# Generation and heterodyne detection of a 2-µm-band 16-QAM signal based on inter-band wavelength conversion

Yong Liu<sup>1</sup>, Deming Kong<sup>1</sup>, Zhengqi Ren<sup>2</sup>, Yongmin Jung<sup>2</sup>, Minhao Pu<sup>1</sup>, Kresten Yvind<sup>1</sup>, Michael Galili<sup>1</sup>, Leif K Oxenløwe<sup>1</sup>, David J Richardson<sup>2</sup>, and Hao Hu<sup>1</sup>

1 DTU Fotonik, Technical University of Denmark, DK-2800, Kgs. Lyngby, Denmark 2 Optoelectronics Research Centre, University of Southampton, Southampton, SO17 1BJ, UK huhao@fotonik.dtu.dk

**Abstract:** We demonstrate the generation and self-heterodyne detection of a 2-µm-band 32-Gbit/s line-rate 16-QAM signal based on inter-band wavelength conversion in an AlGaAsOI nanowaveguide. Error-free performance is achieved using LDPC codes with 33% overhead. **OCIS codes:** 060.4510, 070.4340, 130.7405.

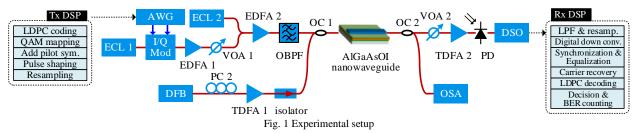
# 1. Introduction

Bandwidth-hungry Internet applications such as virtual reality and cloud computing have spurred research into advanced optical communication technologies [1]. Exploring new frequency bands to achieve higher capacity is gaining renewed research interest. The 2-µm band is especially appealing due to the availability of key enabling devices such as thulium-doped fibre amplifiers (TDFA) [2] and hollow-core fibres [3]. However, essential devices for transceivers in the 2-µm-band such as I/Q modulators and coherent receivers are still in their infancy. As a result, high-speed 2-µm-band transmission demonstrations are based on intensity-modulated direct-detection systems [4, 5]. However, advanced modulation formats and coherent detection are essential for a sustainable capacity increase.

In this paper, we propose and demonstrate the generation and self-heterodyne detection of a 2- $\mu$ m-band 16-QAM signal. The 32-Gbit 16-QAM signals are generated in the 1.55- $\mu$ m band, and then converted to the 2- $\mu$ m band using four-wave mixing (FWM) in an AlGaAs on insulator (AlGaAsOI) nanowaveguide. The signal is then heterodyne-detected through one photodiode with an offset local oscillator converted together with the signal to the 2- $\mu$ m band. Error-free performance is achieved with low-density parity-check (LDPC) codes with a 33% overhead.

## 2. The AlGaAsOI nanowaveguide

We use an AlGaAsOI nanowaveguide to perform the wavelength conversion due to its large nonlinearity [6], large conversion bandwidth [7], and high conversion efficiency (CE) [8]. The AlGaAsOI (21% aluminium concentration) nanowaveguide is designed to be 350 nm high and 920 nm wide so that the zero-dispersion wavelength (ZDW) is engineered to be close to 1739 nm. The waveguide is inversely tapered at the input facet to ensure fundamental-mode excitation. The AlGaAsOI wafer was fabricated using wafer-bonding and substrate removal [9]. The waveguide is patterned by optimized electron-beam lithography using HSQ resist followed by dry-etching [10].



**3.** Experimental setups and results

Figure 1 shows the experimental setup. The 16-QAM signal is generated at 1550.12 nm using an external cavity laser (ECL 1) and an I/Q modulator. The 8 Gbaud I/Q signals are generated from an arbitrary waveform generator (AWG) with a sampling rate of 64 GSa/s and a symbol length of 131072. The digital signal processing (DSP) at the transmitter side includes LDPC coding with 33% overhead, QAM mapping, addition of pilot symbols (20%), pulse shaping with a roll-off factor of 0.01, and resampling. The pilot symbols are used for eliminating cycle slips originating from the phase noise of the pump laser. The 16-QAM signal is then combined with another continuous wave (CW) beam from ECL 2 with 12-GHz offset which acts as a local oscillator later for heterodyne detection. The CW beam is adjusted to be 6dB higher than signal by a variable optical attenuator (VOA 1). Then the signal and the CW are combined with the 1739nm pump beam, which is generated from a DFB laser followed by an in-house

made TDFA (TDFA1). The launched power into the AlGaAsOI chip is 15 dBm and 23 dBm for the signal (including the CW) and the pump, with fibre-to-fibre coupling losses of 10 dB and 11.2 dB, respectively. The generated 2-µm-band idler (16-QAM signal with an offset CW) is launched into the optically preamplified self-heterodyne receiver, consisting of VOA 2, TDFA 2 and a 2-µm-band photodiode (PD) with a 20-GHz bandwidth. TDFA 2 also acts as a filter to suppress the signal and the pump. A digital storage oscilloscope with 160-GSa/s sampling rate and 63-GHz bandwidth is used to record the data. Four 4-million-sample records per each evaluated power are used for offline DSP, mainly including digital down-conversion, adaptive linear equalization based on the multi-modulus algorithm, carrier recovery based on decision-directed phase-locked loop, as well as LDPC decoding.

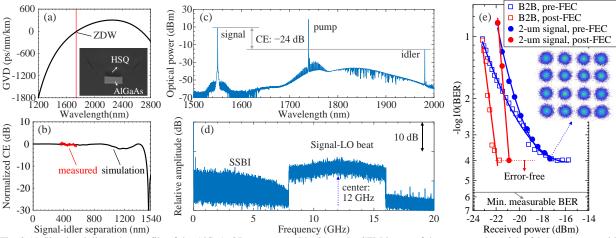


Fig. 2 (a) Simulated dispersion profile of the AlGaAsOI nanowaveguide (inset: the SEM image of the cross-section of the fabricated waveguide, HSQ: hydrogen silsesquioxane); (b) Simulated and measured normalized conversion efficiency; (c) Spectra of the wavelength conversion; (d) Detected electrical spectrum of the 16-QAM signal; (e) BER results of the B2B and converted 16-QAM signals.

The simulated dispersion profile of the waveguide in Fig. 2(a) confirms that the ZDW is close to 1739 nm. In Fig. 2 (b), the simulation indicates that this 5mm-long AlGaAsOI nanowaveguide is capable of supporting an ultrabroad continuous conversion bandwidth over 1000 nm by high-order phase matching [7,11]. The measured normalized CE further verifies the flatness of the CE within the C band. The conversion efficiency of -24 dB in the experiment is achieved with an on-chip pump power of 60 mW and the generated idler at 1981nm is shown in Fig. 2(c). Fig. 2(d) shows the electrical spectrum of the photo-detected signal. The 16-QAM signal and the offset local oscillator are simultaneously wavelength converted and result in a 12-GHz offset in the received electrical spectrum. The signal-signal beat interference (SSBI) occupies the low-frequency region and is digitally filtered out [12]. Then digital down-conversion and signal processing are performed. The BER results in Fig. 2(e) show negligible penalty for a receiver sensitivity at a BER of  $10^{-3}$  between back-to-back (B2B) and wavelength converted signals before LDPC decoding. A 1 dB receiver sensitivity penalty at a BER level of  $10^{-4}$  was observed after the LDPC decoding. The inset of Fig. 2(e) shows the signal constellation after wavelength conversion at a received power of -18 dBm. Error-free performance is achieved for both scenarios with LDPC decoding at a received power > -19 dBm.

### 4. Conclusion

We have successfully demonstrated the generation and self-heterodyne detection of a 2-µm-band 16-QAM signal using broadband wavelength conversion in an AlGaAsOI nanowaveguide. The 32-Gbit/s line-rate 16-QAM signal was generated in the 1.55-µm band, and then converted to the 2-µm band with an offset local oscillation. Error-free performance is achieved using LDPC codes with 33% overhead.

**Funding.** This work is supported by a research grant (15401) of the Young Investigator Program (2MAC) from the VILLUM FONDEN, DNRF Research Centre of Excellence, SPOC (ref. DNRF123) and the UK EPSRC funded "Airguide Photonics" Programme Grant (EP/P030181/1).

### 5. References

- [1] T. Morioka, in OECC 2009, p. FT4.
- [2] Z. Li et al., Opt. Express 21, 26450–26455 (2013).
- [3] P. J. Roberts et al., Opt. Express 13, 236–244 (2005).
- [4] H. Zhang et al., Opt. Express 23, 4946–4951 (2015).
- [5] Z. Liu et al., J. Light. Technol. 33, 1373–1379 (2015).
- [6] M. Pu et al., Optica 3, 823 (2016).

- [7] Y. Liu et al., in CLEO 2019, p. JTu2A.83.
- [8] D. Kong et al., in OFC 2019, p. W4F.3.
- [9] L. Ottaviano et al., Opt. Lett. 41, 3996 (2016).
- [10] Y. Zheng et al., J. Light. Technol. 37, 868-874 (2019).
- [11] M. Pu et al., Laser Photon. Rev. 12, 1800111 (2018).
- [12] A. J. Lowery et al., Opt. Express 14, 2079-2084 (2006)