

# Mechanism for deep crustal seismicity: Insight from modeling of deformation process at the Main Ethiopian Rift

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## Key Points:

- Results from numerical modeling of lithospheric extension and crustal stress state are compared with depth distribution of seismicity.
- We reproduce the timing and locus of basin-ward localisation, and the bimodal depth distribution of earthquakes.
- Bimodal distribution of seismic moment release in MER is controlled by the rheology of the crust.

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**Abstract**

We combine numerical modeling of lithospheric extension with analysis of seismic moment release and earthquake b-value in order to elucidate the mechanism for deep crustal seismicity and seismic swarms in the Main Ethiopian Rift (MER). We run 2D numerical simulations of lithospheric deformation calibrated by appropriate rheology and extensional history of the MER to simulate migration of deformation from mid-Miocene border faults to  $\sim 30$  km wide zone of Pliocene to recent rift floor faults. While the highest strain rate is localized in a narrow zone within the rift axis, brittle strain has been accumulated in a wide region of the rift. The magnitude of deviatoric stress shows strong variation with depth. The uppermost crust deforms with maximum stress of 80 MPa, at 8-14 km depth stress sharply decreases to 10 MPa and then increases to a maximum of 160 MPa at  $\sim 18$  km depth. These 2 peaks at which the crust deforms with maximum stress of 80 MPa or above correspond to peaks in the seismic moment release. Correspondingly, the drop in stress at 8-14 km correlates to a low in seismic moment release. At this depth range, the crust is weaker and deformation is mainly accommodated in a ductile manner. We therefore see a good correlation between depths at which the crust is strong and elevated seismic deformation, while regions where the crust is weaker deform more aseismically. Overall the bimodal depth distribution of seismic moment release is best explained by rheology of the deforming crust.

**Plain language summary**

Combined analysis of a numerical modeling study on how the Earth extends and deforms and earthquake depth distribution helps to understand the controlling mechanism of the number and magnitude of earthquakes occurring in the Earth. In order to do so, we run models that simulate opening of the Main Ethiopian rift (MER) and compare the results with earthquake depth data from the region. Our model successfully explains the present day deformation style in the MER with deformation concentrating within  $\sim 30$  km wide segments in the rift floor, a similar observation to previous results using geophysical surveys. Our integrated analysis shows that at depth shallower than 8 km, there is a maximum of 80 MPa stress available to deform the crust. This depth coincides with the highest seismic moment release in the crust. Between 8-14 km depth, both the seismic moment and stress significantly decrease, but a large number of small magnitude earthquakes occur. This depth range is also characterized by ductile deformation, con-

55 trary to faulting which is associated with seismicity. Our study suggests that earthquakes  
56 in MER are mainly controlled by the rheology of the crust.

## 57 **1 Introduction**

58 The depth distribution of seismicity in the East African Rift System (EARS) generally  
59 shows earthquakes only in the upper crust, or alternatively a clear bimodal pattern  
60 with peaks in the upper crust and then in either the lower crust or upper mantle  
61 (e.g., Craig et al., 2011; Yang and Chen, 2010). While the upper crustal earthquakes are  
62 consistent with deformation of crust dominated by a quartz-rich composition typical of  
63 continents, a range of mechanisms are proposed to explain the deeper earthquakes. For  
64 example, Seno and Saito (1994) proposed that lower crustal earthquakes occur due to  
65 high pore fluid pressure from fluids migrating from the upper mantle. This mechanism  
66 is recently used to explain most of deep crustal seismicity in the Tanzanian and Kenyan  
67 rifts (e.g., Lindenfeld et al., 2012). Alternatively in the Tanganyika and Main Ethiopian  
68 Rift (MER) (Fig. 1), others propose that deep crustal seismicity is driven by brittle faults  
69 penetrating the entire crust (Lavayssière et al., 2019; Lloyd et al., 2018). The tectonic  
70 faulting at these depths is enabled by the presence of strong crust, either because the  
71 entire lithosphere is anomalously thick and cold, or because the lower crust has a mafic  
72 composition and is therefore anomalously strong. The bimodal distribution of earthquakes  
73 also suggests that the deviatoric stress in parts of the middle crust must be lower than  
74 that of the upper crust and of the deep crust/upper mantle (Yang and Chen, 2010).

75 In this manuscript we explore the deformation of lithosphere and crustal stress patterns  
76 to explain variations in the amount of seismicity in the crust. To this end, we use  
77 2D high-resolution visco-plastic numerical experiments. The model results are then compared  
78 with seismic moment release and earthquake b-values.

## 79 **2 Tectonics of the northern Main Ethiopian Rift**

80 Geodetic (Bendick et al., 2006; Bilham et al., 1999), structural (Kurz et al., 2007)  
81 and modeling (Corti, 2008) studies in MER indicate the migration of deformation from  
82 border faults to ~60 km long, 20-30 km wide Quaternary to recent "magmatic segments"  
83 within the central rift floor (e.g., Ebinger and Casey, 2001). These magmatic segments  
84 are now the locus of active faulting, seismicity and volcanism (Keir et al., 2006). The  
85 crust beneath the magmatic segments has higher than normal P-wave velocities ( $V_p$ ), in-

86 interpreted as evidence for cooled mafic intrusions (e.g., Daly et al., 2008; Keranen et al.,  
87 2004; Mackenzie et al., 2005). The  $V_p$  is particularly high at lower crustal depths sug-  
88 gesting the lower crust is heavily intruded (Mackenzie et al., 2005). Thermal metamor-  
89 phism due to this cooled intrusion makes the surrounding crust strong (Lavecchia et al.,  
90 2016; Muluneh et al., 2018) and such crustal strength is required to explain the observed  
91 melt chemistry in the MER (Armitage et al., 2018). In addition, the mafic rock type of  
92 the intrusions is stronger than the surrounding more felsic continental crust (Beutel et al.,  
93 2010).

94 Analysis of earthquake catalogue puts constraints on the depth distribution of seis-  
95 micity and seismogenic nature of the crust and mantle. The EAGLE catalogue for 2001-  
96 2003 recorded  $\sim 2000$  earthquakes (Fig. 2A) with magnitudes  $M_L$  less than 4.0 (Keir et al.,  
97 2006). This catalogue is the best available so far for the region in terms of accuracy of  
98 depth and location of earthquakes. The catalogue has an error of  $\sim 2$  km and  $\sim 0.6$  km  
99 in hypocenter and x, y direction, respectively. Seismicity has a maximum depth of  $\sim 32$   
100 km, but most of the earthquakes nucleate at depth of  $< 18$  km (Figs. 2B and 2C).

101 The crustal thickness models from the EAGLE active source experiment using P-  
102 wave seismic velocity model show significant variations along and across the MER (e.g.,  
103 Mackenzie et al., 2005; Maguire et al., 2006). The crust is  $\sim 45$  km thick beneath the north-  
104 western plateau and 38 km thick beneath the eastern plateau. In the rift, the crust is  
105  $> 35$  km thick in the central MER and thins northwards to  $\sim 28$  km in the northern MER.  
106 The crust consists of a number of distinct layers, most of which are traceable from the  
107 plateaus into the rift. The upper crust is  $\sim 28$  km thick beneath the northwestern and  
108 eastern plateaus. This layer thins to  $\sim 18$  km beneath the northern MER. The lower crust  
109 ranges in thickness from 14 km beneath the eastern plateau to  $\sim 10$  km beneath the north-  
110 western plateau (e.g., Keranen et al., 2004). Beneath the northern MER, the lower crust  
111 has a thickness of  $< 10$  km (Keranen et al., 2009; Mackenzie et al., 2005). The base of  
112 the crust beneath the northwestern plateau and the rift is underlain by anomalously high  
113 velocity layer with a thickness of  $\sim 10$  km, which is interpreted as heavily intruded lower  
114 crust (e.g., Mackenzie et al., 2005).

### 3 Numerical model

Previous 3D numerical models of the MER successfully explain magmatic segmentations (Beutel et al., 2010), rift propagation and linkage (Brune et al., 2017; Corti et al., 2019) and kinematic consequences during oblique rifting (Duclaux et al., 2019). In the present study, our main interest is to combine numerical modeling of lithospheric extension with the depth distribution of seismicity.

We follow previous modeling approaches (Brune et al., 2017; Corti et al., 2019) and use rheological and thermal parameters to model the evolution of deformation in the northern MER. We also estimate the deviatoric stress available to drive extension at different crustal depths.

#### 3.1 Governing equations

We construct a 2D box setup using thermo-mechanical finite element code ASPECT v2.0.0-pre (Advanced Solver for Problems in the Earth's ConvecTion, Bangerth et al., 2018; Glerum et al., 2018; Heister et al., 2017; Kronbichler et al., 2012; Rose et al., 2017) to model extension of the MER. Our model is based on previous ASPECT setups aimed at modeling continental rift dynamics (Corti et al., 2019; Glerum et al., 2020; Naliboff et al., 2020). We solve the incompressible flow equations for conservation of momentum (eqn. 1), mass (eqn. 2) and energy (eqn. 3) assuming an infinite Prandtl number:

$$-\nabla \cdot (2\eta\dot{\epsilon}(\mathbf{u})) + \nabla P = \rho\mathbf{g}, \quad (1)$$

$$\nabla \cdot \mathbf{u} = 0, \quad (2)$$

$$\bar{\rho}C_p\left(\frac{\partial T}{\partial t} + \mathbf{u} \cdot \nabla T\right) - \nabla \cdot (\kappa + \nu_h(T))\nabla T = \bar{\rho}H + 2\eta(\dot{\epsilon}(\mathbf{u})) : (\dot{\epsilon}(\mathbf{u})) + \alpha T(\mathbf{u} \cdot \nabla P) \quad (3)$$

where  $\eta$  is viscosity,  $\dot{\epsilon}$  is strain rate tensor,  $\mathbf{u}$  is velocity vector,  $P$  is pressure,  $\rho$  is density,  $\bar{\rho}$  is adiabatic reference density,  $\mathbf{g}$  is gravitational acceleration,  $\kappa$  is thermal diffusivity,  $\nu_h$  is artificial diffusivity,  $C_p$  is specific heat capacity and  $H$  is heat production. Density,  $\rho$ , is given as  $\rho_0(1-\alpha(T-T_0))$  where  $\rho_0$  is the reference density,  $\alpha$  is thermal diffusivity,  $T$  is temperature and  $T_0$  is the reference temperature.

For each compositional field  $c_i$ , an additional advection equation (eqn. 4) is introduced to eqns. 1-3. As these equations contain no natural diffusion, artificial diffusivity  $\nu_h$  is introduced to stabilize advection (Kronbichler et al., 2012)

$$\frac{\partial c_i}{\partial t} + \mathbf{u} \cdot \nabla c_i - \nabla \cdot (\nu_h(c_i))\nabla c_i = 0 \quad (4)$$

### 3.2 Model setup and boundary conditions

The model geometry comprises a domain of 500×160 km in x-(cross-rift) and y-(depth) directions, respectively (Fig. 3). The resolution of our model varies between 1 km in the region of interest (at depths shallower than 50 km and between 125 and 375 km in x-direction) and 2 km outside this area above 130 km depth. The remaining model region has a resolution of 4 km.

Our modeling approach uses constitutive relationships for viscous and plastic rheology. Viscous flow follows a power-law model for diffusion and dislocation creep (Karato and Wu, 1993) (eqn. 5):

$$\sigma'_{eff} = A^{-1/n} \dot{\epsilon}_{eff}^{1/n} d^{m/n} \exp\left(\frac{Q + PV}{nRT}\right) \quad (5)$$

where  $A$  is pre-exponent,  $n$  is the power law index,  $m$  is the grain size exponent,  $d$  is grain size,  $Q$  is the activation energy,  $\dot{\epsilon}_{eff}$  is the effective deviatoric strain rate,  $V$  is activation volume and  $R$  is the gas constant. In case of diffusion creep,  $n=1$  and  $m>0$ , while for dislocation creep  $n>1$  and  $m=0$ .

We simultaneously apply both the dislocation ( $\eta_{disl}$ ) and diffusion ( $\eta_{diff}$ ) creeps (van der Berg et al., 1993) for the viscous rheology (eqn. 6)

$$\eta_{comp} = \left(\frac{1}{\eta_{diff}} + \frac{1}{\eta_{disl}}\right)^{-1} \quad (6)$$

where  $\eta_{comp}$  is composite of both viscous creep mechanisms.

Brittle/plastic rheology is implemented by rescaling the effective viscosity  $\eta_{eff}^{pl}$  in such a way that the stress does not exceed the yield stress  $\sigma_y$  (eqn. 7) derived by the Drucker-Prager yield criterion (eqn. 8).

$$\eta_{eff}^{pl} = \frac{\sigma_y}{2\dot{\epsilon}_{eff}} \quad (7)$$

where  $\sigma_y$  is given by

$$\sigma_y = P \sin \phi + C \cos \phi \quad (8)$$

where  $P$  is the total pressure,  $\phi$  is angle of internal friction, and  $C$  is the cohesion. When the viscous stress exceeds the plastic yield stress, the effective viscoplastic viscosity will be chosen as the plastic viscosity. Otherwise the composite viscosity is used. The effective viscoplastic viscosity is fixed between lower and upper cut-off values of  $10^{19}$  and  $10^{24}$  Pa s, respectively.

168 The upper crust is modeled using a wet quartzite flow law (Rutter and Brodie, 2003)  
169 and the mafic lower crust and weak seed are represented by wet anorthite (Rybacki et  
170 al., 2006). Flow laws of dry and wet olivine (Hirth and Kohlstedt, 2003) represent litho-  
171 spheric mantle and asthenospheric mantle, respectively. The undeformed crust has a thick-  
172 ness of 38 km, with 25 km and 13 km thick upper and lower crust, respectively. The thick-  
173 ness of these layers is based on geophysical observations from the southeastern plateau  
174 (e.g., Keranen et al., 2009). Since the southeastern plateau has not been modified by mag-  
175 matic underplating, unlike the northwestern margin, the crustal thickness represents the  
176 thickness prior to the opening of the MER. The initial lithosphere has a thickness of 120  
177 km based on lithospheric thickness from the undeformed part of Africa (e.g., Fishwick,  
178 2010). We set the horizontal component of velocity on the left and right boundaries to  
179  $V_{\text{ext}}/2$  where  $V_{\text{ext}}$  is the full opening rate. This material outflow is compensated by nor-  
180 mal inflow  $V_b$  through the model base. The tangential stress is zero along the vertical  
181 and bottom boundaries, allowing for tangential motion. The top surface is a true free  
182 surface.

183 The surface temperature is kept constant at 0°C. The temperature at the bottom  
184 boundary is also fixed, at 1345°C. Lateral boundaries are thermally isolated. We pre-  
185 scribe an initial steady-state continental geotherm in the lithosphere, with an LAB tem-  
186 perature of 1300° and upper and lower crustal heat productions of 1 and 0.1  $\mu\text{W}/\text{m}^3$ ,  
187 respectively. In the asthenosphere an initial adiabatic temperature profile is prescribed  
188 based on an adiabatic surface temperature of  $\sim 1284^\circ\text{C}$ . Boundary condition for compo-  
189 sition is fixed to initial composition along the top and bottom boundary.

190 A Gaussian-shaped lithosphere-asthenosphere thermal and compositional pertur-  
191 bation with an amplitude and standard deviation of 5 km and 10 km, respectively, helps  
192 localize deformation at the centre of the model. We randomly distributed heterogeneities  
193 around the rift axis throughout the whole depth of the model representing the pre-weakened  
194 lithosphere (Dyksterhuis et al., 2007). The magnitudes of these random perturbations  
195 of initial plastic strain follow a broad Gaussian envelope of 100 km standard deviation  
196 and a strain amplitude of 0.4. Strain weakening is implemented as a linear decrease in  
197 friction angle from 30° to 9° for brittle strain between 0 and 1. For plastic strains larger  
198 than 1, it remains constant at 9°. The choice of friction angle is based on the observa-  
199 tions of the strength of the crust and faults in the MER (Muluneh et al., 2018). Plas-  
200 tic strain, defined as the second invariant of the deviatoric strain rate times the time step,

201 is tracked if the viscous stress exceeds the yield stress. For simplicity, cohesion is kept  
202 constant at a value of 20 MPa. All the rheological and thermal parameters as well as the  
203 ASPECT input file are given as supplementary material.

204 Numerical modeling of lithospheric extension can be conducted either through stress  
205 or kinematic boundary conditions (Brune et al., 2016). Since the opening of the MER  
206 is well documented by a number of geodetic and plate kinematic observations showing  
207 a relatively constant extension rate, we use the kinematic boundary condition. We run  
208 the model using a full opening rate of 6 mm/yr (Iaffaldano et al., 2014), leading to 66  
209 km opening during the last 11 Myr. In order to assess the effect of different velocity bound-  
210 ary conditions, we also run the model using a 4 mm/yr constant opening rate (DeMets  
211 and Merkouriev, 2016) corresponding to the long term average during the last 16.5 Myr.  
212 Both models show similar deformation style at the end of model run. This implies that  
213 despite the difference in velocity boundary conditions, the deformation style is the same.

### 214 **3.3 Model robustness and limitations**

215 In order to validate the robustness of our results, we conducted additional mod-  
216 els with viscosity cut-offs of  $10^{18}$  and  $10^{24}$  Pa s, which lead to almost indistinguishable  
217 results. We use time step of 5,000 years when applying the velocity of 6 mm/yr. Increas-  
218 ing or decreasing the time step by a factor of 2 does not change the results. Including  
219 linear cohesion softening did not significantly change the results.

220 Similar to previous modeling approaches, our experiment does not include the ef-  
221 fect of a mantle plume, because the size of the studied plate boundary is in any case small  
222 compared to the extent of the African superplume (e.g., Nyblade et al., 2000). In ad-  
223 dition, erosion, sedimentation, elasticity and magmatic underplating have not been im-  
224 plemented. Deformation in the MER is driven by constant, oblique kinematics since the  
225 onset of rifting at 11 Ma (e.g., Corti, 2008; DeMets and Merkouriev, 2016; Iaffaldano et  
226 al., 2014). We can not investigate the role of obliquity (Brune, 2014; Brune et al., 2012;  
227 Duclaux et al., 2019) or the impact of along-strike mantle flow (Mondy et al., 2018) due  
228 to the 2D nature of our experiment, but first order deformation aspects are neverthe-  
229 less expected to be very well represented.

### 3.4 Model results

Our numerical experiment provides insight into deformation processes since the onset of rifting in MER. We discuss several outputs that are directly related to the seismic nature and strength of the crust.

#### 3.4.1 Strain rate

At the beginning of deformation, strain is accommodated by small-scale shear zones controlled by the randomly distributed heterogeneities. Small-scale shear zones are active throughout the model domain until 2 Myr model time (Fig. 4A). The shear zones in upper and lower crust merge together and form a large offset border fault on the left side of the model that accommodates deformation until  $\sim 7$  Myr. Further accommodation of deformation by this fault leads to significant thinning of the lower crust. Meanwhile synthetic and antithetic faults form on the right side of the rift. Between 7 and 9 Myr, significant thinning of the lower crust and strain migration from the border to rift floor faults occur. This occurs because the border fault on the left side rotates from high angles to low-angle, rendering mechanical activity unfeasible. At  $\sim 9$  Myr model time, the maximum strain rate migrates from the border to the rift floor. At the end of model run (i.e. 11 Myr), there is a slight shift of the locus of deformation to the left side, similar to field observations in the rift (Ebinger and Casey, 2001). In general, our model evolves from asymmetric extension at the beginning of rifting to mostly symmetric rifting, generating a so-called asymmetric-symmetric pattern (Huisman and Beaumont, 2003). Figure 4A shows that the highest brittle strain rate ( $\sim 2 \times 10^{-14} \text{ s}^{-1}$ ) is accommodated by narrow conjugate faults distributed within a 30 km wide zone in the upper crust. These narrow, high strain rate zones accommodate the ongoing extension in the rift. Towards the base of the upper crust, high strain rate is widely distributed without forming narrow shear zones.

Outside the rift zone, high strain rate is observed at the base of the upper crust enhanced by shear deformation due to the rheological contrast between the upper and lower crust. The thickness of the upper crust remains constant throughout model evolution, while the lower crust has thinned significantly within the width of the rift zone leading to broadly distributed strain.

### 3.4.2 *Brittle strain*

Figure 4B indicates that time-integrated strain concentrates on the major fault on the left side since model initiation. As extension proceeds, the high angle fault rotates to very low dip angle in the lower crust where extension is broadly distributed. High angle shear zone penetrates to the base of lower crust and accumulates strain at depth by forming ramp-flat-ramp structure (Fig. 4B). In contrast, no significant strain accumulates on the right side of the rift until  $\sim 6$  Myr model time. Soon after 6 Myr model time, faults on the right side start accommodating brittle extension together with faults on the left side until 11 Myr model time. In the rift floor and right-side of the model, deformation is mainly taken up by multiple, high angle faults with relatively small topographic offset.

### 3.4.3 *Viscosity*

Viscosity plots show the low-viscosity asthenospheric material and the presence of competent layers (Fig. 4C). Active shear zones in the upper crust and the whole lower crust are characterized by low effective viscosity ( $< 10^{21}$  Pa s). There is a slight deflection of the asthenospheric upwelling to the right guided by a high strain shear zone from the crust that forms a ramp-flat-ramp structure. The pattern of deflected asthenospheric upwelling is apparently similar to tomographic (Bastow et al., 2005) and magnetotelluric (e.g., Hübner et al., 2018) studies that show the offset of low-velocity anomalies away from the magmatic segments, although the clear pattern of the offset is difficult to explain due to the 2D nature of our numerical experiment. Similar deflection of asthenospheric upwelling and rift axis jump is also observed in previous numerical modeling experiments (Huisman and Beaumont, 2003; Tetreault and Buitier, 2018).

Available estimates of the vertically averaged viscosity in the EARS range from  $10^{19.6}$  to  $10^{23}$  Pa s (Stamps et al., 2014). Bendick et al. (2006) computed the viscoelastic relaxation effect following the 1993 dyking event in the northern MER. They found a best fit to observed displacement using a 15 km thick elastic/brittle crust over a viscous lower crust with viscosity of  $1.125 \times 10^{18}$  Pa s. Our lower crustal viscosity estimate is higher than that of Bendick et al. (2006). The difference could be due to the timescale of rapid dike injection and our modeling approach that considers the long-term deformation. High vis-

290 cosity in the lowermost part of the lower crust hints at possible brittle deformation and  
 291 hence might explain lower crustal seismicity in MER (Lloyd et al., 2018).

#### 292 **3.4.4 Brittle and ductile layers**

293 The occurrence of brittle deformation is assessed at each time step based on the  
 294 Drucker-Prager yield criterion. Figure 4D shows the presence of brittle layers in the up-  
 295 per and lower crust and upper mantle. At the end of model run (11 Myr), the brittle layer  
 296 in the lower crust is consumed and only  $\sim 10$  km thick brittle upper crust and  $\sim 10$  km  
 297 thick upper mantle deform in brittle manner. Figure 4D also shows the occurrence of  
 298 a brittle layer below 600 °C isotherm due to olivine dominated rheology of the upper man-  
 299 tle (McKenzie et al., 2005). Our numerical results at 11 Myr model time (Fig. 4D) also  
 300 show that the crust is seismogenic both in the uppermost crust and in the deeper crust.  
 301 The lower part of the upper crust and lower crust deforms in ductile manner.

### 302 **3.5 Deviatoric stress**

303 We explore the stress state arising from rift evolution and estimate the deviatoric  
 304 stress that includes both the far-field and local components. Our model result offers a  
 305 direct access to the stress tensor in 2D. The second invariant of the deviatoric stress in  
 306 2D is calculated using eqn. 9

$$\tau_{II} = \sqrt{\frac{1}{2}\tau_{ij}^2} = \sqrt{\frac{1}{2}(\tau_{xx}^2 + \tau_{yy}^2) + \tau_{xy}^2} \quad (9)$$

307 where  $\tau_{xx}$ ,  $\tau_{yy}$ ,  $\tau_{xy}$  are the components of the deviatoric stress tensor.

308 Figure 5 shows that the boundary fault on the left side and  $\sim 30$  km depth on both  
 309 left and right sides of the plateau are characterized by very high deviatoric stress ( $> \sim 200$   
 310 MPa) whereas active shear zones in the upper crust and the lowermost crust are char-  
 311 acterized by very low stress. The stress is more or less similar along the x-axis under-  
 312 neath the rift basin (Fig. 5) and therefore the precise location for the vertical section  
 313 does not affect the discussion on the variation of stress with depth. The magnitude of  
 314 stress increases from 20 MPa to 80 MPa in the upper most crust. From 8 km to 14 km  
 315 depth, the stress decreases sharply to  $\sim 10$  MPa and then increases and reaches 160 MPa  
 316 at  $\sim 18$  km before going back to 10 MPa at depth  $\geq 30$  km (Fig. 5).

#### 4 Moment magnitude and earthquake b-value

We used the earthquakes from the EAGLE catalogue (Keir et al., 2006) to estimate the seismic moment release and b-values at different crustal depths. Seismic moment release ( $M_o$ ) is the energy released by an individual earthquake and is a function of the earthquake's magnitude (Kanamori, 1983). The b-value describes the magnitude-frequency distribution of earthquakes, whereby a smaller value indicates a higher proportion of large earthquakes with respect to small earthquakes, and vice versa. Variations in the observed b-value have been attributed to changes in stress conditions and/or rock heterogeneity, and fluid diffusion (Marzocchi et al., 2020).

The calculation of seismic moment release (Fig. 6A &B) indicates that the majority of seismic moment release occurs in the upper crust above 8 km. A small seismic moment is released at depths of 8-14 km in the rift (Fig. 6B). We see a second peak in seismic moment release in the lower part of the upper crust at  $\sim 16$  km depth. Below this depth, seismic moment release is minimal. In order to obtain insight into the deformation style at different crustal depths and provide additional constraints to our modeling results, we estimate the b-value at depths  $\leq 8$  km, 8-14 km and  $\geq 14$  km. These depth ranges are selected based on the depth distribution of seismicity, occurrence of low-magnitude seismic swarms (Keir et al., 2006) and sharp increase in shear strength of faults (Munlueh et al., 2018). We calculate the magnitude of completeness ( $M_C$ ) using the maximum curvature method (Wiemer and Wyss, 2000) that takes the magnitude 'bin' with the highest frequency and adds 0.2 magnitude units. We then use the maximum-likelihood calculation (Aki, 1965) and bootstrap analysis (Pickering et al., 1995) to calculate b-values and associated errors, respectively. The bootstrap analysis creates 10,000 datasets by randomly sampling the earthquake catalogue and allowing for duplication (supplementary figure 1), a b-value is calculated for each dataset and we report the mean and standard deviation of these values.

At depth shallower than 8 km, the b-value is  $0.75 \pm 0.09$  (466 earthquakes) and it increases to  $0.91 \pm 0.06$  (1059 earthquakes) at 8-14 km depth. The b-value decreases to  $0.82 \pm 0.11$  (396 earthquakes) below 14 km. The relatively higher b-value at 8-14 km coincides with increased number of earthquakes at  $\sim 12$  km (Keir et al., 2006) but due to a vast majority of earthquakes being relatively small in magnitude there is a small seismic moment release.

## 5 Comparison and discussion of results

We compare our numerical model results with observed deformation styles in the northern MER. Then, the brittleness of layers and stress from model results are compared with depth distribution of seismicity, b-value and crustal strength. We assume that no seismicity occurs where the applied stress is less than the yield strength (Scholz, 1988) and that geologically reasonable visco-plastic deformation represents the earthquake cycle that happens on a much shorter time scale.

We note that the crustal thickness from our model output differs from observations in the northern MER (Fig. 4&5). If we include the 5 km thick magmatic underplating (Maguire et al., 2006), the high deviatoric stress and brittle region will be within the crust, not the upper mantle, thus our model does not necessarily predict upper-mantle earthquakes. The thickness of the lower crust in our model represents only the upper ~5 km of the lower crustal thickness inferred from geophysical observations (e.g., Maguire et al., 2006). Since most of the seismicity concentrates in the upper crust, the difference does not affect the discussion on the depth distribution of seismicity and model results.

### 5.1 Deformation style

Our 2D model successfully describes temporal deformation pattern in MER; including migration of high strain rate from border faults to 20-30 km wide segments, broadly distributed ductile extension in the lower part of the upper crust and in the lower crust and high accumulation of brittle strain on the border fault. The migration of high strain rate from the border faults to rift axis faults and magnitude of strain rate are comparable to GPS (e.g., Kogan et al., 2012), structural (Agostini et al., 2011) and modeling (Corti, 2008) observations in the northern MER. Strain migration occurs without the input from magma (Fig. 4A). Analogue modeling experiment shows that migration of strain from the border faults to magmatic segments does not necessarily require the input from magma, but can instead be caused by lithospheric thinning due to constant extensional kinematics (Corti, 2008).

The model results also indicate that brittle deformation occurs in two regions beneath the rift, in the uppermost crust and at the deeper crust. Deformation in the lowermost crust occurs in ~70 km wide zone (Fig. 4A). Although our model result shows broadly distributed deformation in the lowermost crust, similar to field geophysical ob-

380 observations (Keranen et al., 2009; Kogan et al., 2012), the width of the deforming zone  
381 differs quite significantly. For example, Keranen et al. (2009) suggest that deformation  
382 in the lower part of the upper crust and lower crust is distributed in  $\sim 400$  km wide zone.  
383 On the other hand, Kogan et al. (2012) argue that deformation is localized in  $\sim 85$  km  
384 wide zone with some deformation extending outside the structural MER. In order to ex-  
385 plain the widespread deformation, Keranen et al. (2009) argue that the lower crust must  
386 be weak. This contradicts with more recent modeling study suggesting that lower crust  
387 in MER must be strong in order to explain melt chemistry (Armitage et al., 2018).

## 388 5.2 Deviatoric stress and seismic moment release

389 In the EARS the magnitude of deviatoric stress is in a range of 10-20 MPa (Ma-  
390 hatsente and Coblenz, 2015; Stamps et al., 2010) and hence it appears to be too small  
391 to cause brittle failure on seismogenic faults (Craig et al., 2011; Scholz, 2002). Mahat-  
392 sente and Coblenz (2015) argue that the ridge-push force from the oceanic part of Nu-  
393 bia (Africa)-Somalia plate is less than the integrated strength of the African plate and  
394 hence additional forces are required to deform the plate. The above studies report mag-  
395 nitude of vertically averaged deviatoric stress over the thickness of the lithosphere. Since  
396 both magnitude of deviatoric stress and strength vary with depth, detailed analysis on  
397 those parameters is crucial to understand the mechanism for the peak in mid crustal seis-  
398 micity.

399 The second invariant of deviatoric stress in our models shows strong variation with  
400 depth. Figure 7 offers a clear representation of deviatoric stress and magnitude of seis-  
401 mic moment release with depth. The upper crust deforms with stress ranging from 20-  
402 80 MPa. This stress is higher than the shear strength of the upper crust, which is  $\sim 20$   
403 MPa (Muluneh et al., 2018). A maximum of  $\sim 1 \times 10^{15}$  Nm moment magnitude is released  
404 at 7 km depth. Between 8 and 14 km depth, the magnitude of stress decreases sharply  
405 to  $\sim 10$  MPa. The reduction in deviatoric stress is consistent with the observed occur-  
406 rence of low-magnitude earthquake swarms with small seismic moment release and rel-  
407 atively higher b-value (Fig. 7). Alternatively we propose that earthquakes in the 8-14  
408 km depth range, characterized by large numbers of small earthquakes, may be triggered  
409 by fluid release, potentially from cooling mafic intrusion (Keir et al., 2009). We observe  
410 a good correlation between the peak in seismic moment release at  $\sim 16$  km depth, and  
411 a peak to 160 MPa in the deviatoric stress in the lower part of the upper crust.

412 Figure 8 summarizes the distribution of seismicity and faults in the MER. The com-  
413 bination of high accumulated brittle strain and stress together with high seismic activ-  
414 ity points out that the Ankober border fault and surrounding regions are still active and  
415 accommodate the present day opening of northern MER. Deformation at the rift cen-  
416 tre is accommodated by active fault zones.

417 Previous studies from other sectors of the EARS (e.g., Albaric et al., 2009) show  
418 that the depth distribution of seismicity can be fitted to different yield strength envelopes  
419 depending on tectonic settings. Several lines of evidences suggest that deformation style  
420 and seismicity in the MER vary along (e.g., Déprez et al., 2013; Muluneh et al., 2017)  
421 and across the rift (Keranen et al., 2009; Kogan et al., 2012). Future studies should ad-  
422 dress the role of contrasting rheologies and thermal properties between the plateau and  
423 the rift in controlling the depth distribution of seismicity.

## 424 **6 Conclusions**

425 We present a detailed numerical modeling study of lithospheric extension and de-  
426 viatoric stress state in the Main Ethiopian Rift (MER). Model results are compared with  
427 depth distribution of seismicity and seismic swarms, b-value and seismic moment release  
428 in order to propose a mechanism for deep crustal earthquakes in the MER. We use a high  
429 resolution, 2D numerical experiment to model the evolution of deformation and stress  
430 using most appropriate rheology for the lithosphere. Our model results successfully show  
431 the migration of deformation from border to rift centre, similar to GPS and geophysi-  
432 cal observations. Analysis of the deviatoric stress based on model results show that stress  
433 significantly varies with depth in the MER floor. The uppermost crust (i.e.  $\leq 8$ km) de-  
434 forms with maximum stress of 80 MPa. The stress drops to 10 MPa at depth of 8-14 km  
435 and then increases to 160 MPa at  $\sim 18$  km. The peaks in stress correspond to peaks in  
436 seismic moment release in the MER crust. The low-stress depth range (i.e. 8-14 km) is  
437 characterized by ductile deformation, small seismic moment release, concentration of swarms  
438 of low-magnitude earthquakes and higher b-value. We conclude that the bimodal depth  
439 distribution of seismic moment release in the MER is controlled by the rheology of the  
440 deforming crust.

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454 **Software availability**

455 The ASPECT plugins used in the manuscript is available here:

456 <https://zenodo.org/record/3758145#.Xp28CFDRZTY>. The DOI is 10.5281/zen-  
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**Figure captions**

**Figure 1.** Location of the Main Ethiopian Rift. Earthquake focal mechanisms are compiled from Hofstetter and Beyth (2003); Keir et al. (2006). GPS velocity vector shows the motion of Somalia with respect to Africa (Nubia) (Iaffaldano et al., 2014, similar to Saria et al. 2014 for the rigid zones). The red triangles are active volcanoes from the Smithsonian Institution, Global Volcanism Program (<https://volcano.si.edu>). The open black box shows the location of Fig. 2A and the open white box on the inset map shows the location of the main map. Elevation data is taken from GEBCO database (<https://www.gebco.net>).

**Figure 2.** (A) Earthquakes in the Main Ethiopian Rift from EAGLE dataset (Keir et al., 2006) scaled to their magnitudes and colored with hypocentral depth. The polygons bound earthquakes that occur in the plateau and rift floor. Thin gray lines indicate faults in the rift (e.g., Boccaletti et al., 1998; Kazmin and Berhe, 1981). AA' and BB' profile lines show earthquake hypocentres depicted in the middle and bottom figures, respectively. The profiles project earthquakes within 20 km of the line in the northern and central MER. (B) seismicity continues to ~32 km whereas in (C) seismicity is concentrated in the upper ~12 km (i.e. by considering the hypocentral uncertainty of  $\pm 2$  km).

**Figure 3.** Model geometry and boundary conditions.  $V_{\text{ext}}$  is horizontal, full spreading rate of opening that drives extension and  $V_b$  at the model base balances material outflow. A mechanically weak heterogeneity at the base of the lithosphere helps localize deformation at the centre. We run the model for 11 Myr leading to 66 km extension, which is within the range of predicted extension for the northern MER. The dashed black box shows the subset (200km×60km) of total domain of the model discussed in figs. 4 and 5.

**Figure 4.** Snapshots of strain rate (A), accumulated strain (B), viscosity (C) and deformation type (D) at 3, 9 and 11 Myr. At 3 Myr, deformation localized on the major fault on the left side. Similar to strain rate map (A), strain began to accumulate on the left side marginal fault. As extension proceeds, the marginal fault on the left side rotates to become horizontal (B). Since 9 Myr, deformation migrates from the rift border to the centre. At 11 Myr, active deformation with maximum strain rate is localized into ~30 km wide zone. High strain rate in the middle and lower crust indicates that deformation is mainly accommodated by broadly distributed flow instead of focusing of strain on active shear zones. The viscosity map (C) indicates the evolution of strong and weak zones. The lower part of the upper crust at 11 Myr is the weakest with viscosity less than  $10^{21}$  Pa s and characterized by ductile deformation (D). The brittle layer in the lower crust at the beginning of deformation is consumed during rifting (D). The white lines in all figures show temperature contours in °C.

**Figure 5.** Map showing the magnitude of the second invariant of the deviatoric stress at 11 Myr model time corresponding to present-day. The middle crust and active shear zones in the uppermost crust are characterized by very low deviatoric stress. Maximum stress is observed along the western rift border fault and beneath the western and eastern plateaus. The white line at the centre of the figure depicts the location for the vertical section shown in Fig. 7. The contours show the magnitude of deviatoric stress at 50 MPa interval.

**Figure 6.** The sum of seismic moment release in 1 km depth bins estimated using the relationship  $M_0 = 10^{\frac{3}{2}M_w + 9.1}$  Nm for plateau (A) and rift (B) shown by regions bounded by thick polygon. We make assumption that  $M_L = M_w$ . The red bars indicate the seismic moment released by low-magnitude earthquake swarms (Keir et al., 2006).

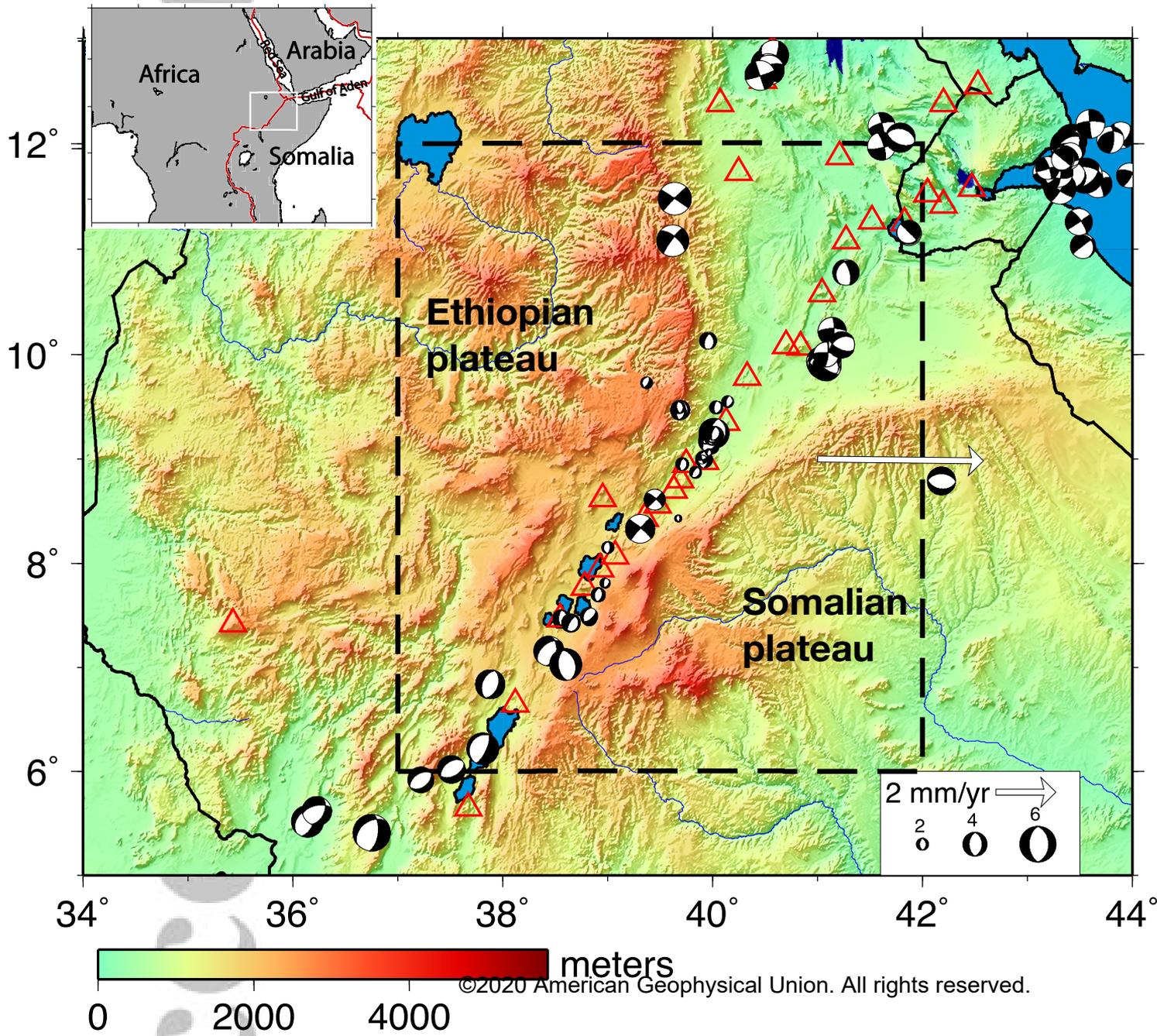
**Figure 7.** Seismic moment release, variation of magnitude of deviatoric stress with depth at the centre of the rift (Fig. 5) and earthquake b-value. Low stress region coincides with swarms of low-magnitude earthquake in the rift zone, small seismic moment release (red bars) and relatively higher b-value. Note that this layer has a shear strength ranging from  $\sim 40$  to  $\sim 120$  MPa (Muneh et al., 2018, similar to global compilation of shear stresses from major boundary faults by Behr and Platt (2014)). The green lines indicate the depth ranges ( $\leq 8$  km, 8-14 km,  $\geq 14$  km) at which b-values are estimated.

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**Figure 8.** Schematic cross-section across the northern MER from NW to SE. Earthquakes are also projected along the same profile line. Black lines show the interpreted major faults while the red lines show the minor faults within the rift axis. The dashed red line indicates the depth to the Moho (e.g., Keranen et al., 2009). The pattern and geometry of faults are similar to our modeled brittle strain at 11 Myr model time (Fig. 4B). The geology of the section is modified after Corti et al. (2018, and references therein).

Figure 1.

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Figure 2.

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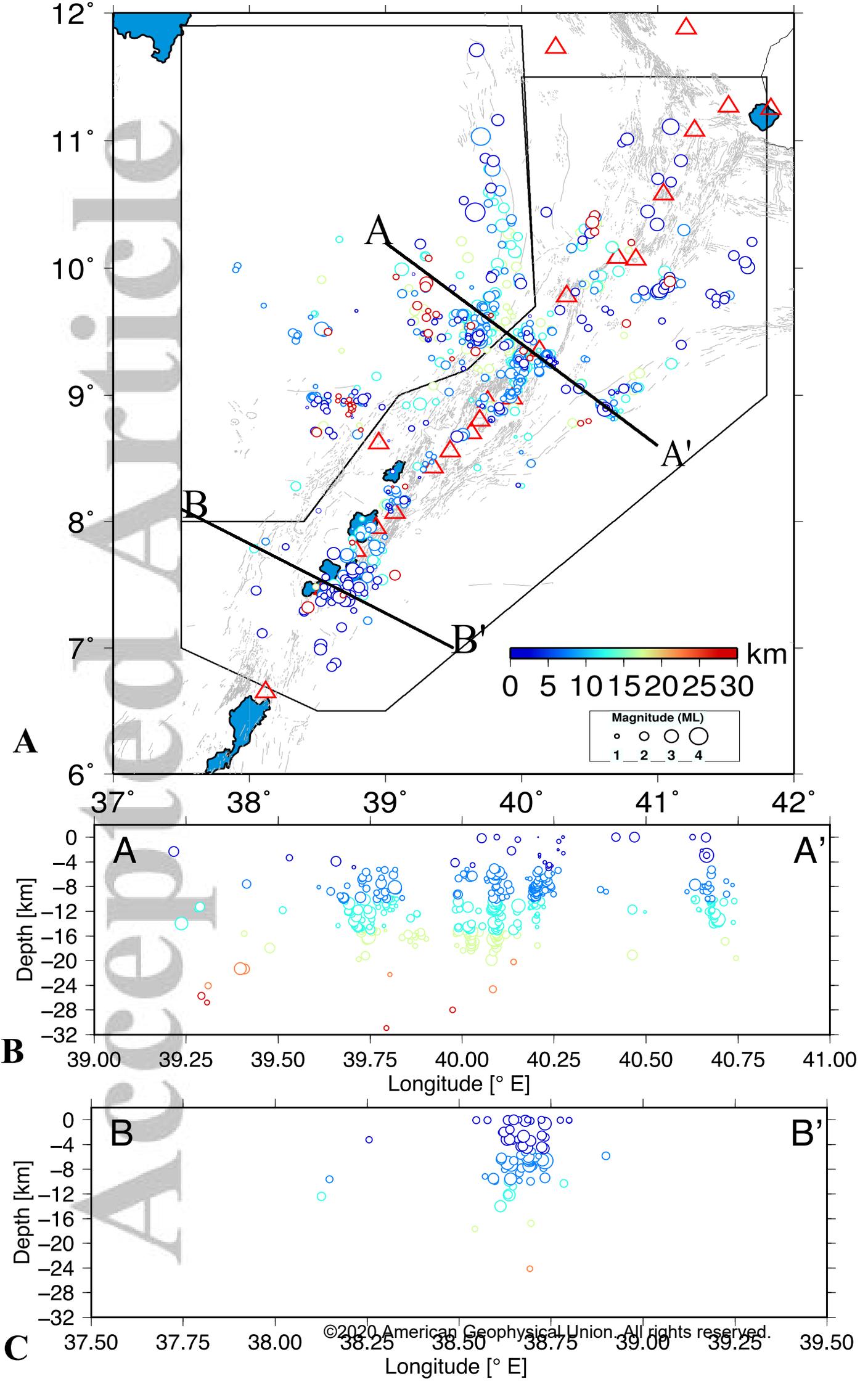


Figure 3.

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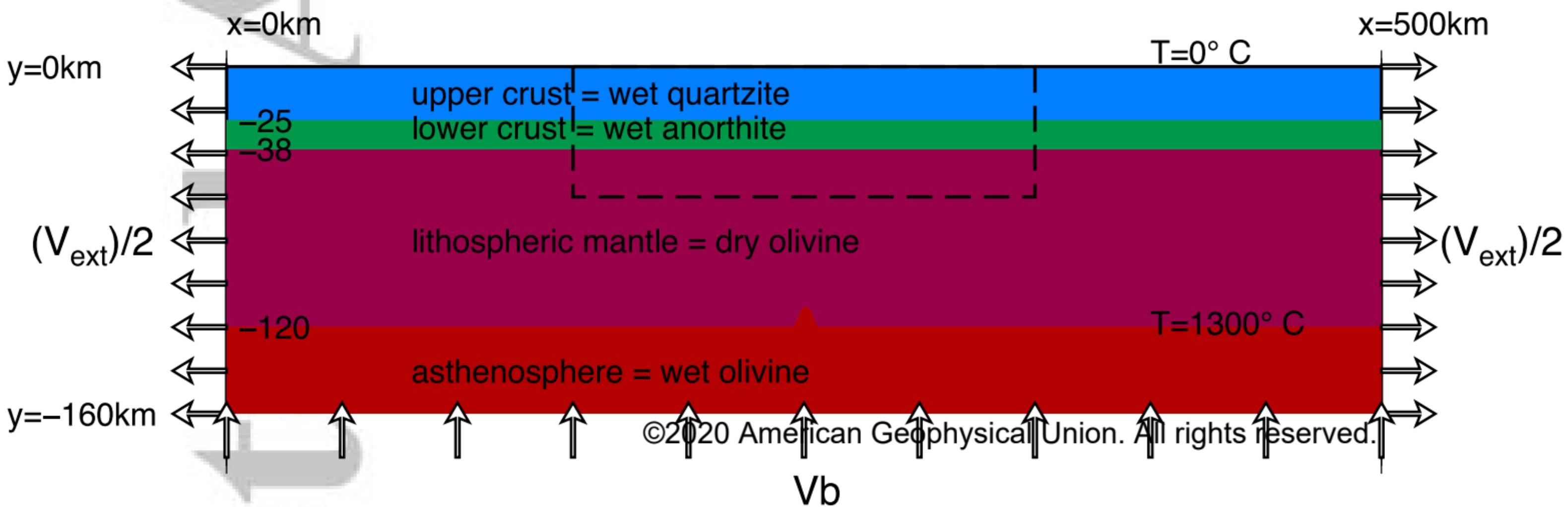


Figure 4.

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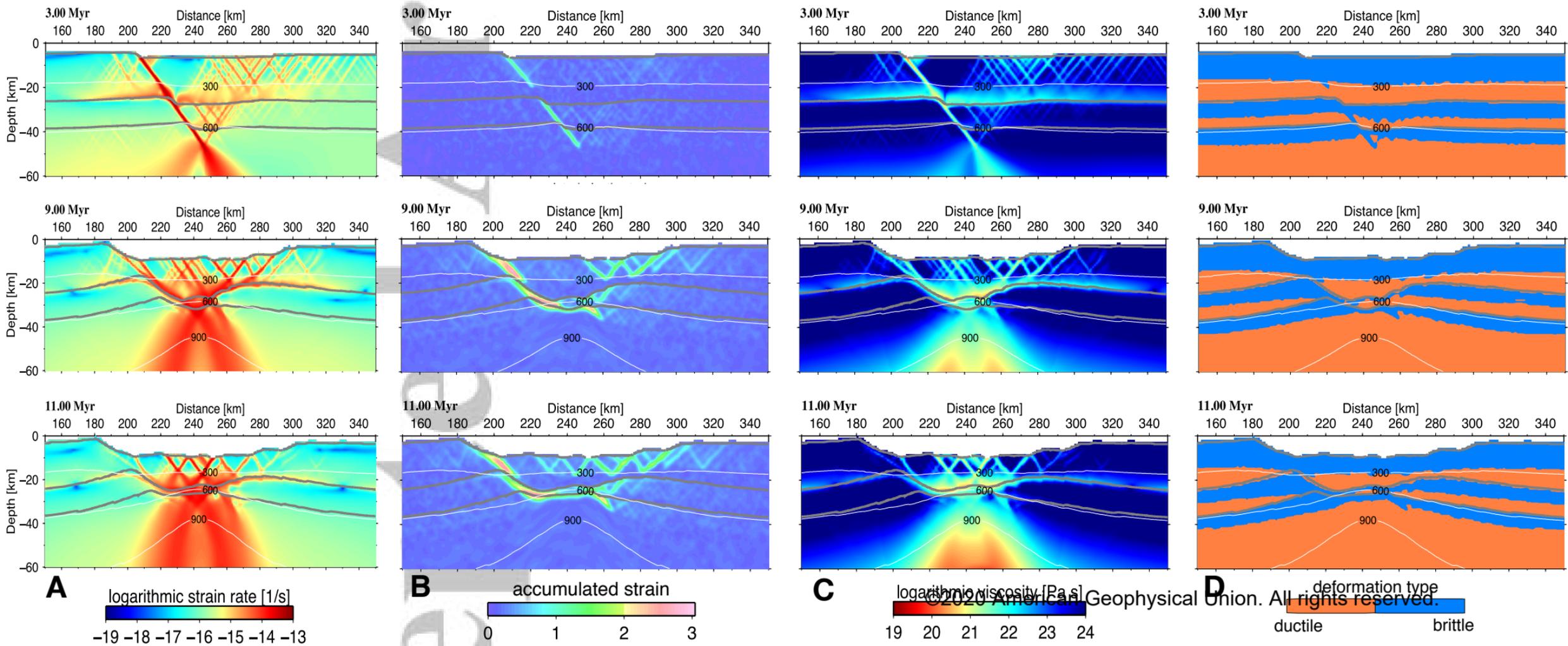


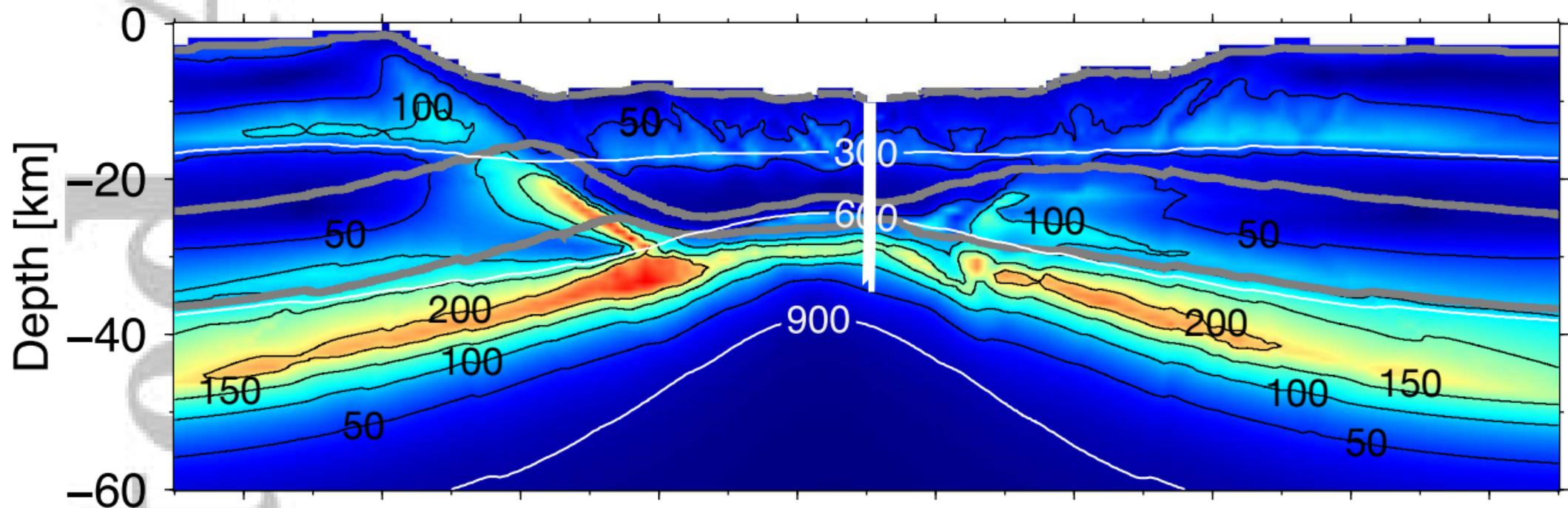
Figure 5.

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11.00 Myr

Distance [km]

160 180 200 220 240 260 280 300 320 340



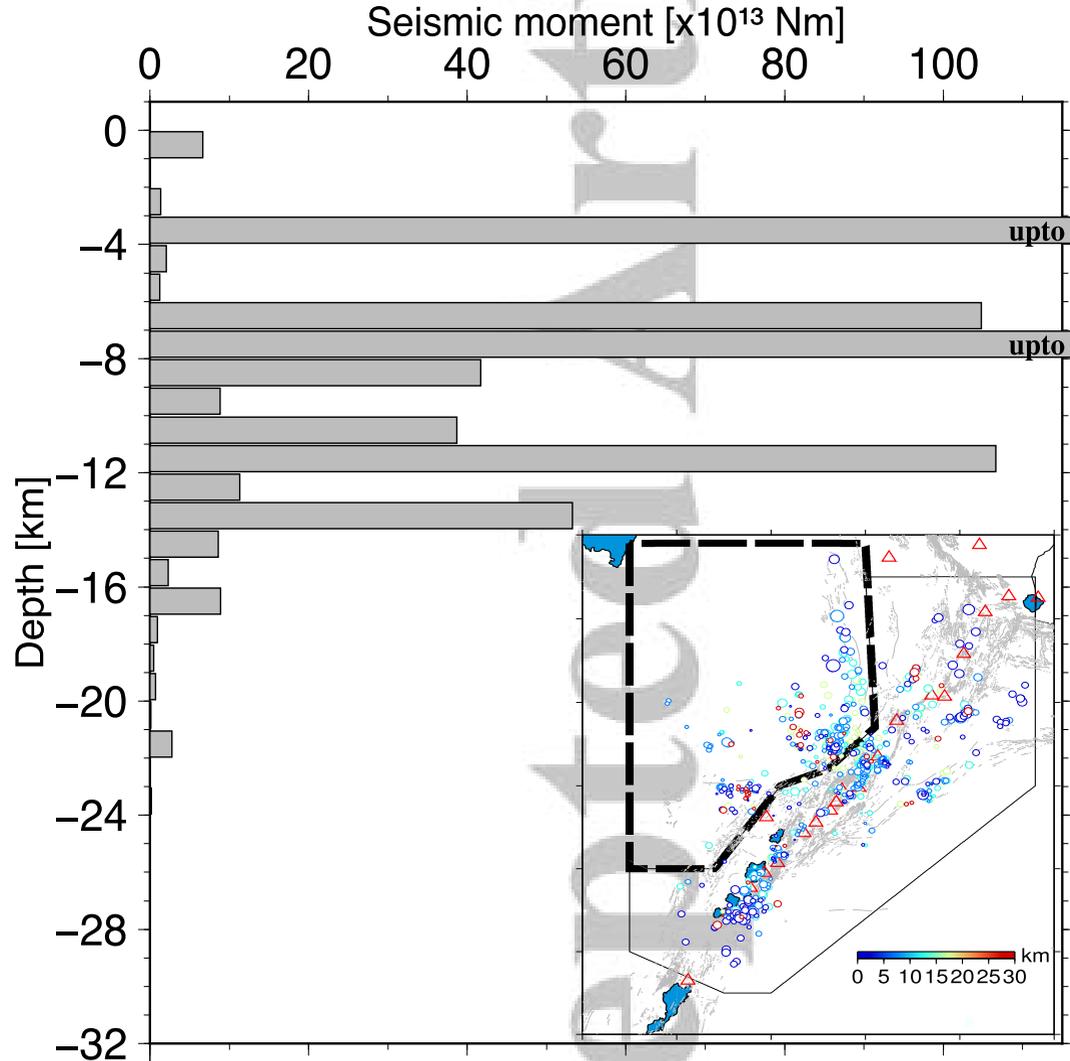
Deviatoric stress [MPa]

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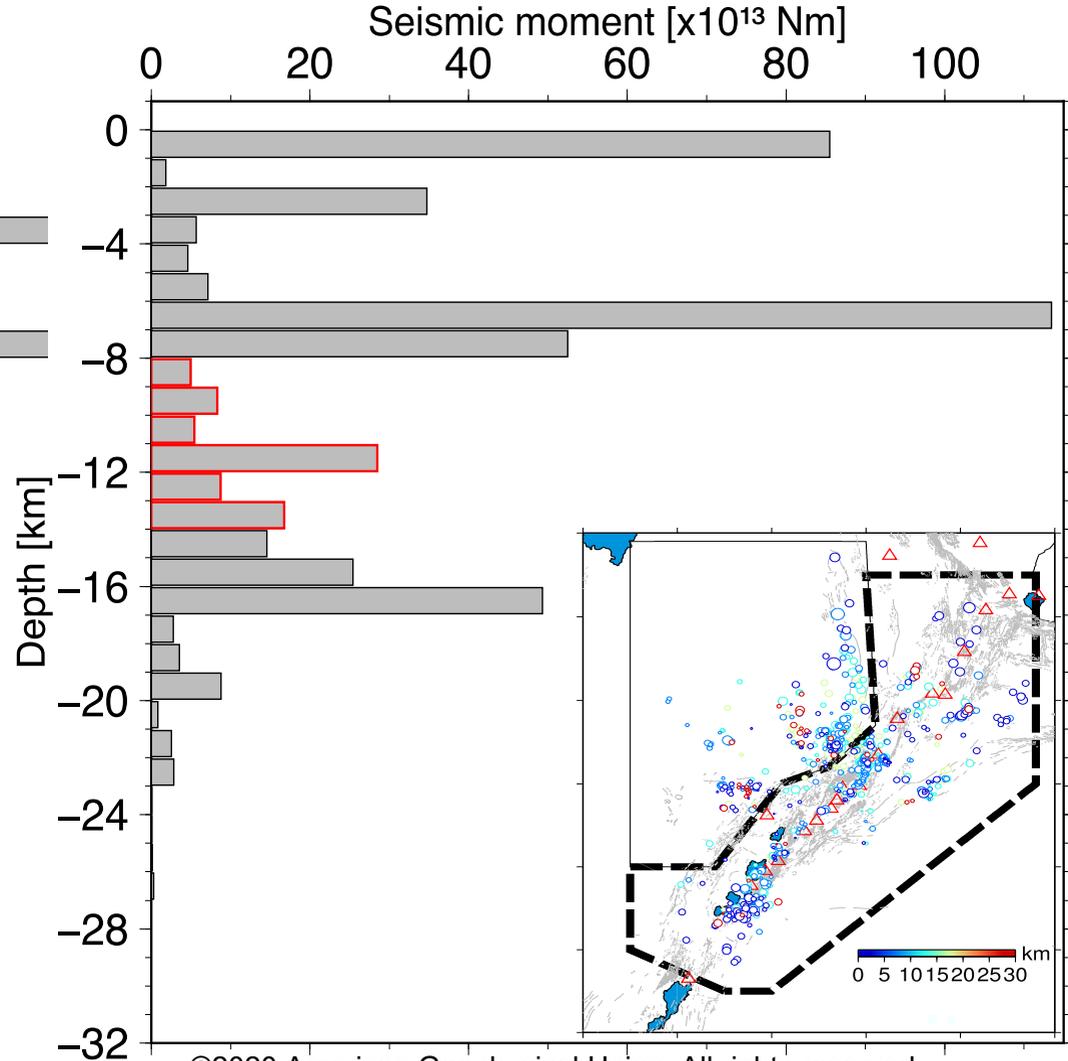
0 60 120 180 240 300

Figure 6.

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**A**



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**B**

Figure 7.

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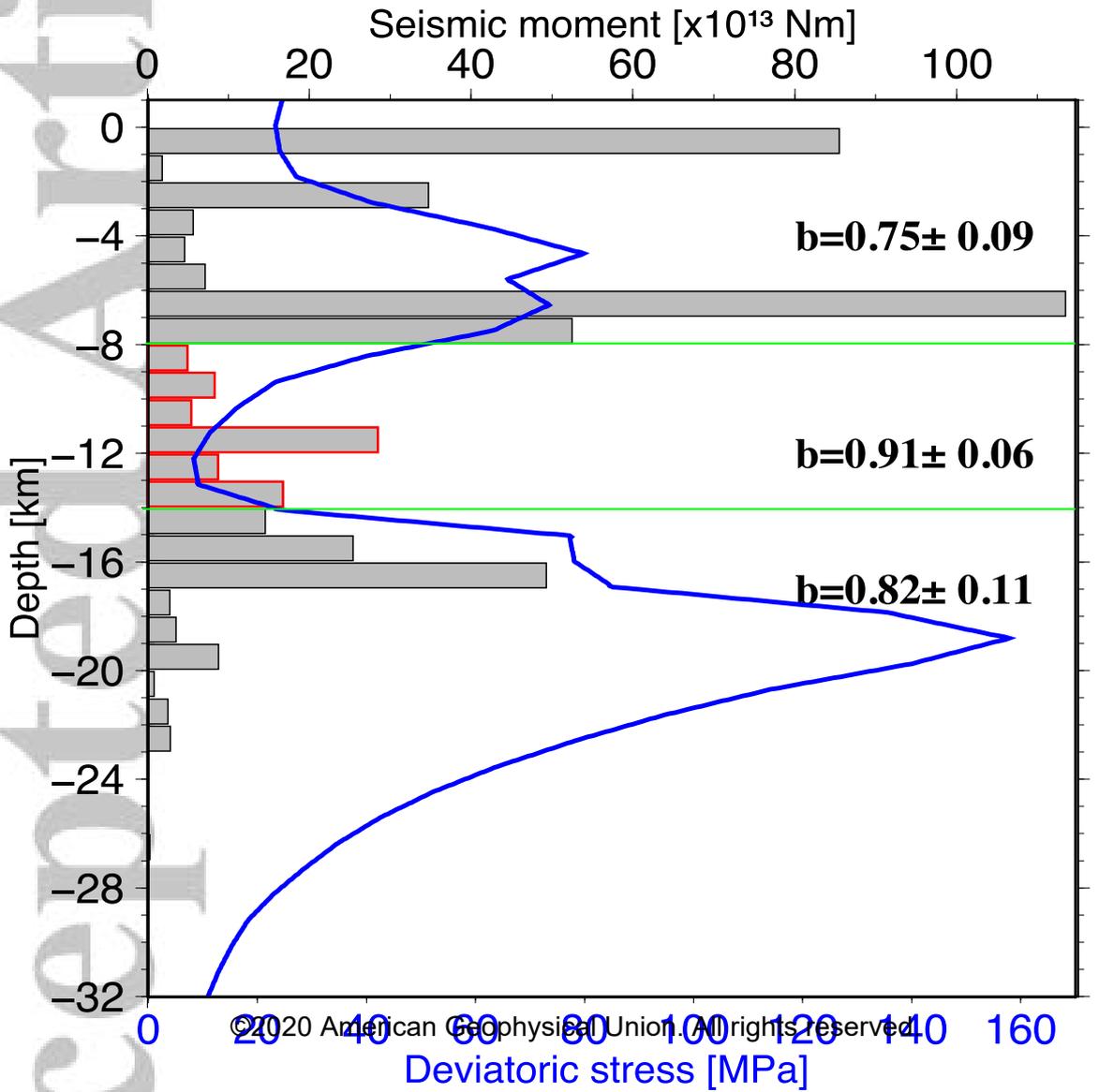


Figure 8.

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