

Anagnostou et al.

Proxy evidence for state-dependence of climate sensitivity in the Eocene greenhouse

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1 **Short summary**

2 The relationship between atmospheric CO₂ and climate during the Eocene greenhouse
3 remains uncertain. Here we show that Eocene CO₂ and climate sensitivity was high during
4 the warmest intervals and declined as global climate cooled, with implications for the Earth's
5 future warming climate.

6
7 **Title:**

8 Proxy evidence for state-dependence of climate sensitivity in the Eocene greenhouse

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11 Chalk T.B., Pancost R.D., Foster G.L.

12
13 **Abstract:**

14 **Despite recent advances, the link between the evolution of atmospheric CO₂ and climate**
15 **during the Eocene greenhouse remains uncertain. In particular, modelling studies**
16 **suggest that in order to achieve the global warmth that characterised the early Eocene,**
17 **warmer climates must be more sensitive to CO₂ forcing than colder climates. Here, we**
18 **test this assertion in the geological record by combining a new high-resolution boron**
19 **isotope-based CO₂ record with novel estimates of Global-Mean-Temperature. We find**
20 **that Equilibrium-Climate-Sensitivity (ECS) was indeed higher during the warmest**
21 **intervals of the Eocene, agreeing well with recent model simulations, and declined**
22 **through the Eocene as global climate cooled. These observations indicate that the**
23 **canonical IPCC range of ECS (1.5 to 4.5 °C per doubling) is unlikely to be appropriate**
24 **for high-CO₂ warm climates of the past, and the state dependency of ECS may play an**
25 **increasingly important role in determining the state of future climate as the Earth**
26 **continues to warm.**

27
28 The Eocene Epoch is the most recent greenhouse period in Earth's history. Atmospheric
29 carbon dioxide (CO₂) and temperature peaked in the early Eocene, and both declined towards
30 the late Eocene, ultimately leading to an icehouse state at the Eocene-Oligocene Transition
31 (e.g. Ref. ¹⁻⁵). However, to better constrain the potential mechanisms driving the early Eocene
32 warmth and the subsequent cooling, high resolution records of CO₂ and temperature are
33 required. While obtaining continuous marine records of temperature through this interval has
34 been an ongoing effort (e.g. Ref. ^{1,2}), similar records for CO₂, as compiled in Ref. ³, are

35 fragmented and of low temporal resolution with large uncertainties, and thus remain
36 insufficient to fully characterise the climate dynamics of the Eocene.

37

38 Of particular importance in this regard are several recent modelling studies that have
39 highlighted the possible existence of a state-dependency of climate sensitivity. That is, the
40 magnitude of global mean temperature change following a doubling of atmospheric CO₂ is
41 higher in warm climates than in cooler periods, including the modern climate system (e.g.
42 Ref. ^{1,6-8}). In the Eocene, this is thought to result from non-linearities in the albedo response
43 related to cloud feedbacks rather than snow and ice feedbacks ⁶⁻⁸. These feedbacks are further
44 modified by changing paleogeography, potentially linked to ocean area and deep water
45 formation⁸. Given the major implications such a state dependency may have on the amount of
46 warming by 2100 and beyond under high-emission scenarios (e.g. RCP8.5), there is a
47 pressing need for improved constraints on the nature and evolution of climate sensitivity in
48 different climate states.

49

50 In order to achieve this, we generate a new CO₂ record, spanning the Eocene Epoch with an
51 average sampling resolution of 1 sample per 0.25 million years (Myr), using boron isotopes
52 ($\delta^{11}\text{B}$) in planktonic foraminifera from four pelagic sites located in the Atlantic and Pacific:
53 International Ocean Discovery Program (IODP) Sites 1407 and 1409, Newfoundland margin;
54 Ocean Drilling Program (ODP) Sites 1258 and 1260, Demerara Rise, and ODP Site 865,
55 Allison Guyot, (Fig. 1). This record, coupled to existing $\delta^{11}\text{B}$ -CO₂ reconstructions ^{4,5,9-11} and
56 novel Global Mean Temperature (GMT) estimates, is used to provide proxy evidence of the
57 state dependency in climate sensitivity, with higher sensitivity during the warm period of the
58 early Eocene, and lower towards the transition to the colder, late Eocene.

59

60 **Results and Discussion**

61 Reconstructions of seawater pH

62 We followed established methods to calculate seawater pH and CO₂ from foraminiferal $\delta^{11}\text{B}$
63 measurements^{4,12-14} (Methods). We employ the $\delta^{11}\text{B}$ proxy on mixed-layer species of
64 planktonic foraminifera in all core sites to first reconstruct surface ocean pH. The majority of
65 Paleogene foraminiferal species selected for this study were previously identified to reflect
66 surface mixed layer conditions^{4,10}, and are characterized by a reduction in the degree of pH
67 modification in the micro-environment surrounding the foraminifera by physiological
68 processes compared to observations in modern foraminifera^{4,14}. When thermocline dwelling

69 species were used, or additional species not previously analysed, we ensured that our new
70 analyses of $\delta^{11}\text{B}$ overlapped with previously studied mixed-layer planktonic foraminiferal
71 species (Methods and Supplementary Data 1) in order to constrain site-specific intra-species
72 offsets and thus provide consistency and confidence in the derived mixed layer pH (as in Ref.
73 ⁴). Temperatures for the calculation of carbonate system parameters from $\delta^{11}\text{B}$ were
74 estimated using foraminiferal Magnesium/Calcium (Mg/Ca) ratios determined on an aliquot
75 of the same solution used for $\delta^{11}\text{B}$ analyses, assuming Eocene seawater Mg/Ca of 2.2 ± 0.1
76 mol/mol ^{2,4} and the seawater adjusted Mg/Ca thermometer¹⁵.

77

78 Reconstructions of atmospheric CO₂

79 The derived surface ocean pH estimates from foraminiferal $\delta^{11}\text{B}$ were combined with the
80 latitude-specific estimates of calcite saturation in surface waters (from cGENIE ⁴), which we
81 assume remains within a range of ± 1 , thereby accounting for uncertainty in both absolute
82 value and any short-term variability¹⁶. Full error propagation was carried out using a Monte
83 Carlo approach as described in Ref ⁴. The CO₂ record was then smoothed using a varying
84 span LOESS curve with the degree of smoothing optimised using generalised cross validation
85 (Michael Friendly: <https://tolstoy.newcastle.edu.au/R/help/05/11/15899.html>). The 95%
86 confidence intervals were then estimated from smoothing the residuals between the LOESS
87 curve and the CO₂ data.

88

89 Eocene time-series of $\delta^{11}\text{B}$ -derived pH and CO₂

90 Our new continuous and high-resolution record of $\delta^{11}\text{B}$ -derived pH and CO₂ (Fig. 2,
91 Supplementary Fig. 1) overlaps with existing low-resolution $\delta^{11}\text{B}$ -based records from
92 Tanzania^{4,5}, and records from the Middle Eocene Climatic Optimum (MECO; ~ 40.1 - 40.5
93 Ma)¹¹, Eocene Thermal Maximum 2 (ETM2; 54.1 Ma)⁹, and the Paleocene-Eocene Thermal
94 Maximum (PETM; ~ 56 Ma)^{9,10} (all re-calculated for consistency, see Methods and
95 Supplementary Data 3), and demonstrates the validity of our multi-species treatment of $\delta^{11}\text{B}$
96 in deriving mixed-layer pH and CO₂ concentrations. This continuous view of the evolution of
97 CO₂ confirms that the highest CO₂ levels, outside of the short-lived increase in CO₂ at the
98 PETM ^{9,10,17}, occurred during the Early Eocene Climatic Optimum (EECO; 49-53 Ma¹⁸). Pre-
99 PETM CO₂ was ca. 900 ± 100 ppm ($\pm 2\text{se}$, $n=14$) ^{9,10}. For the EECO and the PETM^{9,10}, the
100 average CO₂, calculated using the average $\delta^{11}\text{B}$ and Mg/Ca-temperature estimates in each
101 interval is 1470 (+360/-300) ppm (2 s.d.) and 1790(+ 560/-380) ppm respectively (or 1980
102 (+510/-440) ppm and 2470 (+690/-540) (2 s.d.) if the *Trilobatus sacculifer* calibration of Ref.

103 ¹⁹ is used, as described in the Methods). Atmospheric CO₂ began to decline from a maximum
104 at ca.49 Ma, reaching a minimum immediately prior to the MECO ^{4,11} where it increased to
105 an average of 1240 (+250/-210) ppm (or 1490 (+290/-240) ppm using the *T. sacculifer*
106 calibration)¹⁸. Following the MECO, CO₂ levels remain largely stable at 900 ± 130 ppm (2
107 s.d.) until the Eocene-Oligocene transition (EOT; 33.5-34 Ma), when they eventually decline
108 below 700 ppm ^{4,5}.

109
110 Atmospheric CO₂, volcanism and silicate weathering

111 The most important modulators of the Earth's carbon cycle, and hence its climate, are
112 thought to be the balance between volcanic CO₂ output and CO₂ drawdown through silicate
113 weathering and carbonate burial²⁰. However, the relative importance of these processes in
114 determining the evolution of CO₂ over the last 65 million years, and hence their role in the
115 evolution of Cenozoic climate, remains uncertain. Our new continuous CO₂ record allows a
116 re-evaluation of the broad relationship between records of silicate weathering, volcanism and
117 CO₂ during this interval (Fig. 3).

118
119 There is abundant physical evidence for enhanced volcanism during the EECO, potentially
120 driving high levels of CO₂ during this time (Fig. 3). The central East Greenland volcanic rift
121 margin plutons associated with post-continental break-up were emplaced from 56 to 54 Ma
122 and 50 to 47 Ma²¹, following the flood basalt of North Atlantic Igneous Province
123 emplacement and volcanism associated with the PETM²². Additionally, in central British
124 Columbia there was extensive magmatism within the Chilcotin Plateau (from 55 to 47 Ma²³)
125 and the Challis-Kamloops magmatic belt (from 53 to 47 Ma^{24,25}). The India-Eurasia collision
126 resulted in the subduction of pelagic carbonates deposited within the Neo-Tethys and of
127 carbonate sediments from the continental margin of the Greater Indian subcontinent, which
128 were most likely recycled as CO₂ at arc volcanoes from ca. 52.5 – 49 Ma²⁶, also coinciding
129 with the elevated CO₂ during the EECO.

130
131 The carbon imprint of silicate weathering on the Eocene carbon cycle remains unconstrained
132 (e.g. Ref. ^{27,28}) because the available paleoproxies are currently ambiguous and
133 reconstructions tend to be sparse for this time interval²⁹⁻³² (Fig. 3). Only the Li isotope
134 record³³ reveals a step change in the early Eocene at ca.48 Ma, indicating that a shift toward
135 higher silicate weathering intensity was coincident with our post-EECO CO₂ decline (Fig.
136 3d). Such an increase in weathering could be due to the second stage of collision of India

137 with Asia ^{34,35}, and Patagonian orogenesis ³⁶ that occurred at around 50-49 Ma. Following the
138 EECO warmth and initial cooling, global cooling and reduced weathering intensity, as
139 implied from Os isotopes (Fig. 3), may have slowed down the weathering feedback ^{27,37}
140 contributing to the nearly stable CO₂ levels we observe at this time.

141

142 Drivers of the ca. 51Ma decoupling between $\delta^{13}\text{C}$ and CO₂

143 Although the timing of major weathering regime changes and volcanic events coincide with
144 large variations in our CO₂ curve, there is structure within our record that require the action
145 of additional processes. Previous work indicates that $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ values are tightly coupled
146 on short-term orbital scales and across hyperthermals such as the PETM ^{e.g.38}; however, they
147 decouple on longer timescales, including in the marked transition from ca. 51 to 51.5 Ma,
148 characterized by a 1-2 ‰ increase in benthic foraminiferal $\delta^{13}\text{C}$ records during the sustained
149 warmth of the EECO (Fig. 3) ^{39,40}. Our CO₂ record demonstrates for the first time that this
150 increase in $\delta^{13}\text{C}$ is not associated with a systematic change in CO₂.

151

152 Large scale circulation changes could cause this $\delta^{13}\text{C}$ -CO₂ decoupling, but they preceded the
153 EECO by ca. 6 My ⁴¹, except the short-lived changes in deep water formation during
154 hyperthermal events, such as the PETM ⁴². Additionally, cessation of North Pacific deep-
155 water formation ⁴³, a more inter-basin thermohaline circulation $\delta^{13}\text{C}$ pattern ⁴⁴ (Fig. 3f), and
156 establishment of a proto-Antarctic Circumpolar circulation (proto-ACC) associated with the
157 gradual Drake Passage opening (⁴⁵) and the Tasman Seaway widening (^{46,47}) followed the
158 EECO CO₂ and temperature decline (post 47 Ma). Therefore circulation changes are unlikely
159 to have been the main drivers of the $\delta^{13}\text{C}$ and CO₂ decoupling within the EECO.

160

161 Alternatively, this decoupling could arise from multiple changes in carbon sources and sinks.
162 Volcanic carbon emissions could have been associated with a nearly neutral atmospheric $\delta^{13}\text{C}$
163 signal while still elevating CO₂ concentrations, such as the case of metamorphic degassing of
164 carbonates, whereas the positive $\delta^{13}\text{C}$ excursion can be explained by enhanced burial of $\delta^{13}\text{C}$
165 depleted organic carbon ⁴⁸. Although the amount of organic carbon burial across the early
166 Eocene remains debated^{49,50}, the most striking evidence for organic carbon burial increase is
167 the S isotope record obtained from foraminifera calcite⁵¹ (Fig. 3) and sedimentary barite^{52,53},
168 which reveals a sharp increase in $\delta^{34}\text{S}$ of seawater sulfate starting at ca. 52 Ma and is
169 potentially linked to a change in the locus of organic carbon burial and an increase in the
170 burial of organo-sulfides^{51,52,54}.

171

172 Global mean temperature and climate sensitivity

173 Regardless of the causes of the evolution of CO₂ through the early Cenozoic, our new CO₂
174 record clearly resembles long-term deep-sea and surface seawater temperature (SST) records
175 as compiled in Ref. ^{1,2,38-40,55}, (see Methods, Fig. 4). To further explore the relationship
176 between CO₂ and the global mean temperature evolution during the Eocene, we first
177 computed GMT (Methods). However here, rather than using multi-site, non-continuous
178 foraminiferal δ¹⁸O records ⁸, which have also been shown to be impacted by diagenesis ^{56,57},
179 we use the continuous TEX₈₆-SST record from the equatorial Pacific (ODP 959) ¹ and the
180 model simulations with the NCAR Community Earth System Model version 1 (CESM 1) in
181 Ref. ¹, which provide a transfer function from SST at ODP 959 to a global mean in four
182 specific time windows (54-49 Ma, 48-46 Ma, 42-42 Ma, 38-35 Ma; Supplementary Fig. 2).

183

184 The relative change in climate forcing (W m⁻²) within the Eocene attributable to CO₂
185 change relative to preindustrial (PI) CO₂ (278 ppm) (ΔFCO₂) is calculated using the
186 formulation of Ref. ⁵⁸. Earth System Sensitivity (ESS), defined as the mean temperature
187 response to all radiative perturbations ⁵⁹, can then be computed from the change in global
188 mean temperature relative to preindustrial (ΔGMT), using the equation:

189

$$190 \text{ ESS} = \Delta\text{GMT}/\Delta\text{FCO}_2 * 3.87 \text{ (1)}$$

191

192 where the 3.87 W m⁻² expresses the ESS as the temperature change due to a CO₂ doubling.
193 However, to isolate the climate change due purely to changes in CO₂, we must first account
194 for the influence of paleogeography and solar constant on GMT. To do this we subtract a
195 time variant correction following Ref. ⁸ estimated to ~0.5 °C in the late Eocene and 1.5 °C in
196 the early Eocene (Supplementary Data 2). Finally, we provide an estimate of Equilibrium
197 Climate Sensitivity (ECS) by accounting for the contribution to Eocene GMT of the changes
198 in the land-ice sheets (equivalent to 1.5± 0.5 °C, Ref.^{60,61}), a slow-climate feedback not
199 considered in climate models (PALAEOSENS⁵⁹). To calculate ECS in this way we use
200 equation (1), but we first subtract from GMT the estimated temperature changes due to solar
201 constant, paleogeography, and ice sheets (Fig. 5a, Supplementary Data 2). Note that we do
202 not provide any corrections for other greenhouse gasses. Finally, to examine the robustness of
203 our findings to our chosen record of GMT we use an independent alternative approach for
204 calculating GMT from Ref. ⁸ using foraminiferal δ¹⁸O (Fig. 5c).

205

206 Recently, a number of studies have focused on non-linearities of the climate system during
207 the Eocene, such as those related to changes in paleobathymetry affecting ocean area and
208 deep water formation⁸, and short-wave cloud feedbacks linked to cloud microphysics,
209 amplifying surface warming through changes in clouds⁶. Here we compare our GMT vs.
210 ΔFCO_2 relationship for the Eocene to climate model derived relationships for different
211 boundary condition and processes (Fig. 5b). Largely independent of the approach used for
212 calculating GMT, the majority of our reconstructions fall within the range of Paleogene
213 simulations in Refs. ^{6,7}. Our time-evolving record of ECS (and ESS) through the Eocene,
214 even when considering the large uncertainty it inherits from the individual GMT and CO_2
215 values used for its calculation, shows that the highest ECS estimates occur consistently
216 during the warm intervals of the Eocene, such as the PETM, ETM2, EECO and MECO, and
217 progressively decline towards the EOT (Fig. 5a).

218

219 The declining ECS for the Eocene, and the overlap between our early Eocene climate
220 sensitivity estimates and the model output of Ref. ⁶ (Fig. 5b), provide a strong confirmation
221 of state dependency of ECS likely driven by changes in cloud-microphysics⁶. This finding is
222 robust to the uncertainties in final estimates of ECS as it is present in all processing scenarios
223 we consider which largely influence our estimates of absolute ECS, not the pattern of its
224 evolution through time. The decrease in GMT that we observe post 39 Ma (Fig. 5b),
225 however, is not sufficiently described by this early Eocene model, implying that non- CO_2
226 boundary conditions may be playing a role in changing climate at this time, such as changes
227 in paleogeography and/or associated changes in ocean circulation, and the presence of ice
228 sheets^{8,47,62-65}.

229

230 Our new compilation of $\delta^{11}\text{B}-\text{CO}_2$ from planktonic foraminifera from multiple open ocean
231 sites provides a comprehensive picture of the evolution of CO_2 through the Eocene, greatly
232 improving on recent CO_2 compilations (Ref.³, Supplementary Fig. 3) and allowing for the
233 first direct comparison with high resolution records of climate variability. Our
234 reconstructions, while still underlining the importance of CO_2 in driving the evolution of
235 Eocene climate, provide evidence of strong non-linearities between climate and CO_2 forcing,
236 related to both cloud feedbacks for the early-mid Eocene, and changing paleogeography and
237 ice sheets for the late Eocene. This reveals climate-state dependent feedbacks and elevated
238 ECS operated during the warmest climates of the last 65 million years.

239 **METHODS**

240 **Site information and age models.** Boron isotopes ($\delta^{11}\text{B}$) from mono-specific samples of
241 planktonic foraminifera were obtained from a number of deep-sea, open-ocean Paleogene-age
242 core locations (Fig. 1). Sites ODP 865 and ODP 1258 and 1260 were positioned in
243 subtropical/tropical paleolatitude and Sites IODP 1407/1409 was likely within temperate
244 latitudes (Fig. 1), and all sites were located within deep-bathyal water depths throughout the
245 Eocene above the calcite compensation depth (CCD)^{44,66-68}. Age models for IODP
246 1407/1409 and ODP 1258/60 were updated to Ref.³⁹ timescale.

247
248 The age-depth model used for site 865B (Supplementary Table 1 and Supplementary Fig. 4)
249 in this study was based on that from Ref.⁶⁹, with refinements in this study including re-
250 adjustment to the GTS2012⁷⁰ timescale. The model uses a linear fit⁷¹, but it is solely based
251 on planktonic foraminiferal events (excluding nannofossils), because of suspected winnowing
252 bottom water currents that may have mobilized the fine fraction containing nannofossils,
253 making them suspect. We only used datums for which GTS2012 ages were available and in
254 which we had significant confidence (Supplementary Table 1), such as those without obvious
255 signs of reworking.

256
257 At Sites IODP 1407 and 1409 the planktonic foraminifera exhibit *glassy* test textures and
258 appear minimally influenced by post-depositional recrystallization⁶⁸, while at ODP
259 1258/1260 and ODP 865 the foraminifera specimens are *frosty* in appearance^{57,72}, indicative
260 of partial or complete recrystallization, with the most altered site being ODP 865, without
261 hampering identification of individual species. Nevertheless, it has been shown that at least at
262 ca. 40.3 Ma, ODP 865 $\delta^{11}\text{B}$ of planktonic and benthic foraminifera are indistinguishable from
263 that of glassy, well-preserved foraminifera from the Tanzania Drilling Project (TDP)⁷³.

264
265 Records of $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$ displayed in Fig. 2 to Fig. 4 were generated from ODP Sites 1258,
266 1262, 1263, 1265 and 1267 and 1209 in Ref.^{38,40,55,74-81}, on the GTS2012 and Ref.³⁹ age
267 models.

268
269 **Sample preparation.** Approximately 3 mg of 73 mono-specific planktonic foraminiferal
270 carbonate samples of a narrow size fraction (Supplementary Data 1) were separated from 2-
271 10 cm of core material for tandem analyses of boron isotopes and trace element composition.
272 Identification of planktonic foraminifera followed Ref.⁵⁷, and samples were cleaned

273 following established methods⁸²⁻⁸⁴. Trace element to calcium ratios were determined as in
274 Ref. ⁸⁴ and Al/Ca ratios were typically <150 $\mu\text{mol/mol}$ signifying efficient surficial clay
275 removal during the foraminiferal cleaning procedure ⁸⁴. For all core sites used in this study,
276 there was no relationship between Al/Ca $\mu\text{mol/mol}$ and foraminiferal $\delta^{11}\text{B}$ measurements,
277 suggesting that any clay remnants did not bias the measured $\delta^{11}\text{B}$ values¹⁰.

278

279 **Mg/Ca analyses, temperature reconstructions.** Trace element to calcium analyses were
280 carried out using a Thermo Scientific Element XR sector-field inductively-coupled-plasma
281 mass spectrometer (SF-ICPMS) at the University of Southampton. The long-term precision
282 (2 s.d.) of an in-house carbonate standard was 2% for Mg/Ca (mmol/mol) and Al/Ca
283 ($\mu\text{mol/mol}$). Seawater temperature was estimated from each sample using foraminiferal
284 Mg/Ca ratio on an aliquot of the same solution used for $\delta^{11}\text{B}$ analyses, assuming Eocene
285 seawater Mg/Ca of $2.2 \pm 0.1 \text{ mol/mol}$ ^{2,4} and Mg/Ca-temperature calibration sensitivity was
286 adjusted based on the seawater Mg/Ca value¹⁵. The temperature uncertainty is set to a range
287 of $\pm 2 \text{ }^\circ\text{C}$ and it is fully propagated into our carbonate system estimates (see below).

288

289 **Relative $\delta^{11}\text{B}$ offsets.** Identification of planktonic foraminiferal depth habitats used in this
290 study are based on relationships between stable isotope foraminiferal geochemistry and
291 ecology in relationship to $\delta^{11}\text{B}$ offsets (e.g. Ref. ^{4,10,10,9}, and references therein). Additional
292 foraminifera species used here (*Morozovella aragonensis*, *Acarinina quetra*, *A.*
293 *pentacamerata*, *M. crater*, *A. cuneicamerata*, *A. pseudosphaerica*) were cross-calibrated
294 against previously known species (*A. pseudotopilensis*, *A. praetopilensis*, *A. soldadoensis*,
295 *Guembeltrioides nuttalli*, *Pearsonites broedermanni*) for their $\delta^{11}\text{B}$ behaviour collected from
296 the same time interval and core site ^{4,5,11,17}, and site-specific species offsets in $\delta^{11}\text{B}$ were not
297 identified. In site ODP 865, the $\delta^{11}\text{B}$ composition of *Turborotalia cerroazulensis*, *T. frontosa*,
298 and *T. ampliapertura* are offset from the mixed layer species *A. rohri*, *A. praetopilensis* and
299 *A. topilensis* by on average 1.02 ± 0.04 (2 s.e., n=3) ‰, confirming previous estimates for *T.*
300 *ampliapertura* ⁴, but showing less of an offset for the species *T. cerroazulensis* compared to
301 TDP ⁴, thus we used the site specific offset here for this species, propagating the uncertainty
302 of this offset correction through the calculations. Sites 1407 and 1409 are dominated by *A.*
303 *bullbrookii* in the late Eocene which recorded variably lower $\delta^{11}\text{B}$ values than known shallow
304 mixed layer species and so were excluded from the time series compilation. For consistency,
305 we have included previously published $\delta^{11}\text{B}$ records generated from planktonic foraminiferal
306 species we have tested for relative vital effects and interspecies offsets in our timeseries.

307 Therefore, we excluded the *M. velascoensis* record of the PETM¹⁷, since this species is
308 randomly offset from our tested species *A. soldadoensis* when comparing five samples from
309 site 1209 and at similar ages ($\Delta\delta^{11}\text{B}_{M.velascoensis - A.soldadoensis} = 0.8 \pm 0.6 \text{ ‰}$ (2 s.d.)⁹). Also, both
310 *G. index* and *G. kugleri* records of the MECO¹¹ are excluded, because the former showed
311 variable habitat depth and $\delta^{11}\text{B}$ offsets in TDP⁴, and the latter is not sufficiently tested for
312 within site inter species offsets.

313

314 **Boron isotope proxy and analyses.** Boron isotopes in planktonic foraminifera have been
315 used extensively to reconstruct past ocean pH and thus CO₂ concentrations e.g. 4,10,84,85. Here
316 we use the Thermo Scientific Neptune multicollector ICP-MS at the University of
317 Southampton. External reproducibility of $\delta^{11}\text{B}$ analyses is calculated from the long-term
318 precision of consistency standards, and two relationships depending on the amplifiers used
319 for the Faraday cups;

320

321 For 10¹² amplifiers: $129600 * e^{(-212 * ^{11}\text{B}(\text{Volts}))} + 0.339 * e^{(-1.544 * ^{11}\text{B}(\text{Volts}))}$ (2)

322 For 10¹¹ amplifiers: $2.251 * e^{(-23.01 * ^{11}\text{B}(\text{Volts}))} + 0.278 * e^{(-0.639 * ^{11}\text{B}(\text{Volts}))}$ (3)

323

324 The seawater boron isotopic composition ($\delta^{11}\text{B}_{\text{sw}}$) for the Eocene has been estimated in Ref. 4
325 based on two scenarios, one involving no vital-effect corrections (38.2 to 38.7 ‰) and one
326 using the modern surface dwelling *Trilobatus sacculifer*¹⁹ $\delta^{11}\text{B}$ calibration (38.6 to 38.9‰).

327

328 For the targeted Eocene planktonic foraminiferal species, $\delta^{11}\text{B}$ vital effects as observed in
329 modern (extant) species are likely not applicable⁴. If vital effects are present in Eocene
330 foraminiferal $\delta^{11}\text{B}$, these only played a minor role^{4,17}, supported by the demonstration that
331 during periods of reduced $\delta^{11}\text{B}_{\text{sw}}$, vital effect corrections on $\delta^{11}\text{B}$ are also reduced¹⁴,
332 especially for when targeting small size fraction foraminifera as in this study (Supplementary
333 Data 1). Nonetheless, we also apply the modern *T. sacculifer* calibration¹⁹ (for the 300–355
334 μm size fraction), adjusting the intercept of the calibration to Eocene-specific $\delta^{11}\text{B}_{\text{sw}}$ as
335 described in Ref¹⁴ (*T. sacculifer* intercept = 1.748 for average $\delta^{11}\text{B}_{\text{sw}}$ = 38.75 ‰).

336 This provides an upper limit on potential $\delta^{11}\text{B}$ vital effects in the Eocene planktonic
337 foraminifera selected here. Notably, our calculated pH and CO₂ estimates for both
338 approaches are largely within uncertainty (Supplementary Data 1).

339

340 **Second carbonate parameter.** After computing seawater pH using Eocene $\delta^{11}\text{B}_{\text{sw}}$ and
341 foraminiferal $\delta^{11}\text{B}$, an additional carbonate parameter is required to calculate CO_2
342 concentrations at any given seawater salinity and temperature. Here, the second parameter
343 we use is the surface oceanic saturation of calcite (surface $\Omega_{\text{calc}} = [\text{Ca}]_{\text{sw}} * [\text{CO}_3^{2-}]/K_{\text{sp}}$),
344 estimated at different paleolatitudes⁴. For IODP 1407/1409, Ω_{calc} is estimated at 4.5 ± 1 , for
345 ODP 865 and ODP 1258/1260 Ω_{calc} is estimated at 6.5 ± 1 , for the re-processing of the $\delta^{11}\text{B}$
346 data of¹⁰ from DSDP 401 we used $\Omega_{\text{calc}} = 5.5 \pm 1$, and for the data from ODP1209/1210 and
347 ODP 1265 in Ref.⁹ we used $\Omega_{\text{calc}} = 6$ and $4.5 (\pm 1)$, respectively. In support of the narrow
348 range of potential Ω_{calc} , a variety of carbon cycle modelling studies of the early Cenozoic
349 oceans show that surface water Ω_{calc} remains, within ± 1 , essentially constant and independent
350 of model boundary conditions^{16,85,86}.

351

352 **Monte Carlo pH-CO₂ estimates from planktonic foraminiferal $\delta^{11}\text{B}$.** We followed
353 established methods to calculate seawater pH and CO_2 from foraminiferal $\delta^{11}\text{B}$ ¹²⁻¹⁴.
354 Atmospheric CO_2 was calculated using a Monte Carlo approach to solve the relevant
355 carbonate system equations with 10,000 iterations, deriving mean, upper and lower bounds of
356 95% of the simulations. We use the seawater Ca and Mg concentrations and salinity
357 constraints in Ref.⁴ and the equation in Ref.^{12,13} to correct for ion pairing. For each CO_2
358 estimate, the Mg/Ca derived temperature from the same aliquot was used, with a ± 2 °C
359 uncertainty. All simulations were iterative assuming Gaussian distribution of these
360 parameters within the stated 2 sigma error envelope of the mean. Note that a Gaussian
361 distribution is not applicable to $\delta^{11}\text{B}_{\text{sw}}$ because there is equal likelihood that it lay between
362 the minimum and maximum constraints; we therefore applied a uniform probability $\delta^{11}\text{B}_{\text{sw}}$
363 for the Monte Carlo simulations.

364

365 **GMT calculations.** We convert the ODP 959 TEX_{86} SST record of Ref.¹ to a global mean
366 temperature (GMT), employing previously published model simulations with the NCAR
367 CESM version 1 with CAM 4¹, which essentially provides a transfer function from SST at
368 ODP 959 to a global mean in four specific time windows (54-49 Ma, 48-46 Ma, 42-42 Ma,
369 38-35 Ma; Supplementary Fig. 2). The regression is then:

370

$$371 \text{ GMT} = 0.91(\pm 0.04) * \text{SST (ODP 959, TEX}_{86}) - 6.66 (\pm 1.3) (1 \text{ s.d.}) (4)$$

372

373 Previous model simulations of ocean temperature are consistent with both proxy estimations
374 of SST and deep-sea temperatures at multiple locations ¹. It is important to note that the
375 calculation does not depend on the climate sensitivity of the model, just the relationship
376 between local and global temperature. The resulting relationship between GMT and SST
377 from ODP 959 is then interpolated for the remaining part of the TEX₈₆ record in Ref. ¹,
378 resulting in a time-resolved GMT record for the Eocene (Fig. 5c). A similar GMT record is
379 generated when the same approach is applied to the tropical SST compilation ^{1,2,57,65,87-93}
380 summarized in Ref ¹ and Fig. 4, albeit with greater noise possibly the result of inconsistencies
381 in tuning the transfer function for multiple sites and for different time intervals of the curve
382 (Supplementary Fig. 2 and 5). The agreement between GMT records estimated from ODP
383 959 compared to the tropical-multi site compilation confirms that this approach is not
384 dependent on the regional temperature, as long as the tie points are able to capture the major
385 variations in each time series. The relevant uncertainty for each estimate of GMT (Fig. 5,
386 Supplementary Data 2) is the product of 1,000 realization of TEX₈₆-temperature
387 reconstruction and analytical uncertainty ¹, randomly sampled within its 95% CI uncertainty
388 envelope, including the standard errors of the regression (Supplementary Fig. 2).

389

390 **Data availability.** The authors declare that all data supporting the findings of this
391 study are available within the Supplementary Information and Supplementary Data files
392 associated with this manuscript.

393

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395

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651

652 **Author contributions**

653 E.A. conducted the boron and trace element analyses, calculations and drafted the
654 manuscript. E.H.J., P.F.S. prepared foraminifer samples and provided taxonomic and age
655 model expertise. P.N.P. led the taxonomy and foraminifera and age model selection. A.R.
656 provided constrains on carbon cycling. T.L.B. with T.B.C. completed a subset of IODP 1409
657 boron isotope and elemental analyses. D.J.L. advised on climate sensitivity calculations, and
658 D.J.L., R.D.P. and G.L.F. assisted on refining the discussion section. All co-authors
659 contributed to the final text.

660

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664

665 **Competing interests.** The authors declare no competing interests.

666

667 **Figure 1: Paleo-location of sites used in this study.** Base map generated from www.odsn.de
668 for the early Eocene.

669

670 **Figure 2: Compilation of $\delta^{11}\text{B}$ and $\delta^{18}\text{O}$ derived records for the Eocene.** **a.** Seawater pH
671 from the new $\delta^{11}\text{B}$ data presented here (black squares) and compiled from the literature (see
672 panel for appropriate references), all listed in Supplementary Data 1, **b.** calculated
673 atmospheric CO_2 from the data shown in panel a, the LOESS fit (green line) and 95 %
674 confidence (orange shading) (see Methods for details), **c.** $\delta^{18}\text{O}$ from benthic foraminifera are
675 based on compilations (see Methods for individual references). Error bars in panel a and b
676 are 95% confidence. Intervals of time referred to in the text are shown as blue bars in panel c,
677 labelled with appropriate acronym.

678

679 **Figure 3: Early Eocene weathering, organic carbon burial, and circulation changes.** **a.**
680 The CO_2 record as in Fig. 2. **b.** The marine $^{187}\text{Os}/^{188}\text{Os}$ compilation from Ref. ²⁷. **c.** the
681 marine $^{87}\text{Sr}/^{86}\text{Sr}$ record from Refs ^{30,32,94}. **d.** The marine $\delta^7\text{Li}$ are from Ref ³³, with red
682 symbols/line updated to the same age model as for the CO_2 and $\delta^{18}\text{O}$ data³⁹. **e.** The marine
683 $\delta^{34}\text{S}$ are from Ref. ⁵¹ (red circles), with the updated age model to GTS2012 (green circles)
684 from Ref. ⁵³. **f** and **g.** marine carbonate $\delta^{13}\text{C}$ and $\delta^{18}\text{O}$, color coding refers to the same
685 references as in Fig. 2c. Purple bar envelopes the $\delta^{34}\text{S}$ increase, and “N” indicates the

686 preceding CIE within C23n.2nH1³⁹. Light blue bar indicates the timing of temperature and
687 CO₂ decline after the EECO. Green light bar indicates the timing of potential circulation
688 changes in the early Eocene, as demonstrated in the $\delta^{13}\text{C}$ record of panel f. Overlying solid
689 black and yellow bars represent the timing of volcanism²¹⁻²⁶.

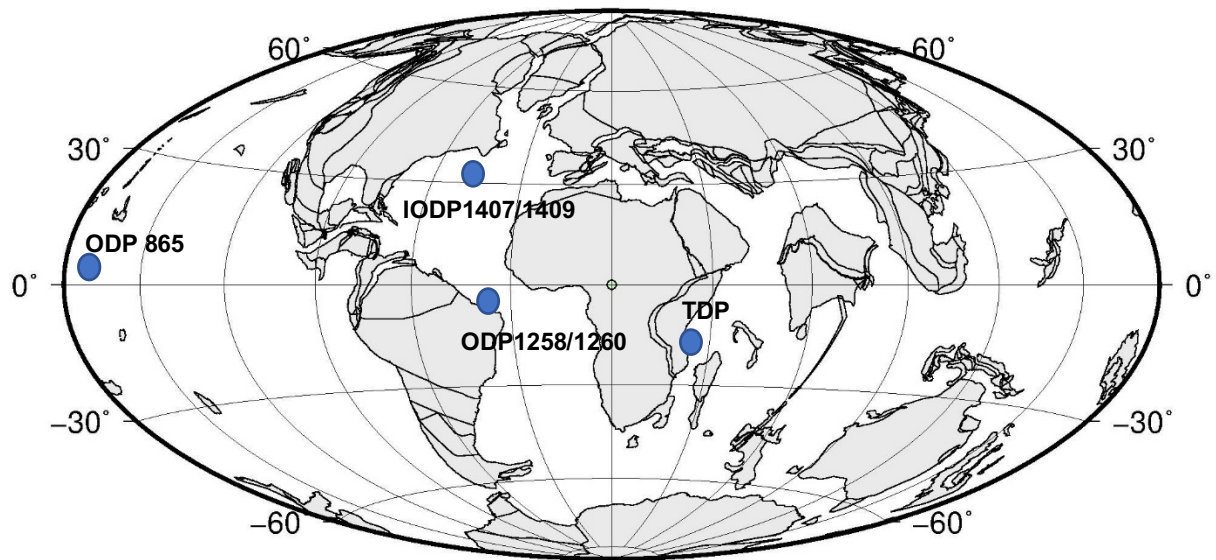
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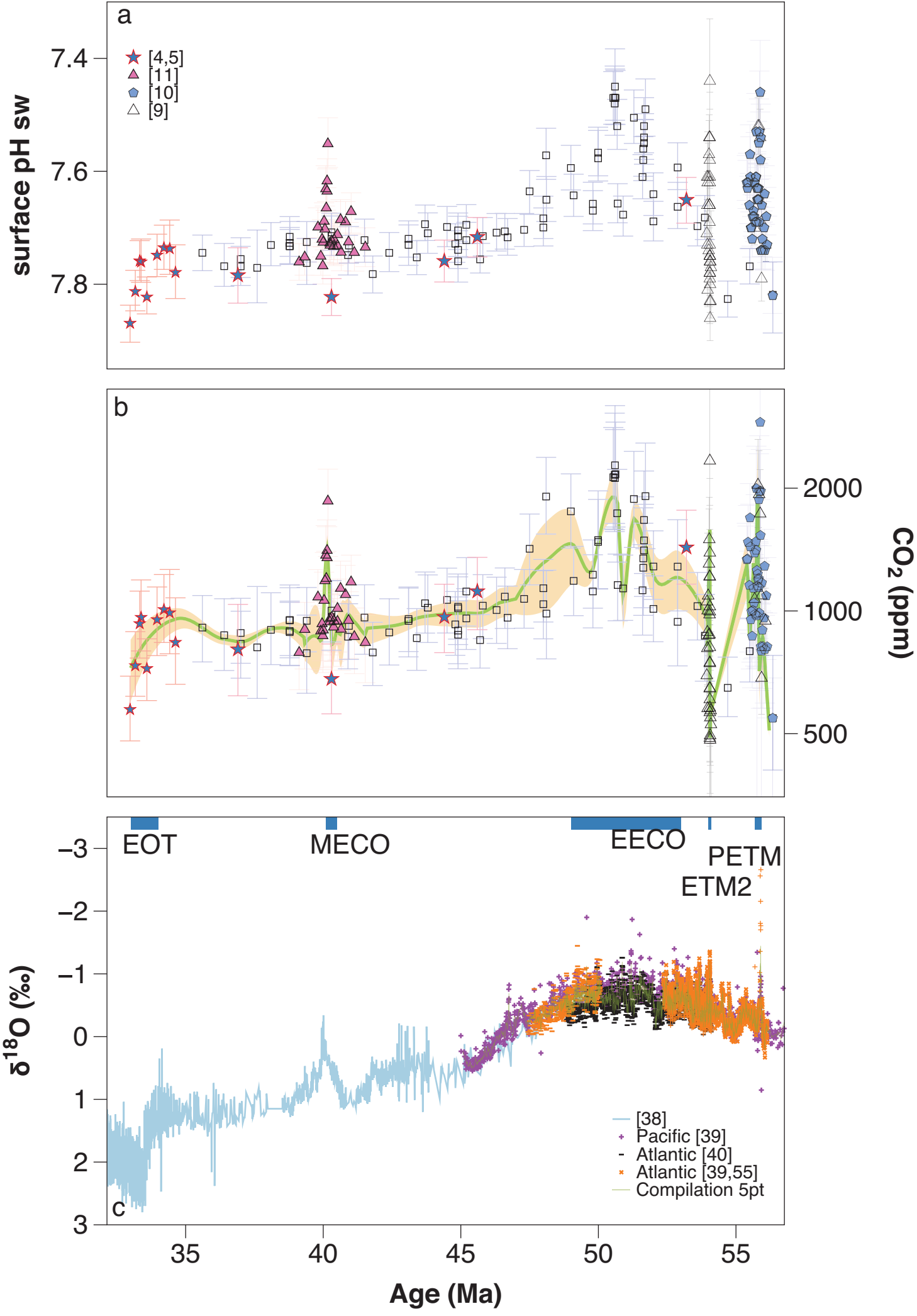
691 **Figure 4: Comparison of the $\delta^{11}\text{B}$ -derived CO₂ to temperature records.** **a.** CO₂
692 compilation as in Fig. 2a, **b.** Sea surface temperature (SST) records, as compiled in Ref¹ (see
693 Methods for the list of references used in the compilation). Purple dotted line connects the
694 TEX₈₆ record from ODP 959¹. **c.** Benthic foraminifera $\delta^{18}\text{O}$ (related to deep water
695 temperature) as in Fig. 2c.

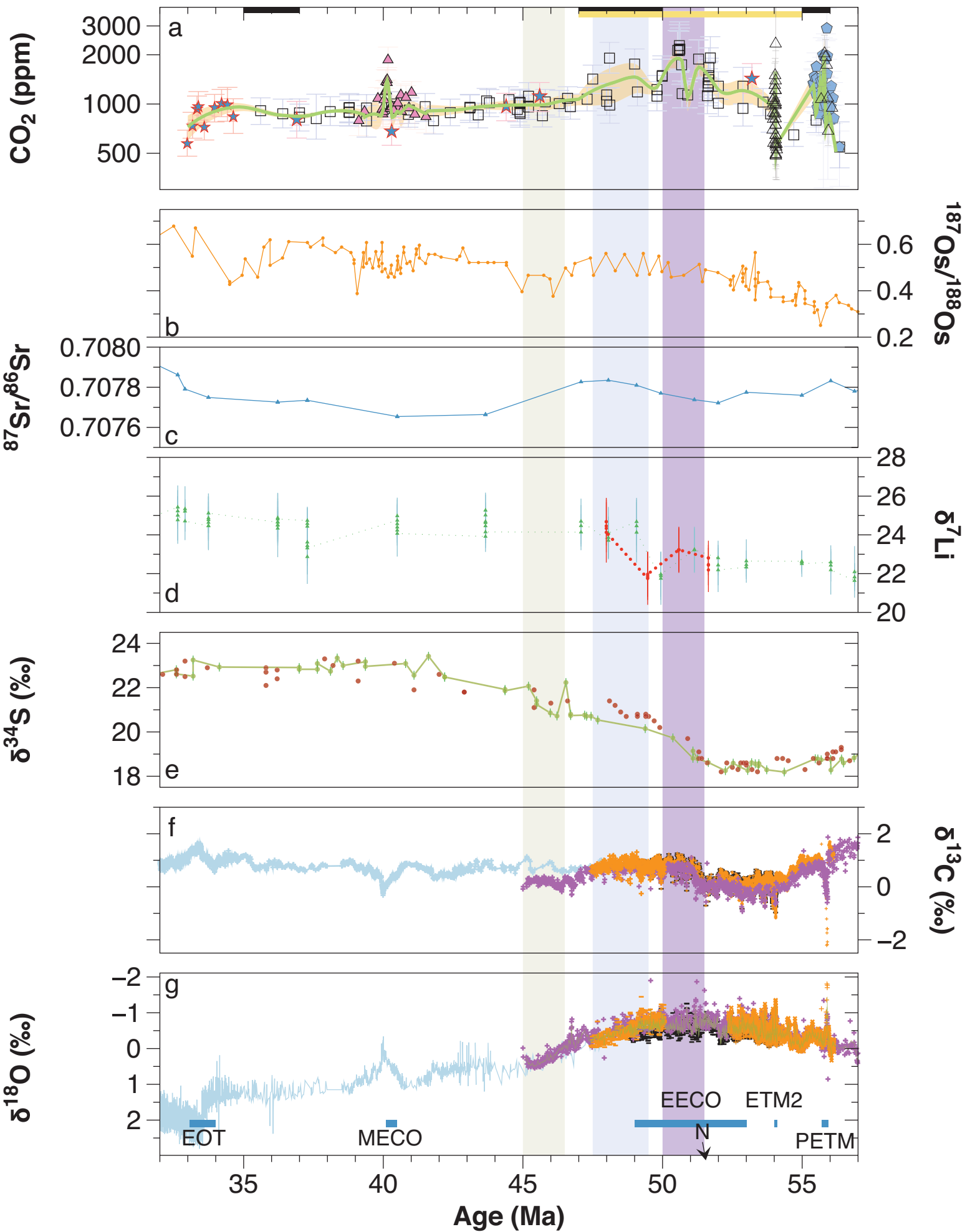
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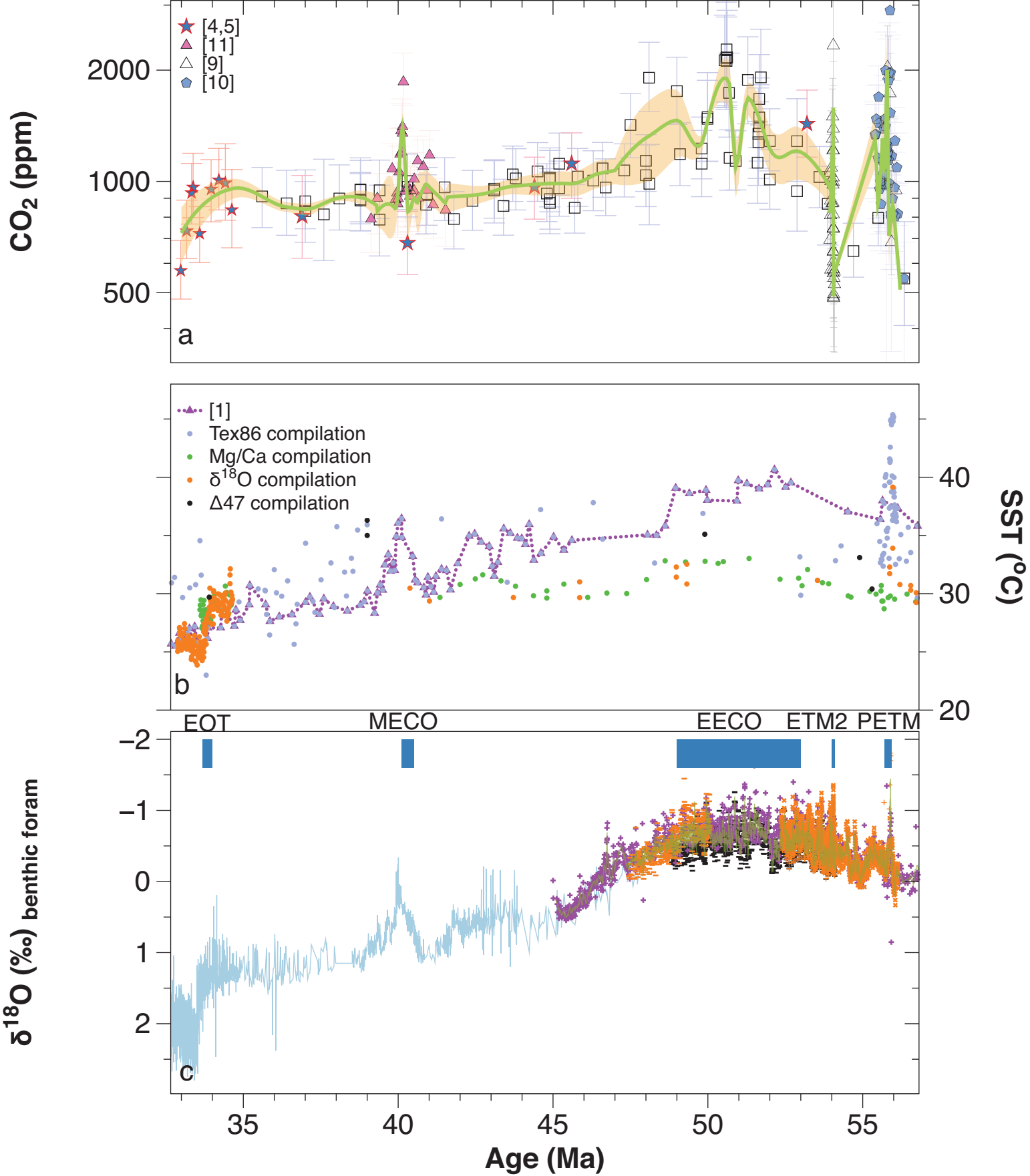
697 **Figure 5: Evolving climate sensitivity for the Eocene.** **a.** calculated ESS (red triangles and
698 error envelope), and ECS (blue triangles and error envelope). See text for relevant
699 methodology. Orange area represents the IPCC range in ECS⁹⁵, and the pink highlighted
700 area the updated 20th century ECS with the addition of state-of-the-art cloud physics⁹⁶.
701 Circles represent estimates from Ref. 8. **b.** Data-model inter-comparison, with all diamonds
702 representing data. Open diamonds are the data between 39-34 Ma, and orange filled
703 diamonds the EOT. Circles⁷, and squares⁶ are all model derived relationships (PI =
704 preindustrial). Uncertainties and error envelopes represent 1 s.d. of Monte Carlo propagated
705 uncertainties. **c.** Evolving GMT relationship for the Eocene. GMT is calculated using the
706 BAYSPAR TEX₈₆ record from ODP 959. Error bars represent the calibration and analytical
707 uncertainty on TEX₈₆. For comparison, the GMT estimates from Ref. 8 are presented with
708 open symbols and red error bars.

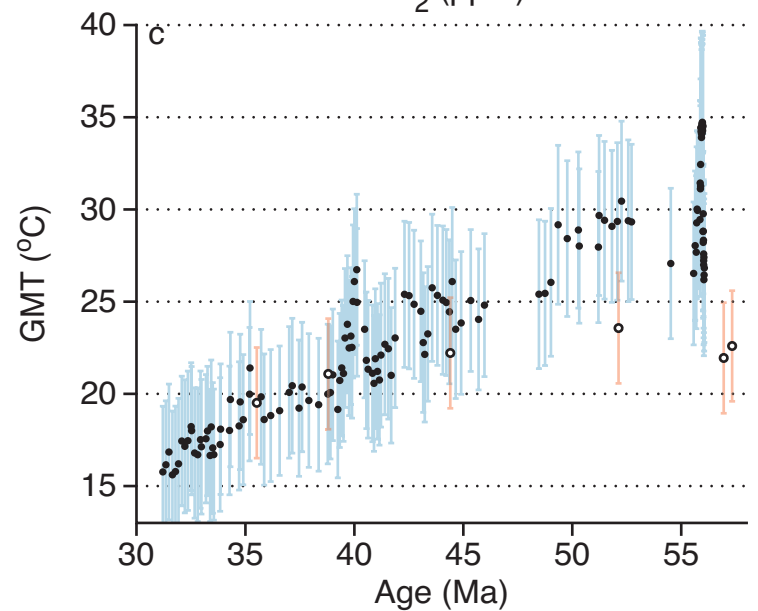
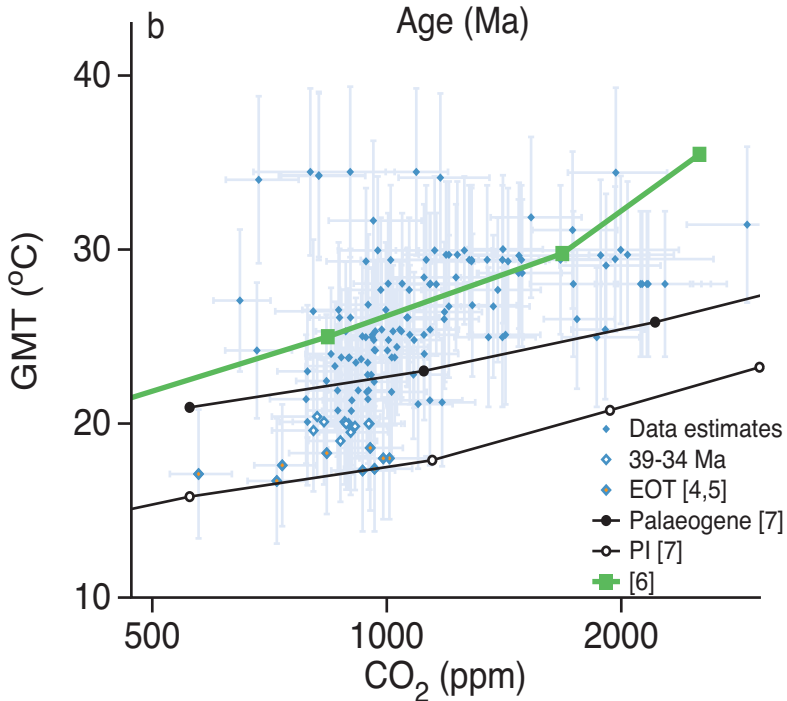
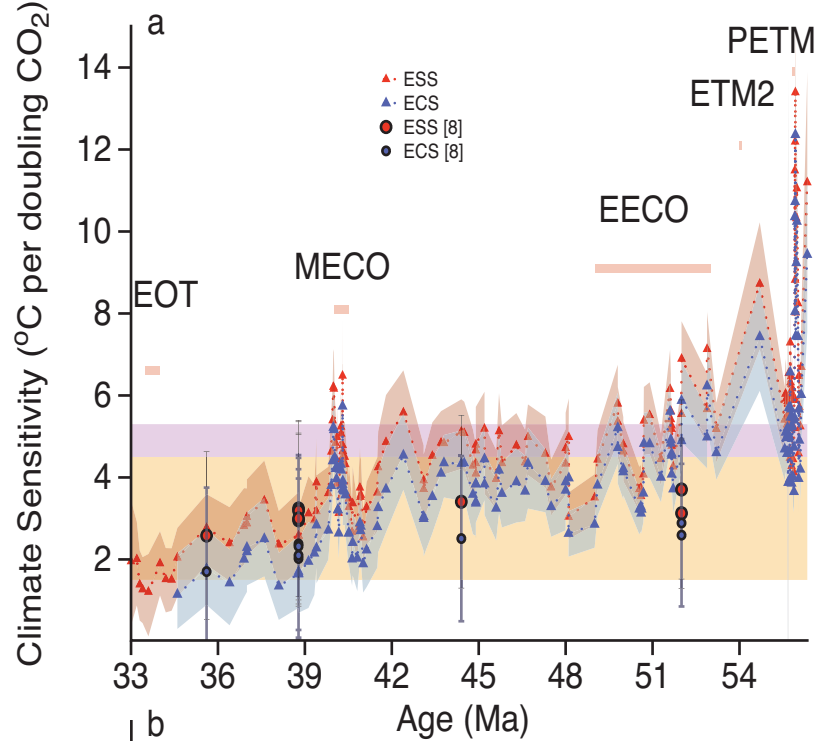
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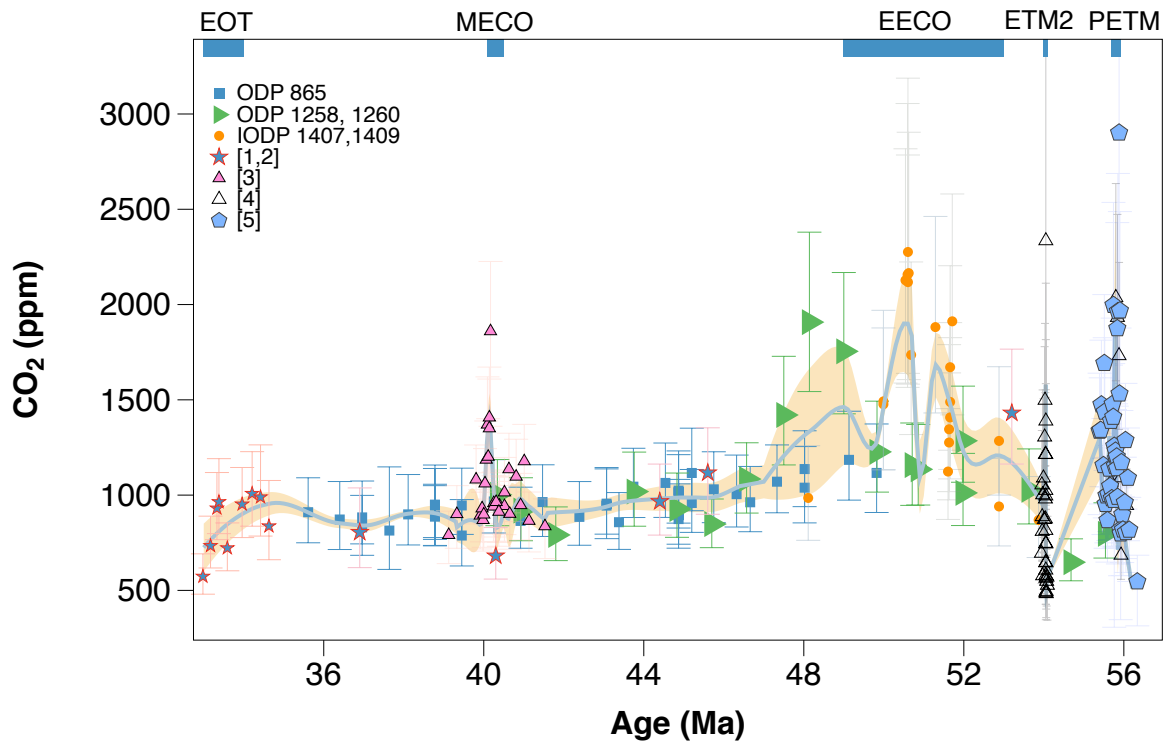




Supplementary Information

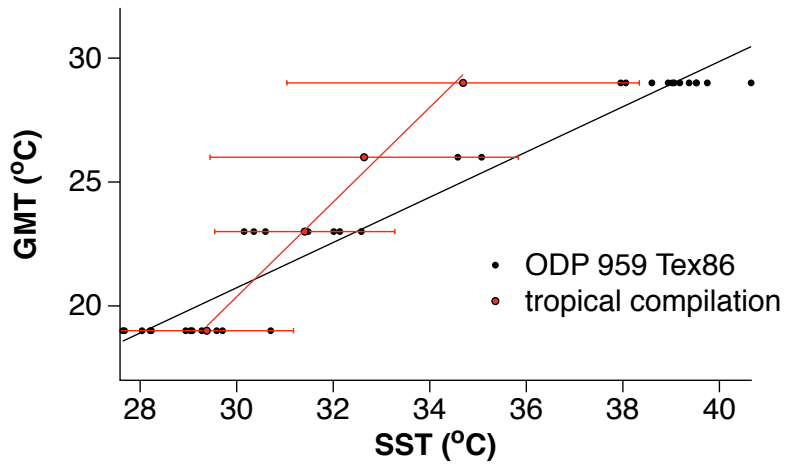
Proxy evidence for state-dependence of climate sensitivity in the Eocene greenhouse

by Anagnostou et al.

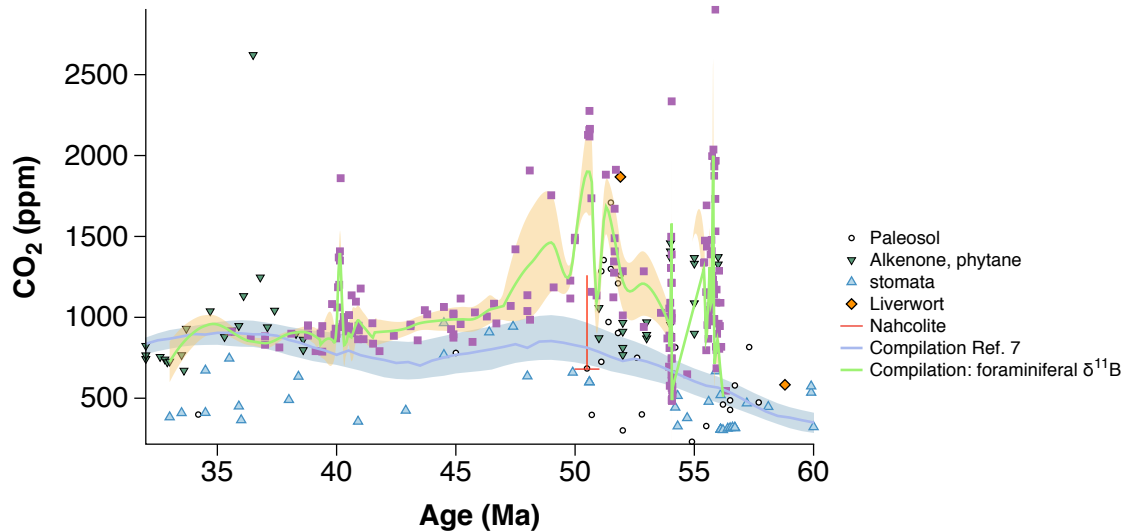


Supplementary Figure 1: Compilation of multi-site $\delta^{11}\text{B}$ -derived CO_2 for the Eocene.

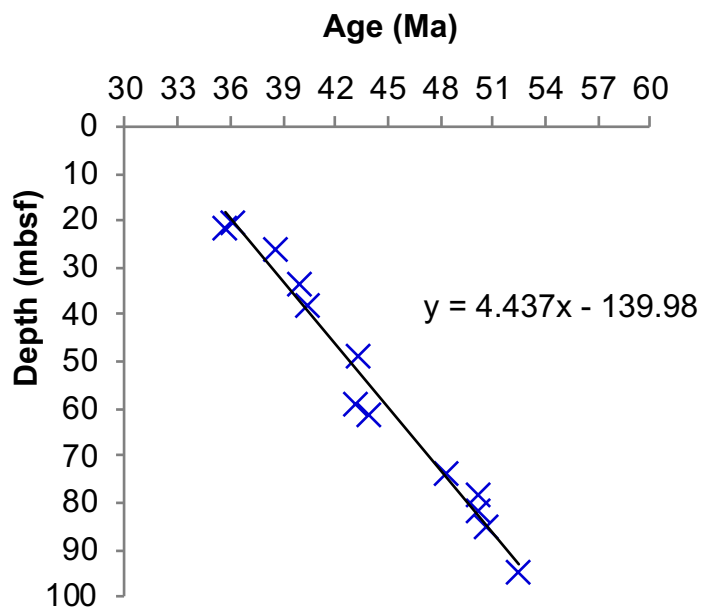
The Middle Eocene Climatic Optimum (MECO)³, Eocene Thermal Maximum 2 (ETM2)⁴ and Paleocene-Eocene Thermal Maximum (PETM)^{4,5} records were reprocessed, as described in the Methods, to be consistent with the rest of the data in the time series. The line and shaded region represent LOESS curve and its 95% confidence. All data and errors are summarized in Supplementary Data 1 and 3.



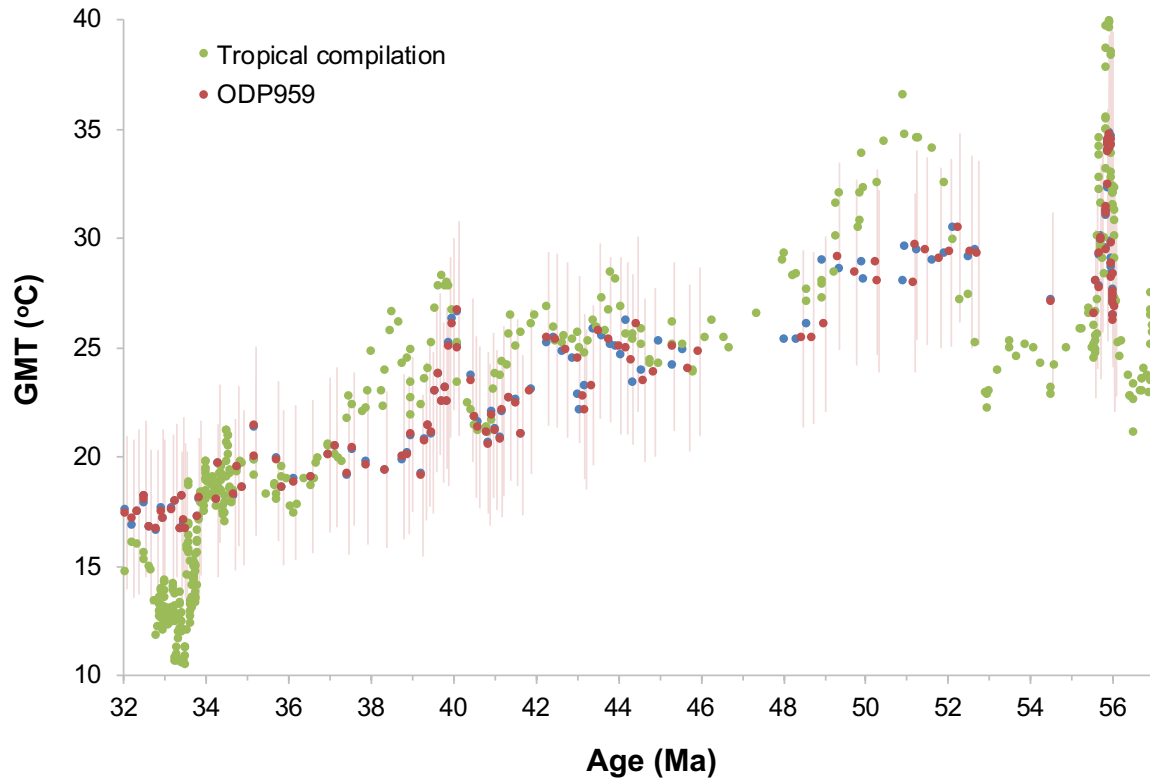
Supplementary Figure 2: Transfer functions between Global Mean Temperature (GMT) tie points and either the ODP 959 TEX₈₆ record (black), or the tropical Sea Surface Temperature (SST) compilation (red). All data are summarized in Ref. ⁶, and also shown in Supplementary Fig. 5.



Supplementary Figure 3: Compilation of CO₂ records for the Eocene. Data are from the compilation in Ref. ⁷, including the new $\delta^{11}\text{B}$ -derived CO₂ in this study and in Refs. ³⁻⁵, phytane-CO₂ ⁸, and stomata-CO₂ ⁹. Note that the Nahcolite estimates best represent a minimum in atmospheric CO₂. Lines and shaded envelopes represent LOESS curves and their 95% confidence for the compilation in Ref. ⁷ (purple and blue) and the compilation from foraminiferal $\delta^{11}\text{B}$ in this study (green and orange).



Supplementary Figure 4: Age model used for ODP Site 865B in this study. The model is based on a linear fit through planktonic foraminiferal datums of Ref. ¹⁰ as modified here (Supplementary Table 1).



Supplementary Figure 5: Derived GMT time series for the Eocene. Brown symbols are based on the ODP 959 TEX₈₆ record⁶. The green circles are based on the tropical compilation of Ref. ⁶, which includes Ref. ^{6,11-20}. Brown symbols are on the Ref. ²¹ age model. Blue symbols are the same as the brown but on the GTS2012 ²² age model, demonstrating the magnitude of potential misalignment due to the age model chosen. Error bars represent 1 s.d. uncertainties.

Planktonic foraminifera datum	Mean datum depth (mbsf)	Age (Ma) GTS2012 (\pm 0.169)
Top <i>Globigerinatheka semiinvoluta</i> ¹⁰	20.35	36.18
Base <i>Turborotalia cunialensis</i>	21.81	35.71
Base <i>G. semiinvoluta</i>	26.3	38.62
Top <i>Orbulinoides beckmanni</i> ¹⁰	33.63	40.03
Base <i>O. beckmanni</i> ¹⁰	37.91	40.49
Top <i>Morozovella aragonensis</i>	48.88	43.26
Base <i>M. lehneri</i>	59.25	43.15
Base <i>G. kugleri</i>	61.28	43.88
Base <i>T. frontosa</i> ¹⁰	73.94	48.31
Base <i>Astrorotalia palmerae</i> ¹⁰	78.15	50.2
Base <i>Acarinina cuneicamerata</i>	81.81	50.2
Top <i>M. subbotinae</i>	85.35	50.67
Base <i>M. aragonensis</i>	94.85	52.54

Supplementary Table 1: Age model for ODP Site 865B. Planktonic foraminiferal datums, mean datum core depths, and GTS2012 datum ages.

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