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# Hysteretic Behavior of Moment-Resisting Frames Considering Slab Restraint and Framing Action

Hammad El Jisr<sup>1</sup>, S.M. ASCE; Ahmed Elkady<sup>2</sup>; and Dimitrios G. Lignos<sup>3\*</sup>, M. ASCE

6 Abstract: This paper examines the influence of the framing action and slab continuity on the 7 hysteretic behavior of composite-steel moment-resisting frames (MRFs) by means of high-fidelity 8 continuum finite element (CFE) analyses of two-bay sub-systems and typical cruciform 9 subassemblies. The CFE model, which is made publicly available, is thoroughly validated with 10 available full-scale experiments and considers variations in the beam depth and the imposed 11 loading history. The simulation results suggest that beams in sub-systems may experience up to 12 25% less flexural strength degradation than those in typical subassemblies. This is due to local 13 buckling straightening from the slab continuity and framing action evident in sub-systems. For the 14 same reason, beam axial shortening due to local buckling progression is up to five times lower in sub-systems than in subassemblies, which is consistent with field observations. While the hysteretic 15 16 behavior of interior panel zone joints is symmetric, exterior joint panel zones in sub-systems 17 experience large asymmetric shear distortions regardless of the employed lateral loading history. 18 From a design standpoint, it is found that the probable maximum moment in deep and slender beams ( $d_b \ge 700$ mm) may be up to 25% higher than that predicted by current design provisions 19 with direct implications to capacity design of steel MRFs. The 25% reduction in the shear stud 20 21 capacity as proposed by current seismic provisions is not imperative for MRFs comprising 22 intermediate to shallow beams and/or featuring a high degree of composite action ( $\eta > 80\%$ ) as 23 long as ductile shear connectors are employed.

24 Keywords: Composite-steel moment resisting frames; Slab restraint; Frame continuity;

25 Continuum finite element analysis; Cyclic deterioration; Panel zone shear distortion; Lateral load

26 protocol; Shear stud behavior

<sup>&</sup>lt;sup>1</sup> PhD candidate, RESSLab, ENAC, École Polytechnique Fédérale de Lausanne (EPFL), Switzerland. E-mail: <u>hammad.eljisr@epfl.ch</u>

 <sup>&</sup>lt;sup>2</sup> Lecturer, University of Southampton, Southampton, United Kingdom. E-mail: <u>a.elkady@soton.ac.uk</u> (formerly, Post-doctoral research scientist, RESSLab, ENAC, École Polytechnique Fédérale de Lausanne (EPFL), Switzerland)
 <sup>3\*</sup> (Corresponding Author) Associate professor, RESSLab, ENAC, École Polytechnique Fédérale de Lausanne (EPFL), Switzerland. E-mail: <u>dimitrios.lignos@epfl.ch</u>

#### 27 Introduction

28 The 1994 Northridge and 1995 Kobe earthquakes led to a paradigm shift in the seismic design 29 of steel moment resisting frames (MRFs). As part of the SAC<sup>1</sup> project (Mahin 1998), multiple 30 testing programs were conducted on beam-to-column subassemblies (Engelhardt et al. 2000; 31 FEMA 2000b; Ricles et al. 2002; Sumner and Murray 2002; Tremblay et al. 1997; Uang et al. 32 2000; Zhang et al. 2004). These tests formed the basis for the development of today's pre-qualified 33 beam-to-column connections for seismic applications in the US (AISC 2016a). A concerted effort 34 is currently underway in Europe (Landolfo et al. 2018) regarding the same matter. 35 The significant majority of the tests conducted as part of the SAC project involved

36 subassemblies with T- or cruciform-shaped configurations. While these subassemblies may be 37 convenient for physical testing due to their overly-simplified boundary conditions, they do not 38 represent reality at damage states associated with large inelastic deformations (Cordova and 39 Deierlein 2005; Zerbe and Durrani 1989). In particular, beams in cruciform subassemblies are free 40 to shorten axially (Civian et al. 2001; MacRae et al. 2013) after the formation of local buckling 41 within the anticipated dissipative zone of a steel beam. This is not evident in system-level tests 42 (Cordova and Deierlein 2005; Del Carpio et al. 2014) and field observations (Clifton et al. 2011; 43 Okazaki et al. 2013), where beam local buckling is delayed due to the axial restraint provided by 44 the slab continuity (Cordova and Deierlein 2005; Donahue et al. 2017; FEMA 2000a; Herrera et 45 al. 2008). Moreover, the floor slab and adjacent columns in buildings provide restraint to the beam and inhibit axial shortening (PEER/ATC 2010). This may result in an appreciable increase in the 46 47 plastic rotation capacity of the steel beam (FEMA 2000a; Kwasniewski et al. 2002). Although 48 inconclusive, El Jisr et al. (2019) highlighted that the plastic rotation capacity of composite steel 49 beams directly deduced from system-level or sub-system tests may be at least two times larger 50 than that deduced from beams in cruciform configurations.

51 The effect of the slab axial restraint may have significant implications on nonlinear modeling 52 of steel MRF beams. Common numerical modeling approaches include point plastic hinge models

53 as well as distributed finite-element approaches (Deierlein et al. 2010). While point hinge (Elkady

<sup>&</sup>lt;sup>1</sup> SAC is a joint venture of the Structural Engineers Association of California (SEAOC), the Applied Technology Council (ATC) and California Universities for Research in Earthquake Engineering (CUREe)

54 and Lignos 2014; Ibarra et al. 2005; Lignos and Krawinkler 2011; Rassati et al. 2004) and resultant 55 section models (El-Tawil and Deierlein 2001; Mehanny and Deierlein 2000) for composite steel 56 beams are available in the literature, they have been established on the basis of subassembly tests. 57 Hence, potential differences, due to the framing action, in the hysteretic behavior of beams 58 between interior and exterior joints of a steel MRF are ignored. Furthermore, these models 59 typically neglect the interface slip between the steel beam and the concrete slab. These effects may 60 be captured with fiber models (Amadio and Fragiacomo 1993; Ayoub 2005; Ayoub and Filippou 61 2000; Bursi et al. 2005; Bursi and Ballerini 1996; Gattesco 1999; Salari and Spacone 2001). Albeit 62 these models are computationally efficient, they require effective stress-strain formulations with 63 softening to trace strength and stiffness deterioration (Kolwankar et al. 2018; Suzuki and Lignos 2018). In the absence of comprehensive experimental data, continuum finite element (CFE) 64 65 models offer a rational alternative to quantify the aforementioned effects. Past studies involving 66 CFE models (Alashker et al. 2010; Elkady and Lignos 2015b, 2018a; Zhang et al. 2004; Zhou et al. 2007) focused mostly on the dependence of strength and stiffness deterioration of steel members 67 68 on nonlinear geometric instabilities (e.g., local and/or lateral torsional buckling). To the best of the 69 authors' knowledge, there are no comprehensive studies to elucidate the physical mechanisms 70 associated with the slab axial restraint at interior and exterior beam-to-column joints within a sub-71 system (entire story) and/or structural system.

72 From a seismic design standpoint, headed shear studs transfer seismic inertia forces through the 73 slab into the MRF steel beams. Early degradation in the shear stud strength results in the loss of 74 this load-transfer mechanism, thereby triggering loss of composite action (Cheng and Chen 2005; 75 Civian et al. 2001; Leon et al. 1998). As a precaution against severe shear strength degradation of 76 the studs, current seismic provisions (AISC 2016b; CEN 2004a) propose a 25% reduction in the 77 stud design shear resistance. Albeit this reduction may be rational in steel MRFs comprising deep 78 beams (depths larger than 400mm), it is not justifiable in prospective steel MRF designs 79 comprising shallow composite beams with a high degree of composite action,  $\eta \ge 80\%$  ( $\eta$  is the 80 ratio of the actual number of shear studs to that required to achieve full composite action). This 81 perception has been mostly put in place based on cyclic push-out tests (Bursi and Gramola 1999; 82 Civjan and Singh 2003; Zandonini and Bursi 2002). Although informative, these tests do not 83 replicate the actual stress state and boundary conditions in the slab due to bending, nor do they account for the force redistribution between the studs (Schafer et al. 2019; Sjaarda et al. 2018;
Suzuki and Kimura 2019).

86 This paper addresses all the aforementioned issues by means of CFE analyses. The proposed 87 CFE modeling approach, which is validated on the basis of composite subassembly tests, explicitly accounts for the synergy between the composite slab and the steel beams. The modeling approach 88 89 is extended to two-bay sub-systems to comprehend the influence of the slab axial restraint and 90 framing action on the hysteretic behavior of beams and panel zones in interior/exterior beam-to-91 column joints. These sub-systems comprise beams with depths representative of both the North 92 American and European seismic design practice. Aspects associated with the shear stud resistance 93 in contemporary designs of composite steel MRFs are discussed.

# 94 Proposed Continuum Finite Element Modeling Approach

95 This section discusses the CFE modeling specifics of a typical beam-to-column subassembly 96 with a composite floor slab as illustrated in Fig. 1(a). The modeling approach is validated with 97 data from a full-scale subassembly test (Zhang et al. 2004) featuring fully-restrained beam-to-98 column connections with reduced beam sections (RBS). The commercial finite element software 99 Abaqus 6.14 (Abaqus 2014) is used for this purpose. Referring to Fig. 1, column and beam regions 100 within contact zones are meshed with first-order brick elements with incompatible modes, C3D81. 101 These elements are suitable for nonlinear analysis involving contact (Selamet and Garlock 2010). 102 They are fully integrated with additional internal degrees of freedom to eliminate shear locking 103 and they capture bending with an accuracy similar to that of quadratic elements. A structured mesh 104 is implemented in the beam's anticipated plastic hinge location to produce elements with 105 reasonable aspect ratios. Three elements per flange thickness are considered as recommended by 106 Bursi and Jaspart (1998) for flexure-dominated problems. The remaining beam and column regions 107 are modeled using four-node double-curved S4R shell elements with five integration points along 108 the element thickness based on the recommendations by (Elkady and Lignos 2018a). A shell-to-109 solid coupling constraint is used to connect the shell edge regions of the beam to the column flange. 110 The concrete slab is modeled using eight-node first-order brick elements with reduced integration, 111 C3D8R. Five elements across the slab thickness are considered to provide satisfactory performance 112 against hourglassing (Genikomsou and Polak 2015). Slab rebar and wire mesh reinforcement are

113 modeled using two-node linear truss elements, T3D2. The steel deck is modeled using four-node 114 membrane elements, M3D4R.

115 Shear studs connecting the slab to the transverse beams are modeled with two-node linear beam 116 elements, B31. The cross-sectional area of these elements is modified to make it equivalent to the 117 actual shear stud strength and stiffness (Baskar et al. 2002; Liang et al. 2005). The shear studs 118 between the main beams and the slab may exhibit a pinched hysteretic degrading response. To 119 capture this response, the interface slip should be modeled using a nonlinear load-slip behavior 120 (Ayoub and Filippou 2000; Bursi et al. 2005). For this purpose, a user-defined element (VUEL) is 121 developed by the authors and implemented as shown in Fig. 1(d). This VUEL, which is publicly 122 available from https://github.com/eljisr/IMK Pinching VUEL, employs the modified Ibarra-123 Medina-Krawinkler (IMK) deterioration model (Ibarra et al. 2005; Lignos and Krawinkler 2011). 124 The model assumes a pinched hysteretic behavior that explicitly simulates the effects of stiffness, 125 strength, post-capping strength and accelerated reloading stiffness deterioration (Ibarra et al. 2005). It is loading-history independent and assumes a reference inherent hysteretic energy dissipation 126 capacity regardless of the applied protocol. The set of parameters that define the deterioration 127 128 model are calibrated with cyclic push-out tests available in literature. Past conventional cyclic 129 push-out tests have demonstrated severe degradation in the shear stude (Bursi and Gramola 1999; 130 Civian and Singh 2003; Zandonini and Bursi 2002). However, they do not accurately replicate the 131 mechanical behavior of the shear stud connectors under fully reversed cyclic loading. More 132 importantly, they do not consider the force redistribution occurring in the studs once they experience cyclic deterioration. For this reason, the shear studs are calibrated with recently 133 134 conducted cyclic-push out tests that account for the stress state in the slab under reversed cyclic 135 loading (Suzuki and Kimura 2019). These tests were subjected to symmetric loading protocols, 136 which impose far higher inelastic demands to studs than non-symmetric loading protocols. In that 137 respect, the calibration is on the conservative side. Figure 2 shows a calibration of the hysteretic 138 behavior of a cluster of four 19mm shear studs. Based on this calibration, the following parameters 139 are obtained for a single stud (positive and negative superscripts refer to the stud parameters when the slab is under compression and tension, respectively): the ultimate shear strengths  $Q_u^+ = 82$ kN 140 and  $Q_u^- = 36$  kN, the effective yield strengths  $Q_y = 90\%$ , the pre-capping slip capacities  $s_p^+ =$ 141 6mm and  $s_p^- = 10$ mm, the post-capping slip capacities  $s_{pc}^+ = 11$ mm and  $s_{pc}^- = 5$ mm, the ultimate 142 slip capacities  $s_u^{\pm} = 15$ mm, the strength and stiffness deterioration parameters  $\lambda_s = 40$  and 143

144  $\lambda_k = 15$ , the deterioration rate parameters  $D^{\pm} = 1.0$ , and the parameters that define the break point 145 of the pinching model  $\kappa_d = 0.4$  and  $\kappa_f = 0.2$ .

The steel material multi-axial constitutive relationship for beams and columns is based on the well-established Voce-Chaboche multiaxial plasticity model (Lemaitre and Chaboche 1994; Voce 1948). The input model parameters are adopted based on studies by Sousa and Lignos (2018) for A992 Gr. 50 steel (ASTM 2015). An elastic perfectly-plastic material model is assigned to the steel deck and slab reinforcement.

151 The concrete behavior under cyclic loading is simulated through the concrete damaged 152 plasticity (CDP) model, available in Abaqus 6.14 (Abaqus 2014). The yield function of this model 153 under multiaxial stress state accounts for damage in the concrete (Lee and Fenves 1998; Lubliner 154 et al. 1989). The plastic flow potential is defined using the Drucker-Prager hyperbolic function. 155 The parameters recommended by Goto et al. (2010) are implemented in the developed CFE model. 156 The stress-strain relation of concrete under compression is defined according to Carreira and Chu 157 (1985) and Baskar et al. (2002). The concrete compressive strength is  $f_c' = 32$ MPa. Under tension, a linear elastic behavior is assumed up to the concrete tensile strength,  $f_t = 10\% f_c'$  (Matsumura 158 159 and Mizuno 2007). A tension stiffening strain of 0.1 is employed (Baskar et al. 2002; Rex and 160 Easterling 2000). Stiffness degradation mechanisms are incorporated in the CDP model through 161 compressive and tensile damage variables (Goto et al. 2010).

162 Referring to Fig. 1(a), the pinned boundary conditions assumed at the main beams and column 163 ends correspond to those expected at the inflection point locations in a typical MRF under lateral 164 loading. Out-of-plane movement and twisting are restrained at the main beams and column ends 165 at the indicated points shown in Fig. 1(a). The transverse floor beams supporting the floor slab are 166 connected to the main beams via a conventional shear tab connection (see Fig. 1(c)). A tie 167 constraint is used to idealize this connection for the translational degrees of freedom. Therefore, 168 the connection can resist moment under strong-axis bending only. This assumption is based on the 169 fact that the shear-tab connection is not an ideal pin and has a non-negligible strong-axis rotational 170 strength and stiffness (Liu and Astaneh-Asl 2004). Furthermore, the strong-axis flexural demand 171 on the connection (due to twisting) is low and is mainly resisted by the diagonal brace and the slab. 172 On the other hand, under weak axis bending, the rotational stiffness and flexural strength of the 173 shear tab connection is negligible. The out-of-plane movement of the main beam's bottom flanges

is prevented with diagonal braces connected to the transverse beams. Modeling of these braces issimplified by employing a kinematic coupling constraint as shown Fig. 1(c).

The steel beams are rigidly connected to the column through a surface-based tie constraint. Continuity plates are fully tied to the column web and flanges, while doubler plates are tied to the column web at their edges. Plug welds are modeled using connector elements with an influence radius equal to that of the plug weld radius, and fully-constrained degrees of freedom (see Fig. 1(b)). The shear studs are connected to the beam and slab through multi-point beam constraints. A perfect bond is assumed between the concrete slab, and the rebar reinforcement. The inner surface of the steel deck is fully tied to the concrete slab.

Both the restraint provided by the slab to the top beam flanges, and the bearing of the slab on the column flanges are simulated using a general contact interaction. The interface action between the slab and the steel components consists of a hard contact relationship with balanced masterslave weighing and allowed separation. The friction behavior is expressed using a Coulomb model with a steel-to-concrete friction coefficient,  $\mu = 0.2$  (Johansson and Gylltoft 2002).

Local and global imperfections are introduced in the dissipative zones (i.e., RBS region) to properly trigger nonlinear geometric instabilities based on the modeling procedures proposed by (Elkady and Lignos 2018a). Residual stresses are also modeled using the distribution proposed by Young (1972).

# 192 Validation of the Modeling Approach

193 The proposed CFE modeling approach is validated with the subassembly specimen, SPEC3 194 from Zhang et al. (2004). The specimen features W36x150 main girders, a W27x194 column, 195 W14x22 transverse beams and a 133mm (5.25") slab. The floor slab is 1219mm (4') wide, with a 196 305mm (12") overhang. It consists of an 83mm (3.25") concrete fill (32 MPa) on top of a 51mm 197 (2") deep Vulcraft 2VLI steel deck, oriented such that the ribs are parallel to the main girders. The 198 slab reinforcement includes a W4xW4 welded wire mesh, as well as No. 3 (9.5mm) and No. 4 199 (12.7mm) bars. Nine 19mm (0.75") shear studs connect each main girder to the slab. A single 200 12.7mm (0.5") doubler plate is welded to the column web. The specimen was subjected to a cyclic 201 symmetric loading history (SAC Joint Venture 1997) at the column tip.

The nonlinear quasi-static analysis is run at EPFL's high performance computing center (Fidis
 Cluster) using a Message Passing Interface-based domain decomposition parallel implementation.

204 The Abaqus/Explicit dynamic analysis procedure is employed. This procedure has a robust contact 205 functionality to solve very complex contact problems (Prior 1994). This is critical for simulating 206 the slab restraint to the top beam flange and the slab bearing on the column. In particular, the 207 loading rate is assumed to be sufficiently small to ensure that the inertial force is nearly zero (i.e., 208 equivalent to static loading). The main drawback of the explicit solution technique is that the time 209 step is limited by the size of the stable time-increment,  $t_{stable} \leq 2/\omega_{max}$ , where  $\omega_{max}$  is the 210 highest element eigenfrequency in the model. To overcome this shortcoming, the stable time-211 increment is increased through mass-scaling. Quasi-static response is verified through the 212 equilibrium of static forces and the energy balance in the model. Referring to Fig. 3, the ratio of 213 the kinetic and viscous energies to the internal energy is less than 5% and the total energy in the 214 model is nearly zero (Chung et al. 1998; Prior 1994). Artificial strain energy due to hourglassing 215 control as well as distortion control dissipation energy are examined and found to be negligible.

216 Figures 4(a and b) shows a comparison between the measured and simulated hysteretic response 217 of the composite steel beam. In this figure,  $M_b$  is the beam moment at the column face;  $V_{PZ}$  is the 218 panel zone shear force;  $SDR_b$ ,  $SDR_{PZ}$ , and  $SDR_c$  are the beam, panel zone and column 219 contributions to the story drift ratio, respectively. Referring to Fig. 4(a), the CFE model predicts 220 the onset of local buckling fairly well under sagging (slab in compression) and hogging (slab in 221 tension) bending excursions. The predicted flexural strength and stiffness of the beam possesses 222 an outstanding agreement with the measured one up to 6% story drift ratio. Deviation from the test 223 results occurs in the last sagging excursion as ductile tearing initiated in the bottom flange of the 224 beam during the test. Figure 4(b) shows that the model marginally over-predicts the panel zone 225 deformations by about 10%. This is due to the slightly higher predicted beam moment. However, 226 the panel zone contribution to the story drift, in both the CFE model and test, does not exceed 1%. 227 Consequently, the slight deviation in the panel zone response does not practically influence the 228 energy dissipation capacity of the beam-to-column connection as shown in Fig. 4(d).

Figure 4(c) demonstrates a noteworthy agreement between the predicted and measured decomposed deformation contributions to the story drift. Referring to Fig. 4(d), the same observations hold true with regards to the accumulated energy dissipated by each component. Note that the peak deformation in the panel zone, in both the CFE and the test, occurs at 3% SDR. At 6% SDR, the demand on the panel zone drops substantially as the beams experience flexural strength degradation. Accordingly, the panel zone contribution at 6% SDR is negligible in both

the CFE and the test (0.03% and 0.15% respectively).

## 236 Parametric Study with Two-bay Sub-system Models

237 Having established confidence in the CFE modeling approach, the effects of the floor slab 238 continuity and framing action on the seismic performance of steel MRFs is assessed. The presence 239 of neighboring gravity frames is also expected to provide some degree of additional restraint on 240 the steel MRF (Donahue et al. 2017), thereby enhancing these effects. However, the influence of 241 gravity framing is not considered in this paper. Three two-bay sub-systems, summarized in Table 242 1, are considered herein: S<sub>D</sub> with deep beams, S<sub>I</sub> with beams of intermediate depth, and S<sub>S</sub> with 243 shallow beams. The sub-systems cover a range of beam sizes employed in typical low to mid-rise 244 steel MRF buildings (Elkady and Lignos 2014, 2015a; Tartaglia et al. 2018; Tsitos et al. 2018). The centerline span length, L = 8992mm (29-1/2'), column height, H = 3962mm (13'), maximum 245 246 unbraced length,  $L_b = 1676$ mm (5-1/2"), and the slab dimensions correspond to those of subassembly specimen SPEC3 (Zhang et al. 2004). In all three sub-systems, the member 247 slenderness ratio  $L_b/r_y$ , summarized in Table 1, is compliant with ANSI/AISC 341-16 (AISC 248 249 2016b) for special moment frames. The shear span-to-depth ratio  $L_o/d_b$  of specimen S<sub>D</sub> does not quite satisfy the ductility requirements of ANSI/AISC 341-16 (AISC 2016b). Nonetheless, the 250 251 moment-shear interaction was found to be insignificant, especially in the absence of gravity load. 252 This is consistent with available test data on composite connections (El Jisr et al. 2019). Since the 253 maximum shear force that can be transferred through the shear studs is governed by the capacity 254 of the concrete slab, the degree of composite action, as defined by ANSI/AISC 360-16 (AISC 255 2016c), is the same for all three sub-systems ( $\eta \sim 20\%$ ). The columns are sized to remain elastic 256 (see strong-column-weak-beam (SCWB) ratio in Table 1), whereas the web panel zones are sized 257 to comply with ANSI/AISC 341-16 (AISC 2016b). Equal displacement was imposed at the top of 258 the columns. This loading technique assumes a rigid diaphragm for the floor slab above the 259 considered sub-system. The sub-systems are subjected to a cyclic symmetric lateral loading history up to an SDR of 6% (SAC Joint Venture 1997). Sub-system S<sub>D</sub> is also subjected to a collapse-260 261 consistent protocol (Suzuki and Lignos 2019) to investigate the influence of loading history on the sub-system cyclic performance. For each sub-system, the seismic behavior is compared with that 262 263 of the corresponding interior joint subassembly featuring simplified boundary conditions.

Particular emphasis is placed on the hysteretic behavior of the composite beams, panel zones, andshear studs, the accumulated beam axial shortening and beam axial force demands.

# 266 Lateral Drift Demand Contributions

267 The deformation demands at the interior and exterior joints of the sub-systems are examined. 268 Figure 5 depicts the decomposed deflection contributions to the story drift ratios of specimens S<sub>D</sub> 269 and S<sub>S</sub> at selected SDRs. In particular, the columns remain elastic, as intended, with minimal 270 contribution to the SDR. At the interior joint of S<sub>D</sub> and at 4% lateral drift demand (Figs. 5(a and 271 b)), the panel zone contribution to the story drift is around 35%. This is considerably higher than 272 the panel zone contribution in the corresponding interior joint subassembly (see Fig. 4(c)). The 273 axial restraint in the sub-system delays the flexural strength degradation in the beams, thereby 274 increasing the panel zone shear demand. At 6% drift amplitude, when beam local buckling 275 becomes more evident and the inelastic deformations concentrate in the beam, the panel zone 276 contribution to the story drift decreases to about 10%. While a similar behavior is observed in  $S_S$ 277 (Figs. 5(c and d)), the panel zone contribution to the story drift remains appreciable (~25%) at 6% 278 drift amplitude. Flexural strength degradation in shallow beams is minimal as discussed in the 279 following section. Notably, exterior joints exhibit a distinct asymmetric behavior. Therefore, the 280 panel zone contribution to the story drift is dependent on the direction of lateral loading. 281 Particularly, the demand on the panel zone is higher when the framing beam is subjected to sagging 282 (Figs. 5(a and c)) compared to hogging bending (Figs. 5(b and d)). The reasons behind this 283 asymmetric demand are investigated more thoroughly in the subsequent sections. Referring to Fig. 284 5(c), the exterior joint panel zone contribution to the 6% story drift is nearly 40% despite being 285 designed with a resistance-to-demand ratio,  $R_v/V_d = 1.9$  ( $R_v$  and  $V_d$  are defined in Table 1). 286 Moreover, at large lateral drift demands (SDR  $\geq 4\%$ ), the exterior joint panel zones deform in one 287 loading direction although the lateral drift demand is symmetric as shown in Figs. 5(b and d). In order to offset this negative contribution of the panel zone, the beam contribution to the story drift 288 289 at the exterior joints exceeds the imposed drift demand. The behavior is nearly identical at both 290 exterior joints of the sub-systems.

291 Beam Hysteretic Response

292 Referring to Fig. 6, the hysteretic response of the west beam is obtained for all three 293 configurations and compared to that of the corresponding interior joint subassembly. For reference,  $M_{b,West,C1}$  and  $M_{b,West,C2}$  are the west beam moments at the face of columns C1, and C2, 294 295 respectively. Referring to Fig. 6(a), the beam flexural strength degradation under sagging bending 296 occurs at a fairly slow rate, even at 6% lateral drift demand, in the interior joint of sub-system 297 S<sub>D</sub> when compared to that of the corresponding subassembly. Table 2 shows that beams in the twobay sub-systems may experience up to 25% less flexural strength degradation, than those in 298 299 subassemblies under symmetric-cyclic lateral loading. This particularly applies to deep and slender 300 beams that are prone to local buckling (Lignos and Krawinkler 2011). This behavior is attributed 301 to the restraint provided by the floor slab and adjacent columns against the beam axial shortening, 302 which results in the straightening of the beam local buckles. The straightening effect is more 303 evident under hogging than sagging bending. Figure 6(a) shows that under hogging bending, the 304 strength degradation is only slightly lower in the sub-system than in the subassembly. The beam hysteretic response at the interior joint of sub-systems S<sub>I</sub> and S<sub>S</sub> shows minimal flexural strength 305 306 degradation, similar to that of the corresponding subassemblies. The former has a low web 307 slenderness ratio,  $h_b/t_w = 31.3$ , which delays the formation of web and flange local buckling at 308 large inelastic cycles (Lignos and Krawinkler 2011). On the other hand, sub-system S<sub>S</sub> consists 309 of a shallow steel beam; as such, the slab contribution to the flexural resistance of the composite 310 beam is higher than that in S<sub>D</sub> and S<sub>I</sub>. This results in a lower compressive stress in the top beam 311 flange, thereby limiting local buckling under sagging bending.

312 Beam flexural strength degradation under hogging bending occurs as a result of the formation 313 of large buckles in the bottom flange of the beam. In a sub-system, these buckles are straightened 314 out during the sagging bending excursions due to the slab restraint against axial shortening. This 315 agrees with earlier observations from physical testing of composite-steel MRFs (Cordova and 316 Deierlein 2005). Furthermore, the beam and slab continuity at the interior joint augments this 317 restraint (Cordova and Deierlein 2005; Herrera et al. 2008). Referring to Figs. 7(a and b), the 318 buckled portions of the beam web and flanges experience notable straightening upon load reversal. 319 As a result, pinching behavior, caused by an increase in the rotational stiffness of the composite 320 beams, is observed in their hysteretic response (see Fig. 6). The axial restraint induces additional tensile axial forces  $(F_{a,W}^{\pm})$  and  $F_{a,E}^{\pm}$  and moments  $(M_{a,W}^{\pm})$  and  $M_{a,E}^{\pm}$  in the beams. The latter are 321

322 caused by non-uniform buckling along the beam depth. On the other hand, beams in cruciform

323 subassemblies are free to shorten at their ends due to the simplified boundary conditions, resulting 324 in an "accordion" effect due to the build-up of local buckles. Figures 7(c and d) suggest that, in a 325 subassembly, beam flanges that buckled experience minor straightening upon load reversal. A 326 comparison between Figs. 7(a and b) and Figs. 7(c and d) reveals that the extent of bottom flange 327 local buckling is closely akin in subassemblies and sub-systems. Hence, the rate of strength 328 degradation under hogging bending is also expected to be cognate. This is not the case for sagging 329 bending. First, the net tensile axial force acting on the beam is larger under sagging than hogging bending  $(|F_{a,E/W}^+ + F_{b,E/W}^+| > |F_{a,E/W}^- + F_{b,E/W}^-|)$ . Second, the additional moment induced by non-330 uniform buckling along the beam depth is lower under sagging than hogging bending  $(|M_{a,E/W}^+| <$ 331  $|M_{a,E/W}^{-}|$ ) due to the restraint provided by the slab to the top flange of the beam. Third, the rate of 332 333 stud degradation is lower in sub-systems when compared to that of subassemblies. The composite 334 action is maintained even at large lateral drift demands (SDR  $\geq$  4%), which alleviates the 335 compressive force near the top flange and enhances it near the bottom flange.

Referring to Figs. 6(b, d and f), the beam hysteretic response at the exterior joint of sub-systems is fully asymmetric despite the fact that the imposed loading history is symmetric. Particularly, the exterior joint beams experience flexural strength deterioration only under hogging bending. This behavior is a consequence of the asymmetric demand on the exterior column web panel zone. The mechanistic reason behind the observation above is explained in the next section.

341 Another consequence of the beam local buckling extenuation due to the slab restraint, is the 342 underestimation of the probable maximum moment in the beam,  $M_f$ , calculated as per ANSI/AISC 343 358-16 (AISC 2016a). Figure 6 suggests that although  $M_f$  is predicted fairly well for the 344 subassembly featuring deep beams, it is underestimated by about 25% in sub-system S<sub>D</sub>. The delay 345 in local buckling in the beams results in additional cyclic hardening that does not occur in the 346 subassembly. Additionally, since the North American design practice typically employs deep beams with a low degree of composite action, the slab contribution to  $M_f$  is ignored according to 347 348 ANSI/AISC 358-16 (AISC 2016a). Hence, the underestimation of  $M_f$  is larger in sub-systems with 349 shallower beams ( $S_1$  and  $S_s$ ), where composite action is more pronounced. This issue is critical (a) 350 for sizing columns to remain elastic based on the SCWB ratio; and (b) for estimating the panel 351 zone shear demands. The implications of the latter are discussed in the next section.

## 352 Panel Zone Hysteretic Response

353 The hysteretic response of the beam-to-column web panel zones is shown in Fig. 8 for both the 354 interior and exterior joints. Referring to Figs. 8(a, c and e), the interior joint panel zones of the 355 sub-systems experience more shear yielding than their subassembly counterparts. This is 356 particularly true for specimens S<sub>D</sub> and S<sub>S</sub> in which higher moments are attained in the beams 357 framing the joint. At 4% SDR, the shear distortion reaches  $6\gamma_{y}$ ,  $3.6\gamma_{y}$  and  $5.7\gamma_{y}$  for specimens S<sub>D</sub>,  $S_I$  and  $S_S$ , respectively ( $\gamma_{\nu}$  is the shear distortion at initial yielding as defined according to 358 359 ANSI/AISC 341-16 (AISC 2016b)). At exterior joints, the column web panel zone hysteresis 360 shows a distinct asymmetric response (see Figs. 8(b, d and f)). The shear distortion in the exterior joint panel zones at 4% SDR reaches  $7.3\gamma_y$ ,  $8.5\gamma_y$  and  $5.2\gamma_y$  for specimens S<sub>D</sub>, S<sub>I</sub> and S<sub>S</sub>, 361 362 respectively. Despite being designed for a maximum distortion of  $4\gamma_{\nu}$  as per ANSI/AISC 341-16 (AISC 2016b), the composite action and axial restraint provided by the floor slab cause additional 363 364 inelastic shear distortion. This is not expected to cause premature fracture in view of recent 365 experimental findings (Shin and Engelhardt 2013). Interestingly, El Jisr et al. (2019) found that in composite beam-to-column connections, panel zones can develop a total shear distortion of  $10\gamma_{\nu}$ 366 without experiencing premature fracture within the beam-to-column connection at a 5% lateral 367 368 drift demand. However, for tall buildings, the excessive distortion in the panel zones may become 369 a concern when considering second-order effects. The panel zone shear resistance at a given 370 inelastic shear distortion should be compared with the respective shear demand from the 371 intersecting beams and columns to avoid the formation of soft story mechanisms that could 372 increase the collapse risk due to P-delta effects.

The asymmetry observed in the hysteretic response of the exterior column web panel zones is explained through the development of three mechanisms. The first two are related to the asymmetric flexural demand in the beam framing the exterior joint. That is, the flexural demand in the beam is higher under sagging than under hogging bending.

377 Mechanism 1 is a direct consequence of the composite action in the beam. The sagging flexural 378 resistance of the beam is enhanced (up to 80%) due to the composite action, while the hogging 379 flexural resistance is also enhanced, but to a lesser degree (up to 40%) depending on the slab 380 reinforcement (El Jisr et al. 2019). The factor  $\alpha_1$ , shown in Fig. 9, accounts for this enhancement:

 $\alpha_1^{\pm} = W_{pl,c}^{\pm}/W_{pl}$  and  $\alpha_1^{\pm} > \alpha_1^{-} > 1.0$  ( $W_{pl,c}^{\pm}$  is the plastic modulus of the composite section under 381 sagging  $(W_{pl,c}^+)$  or hogging  $(W_{pl,c}^-)$  bending, and  $W_{pl}$  is the plastic modulus of the bare steel cross-382 383 section with respect to its strong axis). Furthermore, the presence of the slab increases the depth 384 of the region in the steel cross-section subjected to compressive stresses under hogging bending and decreases it under sagging bending. Hence, flexural strength degradation is hastened under 385 hogging excursions, and delayed under sagging excursions. The factor  $\beta_1^{\pm}$  shown in Fig. 9, 386 387 accounts for the phenomenon associated with the delay of local buckling under sagging bending 388  $(\beta_1^+)$ , and the progression of local buckling under hogging bending  $(\beta_1^-)$  in the composite beam:  $\beta_1^+ > 1.0 > \beta_1^- > 0$ . Mechanism 1 is more prominent in shallow beams ( $d_b \le 500$ mm) where the 389 effects of composite action are more pronounced compared to deep beams ( $d_b \ge 700$ mm). 390

391 Mechanism 2 involves the restraint that the slab provides to the top flange of the beam. The slab 392 restraint delays the formation of local buckles, and hence the flexural strength degradation of the 393 beam under sagging loading excursions. The flexural strength of the beam increases due to strain-394 hardening. The factor  $\alpha_2$ , shown in Fig. 9(a), accounts for the additional strain hardening in the 395 beam due to the restraint provided by the slab on the top beam flange:  $\alpha_2 > 1.0$  regardless of the 396 beam depth. The extent of the slab restraint to the top flange is dependent on the orientation of the 397 steel deck. Cordova and Deierlein (2005) reported a higher restraint when the steel deck is oriented 398 parallel to the beam. However, this issue is outside the scope of the present paper.

399 Mechanism 3 is caused by the axial restraint provided by the slab and the adjacent columns. 400 This restraint induces a moment, as well as a net tensile force in the composite beam. The tensile 401 force is non-uniform across the beam depth. That is, the axial force is comprised of a tensile force 402 in the beam and a compressive force in the slab. Figures 9(a and b) show an idealization of the 403 panel zone shear demand induced by the axial force in the composite beam. A force couple is assumed to act on the top and bottom locations of the panel zone. The factor  $\gamma^{\pm} > 0$  represents the 404 fraction of the composite beam axial force,  $N_b$ , acting in compression on the top beam flange under 405 406 sagging  $(\gamma^+)$  and hogging  $(\gamma^-)$  bending respectively. Accordingly, the axial force increases the shear demand on the panel zone for sagging excursions by  $\gamma^+ N_b^+$  (see Eq. (1)) and decreases it for 407 hogging excursions by  $\gamma^- N_b^-$  (see Eq. (2)). Note that the  $d_{eff}^{\pm}$  defined in Fig. 9, is the effective 408 409 depth of the panel zone as per the recommendations of Kim and Engelhardt (2002). Under hogging bending,  $d_{eff}^-$  is equal to the distance between the centroid of the beam flanges, whereas under sagging bending,  $d_{eff}^+$  is equal to the distance between the centroid of the concrete section and that of the beam bottom flange. Mechanism 3 is prevalent in beams that develop a large axial force due to the axial restraint. Typically, these are deep beams ( $d_b \ge 700$ mm) with a low degree of composite action ( $\eta < 50\%$ ) as will be explained in the following sections.

415 
$$V_{PZ}^{+} = M_{b,max}^{+} / d_{eff}^{+} - V_{T}^{+} + \gamma^{+} N_{b}^{+}$$
 (1)

416 
$$V_{PZ}^- = M_{b,max}^- / d_{eff}^- - V_T^- - \gamma^- N_b^-$$
 (2)

Mechanisms 1 and 2 appear to be the most dominant. This is based on findings from past experiments on T-section subassemblies with composite floor slabs (Kim and Lee 2017; Yamada et al. 2009). The tests showed a distinct ratcheting response in the web panel zones despite the absence of axial restraint on the beam end. However, further studies should be conducted to quantify the relative importance of each mechanism on the panel zone demand.

# 422 Beam Axial Shortening

423 The phenomenon of beam axial shortening has been observed in subassembly tests in which the 424 steel beams are free to move axially at their ends (Civjan et al. 2001; FEMA 2000a; MacRae et al. 425 2013; Qi et al. 2018). Axial shortening occurs as local buckling builds up in the plastic hinge region 426 (Cordova and Deierlein 2005). However, in an actual building, the axial restraint provided by the 427 composite slab and adjacent columns is likely to limit this shortening. This is particularly true at 428 interior joints where the slab is continuous, and for composite slabs with the deck ribs placed parallel to the steel girder (Civjan et al. 2001; Cordova and Deierlein 2005). Accordingly, the over-429 430 simplified subassembly boundary conditions may lead to overestimation of the extent of a beam's 431 local buckling and subsequent axial shortening.

Figure 10a shows the definition of beam axial shortening,  $\delta_x$ , within a steel MRF bay. Referring to Fig. 10(b), subassembly S<sub>D</sub> beam experiences an excessive axial shortening of 50mm at 6% SDR. Top and bottom flange buckling mostly accumulate after 3% SDR, which leads to a rapid progression of axial shortening. Figures 10(c and d) shows that subassembly S<sub>I</sub> and S<sub>S</sub> beams do not shorten as much (6mm and 3mm at 6% SDR respectively). The former comprises a W21x122 beam with a fairly low  $h_b/t_w = 31.3$ ; the latter consists of a shallow beam ( $d_b = 409$ mm) in which composite action is pronounced. In both specimens, the growth of local buckling across the
beam depth is insignificant. Hence, axial shortening is minimal. Beams in sub-systems shorten
much less compared to their subassembly counterparts. For instance, sub-system S<sub>D</sub> beam shortens

440 much less compared to their subassembly counterparts. For instance, sub-system  $S_D$  beam shortens 441 by 7mm at 6% SDR while sub-systems  $S_I$  and  $S_S$  beams do not practically experience shortening.

442 The axial restraint provided by the composite slab and the adjacent columns alleviates the local

443 buckling in the anticipated dissipative zone of the steel beam.

444 It is worth mentioning that beams in sub-systems  $S_D$  and  $S_I$  experience fairly minor elongation 445 (up to 4mm). MacRae et al. (2013) attributed this elongation to the difference in the positions of the neutral axes at the beam ends. Under sagging bending, the neutral axis moves upwards towards 446 447 the slab, whereas under hogging bending the neutral axis remains close to the beam centerline. 448 This difference in the neutral axis positions is particularly noticeable in shallow beams. As a result, 449 net centerline elongation results from tension yielding at the beam center near the sagging end, 450 and compression yielding near the hogging end. Furthermore, the asymmetric shear distortion in 451 the exterior joint panel zone exaggerates this net centerline elongation.

# 452 Beam Axial Force

453 Lateral loads are transferred to the column through the floor slab via two load paths (Cordova 454 and Deierlein 2005; MacRae and Clifton 2015). The first one consists of direct bearing of the slab 455 on the column face and a direct compression strut to the back of the column flange. The second 456 load path involves the transfer of shear forces from the slab to the beam through friction and the 457 shear studs. The resulting axial force in the beam is transferred to the column through the beam-458 to-column connection. In subassemblies, the beam axial force at the location of the assumed 459 inflection points is zero, increasing to its maximum value at the column face. In sub-systems, the 460 axial restraint provided by the floor slab and columns causes an additional axial force in the beam. 461 The magnitude of the beam axial force is not constant along the length of the beam and depends 462 on the extent of the axial restraint. The beam axial force is higher near interior joints, where the 463 axial restraint is higher, than near exterior joints. In sub-systems, unlike subassemblies, the axial 464 force at the beam inflection point location is not zero. Accordingly, the additional axial force 465 resulting from the axial restraint in sub-systems is quantified at the inflection point.

466 Figure 11 shows the axial forces developed in the three sub-systems at the west beam inflection 467 point. At 4% SDR, the peak normalized tensile force ratio in the steel beam at the location of the 468 inflection point  $N_s/N_{pl}$  ( $N_{pl} = R_y F_{yb} A_g$  as defined in Fig. 11(a)) is 8%, 2% and 5% for sub-469 systems S<sub>D</sub>, S<sub>I</sub> and S<sub>S</sub> respectively. The tensile force ratio at 6% SDR is 16%, 8% and 9% for subsystems  $S_D$ ,  $S_I$  and  $S_S$  respectively. These values are expected to be higher near the interior joint. 470 471 EN 1998-1 (CEN 2004a) states that the bending-axial force interaction in the steel beams may be 472 disregarded as long as  $N_s/N_{pl} < 15\%$ . The floor slab is restrained by the shear studs, friction at 473 the beam-slab interface and the columns. As the steel beam attempts to shorten due to the spread 474 of local buckling across its depth, a compressive force,  $N_c$ , is generated in the slab in conjunction with the tensile force in the steel beam. The compressive force is transferred through shear in the 475 476 studs, friction, bearing of the slab on the column face and a direct compression strut. At large 477 lateral drift demands (SDR  $\geq 4\%$ ), the stude lose their shear capacity, and the last two load paths 478 transfer the compressive force to the slab. This is particularly true for deep beams ( $d_b \ge 700$ mm) 479 with low degree of composite action ( $\eta < 50\%$ ) as discussed in the next section.

480 The axial forces in the steel beam and slab are dependent on several parameters. These relate 481 to the extent of beam axial shortening experienced in the absence of axial restraint, as well as the 482 level of axial restraint. First and foremost, the magnitude of the tensile force in the steel beam is 483 dependent on the susceptibility of the steel beam to local buckling across its depth. Since all three 484 configurations studied herein are adequately braced laterally (see Table 1) and have nominally 485 identical material properties, the difference in local buckling initiation in the beams is mostly governed by the beam's cross-section geometry. Lignos and Krawinkler (2011) found that  $h_b/t_w$ , 486 487 in particular, largely influences local buckling initiation in intermediate to deep steel beams. The 488 maximum  $N_s/N_{pl}$  ratio increases with increasing  $h_b/t_w$  (see Table 1). This is observed in Fig. 11 489 where specimen  $S_D$  experiences the highest axial tensile force ratio.

490 Local buckling in shallow beams ( $d_b \le 500$ mm) is localized in the lower portion of the beam 491 due to the slab restraint on the top flange of the beam. This is also true for beams with high degree 492 of composite action ( $\eta > 80\%$ ). Moreover, in the above cases, a compatibility compressive force 493 occurs when the beam ends are pushed apart (see previous section). The compressive force 494 alleviates the tensile force in the beam. Axial restraint is provided by the slab and the columns. In 495 shallow beams, the axial restraint provided by the slab is relatively higher than that in deep beams. 496 This is because the relative slab in-plane stiffness-to-beam axial stiffness is higher in shallow 497 beams than in deep beams. On the other hand, the axial restraint provided by the columns is 498 dependent on their flexural stiffness. Since columns sizes are strongly influenced by the SCWB 499 ratio, the flexural stiffness of the columns normally increases with the beam depth. Therefore, the 500 axial restraint provided by the columns is expected to be high in deep beams. As mentioned earlier, 501 the level of axial restraint is higher at the interior joint than at the exterior joint due to (i) slab 502 continuity and (ii) potentially stiffer columns at the interior joints. In the configurations considered 503 in this paper, the same column cross-sections are used at the interior and exterior joints. Thus, we 504 postulate that the difference in the axial restraint should not be substantial. The magnitude of the 505 axial force in the beam also depends on its axial stiffness. However, for equal depth beams, a larger 506 axial stiffness implies a stockier section with a lower susceptibility to local buckling. Based on the 507 above, the major factor that determines the magnitude of  $N_s/N_{pl}$  is the susceptibility of the beam 508 to local buckling across its depth. The main controlling parameters in the examined cases are the 509 beam depth,  $d_b$  and the web local slenderness ratio,  $h_b/t_w$ .

# 510 Shear Stud Hysteretic Response

511 Seismic loads are transferred from the slab into the beam through shear in the stud connectors 512 and friction at the beam-slab interface. In composite beams with shear studs as the weak link, early 513 loss of composite action is likely to occur as a result of shear stud failure (Cordova and Deierlein 514 2005). Consequently, seismic loads are predominantly transferred to the column by bearing of the 515 slab on the column face and a direct compression strut. This can lead to severe damage in the slab 516 due to concrete spalling. Damage in the slab can be reduced if the integrity of the shear studs is 517 maintained. From a design perspective, the shear studs at the slab-beam interface should sustain 518 their load-carrying capacity. To this end, both ANSI/AISC 341-16 (AISC 2016b) and EN 1998-1 519 (CEN 2004a) recommend a 25% reduction in the design shear resistance of the studs. However, 520 past studies have shown that the performance of shear studs in composite steel MRFs is better than 521 anticipated (Cordova and Deierlein 2005). An assessment of the stud degradation behavior is 522 performed by obtaining the hysteretic stud shear-stud slip response in each of the composite beam 523 specimens subjected to cyclic loading.

524 Figure 12 shows the hysteretic response of the west beam shear stud nearest to the interior joint.

525 The shear studs in subassemblies  $S_D$ ,  $S_I$  and  $S_S$ , lose their load carrying capacity in sagging

526 bending at 4%, 5% and 6% SDR respectively. At 4% lateral drift demands, the studs belonging to

527 subassemblies S<sub>I</sub> and S<sub>S</sub> exhibit satisfactory behavior with little or no degradation, whereas that of specimen S<sub>D</sub> fails. This is despite the fact that all three specimens have the same slab configuration, 528 529 number of studs and degree of composite action. The deeper the beam is, the higher the shear 530 demand; hence, the more degradation in the shear studs connecting the beam to the slab. In sub-531 systems, the stud shear force degradation is lower than that in the corresponding subassembly. 532 Referring to Fig. 12(b), the shear stud in sub-system S<sub>D</sub> loses its capacity at 5% SDR compared to 533 4% in the subassembly. Similarly, Figs. 12(c and d) depict that the shear stude of sub-system S<sub>I</sub> 534 and S<sub>S</sub> remain intact at the end of the analysis. In subassemblies, noticeable axial shortening in the 535 beams tends to pry the beam away from the slab. Consequently, the shear studs that restrain the beam against axial shortening are subjected to an additional shear demand. The additional demand 536 537 increases the stud shear force in the sagging bending regions and reduces it in the hogging bending regions. A higher rate of strength degradation is observed in studs belonging to subassemblies 538 539 when compared to those in sub-systems. Initially, the hysteretic behavior of the shear studs 540 coincides as shown in Figure 12. Once beam axial shortening initiates, a discrepancy in the 541 behavior of the shear studs is observed.

542 In EN 1994-1-1 (CEN 2004b), ductile shear connectors are defined as the ones with a 543 characteristic deformation capacity,  $\delta_{uk} = 6$ mm at 90% of the ultimate shear resistance (Bärtschi 544 2005). Hence, the headed shear studs, with which the non-linear springs are calibrated, are ductile 545 as per EN 1994-1-1 (CEN 2004b). The maximum stud slip demands at 4% SDR are 11mm, 8mm 546 and 2mm for sub-systems S<sub>D</sub>, S<sub>I</sub> and S<sub>S</sub> respectively. Out of the three sub-systems, only the stud 547 slip demand in S<sub>S</sub>, does not exceed the characteristic deformation capacity of ductile shear 548 connectors. However, the stud slip in all cases is within 6mm (4mm, 2mm at 1mm for S<sub>D</sub>, S<sub>I</sub> and 549 S<sub>S</sub> respectively) at modest lateral drift demands (i.e., 2%) characteristic of a design-basis 550 earthquake corresponding to a probability of exceedance of 10% in 50 years. Additionally, a higher 551 degree of composite action would decrease the shear demand on the studs. Vis-à-vis the above 552 discussion, the general consensus is that for shallow to intermediate composite beams ( $d_b \leq$ 553 500mm) no reduction in the shear resistance of studs is imperative as long as ductile shear studs 554 are used. For deeper beams, a reduction in the shear resistance of studs is deemed reasonable.

## 555 Influence of Loading Protocol

556 In the previous sections, the hysteretic behavior of the 2-bay sub-systems was examined under 557 a symmetric cyclic lateral-loading protocol. However, this protocol overestimates the seismic demand in the frame and subsequently the cyclic deterioration of the beams (FEMA 2009), if limit 558 559 states associated with structural collapse are of interest. In that respect, collapse-consistent 560 protocols (Krawinkler 2009; Maison and Speicher 2016; Suzuki and Lignos 2019) are more 561 realistic for estimating seismic demands in structural components at limit states associated with 562 earthquake-induced collapse (Lignos et al. 2011). In order to further comprehend the differences 563 in the hysteretic behavior of sub-systems subjected to symmetric cyclic and collapse-consistent 564 protocols, sub-system S<sub>D</sub> is subjected to a collapse consistent-loading protocol derived according 565 to Suzuki and Lignos (2019). The protocol consists of three phases and represents a near-fault 566 ground motion with a low probability of occurrence. Each phase includes a few inelastic cycles 567 followed by a large monotonic push. The asymmetric drift demand replicates the characteristic 568 "ratcheting" behavior of frame structures prior to collapse (Lignos et al. 2011).

Referring to Fig. 13(a), at 5% SDR, the west beam hogging moment ( $M_{b,West,C2}$  at the face of 569 570 column C2) degrades by less than 5% under the collapse-consistent protocol. On the other hand, 571 the beam's flexural strength degradation is more than 20% under the symmetric cyclic loading 572 protocol. Due to ratcheting of the frame, no degradation in the sagging moment occurs. Local 573 buckling is minor and is localized in the lower portion of the steel beam. This explains the 574 marginally lesser amount of axial shortening (4mm) experienced in the west beam under the 575 collapse-consistent protocol compared to the symmetric cyclic protocol (7mm) as depicted in Fig. 576 13(c). The beam experiences greater cyclic degradation under the symmetric cyclic loading 577 protocol than the collapse-consistent loading protocol due to the larger number of inelastic cycles 578 in the former. This agrees with prior observations from large- and full-scale physical testing 579 (Elkady and Lignos 2018b; Suzuki and Lignos 2015). Figure 13(b) shows that the peak panel zone 580 shear distortion is higher under the collapse-consistent protocol  $(10\gamma_v)$  than that observed under 581 the symmetric cyclic protocol ( $6\gamma_{\nu}$ ). The ratcheting response is mostly attributed to the asymmetric 582 drift demand. Finally, the hysteretic behavior of the west shear stud nearest to the interior joint is 583 compared in Fig. 13(d). The stud loss of shear resistance occurs at 5% SDR, regardless of the 584 employed lateral loading protocol. Prior to 5% SDR, the seismic shear demand in the studs is 585 similar under both loading conditions. Furthermore, since the shear strength degradation due to

586 cyclic loading is not significant (see Fig. 2), the rate of degradation is nearly the same in both 587 cases.

# 588 Limitations and Assumptions

589 Considering the modeling assumptions and simplifications discussed herein, it is worth 590 highlighting the following limitations: (i) the CFE model is not capable of capturing fracture in 591 the beam-to-column connection and ductile tearing due to extensive local buckling; (ii) bond slip 592 between the reinforcement and concrete is not modeled explicitly; (iii) no separation is allowed 593 between the concrete slab and the steel deck; and (iv) concrete spalling due to crushing is not 594 explicitly considered. Despite these shortcomings, the modeling approach is deemed capable of 595 simulating the physical mechanisms associated with the slab restraint and the overall cyclic 596 behavior of connections in sub-systems and subassemblies.

#### 597 Conclusions

598 This paper investigates the effects of the axial restraint provided by the slab and the columns 599 (frame continuity) on the hysteretic behavior of typical beam-to-column connections with a 600 composite floor slab. First, a detailed continuum finite element (CFE) model is proposed and 601 validated with available experimental data. The CFE model explicitly captures the interaction 602 between the slab and the beam, as well as the cyclic degradation of the shear stud connectors. Next, 603 the CFE approach is extended to model two-bay sub-systems with three different beam depths 604 representative of both North American and European design practice. The effects of the axial 605 restraint and framing action are examined by comparing the behavior of sub-systems with that of 606 the corresponding subassemblies. The major findings are summarized below:

607 Qualitatively, the panel zone contribution to the story drift is higher in the sub-system interior 608 joints than in the corresponding cruciform subassembly joints. This is attributed to the lower 609 rate of the beams' flexural strength degradation. In the sub-system exterior joints, the panel 610 zone contribution to the story drift is dependent on the direction of loading: under sagging 611 excursions, the panel zone contribution to the story drift may reach up to 40%, despite the 612 panel zone design compliance to the ANSI/AISC-341-16 seismic provisions (AISC 2016b). 613 On the other hand, under hogging bending, the beam deformation dominates the lateral drift 614 demand.

615 Under symmetric-cyclic lateral loading, beams in two-bay sub-systems may experience up to 616 25% less flexural strength degradation than their subassembly counterparts. This is particularly 617 evident in deep and slender beams. In sub-systems, the local buckles in the beams are 618 straightened due to the axial restraint provided by the floor slab and the columns. It is observed 619 that the straightening is more prominent under sagging bending than hogging bending. This leads to the underestimation of the probable maximum moment  $M_f$  (by up to 25%), even in 620 621 deep beams where the flexural strength amplification due to composite action is fairly small. 622 This issue may be compelling for sizing columns and estimating the shear demand in panel 623 zones of capacity-designed steel MRFs.

- 624 The interior joint panel zones in sub-systems experience up to 15% higher shear distortion than 625 their subassembly counterparts. Their hysteretic behavior is symmetric. On the other hand, 626 exterior joint panel zones in sub-systems exhibit a distinct asymmetric response due to the 627 different shear demands under sagging and hogging bending. The difference in shear demands 628 is attributed to three underlying mechanisms namely: (i) composite action, (ii) the slab restraint 629 against top flange local buckling; and (iii) the axial restraint provided by the slab and the 630 columns. The CFE analysis reveals that panel zones in sub-systems may experience a shear distortion higher than the anticipated value for which they were designed (i.e.,  $4\gamma_{\nu}$ ). 631 632 Nonetheless, premature fracture due to panel zone shear distortion is not expected as the 633 maximum shear distortion is lower than  $10\gamma_{v}$ .
- 634 Subassembly beams may experience severe axial shortening (up to 50mm at 6% SDR). The 635 degree of axial shortening is higher in deep beams with high web slenderness ratios close to 636 the current compactness limits of highly ductile members according to the ANSI/AISC 341-637 16 seismic provisions (AISC 2016b). On the other hand, beam axial shortening observed in 638 sub-systems is considerably less (up to 7mm at 6% SDR) than that observed in subassemblies 639 (up to 50mm at 6% SDR). It is inferred that axial shortening is overestimated in subassembly 640 experiments commonly used in experimental earthquake engineering. In real buildings, beam 641 axial shortening is much lower, akin to that in sub-systems.
- Axial forces develop in composite beams as a consequence of the axial restraint. At the inflection point, the axial tensile force in the steel beam's cross-section may reach slightly higher than  $15\%N_{pl}$  at 6% SDR. The tensile force magnitude is dependent on the susceptibility of the beam to local buckling across its depth, as well as on the level of axial restraint. The

former is particularly high in deep and slender beams ( $d_b \ge 700$ mm) with low degree of composite action ( $\eta < 50\%$ ). The latter depends on the relative in-plane slab-to-beam axial stiffness (higher in shallow beams) and the flexural stiffness of the columns (higher in deep beam sub-systems). This issue should be examined in conjunction with the catenary action imposed to the steel girders of a beam-to-column connection due to column axial shortening (Elkady and Lignos 2018b; Suzuki and Lignos 2015).

- 652 Comparisons between the hysteretic behavior of shear studs in sub-systems and subassemblies 653 suggest that the shear force degradation in the latter is higher than that of the former. This is 654 due to axial shortening in the beam that tends to pry the beam away from the slab. The CFE 655 models indicate that higher stud shear force degradation occurs in sub-systems with deep beams than in those with intermediate to shallow beams. However, at 2% lateral drift demands 656 657 associated with a design-basis earthquake, the stud slip demand remains within the 658 characteristic deformation capacity of ductile shear connectors (6mm) according to EN 1994-659 1-1 (CEN 2004b). At 4% lateral drift demand, the slip demand exceeded 6mm in all but the 660 sub-system with shallow beams. For shallow beams or beams with high degree of composite 661 action (i.e., above 80%), it seems reasonable to omit the 25% reduction in shear strength of the 662 studs required in both ANSI/AISC 341-16 (AISC 2016b) and EN 1998-1 (CEN 2004a).
- The response of sub-systems under collapse-consistent lateral load protocols suggests that beam flexural strength deterioration and axial shortening is inconsequential compared to that under a symmetric loading history. Conversely, the panel zone shear distortion may reach  $10\gamma_y$ in exterior joints. The shear stud hysteretic behavior does not seem to be influenced by the employed loading history.

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#### 672 Notation

The following symbols are used in this paper:

 $A_q$  = cross-sectional area of the steel beam

 $D^-$  = rate of cyclic deterioration of the shear stud when the slab is under tension

$D^+$	=	rate of cyclic deterioration of the shear stud when the slab is under compression
$d_b$	=	depth of the steel beam
$d_{eff}^{-}$	=	effective depth of the column web panel zone for framing beam under hogging bending
$d_{eff}^+$	=	effective depth of the column web panel zone for framing beam under sagging bending
$F_{a,E}^{-}$	=	tensile force in the steel beam east of the interior column due to axial restraint (hogging bending)
$F_{a,E}^+$	=	tensile force in the steel beam east of the interior column due to axial restraint (sagging bending)
$F_{a,W}^{-}$	=	tensile force in the steel beam west of the interior column due to axial restraint (hogging bending)
$F_{a,W}^+$	=	tensile force in the steel beam west of the interior column due to axial restraint (sagging bending)
$F_{b,E}^{-}$	=	tensile force in the steel beam east of the interior column due to composite action (hogging bending)
$F_{b,E}^+$	=	tensile force in the steel beam east of the interior column due to composite action (sagging bending)
$F_{b,W}$	=	tensile force in the steel beam west of the interior column due to composite action (hogging bending)
$F_{b,W}^+$	=	tensile force in the steel beam west of the interior column due to composite action (sagging bending)
$F_{yb}$	=	specified minimum yield stress of steel
$f_c'$	=	compressive strength of concrete
$f_t$	=	tensile strength of concrete
Н	=	height of the column
$h_b$	=	fillet-to-fillet web depth of the beam
L	=	span length of the beam
$L_b$	=	maximum laterally unbraced length of the beam
$L_o$	=	shear span of the beam
$M_{a.E}^{-}$	=	moment in the steel beam east of the interior column due to axial restraint (hogging bending)
$M_{a.E}^+$	=	moment in the steel beam east of the interior column due to axial restraint (sagging bending)
$M_{a.W}^-$	=	moment in the steel beam west of the interior column due to axial restraint (hogging bending)
$M_{a.W}^+$	=	moment in the steel beam west of the interior column due to axial restraint (sagging bending)
$M_b$	=	beam moment at the column face
$M_{b,E}^{-}$	=	moment in the steel beam east of the interior column due to composite action (hogging bending)
$M_{b,E}^+$	=	moment in the steel beam east of the interior column due to composite action (sagging bending)
$M_{b,max}^{-}$	=	maximum beam moment at the column face (hogging bending)
$M_{b,max}^+$	=	maximum beam moment at the column face (sagging bending)
$M_{b,W}^-$	=	moment in the steel beam west of the interior column due to composite action (hogging bending)

$M_{b,W}^+$	=	moment in the steel beam west of the interior column due to composite action (sagging bending)						
M <sub>h west C1</sub>	=	west moment in the composite beam at column C1 face						
M <sub>h west</sub> C2	=	west moment in the composite beam at column C2 face						
$M_f$	=	<ul> <li>probable maximum beam moment at the column face as per ANSI/AISC 358-16</li> <li>2016a)</li> </ul>						
$N_b^-$	=	axial force in the composite beam due to axial restraint (hogging bending)						
$N_b^+$	=	axial force in the composite beam due to axial restraint (sagging bending)						
N <sub>c</sub>	=	compressive force in the slab due to axial restraint						
$N_{pl}$	=	axial yield strength of the beam						
N <sub>s</sub>	=	tensile force in the steel beam due to axial restraint						
Q	=	stud shear force						
$Q_u^-$	=	ultimate strength of the shear stud when the slab is under tension						
$Q_u^+$	=	ultimate strength of the shear stud when the slab is under compression						
$Q_y^-$	=	effective yield strength of the shear stud when the slab is under tension						
$Q_y^+$	=	effective yield strength of the shear stud when the slab is under compression						
$R_v$	=	column web panel zone inelastic shear strength as per ANSI/AISC 360-16 (AISC 2016c)						
$R_y$	=	ratio of the expected to the specified minimum yield stress of steel beam						
$r_y$	=	radius of gyration of the beam about its weak axis (y-axis)						
S <sub>D</sub>	=	specimen with deep beams						
SDR <sub>b</sub>	=	beam contribution to story drift ratio						
SDR <sub>c</sub>	=	column contribution to story drift ratio						
$SDR_{PZ}$	=	panel zone contribution to story drift ratio						
SI	=	specimen with beams of intermediate depth						
S <sub>S</sub>	=	specimen with shallow beams						
S	=	stud slip						
$s_p^-$	=	pre-capping slip capacity of shear stud when slab is under tension						
$s_p^+$	=	pre-capping slip capacity of shear stud when slab is under compression						
$s_{pc}^{-}$	=	post-capping slip capacity of shear stud when slab is under tension						
$s_{pc}^+$	=	post-capping slip capacity of shear stud when slab is under compression						
$S_{\overline{u}}$	=	ultimate slip capacity of shear stud when slab is under tension						
$s_u^+$	=	ultimate slip capacity of shear stud when slab is under compression						
$t_d$	=	thickness of doubler plate						
$t_{stable}$	=	size of the stable time increment in explicit dynamic analysis						
$t_w$	=	thickness of beam web						
$U_x$	=	displacement degree of freedom in x-direction						
$U_{\nu}$	=	displacement degree of freedom in y-direction						
Ū <sub>z</sub>	=	displacement degree of freedom in z-direction						

$V_B^-$	=	shear force in the column below web panel zone (hogging bending)
$V_B^+$	=	shear force in the column below web panel zone (sagging bending)
$V_d$	=	column web panel zone shear demand as per ANSI/AISC 341-16 (AISC 2016b)
$V_{PZ}$	=	column web panel zone shear demand
$V_{PZ,I}$	=	interior column web panel zone shear demand
$V_{PZ,W}$	=	west column web panel zone shear demand
$V_T^-$	=	shear force in the column above web panel zone (hogging bending)
$V_T^+$	=	shear force in the column above web panel zone (sagging bending)
$W_{pl}$	=	plastic section modulus of the bare steel section about its strong axis
$W_{pl,c}^{-}$	=	plastic section modulus of the composite beam section about its strong axis (hogging bending)
$W_{pl,c}^+$	=	plastic section modulus of the composite beam section about its strong axis (sagging bending)
$\alpha_1^-$	=	factor that accounts for the enhancement of beam flexural resistance due to composite action (hogging bending)
$\alpha_1^+$	=	factor that accounts for the enhancement of beam flexural resistance due to composite action (sagging bending)
α2	=	factor that accounts for the additional strain hardening due to slab restraint on the top beam flange (sagging bending)
$\beta_1^-$	=	factor that accounts for the progression of beam local buckling under hogging bending
$\beta_1^+$	=	factor that accounts for the delay of beam local buckling under sagging bending
$\gamma^{-}$	=	factor that represents the fraction of $N_b^-$ acting on the top flange of the beam
$\gamma^+$	=	factor that represents the fraction of $N_b^+$ acting on the top flange of the beam
$\gamma_{\mathcal{Y}}$	=	shear distortion of the column web panel zone at initial yielding as per ANSI/AISC 341-16 (AISC 2016b)
$\delta_{uk}$	=	characteristic deformation capacity of ductile shear studs as per EN 1994-1-1 (CEN 2004b)
$\delta_X$	=	centerline axial shortening of the beam
η	=	degree of composite action as per ANSI/AISC 360-16 (AISC 2016c)
κ <sub>d</sub>	=	parameter for the break point displacement due to pinching behavior in the stud
$\kappa_{f}$	=	parameter for the break point force due to pinching behavior in the stud
$\lambda_k$	=	parameter for stiffness deterioration of the shear stud under cyclic loading
$\lambda_s$	=	parameter for strength deterioration of the shear stud under cyclic loading
μ	=	steel-to-concrete coefficient of friction
$\omega_{max}$	=	highest element eigenfrequency in the CFE model

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  1026.
- 913

#### 925 Table 1. CFE virtual testing matrix

		Column		$R_v / V_d$		SCWB						
	Section	<i>d</i> <sub><i>b</i></sub> [mm]	$\frac{h_b}{t_w}$	$\frac{b_f}{2t_f}$	$rac{L_o}{d_b}$	$\frac{L_b}{r_y}$	Section	<i>t</i> <sub>d</sub> [mm]	Int.	Ext.	Int.	Ext.
$\mathbf{S}_{\mathrm{D}}{}^{a,b}$	W36x150	911	51.9	6.4	4.5	26.7	W27x194	12.7	1.1	1.2	1.5	2.81
$\mathbf{S}_{\mathrm{I}}{}^{a}$	W21x122	551	31.3	6.5	7.6	22.6	W24x162	22.2	1.2	1.2	2.1	3.81
$\mathbf{S_S}^a$	W16x45	409	41.1	6.2	10.5	42.0	W14x132	6.4	1.2	1.9	3.4	5.98
a = Cyclic symmetric loading history up to 6% story drift (SAC Joint Venture 1997)												

b = Collapse consistent loading protocol with two phases (Suzuki and Lignos 2019)

 $R_v$  = Panel zone inelastic shear strength (AISC 2016c)

 $V_d$  = Panel zone shear demand (AISC 2016b)

*SCWB* = Strong-column-weak-beam ratio (AISC 2016b)

926

		Sagging	Bending	Hogging Bending		
		WI	WE	WI	WE	
$\mathbf{S}_{\mathrm{D}}$	Subassembly	34%	NA	44%	NA	
	Sub-system	12%	4%	23%	38%	
$\mathbf{S}_{\mathrm{I}}$	Subassembly	3%	NA	6%	NA	
	Sub-system	0%	0%	6%	6%	
Ss	Subassembly	16%	NA	9%	NA	
	Sub-system	0%	0%	10%	19%	

# 927 **Table 1.** Maximum west beam moment degradation at 6% SDR

928



Fig. 1. (a) Continuum finite element model specifics for a typical subassembly configuration with
 composite floor slab (b) column web panel detail; (c) transverse beams detail; (d) shear studs detail



Fig. 2. Calibration example of a cluster of four 19mm cyclically-loaded shear studs (data values were
reproduced from Suzuki and Kimura 2019)



905 **Fig. 3.** Model energies accumulated throughout the explicit continuum finite element analysis



906 Fig. 4. Comparison between simulated and experimental results (experimental data are reproduced from 907 Zhang et al. 2004) (a) beam hysteretic behavior; (b) panel zone hysteretic behavior; (c) component 908 contribution to the story drift at the first cycle of 2%, 4% and 6% amplitudes; (d) accumulative energy 909 dissipation in each component



(c)

(d)

910

- **Fig. 5.** Component contribution to the story drift at the 2%, 4% and 6% cycles; (a) subsystem  $S_D$  loading in the east direction; (b) subsystem  $S_D$  loading in the west direction; (c) subsystem  $S_S$  loading in the east direction; (d) subsystem  $S_S$  loading in the west direction 911
- 912







915 Fig. 7. Straightening mechanism of the buckles in the steel beam upon load reversal







918 Fig. 9. Asymmetric shear demand on the exterior column web panel zone



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920



921 **Fig. 12.** West beam shear stud hysteretic response – comparisons between sub-system and subassembly response



(c) West Beam Axial Shortening

(d) Shear Stud Hysteretic Response

- 923 Fig. 13. Sub-system S<sub>D</sub> hysteretic response: comparisons between symmetric cyclic and collapse-
- 924 consistent loading protocols