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3 Rethinking swimming performance tests for bottom-dwelling fish: the case of
4 European glass eel (*Anguilla anguilla*)

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14

15 Abstract

16 Systematic experiments on European eel (*Anguilla anguilla*) in their juvenile, early life stage (glass eel),
17 were conducted to provide new insights on the fish swimming performance and propose a framework of
18 analysis to design swimming-performance experiments for bottom-dwelling fish. In particular, we coupled
19 experimental and computational fluid dynamics techniques to: (i) accommodate glass eel burst-and-coast
20 swimming mode and estimate the active swimming time (t_{ac}), not considering coast and drift periods, (ii)
21 estimate near-bottom velocities (U_b) experienced by the fish, rather than using bulk averages (U), (iii)
22 investigate water temperature (T) influence on swimming ability, and (iv) identify a functional relation
23 between U_b , t_{ac} and T . Results showed that burst-and-coast swimming mode was increasingly adopted by
24 glass eel, especially when U was higher than 0.3 ms^{-1} . Using U rather than U_b led to an overestimation of the
25 fish swimming performance from 18% to 32%, on average. Under the range of temperatures analyzed (from
26 $8 \text{ }^\circ\text{C}$ to $18 \text{ }^\circ\text{C}$), t_{ac} was strongly influenced and positively related to T . As a final result, we propose a general
27 formula to link near-bottom velocity, water temperature and active swimming time which can be useful in
28 ecological engineering applications and reads as $U_b = 0.174 \cdot (t_{ac}^{-0.36} \cdot T^{0.77})$.

29

30 Keywords:

31 Swimming performance, European eel, glass eel, near-bottom velocity, water temperature, swimming time

32

33 Introduction

34 Fish capacity for swimming has profound ecological importance in determining survival. Swimming
35 performance is a crucial factor that influences predator-prey interactions, reproduction, migration, habitat
36 shifts and dispersal [1]. Fish employ different swimming modes ranging from nomadic cruising over long
37 distances to rapid short bursts. In particular, Beamish [2] identified three categories of fish swimming modes:
38 sustained, prolonged and burst. Sustained swimming can be maintained for long periods ($> 240 \text{ min}$, or $>$
39 200 min in Brett [3]) and is fueled aerobically. Prolonged swimming is also fueled aerobically but is of
40 shorter duration than sustained ($20 \text{ s} - 200 \text{ min}$) and results in fatigue. Burst swimming enable fish to quickly
41 reach their highest speed through anaerobic respiration, but can be maintained only for short periods ($< 20 \text{ s}$)
42 [1]. Sustained and prolonged swimming modes are used by highly migratory species or those that must swim
43 to maintain position in the water column. Burst swimming is used by fish to flee from predators or, during
44 migration, to pass obstacles, such as man-made river-infrastructure, which cause abrupt increases in flow
45 velocity. Within this context, man-made river-infrastructure, such as dams, weirs and culverts, may block or
46 delay fish migration and contribute to population decline [4-7]. Therefore, to design and evaluate fish passes
47 that enable fish to overcome such barriers, a reliable and ecologically-relevant measure of fish swimming
48 performance is required [1,8-10].

49 The swimming performance of several fish species has been quantified and compared using so-called
50 swimming-curves (also called fatigue-curves) relating the time a fish can swim continuously against a stream
51 and the velocity of the stream itself. Historically, these curves have been obtained from experiments
52 conducted using either constant [2] or incremental [1] velocities while the fish is constrained in a swim
53 chamber (i.e. a pressurized flow without free-surface), and forced to swim against the moving water. Under
54 these conditions, fish tend to hold position against the current, until they reach exhaustion, namely the
55 condition corresponding to fish being impinged against a downstream screen and unable to escape. The

56 duration the fish can swim before exhaustion is recorded and related to the velocity of the flow. The constant
57 (or fixed) velocity method requires the velocity to be kept constant throughout the experiment whereas the
58 incremental method involves progressive increases in flow velocity after arbitrarily-chosen time spans. The
59 constant velocity method is in general more time-consuming than the incremental velocity approach, but
60 considered to be more straightforward and informative [10], being less biased by differences in the chosen
61 testing protocols. Indeed, fish swimming tests, carried out with incrementally-increased flow velocity,
62 usually differ from one another in defining both incremental velocity steps (e.g., from 0.5 to 1 fish body
63 length/s) and the prescribed duration for each increment (from 10 to 60 min, [1]).

64 In general, the flow velocity experienced by fish during swimming tests is commonly assumed to be,
65 implicitly or explicitly, identical to the average cross-sectional velocity. This average velocity value is
66 estimated by dividing flow discharge by the channel cross-sectional area [1,11-13], or by measuring
67 velocities in one cross-section or at one single point in the channel [14,15]. Rarely [10,16,17], the
68 heterogeneity of the flow field is taken into consideration and measured. In channel flows and swim
69 chambers, though, the velocity field is not homogeneous; a boundary layer always develops near the walls
70 and the thickness of it varies according to the wall roughness, the Reynolds number and the streamwise
71 distance over which the boundary layer develops (e.g., just after a flow straightener or a mesh screen).
72 Several fish-endurance tests [14-16] report that, very often, fish tend to swim close to the channel walls and
73 corners for a large amount of time as they attempt to utilize lower velocity-regions and save energy [17,18].
74 Therefore, using an average velocity, and not taking into account variations in the flow field caused by solid
75 walls, may lead to an overestimation of the velocity experienced by fish and, hence, the fish swimming
76 performance.

77 Fluctuations in swimming velocity, and the relative power expenditure, are important additional components
78 of swimming performance [16]. Depending on flow conditions, many species employ different swimming
79 strategies, e.g., steady or continuous swimming at moderate speeds, sprint or burst-and-coast at high speeds
80 [7,19,20]. Burst-and-coast swimming consists of alternating phases of active swimming and gliding and is
81 used by fish to reduce power expenditure when swimming at high constant velocities [14,19,21]. These
82 fluctuations in swimming velocity can be influenced by the experimental setup, with the inhibition of
83 intermittent locomotion when small swim chambers relative to fish size are used, resulting in earlier fatigue
84 of fish and conservative estimates of swimming performance [14]. Longer open channel flumes may be used
85 to provide more realistic measures of swimming capability of unconstrained fish that are able to exhibit
86 performance enhancing behaviors [19,22]. However, variability in fish swimming speed has received little
87 attention when assessing swimming performance and has been poorly taken into consideration when
88 quantifying the swimming-time during experiments [23,24].

89 Water temperature strongly influences the physiology and swimming performance of fish [25]. A widely-
90 accepted assumption is that performance traits, such as oxygen consumption, metabolic rate or locomotion,
91 peak at an optimum temperature, and cease at some critical minimum and maximum threshold (fish thermal
92 limits, [26,27]). To a lesser extent, beside fish physiology, water temperature also influences water viscosity
93 and hence the viscous drag-forces experienced by fish while swimming, which, in turn, influences the
94 energetic costs for locomotion and, ultimately, swimming performance. This is particularly relevant for small
95 fish or fish at larval life-stages [28], for which viscous drag dominates over pressure drag. These
96 temperature-effects on fish swimming performance have been mentioned as important, but, they are rarely
97 taken into consideration (see e.g., [28-30]).

98 In this study, we investigate the swimming performance of European eel (*Anguilla anguilla*) in its juvenile,
99 early life stage (glass eel). The European eel is a catadromous, bottom-dwelling fish that spawns in the
100 Sargasso Sea, and as larvae (leptocephali) spend between one to three years drifting with currents across the
101 Atlantic Ocean before metamorphosing into the transparent “glass eel” stage on reaching the European coast.

102 On entering estuaries they continue to metamorphose into pigmented elvers and embark on an upstream
103 migration until they reach a place of residence and become yellow eel. The European eel is a critically
104 endangered species; stocks have declined by 90-99% since the 1980s [31], and one of the key reasons for this
105 is the fragmentation of rivers caused by the installation of man-made structures in many water-courses [4].
106 To design effective solutions that allow glass eel to by-pass such structures, it is crucial to have reliable and
107 quantitative information on their swimming performance in moving water [32].

108 Previous studies of the swimming performance of juvenile European eel [12,23,33-36] provide inconsistent
109 results [15,35]. Clough and Turnpenny [33] proposed a burst velocity value equal to 0.41 ms^{-1} , that is lower
110 than the value proposed by McCleave [23], which is 0.54 ms^{-1} , or the value of 0.80 ms^{-1} provided by
111 Tsukamoto et al. [12]. Solomon and Beach [35] argued that these inconsistencies may be explained by
112 juvenile eel body-size and water temperature effects. Nonetheless, as for other fish species, fish swimming
113 curves of glass eel have been derived using average cross-sectional velocities in the flume and total-time to
114 fatigue, without taking into account their swimming position during these tests and burst-and-coast
115 swimming behavior. These can all be considered as significant shortcomings because it has been observed
116 that juvenile eel tend to swim in the near-bed flow regions [6,23,32], and may display alternating phases of
117 active swimming and gliding [23].

118 To quantify the swimming performance of glass eel, our study reports swimming curves that were derived by
119 processing data obtained from flume experiments and Computational Fluid Dynamics (CFD) simulations.
120 Results are presented to identify to what extent swimming curves depend on: (i) active swimming time (i.e.
121 the total time fish actually swam, excluding coast and drift periods) versus total-swimming time to fatigue;
122 (ii) flow velocity experienced by the fish in the near-wall versus average cross-sectional velocities; and (iii)
123 water temperature during experiments. The results are then discussed and elaborated to identify and explain
124 (iv) the observed scaling-relation that link flow velocity, time to fatigue and water temperature for glass eel.

125 All these findings provide a rigorous framework of analysis that can be employed as a benchmark to design
126 new experiments for bottom-dwelling fish and a general formula to be employed in ecological engineering
127 applications, such as the evaluation of the migration potential of glass eel in rivers [32] and the development
128 of Agent Based Models to predict glass eel dispersal in river networks.

129

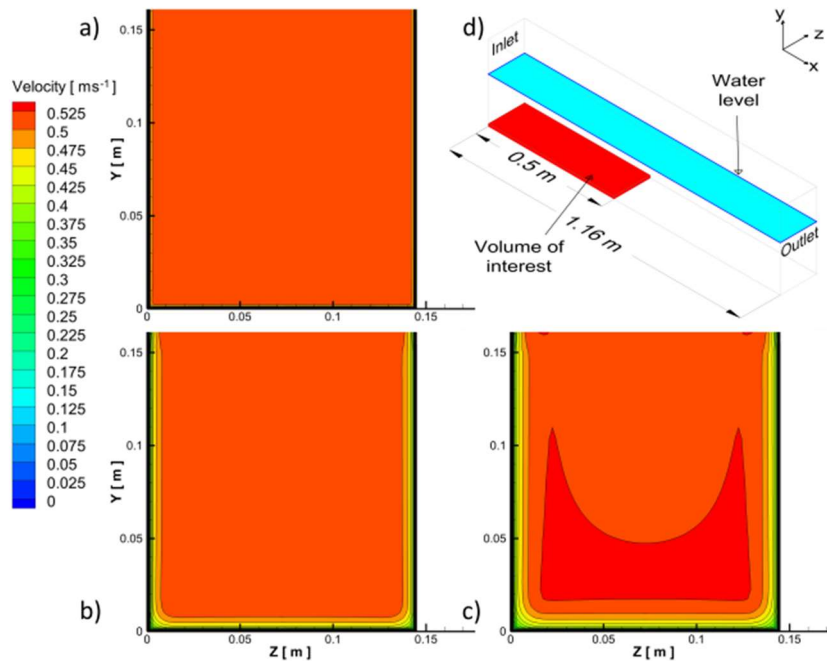
130 Results

131 From the CFD model, the flow fields were, on average, fairly homogeneous over the vast majority of the
132 channels' cross-section, with exception of the near-walls flow region which was subjected to the presence of
133 a boundary layer, whose thickness increased with increasing the downstream distance from the screen
134 (Figure 1). For the vast majority of the time, eel swam: (i) near the channel bed (on average over 91% of the
135 active swimming time, $SD = \pm 8 \%$), (ii) within the first 50 cm of the upstream section of the channel (on
136 average over 93% of the active swimming time, $SD = \pm 6 \%$), and (iii) across the channel bed from one
137 channel side-wall to the other. Glass eel were thus observed to swim primarily in a specific "control volume"
138 (highlighted in red in Figure 1d), defined as 50 cm long, 3 mm high (equivalent to the average body
139 thickness of the glass eel used in the experiments) and over the whole width of the channel. The large
140 majority (95%) of the tested eel swam for less than 30 minutes (upper time-threshold set for each trial).
141 Considering this upper time-threshold, values of 30 minutes were observed only in a few treatments, when
142 water temperature was equal to 15°C and 18°C , thus not influencing the median value of swimming times
143 used in the analysis.

144

145 Active swimming time

146 Burst-and-coast swimming strategy was increasingly observed when average cross-sectional velocity
147 increased (Figure 2a). Slight significant difference (t-test, $p = 0.09$) between total (t_f) and active (t_{ac})
148 swimming times was observed for $U > 0.3 \text{ ms}^{-1}$. This implies that the swimming curves pertaining to t_f and
149 t_{ac} (dashed-grey lines) can be considered different in terms of both intercept and slope. Since t_{ac} is a more
150 rigorous estimate of the actual swimming time and the total power used by eel when holding their position
151 against a current, data pertaining to t_f were disregarded for further analysis.

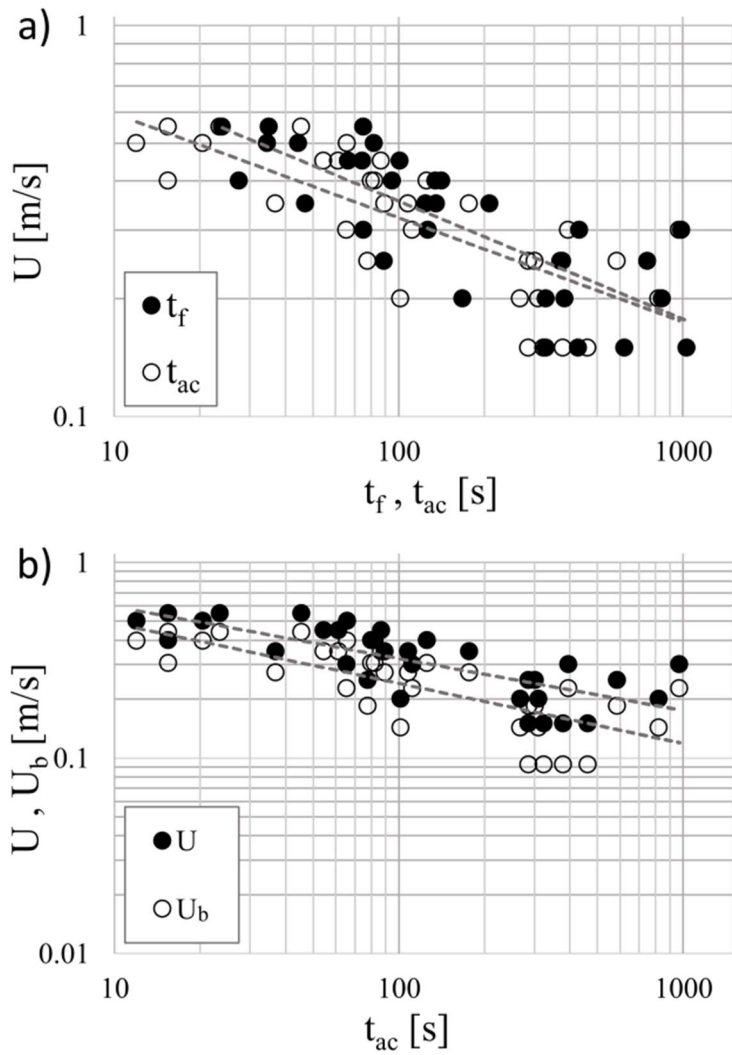


152

153 **Figure 1.** Velocity magnitude using the CFD k- ϵ model for $U = 0.5 \text{ ms}^{-1}$ condition at a distance of (a) 0.04
154 m, (b) 0.29 m, and (c) 0.44 m from the upstream screen. Volume of interest (d), highlighted in red, used to
155 estimate swimming velocities; the selected rectangular cuboid has a length 0.5 m, height 0.003 m and
156 equivalent width as the channel.

157 Velocity experienced by the fish in the near-wall region

158 Near-bottom velocity (U_b) experienced by fish was calculated by averaging computed velocities from the
159 CFD model. In the water volume of interest, U_b was lower than its bulk counterpart (U) (Figure 2b). A
160 significant difference in intercept (t-test, $p < 0.05$) between the swimming curves pertaining to the two
161 different velocities was evident and quantified to be in the range 18-32%. The slope of the two swimming
162 curves pertaining to t_f and t_{ac} were similar (t-test, $p \gg 0.10$) and equal to -0.3 and -0.27, respectively. Since
163 glass eel swam near the channel bed, and the difference between U_b and U was significant, swimming-curves
164 were calculated using U_b and not the average cross-sectional velocity U .



165

166 **Figure 2.** Swimming performance data (symbols) and curves (dashed-grey lines) expressed in log-log scale;
 167 t_f , and t_{ac} are the total and active swimming time; U and U_b are the average cross-sectional velocity and the
 168 near-bottom velocity, respectively. Note that in both panels (a) and (b), the influence of temperature on
 169 swimming performance is not considered, with data aggregated for all temperature treatments. Swimming
 170 curves reported in panel (b) are expressed as $U = 1.099 \cdot t_{ac}^{-0.266}$ and $U_b = 0.990 \cdot t_{ac}^{-0.307}$.

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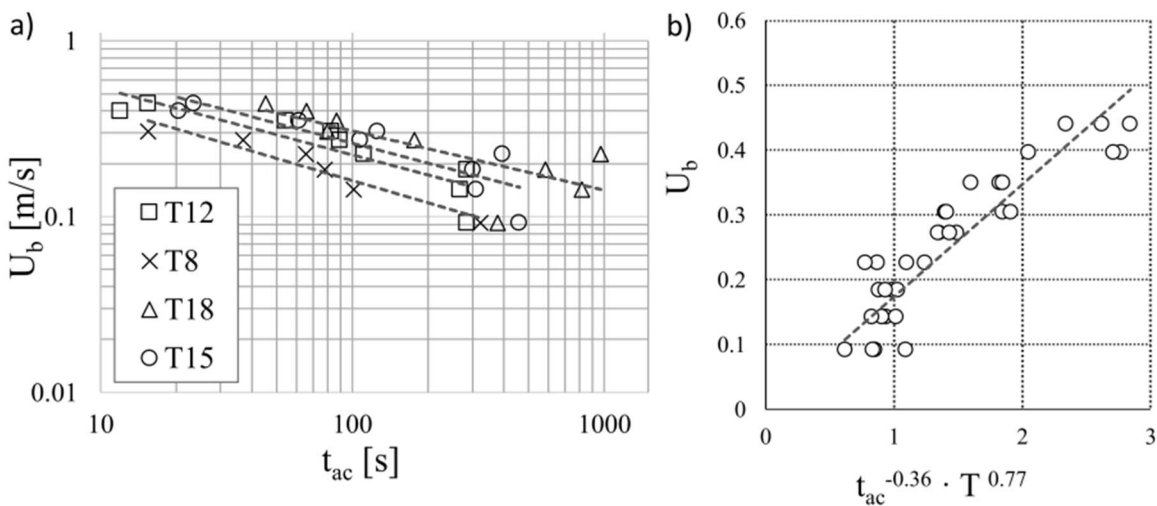
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173 Scaling-relation among flow velocity, time to fatigue and water temperature

174 Swimming curves as relations between U_b and t_{ac} were computed for each temperature (Figure 3a), showing
 175 a strong influence by and a positive relation to water temperature. Swimming curves showed high coefficient
 176 of determination (R^2) equal to 0.92, 0.78, 0.76 and 0.60 for treatments at temperatures $T = 8, 12, 15, 18$ °C,
 177 respectively. Although there was no difference (t-test, $p \gg 0.10$) between the estimated power-law slopes,
 178 (equal to 0.421, 0.380, 0.377, 0.338 for $T = 8, 12, 15, 18$ °C, respectively) the intercepts significantly
 179 differed between trials conducted at 8 °C and 12 °C, 8 °C and 15 °C, 8 °C and 18 °C and between 12 °C and
 180 18 °C (t-test, $p < 0.05$). Furthermore, no difference in terms of intercepts was observed between trials
 181 conducted at 12 °C and 15 °C, or between those at 15 °C and 18 °C (t-test, $p \gg 0.10$).

182 Fitting a multiple regression line, using the near-bottom velocity (U_b) experienced by glass eel as dependent
 183 variable (y-var), and the active fish swimming time (t_{ac}) and water temperature (T) as independent variables
 184 (x-var), led to the identification of a mathematical relation among the three physical quantities. The multiple
 185 regression exponents were computed as -0.36 and 0.77 for t_{ac} and T , respectively, with a coefficient of
 186 determination $R^2 = 0.81$. The obtained function $f(t_{ac}^{-0.36} \cdot T^{0.77})$ was linearly related to U_b as follows:

187
$$U_b = 0.174 \cdot (t_{ac}^{-0.36} \cdot T^{0.77}). \quad (1)$$



188

189 **Figure 3.** Swimming curves for different water temperatures. Regression lines represent (a) swimming
 190 curves obtained at different temperatures $T = 8, 12, 15, 18$ °C, and (b) the functional relationship among flow
 191 velocity at the channel bed (U_b), the active fish swimming time (t_{ac}) and water temperature (T) as outlined by
 192 equation 1. Swimming curves reported in panel (a) are expressed as $U_b = 1.113 \cdot t_{ac}^{-0.421}$, for $T = 8$ °C;
 193 $U_b = 1.295 \cdot t_{ac}^{-0.380}$, for $T = 12$ °C; $U_b = 1.486 \cdot t_{ac}^{-0.377}$, for $T = 15$ °C; $U_b = 1.464 \cdot t_{ac}^{-0.338}$, for $T =$
 194 18°C.

195

196 Discussion

197 The ability to accurately estimate swimming performance is crucial to predict whether river infrastructure is
 198 likely to negatively impact fish movement (see e.g., [25]). This is particularly relevant for the conservation
 199 of endangered species, including the European eel that strongly depends on longitudinal movements between
 200 the ocean and rearing habitat within rivers and streams. As eel are catadromous, it is the juvenile life-stage
 201 that embarks on the upstream migration, and, due to their small size, the rate and extent of their movement is

202 restricted by their swimming performance and ability to negotiate in-stream barriers such as weirs and
203 barrages [15,37].

204 Current approaches used to estimate the swimming performance of glass eel suffer from several major
205 limitations, including the selection of representative flow velocities and accommodation of temperature
206 effects on fish swimming time-to-fatigue. Specifically, the commonly-employed average cross-sectional
207 velocity is not representative of the hydrodynamics experienced by glass eel, since this fish swims close to
208 the channel bed, where the flow velocity is lower. Moreover, water temperature may have an important
209 influence on swimming performance, which generally peaks at an optimum temperature, and ceases at some
210 critical minimum and maximum threshold. To address these shortcomings, the present study integrated
211 constant velocity swimming-performance tests with Computational Fluid Dynamics (CFD) to facilitate the
212 reliable estimation of the velocities experienced by eel in the near-wall region. The developed CFD model
213 was relatively simple to calibrate and allowed for the construction of the reported swimming curves. The k- ϵ
214 closure method permitted a time efficient modelling process to be adopted that enabled systematic
215 exploration of the velocity magnitude in the spatial domain with excellent resolution. To our knowledge, this
216 is the first time CFD tools are used to provide velocity data that are integrated with the results of experiments
217 on swimming performance of fish.

218 The dimensions of the channel used to test fish swimming performance can have an important influence on
219 swimming performance curves. McCleave [23] studied the swimming activity of juvenile European eel
220 (mean fish length, 7.2 cm, ranging from 6.9 to 7.5 cm) in a darkened, rectangular swimming chamber. The
221 average cross-sectional velocity U ranged from 0.25 to 0.5 ms^{-1} , whereby the total swimming time-to-fatigue
222 decreased from 146 to 16 seconds, respectively. The water temperatures in that study (ranged from 11.1–
223 13.3 $^{\circ}\text{C}$) were quite similar to our 12 $^{\circ}\text{C}$ treatment, but the overall performance was greater in our study, with
224 total swimming time (t_f) at $U = 0.25$ and 0.5 ms^{-1} being approximately 220 and 40 seconds, respectively
225 (based on regression analysis), despite the almost-identical mean fish length. The key difference between the
226 two studies was the length of swimming area, which was 1.16 m in our study (> 15 times the mean length of
227 the fish), and 0.5 m in [23]. Likewise, cross-sectional area also appears to be influential. Based on tests using
228 a swimming length of 1.8 m, Clough and Turnpenny [33] measured the swimming performance of juvenile
229 eel through a narrow, circular Perspex pipe (0.04 m diameter). Using the developed swimming curve in [33],
230 the burst swimming velocity (total swimming time equal to 20 seconds) for a 7.0 cm long juvenile eel at a
231 water temperature of 11.1 $^{\circ}\text{C}$ was 0.41 ms^{-1} . Based on the results of our study conducted at 12 $^{\circ}\text{C}$, we predict
232 glass eel to be able to swim 70 seconds at an average flow velocity $U = 0.41 \text{ms}^{-1}$. These comparisons may
233 indicate the importance of both the length and the total volume of the working section when testing
234 swimming performance in hydraulic facilities.

235 In our study, burst-and-coast swimming was increasingly observed for near-bottom velocities (U_b) exceeding
236 0.2 ms^{-1} (i.e., average cross-sectional velocity $> 0.3 \text{ms}^{-1}$). This suggests that the burst-and-coast swimming
237 mode is beneficial under higher velocities because intermittent swimming bestows energetic benefits.
238 Indeed, it is well known that gait transitions, including burst-and-coast swimming, enables recovery and thus
239 enhanced swimming performance [19,38]. Failure to provide sufficient test space can prevent the subject fish
240 from displaying behaviors that can enhance performance, resulting in conservative estimates of swimming
241 capability [19].

242 The average cross-sectional velocity is clearly not representative of swimming conditions of bottom-
243 dwelling fish, like Anguillidae, that gain energetic advantages by exploiting the low velocities characterizing
244 near-wall flow regions. The present study demonstrates that, contextually to the flow conditions explored
245 herein, differences in swimming-curve intercepts ranged between 18% and 32%. These differences are
246 essentially equivalent to the average observed differences between U and U_b , which can be explained with
247 the following scaling arguments. In open channel flows, near-bottom velocities U_b scale with the friction

248 velocity, u_* (a fundamental scaling velocity equal to the square root of the shear stress, τ_0 , divided by the
 249 water density, i.e. $u_* = \sqrt{\tau_0/\rho}$), which is related to the average velocity U via the Darcy-Weisbach friction
 250 factor f as $u_* = U\sqrt{\frac{f}{8}}$. The near bed velocities U_b were averaged over a 3 mm thick volume of water, which
 251 embraces the so-called viscous sub-layer, the buffer sub-layer and, for some experimental conditions it may
 252 capture the logarithmic layer [39]. This is easy to demonstrate by scaling the height of the averaging volume
 253 (i.e. 3 mm) by means of the viscous length scale U/u_* . This non-dimensional height reaches, at most, the
 254 value of 73 which is indicative of a flow region within the logarithmic layer. Therefore, within the averaging
 255 volume it is fair to state that $U_b \approx O(10u_*)$ and hence [38],

$$256 \quad U_b \propto f^{1/2}U. \quad (2)$$

257 Note that the friction factor f may depend on: (i) the Reynolds number (i.e., $Re = U4R/\nu$, where U is the
 258 average cross-sectional velocity, R is the hydraulic radius defined as the ratio between the wet area and the
 259 wet perimeter of the channel cross-section and ν is the water kinematic viscosity); (ii) the relative roughness
 260 of the flow; or (iii) both, if the flow is in the hydraulically-smooth, hydraulically-rough and -transition
 261 regime, respectively. In the present paper, experiments were carried out in the hydraulically-smooth regime,
 262 therefore we can assume $f = 0.316Re^{-1/4}$ [40] and hence, from Equation 2 we obtain

$$263 \quad U_b \propto (Re^{-1/8})U, \quad (3)$$

264 where the proportionality coefficient is of order 1. From Figure 2b, it is possible to infer that the average
 265 velocity U can be expressed as

$$266 \quad U = \alpha_1 t_{ac}^{\beta_1}, \quad (4)$$

267 where $\alpha_1 = 1.099$ and $\beta_1 = -0.266$. Coupling equations 3 and 4 leads to

$$268 \quad U_b \propto \left(\frac{RU}{\nu}\right)^{-\frac{1}{8}} \alpha_1 t_{ac}^{\beta_1} = \left(\frac{R}{\nu}\right)^{-\frac{1}{8}} U^{-\frac{1}{8}} \alpha_1 t_{ac}^{\beta_1} = \left(\frac{R}{\nu}\right)^{-\frac{1}{8}} \alpha_1 \left(1 - \frac{1}{8}\right) t_{ac}^{\left(1 - \frac{1}{8}\right)\beta_1} \quad (5)$$

269 As observed in Figure 2b, equation (5) demonstrates that referring to the near-bottom velocity U_b rather than
 270 the average cross-sectional velocity U , leads to a reduction of the intercept coefficient of a factor scaling as
 271 $\left(\frac{R}{\nu}\right)^{-\frac{1}{8}} \alpha_1 \left(-\frac{1}{8}\right)$, corresponding to a 24-26% reduction, which is very similar to that observed experimentally
 272 (i.e., 18-32%, see results section). In addition, the exponent β_1 undergoes a reduction of about 1/8, i.e.
 273 12.5%, which compares very well with the 14% variation of the power-law exponents associated with the
 274 swimming curves plotted in Figure 2b and reported in the results section.

275 The active swimming time of glass eel (t_{ac}) decreases with increasing near-bottom velocity and, for a given
 276 bottom velocity, t_{ac} increases with increasing water temperature (in the range 8 -18 °C). This implies that, at
 277 higher temperatures, eel can sustain prescribed flow-velocities for longer times. Interestingly, for any
 278 temperature, U_b scales with t_{ac} as $U_b \sim t_{ac}^{\beta}$ with $\beta \cong -1/3$, on average. This may have some interesting
 279 implications which are now discussed.

280 It can be speculated that the flow resistance experienced by glass eel can be quantified as a drag force F_D that
 281 scales as $\rho C_D a U_b^2$, where ρ is the water density, C_D is the fish drag coefficient and a is the fish frontal area.
 282 The power used by glass eel (i.e. the energy spent per unit time) to hold their position against a current, can
 283 be expressed as $P = \rho C_D a U_b^3$ and the total energy spent by the fish is therefore $E = P t_{ac} = \rho C_D a U_b^3 t_{ac}$. In
 284 the experiments reported herein, eel had an almost uniform body-size (i.e. a similar frontal area a) and,

285 therefore, for a specific water temperature, ρ and a can be considered approximately as constant. For a
286 prescribed water-temperature, the drag coefficient C_D of the eel might retain some Reynolds number
287 dependence (in general, the C_D of a slender body immersed in a moving fluid reduces with increasing Re due
288 to the weakening of viscous forces with respect to pressure forces in the total drag force experienced by the
289 body), which translates, essentially, into a dependence on U_b . However, the range of Reynolds numbers
290 experienced by glass eel in the experiments presented herein is too small to induce significant variations in
291 C_D , which can therefore be considered, in good approximation, as constant. Therefore, it can be also
292 reasonably assumed that the energy spent by the eel during a fatigue experiment, scales as $E \sim U_b^3 t_{ac}$.

293 However, since the near-bottom velocity scales approximately as $U_b \sim t_{ac}^{-1/3}$ (Figure 3a), it follows that the
294 energy spent by the eel is equal to a constant which is a function of temperature only. This suggests that, for
295 a specific water temperature, the energy spent by a fish in a fatigue test is constant and independent on flow
296 intensity levels (i.e. U_b) or, in other words, this means that the swimming performance of glass eel might be
297 energy-limited. Clearly, this hypothesis needs to be further substantiated by more experimental work
298 allowing for direct measurement of oxygen (and hence energy) consumption during fatigue tests, possibly
299 carried out using the framework of analysis presented herein. It could be also important to find an
300 experimental, non-invasive technique able to track transparent glass eel while moving in flumes or
301 swimming chambers (e.g., [41], [42]). This will allow a better understanding of the link between swimming
302 speed variability at constant flow and energy consumption [16].

303 In the analyzed range of water temperatures (which represents common temperatures experienced by glass
304 eel during upstream migration, [32]) and flow velocities (Figure 3b), equation (1) can be used to design a
305 fish-pass or to evaluate its effectiveness for glass eel migration in a prescribed river reach. For instance,
306 Vowles et al. [43] proposed eel tiles as a cost-effective solution for mitigating the impacts of anthropogenic
307 barriers to juvenile eel migration. Equation (1) can be used to verify whether velocities in the fish-pass are in
308 an acceptable range, depending on the flow stage and the length of the eel tiles. Equation (1) provides also an
309 estimate of the time needed by glass eel to circumvent dams and weirs or possible delays during migration.
310 However, care would be needed in extrapolating the proposed formula to different water temperatures and
311 larger velocities, compared to those analyzed in the present study. In the domain of application of equation
312 (1), it can be speculated that U_b scales with temperature as $\sim T^\delta$, where δ is, on average, 0.77. Various effects
313 are lumped into this exponent. Overall, for one velocity, the drag force F_D may increase by decreasing
314 temperature because the density and the dynamic viscosity of water increase and this leads to increased
315 values of pressure and viscous forces, respectively. This means that, for a given near-bottom velocity U_b ,
316 water viscosity may cause the fish to get tired sooner (i.e. lower t_{ef}) at low temperatures than at high
317 temperatures. Furthermore, the temperature exponent is probably dictated by fish-metabolism. In terms of
318 temperature range and related effects on glass eel swimming performance, similar results were found by
319 other authors in the literature. For instance, Harrison et al. [32] reports that low temperatures (below 10 °C)
320 are known to reduce glass eel activity and that, in general, there is a positive correlation between temperature
321 and upstream-migration speed. Furthermore, it was demonstrated that European eel muscle contractility and
322 efficiency decrease rapidly with water temperature below 10 °C [44,45]. Therefore, low temperatures in
323 rivers may affect eel ecology through both hydrodynamics and physiology, by exerting a direct limiting
324 effect on the movement of the individual. The mechanism controlling the entire breadth of temperatures over
325 which glass eel can have the highest or the lowest swimming performance is still not clear and further
326 research is needed to extend the selected temperature range to achieve comprehensive results for this species.
327 Since European eel is widely distributed across different European climates, we highlight that further
328 investigation can be directed to better determine whether and how water temperature may affect eel
329 swimming performance in different climatic environments and in the context of climate change.

330 Methods

331 Flume description and experimental setup

332 The swimming performance tests were conducted in a hydraulic tilting flume, which was 12 m long, 0.30 m
333 wide and 0.30 m deep. The flume was longitudinally divided in half by a Perspex plate of 1 cm thickness to
334 create two identical channels (0.145 m wide) in which two trials could be conducted simultaneously (Figure
335 4). The flume was equipped to control the discharge using a hydraulic pump and a throttling valve. The
336 water depth (h), ranging from 0.12 m to 0.16 m, and channel slope, ranging from 0.05% and 0.62% were
337 adjusted to create reasonably-uniform flow conditions in the working section of the flume in each trial. The
338 working section, i.e. the volume of water in which eel were left free to navigate, was 1.16 m long and
339 screened up-and downstream by a fine square mesh (1.6 mm). The length of the working section was
340 selected based on experience gained in previous studies [11,23,35] and the range of average flow velocities
341 selected to cover the threshold between burst and sustained swimming velocities reported in literature.
342 Considering all trials, the flow depth over the entire length of the working section varied by 5 mm at
343 maximum.

344 Nine different flow conditions (with values of average cross-sectional velocity, U , between 0.15 ms^{-1} and
345 0.55 ms^{-1}) and four different water temperatures (8°C , 12°C , 15°C and 18°C) were used during the trials
346 (Table 1). Average velocities equal to 0.05 ms^{-1} and 0.10 ms^{-1} were not considered in this study since, at
347 those hydraulics conditions, glass eel could maintain position on the bottom of the channel without actively
348 swimming against the current. Water temperatures were selected as they covered possible European eel
349 critical temperatures for upstream migration in freshwaters [32]. Specifically, Gascuel [46] and Briand [47]
350 quoted upstream migration critical temperatures of between 10°C and 15°C , whereas in the UK,
351 temperatures of $10\text{--}11^\circ\text{C}$ have been demonstrated as a critical threshold for pigmented elvers ascending
352 weirs or sluices [48]. Trials in which flow velocity was $\geq 0.45 \text{ ms}^{-1}$ and water temperature was 8°C were
353 therefore excluded as the ability of glass eel to swim was greatly reduced and it was impossible to
354 distinguish between un-cooperative behavior and an inability to swim.

355 To investigate different physical parameters of interest (flow velocity, swimming time and water
356 temperature) while maintaining experimental feasibility and statistical rigour, experiments were designed
357 and conducted accommodating pragmatic trade-offs between the number and duration of each trial. For this
358 reason, in each treatment, between 8 to 10 glass eel were tested (279 in total, Table 1) and trials lasted a
359 maximum of 30 minutes or until the fish fatigued.

360

U [ms ⁻¹]	D [m]	S [%]	Re [-]	Fr [-]	Eel num. T = 8 °C	Eel num. T = 12 °C	Eel num. T = 15 °C	Eel num. T = 18 °C
0.15	0.12	0.05	2.2*10 ⁵	0.14	8	9	10	10
0.2	0.14	0.08	3.1*10 ⁵	0.17	8	9	8	8
0.25	0.15	0.13	3.9*10 ⁵	0.21	8	8	8	8
0.3	0.15	0.18	4.8*10 ⁵	0.24	8	8	8	8
0.35	0.16	0.25	5.7*10 ⁵	0.28	8	8	8	8
0.4	0.16	0.32	6.4*10 ⁵	0.32	8	8	10	10
0.45	0.15	0.41	7.1*10 ⁵	0.37	-	8	10	9
0.5	0.16	0.50	8.1*10 ⁵	0.40	-	8	8	8
0.55	0.15	0.62	8.7*10 ⁵	0.45	-	8	10	8

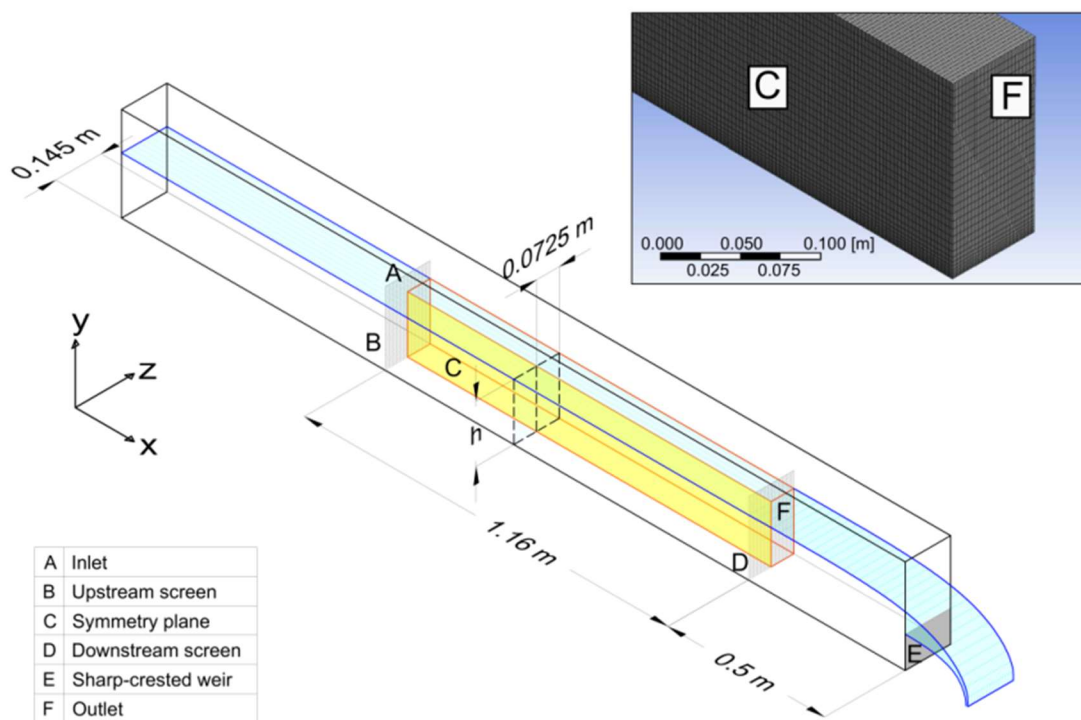
362 **Table 1.** Hydraulic parameters and number of glass eel (eel num.) used in each experiment. For each
363 treatment, represented by an average cross-sectional velocity (U), water depth (D), Slope (S), Reynolds
364 number (Re), Froude number (Fr), experiments were repeated at four different water temperatures (T = 8°C,
365 12°C, 15°C and 18°C). The Reynolds number is defined as $Re = U4R/\nu$, where R is the hydraulic radius
366 calculated as the ratio between the wet area and the wet perimeter of the channel cross-section and ν is the
367 water kinematic viscosity. The Froude number is defined as $Fr = U/\sqrt{gD}$, where g is the acceleration due to
368 gravity. Trials in which average velocities U were equal to 0.05 ms⁻¹ and 0.10 ms⁻¹ were excluded from
369 further analysis because glass eel tended to maintain position on the channel bed and not actively swim
370 against the current. Trials in which flow velocity was ≥ 0.45 ms⁻¹ and water temperature was 8°C were also
371 excluded as it was impossible to distinguish between un-cooperative behavior and an inability to swim.

372

373 Computational fluid dynamics

374 In an effort to provide a detailed description of flow field variations within the working section,
375 Computational Fluid Dynamics (CFD) was employed. This technique allowed effective representation of
376 flow velocities experienced by fish in near-wall regions and quantification of the related swimming
377 performance. The CFD model was run using the software ANSYS Fluent (Canonsburg, Pennsylvania, USA).
378 Hexahedral cells of size 10 mm in the center of the domain, refined down with a logarithmic function to 1
379 mm near the walls, were used to subdivide the domain into finite elements at which hydraulic variables were
380 numerically computed (Figure 4). The mesh size was estimated to generate mesh-independent outputs and, at
381 the same time, to decrease as much as possible the computational efforts. A k-epsilon ($k-\epsilon$) turbulence
382 closure model was selected to simulate mean flow characteristics. Although it has been shown that the $k-\epsilon$
383 model fails to predict secondary flows in open channels (see e.g., [49]), more sophisticated approaches
384 applied in this study (e.g., Reynolds stress models) showed that the secondary flow vectors did not have a
385 significant impact on the near-wall velocity magnitude. Therefore, to save computational time, the $k-\epsilon$ model
386 was employed to simulate all treatment conditions established during the trials. The CFD model also
387 accommodated variations in fluid viscosity and density due to fluctuations in temperature.

388 At the inlet, the “mass flow inlet boundary condition” was used since the discharge entering the domain was
 389 known with adequate precision. Here, the model requires boundary conditions for the turbulent kinetic
 390 energy k (m^2s^{-2}) and the turbulent dissipation rate ε (m^2s^{-3}). k was computed from direct measurements of
 391 velocity fluctuations taken in front of the upstream screen using an Acoustic Doppler Velocimeter (ADV
 392 Vectrino-Nortek, Providence, Rhode Island, USA). The turbulent dissipation rate ε (m^2s^{-3}) was estimated as
 393 $\varepsilon=U^3/l_{ms}$ using the screen mesh size l_{ms} and the bulk velocity U as the characteristic length and velocity
 394 scale, respectively. At the downstream edge of the domain, an outflow boundary condition was selected and
 395 the free surface was modelled as a symmetry plane. Finally, a longitudinal symmetry plane midway along
 396 the flume width was used to decrease the overall computational cost (Figure 4). All other domain boundaries
 397 (sides and channel bed) were set as hydrodynamically smooth walls. The model was validated by comparing
 398 CFD results with mean velocity vectors measured by the Nortek’s ADVs (sampling frequency of 25 Hz and
 399 point duration of 60 s). ADV measurements were taken at five cross-sections located at a distance of 0.04,
 400 0.29, 0.44, 0.79 and 1.06 m from the upstream screen in each channel. In the center of each cross-section,
 401 ADV measurements were taken along a vertical column at 4 locations (i.e., at 0.01 m, 0.03 m, 0.06 m and
 402 0.08 m from the bottom of the channel). Once validation criteria were verified (the velocity profile
 403 empirically measured and simulated were significantly similar, t-test $p \gg 0.10$), the simulated flow velocity
 404 data were exported from the model and used to estimate the velocity magnitude in the near-wall region.



405

406 **Figure 4.** Scheme of the working section of an experimental flume used to estimate glass eel swimming
 407 performance at the International Centre for Ecohydraulics Research, University of Southampton. Details on
 408 the dimensions of the working section, the mesh size for CFD modelling and the flume components are
 409 reported. The letter h represents selected water depth for each experiment to obtain reasonably-uniform flow
 410 conditions.

411

412 Fish capture, holding tanks and experimental procedure

413 Glass eel were captured during their upstream migration in the Pevensey Haven river, East Sussex, on the
414 night of 18 May 2016 in collaboration with the UK Environment Agency. Fish were transported in chilled
415 and aerated river water to the International Centre for Ecohydraulics Research laboratory at the University of
416 Southampton and held in a flow-through freshwater tank close to the flume. For the first 48h, water
417 temperature was maintained equal to that of the river at the capture location (12°C) to facilitate
418 acclimatization and recovery from the initial handling [2]. Glass eel showed a very small degree of
419 pigmentation and very similar body size, with total length ranging from 6 cm to 10 cm. To exclude fish
420 length effects on the estimate of the swimming performance [10], only individuals with a total length (TL)
421 between 6.5 cm and 7.5 cm (mean± SD, TL= 7.1 ± 0.3cm) were used for this study. The rest of the fish (less
422 than 10% of the total captured) were released back to the river at the capture location. After the period of
423 acclimatization and recovery, water temperature was slowly reduced to 8 °C using chillers with a rate of 1°C
424 every 12 h. In general, for each experiment, manipulation of water temperature followed the same rate of
425 change and fish were left between 48 and 60 hat the desired temperature, before any swimming tests were
426 conducted. No feeding was carried out during the experiments. The procedure for glass eel capture and
427 hosting were performed in accordance with relevant guidelines and regulations of UK Environment Agency,
428 whereas the entire experimental procure was reviewed and sanctioned by the University of Southampton
429 Animal Welfare and Ethics Review Board.

430 As the migration of juvenile eel to the tidal limit occurs mainly during periods of darkness (Harrison et al.
431 2014), all trials were conducted at night at low light levels (0-5 lux). Light level was controlled by an
432 outdoor light sensor adjusted to trigger from 5 lux. Glass eel swimming tests were continuously monitored
433 with the aid of an infrared (IR) video system. Fish swimming behavior was recorded from outside of the
434 channel, in particular from above the free-surface and from the sides through transparent glass walls.

435 Each glass eel was tested once only. Once the test hydraulic condition was reached, two glass eel were
436 carefully netted from the holding tank and one placed in each of the two flume channels downstream of a
437 plate, enabling fish to shelter from the water current at the beginning of the trial. Infrared cameras (Swann
438 1080p Bullet cameras, definition 1920x1080 pixels, 25 frame per second) and IR lighting were switched on
439 when the fish started swimming against the current and the plate was removed. To account for the burst-and-
440 coast swimming behavior the active swimming time of each glass eel was recorded by means of two
441 stopwatches (one stopwatch per channel). When the eel stopped swimming and drifted back with the flow,
442 the watch was stopped; and restarted when active swimming recommenced. The total swimming time,
443 including drift and coast times, was assessed using the recorded time of the IR video system. Due to their
444 largely transparent body it was not possible to automatically track glass eel trajectories during the trials.
445 However, using videos recorded by IR cameras, it was possible to identify in which spatial volume of the
446 domain eel swam the majority of time (above 90% of active swimming time). In cases where eel became
447 impinged on the downstream screen and ceased moving for more than 15 seconds, the trial was terminated
448 and the total and active swimming time calculated. Fish that were reluctant to swim were withdrawn from
449 the experiment (0 – 3.5% per treatment). As the flume was longitudinally divided in half by a transparent
450 Perspex plate, the two individuals could see each other. However, it is reasonable to neglect the vision-
451 induced following behavior, because (i) the light level was very low since experiments were carried out at
452 night, (ii) individuals swam close to each other for less than 1% of the active swimming time on average, and
453 (iii) the resolving power of glass eel eye is quite low (minimum separable angle of 52 min, [50]).

454

455 Statistical analyses and construction of fish-swimming curves

456 Total (t_f) and active (t_{ac}) swimming time were calculated as the median values registered among glass eel
457 tested in each treatment. Fish-swimming curves were constructed using regression analysis to quantify glass
458 eel swimming performance in relation to: (i) the total time t_f versus the active swimming time t_{ac} ; (ii) the
459 average cross-sectional velocity U versus the near-bottom velocity U_b ; and (iii) water temperature T during
460 trials. Swimming curves were expressed in logarithmic scale on both the horizontal (swimming time) and
461 vertical (flow velocity) axes. Therefore, swimming curves of the form of power law ($velocity = \alpha time^\beta$)
462 appear as straight lines in the log–log graph, with the exponent β corresponding to the slope, and the constant
463 term α corresponding to the intercept of the line [25].

464 The analysis of covariance (ANCOVA) was used to compare two or more regression lines by testing the
465 effect of a categorical factor (treatment effect) on a dependent variable in y-axis (y-var) while controlling for
466 the effect of the independent variable in the x-axis (x-var). Regression lines were therefore compared by
467 studying the interaction of the categorical factor with x-var. If the interaction was significantly different from
468 zero it meant that the effect of x-var on y-var depended on the level of the categorical factor and the
469 regression lines have statistically different slopes. Moreover, when no significant interaction with significant
470 treatment effect was observed, it meant that x-var had the same effect for all levels of the categorical factor,
471 i.e., the regression lines although parallel had significantly different intercepts. The significance level for a
472 given hypothesis t-test was selected as 0.1 for slight significance, 0.05 significance, and 0.01 strong
473 significance of a certain variable.

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475 collected the data, performed data analyses, P.V., C.M., P.K. wrote the paper, W.B. helped in designing
476 experiments and data analysis.

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