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# Solvothermal Synthesis of $\text{Sn}_3\text{N}_4$ as a High Capacity Sodium-Ion Anode: Theoretical and Experimental Study of its Storage Mechanism

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A new simple and scalable method to synthesise spinel-structured  $\text{Sn}_3\text{N}_4$  has been developed using  $\text{SnCl}_4$  and  $\text{LiNH}_2$  precursors under solvothermal conditions. Nanocrystalline  $\text{Sn}_3\text{N}_4$  with a crystallite size  $<10$  nm was produced and tested as anode material in sodium half cells, demonstrating a very high reversible (de-sodiation) capacity of  $\sim 850$  mA h  $\text{g}^{-1}$  measured over 50 cycles, the highest reported reversible capacity for a sodium anode apart from sodium itself. *Ex situ* X-ray absorption spectroscopy and X-ray diffraction show that the electrochemical reactions are reversible and that  $\text{Sn}_3\text{N}_4$  is recovered upon re-oxidation. X-ray diffraction shows that the peaks associated to  $\text{Sn}_3\text{N}_4$  reflections become narrower during discharge (reduction), evidencing that the smaller  $\text{Sn}_3\text{N}_4$  particles are primarily involved in the electrochemical reactions, and broadening of the peaks is reversibly recovered upon oxidation. The analysis of the near edge X-ray absorption data (XANES) shows that the Sn oxidation state decreases during reduction and nearly recovers the initial value during oxidation. DFT calculations suggest that the insertion of Na into the  $\text{Sn}_3\text{N}_4$  surface followed by substitution of tetrahedral Sn by Na is energetically favourable, and evidence of the removal of tetrahedral Sn from the spinel  $\text{Sn}_3\text{N}_4$  structure is obtained from the analysis of the extended X-ray absorption fine-structure (EXAFS) measurements of reduced electrodes, which also show the recovery of the pristine structure at the end of oxidation. DFT also shows that Sn substitution by Na is only favourable at the  $\text{Sn}_3\text{N}_4$  surface (not for bulk  $\text{Sn}_3\text{N}_4$ ), in agreement with the electrochemical characterisation that shows that controlling the nanoparticle size is crucial to achieve full utilisation of  $\text{Sn}_3\text{N}_4$  (and thus high capacity).

## Introduction

Use of lithium-ion batteries (LIBs) in electrical energy storage is undergoing rapid growth due to their high energy density and long cycling stability. However, demand for battery grade lithium carbonate has up driven costs for manufacturing LIBs.<sup>1,2</sup> The renewed interest in sodium-ion batteries (NIBs) is in part due to the high abundance, low cost and wide geographical distribution of the alkali metal. Sodium is the sixth most abundant element and is found both in sea water and in various mineral forms.<sup>3,4</sup> The metal shares physico-chemical properties with lithium, and sodium analogues of LIB positive electrode (cathode) materials, including layered transition metal oxides ( $\text{Na}_x\text{MO}_2$  where M = Ni, Co, Mn etc) and polyanionic compounds such as  $\text{NaFePO}_4$ , often perform well.<sup>5,6,7,8,9</sup>

For NIBs, the anode is still a considerable challenge. For LIBs, the standard anode material is graphite, however the larger ionic radius of sodium ions ( $1.02$  Å for  $\text{Na}^+$ ,  $0.76$  Å for  $\text{Li}^+$ ) leads to sluggish reaction kinetics, lower capacities and poor cycling stability in sodium-ion cells. Other carbon materials in the form of amorphous and hard carbon are able to accommodate sodium ions, delivering reversible capacities of up to  $\sim 350$  mA h  $\text{g}^{-1}$ .<sup>10,11,12</sup>



Tin-based alloying materials have been studied as alternative anodes for SIBs. The full sodiation of Sn to  $\text{Na}_{15}\text{Sn}_4$  has a theoretical capacity of  $847 \text{ mA h g}^{-1}$ .<sup>13,14</sup> However, Sn undergoes a volume variation of 420% during alloying/dealloying with Na. The large volume change imposed on the metallic Sn particles leads to pulverization, delamination and loss of conduction pathways, although nanostructuring does improve stability. Tin-based binary materials (e.g.  $\text{SnO}_2$ , SnS,  $\text{Sn}_4\text{P}_3$ ) can mitigate the stresses imposed on the electrode by the formation of a matrix structure during initial reduction ( $\text{Sn} + \text{Na}_x\text{M}_y$ ),<sup>15,16,17,18,19,20</sup> as well as offering possible extra capacity by the combination of conversion and alloying processes. The most studied of these is  $\text{SnO}_2$ , owing to its high theoretical capacity ( $1378 \text{ mA h g}^{-1}$ ), low redox potential and ease of synthesis.<sup>21,22</sup> However, the material suffers from a large initial irreversible capacity loss, low electronic conductivity and poor cyclability.<sup>23,24,25</sup> Nano-structuring of conversion materials and formation of composites with carbon structures have yielded significant improvements in their electrochemical performance. Some of the best of these are summarised in Table 1.

**Table 1** Some high-performing tin-based materials for sodium-ion negative electrodes

Material	Current ( $\text{mA g}^{-1}$ )	First sodiation capacity ( $\text{mA h g}^{-1}$ )	First de-sodiation capacity ( $\text{mA h g}^{-1}$ )	Final de- sodiation capacity ( $\text{mA h g}^{-1}$ ) / no of cycles
Sn/graphite composite <sup>26</sup>	50	580	410	360 / 20
C- $\text{SnO}_2$ -C hollow core/shell/shell <sup>27</sup>	100	1200	620	420 / 30
Amorphous $\text{SnO}_2$ on C nanotubes <sup>28</sup>	100	1110	630	590 / 50
SnS-acetylene black composite <sup>29</sup>	100	760	500	530 / 80
$\text{Sn}_4\text{P}_3$ nanoparticles on reduced graphene oxide <sup>30</sup>	100	1600	780	640/100
$\text{Sn}_4\text{P}_3$ nanoparticles in a cube- shaped carbon shell <sup>31</sup>	100	1430	750	700 / 50

Even higher capacities are, in principle, obtainable with tin nitride ( $\text{Sn}_3\text{N}_4$ ).  $\text{Sn}_3\text{N}_4$  has a tuneable band gap and applications in photocatalysis, chemical sensing and solid state LIBs have been suggested.<sup>32,33,34,35</sup> As an anode material in SIB, the conversion of  $\text{Sn}_3\text{N}_4$  to Sn and  $\text{Na}_3\text{N}$  followed by full alloying of Sn to  $\text{Na}_{15}\text{Sn}_4$  would result in a capacity of  $1512 \text{ mA h g}^{-1}$ . Previously we produced bulk nanocrystalline  $\text{Sn}_3\text{N}_4$  by ammonolysis of a tin dialkylamide and demonstrated a reversible (de-sodiation) capacity of  $270 \text{ mA h g}^{-1}$  after 50 cycles at  $50 \text{ mA g}^{-1}$  with a coulombic efficiency close to 95%.<sup>36</sup> *Ex situ* diffraction measurements on cycled electrodes suggested that a significant fraction of the material was not involved in the electrochemical processes. Consequently, it was envisioned that smaller crystallite sizes could produce larger capacities. This is now demonstrated in this work, together with a theoretical and experimental study of the reaction mechanism.

Recent years have expanded the list of tools capable of predicting new phases of materials using first principles calculations.<sup>37,38</sup> Their application to the interaction of Na with Sn compounds has attracted considerable theoretical interest. An initial study in 2011 by Chevrier et al. used first-principle calculations to predict the voltage profile of the process of sodiation of Sn by formation of  $\text{Na}_x\text{Sn}_y$  compounds.<sup>39</sup> Later experimental studies using X-ray diffraction and *operando* TEM corroborated the formation of  $\text{Na}_{15}\text{Sn}_4$  as the crystalline phase of maximum sodiation.<sup>40,41,42</sup> Further theoretical work combined with Mössbauer and X-ray absorption spectroscopies shed light into the



reaction mechanism of Sn sodiation.<sup>43,44</sup> A decisive in-depth examination of the reaction pathway by Stratford *et al* using *ab-initio* random structure searching and *operando* XRD, PDF and NMR characterization identified the structure of the intermediate  $\text{Na}_x\text{Sn}_y$  compounds and showed that the final product  $\text{Na}_{15}\text{Sn}_4$  can store additional sodium atoms as an off-stoichiometry product  $\text{Na}_{15+x}\text{Sn}_4$ .<sup>45</sup>

Theoretical studies have also been very useful for understanding the compounds formed with Na and N. The formation of metastable  $\text{Na}_3\text{N}$  was predicted from theoretical considerations and later demonstrated in practice by synthetic routes using atomic co-deposition or reactions of sodium with active nitrogen, it was also shown that  $\text{Na}_3\text{N}$  decomposes at  $\sim 100$  °C.<sup>46,47,48,49,50</sup> On the other hand, sodium azide ( $\text{NaN}_3$ ) is a well-known stable compound of Na and N and it is manufactured at around 250 tonnes/year, with the main application in car airbags.<sup>51,52</sup> A theoretical study using global structure optimization identified a new compound, sodium azenide ( $\text{Na}_2\text{N}_2$ ), which was predicted to be stable (that is, it had a negative enthalpy of formation from the respective elements Na and  $\text{N}_2$ ),<sup>53</sup> but the experimental synthesis of  $\text{Na}_2\text{N}_2$  has not been reported. Finally, theoretical and experimental studies on the tertiary compounds of  $\text{Na}_x\text{Sn}_y\text{N}_z$  are currently limited, but *ab-initio* calculations by Sun *et al* showed that a phase of  $\text{NaSnN}$  is thermodynamically stable<sup>54</sup> and this was subsequently demonstrated experimentally.<sup>55</sup>

Herein we report a joint theoretical and experimental study of the application of  $\text{Sn}_3\text{N}_4$  as a high capacity anode material in SIB, using a new synthesis method to make  $\text{Sn}_3\text{N}_4$  microcrystalline and nanocrystalline powders. The capacity achieved with nanocrystalline  $\text{Sn}_3\text{N}_4$  over 50 cycles exceeds those for anodes reported to date. The underlying mechanism is interrogated using first principle methods and experimental characterization (XRD, EXAFS and XANES).

## Experimental

Synthesis of  $\text{Sn}_3\text{N}_4$  was carried out under anaerobic conditions using either a nitrogen-filled glovebox or Schlenk line techniques. Benzene (Aldrich) was refluxed over sodium for 8 h, distilled and stored under nitrogen.  $\text{SnCl}_4$  (Aldrich) was short-path distilled.  $\text{LiNH}_2$  was obtained by reacting  $n\text{BuLi}$  ( $1.6 \text{ mol dm}^{-3}$  in hexane,  $500 \text{ cm}^3$ , Aldrich) with ammonia (distilled from a  $\sim 100 \text{ cm}^3$  sodium/liquid ammonia solution) at  $0$  °C, filtering the white solid product and drying *in vacuo*. Solvothermal synthesis was performed in a  $75 \text{ cm}^3$  autoclave (Parr 4740CH), with a silica liner reducing the internal volume to  $\sim 60 \text{ cm}^3$ .  $\text{SnCl}_4$  (0.60 g, 2.3 mmol) was placed in the silica liner and covered by  $30 \text{ cm}^3$  of benzene.  $\text{LiNH}_2$  (0.21 g, 9.2 mmol) was added and stirred. The autoclave was sealed and heated for 12 hours at temperatures as discussed later (300 to 430 °C). Typically,  $\sim 50$  atm pressure was developed during heating. After cooling to room temperature, the autoclave was opened and the solid was washed with deionised water (50 ml) and MeOH (20 ml) to remove the LiCl by-product. The powder was then washed further with HCl ( $3 \text{ mol dm}^{-3}$ , 30 ml) to remove any tin metal contributions that could have formed by decomposition of the metal nitride.

Electrode preparation involved homogenizing the  $\text{Sn}_3\text{N}_4$  active material (75%) with acetylene black (20%, Shawingan black, 100%-compressed, Chevron) and sodium alginate (5%, Aldrich) dissolved in deionised water. The slurry was cast onto Cu foil ( $17.5 \mu\text{m}$  thick, 99.9 % purity, Goodfellow Ltd) using a K-bar (wet thickness of  $200 \mu\text{m}$ ) and dried at room temperature. The coated foil was punched into circular discs (11 mm diameter) and pressed at 10 tonnes to obtain the  $\text{Sn}_3\text{N}_4$  electrode. Typical mass loadings of electrodes prepared in this way were  $0.5\text{-}1.0 \text{ mg cm}^{-2}$ . Swagelok cells were assembled in an argon-filled glove box. Sodium half-cells were prepared by cutting a



fresh face of sodium metal (Aldrich, 99 % purity) and rolling to produce sodium foil counter-electrodes, with two microfiber filter (Whatman, GF/F grade) separators soaked in  $120 \mu\text{l}$  of  $1 \text{ mol dm}^{-3}$   $\text{NaPF}_6$  (Aldrich, anhydrous) in a 47.5: 47.5: 5 by wt. mixture of ethylene carbonate (EC), diethyl carbonate (DEC) and fluoroethylene carbonate (FEC) (all Aldrich, 99%, anhydrous or vacuum distilled before use). Electrochemical testing was performed with a BioLogic MPG multi-channel potentiostat. Galvanostatic cycling was carried out at 25 °C at various rates of charge/discharge within the voltage range of 10 mV to 2.5 V vs. the sodium metal counter-electrode.

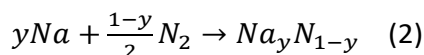
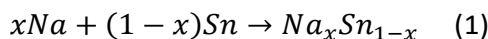
Powder X-ray diffraction (XRD) measurements were recorded on a Bruker D2 Phaser using  $\text{Cu-K}\alpha$  radiation ( $\lambda = 1.5418 \text{ \AA}$ ) in Bragg-Brentano geometry. The reflections present were identified by comparison with the ICSD database and patterns refined using the GSAS package.<sup>56</sup> Scale factor, background, lattice parameter, zero point correction, thermal displacement parameters, nitrogen atom positions, crystallite size broadening and isotropic strain. An  $\text{Al}_2\text{O}_3$  standard collected in the same geometry was used to define the Gaussian instrumental peak shape, with the crystallite size obtained from the Lorentzian crystallite size broadening terms.<sup>57</sup> In two-phase fits containing two crystallite sizes, the tin thermal displacement parameters were constrained to a single value and the nitrogen thermal displacements were fixed. Transmission electron microscopy (TEM) was carried out with a FEI Technai12 (120 kV) on samples that were dispersed into propanol with ultrasound and dropped onto carbon grids. Bulk elemental CHN combustion analysis was obtained from Medac Ltd, and the values are reported in weight percentages. The XRD patterns of the pristine electrodes show some small, unidentified impurity peaks, which are formed during the homogenizing process in electrode preparation, but additional diffraction studies with electrodes prepared without the homogenizing step show the same reactions (see ESI, Fig. S1).

For *ex situ* measurements before and after galvanostatic cycling, microcrystalline tin nitride electrodes were cycled in Na half-cells to specific potentials of interest at  $200 \text{ mA g}^{-1}$ . The Swagelok cells were disassembled in an argon-filled glovebox as soon as the target potential had been reached. The electrodes were rinsed with anhydrous DEC solvent and allowed to dry. *Ex situ* X-ray diffraction patterns collected in a sealed XRD sample holder (Bruker, loaded in the glove box) were collected in grazing incidence geometry ( $1^\circ$  incidence angle) with  $\text{Cu-K}\alpha$  radiation ( $\lambda = 1.5418 \text{ \AA}$ ) using a Rigaku Smartlab with Hypix 2D detector. Near-edge (XANES) and extended fine-structure (EXAFS) X-ray absorption measurements in sealed polyfoil pouches were made at the B18 beamline of Diamond Light Source across the Sn K-edge region from 28.8 to 30.0 keV. Tin standards were produced by preparing inks with Sn,  $\text{SnO}_2$ , SnO (Aldrich) and  $\text{Na}_4\text{Sn}$  powders diluted with acetylene black to match the respective mass loadings of the tin nitride electrodes. The  $\text{Na}_4\text{Sn}$  standard was prepared in a nitrogen-filled glovebox where a slight excess of Na (4.4 equiv, Aldrich, 99% purity) and Sn (Aldrich) was loaded into a furnace tube and sealed, following a previously reported synthesis method for  $\text{Na}_4\text{Sn}$ .<sup>58</sup> The tube was placed into a furnace at 300 °C and heated for 1 hour under flowing  $\text{N}_2$ . After heating, the sample was transferred to the glovebox before diluting with acetylene black to produce the  $\text{Na}_4\text{Sn}$  standard. The Sn edge positions were obtained as the first inflexion point of the respective XANES absorption spectra, and the EXAFS data has been fitted using two independent Sn-N coordination shells, similarly to previous studies of  $\text{Sn}_3\text{N}_4$ .<sup>59</sup>

Targeted compounds of known composition and structure were simulated from first principles as implemented in the Vienna *Ab initio* Simulation Program (VASP).<sup>60</sup> This approach is based upon density functional theory (DFT), and the PBE and HSE06 functionals were considered with the projected augmented waves method used for the pseudopotentials.<sup>61,62</sup> An energy cut-off of 600 eV



and a minimum of a 4×4×4 (2×2×2) Monkhorst-Pack k-grid were used. The total energy of each system was minimised with respect to the internal coordinates and the lattice parameters with a force tolerance of 0.01 eV Å<sup>-1</sup>. All charge analysis was performed using the Bader scheme.<sup>63</sup> Two functionals were considered here. PBE is a highly suitable functional for geometric considerations, whereas for chemical accuracy one would consider HSE06. In general, whilst broad trends can be extrapolated from a PBE result, the chemically accurate results require HSE06. To investigate the reaction pathways, we calculated the energies of the different compounds following the standard approach.<sup>64</sup> The reactions of Na with the Sn or N<sub>2</sub> can be written as:



where  $Na_xSn_{1-x}$  and  $Na_yN_{1-y}$  are compounds containing Na and Sn, and Na and N, respectively. The associated energy of the reaction is the energy of formation,  $E_f$ , of the  $Na_xSn_{1-x}$  and  $Na_yN_{1-y}$  compounds from the corresponding elements, which quantify the stability of the different compounds (negative formation energies indicate thermodynamic stability). The energies of formation are calculated from:

$$E_f = E(Na_xSn_{1-x}) - xE(Na) - (1 - x)E(Sn) \quad (3)$$

$$E_f = E(Na_yN_{1-y}) - yE(Na) - \frac{1-y}{2}E(N_2) \quad (4)$$

where  $E(Na_xSn_{1-x})$  and  $E(Na_yN_{1-y})$  are the total energy (per atom) of the structure,  $E(Na)$  and  $E(Sn)$  are the total energies of the bulk elements (per atom) and  $E(N_2)$  is the total energy of the nitrogen molecule. For Sn, the metallic beta phase was used in the calculations, following previous work,<sup>45</sup> since that is the stable phase at room temperature. To study the reaction of Na with Sn<sub>3</sub>N<sub>4</sub>, the associated reaction energies were computed:

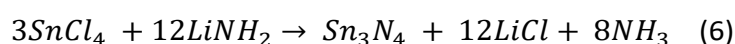
$$E_f = E(Sn_{3-x}N_{4-y}Na_z) - E(Sn_3N_4) + xE(Sn) - \frac{1-y}{2}E(N_2) - zE(Na) \quad (5)$$

where  $E(Sn_{3-x}N_{4-y}Na_z)$  and  $E(Sn_3N_4)$  are the total energies of the structure with and without the Na atom. These energies are given per Na atom.

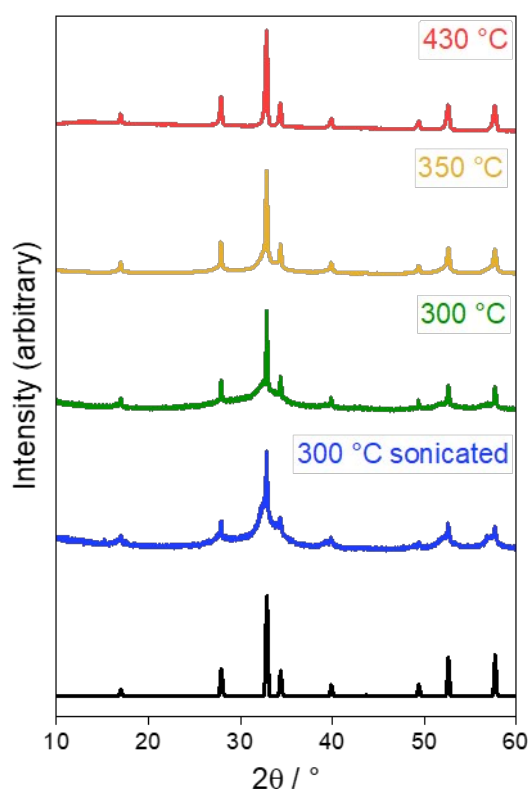
## Results and discussion

### Solvothermal synthesis of Sn<sub>3</sub>N<sub>4</sub>

Solvothermal synthesis has become an effective, well-controlled route for the preparation of crystalline metal nitrides.<sup>65,66,67</sup> By heating reactants in a solvent medium inside a sealed autoclave, metathesis reactions can proceed at mild temperatures due to the solvent absorbing heat produced in the exothermic reactions.<sup>68,69,70</sup> Thermal decomposition of the formed metal nitrides is also significantly reduced under solvothermal conditions, and the products are usually crystalline.<sup>71,20,72</sup> Sn<sub>3</sub>N<sub>4</sub> was synthesised *via* a simple and easily-scalable solvothermal method with defined particle size *via* a solvothermal metathesis reaction, with benzene employed as the solvent owing to its stability under solvothermal conditions.<sup>73,74</sup>

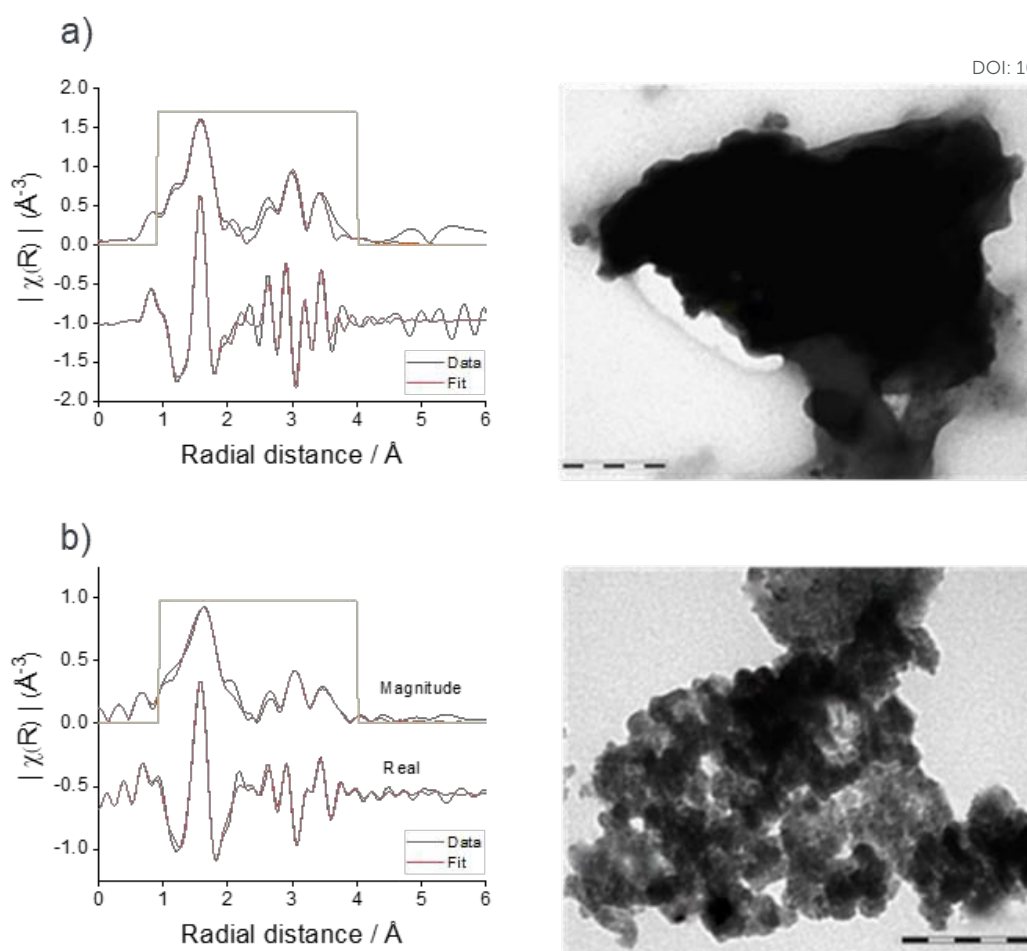


XRD and TEM were employed to characterise the product of the solvothermal reaction.  $\text{Sn}_3\text{N}_4$  crystallises with the cubic spinel structure ( $Fd-3m$ ) with a reported lattice parameter of  $9.037(3) \text{ \AA}$ .<sup>75</sup> Powder X-ray diffraction (XRD) patterns of the brown products produced in solvothermal reactions at 300, 350 and 430 °C are displayed in Figure 1, all showing the peak positions of the  $\text{Sn}_3\text{N}_4$  reference. The good match of the XRD peak positions of the  $\text{Sn}_3\text{N}_4$  reference and the samples here produced, demonstrates the success in the synthesis of phase pure  $\text{Sn}_3\text{N}_4$  for all the reaction conditions here explored (the absence of other peaks demonstrate that no other crystalline impurities are present). In addition, for the sample produced at 430 °C, all the XRD peaks are symmetrical and narrow, demonstrating that the sample is made of crystalline particles in the micron size range; this material will be referred to as microcrystalline  $\text{Sn}_3\text{N}_4$  in the following text. A Rietveld fit to this XRD pattern ( $R_{wp} = 5.0\%$ ,  $R_p = 3.4\%$ ) yielded a lattice parameter of  $9.0549(2) \text{ \AA}$  and an average crystallite size of  $310(40) \text{ nm}$  (ESI, Fig. S2, Table S1). TEM micrographs corroborate this crystallite size (Fig. 2a).



**Fig. 1.** Powder XRD patterns for the products of solvothermal synthesis at various temperatures. The black pattern denotes the literature  $\text{Sn}_3\text{N}_4$  reflection positions and intensities.<sup>75</sup>





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**Fig. 2.** Magnitude and real part of the Fourier transforms of  $K^3$ -weighted Sn K-edge EXAFS and TEM images of microcrystalline (a) and nanocrystalline (b)  $\text{Sn}_3\text{N}_4$ . The scale bar in (a) is 200 nm and in (b) it is 100 nm.

In the XRD data for the sample prepared at 300 °C, broad asymmetric peaks are present at similar positions to small sharp peaks, the latter resembling the microcrystalline sample obtained at 430 °C. The asymmetric broadening feature is due to the fact that the sample is made of a mixture of nano-sized and larger crystallites. The presence of nano-size crystallites could be increased by sonicating the sample in HCl, the associated XRD pattern shows that the asymmetric broadening of the peaks is accentuated. The material obtained by heating at 300 °C followed by sonication will be referred to as nanocrystalline  $\text{Sn}_3\text{N}_4$  in all that follows. A two-phase Rietveld fit ( $R_{wp} = 9.4\%$ ,  $R_p = 7.4\%$ ) confirmed the presence of two distinct phases, both fitting well as  $\text{Sn}_3\text{N}_4$  (ESI, Fig. S2 and Table S1). The lattice parameters of the two phases were 9.0514(4) and 9.139(2) Å, with average crystallite sizes of 137(13) nm (13.2(4)% of the material present) and 5.950(9) nm (86.8(2)%), respectively. TEM shows crystallites around both of these sizes, with a greater number of nanocrystalline particles present (Fig. 2b).

The microcrystalline and nanocrystalline  $\text{Sn}_3\text{N}_4$  samples were also characterised by XANES and EXAFS collected at the Sn K-edge. Figure 2 shows the magnitudes of the Fourier transforms of the  $K^3$ -weighted EXAFS data. A peak doublet at  $\sim 1.5$  Å radial distance is present in both samples and corresponds to the distances between N sites and tetrahedral tin site ( $\text{Sn}_{\text{tet}}\text{-N}$ ) and octahedral tin site ( $\text{Sn}_{\text{oct}}\text{-N}$ ) at 2.05 and 2.20 Å, as expected for the spinel  $\text{Sn}_3\text{N}_4$  structure and in agreement with previous studies.<sup>59</sup> Peaks between 2.5 and 4 Å are attributed to other Sn-Sn interactions within the





structure.<sup>59</sup> While XRD is suitable to characterise the composition of the microcrystalline sample, the nanocrystalline sample gives broad diffraction peaks. However, EXAFS shows that both samples have a very similar set of correlations with similar intensities (see results of the fit in the ESI, Table S2), confirming structural similarities of Sn<sub>3</sub>N<sub>4</sub> in both samples. In addition, the analysis of the Sn K-edge XANES data enables the evaluation of the Sn oxidation state (see more details below), which were found to be 3.7 and 3.3 for the Sn<sub>3</sub>N<sub>4</sub> micro and nanocrystalline samples, respectively.

The estimation of the nitrogen content of the Sn<sub>3</sub>N<sub>4</sub> samples by combustion analysis gives values (10.1% and 8.2% for the microcrystalline and nanocrystalline samples) that are lower than the expected for pure, stoichiometric Sn<sub>3</sub>N<sub>4</sub> (13.6%). This can be ascribed, in part, to the presence of nitrogen vacancies, which is supported by the fact that the Sn K-edge XANES analysis provides values of the oxidation state of tin lower than 4. The elemental analysis also shows that the samples also contain carbon and hydrogen (12.4%C and 2.0%H for the microcrystalline sample and 1.0%C and 2.0%H for the nanocrystalline sample). The lower content of carbon in the nanocrystalline sample is expected, since less decomposition of the solvent (benzene) will take place at the lower temperature used for the solvothermal synthesis method.<sup>68,69</sup> Taking into account the presence of carbon and hydrogen residues and the nitrogen vacancies (calculated from the tin oxidation number), the expected values of the nitrogen content are 10.9% and 11.1% for the microcrystalline and nanocrystalline samples. The experimental values of the nitrogen content are somewhat lower (10.1% and 8.2% for the microcrystalline and nanocrystalline samples). The process of washing the samples in an HCl solution after synthesis can produce a hydrolysis reaction producing a partial oxygen substitution of nitrogen sites at particle surfaces, forming a tin oxy-nitride, and this effect will be more severe for the nanocrystalline sample since it has a higher surface area. The XRD and EXAFS results show that the spinel structure is preserved after the oxygen-nitrogen substitution. Incomplete combustion of the samples, as observed in other metal nitride studies,<sup>76</sup> could also contribute to the lower than expected nitrogen content obtained from the elemental analysis.

### Electrochemistry of Sn<sub>3</sub>N<sub>4</sub> in sodium half cells

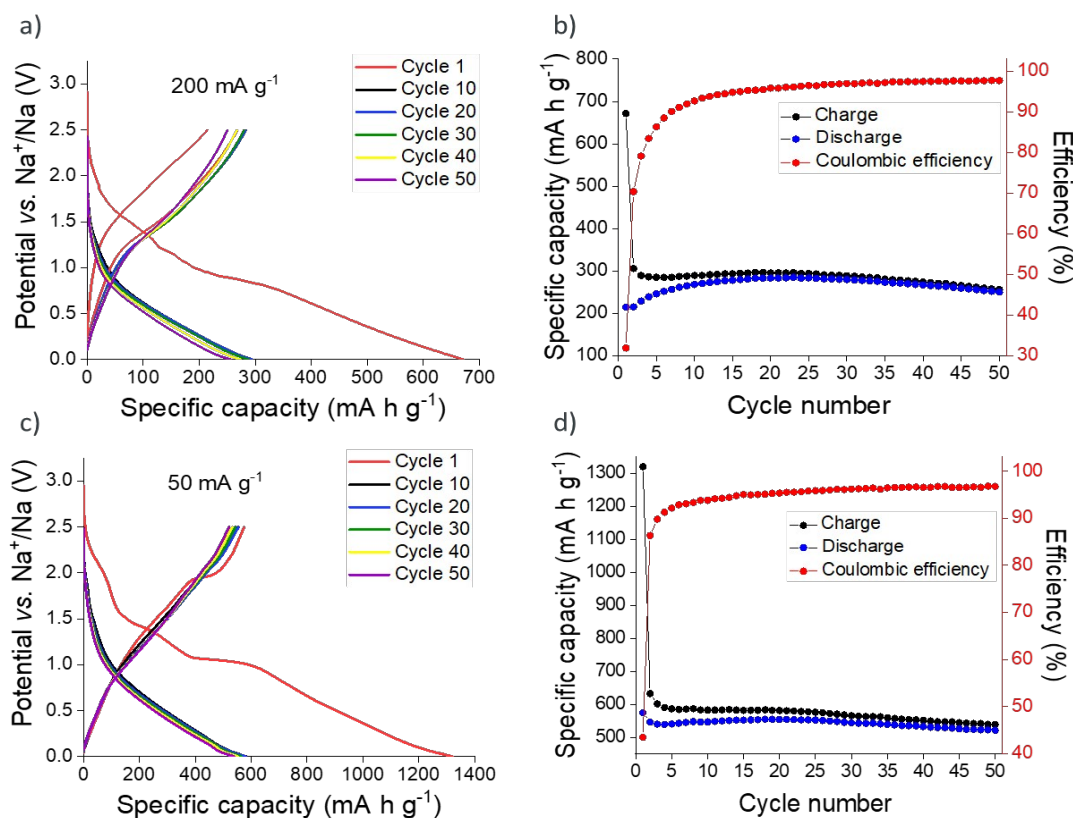
Sn<sub>3</sub>N<sub>4</sub> exhibits good stability in deionised water, hence water processable binders can be employed in electrode preparation, avoiding toxic organic solvents. In our previous study, Sn<sub>3</sub>N<sub>4</sub> electrodes prepared with sodium alginate as a binder demonstrated the best electrochemical stability during cycling and so we continued using sodium alginate for this work.<sup>36</sup> The binder is comparatively more rigid than the commonly used PVDF, a property that increases the electrode's ability to withstand large volume changes during cycling.<sup>77,78,79</sup> Na half-cells were constructed with 1 mol dm<sup>-3</sup> NaPF<sub>6</sub> in EC/DEC with 5 wt% FEC electrolyte, again following previous work.<sup>36</sup> FEC was used as an additive that improves the electrochemical performance of tin-based electrodes.<sup>80,81,82,83</sup>

Galvanostatic cycling of microcrystalline Sn<sub>3</sub>N<sub>4</sub> in Na half-cells was investigated at 50 and 200 mA g<sup>-1</sup> (Fig 3). At 200 mA g<sup>-1</sup>, 671 mA h g<sup>-1</sup> of capacity was passed on first reduction (discharge, negative current) to 10 mV, of which 214 mA h g<sup>-1</sup> was recovered on the first oxidation (charge, positive current) to 2.5 V. This large initial capacity loss (32%) is tentatively attributed to irreversible processes such as the decomposition of the electrolyte and formation of an SEI.<sup>20,36,84,85</sup> Subsequent cycles demonstrated good cycling stability with a capacity fade of 7% between the 10<sup>th</sup> (268 mA h g<sup>-1</sup>) and 50<sup>th</sup> (250 mA h g<sup>-1</sup>) oxidations. The coulombic efficiency was 98% at the 50<sup>th</sup> cycle, indicating a stable, reversible electrochemical process. Greater capacities were achieved at a more modest current of 50 mA g<sup>-1</sup>, with 520 mA h g<sup>-1</sup> of capacity passed after



the 50<sup>th</sup> oxidation, with a coulombic efficiency of 97%. Capacity fade between the 10<sup>th</sup> (546 mA h g<sup>-1</sup>) and 50<sup>th</sup> (521 mA h g<sup>-1</sup>) oxidation was just 4%.

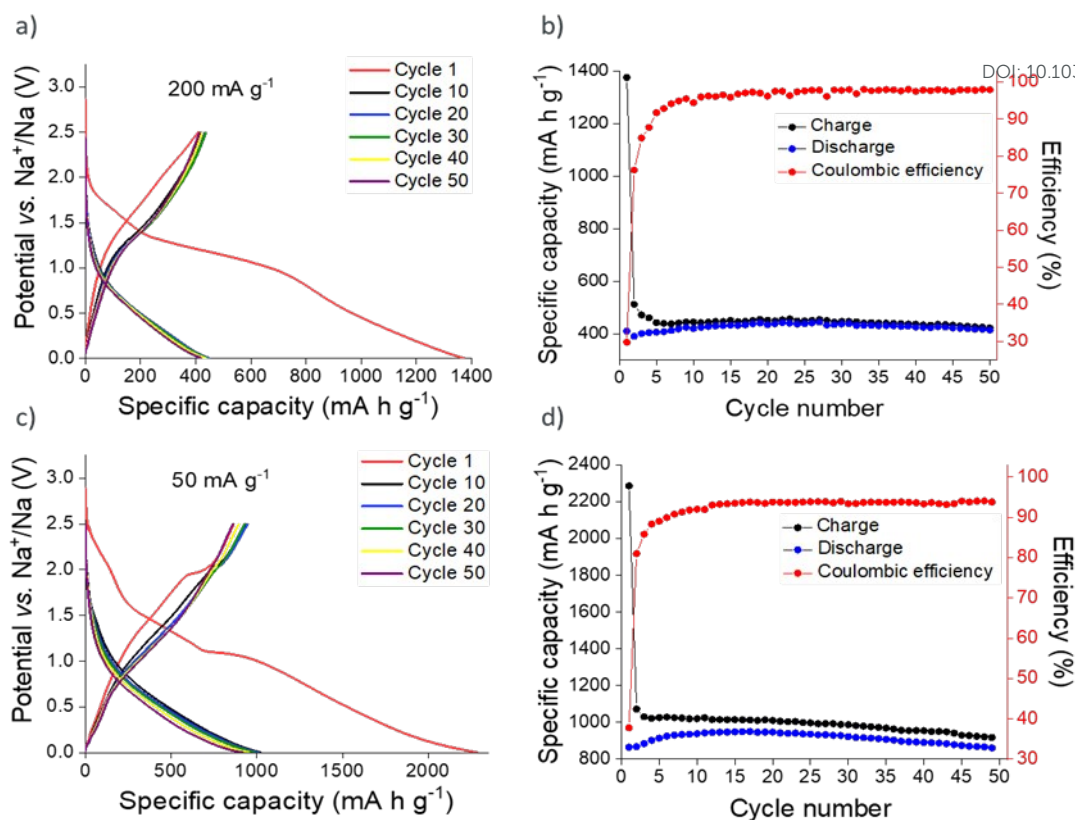
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**Fig. 3.** Galvanostatic cycling (a,c) and plot of specific capacity vs. cycle number (b,d) of microcrystalline Sn<sub>3</sub>N<sub>4</sub> in Na half-cells at 200 mA g<sup>-1</sup> (a,b) and 50 mA g<sup>-1</sup> (c,d).

Galvanostatic cycling of nanocrystalline Sn<sub>3</sub>N<sub>4</sub> in Na half-cells (Fig. 4) shows greater capacities compared to the microcrystalline sample. At 200 mA g<sup>-1</sup>, after the initial irreversible capacity loss, a reversible (oxidation) capacity of 420 mA h g<sup>-1</sup> was achieved. Capacity fade from the 10<sup>th</sup> (421 mA h g<sup>-1</sup>) to the 50<sup>th</sup> (416 mA h g<sup>-1</sup>) oxidation was only ~1%. In addition, the coulombic efficiency rose to 98%, demonstrating the favourable reversibility of the charge/discharge reactions on cycling. As expected, more capacity was achieved at the lower current of 50 mA g<sup>-1</sup>. Reversible capacities of ~850 mA h g<sup>-1</sup> were obtained during cycling. The coulombic efficiency at the 50<sup>th</sup> cycle was 94%.





**Fig. 4.** Galvanostatic cycling (a,c) and plot of specific capacity vs. cycle number (b,d) of nanocrystalline  $\text{Sn}_3\text{N}_4$  in Na half-cells at  $200 \text{ mA g}^{-1}$  (a,b) and  $50 \text{ mA g}^{-1}$  (c,d).

The capacity of these  $\text{Sn}_3\text{N}_4$  electrodes is remarkable considering that other high capacity tin-based materials all incorporate a carbon architecture material such as graphene sheets, hollow spheres or nanotubes (see Table 1). In other Sn-based conversion systems without a secondary support, capacity fading over multiple cycles is a problem. Wang *et al.* cycled  $\text{SnO}_2$  at  $50 \text{ mA g}^{-1}$  with a capacity of  $200 \text{ mA h g}^{-1}$  achieved after 50 cycles.<sup>86</sup> Ma *et al.* compared  $\text{Sn}_4\text{P}_3$ /hollow C core-shell composites (Table 1) with unsupported  $\text{Sn}_4\text{P}_3$ , and cycling the unsupported material delivered a capacity of just  $104 \text{ mA h g}^{-1}$  after the 20<sup>th</sup> cycle at  $100 \text{ mA g}^{-1}$ .<sup>31</sup> The cycling of unsupported SnS electrodes was reported by Yu *et al.* with  $\sim 200 \text{ mA h g}^{-1}$  achieved after 50 cycles at  $100 \text{ mA g}^{-1}$ .<sup>87</sup> In addition, further improvements could be achieved in full cell studies, since the reactivity of the sodium metal counter electrode has been shown to compromise cycling stability in studies in Na half-cells.<sup>88,89</sup>

Similar to other conversion anode materials,<sup>26,27,28,29,30,31</sup> the first cycle coulombic efficiency of the  $\text{Sn}_3\text{N}_4$  samples studied here (30-45%) is not suitable for commercial applications, but further improvements could be achieved by material development. For example, the use of a yolk-shell design, where the active material nanoparticles are sealed inside a carbon shell, has produced major performance improvements for other conversion materials, which has been attributed to the fact that the yolk-shell design enables the volumetric expansion associated to the electrochemical reactions while avoiding the direct contact of the active material with the electrolyte.<sup>20,90</sup> The investigation of alternative electrolytes is another highly promising route for improving performance and coulombic efficiency.<sup>91</sup>

#### DFT study of the charge storage mechanism

Previously, it was suggested that  $\text{Sn}_3\text{N}_4$  electrodes could undergo complete conversion to Sn metal and  $\text{NaN}_3$  with subsequent alloying of Sn with Na to  $\text{Na}_{15}\text{Sn}_4$ .<sup>36</sup> Here, we exploit *ab-initio*

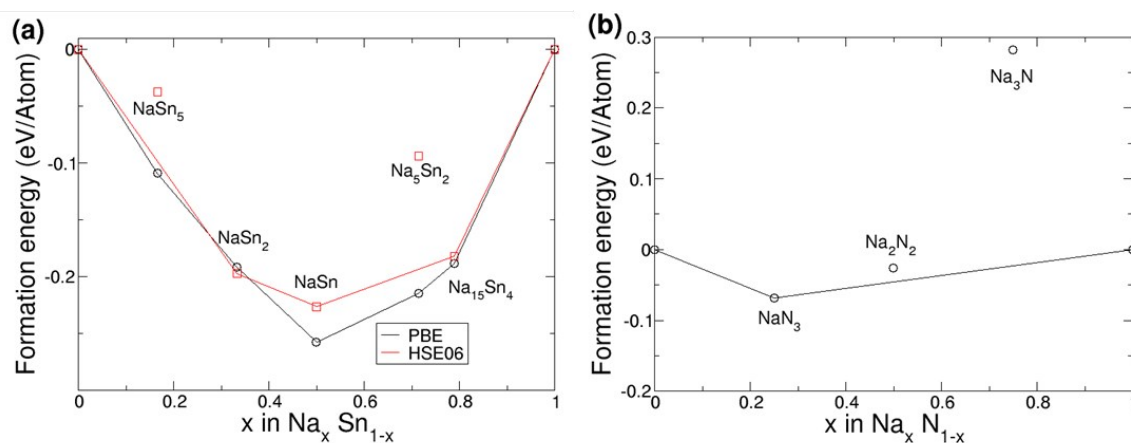


calculations to identify the candidate compounds involved in the reaction mechanism of sodiation of  $\text{Sn}_3\text{N}_4$ ; this is later analysed in view of the XRD, XANES and EXAFS results.

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In order to construct the possible reaction pathways, we first investigated the stability of the various phases of  $\text{Na}_x\text{Sn}_y$  and  $\text{Na}_x\text{N}_y$  compounds. We applied a similar approach to AIRRS,<sup>45</sup> except that for any stoichiometry where a known experimental structure exists, we only consider that structure. The PBE functional was used for an initial search, and we then studied the most stable candidates with the HSE06 functional. The HSE06 functional is necessary for considering nitrogen-based structures due to the difference in the  $\text{N}_2$  molecule's binding energy in PBE and HSE06 (the binding energy of  $\text{N}_2$  in HSE06 is 9.54 eV, whereas in PBE it is 8.44 eV and the experimental value is 9.79 eV).<sup>92</sup> After calculation of the formation energies of the different compounds, using equations (3) and (4), the lines connecting the compounds of lower formation energy (known as convex hull) were calculated. When a candidate compound lies above the convex hull, it is concluded that the compound is not thermodynamically stable.

The results of the calculations of the formation energies of Sn-Na compounds are shown in Fig. 5(a). The calculations made with the PBE functional agree with the results reported by Stratford et al.<sup>45</sup> To ensure chemical accuracy, additional calculations were made with the HSE06 functional. Whilst the formation energies are generally slightly smaller, the general trend in the convex hull is in good agreement with the previous PBE results. Our HSE06 calculations also show that all phases are metallic as expected. Our first principles simulations combined Bader charge analysis which shows that Sn atoms are charge neutral (0.0 |e|) in the  $\beta$  phase due to charge being evenly distributed. As the fraction of Na increases, the Sn atoms become increasingly negatively charged with the  $\text{Na}_{15}\text{Sn}_4$  phase Sn atoms possessing bader charges of -3.7 |e|.



**Fig. 5.** a) Formation energy per atom vs sodium concentration in Na-Sn compounds calculated with the PBE (black) and HSE06 (red) functionals. b) Formation energy per atom vs sodium concentration in Na-N compounds calculated with the HSE06 functional. In both panels, the convex hull (black and red lines) are constructed by joining the lowest energy point structures obtained by the searches.

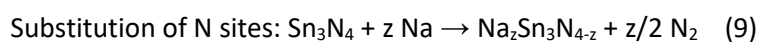
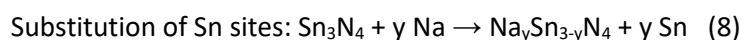
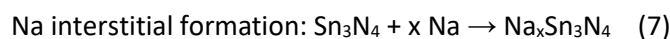
The calculation of formation energies of Na-N compounds is shown in Fig. 5(b). Due to the significant error in binding energies of the  $\text{N}_2$  molecule in PBE, only HSE06 results are discussed. Fig. 5(b) shows that  $\text{NaN}_3$  is the only candidate compound that lies on the convex hull, which means that the other candidate compounds are not thermodynamically stable. For example,  $\text{Na}_3\text{N}$  produces a positive energy of formation per atom ( $E_f = 0.29$  eV in HSE06), which means that formation of  $\text{Na}_3\text{N}$  from bulk Na and  $\text{N}_2$  gas is not thermodynamically favourable. These findings



agree with the experimental enthalpy of formation of Na<sub>3</sub>N obtained by differential thermal analysis: 64 kJ mol<sup>-1</sup> (or 0.66 eV),<sup>48</sup> which would correspond to a formation energy per atom of 0.66 eV / 4 = 0.17 eV. However, the fact that Na<sub>3</sub>N is metastable does not mean that it cannot be formed and remain stable: Na<sub>3</sub>N has been successfully synthesised by atomic co-deposition and the reaction of sodium with active nitrogen,<sup>47,48,49</sup> and it was stated that Na<sub>3</sub>N remained stable for weeks unless it was heated to ~100 °C.<sup>48</sup> At high pressures Na<sub>3</sub>N was also found to remain stable.<sup>93</sup> Our results of the metastability of Na<sub>3</sub>N are also in agreement with previous theoretical calculations.<sup>46,50,53,94</sup>

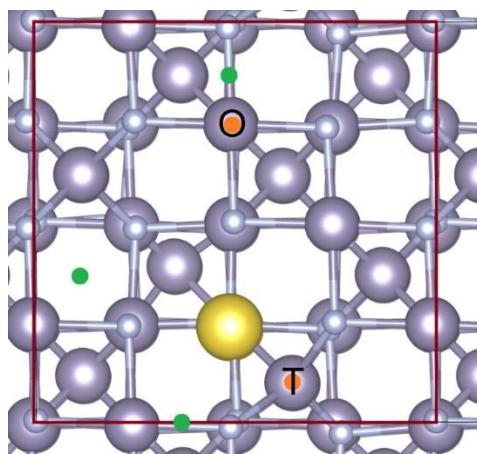
For the elucidation of the energy storage mechanism of Sn<sub>3</sub>N<sub>4</sub> in sodium-ion cells, we studied the reaction of formation of Na interstitials and substitutional sites in bulk and surface Sn<sub>3</sub>N<sub>4</sub> (see ESI, Tables S3 and S4). For the study of surface reactions, slabs of material with [001] surface terminations were generated. The plane of cleavage was chosen based on the consideration that both surfaces must be (a) symmetric, (b) charge neutral and (c) stoichiometric. From these criteria four surfaces were created and relaxed. The most stable of these (see ESI, Fig. S3) was then considered for the surface reaction calculations. We considered slab systems with various thicknesses ranging from 0.3 to 2 nm to converge the energy calculations.

Three different mechanisms for the bulk sodiation of Sn<sub>3</sub>N<sub>4</sub> were considered: a) the addition of interstitial Na ions into Sn<sub>3</sub>N<sub>4</sub> (with an associated reduction of the Sn oxidation state, reaction 7), b) the substitution of Na onto Sn sites (with an associated formation of Sn metal, reaction 8), c) or the substitution of Na onto N sites (with an associated formation of N<sub>2</sub>, reaction 9).



The associated reaction energies, per Na atom, are given in Table S3, ESI. We found that, unsurprisingly, the least energetically favourable of these was Na substituting into the N site (reaction 9). For the addition of Na interstitial sites (reaction 7), we considered various sites for interstitial Na as shown in Fig. 6. The lowest energy configuration (shown in yellow: 16c interstitial forming a pseudo-octahedral Na site face linking to two SnN<sub>4</sub> tetrahedra and edge linking to four SnN<sub>6</sub> octahedra) was then considered in a larger supercell, corresponding to Na<sub>0.0625</sub>Sn<sub>3</sub>N<sub>4</sub>. This structure was found to be energetically favourable with a formation energy of -0.426 eV per Na atom. Apart from the formation of this energetically favourable Na interstitial, other Na interstitial sites considered (see Fig. 6 and ESI, Table S3) were found to have a positive formation energy, meaning that they were not thermodynamically stable with respect to bulk Sn<sub>3</sub>N<sub>4</sub> and Na. The energy of formation of Na interstitials ranged between 1.68 and 3.8 eV using PBE, and the values obtained with HSE06 were 0.3 eV lower. However, it is worth noting that the formation energy for inserting *two* Na interstitials into the structure was 1.28 eV (per Na atom) (compared to 1.68-3.8 eV for the first interstitial considered here), indicating that the expansion of the structure to accommodate the first Na atom results in a lower formation energy for *subsequent* Na intercalations. The third reaction pathway considered was Na substituting onto the Sn site (reaction 8), which was also found to be energetically unfavourable. Substituting the Sn tetrahedra (Sn<sub>tet</sub>) had a formation energy of 4.33 eV in PBE, and substitution at the Sn octahedral site (Sn<sub>oct</sub>) was slightly less favourable at 4.47 eV in PBE (see sites in Fig. 6).





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**Fig. 6.** A ball and stick representation of the  $\text{Sn}_3\text{N}_4$  structure. The large purple spheres are Sn; the smaller purple spheres are nitrogen. The Na interstitial in its lowest energy configuration is shown as a yellow sphere. Other sites considered are shown with green dots. The two substitutional sites for the substitution of Sn by Na are highlighted with orange dots (upper: octahedral, lower: tetrahedral). The unit cell is highlighted in red.

In summary, *ab-initio* calculations were applied to evaluate the energy of formation of bulk  $\text{Na}_x\text{Sn}_y\text{N}_z$  compounds, of which only  $\text{Na}_{0.0625}\text{Sn}_3\text{N}_4$  was found to be stable. Therefore, it can be proposed that the electrochemical sodiation of  $\text{Sn}_3\text{N}_4$  will produce the bulk formation  $\text{Na}_{0.0625}\text{Sn}_3\text{N}_4$ . However, the capacity associated with such a process is very small: the theoretical capacity is only  $4 \text{ mA h g}^{-1}$ . Previous studies have also identified  $\text{NaSnN}$  as a stable compound, this phase contains Na ions intercalated in between two-dimensional layers of  $[\text{SnN}]^-$ .<sup>54,55</sup> The sodiation of  $\text{Sn}_3\text{N}_4$  to form bulk  $\text{NaSnN}$  has an associated theoretical capacity of  $65 \text{ mA h g}^{-1}$ . However, the bulk conversion of the  $\text{Sn}_3\text{N}_4$  material into  $\text{NaSnN}$  is not supported by our experimental data: XRD data of the electrodes discharged to different potentials in Na half-cells (see discussion below, Fig. 9b) show that the diffraction pattern of the  $\text{Sn}_3\text{N}_4$  spinel structure is still clearly visible even after polarization to 10 mV vs.  $\text{Na}^+/\text{Na}$ , thus demonstrating that the core of  $\text{Sn}_3\text{N}_4$  particles undergoes little structural change. The absence of transformation of bulk  $\text{Sn}_3\text{N}_4$  into bulk  $\text{NaSnN}$  could be attributed to kinetic limitations. On the other hand, the sodiation of  $\text{Sn}_3\text{N}_4$  to form  $\text{Na}_{0.0625}\text{Sn}_3\text{N}_4$  involves little structural rearrangement, so it is expected to be facile and the observed XRD patterns of the sodiated (i.e. reduced) electrodes are also consistent with  $\text{Na}_{0.0625}\text{Sn}_3\text{N}_4$ . Thus, we conclude that sodiation of  $\text{Sn}_3\text{N}_4$  starts with the facile insertion of a small amount of sodium in the  $\text{Sn}_3\text{N}_4$  structure, forming the identified stable compound  $\text{Na}_{0.0625}\text{Sn}_3\text{N}_4$ . On the other hand, the surface of  $\text{Sn}_3\text{N}_4$  particles undergoes significant reactions during cycling in Na half cells. To study the surface reactions, additional calculations were performed to evaluate the energy of formation of surface compounds.

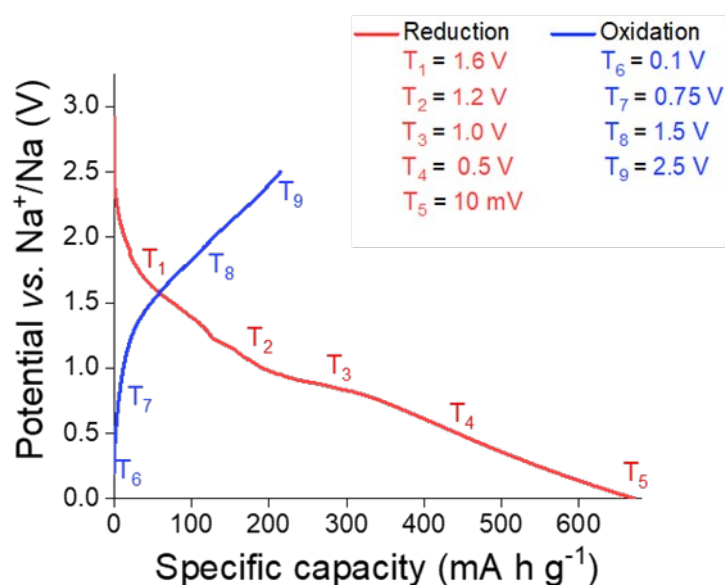
By performing *ab-initio* calculations with the PBE functional, we found that the insertion of Na into the  $\text{Sn}_3\text{N}_4$  surface (reaction 7) was generally energetically favourable, resulting in a significant energy gain (at the surface the formation energy is  $-3.5 \text{ eV}$  for the adsorption of 1 Na atom). Further below the surface, this energy decreases but remains favourable for all the slabs we have considered (up to 2 nm thick). This indicates that, near the surface, the energetic cost of expansion and strain on  $\text{Sn}_3\text{N}_4$  associated to sodiation reactions is less than the energy gained from adsorbing Na. Furthermore, on the top surface layers of  $\text{Sn}_3\text{N}_4$ , the substitution of a Na into a Sn site, forming  $\text{Na}_y\text{Sn}_{3-y}\text{N}_4 + y \text{ Sn}$  (reaction 8) becomes favourable, with formation energies of  $-1.9 \text{ eV}$  for surface substitutions and  $-0.6 \text{ eV}$  for subsurface (1 monolayer below) substitutions (both tin tetrahedral sites). As these represent the first steps of conversion of the  $\text{Sn}_3\text{N}_4$  structure, this suggests a voltage  $\sim 1.9 \text{ V}$  for the surface process. However, we treat this with caution as this is heavily dependent on surface reconstructions and terminations. These findings suggest that the electrochemical reaction of sodiation of  $\text{Sn}_3\text{N}_4$  is likely initiated by the insertion of Na on the surface



followed by the substitution of Sn by Na at the  $\text{Sn}_3\text{N}_4$  surface, resulting in the formation of a Sn metal coating on top of the  $\text{Sn}_3\text{N}_4$  nanoparticle. Then, Sn metal could react further with Na forming different  $\text{Na}_x\text{Sn}_y$  alloys, with  $\text{Na}_{15}\text{Sn}_4$  as the final product, as shown in previous studies of the sodiation of Sn in Na-ion cells.<sup>39,40,45,41,42</sup> It is reasonable to assume that the products of these surface reactions will grow as a shell around a core with  $\text{Na}_y\text{Sn}_3\text{N}_4$  structure (possibly made of  $\text{Na}_{0.0625}\text{Sn}_3\text{N}_4$ , according to our calculations). These findings can explain the increase in the capacity values observed with the nanocrystalline tin nitride electrode, as the material will have a larger surface area compared with the microcrystalline material. Unfortunately, the larger surface also increases the electrolyte breakdown occurring in the first charge cycle, which can explain the larger irreversible capacity loss witnessed on the initial cycle of the nanocrystalline material.<sup>95</sup>

### Structural and chemical change during cycling

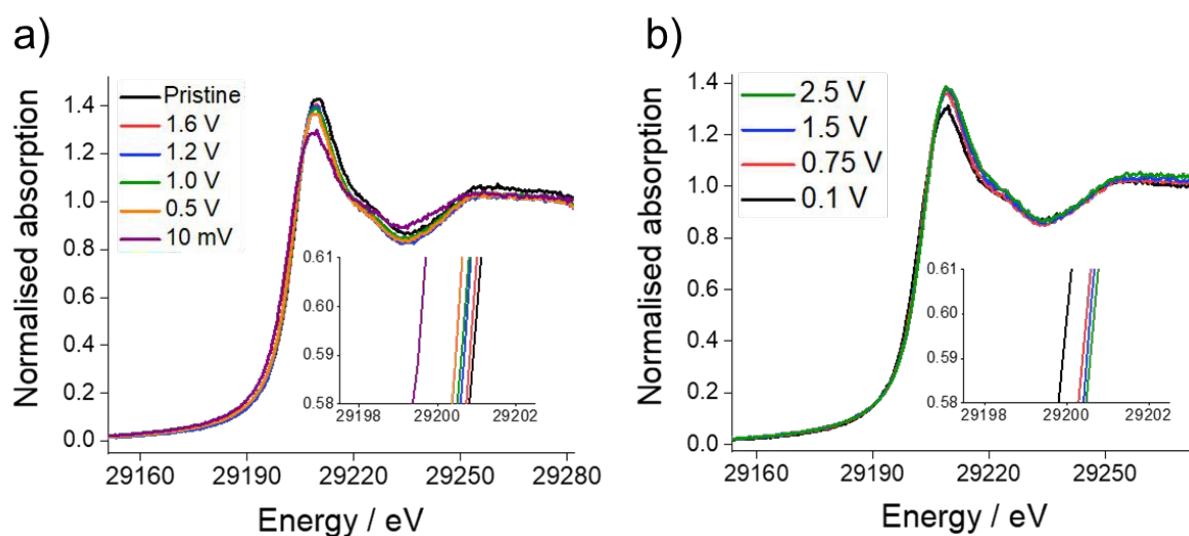
In order to elucidate the reactions undergone by  $\text{Sn}_3\text{N}_4$  materials in Na half-cells, electrodes were extracted from the cell at potentials chosen from features observed in the voltage profile (Fig. 7, also see differential capacity plots in the ESI, Fig. S4). These were 1.6, 1.2, 1.0, 0.5 and 0.01 V vs.  $\text{Na}/\text{Na}^+$  during reduction and 0.1, 0.75, 1.5 and 2.5 V vs.  $\text{Na}/\text{Na}^+$  during oxidation. Samples were then characterised by XRD, XANES and EXAFS. These experiments utilised the microcrystalline  $\text{Sn}_3\text{N}_4$  in order to obtain more useful diffraction data, although it is recognised that the nanocrystalline material has the larger capacity. On first reduction, there is a sloping plateau over 1.6 V (Fig. 7) followed by a small plateau at 1 V. We attribute this process to the insertion of Na ions into the  $\text{Sn}_3\text{N}_4$  structure via surface reactions such as the substitution of Na to Sn sites which produces Sn metal coatings. These reactions were found to be energetically favourable (negative formation energies) at the  $\text{Sn}_3\text{N}_4$  surface by DFT calculations. At lower potentials the formation of  $\text{Na}_x\text{Sn}_y$  phases is expected, which likely requires some Sn to have already formed. A large, sloping plateau is observed at 0.5 V, that could be ascribed to the alloying reaction ( $\text{Sn} \rightarrow \text{Na}_x\text{Sn}$ ). This alloying process continues to 10 mV, as described in  $\text{SnO}_2$  and  $\text{Sn}_4\text{P}_3$  systems.<sup>96, 97,98</sup> Since the sodiation of Sn produces a series of  $\text{Na}_x\text{Sn}_y$  compounds at potentials in between 0.5 V and 0 V vs.  $\text{Na}^+/\text{Na}$ , these last processes have been assigned to the Sn alloying reactions ( $\text{Sn} \rightarrow \text{Na}_x\text{Sn}_y$ ).<sup>39,40, 41,45,42</sup>



**Fig. 7.** Initial galvanostatic cycle of microcrystalline  $\text{Sn}_3\text{N}_4$  depicting where samples were taken for the *ex situ* XRD, XANES and EXAFS studies.



Sn K-edge XANES analysis was performed to probe changes in the oxidation state of Sn in the cycled electrodes. For that purpose, prior to the measurements, Sn-K edges were calibrated against Sn standards with defined oxidation states (Sn, SnO<sub>2</sub>, SnO and Na<sub>4</sub>Sn with oxidation states of 0, +4, +2 and -4, respectively, see ESI, Fig. S5). The XANES spectra (Fig. 8) show the edge energy shifting to lower energy values during the first reduction and a decrease in the intensity of the white line position at the fully reduced state (10 mV vs Na<sup>+</sup>/Na), corresponding to an average oxidation state of -0.1 (ESI, Table S5). Whilst a negative oxidation state implies that Na-Sn alloying has taken place, it also suggests incomplete conversion of Sn<sub>3</sub>N<sub>4</sub> to Na-Sn alloys, as we would expect a much lower oxidation state (-1.25) for the fully sodiated Na<sub>15</sub>Sn<sub>4</sub> phase. Figure 8 also shows an increase in the edge energy shift and intensity of the white line position in the XANES spectra during the first oxidation of the Sn<sub>3</sub>N<sub>4</sub> electrode. The average oxidation state of Sn was 3.5 in the fully charged state (2.5 V vs. Na<sup>+</sup>/Na), very close to the average oxidation state of the pristine sample (3.7). This provides strong evidence that reformation of Sn<sub>3</sub>N<sub>4</sub> occurs.



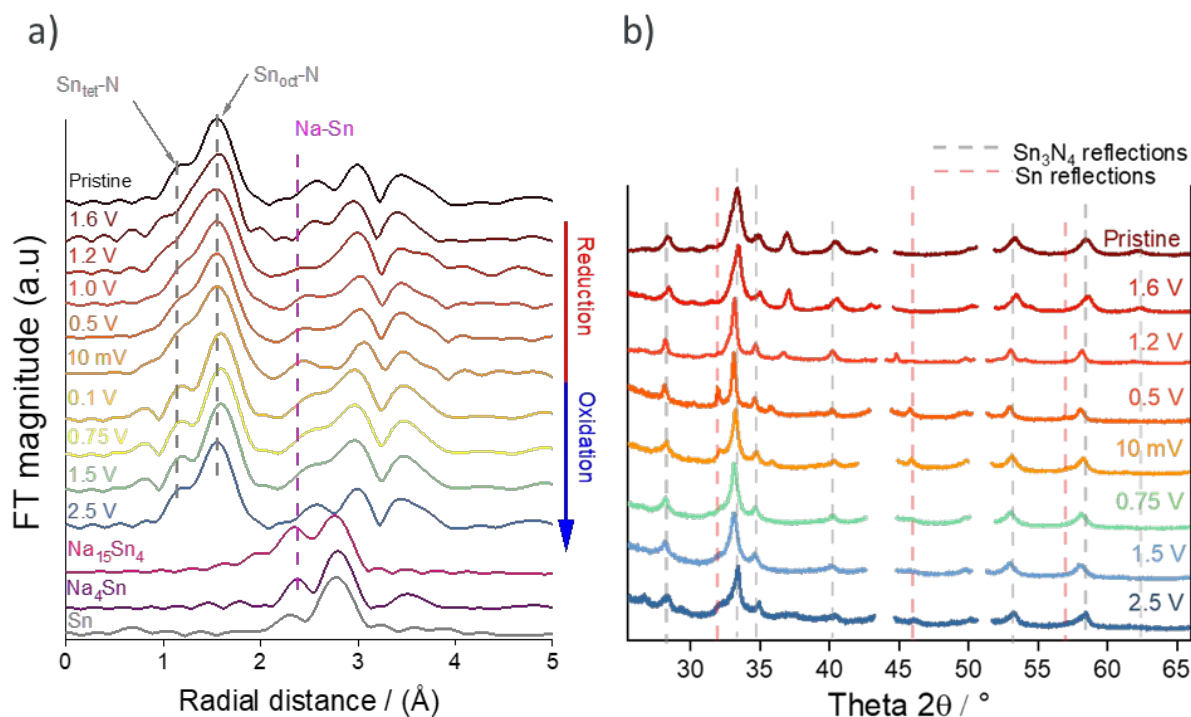
**Fig. 8.** Sn K-edge XANES spectra during: a) first reduction and b) first oxidation of microcrystalline Sn<sub>3</sub>N<sub>4</sub> as a function of cell potential. The insets show the central part of the absorption edge, magnified for clarity.

Figure 9b shows the *ex situ* XRD data of Sn<sub>3</sub>N<sub>4</sub> electrodes cycled to the same set of potentials as in the XANES measurements in Fig. 8. It is observed that the reflections corresponding to Sn<sub>3</sub>N<sub>4</sub> become sharper on reduction of the electrode, this suggests that the smaller crystallites are reacting more readily and hence the average crystallite size increases. At 0.5 V, clear reflections for Sn metal appear in the pattern. This is in agreement with the findings from the DFT calculations, which predict that sodiation would induce the insertion of Na into the surfaces of the Sn<sub>3</sub>N<sub>4</sub> particles, thus ejecting Sn metal, followed by the growth of a Na-Sn alloy shell around the Sn<sub>3</sub>N<sub>4</sub> particles. Formation of Na-Sn alloys is not clearly visible in the XRD data, indicating poor crystallinity or small particle size of the Na-Sn alloys. During the initial stages of the re-oxidation, the intensity of the Sn metal reflections diminishes but small Sn diffraction peaks are still visible at the end of charge (2.5 V), suggesting incomplete reversibility of the conversion process. At the end of oxidation, the Sn<sub>3</sub>N<sub>4</sub> peaks become broader, similar to the pristine samples, indicating that the electrochemical reactions are reversible and the small crystallites reoxidise recovering the spinel Sn<sub>3</sub>N<sub>4</sub> structure. The pristine electrode exhibits a reflection at 37.5° that disappears upon reduction and it is not recovered after oxidation. This peak appears due to the use of a homogeniser for the electrode preparation: Fig. S1 in the ESI shows that electrodes prepared without homogenization





do not have this peak and exhibit the same changes in the XRD pattern induced by the electrochemical reactions. Unfortunately, the assignment of this peak is unclear: NiO and CrO<sub>2</sub> show reflections close to that position, but at higher angles, and they show other reflections that are not observed in the experimental patterns (see ESI, Fig. S6). The pattern of the electrode at the end of oxidation (2.5 V) shows a very small peak at 26.2°, which could be ascribed to the formation of small amounts of SnO<sub>2</sub>, but again, the position of the peak is not exactly as expected for SnO<sub>2</sub> and other reflections of SnO<sub>2</sub> are not observed (ESI, Fig. S7).



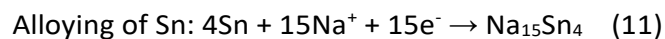
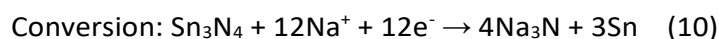
**Fig. 9.** a) Magnitude of the Fourier transforms of  $K^3$ -weighted Sn K-edge EXAFS during initial reduction and oxidation of microcrystalline Sn<sub>3</sub>N<sub>4</sub>. The data of Na<sub>15</sub>Sn<sub>4</sub>, Na<sub>4</sub>Sn and Sn from the ICSD database is also included for comparison. b) Corresponding *ex situ* XRD patterns, with peaks due to the Cu current collector omitted from the pattern.

The EXAFS spectra during the first cycle (Fig. 9a) show a peak doublet at 1.5 Å radial distance corresponding to Sn-N bonds. The intensity of the lower radial distance peak within the doublet, corresponding to the tetrahedral Sn<sub>tet</sub>-N bond distance, decreases greatly during reduction compared to the octahedral Sn<sub>oct</sub>-N site (Fig. 9a and expanded in ESI, Fig. S8) suggesting that Na substitution has taken place preferentially on the tetrahedral Sn sites. This is in agreement with the first principle calculations that showed substitution of Na onto tetrahedral Sn sites to be slightly more favourable than on octahedral sites (ESI, Table S3). It is worth mentioning that the decrease in the intensity of the peak related to the tetrahedral Sn<sub>tet</sub>-N bond distance starts at very high potentials (1.6 V), supporting our interpretation of the electrochemical data that the first sloping plateau centred at 1.6 V is due to sodiation surface reactions of substitution of a Sn tetrahedral site by Na. Then, as the potential is further reduced, a new environment starts to emerge from 1 V to 10 mV which could be assigned to the formation of Na-Sn alloys. The intensity of the tetrahedral Sn<sub>tet</sub>-N peak increases in the EXAFS spectra during the subsequent reoxidation of the electrode suggesting that the spinel Sn<sub>3</sub>N<sub>4</sub> is reforming. This is in agreement with the increased oxidation state calculated from the XANES analysis (ESI, Table S5).



## Discussion of reaction mechanism

Previously we proposed that the electrochemical reactions of  $\text{Sn}_3\text{N}_4$  in Na-ion cells could proceed *via* a conversion and alloying mechanism:<sup>36</sup>



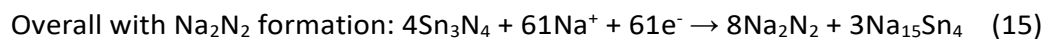
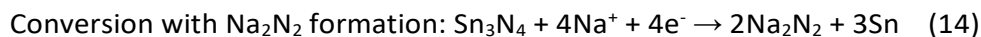
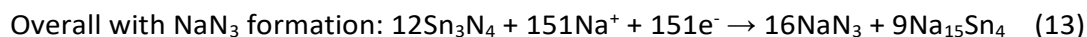
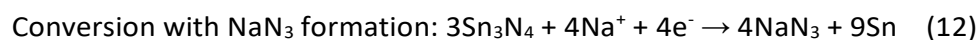
Combination of reactions (10) and (11) has an associated theoretical capacity of  $1512 \text{ mA h g}^{-1}$ . This reaction mechanism is, thus, consistent with the observed reversible capacities of up to  $850 \text{ mA h g}^{-1}$ . The fact that the experimental capacity is lower than the theoretical capacity can be ascribed to incomplete conversion of  $\text{Sn}_3\text{N}_4$  and alloying to produce  $\text{Na}_{15}\text{Sn}_4$ . Indeed, many battery materials undergoing conversion reactions do not reach the full theoretical capacity. Nanostructuring of the material (that is, decreasing the particle size) often results in significant improvements in the practical capacity, due to a better utilisation of the whole material. This is also observed in the present work by comparing the reversible capacities of the microcrystalline and nanocrystalline samples. Furthermore, the XRD characterisation evidences the presence of unreacted  $\text{Sn}_3\text{N}_4$  throughout the whole discharge and charge process for the lower capacity microcrystalline sample.

The analysis of XANES to quantify the variation of the Sn oxidation state following reduction and oxidation is also consistent with reactions (10) and (11). The Sn oxidation state in microcrystalline  $\text{Sn}_3\text{N}_4$  is found to become negative (*ca* -0.1) after reduction, which demonstrates the formation of Sn-Na alloys. While the expected Sn oxidation state in  $\text{Na}_{15}\text{Sn}_4$  is more negative, the XANES data provides an average measurement for the whole sample, and some unreacted or partially reacted  $\text{Sn}_3\text{N}_4$  at the end of discharge is demonstrated by XRD. On charge, the Sn oxidation state increases to +3.5, which suggests that the original  $\text{Sn}_3\text{N}_4$  material is recovered after charge, and further support is obtained from the XRD characterisation, which shows the re-growth of broad  $\text{Sn}_3\text{N}_4$  reflections upon oxidation.

However, reaction (10) involves the formation of  $\text{Na}_3\text{N}$  which is metastable and decomposes at around  $100 \text{ }^\circ\text{C}$ . We then considered the possibility that reaction (10) was not reversible due to decomposition of  $\text{Na}_3\text{N}$  and the associated loss of  $\text{N}_2$ , if that was the case, the electrochemical reactions would only involve the Sn-Na alloying reaction (reaction 11), except for the first discharge (reduction) of the cell which would also include reaction (10). However, reaction (11) of Na alloying of Sn to  $\text{Na}_{15}\text{Sn}_4$  has a theoretical capacity of  $732 \text{ mA h g}^{-1}$  when referred to the mass of the initial  $\text{Sn}_3\text{N}_4$  material. This capacity value is lower than the observed values of the reversible capacities and it is also inconsistent with the high Sn oxidation state obtained by XANES at the end of oxidation (*ca* 3.5) and the recovery of  $\text{Sn}_3\text{N}_4$  observed in XRD measurements. All these measurements suggest that the electrochemical reactions on oxidation largely recover the original compound,  $\text{Sn}_3\text{N}_4$ . That would not be possible if  $\text{N}_2$  was lost in the form of gas. Insertion of additional Na beyond  $\text{Na}_{15}\text{Sn}_4$  has been suggested from the analysis of *operando* NMR measurements of Sn reactions in Na-ion cells,<sup>45</sup> but such additional Na insertion on the Na-Sn alloy is insufficient to explain the experimental capacities observed in this work. The discharge profile of  $\text{Sn}_3\text{N}_4$  in Na-half cells is also distinct from that observed for Sn, which again supports the hypothesis that the electrochemical reactions are not simply Na-Sn alloying (reaction 11).<sup>14</sup>



The results of DFT calculations concur that  $\text{Na}_3\text{N}$  is not stable against decomposition to  $\text{Na}$  and  $\text{N}_2$ , in agreement with experimental observations. On the other hand,  $\text{NaN}_3$  and  $\text{Na}_2\text{N}_2$  are stable or potentially stable. Considering the formation of  $\text{NaN}_3$  or  $\text{Na}_2\text{N}_2$  in the first conversion reaction, the following possible reaction mechanisms can be proposed:



The theoretical capacity of conversion and alloying is  $818 \text{ mA h g}^{-1}$  with azide formation (reaction 13) and  $992 \text{ mA h g}^{-1}$  with azenide (reaction 15). Of the phases considered the highest reversible capacity of  $\sim 850 \text{ mA h g}^{-1}$  after 50 cycles can only be explained by the azenide ( $\text{Na}_2\text{N}_2$ , reaction 15). The Raman spectrum of fully sodiated  $\text{Sn}_3\text{N}_4$  electrodes does not show evidence of formation of  $\text{NaN}_3$  or  $\text{Na}_2\text{N}_2$ , although this could be ascribed to the poor sensitivity of Raman measurements to detect small amounts of these compounds (ESI, Fig. S9).

## Conclusions

Microcrystalline and nanocrystalline  $\text{Sn}_3\text{N}_4$  were prepared using solvothermal conditions at  $430 \text{ }^\circ\text{C}$ , or at  $300 \text{ }^\circ\text{C}$  followed by ultrasound treatment in aqueous  $\text{HCl}$ .  $\text{Sn}_3\text{N}_4$  electrodes of both materials were cycled in  $\text{Na}$  half-cells, with the nanocrystalline electrode providing a higher capacity and good cycling stability over 50 cycles. Indeed, the capacity exceeds those reported for other tin-based materials, and good cycling stability with other tin-based materials has only been achieved with carbon supports or composite structures. The results presented here bring a deeper understanding of the  $\text{Sn}_3\text{N}_4$  reaction mechanism in  $\text{Na}$ -ion cells. They provide evidence of the formation of  $\text{Na-Sn}$  alloys at the end of discharge and of reformation of  $\text{Sn}_3\text{N}_4$  at the end of charge. DFT calculations combined with *ex situ* X-ray diffraction and X-ray absorption spectroscopy indicate that the  $\text{N}$  from  $\text{Sn}_3\text{N}_4$  remains in the electrode in the form of some sort of  $\text{Na-N}$  compound.  $\text{Na}$  insertion into the  $\text{Sn}_3\text{N}_4$  nanoparticles, ejecting some  $\text{Sn}$  which forms  $\text{Sn}_x\text{Na}_y$  phases on the surface, has been shown to be energetically feasible from DFT calculations and is also consistent with the observed changes in the  $\text{Sn-N}$  and  $\text{Na-Sn}$  bond distances obtained from EXAFS spectra and with the change in the oxidation state obtained from XANES. The new solvothermal synthesis here developed is a simple and low cost synthesis route that enables an unprecedented control of the  $\text{Sn}_3\text{N}_4$  particle size, which is critical to achieve full utilization of  $\text{Sn}_3\text{N}_4$  and thus high capacity.

## Conflicts of interest

There are no conflicts of interest to declare.

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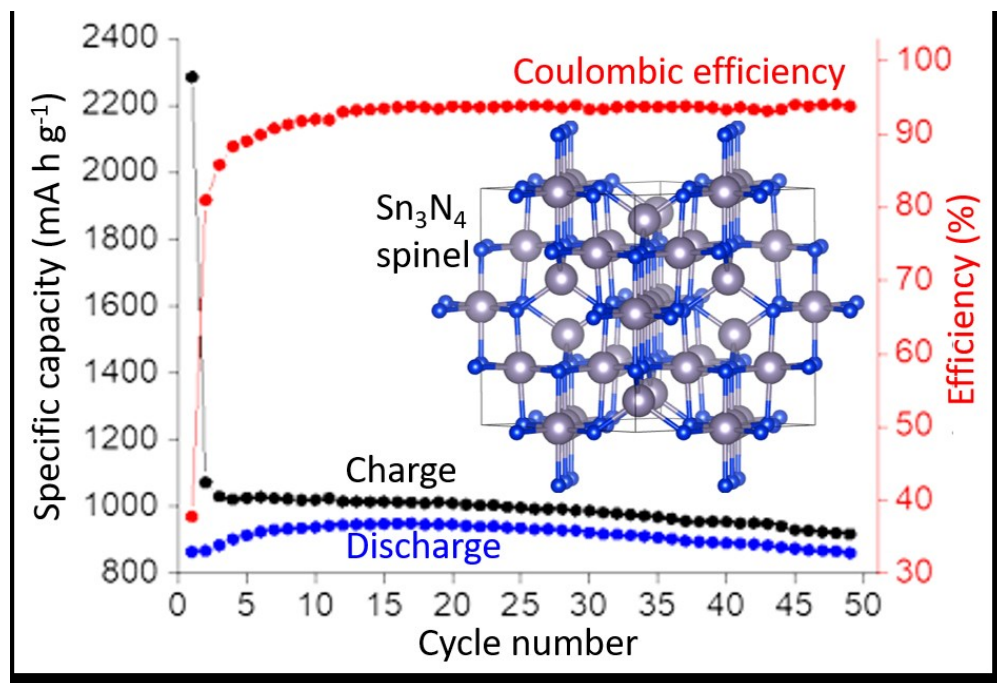
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