1 Contrasting development trajectories for coastal Bangladesh to the end of century 2 A.N. Lázár¹, R.J. Nicholls², J. Hall³, E.J. Barbour⁴, A. Haque⁵ 3 1 Geography and Environmental Science, University of Southampton, United Kingdom, 4 a.lazar@soton.ac.uk 5 2 Tyndall Centre for Climate Change Research, University of East Anglia, Norwich NR4 7TJ, United Kingdom, robert.nicholls@uea.ac.uk 6 7 3 Geography and the Environment, University of Oxford, United Kingdom, jim.hall@eci.ox.ac.uk 8 4 Geography and the Environment, University of Oxford, United Kingdom; CSIRO Land and Water, 9 Canberra, Australia, Emily.Barbour@csiro.au 5 Bangladesh University of Engineering and Technology, Bangladesh, anisul.buet@gmail.com 10 11 12 Corresponding author: Attila N. Lázár, University of Southampton, University Road, Southampton, 13 SO17 1BJ, United Kingdom; a.lazar@soton.ac.uk; +44-23-8059-8907 14

15 Abstract

16 Bangladesh is one of the most climate sensitive countries globally, creating significant challenges for 17 future development. Here we apply an integrated assessment model -- Delta Dynamic Integrated 18 Emulator Model (ΔDIEM) -- to the south-west coastal zone of Bangladesh to explore the outcomes of 19 four contrasting and plausible development trajectories under different climate and socio-economic 20 scenarios: (1) embankment rehabilitation; (2) build elevation via controlled sedimentation; (3) 21 planned migration (managed retreat); and (4) 'do nothing' (unplanned migration and abandonment). 22 Embankment rehabilitation reduces flood risk, but at a high economic cost and enhancing 23 waterlogging. Planned and unplanned migration combined with limited infrastructure management 24 and governance both result in significant abandonment. Building elevation through sedimentation 25 has potential for increased environmental and economic sustainability, but raises equity issues. 26 Poverty and inequality persist across all scenarios, and outmigration from the coastal zone 27 continues, although the magnitude is sensitive to assumptions about sea-level rise, socio-economic 28 development and development trajectory. Integrated assessment tools linking the environment, 29 people and policy choices, such as $\Delta DIEM$ used here, highlight the complex interactions occurring in 30 a dynamic delta environment. Such analysis supports informed management, development and 31 adaptation.

32 Keywords

- 33 integrated assessment model,
- 34 delta,
- 35 policy options,
- 36 development trajectories,
- 37 human wellbeing
- coastal adaptation
- 39 Number of words: 8130

40 1. Introduction

- 41 Deltas are complex systems with strong, dynamic interactions between natural and human
- 42 processes requiring careful management and governance (Welch et al. 2017). They face severe
- 43 multiple pressures, including reduced sediment supply due to upstream dams, subsiding land due to
- 44 embankment and/or groundwater withdrawal (Adnan et al. 2019; Syvitski et al. 2009), waterlogging,
- 45 salinisation (Bernier et al. 2016), flooding, cyclones, climate change and sea-level rise (SLR). These
- 46 chronic and acute processes directly influence the livelihood potential and the wellbeing of delta
- 47 populations and vice versa (Adnan et al. 2020). It is essential that delta development considers these
- 48 processes and their interaction to minimise unintended and unexpected consequences.
- 49 The Ganges-Brahmaputra-Meghna delta, which forms most of Bangladesh's coastal region, is home
- to over 35 million people of whom more than 30% are poor (BBS 2011). The present and future
- 51 evolution of the delta is a consequence of complex biophysical and socio-economic interactions
- 52 (Nicholls et al. 2018). Whilst existing plans and policies consider multiple social-environmental
- 53 processes using a combination of modelling studies and expert elicitation, for example the recently
- 54 developed Bangladesh Delta Plan 2100 (BDP 2018), scientific understanding of the processes driving
- 55 the delta's evolution and current capacity to examine complex interactions and trajectories remain
- 56 fundamentally limited. There are profound uncertainties about future drivers, including SLR, land
- use change as a result of adaption to climate risks and about the links and effects of infrastructural
 and institutional interventions (Conway and Schipper 2011; Francesch-Huidobro et al. 2017). Thus,
- 59 we regard the delta's future evolution to be a consequence of exogenous climatic and socio-
- 60 economic factors, which we explore with climate scenarios and socio-economic scenarios,
- 61 respectively. In addition, we wish to explore the implications of policy responses in order to inform
- adaptation policy and implementation (cf. Kebede et al. 2018).
- 63 Four distinct and stylised development trajectories appear possible in coastal Bangladesh: (1)
- 64 embankment rehabilitation (imitate the Netherlands); (2) controlled sedimentation (build elevation
- 65 Tidal River Management, TRM); (3) planned migration (managed retreat); and (4) 'do nothing'.
- 66 This paper aims to analyse the implications of these four development trajectories for coastal
- 67 Bangladesh assuming they persist to 2100. Integrated assessment of the main system elements,
- 68 characteristics and interaction, provides a framework for such analysis (Anderies et al. 2007; Daw et
- al. 2016; Seijger et al. 2017). Here we improve and apply an integrated model of coastal Bangladesh
- 70 (Lázár et al. 2017; Lazar et al. 2015; Lázár et al. 2018; Payo et al. 2017) to achieve the aim. According
- to our knowledge, such an integrated, transitional and process-based exploration of plausible long-
- term trajectories of the coastal zone of Bangladesh has not been done. In particular, the paper goes
- beyond a traditional scenario assessment and assesses outcomes across the range of possible long-
- 74 term policy options in deltas.
- 75

76 2. Study area description

77 The study area (Figure S1) is located in the tidal-influenced south-west coastal zone of Bangladesh,

within the Ganges-Brahmaputra-Meghna (GBM) delta, covering 18,850 km². It has low elevation of

79 one to three meters above sea level and a tidal range of 0.5-4.5 metres. There are 105 polders (i.e.

- 80 low-lying land enclosed by embankments initially built to promote agriculture). The land cover is
- 81 predominantly agriculture (~45%) with two major cities (Khulna, Barisal). It includes the Sunderbans
- 82 the world's largest mangrove forest.

- 83 The total population of the study area is 14 million people with a population density of 750
- 84 person/km² (BBS et al. 2012). Mixed livelihoods are widespread (70% of population), where the
- 85 dominant income comes from off-farm occupations such as small business enterprise and small-
- scale manufacturing (Adams et al. 2016b). Although agriculture only contribute 12% to the delta
- GDP (Arto et al. 2020), it is the biggest employment sector (85% of population), though most
- households (56%) are practically landless (BBS 2014). Rice is the staple food (Wright et al. 2012).
- 89 Significant rural to urban (mainly Dhaka) migration is occurring across the delta, reflecting multiple
- 90 economic, livelihood and environmental factors (Safra de Campos et al. 2020).
- 91 Bangladesh has rapid GDP growth of 7.3% (World Bank 2020). However, 21% of the coastal rural
- 92 population is below the 'Cost of Basic Needs' poverty line and food expenditure is nearly 60% of

total household expenditure (BBS 1991), thus accumulating savings is unlikely. Furthermore, income

- 94 distribution is extremely uneven: 5,713 BDT/month for the landless and 29,673 BDT/month for large
- 95 land owners (3+ hectares), with an income inequality of 43.1%.
- 96 The study area experiences multiple stresses including: cyclones and surges, SLR, land subsidence,
- 97 river erosion, monsoonal flooding (June to September), drought (November to March) and
- 98 salinization (Nicholls et al. 2018). Intense floods, such as in 2004 affecting 58,000 km² and resulting
- 99 in 3.3% of GDP losses (Mechler and Bouwer 2015), occur once per decade on average, but monsoon
- and cyclone flooding occur almost annually. Based on historical data (Alam and Dominey-Howes
- 2015), strong cyclones (140+ km/hr wind, 4.6–6.1m surge, e.g. SIDR in 2007) occur 0.8 times per
- decade, while weaker cyclones (80-140 km/hr wind, 0.61-4.4 m surge, e.g. AILA in 2009) occur 4.2
- 103 times per decade. Cyclones cause wind damage and coastal floods damaging crops, housing and
- infrastructure, risk lives and salinize soils (Lumbroso et al. 2017; Younus 2017). For example, SIDR
 damaged ca. 1 million ha crops and killed about 1.8 million livestock in 2007. At the household level,
- 106 the average losses due to cyclones (loss of earnings, house/crop/asset damage, healthcare cost)
- 107 varies between 64,000 and 97,000 BDT (Paul and Routray 2010). Relative SLR presently averages 6 to
- 108 7 mm/year exacerbating coastal flooding, waterlogging and salinization (Becker et al. 2020; Brown
- and Nicholls 2015). Polders can increase tidal range via changes in tidal propagation (Pethick and
- 110 Orford 2013). Saline soils have expanded at 58.75 km²/yr between 1970 and 2009, and waterlogged
- 111 areas increased by 25 km^2/yr between 1980 and 2014 (Roy et al. 2017).
- 112

113 3. Methods

114 3.1 The Delta Dynamic Integrated Emulator Model (ΔDIEM)

115 The Delta Dynamic Integrated Emulator Model (ΔDIEM, Figure 1) is a trans-disciplinary quantitative

- 116 modelling tool developed to analyse integrated problems in delta environments (Lázár et al. 2018;
- 117 Nicholls et al. 2016). ΔDIEM couples environmental change, associated livelihoods, wellbeing and
- poverty in a model framework considering plausible assumptions of climate-, environmental-, and
- socio-economic changes and governance. The spatial resolution of Δ DIEM is the 'Union', the lowest
- administrative unit in Bangladesh covering ~26km² area (range: 2.5-98km²) with 21,000 people
- (range: 5000-65000), balancing the representation of spatial diversity and population behaviour and
 characteristics in ΔDIEM. The temporal resolution is daily for the bio-physical aspects and monthly
- 123 for the socio-economic calculations.



125

Figure 1: Schematic overview of the Delta Dynamic Integrated Emulator model (Δ DIEM)

126

127 The principles of the integrated model development was to (i) capture all important system 128 elements of coastal Bangladesh, (ii) utilise detailed process-based models in the background studies, 129 (iii) integrate these models on the same platform, (iv) emulate physics-based models for rapid 130 simulation, (v) conduct calculations at the highest spatial/temporal resolution possible, and (vi) use 131 harmonised, integrated scenarios. Detailed physics-based models included flooding (Delft-3D), 132 channel salinity (FVCOM), and groundwater, including salinity (MODFLOW-SEAWAT) which were represented as spatial statistical emulators to effectively couple them within $\Delta DIEM$ (Payo et al. 133 134 2017). Soil, salinity and crop productivity processes were represented with coupled process-based calculations including root zone soil water and salinity balance calculations, allowing estimates of 135 136 agricultural and aquaculture yields with the improved FAO CROPWAT model (Lazar et al. 2015; Payo 137 et al. 2017). Wellbeing was estimated using an agent-based-type model following the trajectories of 138 36 household archetypes (Table S1). Archetypes are based on qualitative and seasonal quantitative surveys of 1586 households considering their seasonal livelihood combinations and land size (Adams 139 140 and Adger 2016; Adams et al. 2016a). A coping-strategy optimisation routine balances incomes, expenditures, assets and savings to approximate the affordable expenditure of the archetypes (Lázár 141 142 et al. 2017). The main limitations of $\Delta DIEM$ concern the livelihoods: land holdings are 143 equiproportionally distributed based on aggregate demographics and land cover changes in a union; 144 there is no household adaptation beyond coping; households react but do not make long-term 145 predictions, service and manufacturing household incomes are input scenarios. In this application, 146 new modules were introduced to enable stylised TRM and migration simulations (see next section). Submodules of Δ DIEM are set up, parametrised and tested against observations first in isolation, 147 148 which is then refined when all components are coupled in the integrated framework. All calculations 149 are coded in Matlab (R2017a, Lázár et al. 2019; MathWorks 2017). 150 Results of the extensive model testing and validation are reported in the above publications and summarised here briefly (see also Figures S12-S13). There is a good agreement between the results 151

152 of the hydrological emulators and the high fidelity models. Larger errors occur at the lower values

and smaller errors occur at the higher values that are hydrologically most important. The Root Mean 153 154 Square Error (RMSE), for example for inundation depth: 0.012-0.13m, river salinity: 1.36ppt, river 155 elevation: 0.35m. Crop simulations were compared with district and sub-district observations and the fit was good both spatially and temporally (2000-2010 period). The RMSE for crop yield was in 156 157 between 2.3% and 11.9% in 2010 for Aman, Aus and Boro rice variaties, chilli and grass pea. Wheat 158 and potato were less well simulated (RMSE: 22-70%), but account for less than 10% of the total agriculture area (rice: 95% area in 2010). The simulated household income and expenditures are 159 160 used to approximate dimensions of wellbeing (calorie intake, protein intake, GINI coefficient and 161 1.90 USD/capita/day headcount). These followed the observed temporal patterns and magnitudes 162 well. Errors were not quantified because the observations were only available at regional and 163 national scales. Comparison of model outputs with literature evidence is done in Section 5. In terms 164 of sensitivity to input parameters, this varies across the different output parameters with no single dominant driver. All inputs have potential but distinct effects on the outputs and the interaction of 165 166 simulated elements defines the resulting delta characteristics (Table S8). Hence, $\Delta DIEM$ seems well 167 suited to the analysis proposed. The current study used the calibrated model to compare

168 environmental and socio-economic pathways.

169 3.2 Scenario descriptions

170 The Bangladesh Delta Plan 2100 (BDP2100) is a major policy initiative to enable socio-economic 171 development under trans-boundary water sharing and an uncertain future (BDP 2018). BDP2100 172 uses a consistent macro-economic framework containing decoupled macro-economic, employment, 173 poverty and environment models. BDP2100 tests four plausible future scenarios: Productive 174 ("market driven delta": moderate water conditions, diversified economy), Resilient ("dynamic delta": 175 extreme water conditions, diversified economy), Moderate ("delta under pressure": moderate water 176 conditions, traditional economy) and Active ("basic needs first": extreme water conditions, traditional economy). Water conditions consider trans-boundary water sharing and climate change, 177 178 and assume 60-125 cm SLR by 2100, 2-4°C temperature increase, 15-40% increase in monsoon rain, -179 20-0% change in dry season precipitation and 5-10% increase in cyclone intensity. BDP2100 assumes 180 less frequent but more intense cyclones would decrease the real GDP by 45% by 2050 in the coastal 181 zone. These snapshot scenarios cannot be used for this $\Delta DIEM$ application, because $\Delta DIEM$ requires 182 continuous timeseries and more detailed scenarios (e.g. agriculture practices). Furthermore, losses

and production are simulation-based within ΔDIEM, rather than assumption-based.

In this paper we seek to extend previous scenario exercises for the coastal zone in Bangladesh by 184 185 analysing four distinct, yet plausible, contextual scenarios (Table 1, Nicholls et al. 2018) combining 186 two bio-physical (low/high SLR) and two socio-economic (low/high development) scenarios. These 187 deliberately represent fairly extreme points of the plausibility space. The climate scenario uses the 188 UK MetOffice's HadRM3/PRECIS Regional Climate Model (SRES A1B, Caesar et al. 2015). The Q8 189 ensemble member is selected because it is the closest to the historical observations in our study 190 area (Payo et al. 2017). The upstream river discharge is simulated with the INCA model (Whitehead 191 et al. 2015). As already noted, strong (like SIDR) and weak cyclones historically occur 0.8 and 4.2 192 times per decade, and decreasing cyclone frequency and increasing intensity expected in the future. 193 Hence, the historic SIDR cyclone is assumed to occur every 5 years: 2025, 2030, etc. with the same 194 observed characteristics in this study: sustained winds of 215 km/h, arrival date of 15 November and 195 landfall location in the Barguna/Patuakhali districts. The regular 'clock-like' occurrence, as opposed to stochastic representation, enables the clear distinction of fluvial and cyclone flooding. 196

197 The socio-economic assumptions are based on the less- and more-sustainable scenarios developed198 in the ESPA Deltas project (Nicholls et al. 2018). The only exception is population change, where a

- 199 constant population of 14 million people (13 million rural population) is assumed, and only
- 200 environment-induced migration can change the total population (i.e. no net births/deaths). This
- 201 illustrates the impact of policy strategies on migration across development trajectories. Low/High
- developments are distinguished by assuming differing economic growth, crop varieties and land use
- 203 (Table 1).
- 204

205 **Table 1**: Contextual scenario assumptions (by 2050 / by 2100)

206

We simulate four contrasting development trajectories (Table S2) within the above four contextual
futures (Table 1) from 2020 to 2098. This enables the sampling of the deeply uncertain futures and
providing insights into long-term benefits and disbenefits. The four development trajectories are:

- (1) Protect by embankment rehabilitation (imitate the Netherlands): large investments
 enhancing and maintaining flood embankments and drainage systems, plus water pumping
 ensures that waterlogging never happens.
- (2) Do nothing (unmanaged retreat): practically no investment in protection or adaptation to
 SLR in the coastal zone. It provides a baseline assessment of the potential impacts of climate
 change in the absence of action.
- (3) Managed retreat (reactive relocation): emphasis upon relocation of coastal communities to
 prevent the loss of life and exposure to hazard, or loss of livelihood.
- (4) Build elevation through controlled sedimentation (Tidal River Management, TRM): working
 with natural processes to deposit sediment in polders (e.g. Amir et al. 2013). Here, the
 maximum benefit of TRM is explored by implementing it in all polders simultaneously. Farm based households receive some compensation during TRM years.

Migration is a complex phenomenon driven by processes from the global to individual scale (Black et al. 2011; Castles 2013; Czaika and De Haas 2014; De Haas 2010) and thus is difficult to predict.

- 224 Migration decisions are made on the basis of material factors such as income and visa requirements,
- non-material factors such as place attachment (Adams 2016), aspirations (Carling and Collins 2018;
- 226 Wiederkehr et al. 2019) and tolerance of risk (Hauer et al. 2020). As such, establishing, and being
- able to generalise absolute thresholds at which a person will migrate is not presently possible
- 228 (Adams and Kay 2019; McLeman 2017). Simplified, stylised migration assumptions in models can
- 229 however provide useful comparative insights, and thus we only consider economic and
- environmental push factors, and remove financial, network, cultural or family barriers to migration.
- Informed by the existing literature on the impacts of chronic and acute environmental change and
- locally-specific knowledge of coping strategies (Adger et al. 2018; Lázár et al. 2017), in this
- application, a household is identified as susceptible to migration, and removed from the study area,
- 234 when any of the following thresholds are exceeded:
- 235 (i) the household can only afford to pay its food expenses and nothing else for 24 months
 236 (compromised quality of life);
- 237 (ii) the rice yield gap is greater than 75% (compared to potential yield) for four consecutive
 238 years (slow onset hazards); or
- (iii) the household is farm-based and 75% or more of its total income is lost due to a
 flood/storm surge event more than four times per decade (reoccurring fast onset
 hazards).

- 242 Flooding alone does not consistently increase mobility (Gray and Bilsborrow 2013). But, there is
- 243 consistent evidence that agro-climatic conditions and crop failures have an important role in
- urbanization and rural mobility (Chen and Mueller 2018; Gray and Mueller 2012; Henderson et al.
- 245 2017) and repeated stress over a longer period has the potential to trigger migration (Kartiki 2011;
- 246 Mallick and Vogt 2014). Further, a universally common motivation for migration is increasing living
- standards through better work and/or remittance inflows (Liu 1975; Lokshin et al. 2010).
- 248 Finally, we constrain the migration decision to ensure a parsimonious model. While recognising that
- 249 migration generally involves the movement of one household member resulting in a remittance
- economy (Call et al. 2017), in this analysis the entire household migrates. This eliminates the need to
- 251 consider intra-household decision-making, remittances, and the receiving area. This is a partial
- 252 representation of reality most migrants go to the capital (Dhaka, outside the study area) but some
- 253 people do migrate to the regional centre (Khulna, inside the study area) (Safra de Campos et al.
- 254 2020). Finally, the land size of remaining farming households does not increase, because those who
- migrate hold onto land assets as a financial safety net (Toufique and Turton 2002). Hazard-prone and
- 256 unproductive lands are unlikely to attract farmers or investors.



259

Figure 2: Maximum union inundation area (percent) in three time slices under low sea-level rise trajectories

260 4. Results

261 4.1 Flood inundation

The north-west and south-east parts of the study area are protected by embankments, thus compared to other policy trajectories, polder enhancement significantly reduces flooding under the 'Protect' trajectory, although flooding increases by 87 km² and 179 km² in the high SLR assumption in 2050 and 2100, respectively (Figure 2 and Figure S2 in the Supplementary document). On the other hand, the north-east areas are largely unprotected, and hence flooding remains unchanged with the elevation of existing embankments. In cyclone years, the enhanced (+3m) polders provide

268 sufficient protection against the storm surges.

- 269 Existing dike heights ('Build elevation' and 'Reactive relocation') are also sufficient against normal
- 270 fluvial flooding, but breaching can occur during cyclone events (see 2050 on the figures). Polder
- 271 deterioration ('Unmanaged retreat') on the other hand would result in large scale flooding even by
- 272 mid-century (2050/2098: +181%/+153% and +168%/+162% area compared to 'Protect' in low and
- high SLR). An interesting pattern is shown on the 'Unmanaged retreat' inundation maps. Under the 273
- 274 low SLR scenario, the flood extent in 2050 and 2098 is similar except for a slightly larger inundation 275 extent (Figure 2). Under high SLR, however, the spatial pattern changes (Figure S2). Bhola island (the
- 276 big island in the east) becomes inundated relieving the adjacent lands from flooding, and many
- 277 Khulna division unions (north of the Sunderbans) become inundated by 2098. The scattered unions
- 278 with large inundations under 'Reactive relocation' are abandoned with no population. Finally, the
- 'Build elevation' flood maps show the benefit of higher ground elevation: the inundation extent is 279
- 280 the same as under 'Protect' (-1% and +22% in 2098, under low and high SLR).
- 281 The peaks on the daily flooded area time series (Figures S3a,b) correspond to the reoccurring
- 282 cyclones every five years. High levels of protection ('Protect') is efficient even under high SLR with
- 283 marginal flooding, but significant non-protected areas are still annually flooded. TRM effectively
- 284 reduces both the flood peaks and the extent of flooding. The importance of coastal infrastructure
- 285 repair time is also apparent in 'Unmanaged retreat' trajectory: the 60 months repair time make
- 286 flooding almost permanent. High SLR causes somewhat larger flood events throughout the
- 287 simulations. The flooded area gradually increases over time under all trajectories, but grows less rapidly after 2080 indicating that the total inundation area relates more to fluvial flooding than SLR.
- 288
- 289

4.2 Waterlogging and pumping requirement 290

- 291 Waterlogging is prolonged inundation of land, often caused by localised rainfall, which cannot drain 292 out of the polder due to elevated water levels in the river channels outside the polder. In the case of 293 Bangladesh, waterlogging is defined as six months of ponding water.
- 294 The 'Protect' trajectory assumes pumping that eliminates all drainage reductions and the unions are
- 295 never waterlogged (Figure S4a). Non-protected areas require significantly more pumping under this
- 296 trajectory (Figures S5a,b), with infrequent extremes in a few unions (>500 mm/day). High SLR
- 297 increases the mean annual pumping requirement by 45% (mainly non-protected areas) by 2100.
- 298 The other development trajectories do not consider pumping. The 'Build elevation' trajectory results 299 in significantly better drainage characteristics. The number of 'never waterlogged' unions more than 300 doubles compared to 'Unmanaged retreat' and 'Reactive relocation'. However, moderately rising sea 301 levels gradually increase the incidence of water ponding and drainage reduction. 'Unmanaged 302 retreat' and 'Reactive relocation' show similar drainage reduction patters because land height is not 303 modified and the only difference is the extent of flooded area due to embankment maintenance 304 assumptions. In both cases, the severely waterlogged areas continuously increase, though beyond 305 2080, this becomes dramatic under 'Unmanaged retreat'. Only 'Reactive relocation' results in union 306 abandonment under the low SLR scenarios.
- 307 Under high SLR conditions (Figure S4b), the waterlogged and abandoned unions more than doubled, 308 though the simulated patterns are the same. But, for 'Unmanaged retreat', the deteriorating polders 309 result in changing waterlogging patterns beyond 2050 and some unions become abandoned (Figure 310 S2b).

311 4.3 Soil salinization and rice production

- 312 The study area is dominated by two extremes during the dry season, under low SLR (Figure S6a and
- 313 S7a). A significant portion has very low salinities (0-4 dSm⁻¹), and another significant portion is very
- saline (16+ dSm⁻¹). This pattern is different from the annual mean soil salinity results (not shown),
- 315 where the lowest salinity class is most extensive and the very saline class is least extensive
- 316 (hyperbolic pattern). Thus, some areas experience significant annual variation in salinity with
- 317 increases in the dry season, and monsoon leaching in the wet season. Protected areas are more
- saline than non-protected areas, because more frequent freshwater flooding enhances the flushing
- of salt. For example on Figure S6a, the protected 'very high' salinity areas increases under 'Protect',
- 320 but decreases under 'Unmanaged retreat'. This indicates the importance of land-river connectivity
- that is disrupted by the dikes.
- 322 ADIEM calculates water content and soil salinity as the balance of inputs (precipitation, floods,
- 323 irrigation, capillary rise) and reductions (evapotranspiration, surface runoff, deep percolation) (Payo
- et al. 2017). Protected lands experience much less flooding in the 'Protect' trajectory than in the
- other policy strategies (Figures S3a,b), yet, the soil salinity levels are similar indicating that the main
- 326 source of salinity is not flooding, and is either due to capillary rise (i.e. groundwater), or irrigation
- 327 (i.e. farm management). The groundwater processes are the same under all development
- 328 trajectories, so soil salinity is defined by agriculture practices. The 'More development' scenario
- 329 grows more rice during the dry season than the 'Less development' scenario. Rice uses a large
- amount of irrigation water (nursery and development stages) that pushes down the water table and
- 331 salt from the top soil into deeper layers, thus reducing the salt content of the root zone if the
- 332 irrigation water has low salinities.





336

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337 There is about a 2 million tons difference in annual rice production between the low and high 338 development scenarios in the 2020s, and this increases to ca. 8 million tons per year difference at 339 the end of century (Table S4). This difference reflects assumed higher yields and more salt tolerant 340 crop varieties under 'High development'. Further, the low development scenarios are more sensitive 341 to policy directions (i.e. significant separation of results) than the high development scenarios. As 342 expected, the worst simulated future is the 'high SLR - low development' scenario, whereas the best 343 future is the 'low SLR - high development' scenario. Development trajectories also influence success 344 rates. In the case of the low development scenarios, the 'Protect' and 'Build elevation' trajectories 345 are the most successful strategies (Figure 3). 'Protect' constantly outperforms 'Build elevation', but 346 'Build elevation' leads to more stable rice production. Deviation between these policy strategies 347 amplifies beyond 2050 under high SLR, due to the more efficient protection against flood damage. 348 'Reactive relocation' does not offer any benefit for agriculture, and 'Unmanaged retreat' is 349 disastrous with a 300,000 tons of rice deficit (~350 million BDT = ~4 million USD) compared to the 350 Baseline simulation. Under the 'high development' scenarios, with the exception of 'Build elevation', 351 all policy trajectories are worse than the Baseline (up to -6% under low SLR, up to -20% under high 352 SLR). Even 'Protect' is ineffective under these conditions, due to the increase in high soil salinity 353 impacted areas (see 'high' + 'very high' categories in Fig S6-7). Thus, the 'Build elevation' trajectory

- 354 offers the best possible outcome for agriculture under the tested futures.
- 355

356 4.4 Migration, income and inequality

357 The total population of the study area falls under all development trajectories (Figure S8).

358 Susceptible outmigration is highest for the 'high SLR - low development' and smallest for the 'low

359 SLR - high development' scenarios. SLR accelerates forced out-migration, whereas 'Protect' and

360 'Build elevation' strategies reduce it. The 'Reactive relocation' trajectory always has more

361 outmigration, because entire communities are relocated. Migration is universal, not region specific,

though areas along the Lower Meghna and North of Khulna district are more vulnerable. In general,

the poorest simulated households leave first (due to low expenditure levels), followed by a second

- 364 wave of forced migrants when farm-based livelihoods become increasingly unsustainable after 2060
 - 365 (due to environmental change).
 - 366 Household income consists of natural resources and 'off-farm' (e.g. manufacturing) components.

367 (N.B. 'off-farm' livelihood incomes are based on extrapolated observations). Simulated total

368 household income levels (Figure S10) increase similarly across the four development trajectories

369 (except 'high SLR, low economic growth' - 'Unmanaged retreat'). The rate of increase is defined

primarily by the development trajectory, and secondarily by the SLR scenario. The mean simulated

371 income significantly increases after 2050 accelerated by both market price increases and improved

372 crop properties re-iterating the importance of R&D and market management.

Inequality is measured with the GINI coefficient that scales from 0 to 100, where 0 means everyone
has an equal amount of income and 100 means a single household receives everything. Income

has an equal amount of income and 100 means a single household receives everything. Income
 inequality has high inter-annual variability, but when the decadal means are assessed (Figure S9),

inequality is worse under the high economic growth scenarios (+35-45%). The majority of the

- 377 population is getting better-off, but the poorest is left behind. On the other hand, the income gap
- 378 narrows in the low development scenarios.
- 379 The 'Protect' trajectory under the low economic development scenarios, reduces inequality because

380 the off-farm sector growth is not significantly higher than the farm sector growth (rice is protected

381 from flood damage), thus the different household archetypes are not separated greatly. 'Reactive

- relocation' has a similar inequality trend due to the continuous relocation of the most vulnerable
- 383 communities resulting in a more resilient, better-off population. The 'Build elevation' trajectory has
- the highest inequalities, because farmers living outside the polders do not benefit from the
- 385 intervention.
- 386 The high development scenarios are different. In all trajectories (except 'Reactive relocation'),
- 387 inequality increases up to mid-century, and then stabilises or decreases because the assumed
- 388 continuous growth in the off-farm sector exceeds greatly the growth of the farm sector which
- counteracts that negative effect of environmental change after 2050. 'Reactive relocation' is
- different, where inequality decreases due to relocation of the most vulnerable (entire) communities.
- 391 Under high SLR, however, inequality stagnates because in areas where relocation is not simulated,
- 392 the income gap significantly widens due to environmental stress.



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Figure 4: Overview of changes (2020-2098) in key outputs under all climate and development scenarios and development trajectories (SLR: Sea Level Rise)

396

397 4.5 Comparison of development trajectories

This section presents the robustness of development trajectories at mid- and end century. Overall, no significant change can be expected by 2050 (Figure S11), although the population susceptible to migration increases, and poverty rate changes can be positive or negative depending on the plausible future. By the end of century (Figure 4), migration and flooding impacts are projected to intensify. Inequality and poverty rates are very uncertain. Below, we assess the performance of the development trajectories.

- The 'Protect' trajectory has multiple benefits. It reduces the agriculture losses under the low
- 405 development scenarios, maintains or reduces the number of people flooded, and reduces the
- 406 outmigration rates. Although mean income levels increase in all cases and general wellbeing

- 407 maintained or increased, inequality depends on economic development and level of environmental
- 408 stress. However, this policy intervention is costly (Table S6). The 5000 km long embankments of the
- 409 study area would require an initial 1000-2000 billion BDT investment to raise the embankments, plus
- about 80 billion BDT to maintain the embankments between 2020 and 2100 (unit costs based on
- Jonkman et al. 2013). This trajectory provides +200 and +1800 billion BDT agriculture produce
- 412 compared to contextual scenario (cumulative value to end-century) under low and high
- 413 development.
- The opposite trajectory is if the coastal defences are not maintained ('Unmanaged retreat'). The
- 415 total cumulative agriculture value would sharply fall under the low development scenarios (between
- 416 -140 and -2700 billion BDT), but the negative environmental impacts can to some extent be
- 417 ameliorated by coping strategies such as better crop varieties (i.e. high development scenarios). In
- all cases, significant outmigration can be expected and flood impact increases. The current inequality
- 419 level is likely to be maintained, but poverty rates can increase or decrease depending on the
- 420 economic development. We note that the analysis is partial as the fate of the migrants outside of the
- 421 study area is not considered.
- 422 The 'Reactive relocation' trajectory has mixed benefits. The number of people susceptible to
- 423 migration is expected to significantly increase under extreme environmental or economic conditions.
- 424 Dikes maintain the agriculture productivity, but the total agriculture produce is reduced due to
- outmigration and relocation, potentially causing food supply issues for the cities. For the same
- 426 reasons, however, the mean income increases, inequality decreases resulting in a better off, more
- resilient and equal population, but poverty rates can still increase. The large scale relocation
 program, however, could be very costly (458-1194 billion BDT Table S6) (unit cost based on Hino et
- 429 al. 2017). As with Unmanaged Retreat, the analysis is partial.
- 430 The 'Build elevation' trajectory is most promising. It ensures a steady farm production above the 431 contextual scenario, thus maintaining rural natural resource-based livelihoods. However, due to 432 environmental change, the overall production value will likely decrease if crop varieties and 433 economic conditions are not improved. Flood and waterlogging damage are reduced, susceptible 434 outmigration rates are low and poverty rates are likely to fall. But the number of people flooded and 435 inequality still slightly increase, because only farmers living inside the polders benefit from the 436 intervention. The cost of this development trajectory is the lowest totalling of ca. 219 billion BDT 437 that also includes the 80 billion BDT embankment maintenance cost and the assumed 1000 BDTha⁻ ¹year⁻¹ compensation (Table S6). 438
- In summary, 'Protect' gives the most benefits, but it is costly and locks the system into a dependence
 on defences and associated problems (drainage, salinisation, inequality) irrespective of the rate of
 SLR. 'Reactive relocation' has benefits, but is costly and socially difficult to implement. It transfers
 'inequality' elsewhere where lives and livelihoods have to be rebuilt. 'Build elevation' promises a
 sustainable, more natural river-sea-land interaction in coastal Bangladesh at a reasonable cost.
- 444

445 5. Discussion

The aim of this paper was to investigate the likely implications of stylised consistent development
trajectories on coastal Bangladesh under uncertain climate and socio-economics. ΔDIEM is designed
to analyse the human-natural system dynamics, and to inform policy at the scale of analysis. We
used stylised policy strategies without spatial/temporal optimisation of the strategies. These provide
important insights, but should not be used directly in planning.

- 451 The bio-physical model results are in broad agreement with published literature (Table S7).
- 452 Simulated flood extent agrees well with other studies (CCC 2009a; CCC 2009b; Mohal and Hossain
- 453 2007; WARPO 2005). Soil salinity results are comparable for 2020 with SRDI (2012), but other studies
- 454 suggest twice as much future soil salinisation (Dasgupta et al. 2015; Mohal and Hossain 2007). The
- 455 monsoon representation and the integrated, process-based soil salinity calculation of Δ DIEM might
- 456 cause this discrepancy. Rice production for 2020 is in good agreement with the 2012 agriculture
- 457 statistics (BBS 2012).
- 458 The current 166 million population of Bangladesh is expected to increase to 200 million by 2050, and
- subsequently decline to 170 million by 2100 (UN 2015). Our study area is a marginal area, where
 outmigration (mostly to Dhaka) is significant. Approximately 30% of coastal households today have
- outmigration (mostly to Dhaka) is significant. Approximately 30% of coastal households today have
 migrant members and 50% of these migrants left the coastal zone (unpublished dataset, DECCMA
- 462 project, <u>www.deccma.com</u>). Our results suggest that 12-58% of the population are susceptible to 463 migration.
- 464 Most coastal households have mixed farm and off-farm livelihoods, and the simulated income levels 465 steadily rise across all scenarios. (N.B. ΔDIEM only calculates agriculture and fisheries income 466 variations, and off-farm incomes are projections.) Our trend is consistent with the observed five-467 yearly increase (50-60%) of household income (BBS 2011). Income however is a poor indicator of 468 welfare. In 2010, consumption was 97% of total income in Khulna and 107% in Barisal divisions (BBS 469 2011). Our results show comparable levels. The Government of Bangladesh aims to eradicate most 470 poverty by 2033 and completely by 2050 (BDP 2018). The latest reported (2016) \$1.9/capita/day 471 headcount poverty indicator of Bangladesh is 14.8% (World Bank 2017). We estimate that the 472 simulated 44% (consumption-based) poverty rate in 2020 could be reduced to about 24% by 2050 473 and 7% by 2100 under the most optimistic scenarios, but can also increase to 70% under scenarios of 474 environmental stress and a poor economy. Our 2020 poverty rate is much higher than the World 475 Bank's revised, national figure, but this is thought to be realistic, as $\Delta DIEM$ only simulates the rural
- 476 population of the marginal coastal zone.
- 477 The policy trajectory evaluation indicated that 'Protect' and 'Build elevation' strategies are the most 478 robust options, but both raise issues. Embankments prevent sedimentation and results in 479 subsidence due to sediment compaction and potentially groundwater abstraction (Syvitski et al. 480 2009). Auerbach et al. (2015) reported that coastal Bangladesh have lost 1-1.5 m land elevation 481 within the polders since the 1960s. Embankments also cause a loss of soil fertility unless replenished 482 by fertiliser application. To ensure long-term geomorphic and ecological sustainability in the 483 presence of embankments, small-scale controlled flooding and sedimentation (i.e. TRM) is being 484 applied in Bangladesh. Even though TRM provides long-term benefits reducing flooding and 485 waterlogging, without addressing institutional limitations and compensation, many communities are 486 reluctant to agree (Gain et al. 2017). TRM is not applicable everywhere and sediment supply is 487 reducing in the GBM delta (Dunn et al. 2019; Rahman et al. 2018). It is noteworthy that while the 488 Bangladesh Delta Plan 2100 supports TRM as a concept, it contains no specific further TRM projects 489 beyond the current portfolio (Nicholls et al. 2020). Despite the difficulties outlined here, these 490 results suggest more urgency could provide benefits. New embankments would not benefit the 491 poorest as the increase in land value would encourage investors to push the marginalised off their 492 land causing social disparities. The combination of these two policy strategies would enable 493 sustainable farming, good economic return and economic sustainability. Further detailed analysis 494 are recommended to assess their benefits and if the side-effects could be mitigated with other 495 means. Other promising land use strategies such as agriculture to aquaculture conversion might also

- 496 be considered, but environmental, wellbeing, gender and inequality trade-offs remain (Gurung et al.497 2016; Paul and Vogl 2011; Sohel and Ullah 2012).
- 498 The results clearly show that climate and environmental change are important, but not as important
- as the socio-economic development and coastal management policy in Bangladesh. Continuous
- adaptation through research and technologies can overcome many of the adverse effect of climate
- 501 change on the natural-resource-based livelihoods. Off-farm sectors are also dominant income
- 502 sources in coastal Bangladesh and their significance will increase as environmental pressure
- 503 increases. Thus, a healthy off-farm economy is fundamental in future development.
- The GBM delta is highly dynamic, highly modified and highly populated. Representation of processes
 and feedbacks of such as complex system is a major challenge. Model developers always face a
 trade-off between comprehensiveness, process detail and data requirements. ΔDIEM is no different
 with many current limitations. Field and modelling studies are especially needed to better
 understand the link between economy, institutions, demography and environmental change, and
 household adaptations.
- 510 Delta management today is moving towards a more holistic, adaptive management approach
- 511 (Seijger et al. 2017; Zevenbergen et al. 2018). Indeed, the Bangladesh Delta Plan 2100 (BDP 2018)
- 512 designs long-term "living plans" that will be regularly updated with new evidence. Currently it is
- 513 based on extensive bio-physical modelling, but lacks the dynamic capturing of the human-nature
- 514 interaction. The ΔDIEM method is a first level approximation of this complexity providing high level
- 515 insights by simulating the impact of environmental, socio-economic and policy changes on the
- welfare of the coastal population of Bangladesh. By providing new perspectives on delta futures it
- 517 serves a fundamental and growing need in delta planning.
- 518

519 6. Conclusion

520 In this paper, we extended the Δ DIEM integrated model with new tidal river management and 521 migration modules, and explored the long-term effects of stylised policy choices on development 522 trajectories in coastal Bangladesh. The results indicate that significant changes in flooding and 523 productivity are only expected to occur after 2060. The level of change is strongly dependent on the 524 selected scenario. Significant outmigration and the persistence of poverty is expected under all 525 scenarios. With good management approaches (protection or building elevation) the coastal zone 526 can remain habitable and agriculturally productive until 2100 at least. The 'Build elevation' trajectory 527 raises equity questions – a compensation mechanism is needed to address the short-term losses due 528 to temporary flooding, but it holds potential for a more sustainable coastal zone (geomorphic and 529 livelihood) in Bangladesh. The economic benefits of maintaining the existing embankments is 530 demonstrated, but the side-effects are also highlighted. The $\Delta DIEM$ integrated approach captures 531 the complexity of the human-natural system and provides new perspectives and insights on delta 532 futures that is essential to support robust delta planning of the 21st century.

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