophilia (n=6) and/or mutations in S/A/R (n=10) suggest that distinct signs of potential advSM were 62 overlooked in virtually all patients. Based on locally diagnosed patients in an area of 2.5 million 63 inhabitants, but obviously without considering more, yet unrecognized cases, incidence and prevalence 64 of advSM is at least 0.8 and 5.2, respectively, per 1 million inhabitants.

65 Conclusions: Adequate analyses of tryptase levels, bone marrow morphology and genetics in patients
 66 with myeloid neoplasms or SM would help to prevent the significant underdiagnosis of advSM.

- 68
- 69 Key words
- 70 Epidemiology, incidence and prevalence of advSM, misdiagnosis, multilineage involvement, disease
- 71 awareness
- 72
- 73 Abbreviations
- 74 AAR: Aachen City Region
- 75 advSM: advanced systemic mastocytosis
- 76 AML: acute myeloid leukemia
- 77 BM: bone marrow
- 78 CEL: chronic eosinophilic leukemia
- 79 CLL: chronic lymphocytic leukemia
- 80 CMML: chronic myelomonocytic leukemia
- 81 EAB: expressed allele burden
- 82 ECNM: European Competence Network on Mastocytosis
- 83 HES: hypereosinophilic syndrome
- 84 ISM: indolent systemic mastocytosis
- 85 MARS: mutation adjusted risk score
- 86 MC: mast cell
- 87 MCL: mast cell leukemia
- 88 MPN: myeloproliferative neoplasm
- 89 MDS/MPN: myelodysplastic/ myeloproliferative neoplasm
- 90 MPRN: Metropolitan Region Rhein-Neckar
- 91 NGS: next generation sequencing
- 92 OS: overall survival
- 93 RNA: ribonucleid acid
- 94 SM: systemic mastocytosis
- 95 SM-AHN: systemic mastocytosis with associated hematologic neoplasm
- 96 SSM: smoldering systemic mastocytosis
- 97 VAF: variant allele frequency
- 98 WHO: world health organization
- 99
- 100 Highlight Box:

101	-	Little is known about epidemiology of advanced systemic mastocytosis (advSM) given the
102		heterogenic nature of the disease.
103	-	We describe epidemiologic aspects and potential pitfalls in the diagnostic work-up of advSM in
104		Germany contributing to a better understanding and increased awareness of the disease.

105

106

107

Potential signs of AdvSM should be taken into consideration more frequently in the diagnostic work-up of suspected indolent systemic mastocytosis. Testing for serum tryptase levels and *KIT* D816V should be implemented in the routine work-up of mastocytosis.

108

109 Introduction

110

111 Systemic mastocytosis (SM) is a rare myeloid neoplasm characterized by abnormal mast cell (MC) proliferation and accumulation in various organs such as bone marrow (BM), visceral organs and skin^{1, 2}. 112 113 The current WHO classification recognizes SM as a separate myeloid neoplasm ^{2, 3, 4}. Mutations in the KIT 114 gene, usually KIT D816V, are a pathogenetic and diagnostic hallmark that is present in >90% of patients 115 with SM ^{2, 5, 6}. Depending on type and degree of organ infiltration and subsequent organ damage, SM is 116 classified into "non-advanced" subtypes, e.g. indolent SM (ISM) and smoldering SM (SSM), and into 117 advanced SM (advSM) which comprises SM with an associated hematologic neoplasm (SM-AHN), aggressive SM (ASM), and mast cell leukemia (MCL)^{1,7}. 118

119

While life expectancy is not significantly impacted in patients with ISM ^{8, 9}, advSM is associated with a poor prognosis with a median survival ranging between 6 and 18 months in MCL and between 2 and 4 years in SM-AHN and ASM ^{10, 11}. The poor prognosis of patients with advSM is closely related to the presence and number of additional somatic mutations, e.g. in *SRSF2*, *ASXL1* or *RUNX1* (*S/A/R* gene panel)¹²⁻¹⁴. Most of the recently reported prognostic scoring systems include a combination of clinical characteristics and additional somatic mutations¹⁴⁻¹⁶.

126

To date, relatively little is known about the incidence and prevalence of SM and its subtypes. A Danish analysis reported an overall incidence of SM (including over 80% of patients with ISM) of 0.9 per 100,000 per year ¹⁷. Van Doormaal et al. reported a prevalence of ISM of 13 per 100,000 inhabitants in the Netherlands ¹⁸. However, since initial reporting, the incidence and prevalence may have risen due to improved disease awareness and more widely available diagnostic tests, particularly serum tryptase and mutation analysis. The European Competence Network on Mastocytosis (ECNM) is focusing on the improvement of diagnosis and treatment of SM and comprises reference centers and centers of excellence all over Europe^{19, 20}. Between 2009 and 2018, 140 patients with advSM were referred to our ECNM center from all over Germany. On basis of both our local and the overall patient cohort from Germany and through comparison with data from a second and independent ECNM center, we sought to analyze epidemiologic characteristics of advSM.

138

139 Methods

140

We retrospectively evaluated 140 patients with advSM who were referred, diagnosed and treated at our ECNM center for mast cell disorders between January 2009 and December 2018. Classification of patients was based on established WHO criteria ³. All patients gave written informed consent and were registered in the 'German Registry on Disorders of Eosinophils and Mast Cells'. The study design adhered to the tenets of the Declaration of Helsinki and was approved by the institutional review board of the Medical Faculty of Mannheim, University of Heidelberg.

147

Mutation analysis for *KIT* D816V on RNA level and NGS analysis for additional mutations was performed
 as previously described^{6, 12}.

150

Statistical analyses were performed using GraphPad prism 8 software, San Diego, California or SAS, release 9.4 (SAS Institute Inc., Cary, North Carolina, USA). For qualitative factors absolute and relative frequencies are given. Quantitative variables are presented by median values together with corresponding ranges. Survival probabilities were calculated by the Kaplan-Meier Method, correlation between variables was

- 5 -

investigated using Mann-Whitney-U-test (t-approximation). In general, a test result with p less than 0.05
has been considered as statistically significant.

157

158 Results

159

Sex/Age. There was a significant male predominance (2:1; male, n=94; female, m=46). Median age at
diagnosis of advSM was 68 years (range, 26-86; female vs. male, median 67.2 vs. 69.5 years, p=0.024).
Median distance from hometown to our referral center was 194 (range 2-723) km. Distance was <50 km
in 29 (21%) patients, 50-100 km in 15 (11%), 100–200 km in 30 (21%) and >200 km in 66 (47%) of patients.
Therefore, more than half of the patients came to our center from beyond the close vicinity (Figure 1,
Supplementary Figure 1). Patient characteristics are listed in Table 1.

166

167 Mutations. A mutation in KIT was detectable in 136/140 (97%) patients, predominantly KIT D816V 168 (132/140, 94%). In 96% of KIT D816V positive patients, the mutation could be detected in BM and 169 peripheral blood (PB), only 5 patients who were tested positive in BM were negative in PB using RNA-170 based quantitative real time PCR technique ⁶. The median *KIT* D816V expressed allele burden (EAB, i.e. 171 quantification of the KIT D816V allele burden on RNA level) in PB was 29% (range 0-77%). Two patients 172 (1%) were positive for KIT D816H and KIT D816Y, respectively. At time of progression from ISM to advSM, 173 a KIT mutation was detectable in PB of 22/23 (96%) patients (KIT D816V, n=21; KIT D816H, n=1). Targeted 174 next-generation sequencing (NGS) was performed in 130/140 patients. At least one additional mutation 175 was found in 103/130 (79%) patients, with a statistically significant misbalance between male and female 176 (male, 76/87, 87% vs. female, 27/43, 63%, p=0.0057) patients. In 57/76 (75%) male patients and 17/27 177 (63%) female patients, at least one mutation in the S/A/R gene panel was identified.

178

- 6 -

179 **Classification of SM.** The 140 patients were sub-classified as SM-AHN (n=105, 75%), ASM (n=9, 6%) and 180 MCL (n=26, 19%). Interestingly, 17/26 patients with MCL presented with MCL-AHN. AHN overall comprised 181 chronic myelomonocytic leukemia (CMML, 44/122, 36%), myelodysplastic/myeloproliferative neoplasm 182 (MDS/MPN unclassifiable, 31/122, 25%), MDS (13/122, 11%), hypereosinophilic syndrome/chronic 183 eosinophilic leukemia, not otherwise specified (HES/CEL-NOS, 12/122, 10%), various subtypes of MPN 184 (12/122, 10%), acute myeloid leukemia (AML, 15/122, 12%), chronic lymphocytic leukemia (CLL) or 185 lymphoma (3/122, 2%) and multiple myeloma (1/122, 1%).

186

187 *De novo* advSM vs. advSM with history of ISM. The majority of patients was diagnosed with *de novo* 188 advSM (n=117, 84%) without a history of ISM. In 29 patients (21%), BM analysis at initial presentation 189 revealed various hematologic disorders including CMML (n=6), MDS (n=5), MPN (n=5), MDS/MPN (n=4), 190 immune thrombocytopenia (n=4), lymphoma (n=2), reactive conditions (n=2), HES (n=1) and one patient 191 with metastatic breast cancer (n=1) but failed to identify an underlying SM. Only a repeated investigation 192 led to correct diagnoses median 24 months (range 1.3-86) after initial diagnosis.

193

194 In a cohort of 23 patients, the diagnosis of advSM was established median 32 months (range 1-165) after 195 initial diagnosis of ISM ("advSM with history of ISM"). All those patients presented with features of a 196 multilineage and/or multimutated myeloid disease process, with measurable KIT D816V EAB in PB (22/23, 197 96%), somatic mutations (14/22, 64%) in the S/A/R gene panel (10/22, 46%) or other genes (n=4; JAK2, 198 n=3; TET2, n=3; CBL, n=1; EZH2, n=1) and/or alternative features of myeloid proliferation, e.g. monocytosis 199 or eosinophilia (13/23, 57%). In the absence of morphologically proven AHN or C-findings, these features 200 are in clear favor of an ISM with multilineage involvement or an overlooked SM-AHN. The majority of 201 patients therefore presented with SM-AHN or MCL-AHN (17/23, 74%). Interestingly, C-findings were only present in 8/23 (35%) patients in this cohort which may be due to the fact that the SM component in SMAHN was often ISM and some of the cases with MCL had chronic MCL without C-findings.

204

Incidence and prevalence. In the last 15 years, the median number of newly referred patients was 10 per
year (range 0-19, Figure 1) and the median number of patients being managed at our site was 34 (range
1-74) per year, with higher numbers following the initiation of the midostaurin trial ²¹ in 2009 (median
number of new referrals, n=13, range 5-19; ongoing patients, n=57, range 21-74; Figure 2a/b).

209

210 Because of the significant heterogeneity of referrals from various regions of Germany (map), we 211 subsequently focused for calculation of incidence and prevalence of advSM in Germany on patients from 212 the closer environment of the University Hospital Mannheim, the so-called Metropolitan Region Rhein-213 Neckar (MPRN, Supplementary Figure 1) with 2.4 million inhabitants. Based on a total of 30 newly 214 diagnosed patients throughout the whole time period, we calculated an incidence of 0.4 (prior to 2008) 215 and 0.8 (from 2009), respectively, and a prevalence of 3.3 (overall, range 1-18) and 5.2 (from 2009, range 216 7-18), respectively, per 1 million inhabitants. Approximated current numbers for incidence and prevalence 217 would be 68 and 431, respectively, for the entire region of Germany with 82.8 million inhabitants.

218

We sought to validate our data in a second, independent ECNM center (University Hospital Aachen, Germany) covering approximately 555,000 inhabitants. Calculated current numbers (2013-2018) for incidence and prevalence are 0.9 and 7, respectively, per 1 million inhabitants, corresponding to an incidence and prevalence of around 75 and 597 for the entire region of Germany. Figure 1 depicts all analyzed patients from Aachen (blue) and Mannheim (red).

Overall survival in various subgroups. Age was a risk factor for inferior survival. Median Overall survival (OS) in ASM, SM-AHN and MCL ± AHN was 'not reached', 3.3 years, and 2.6 years, respectively. OS in male patients was significantly shorter as compared to female patients (2.6 vs. 4.2 years from diagnosis of advSM, p=0.019; 3.5 vs. 10.5 years from diagnosis of SM, p=0.039).

229

230 Patients were classified according to the recently published mutation-adjusted risk score for advSM 231 (MARS)¹⁴: a validated, five-parameter (one point each for: age>60, hemoglobin<10g/dl, 232 platelets<100x10⁹/l, presence of 1 S/A/R mutation, presence of >1 S/A/R mutation) WHO-independent 233 prognostic score that defines three risk groups (low risk, 0-1 points; intermediate risk, 2 points; high risk, 234 3-5 points) for patients with advSM. The relative proportion of MARS low-risk (23/104, 22% vs. 10/22, 235 45%) and MARS high-risk patients (56/104, 54% vs. 7/22, 32%, p=0.026) and the median OS (3.1 years vs. 236 not reached, p=0.015, data not shown) was significantly different between patients with de novo advSM 237 and patients with progression from "ISM". In the latter cohort, S/A/R positive patients had less frequent 238 hematological C-findings (42% vs. 60%; Table 2) but OS was still inferior compared to S/A/R negative 239 patients.

240

Concurrent solid cancer. In 32/140 (23%) patients, a variety of preceding solid cancers were diagnosed in the skin (basalioma, n=4; melanoma n=2; epithelial cancer, n=1), urogenital tract (prostate cancer, n=6, renal cell carcinoma, n=4; urothelial carcinoma, n=2; seminoma n=1), gastrointestinal tract (gastric cancer, n=2; colorectal cancer, n=2; cholangiocarcinoma, n=1) or breast (n=4). During follow-up, one patient each developed a MC sarcoma, a cholangiocarcinoma and a non-Hodgkin lymphoma. Incidence of solid cancer was not increased as compared to an age-matched population.

247

249 **Discussion**

250 In adult patients, SM is characterized by variable MC infiltration in the BM accompanied by an almost 251 invariable involvement of visceral organs. A KIT D816 mutation, most frequently D816V, is present in >90% 252 of all patients with SM, whereas an elevated serum tryptase is measurable in >80% of patients with ISM and in almost all patients with advSM ^{6, 22, 23}. However, despite a broad availability of these diagnostic 253 254 markers, our data suggest that the diagnosis of advSM is critically delayed in at least 30-40% of patients 255 and is likely to be completely overlooked in a significant proportion of patients unless cases are referred 256 to a specialized center ²⁴. Whereas ISM and MCL are relatively easy to recognize and to differentiate, based 257 on the extent of low/high BM MC infiltration and low/high serum tryptase, respectively, the diagnosis of 258 SM-AHN remains most problematic and controversial.

259

260 SM-AHN is basically characterized by multilineage involvement of the KIT D816V mutation through which 261 the mutation can be identified at variable frequencies in all myeloid lineages, most frequently in 262 monocytes and eosinophils, but potentially also in erythropoiesis, megakaryopoiesis and even 263 lymphopoiesis ^{5, 25-28}. In addition, the vast majority of patients with SM-AHN are positive for additional 264 somatic mutations, e.g., in the S/A/R gene panel, otherwise also found in related myeloid neoplasms such 265 as CMML or MDS/MPN, acting as very strong adverse prognostic markers¹²⁻¹⁴. SM-AHN can therefore 266 present with individually highly variable combinations of low or high BM MC burden, low or high levels of 267 serum tryptase and low or high levels of multilineage and multimutated involvement, most frequently of 268 monocytes and eosinophils. Without staining of BM biopsy slides for tryptase or CD117 (KIT) and in the 269 absence of testing for serum tryptase and/or KIT D816V, SM is overlooked in at least 5%, probably up to 270 10% of patients and particularly in those with CMML, MDS/MPN or CEL-NOS/MPN with eosinophilia. 271 Finally, skin lesions are often absent in advSM. All these issues and caveats can explain why advSM, 272 especially SM-AHN is often overlooked or diagnosed with a substantial delay.

273

274 In our series, diagnosis of SM was initially missed in 20% of patients who were primarily diagnosed with 275 one of these myeloid neoplasms for a median of 2 years. An interesting observation was that in the cohort 276 of advSM patients with a history of ISM and suspected progression into advSM, an individually variable 277 presence of monocytosis, eosinophilia, high KIT D816V EAB in PB and/or even mutations in the S/A/R gene 278 was identified. Every patient in this cohort had at least one of these features, thus clearly suggesting the 279 presence of SM with multilineage involvement or even as yet unrecognized (early) advSM rather than 280 typical ISM. The significant difference in overall survival between S/A/R positive de novo advSM and S/A/R 281 positive secondary advSM is based upon the significantly higher frequency of relevant C-findings and 282 consequently higher proportion of high-risk patients according to the "Mutation Associated Risk Score 283 (MARS)" in *S*/*A*/*R* positive *de novo* advSM patients.

284

285 The incidence and prevalence of advSM is unclear due to the rarity of this disorder ^{17, 18}. Having the status 286 of an ECNM center, our national registry and the availability of clinical trials have led to referral of >500 287 SM patients within the last 10 years and formed the basis for our epidemiologic studies on 140 patients 288 with advSM. Because the origin of the 140 patients was very heterogeneous, with significantly fewer 289 patients coming from northern and eastern Germany, and because it could be argued that data are biased 290 because of referral of non-local patients to specialized centers, we primarily focused our calculations on 291 incidence and prevalence of advSM on two restricted local areas with 2.4 million (MPRN) and 0.55 million 292 (Aachen City region, AAR) inhabitants, respectively, directly surrounding the ECNM centers in Mannheim 293 and Aachen. A possible explanation for the lower incidence but higher prevalence in AAR may be a lower 294 number of high-risk patients in the Aachen cohort, best proven by a lower proportion of S/A/R positive 295 patients as compared to the Mannheim cohort (3/17, 18% vs. 71/123, 58%). In addition, less frequent 296 thorough diagnosis and referral of older/frail patients may also add to an underestimation of the real incidence of advSM. Nonetheless, the incidence and prevalence have constantly risen in both areas, whilenumbers are significantly lower in regions without such centers.

299

In addition, this series revealed even more relevant data on disease characteristics and epidemiologic aspects. Apart from AHN, concomitant hematologic or solid malignancies were present in 23% of patients, which is higher than the 10% of patients recently reported ²⁹. Five patients had two or even more simultaneous or consecutive malignancies apart from SM and AHN, suggesting potential predisposition for development of various hematologic and/or solid neoplasms in those five patients. However, incidence of solid cancer in general was not increased in the SM cohort as compared to an age-matched population.

306

307 But which factors have contributed to the improved disease awareness, when BM histology and serum 308 tryptase have otherwise already been available for several years? The first major step forward was the 309 broad availability of qualitative and quantitative PCR techniques for identification of mutations in KIT, most 310 importantly KIT D816V. Quantitative PCR at DNA (variant allele frequency, VAF) or RNA/cDNA (expressed 311 allele burden, EAB) level, if routinely available, allows rapid diagnosis of SM, decisively supporting 312 adequate subclassification and allowing monitoring of disease response^{6, 30, 31}. The second major step 313 forward was the observation of responses of advSM on KIT inhibitors such as midostaurin, and more 314 recently, avapritinib or ripretinib³². Thirdly, the prognostic relevance of next generation sequencing-based 315 identification of additional somatic mutations has shown the strong relationship between SM and various 316 other myeloid neoplasms, with somatic mutations such as S/A/R representing the genetic complexity and 317 prognostic relevance while KIT mutations influence phenotype and disease burden^{12, 13, 33}. The annual 318 meetings of the ECNM may also have contributed to increased awareness and education of young 319 colleagues. Finally, the establishment of national (e.g. Germany Registry on Eosinophils and Mast Cells) and European (ECNM) registries was of pivotal importance, and has also attracted non-European
 partners³⁴.

We conclude that i) disease awareness has constantly risen through establishment of registries and the

322

323

324 availability of new therapeutic options, ii) incidence and prevalence of advSM is significantly higher than 325 currently appreciated and the detection rate is highest in areas with specialized centers, iii) prognosis is 326 inferior in male vs. female patients and in de novo vs. secondary advSM, and iv) beside estimation of BM 327 MC infiltration and serum tryptase, the qualitative and quantitative assessment of the KIT D816V EAB or 328 VAF and additional somatic mutations in patients with SM(-AHN) and all related myeloid neoplasms are of 329 utmost importance for reliable diagnosis, subclassification and prognostication of SM. 330 331 Acknowledgements: 332 The authors thank all physicians who contributed clinical data of their patients into the "German Registry 333 on Disorders of Eosinophils and Mast Cells". 334 335 References 336 Valent P, Horny HP, Escribano L, Longley BJ, Li CY, Schwartz LB, et al. Diagnostic criteria 1. 337 and classification of mastocytosis: a consensus proposal. Leuk Res 2001; 25:603-25. 338 2. Valent P, Akin C, Metcalfe DD. Mastocytosis: 2016 updated WHO classification and novel 339 emerging treatment concepts. Blood 2017; 129:1420-7. 340 Arber DA, Orazi A, Hasserjian R, Thiele J, Borowitz MJ, Le Beau MM, et al. The 2016 revision 3. 341 to the World Health Organization classification of myeloid neoplasms and acute leukemia. 342 Blood 2016; 127:2391-405. 343 4. Horny HP AC, Metcalfe DD, Escribano L, Bennett JM, Valent P, Bain BJ. World Health 344 Organization (WHO) Classification of Tumours. Mastocytosis (Mast cell disease). . In: Swerdlow SH CE, Harris NL, Jaffe ES, Pileri SA, Stein H, Thiele J, Vardiman JW, editor. 345 346 Tumours of Haematopoietic and Lymphoid Tissues. Lyon, France: IARC Press; 2008. p. 54-347 63. 348 Jawhar M, Schwaab J, Schnittger S, Sotlar K, Horny HP, Metzgeroth G, et al. Molecular 5. 349 profiling of myeloid progenitor cells in multi-mutated advanced systemic mastocytosis

350 identifies KIT D816V as a distinct and late event. Leukemia 2015; 29:1115-22.

- Erben P, Schwaab J, Metzgeroth G, Horny HP, Jawhar M, Sotlar K, et al. The KIT D816V
 expressed allele burden for diagnosis and disease monitoring of systemic mastocytosis.
 Ann Hematol 2014; 93:81-8.
- Valent P, Akin C, Hartmann K, Nilsson G, Reiter A, Hermine O, et al. Advances in the
 Classification and Treatment of Mastocytosis: Current Status and Outlook toward the
 Future. Cancer Res 2017; 77:1261-70.
- 3578.Pardanani A. Systemic mastocytosis in adults: 2017 update on diagnosis, risk stratification358and management. Am J Hematol 2016; 91:1146-59.
- Sperr WR, Kundi M, Alvarez-Twose I, van Anrooij B, Oude Elberink JNG, Gorska A, et al.
 International prognostic scoring system for mastocytosis (IPSM): a retrospective cohort
 study. Lancet Haematol 2019; 6:e638-e49.
- Jawhar M, Schwaab J, Meggendorfer M, Naumann N, Horny HP, Sotlar K, et al. The clinical
 and molecular diversity of mast cell leukemia with or without associated hematologic
 neoplasm. Haematologica 2017; 102:1035-43.
- Jawhar M, Schwaab J, Naumann N, Horny HP, Sotlar K, Haferlach T, et al. Response and
 progression on midostaurin in advanced systemic mastocytosis: KIT D816V and other
 molecular markers. Blood 2017; 130:137-45.
- 36812.Schwaab J, Schnittger S, Sotlar K, Walz C, Fabarius A, Pfirrmann M, et al. Comprehensive369mutational profiling in advanced systemic mastocytosis. Blood 2013; 122:2460-6.
- Jawhar M, Schwaab J, Schnittger S, Meggendorfer M, Pfirrmann M, Sotlar K, et al.
 Additional mutations in SRSF2, ASXL1 and/or RUNX1 identify a high-risk group of patients
 with KIT D816V(+) advanced systemic mastocytosis. Leukemia 2016; 30:136-43.
- Jawhar M, Schwaab J, Alvarez-Twose I, Shoumariyeh K, Naumann N, Lubke J, et al. MARS:
 Mutation-Adjusted Risk Score for Advanced Systemic Mastocytosis. J Clin Oncol 2019;
 375 37:2846-56.
- Munoz-Gonzalez JI, Jara-Acevedo M, Alvarez-Twose I, Merker JD, Teodosio C, Hou Y, et al.
 Impact of somatic and germline mutations on the outcome of systemic mastocytosis.
 Blood Adv 2018; 2:2814-28.
- Pardanani A, Shah S, Mannelli F, Elala YC, Guglielmelli P, Lasho TL, et al. Mayo alliance
 prognostic system for mastocytosis: clinical and hybrid clinical-molecular models. Blood
 Adv 2018; 2:2964-72.
- 382 17. Cohen SS, Skovbo S, Vestergaard H, Kristensen T, Moller M, Bindslev-Jensen C, et al.
 383 Epidemiology of systemic mastocytosis in Denmark. Br J Haematol 2014; 166:521-8.
- 18. van Doormaal JJ, Arends S, Brunekreeft KL, van der Wal VB, Sietsma J, van Voorst Vader
 PC, et al. Prevalence of indolent systemic mastocytosis in a Dutch region. J Allergy Clin
 Immunol 2013; 131:1429-31 e1.
- Gotlib J, Pardanani A, Akin C, Reiter A, George T, Hermine O, et al. International Working
 Group-Myeloproliferative Neoplasms Research and Treatment (IWG-MRT) & European
 Competence Network on Mastocytosis (ECNM) consensus response criteria in advanced
 systemic mastocytosis. Blood 2013; 121:2393-401.
- Valent P, Arock M, Bischoff SC, Buhring HJ, Brockow K, Escribano L, et al. The European
 Competence Network on Mastocytosis (ECNM). Wien Klin Wochenschr 2004; 116:647-51.

- 393 21. Gotlib J, Kluin-Nelemans HC, George TI, Akin C, Sotlar K, Hermine O, et al. Efficacy and
 394 Safety of Midostaurin in Advanced Systemic Mastocytosis. N Engl J Med 2016; 374:2530395 41.
- Valent P, Escribano L, Broesby-Olsen S, Hartmann K, Grattan C, Brockow K, et al. Proposed
 diagnostic algorithm for patients with suspected mastocytosis: a proposal of the European
 Competence Network on Mastocytosis. Allergy 2014; 69:1267-74.
- Theoharides TC, Valent P, Akin C. Mast Cells, Mastocytosis, and Related Disorders. N Engl
 J Med 2015; 373:163-72.
- 401 24. Jawhar M, Schwaab J, Horny HP, Sotlar K, Naumann N, Fabarius A, et al. Impact of
 402 centralized evaluation of bone marrow histology in systemic mastocytosis. Eur J Clin Invest
 403 2016; 46:392-7.
- Pardanani A, Reeder T, Li CY, Tefferi A. Eosinophils are derived from the neoplastic clone
 in patients with systemic mastocytosis and eosinophilia. Leuk Res 2003; 27:883-5.
- 406 26. Teodosio C, Garcia-Montero AC, Jara-Acevedo M, Alvarez-Twose I, Sanchez-Munoz L,
 407 Almeida J, et al. An immature immunophenotype of bone marrow mast cells predicts for
 408 multilineage D816V KIT mutation in systemic mastocytosis. Leukemia 2012; 26:951-8.
- Akin C, Kirshenbaum AS, Semere T, Worobec AS, Scott LM, Metcalfe DD. Analysis of the
 surface expression of c-kit and occurrence of the c-kit Asp816Val activating mutation in T
 cells, B cells, and myelomonocytic cells in patients with mastocytosis. Exp Hematol 2000;
 28:140-7.
- 413 28. Yavuz AS, Lipsky PE, Yavuz S, Metcalfe DD, Akin C. Evidence for the involvement of a
 414 hematopoietic progenitor cell in systemic mastocytosis from single-cell analysis of
 415 mutations in the c-kit gene. Blood 2002; 100:661-5.
- 41629.Shivarov V, Gueorguieva R, Ivanova M, Stoimenov A. Incidence of second solid cancers in417mastocytosis patients: a SEER database analysis. Leuk Lymphoma 2018; 59:1474-7.
- Greiner G, Gurbisz M, Ratzinger F, Witzeneder N, Simonitsch-Klupp I, MitterbauerHohendanner G, et al. Digital PCR: A Sensitive and Precise Method for KIT D816V
 Quantification in Mastocytosis. Clin Chem 2018; 64:547-55.
- 421 31. Kristensen T, Vestergaard H, Moller MB. Improved detection of the KIT D816V mutation
 422 in patients with systemic mastocytosis using a quantitative and highly sensitive real-time
 423 qPCR assay. J Mol Diagn 2011; 13:180-8.
- 424 32. Lubke J, Naumann N, Kluger S, Schwaab J, Metzgeroth G, Evans E, et al. Inhibitory effects
 425 of midostaurin and avapritinib on myeloid progenitors derived from patients with KIT
 426 D816V positive advanced systemic mastocytosis. Leukemia 2019; 33:1195-205.
- Jawhar M, Schwaab J, Hausmann D, Clemens J, Naumann N, Henzler T, et al.
 Splenomegaly, elevated alkaline phosphatase and mutations in the SRSF2/ASXL1/RUNX1
 gene panel are strong adverse prognostic markers in patients with systemic mastocytosis.
 Leukemia 2016; 30:2342-50.
- 431 34. Valent P, Oude Elberink JNG, Gorska A, Lange M, Zanotti R, van Anrooij B, et al. The Data
 432 Registry of the European Competence Network on Mastocytosis (ECNM): Set Up, Projects,
 433 and Perspectives. J Allergy Clin Immunol Pract 2019; 7:81-7.
- 434
- 435
- 436

437 **Table 1:** Patient characteristics

	n	Age, median (range)	Serum tryptase level, median (range)	<i>KIT</i> D816 positive, <i>n</i>
ASM	9	65 (27-78)	211 (80-561)	9
SM-AHN	105	69 (26-87)	132 (5-951)#	104
MCL	9	63 (47-75)	628 (193-1,690)	7*/**
MCL-AHN	17	68 (48-86)	371 (61-1,675)	16*/**

* *KIT* D816Y, ***KIT* D816H

[#] normal serum tryptase level in 7 patients (5%)

ASM: aggressive systemic mastocytosis, SM-AHN: systemic mastocytosis with associated hematologic neoplasm, MCL: mast cell leukemia;

439

440

442 Table 2: clinical and laboratory parameters in advSM patients with and without history of indolent

443 systemic mastocytosis

444

	-	of "ISM" to	De novo advSM		
	advSM/misdiag	nosis of AdvSM	Denov	De novo advsivi	
NGS	22/23		104/117		
S/A/R	negative	positive	negative	positive	
Number of patients (%)	12 (57%)	10 (43%)	40 (45%)	64 (55%)	
SM-AHN	7	10	32	62	
Median time (years) to diagnosis of advSM (range)	5.2 (0.7-38)	2.3 (0.2-13)	NA	NA	
C-findings					
Hemoglobin, g/dl; median(range)	12.5 (9.8-15.1)	11.3 (9.2-14.3)	11.1 (5.8-16)	10.7 (6.5-14.2	
<10g/dl, n (%)	1 (8)	2 (20)	15 (38)	28 (44)	
Platelets, x10 ⁹ /l; median (range)	200 (83-441)	123 (18-308)	170 (12-993)	95 (12-795)	
<100 x10 ⁹ /l, <i>n</i> (%)	3 (25)	3 (30)	15 (38)	34 (53)	
Albumin level, g/l; median (level)	40 (24-45)	38 (32-46)	39 (22-58)	35 (19-48)	
<30g/l, n (%)	1 (8)	0 (0)	4 (10)	7 (11)	
Alkaline phosphatase, U/l; median (range)	99 (55-511)	176 (49-621)	127 (50-640)	259 (54-1206)	
>126 U/I, n (%)	4 (33)	6 (60)	18 (45)	51 (80)	
B-findings					
Serum tryptase level, μg/l; median (range)	194 (61-1030)	335 (41-952)	124 (5-1690)	187 (24-1675)	
>200µg/I, n (%)	5 (42)	7 (70)	14 (35)	29 (45)	
Splenomegaly, n (%)	12 (100)	8 (80)	30 (75)	55 (86)	
Ascites, n (%)	4 (33)	6 (60)	10 (25)	31 (48)	
Additional SM and/or AHN relevant					
findings					
KIT D816V AB in PB, %; median (range)	28 (12-77)	45 (0-74)	19 (0-67)	31 (0-74)	
Leukocytes, x10 ⁹ /l; median (range)	8.2 (3.6-19.3)	9.8 (4.4-66)	11.4 (2.4-89.8)	8.7 (1.3-123.5	
Eosinophils, x10 ⁹ /l; median (range)	0.3 (0.0-1.3)	0.9 (0.0-13.2)	0.3 (0.0-16.3)	0.4 (0.0-100)	
>1x10 ⁹ /l, n (%)	3 (25)	3 (30)	8 (20)	20 (31)	
Monocytes, x10 ⁹ /l; median (range)	0.5 (0.2-2.7)	1.2 (0.4-3.8)	0.6 (0-4.1)	1.1 (0.07-17.9	
>1 x10 ⁹ /l, <i>n</i> (%)	3 (25)	5 (50)	9 (23)	32 (50)	
Outcome					
MARS:					
Low, n (%)	9 (75)	1 (10)	23 (58)	0 (0)	
Intermediate, n (%)	2 (17)	3 (30)	12 (30)	13 (20)	
High <i>, n</i> (%)	1 (8)	6 (60)	5 (12)	51 (80)	
Median overall survival (years)	NR	5.7	10.5	2.5	

AB: allele burden; advSM: advanced systemic mastocytosis; ISM: indolent systemic mastocytosis; MARS: mutation-adjusted risk score in advSM; NA: not applicable; NGS: next generation sequencing; NR: not reached;

NA: not applicable; PB: peripheral blood; *S/A/R*: *SRSF2/ASXL1/RUNX1*; SM-AHN: systemic mastocytosis with an associated hematologic neoplasm

447	Figure Legend
448	
449	Figure 1: Place of residence of all patients with advSM who were referred to Aachen or Mannheim
450	between 2003 and 2018.
451	
452	Figure 2a: Cumulative frequencies of newly diagnosed advSM patients in Mannheim and Aachen 2003-
453	2018. *=patients; AAR= Aachen city region, MPRN= Metropolitan Region Rhein Neckar
454	
455	Figure 2b: Cumulative frequencies of advSM patients being treated in Mannheim and Aachen 2003-2018
456	
457	
458	

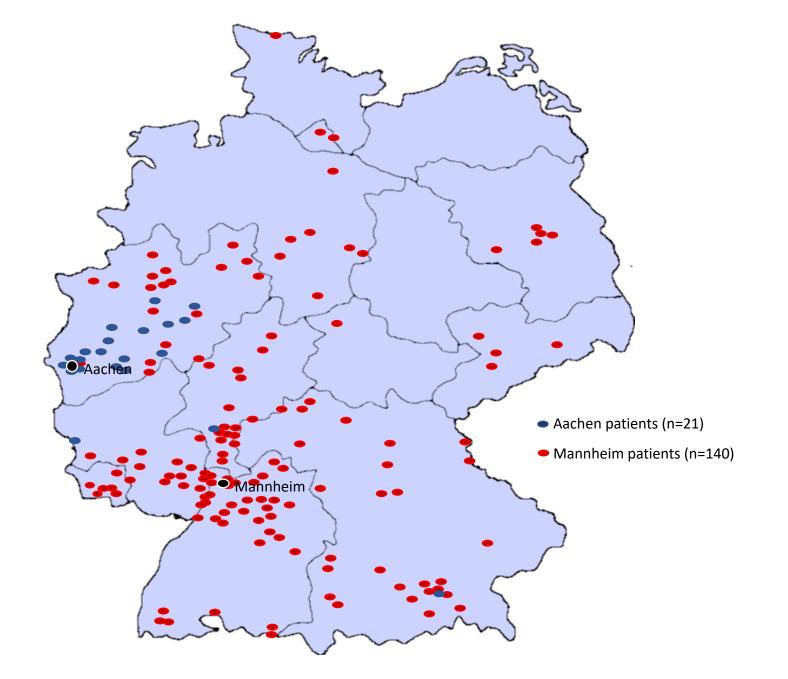


Figure 1 place of residence of all patients with advanced SM who presented at Aachen or Mannheim between 2003 and 2018

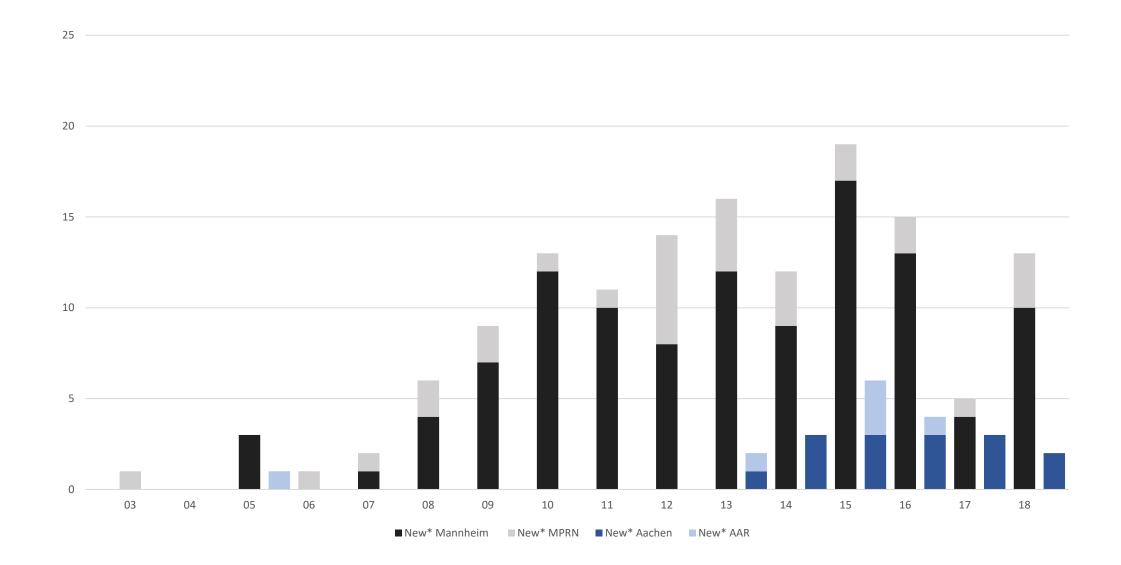
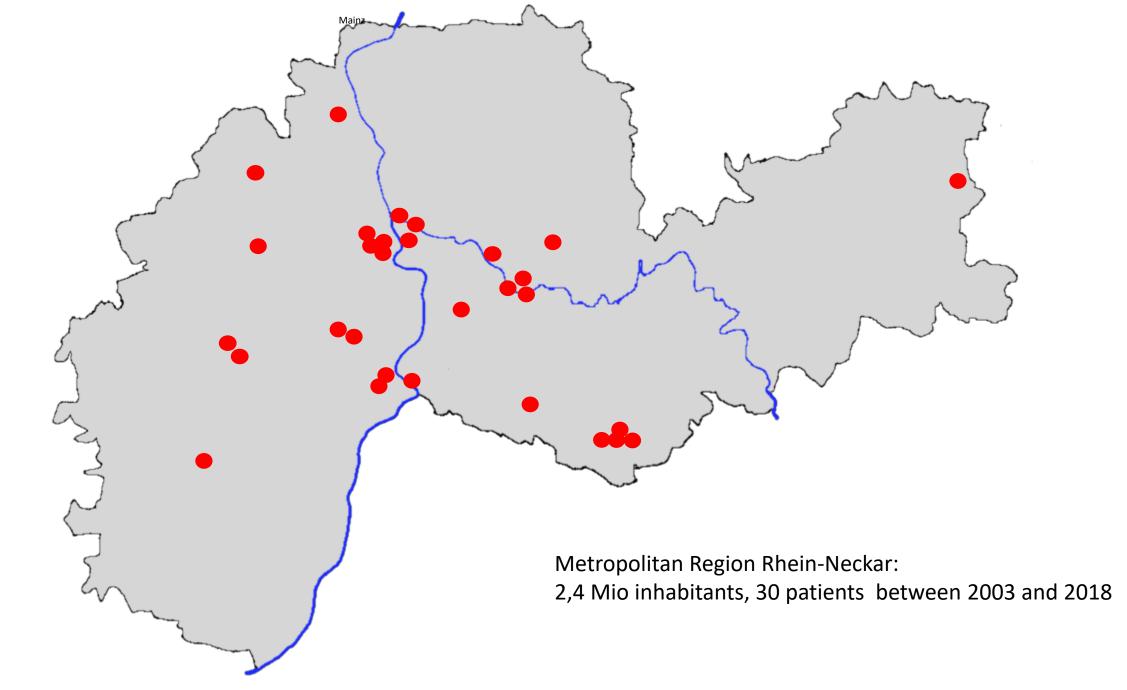


Figure 2a: cumulative frequencies of newly diagnosed advSM patients in Mannheim and Aachen 2003-2018



Supplementary Figure 1: Map of the Metropolitan Region Rhein-Neckar (MPRN) delineating the origin of 30 patients from that area

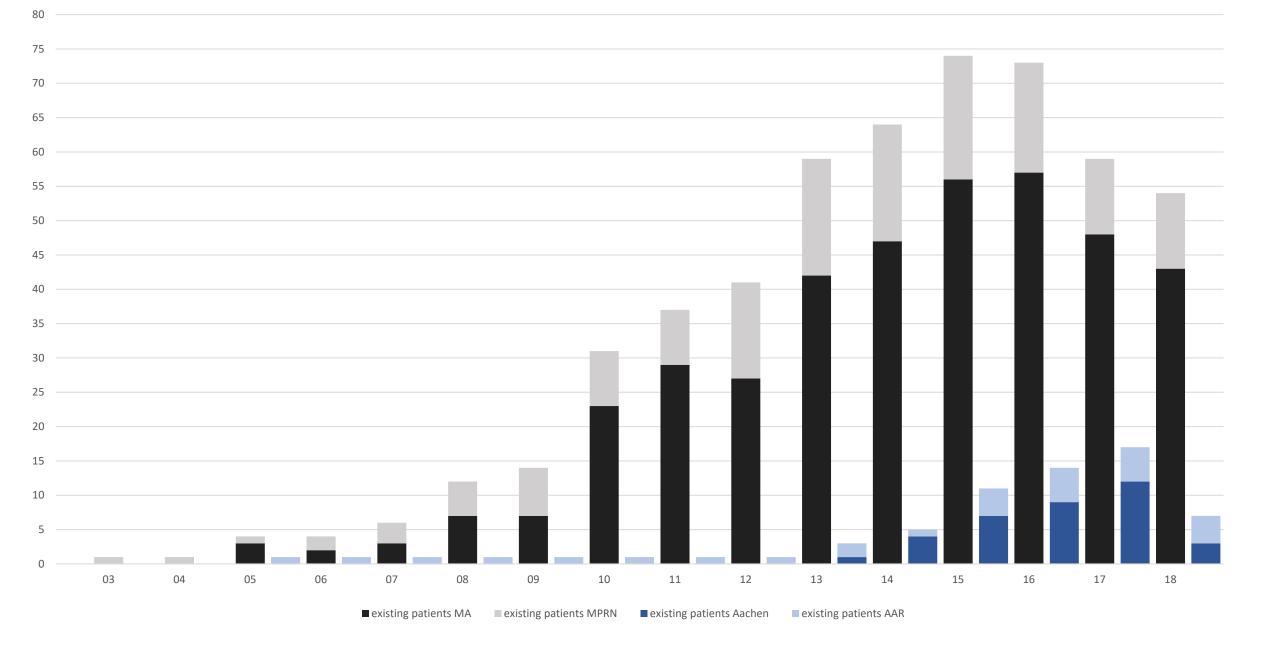


Figure 2b: cumulative frequencies of advSM patients being treated in Mannheim and Aachen 2003-2018