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1 **Evaluation of geochemical baselines and metal enrichment factor values through high**  
2 **ecological quality reference points: a novel methodological approach**

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## Abstract:

In this study, we propose a new approach to estimate geochemical local baselines and enrichment factor values for metals in riverine sediments. The goal is to describe catchment areas characterized by intensive and spread anthropogenic activities, for which it is challenging to identify undisturbed sites to utilize as reference. The case study is the Nestore river basin (Central Italy). Our approach is based on the use of ecological quality as a criterium to select the reference points in the normalization processes of metal baselines. The rationale is to assume that the sediments with a better environmental quality are anthropogenically least impaired. On these grounds, we detected geochemical local baselines and enrichment factor values of various metals (Ca, Co, Cr, Cu, Mn, Ni, Pb, Se, Sr, and Zn). Also, this approach allowed to highlight a major level of pollution for the most downstream site of Nestore river and its left tributaries.

**Keywords:** Environmental Contamination, Metal, Riverine Sediment, Baseline, Enrichment factor, Normalization

## Introduction

Metal pollution of the aquatic environment represents a serious problem worldwide, owing to ubiquity, high persistence, and toxicity of these contaminants. Numerous studies have demonstrated that, during transportation in the riverine system, metals are distributed between the aqueous phase and the sediments through dissolution, precipitation, and sorption phenomena (Abdel-Ghani and Elchaghaby 2007). Sediments are important sinks for metals and play a significant role in the remobilization of metal contaminants in freshwater systems. Furthermore, sediments contaminated by metals are considered source of adverse health effects for biota (Ali et al. 2016). For these reasons, the concentration of metals in sediments are widely used as environmental indicators for the assessment of anthropogenic metal pollution in the aquatic ecosystem (Islam et al. 2015). To this

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20 respect, in order to discriminate between anthropogenic pollution and natural sources, it is very  
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51 important to estimate the geochemical background concentrations of various metals (Pardo et al.  
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52 1990; Boughriet et al. 1992; Yu et al. 2001; Klavins et al. 2000).

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53 Geochemical and statistical methods can be used to estimate the geochemical background  
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1254 concentrations. The average shale contents, crust contents and preindustrial background levels of the  
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1455 metals have been used as reference background levels (Turekian et al. 1961). However, these data  
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1756 cannot be used as reference points, because the regional background levels of metals in a sediment  
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1957 may also depend on local geological characteristics (Jiang et al. 2013). Furthermore, due to rapid  
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2258 population growth and to industrialization and urbanization processes occurred in the last two  
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2459 centuries, no pure and local natural background are easily available for metals in the sediments. For  
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2760 these reasons Darnley (1997) suggested the use of geochemical baseline to value the anthropogenic  
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2961 metal pollution in the aquatic ecosystem. The term geochemical baseline represents background  
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3162 conditions that contain a certain degree of human impact and often it has a value that is slightly higher  
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3463 than the natural geochemical background (Teng et al. 2009).

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3664 The regression technique and the enrichment factor (EF) are the statistical methods commonly used  
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3965 for the purpose (Matschullat et al. 2000). They both consider the naturally occurring relationships  
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4166 between metals and reference elements, which are not influenced by anthropogenic activities (e.g. Al  
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4467 and Fe).

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4668 When the enrichment factor and the normalization method are used, the choice of the reference  
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4969 elements must be done in accordance with a set of conditions. In particular, the reference element  
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5170 must be resistant to chemical weathering and unsusceptible to natural processes such as redox  
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5371 changes. It must be unsusceptible to adsorption–desorption effects, to the soil-forming process and  
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5672 must be geochemically stable or inert, with only small deviations from the natural distribution.

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5873 Furthermore, a reference element should come from natural parent materials, such as crustal rocks.

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6074 Finally, the mass fraction of the other elements must variate with that of the reference element (Teng  
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275 et al. 2009; Schiff and Weisberg 1999). In these normalization approaches it is important to ensure  
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476 that the information used to develop the interpretative tool is representative of different sediments and  
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77 allows to evaluate areas suspected to be contaminated. Therefore, it is necessary to utilize, as  
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978 reference points, anthropogenically undisturbed sediments (Schropp and Windom 1988). However,  
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1279 this aspect presents difficulties when the anthropogenic activities around the riverine basin are  
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1480 intensive and spread.

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1781 The objective of our study was to test a new approach for detecting undisturbed sediments based on  
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1982 the identification of areas of high ecological quality by means of macroinvertebrate biomonitoring  
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2283 (Rosenberg and Resh 1993; Bonada et al. 2006; Fabrizi et al. 2010). In running waters,  
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2484 macroinvertebrate taxa are benthic and, because they live at close contact with the sediments, they are  
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2685 considered effective bioindicators of sediment quality where metal pollutions are suspected. With this  
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2986 methodological approach we decided to detect geochemical local baselines and enrichment values of  
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3187 various metals (Cd, Co, Mo, Ni, Pb, Cu, Sb, Se, Cr, Mn, Zn, Ca, and Sr ) in the sediments of Nestore  
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3488 river basin (Central Italy, see Figure 1), used as application example. The Nestore river is a right  
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3689 tributary of the Tiber river, it is affected by numerous sources of pollution resulting from  
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3990 urbanization, industry, agriculture, and extensive livestock production, for which it is very difficult to  
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4191 identify anthropogenically undisturbed sediments to utilize as reference points. We recently used  
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4392 bio-ecological data and water and sediment chemistry parameters from the Nestore river basin to  
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4693 demonstrate that taxonomic and functional composition of macroinvertebrates assemblages can be  
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4894 used to determine the anthropogenic disturbance level of freshwater ecosystems even in chemical  
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5195 pollution conditions, in particular due to metal contamination of sediments (Pallottini et al. 2015;  
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5396 Pallottini et al. 2017a, b). The advantage of using biological endpoints over chemical quality  
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5597 assessment criteria is that a biological test responds to the effects of all contaminants present at their  
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5898 actual bioavailability and detects possible synergistic effects (Di Veroli et al., 2010; 2014). The  
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6099 environmental conditions mainly influence the trophic network of living organisms (Apostolico et al.,  
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200 2016) which are good bioindicators regarding the evaluation of the metal contamination (Goretti et  
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101 al., 2018).

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102 This biological approach allowed to evaluate the ecological enrichment factors of Nestore river basin  
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103 sediments and to identify the sites with higher quality. We supposed that the sediments of these sites  
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104 were the anthropogenically least impaired and decided to test them as reference points in the  
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105 normalization processes of metal geochemical baselines.

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## 20 21 **Materials and Methods**

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### Study area

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109 The Nestore river is a right tributary of the Tiber river, it has a drainage basin area of 1,116 km<sup>2</sup> and  
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110 a total length of about 48 km (Figure 1). It receives water from two left tributaries, the Genna  
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111 (stream length about 23 km) and Caina (31 km) streams, and two right tributaries, the Fersinone (25  
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112 km) and Calvana (18 km) streams (Figure 1) (Lorenzoni et al. 2004). The left tributaries drain  
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113 gravel and sandy soils forming a complex system of fluvio-lacustrine deposits of Plio-Pleistocene  
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114 (Villafranchian) age, the right tributaries, on turn, flow on turbiditic terraines of the Macigno del  
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115 Mugello formation (Jacobacci et al. 1970). This formation consists of well stratified quartz-feldspar  
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116 sandstones with variable mica and dolomite amounts (Pandeli et al. 1994). Variably thick lenses and  
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117 levels of calcareous members (calcareonites and calcirudites, limestones, marly limestones) are  
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118 present in the formation especially in the lower section of Fersinone and the whole Calvana stream  
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119 till their confluence in the Nestore river.

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The Nestore river (sites 1-6) and its left tributaries, Caina stream (site 7) and Genna stream (site 8) flow through urbanized and agricultural areas draining civil sewage, run-off from agricultural and wastewater from livestock farms, on the other side the right tributaries, Fersinone (site 9) and Calvana (site 10) streams, flow through sparsely populated areas.

In particular, the site 8 is located about 0.7 km before the confluence with the Nestore river and about 2 km downstream from the wastewater treatment plant (biodigester) from the swine farms (40,000 heads, at full capacity), whereas a glass factory is located upstream of site 2 (ARPA Umbria 2010).

#### Sampling campaign

The survey extended from March 2010 to October 2010 and consisted of four seasonal samplings (winter WI, spring SP, summer SU and autumn AU) related to hydrologic features of Mediterranean streams, characterized by high (e.g. winter and spring) and low (up to dry, i.e. summer and autumn) flow conditions. Ten sampling sites were selected: six sites along the Nestore river (sites 1–6) and four sites on its main tributaries (site 7: Caina stream; site 8: Genna stream; site 9: Fersinone stream; site 10: Calvana stream) (Figure 1). Site 9 was dry in autumn, whereas site 10 was dry both in summer and autumn. During each seasonal sampling campaign, samples of the superficial layer of sediments (3–5 cm) were collected by means of a hand dredge. Sediment samples (500 g) were preserved in Pyrex glass bottles and stored at -18 °C (MATT and APAT 2005).

#### Detection of anthropogenically least impacted sediments

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In our previous studies on the Nestore river basin (Pallottini et al., 2015; 2017a,b), we pointed out that the anthropogenic metal contamination of sediments influenced the quali-quantitative composition of macroinvertebrate communities (37,249 specimens belonging to 91 taxa from ten sampling sites). First (Pallottini et al. 2015), we used self-organizing maps (SOM, neural networks) to bring out patterns of benthic macroinvertebrate diversity concerning river pollution of the Nestore river basin (Cereghino and Park, 2009). We introduced metal concentrations in sediments into a SOM previously trained with macroinvertebrate data, and we found out a co-variation in macroinvertebrate community structure and some metals. We divided sites into clusters characterized by similar macroinvertebrate assemblages, and we noticed that clusters with higher ecological quality were associated with a lower concentration of some metals considered. In Pallottini et al. 2017a, we examined the associations between functional traits of macroinvertebrates and heavy metals in sediments of the Nestore river basin. Functional traits were used to assess the stream environmental conditions by characterizing the peculiar taxa assemblages based on biological, physiological, and ecological attributes (Usseglio-Polatera et al., 2001; Tachet et al., 2010). The functional traits did well at detecting disturbance associated with sediment pollution, and there was a definite shift of trait combinations from impacted to least impacted sites. In particular, the dominant trait types, like eutrophic (trophic status), polysaprobic (saprobity), absorber, and deposit feeder (feeding habits), characterized the benthic communities of the sites with higher metal contamination in sediments. Successively (Pallottini et al. 2017b), we designed a multimetric index based on macroinvertebrate as well as physicochemical variables of water and metals of sediments to distinguish non-impacted sites from impacted sites of Nestore river basin. We determined the metric selection for the multimetric

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361 index construction based on the response of macrobenthic communities to metal contamination of  
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62 sediments.

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963 All of these studies on the Nestore river basin allowed us to identify sites 1, 9, and 10 as those  
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1264 characterized by higher ecological quality and, therefore, with low levels of anthropogenic metal  
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1465 contamination of sediments. Thus, we considered the sediments of these sites as the least impacted  
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1766 and used them as reference points in the normalization processes.

## 18 19 2067 21 22 2368 Metals analysis

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2669 Concentration of metals (Cd, Co, Mo, Ni, Pb, Cu, Sb, Se, Cr, Mn, Zn, Al, Fe, Ca, Sr, and V) in the  
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2970 sediment samples were determined by Inductively Coupled Plasma Optical Emission Spectrometry  
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3271 (ICP-OES, Ultima 2, HORIBA Scientific) equipped with ultrasonic nebulizer (CETAC  
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3572 Technologies, U-5000AT) after sample acid microwave digestion. All sediment samples were  
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3773 analysed in double and the ICP measures were replicate three times for each sample.

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4074 Commercially produced (ICP multi-element standard solution IV CertiPUR® , VWR Merck  
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4375 Chemicals and Reagents) standard solutions (1.000 mg·L<sup>-1</sup>) in nitric acid were used to prepare  
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4676 appropriate elemental calibration standards. Ordinary least-squares regression model (OLSR) was  
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4977 used for calibrations. Linearity between intensity and concentration ( $R^2 > 0.999$ ) was observed for  
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5278 traces metals in the range 0.01–1.00 mg/L and for mayor elements in the range 0.01–5.00 mg/L. For  
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5579 quantifying Al, Ca, Fe, Mn, and Zn the digested solutions were diluted 1:100 using ultrapure water  
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5880 (18MΩ). Experimental repeatability was calculated by performing three replicates analyses of three  
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6181 multi-element standards solutions (0.01, 0.10, and 1.00 mg/L). The metal RSDs obtained by the  
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3 2 repeatability test were good and were in the range 0.5-10.0% . Detection limits, estimated by

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6 3 calibration curve, were in the range 0.8–2 mg kg<sup>-1</sup>, working at a radiofrequency power of 1,000W.

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9 4 The accuracy of the method was obtained using standard reference material (IAEA soil -7, Trace

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12 5 Elements in Soil, Vienna, Austria). The metal concentrations were in satisfactory agreement with

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15 6 the certified value and recovery fell in the range of 80-130%. Zn, Al, and Cu showed lower

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18 7 recovery. Analytical quality control included analysis of digestion reagent blank with each batch of

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21 8 6 samples. All laboratory glassware was soaked in 10% HNO<sub>3</sub> for 24h, then rinsed before use with

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24 9 ultrapure water (Analytical Methods Committee, 1994; MATT and APAT, 2005; UNICHIM, 2001;

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27 10 EURACHEM 1998).

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30 11 The sediments were air-dried, disaggregated using a mortar and pestle to pass through a 2-mm mesh

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33 12 sieve, dried at 105°C for 24 h and digested as follows: 8 mL of ultrapure nitric acid (Millipore

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36 13 Suprapur®, 65%) and 2 ml of ultrapure solution of hydrogen peroxide (Carlo Erba Reagents,

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39 14 30-32%) were added to 0.250 g of sediment samples and digested in Mars Microwave Oven,

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42 15 working at a power of 800W. Microwave digestion consisted of two steps: 130°C (200 psi) for 1

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45 16 min, 180°C (300 psi) for 10 min. The mixture was cooled, filtered (Whatman Grade No. 42, particle

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48 17 retention 2.5 mm) and diluted with ultrapure water to 50 mL.

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51 18 We note that this method is not a total digestion technique for most samples. However, this type of

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54 19 acid digestion dissolves mostly the elements that could become “environmentally available.” The

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57 20 elements firmly bound in silicate structures, those that are not easily dissolved by the present

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60 21 procedure are usually also not mobile in the environment.

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3 Mean Enrichment factor (EF)

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6 The enrichment factor (EF) for each element was calculated to evaluate the degree of anthropogenic  
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9 metals contamination in sediments using the formula proposed by Selvaraj et al. (2004) as stated

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11 below:

$$EF = \frac{\left( C_x / C_{reference\ metal} \right)_{site_{2-8}}}{\left( C_x / C_{reference\ metal} \right)_{reference\ site}}$$

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16 where:

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20  $C_x / C_{reference\ metal}$  is the ratio between the annual mean concentrations of the examined metal and of  
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23 the reference metal (Al) in the considered site (sites 2-8) and in the reference site (annual mean  
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26 values of sites 1, 9, and 10), respectively.

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29 The degree of enrichment was interpreted based on the method proposed by Birch and Davies  
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32 (2003):

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35 EF < 1 “no enrichment”, 1 ≤ EF < 3 “minor enrichment”, 3 ≤ EF < 5 “moderate enrichment”, 5 ≤ EF < 10  
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38 “moderately severe enrichment”, 10 ≤ EF < 25 “severe enrichment”, 25 ≤ EF < 50 “very severe  
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41 enrichment” and EF > 50 “extremely severe enrichment”.

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46 EF values were also interpreted as suggested by Zhang and Liu (2002): if 0.5 ≤ EF ≤ 1.5, it indicates  
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49 that the metal could be mainly from natural weathering process, and if EF > 1.5, it indicates that the  
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52 metal is from anthropogenic sources or a greater percentage of the metal is from non-natural  
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55 weathering process.

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60 Regression technique

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The linear regression relationships between an examined metal and the reference elements (Al, Fe, and V) were calculated using the principle of normalization (Newman and Watling 2007; Colizza et al. 1996):

$$C_M = a + bC_N$$

where:

$C_M$  represents the baseline concentration of the investigated metal,  $C_N$  indicates the concentration of the reference element in the reference site (mean values of the sites 1, 9, and 10), and  $a$  and  $b$  are the coefficient and constant of the regression equation, respectively.

## Results

The annual mean metals concentration in sediments sampled at sites 1-10, are reported in Table 1, while seasonal site concentrations are showed in Online Resource 1 (ESM1).

The metals present in highest quantity were Ca, Al and Fe. Al and Fe had mean concentrations of the same order of magnitude. Ca and Sr, elements of terrigenous and biogenic origin, showed a downstream gradient of concentrations, which increased from site 1 to site 6 along the Nestore river, in every season.

Cd concentrations were higher than the limit of detection only in summer and autumn. The majority of measured concentrations of Mo and Sb were under the limit of detection.

Enrichment Factor (EF)

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3 The enrichment factor is usually evaluated using Al or Fe. In the present study, Al was used to  
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6 compute the EF since it represents the quantity of alumino-silicates, which is the predominant  
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9 carrier phase for adsorbed metals sediments (Alexander et al. 1993). In Table 2 are reported the  
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12 enrichment factors values obtained using Al for normalization and the values obtained averaging  
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15 the concentrations of sites 1, 9 and 10 as reference points. We did not use the metal concentration  
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18 values of site 9 in autumn and site 10 in summer and autumn to calculate the mean values because  
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21 in 2010 they were dry.

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23 The values of enrichment factors for Ca, Co, Cu, Mn, Pb, Sr, and Zn (Table 2) showed an increase  
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26 along a downstream gradient of the Nestore river (sites 2-6). High EF values of the same metals  
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29 were usually observed at the Caina (site 7) and the Genna (site 8) streams (Fig. 2).

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32 The enrichment factors of Mo, Sb and Cd were not calculated because the majority of measured  
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35 concentrations were under the limit of detection.  
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#### 37 38 39 40 Regression-technique 41

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43 A significant number of metals have been used as normalisers in previous literature, including  
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46 aluminum (Schropp et al. 1990; Daskalakis and O'Connor 1995; Cooke and Drury 1998; Weisberg  
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49 et al. 1999; Roach 2005), iron (Daskalakis and O'Connor 1995; Schiff and Weisberg 1999; Tanner  
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52 et al. 2000), lithium (Loring 1990, 1991; Aloupi and Angelidis 2001; Veinott et al. 2001), vanadium  
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55 (Chaoyang and Hailong 2005) rubidium (Grant and Middleton 1990), and caesium and cobalt  
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58 (Matthai and Birch 2001).  
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3 In the present work, we selected aluminum, iron and vanadium. Aluminum is considered to be the  
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5 most suitable normaliser since it is a major constituent of fine-grained aluminosilicates (clays),  
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8 with which trace metals are associated. Iron oxides, which serve as a host for metals, are usually  
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11 associated with sediments in quantities related to the sediment surface area. Consequently, the  
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14 concentration of iron often exhibits a strong positive correlation to concentrations of trace metals in  
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17 sediment (Newman and Watling, 2007). Vanadium shows a strong positive association with organic  
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20 matter which serves as a matrix on particle surfaces for complexing metals (El-Moselhy 2006).  
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23 Linear regressions were performed for ten metals (Ca, Co, Cr, Cu, Mn, Ni, Pb, Se, Sr, and Zn) using  
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26 as alternative reference elements aluminium, iron and vanadium. The coefficient of the regression  
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29 was used as criteria for selection of the final normalizer. Data beyond 95% confidential intervals of  
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32 the linear regression equations were removed as the outliers in the calculation of the baseline.  
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35 Since site 9 in October and site 10 in October and August were dry, their metal concentration values  
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38 were not used to calculate the linear regressions, similarly to the EF calculation. Also, we did not  
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41 perform linear regressions for Mo, Sb and Cd, because the majority of measured concentrations  
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44 were under the limit of detection.  
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47 Aluminum was found to be the most suitable normaliser for Ca and Sr, iron for Mn and Zn, and  
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50 vanadium for Cu, Co and Ni. No statistically significant reference element was selected for Pb, Se  
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53 and Cr.  
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56 Linear regression analyses, mean values and linear ranges of the baseline concentrations are  
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59 presented in Table 3. The linear regressions were considered for  $P < 0.05$  with a relatively higher  $R^2$   
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62 value. If compared with estimate composition of the Upper Continental Crust (UCC) (Rudnick and  
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4 Gao, 2003), our mean baseline values resulted being lower than UCC concentrations for Cu, Co, Sr,  
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6 and Mn, higher for Ca and similar for Zn and Ni.  
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## 10 **Discussion**

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14 The sites under study showed mean metal concentrations characterized by high relative standard  
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16 deviations, revealing a wide range of seasonal variation (Table 1). Such high seasonal variability in  
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18 sediment metal is consistent with the dynamics of the hydrological regime of the river basin,  
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20 characterized by wet-winter and dry-summer. Nevertheless, the enrichment factors calculated on  
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22 average concentration made possible to assess the quality of the sediments according to the degree  
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24 of metal pollution and to discriminate between anthropogenic and natural sources. There was no  
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26 (EF<1) or minor ( $1 \leq EF < 3$ ) enrichment for all metals at the sites 2-8, except for Pb which showed a  
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28 moderate EF=3.2 enrichment at site 8.  
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36 According to Zhang and Liu (2002), the EF values revealed that all sites were contaminated from  
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38 anthropogenic sources (EF>1.5) at least for one metal, except sites 4 and 5. Sites 6, 7 and 8 were the  
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40 most contaminated sites. EF values greater than 1.5 were observed for Ca, Co, Cu, Mn, Pb, Sr and  
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42 Zn in sediments of site 8 and for Cu, Pb and Zn at sites 6 and 7. This evidence is consistent with the  
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44 level of anthropogenic disturbance, that characterize the Nestore catchment.  
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51 The left tributaries, Caina (site 7) and Genna (site 8) streams, flow in urbanized and agricultural  
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53 areas draining civil sewage, run-off from agricultural and wastewater from livestock farms. In  
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55 particular the site 8 is located about 2 km downstream from the wastewater treatment plant  
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57 (biodigester) from the swine farms (40,000 heads, at full capacity). The sites 2 and 3 presented an  
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305 EF=1.6 for Zn and Cr, respectively, above the threshold of 1.5 for anthropogenic disturbance. This  
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306 condition is probably a consequence of the wastewater of a glass factory located upstream of these  
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307 sites.

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308 The baselines calculated (sites 1, 9 and 10) using the regression technique were dependent on the  
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309 reference elements selected (Table 3 and Figure 1s). The impossibility of finding a suitable  
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310 reference element (i.e. Al, Fe or V) for Pb, Se and Cr may indicate a widespread contamination of  
all sites of Nestore river basin by external sources, such as atmospheric deposition of Pb and Se  
produced by the combustion of fossil fuel and by the use of metal contaminated fertilizers (Se, Pb  
and Cr) in agricultural activities (Jiang et al. 2013; Belon et al. 2012; Nacke et al. 2013). Moreover,  
the weaker relationships observed (i.e. Co, Mn, and Zn) could be due to the partial digestion method  
used, because the elements firmly bound in silicate structures are not easily dissolved by this  
procedure.

317 The mean values obtained for each metal by linear regression were used as baselines allowing to  
318 identify the anthropogenically disturbed sites. Mean metal concentrations (Table 1) higher than the  
319 baseline values were observed for Ca at sites 6, 7 and 8, for Cu at sites 2, 3, 6, 7 and 8, for Mn at  
320 sites 7 and 8, for Ni at sites 2 and 6, for Sr at sites 7 and 8, for Co and Zn at all sites.

321 To estimate the level of accordance between the two statistical methods used, we correlated for the  
322 sites 2-8 the mean enrichment factors versus the ratios between the mean metal concentrations and  
323 the mean baseline values (Table 4 and Figure 3). The good regression coefficients obtained (with  
324 the exception of Ni, for which both methods identified no or minor enrichment at all sites) indicated  
325 that the baseline values found by the regression technique were in agreement with the calculated

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326 enrichment factors and confirmed a major level of disturbance for sites 6, 7 and 8, minor for sites 2  
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327 and 3 respect to the least disturbed sites 4 and 5.  
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328 In addition, the mean enrichment factors were also calculated using the upper continental crust  
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329 (UCC) as reference background levels and Al, as reference element (Table 5). Compared to UC the  
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1530 sediment mean composition revealed a significant enrichment in Ca, Cu, Ni, Pb, and Zn in respect  
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1831 to Al amounts (Table 4). Sites 7-10 were also enriched in Sr, while sites 1, 7 and 8 showed some  
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2132 Mn excess. Comparison between the sampling sites showed in fact a severe enrichment in Ca at all  
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2333 the Nestore tributaries (sites 7-10), and some moderately severe and severe enrichment of the same  
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2634 element along the Nestore river (e.g., site 4, 5 and 6). Cu and Pb, in turn, were strongly enriched  
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2935 only at site 8, though they showed moderately severe enrichment also at site 6, 7 and 5, the latter  
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3236 with reference only to lead. Moderately severe enrichment values were also revealed for Zn at site  
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3537 2, 6, 7 and 8. A moderate enrichment was detected at all sites for Ni, at sites 1, 7 and 8 for Mn and  
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3838 at sites 7-10 for Sr.  
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4139 In summary, the mean enrichment factors calculated using the upper continental crust values were  
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4340 much higher than those calculated with our new approach for all metals (except for Se) in all sites,  
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4641 including sites 1, 9 and 10. The latter, characterized by a high ecological quality, should have  
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4942 shown only minor enrichments and this therefore demonstrated the inadequacy of the use of the  
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5243 UCC content as reference background levels for Nestore river basin sediments.  
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## 5946 **Conclusions**

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Geochemical baseline concentrations of various metals (Ca, Co, Cr, Cu, Mn, Ni, Pb, Se, Sr, V and Zn) in surface sediments of Nestore river basin were estimated by the methods of enrichment factors and normalization process (regression technique). Sites located within the basin and characterized by high ecological quality were used as reference points.

According to the calculated enrichment factors, sites 6 (Nestore river), 7 (Caina stream) and 8 (Genna stream) were the most contaminated sites. EF values greater than 1.5 were observed for Ca, Co, Cu Mn, Pb, Sr, and Zn in sediments of site 8 and for Cu, Pb and Zn at sites 6 and 7. The sites 2 and 3 presented EF values equal to 1.6 for Zn and Cr, respectively.

The baseline values found by the regression technique were in agreement with the calculated enrichment factors and confirmed a major level of disturbance for sites 6, 7 and 8 and minor for sites 2 and 3.

These results are consistent with the presence and the geographical distribution of industrial, zootechnical and agricultural activities in the area of the study (ARPA Umbria 2010).

On the other side the enrichment factors calculated using UCC content as reference background levels were usually much higher ( $1.1 \leq EF \leq 22$ ) than those obtained with our approach ( $0.2 \leq EF \leq 3.2$ ), also for sites 1, 9 and 10 characterized by a high ecological quality.

In conclusion, our results showed that sediments with high ecological quality can be considered suitable reference points for baseline studies. They can also be used to assess the metal pollution level in river basins characterised by intensive and widespread anthropogenic activities.

Since the values of ecological quality are acquired relatively easily and inexpensively, our approach could be applied in regional monitoring and remediation programs to identify in a quick and cheap

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368 way the reference points for the determination of the metal geochemical baselines in order to  
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369 recognize with a best accuracy anthropogenically disturbed areas in riverine system.  
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## FIGURE CAPTIONS

**Fig. 1** Nestore river basin (Umbria, Central Italy) and location of the sampling sites

**Fig. 2** Correlation of the mean Enrichment Factors vs ratios between the mean metal concentrations and the mean baseline values for sites 2-8 of the Nestore river basin

## TABLE CAPTIONS

**Table 1** Mean concentrations (mg/kg)  $\pm$  standard deviation of metals in sediments of the Nestore river basin sites

**Table 2** Mean Enrichment factors of metals in sites 2-8 of the Nestore river basin sites

**Table 3** Linear regression equations for various heavy metals on the reference elements and the corresponding calculated baseline concentrations (mg/kg) in the sediments of the Nestore river basin

**Table 4** Ratios between the mean metal concentrations and the mean baseline values for sites 2-8 of the Nestore river basin

**Table 5** Mean Enrichment factors of metals in sites 1-10 of the Nestore river basin sites calculated using the Upper Continental Crust as the reference background levels and Al, as the reference element

[Click here to view linked References](#)

1 **Evaluation of geochemical baselines and metal enrichment factor values through high**  
2 **ecological quality reference points: a novel methodological approach**

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14 Eccellenza–2018–20

## Abstract:

In this study, we propose a new approach to estimate geochemical local baselines and enrichment factor values for metals in riverine sediments. The goal is to describe catchment areas characterized by intensive and spread anthropogenic activities, for which it is challenging to identify undisturbed sites to utilize as reference. The case study is the Nestore river basin (Central Italy). Our approach is based on the use of ecological quality as a criterium to select the reference points in the normalization processes of metal baselines. The rationale is to assume that the sediments with a better environmental quality are anthropogenically least impaired. On these grounds, we detected geochemical local baselines and enrichment factor values of various metals (Ca, Co, Cr, Cu, Mn, Ni, Pb, Se, Sr, and Zn). Also, this approach allowed to highlight a major level of pollution for the most downstream site of Nestore river and its left tributaries.

**Keywords:** Environmental Contamination, Metal, Riverine Sediment, Baseline, Enrichment factor, Normalization

## Introduction

Metal pollution of the aquatic environment represents a serious problem worldwide, owing to ubiquity, high persistence, and toxicity of these contaminants. Numerous studies have demonstrated that, during transportation in the riverine system, metals are distributed between the aqueous phase and the sediments through dissolution, precipitation, and sorption phenomena (Abdel-Ghani and Elchaghaby 2007). Sediments are important sinks for metals and play a significant role in the remobilization of metal contaminants in freshwater systems. Furthermore, sediments contaminated by metals are considered source of adverse health effects for biota (Ali et al. 2016). For these reasons, the concentration of metals in sediments are widely used as environmental indicators for the assessment of anthropogenic metal pollution in the aquatic ecosystem (Islam et al. 2015). To this

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20 respect, in order to discriminate between anthropogenic pollution and natural sources, it is very  
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4  
51 important to estimate the geochemical background concentrations of various metals (Pardo et al.  
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52 1990; Boughriet et al. 1992; Yu et al. 2001; Klavins et al. 2000).

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53 Geochemical and statistical methods can be used to estimate the geochemical background  
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1254 concentrations. The average shale contents, crust contents and preindustrial background levels of the  
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1455 metals have been used as reference background levels (Turekian et al. 1961). However, these data  
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1756 cannot be used as reference points, because the regional background levels of metals in a sediment  
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1957 may also depend on local geological characteristics (Jiang et al. 2013). Furthermore, due to rapid  
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2258 population growth and to industrialization and urbanization processes occurred in the last two  
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2459 centuries, no pure and local natural background are easily available for metals in the sediments. For  
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2760 these reasons Darnley (1997) suggested the use of geochemical baseline to value the anthropogenic  
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2961 metal pollution in the aquatic ecosystem. The term geochemical baseline represents background  
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3162 conditions that contain a certain degree of human impact and often it has a value that is slightly higher  
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3463 than the natural geochemical background (Teng et al. 2009).

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3664 The regression technique and the enrichment factor (EF) are the statistical methods commonly used  
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3965 for the purpose (Matschullat et al. 2000). They both consider the naturally occurring relationships  
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4166 between metals and reference elements, which are not influenced by anthropogenic activities (e.g. Al  
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4467 and Fe).

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4668 When the enrichment factor and the normalization method are used, the choice of the reference  
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4969 elements must be done in accordance with a set of conditions. In particular, the reference element  
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5170 must be resistant to chemical weathering and unsusceptible to natural processes such as redox  
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5371 changes. It must be unsusceptible to adsorption–desorption effects, to the soil-forming process and  
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5672 must be geochemically stable or inert, with only small deviations from the natural distribution.

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5873 Furthermore, a reference element should come from natural parent materials, such as crustal rocks.

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6074 Finally, the mass fraction of the other elements must variate with that of the reference element (Teng  
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275 et al. 2009; Schiff and Weisberg 1999). In these normalization approaches it is important to ensure  
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476 that the information used to develop the interpretative tool is representative of different sediments and  
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77 allows to evaluate areas suspected to be contaminated. Therefore, it is necessary to utilize, as  
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978 reference points, anthropogenically undisturbed sediments (Schropp and Windom 1988). However,  
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1279 this aspect presents difficulties when the anthropogenic activities around the riverine basin are  
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1480 intensive and spread.

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1781 The objective of our study was to test a new approach for detecting undisturbed sediments based on  
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1982 the identification of areas of high ecological quality by means of macroinvertebrate biomonitoring  
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2283 (Rosenberg and Resh 1993; Bonada et al. 2006; Fabrizi et al. 2010). In running waters,  
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2484 macroinvertebrate taxa are benthic and, because they live at close contact with the sediments, they are  
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2685 considered effective bioindicators of sediment quality where metal pollutions are suspected. With this  
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2986 methodological approach we decided to detect geochemical local baselines and enrichment values of  
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3187 various metals (Cd, Co, Mo, Ni, Pb, Cu, Sb, Se, Cr, Mn, Zn, Ca, and Sr ) in the sediments of Nestore  
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3488 river basin (Central Italy, see Figure 1), used as application example. The Nestore river is a right  
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3689 tributary of the Tiber river, it is affected by numerous sources of pollution resulting from  
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3990 urbanization, industry, agriculture, and extensive livestock production, for which it is very difficult to  
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4191 identify anthropogenically undisturbed sediments to utilize as reference points. We recently used  
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4392 bio-ecological data and water and sediment chemistry parameters from the Nestore river basin to  
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4693 demonstrate that taxonomic and functional composition of macroinvertebrates assemblages can be  
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4894 used to determine the anthropogenic disturbance level of freshwater ecosystems even in chemical  
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5195 pollution conditions, in particular due to metal contamination of sediments (Pallottini et al. 2015;  
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5396 Pallottini et al. 2017a, b). The advantage of using biological endpoints over chemical quality  
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5697 assessment criteria is that a biological test responds to the effects of all contaminants present at their  
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5898 actual bioavailability and detects possible synergistic effects (Di Veroli et al., 2010; 2014). The  
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6099 environmental conditions mainly influence the trophic network of living organisms (Apostolico et al.,  
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200 2016) which are good bioindicators regarding the evaluation of the metal contamination (Goretti et  
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101 al., 2018).

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102 This biological approach allowed to evaluate the ecological enrichment factors of Nestore river basin  
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103 sediments and to identify the sites with higher quality. We supposed that the sediments of these sites  
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104 were the anthropogenically least impaired and decided to test them as reference points in the  
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105 normalization processes of metal geochemical baselines.

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## 20 21 **Materials and Methods**

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### Study area

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109 The Nestore river is a right tributary of the Tiber river, it has a drainage basin area of 1,116 km<sup>2</sup> and  
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110 a total length of about 48 km (Figure 1). It receives water from two left tributaries, the Genna  
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111 (stream length about 23 km) and Caina (31 km) streams, and two right tributaries, the Fersinone (25  
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112 km) and Calvana (18 km) streams (Figure 1) (Lorenzoni et al. 2004). The left tributaries drain  
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113 gravel and sandy soils forming a complex system of fluvio-lacustrine deposits of Plio-Pleistocene  
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114 (Villafranchian) age, the right tributaries, on turn, flow on turbiditic terraines of the Macigno del  
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115 Mugello formation (Jacobacci et al. 1970). This formation consists of well stratified quartz-feldspar  
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116 sandstones with variable mica and dolomite amounts (Pandeli et al. 1994). Variably thick lenses and  
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117 levels of calcareous members (calcarenes and calcirudites, limestones, marly limestones) are  
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118 present in the formation especially in the lower section of Fersinone and the whole Calvana stream  
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119 till their confluence in the Nestore river.

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The Nestore river (sites 1-6) and its left tributaries, Caina stream (site 7) and Genna stream (site 8) flow through urbanized and agricultural areas draining civil sewage, run-off from agricultural and wastewater from livestock farms, on the other side the right tributaries, Fersinone (site 9) and Calvana (site 10) streams, flow through sparsely populated areas.

In particular, the site 8 is located about 0.7 km before the confluence with the Nestore river and about 2 km downstream from the wastewater treatment plant (biodigester) from the swine farms (40,000 heads, at full capacity), whereas a glass factory is located upstream of site 2 (ARPA Umbria 2010).

#### Sampling campaign

The survey extended from March 2010 to October 2010 and consisted of four seasonal samplings (winter WI, spring SP, summer SU and autumn AU) related to hydrologic features of Mediterranean streams, characterized by high (e.g. winter and spring) and low (up to dry, i.e. summer and autumn) flow conditions. Ten sampling sites were selected: six sites along the Nestore river (sites 1–6) and four sites on its main tributaries (site 7: Caina stream; site 8: Genna stream; site 9: Fersinone stream; site 10: Calvana stream) (Figure 1). Site 9 was dry in autumn, whereas site 10 was dry both in summer and autumn. During each seasonal sampling campaign, samples of the superficial layer of sediments (3–5 cm) were collected by means of a hand dredge. Sediment samples (500 g) were preserved in Pyrex glass bottles and stored at -18 °C (MATT and APAT 2005).

#### Detection of anthropogenically least impacted sediments

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In our previous studies on the Nestore river basin (Pallottini et al., 2015; 2017a,b), we pointed out that the anthropogenic metal contamination of sediments influenced the quali-quantitative composition of macroinvertebrate communities (37,249 specimens belonging to 91 taxa from ten sampling sites). First (Pallottini et al. 2015), we used self-organizing maps (SOM, neural networks) to bring out patterns of benthic macroinvertebrate diversity concerning river pollution of the Nestore river basin (Cereghino and Park, 2009). We introduced metal concentrations in sediments into a SOM previously trained with macroinvertebrate data, and we found out a co-variation in macroinvertebrate community structure and some metals. We divided sites into clusters characterized by similar macroinvertebrate assemblages, and we noticed that clusters with higher ecological quality were associated with a lower concentration of some metals considered. In Pallottini et al. 2017a, we examined the associations between functional traits of macroinvertebrates and heavy metals in sediments of the Nestore river basin. Functional traits were used to assess the stream environmental conditions by characterizing the peculiar taxa assemblages based on biological, physiological, and ecological attributes (Usseglio-Polatera et al., 2001; Tachet et al., 2010). The functional traits did well at detecting disturbance associated with sediment pollution, and there was a definite shift of trait combinations from impacted to least impacted sites. In particular, the dominant trait types, like eutrophic (trophic status), polysaprobic (saprobity), absorber, and deposit feeder (feeding habits), characterized the benthic communities of the sites with higher metal contamination in sediments. Successively (Pallottini et al. 2017b), we designed a multimetric index based on macroinvertebrate as well as physicochemical variables of water and metals of sediments to distinguish non-impacted sites from impacted sites of Nestore river basin. We determined the metric selection for the multimetric

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3 161 index construction based on the response of macrobenthic communities to metal contamination of  
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6 162 sediments.

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9 163 All of these studies on the Nestore river basin allowed us to identify sites 1, 9, and 10 as those  
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12 164 characterized by higher ecological quality and, therefore, with low levels of anthropogenic metal  
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15 165 contamination of sediments. Thus, we considered the sediments of these sites as the least impacted  
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18 166 and used them as reference points in the normalization processes.

## 19 20 2067 21 22 23 2068 Metals analysis

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26 2069 Concentration of metals (Cd, Co, Mo, Ni, Pb, Cu, Sb, Se, Cr, Mn, Zn, Al, Fe, Ca, Sr, and V) in the  
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29 2070 sediment samples were determined by Inductively Coupled Plasma Optical Emission Spectrometry  
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32 2071 (ICP-OES, Ultima 2, HORIBA Scientific) equipped with ultrasonic nebulizer (CETAC  
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35 2072 Technologies, U-5000AT) after sample acid microwave digestion. All sediment samples were  
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38 2073 analysed in double and the ICP measures were replicate three times for each sample.

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40 2074 Commercially produced (ICP multi-element standard solution IV CertiPUR® , VWR Merck  
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43 2075 Chemicals and Reagents) standard solutions ( $1.000 \text{ mg}\cdot\text{L}^{-1}$ ) in nitric acid were used to prepare  
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46 2076 appropriate elemental calibration standards. Ordinary least-squares regression model (OLSR) was  
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49 2077 used for calibrations. Linearity between intensity and concentration ( $R^2 > 0.999$ ) was observed for  
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52 2078 traces metals in the range  $0.01\text{--}1.00 \text{ mg/L}$  and for mayor elements in the range  $0.01\text{--}5.00 \text{ mg/L}$ . For  
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55 2079 quantifying Al, Ca, Fe, Mn, and Zn the digested solutions were diluted 1:100 using ultrapure water  
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58 2080 ( $18\text{M}\Omega$ ). Experimental repeatability was calculated by performing three replicates analyses of three  
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61 2081 multi-element standards solutions ( $0.01, 0.10, \text{ and } 1.00 \text{ mg/L}$ ). The metal RSDs obtained by the  
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3 2 repeatability test were good and were in the range 0.5-10.0% . Detection limits, estimated by

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6 3 calibration curve, were in the range 0.8–2 mg kg<sup>-1</sup>, working at a radiofrequency power of 1,000W.

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9 4 The accuracy of the method was obtained using standard reference material (IAEA soil -7, Trace

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12 5 Elements in Soil, Vienna, Austria). The metal concentrations were in satisfactory agreement with

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15 6 the certified value and recovery fell in the range of 80-130%. Zn, Al, and Cu showed lower

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18 7 recovery. Analytical quality control included analysis of digestion reagent blank with each batch of

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21 8 6 samples. All laboratory glassware was soaked in 10% HNO<sub>3</sub> for 24h, then rinsed before use with

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24 9 ultrapure water (Analytical Methods Committee, 1994; MATT and APAT, 2005; UNICHIM, 2001;

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27 10 EURACHEM 1998).

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30 11 The sediments were air-dried, disaggregated using a mortar and pestle to pass through a 2-mm mesh

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33 12 sieve, dried at 105°C for 24 h and digested as follows: 8 mL of ultrapure nitric acid (Millipore

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36 13 Suprapur®, 65%) and 2 ml of ultrapure solution of hydrogen peroxide (Carlo Erba Reagents,

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39 14 30-32%) were added to 0.250 g of sediment samples and digested in Mars Microwave Oven,

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42 15 working at a power of 800W. Microwave digestion consisted of two steps: 130°C (200 psi) for 1

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45 16 min, 180°C (300 psi) for 10 min. The mixture was cooled, filtered (Whatman Grade No. 42, particle

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48 17 retention 2.5 mm) and diluted with ultrapure water to 50 mL.

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51 18 We note that this method is not a total digestion technique for most samples. However, this type of

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54 19 acid digestion dissolves mostly the elements that could become “environmentally available.” The

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57 20 elements firmly bound in silicate structures, those that are not easily dissolved by the present

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60 21 procedure are usually also not mobile in the environment.

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3 Mean Enrichment factor (EF)

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6 The enrichment factor (EF) for each element was calculated to evaluate the degree of anthropogenic  
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9 metals contamination in sediments using the formula proposed by Selvaraj et al. (2004) as stated

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11 below:

$$EF = \frac{\left( C_x / C_{reference\ metal} \right)_{site_{2-8}}}{\left( C_x / C_{reference\ metal} \right)_{reference\ site}}$$

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16 where:

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20  $C_x / C_{reference\ metal}$  is the ratio between the annual mean concentrations of the examined metal and of  
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23 the reference metal (Al) in the considered site (sites 2-8) and in the reference site (annual mean  
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26 values of sites 1, 9, and 10), respectively.

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29 The degree of enrichment was interpreted based on the method proposed by Birch and Davies  
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32 (2003):

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37 EF < 1 “no enrichment”, 1 ≤ EF < 3 “minor enrichment”, 3 ≤ EF < 5 “moderate enrichment”, 5 ≤ EF < 10  
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40 “moderately severe enrichment”, 10 ≤ EF < 25 “severe enrichment”, 25 ≤ EF < 50 “very severe  
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43 enrichment” and EF > 50 “extremely severe enrichment”.

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46 EF values were also interpreted as suggested by Zhang and Liu (2002): if 0.5 ≤ EF ≤ 1.5, it indicates  
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49 that the metal could be mainly from natural weathering process, and if EF > 1.5, it indicates that the  
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52 metal is from anthropogenic sources or a greater percentage of the metal is from non-natural  
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55 weathering process.

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60 Regression technique

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The linear regression relationships between an examined metal and the reference elements (Al, Fe, and V) were calculated using the principle of normalization (Newman and Watling 2007; Colizza et al. 1996):

$$C_M = a + bC_N$$

where:

$C_M$  represents the baseline concentration of the investigated metal,  $C_N$  indicates the concentration of the reference element in the reference site (mean values of the sites 1, 9, and 10), and  $a$  and  $b$  are the coefficient and constant of the regression equation, respectively.

## Results

The annual mean metals concentration in sediments sampled at sites 1-10, are reported in Table 1, while seasonal site concentrations are showed in Online Resource 1 (ESM1).

The metals present in highest quantity were Ca, Al and Fe. Al and Fe had mean concentrations of the same order of magnitude. Ca and Sr, elements of terrigenous and biogenic origin, showed a downstream gradient of concentrations, which increased from site 1 to site 6 along the Nestore river, in every season.

Cd concentrations were higher than the limit of detection only in summer and autumn. The majority of measured concentrations of Mo and Sb were under the limit of detection.

Enrichment Factor (EF)



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3 The enrichment factor is usually evaluated using Al or Fe. In the present study, Al was used to  
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6 compute the EF since it represents the quantity of alumino-silicates, which is the predominant  
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9 carrier phase for adsorbed metals sediments (Alexander et al. 1993). In Table 2 are reported the  
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12 enrichment factors values obtained using Al for normalization and the values obtained averaging  
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15 the concentrations of sites 1, 9 and 10 as reference points. We did not use the metal concentration  
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18 values of site 9 in autumn and site 10 in summer and autumn to calculate the mean values because  
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21 in 2010 they were dry.

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23 The values of enrichment factors for Ca, Co, Cu, Mn, Pb, Sr, and Zn (Table 2) showed an increase  
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26 along a downstream gradient of the Nestore river (sites 2-6). High EF values of the same metals  
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29 were usually observed at the Caina (site 7) and the Genna (site 8) streams (Fig. 2).

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32 The enrichment factors of Mo, Sb and Cd were not calculated because the majority of measured  
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35 concentrations were under the limit of detection.  
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#### 37 38 39 40 41 Regression-technique

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43 A significant number of metals have been used as normalisers in previous literature, including  
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46 aluminum (Schropp et al. 1990; Daskalakis and O'Connor 1995; Cooke and Drury 1998; Weisberg  
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49 et al. 1999; Roach 2005), iron (Daskalakis and O'Connor 1995; Schiff and Weisberg 1999; Tanner  
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52 et al. 2000), lithium (Loring 1990, 1991; Aloupi and Angelidis 2001; Veinott et al. 2001), vanadium  
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55 (Chaoyang and Hailong 2005) rubidium (Grant and Middleton 1990), and caesium and cobalt  
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58 (Matthai and Birch 2001).  
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3 In the present work, we selected aluminum, iron and vanadium. Aluminum is considered to be the  
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5 most suitable normaliser since it is a major constituent of fine-grained aluminosilicates (clays),  
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8 with which trace metals are associated. Iron oxides, which serve as a host for metals, are usually  
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11 associated with sediments in quantities related to the sediment surface area. Consequently, the  
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14 concentration of iron often exhibits a strong positive correlation to concentrations of trace metals in  
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17 sediment (Newman and Watling, 2007). Vanadium shows a strong positive association with organic  
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20 matter which serves as a matrix on particle surfaces for complexing metals (El-Moselhy 2006).  
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23 Linear regressions were performed for ten metals (Ca, Co, Cr, Cu, Mn, Ni, Pb, Se, Sr, and Zn) using  
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26 as alternative reference elements aluminium, iron and vanadium. The coefficient of the regression  
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29 was used as criteria for selection of the final normalizer. Data beyond 95% confidential intervals of  
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32 the linear regression equations were removed as the outliers in the calculation of the baseline.  
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35 Since site 9 in October and site 10 in October and August were dry, their metal concentration values  
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38 were not used to calculate the linear regressions, similarly to the EF calculation. Also, we did not  
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41 perform linear regressions for Mo, Sb and Cd, because the majority of measured concentrations  
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44 were under the limit of detection.  
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47 Aluminum was found to be the most suitable normaliser for Ca and Sr, iron for Mn and Zn, and  
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50 vanadium for Cu, Co and Ni. No statistically significant reference element was selected for Pb, Se  
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53 and Cr.  
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56 Linear regression analyses, mean values and linear ranges of the baseline concentrations are  
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59 presented in Table 3. The linear regressions were considered for  $P < 0.05$  with a relatively higher  $R^2$   
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62 value. If compared with estimate composition of the Upper Continental Crust (UCC) (Rudnick and  
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4 Gao, 2003), our mean baseline values resulted being lower than UCC concentrations for Cu, Co, Sr,  
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6 and Mn, higher for Ca and similar for Zn and Ni.  
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## 10 **Discussion**

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14 The sites under study showed mean metal concentrations characterized by high relative standard  
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16 deviations, revealing a wide range of seasonal variation (Table 1). Such high seasonal variability in  
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18 sediment metal is consistent with the dynamics of the hydrological regime of the river basin,  
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20 characterized by wet-winter and dry-summer. Nevertheless, the enrichment factors calculated on  
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22 average concentration made possible to assess the quality of the sediments according to the degree  
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24 of metal pollution and to discriminate between anthropogenic and natural sources. There was no  
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26 (EF<1) or minor ( $1 \leq EF < 3$ ) enrichment for all metals at the sites 2-8, except for Pb which showed a  
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28 moderate EF=3.2 enrichment at site 8.  
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36 According to Zhang and Liu (2002), the EF values revealed that all sites were contaminated from  
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38 anthropogenic sources (EF>1.5) at least for one metal, except sites 4 and 5. Sites 6, 7 and 8 were the  
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40 most contaminated sites. EF values greater than 1.5 were observed for Ca, Co, Cu, Mn, Pb, Sr and  
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42 Zn in sediments of site 8 and for Cu, Pb and Zn at sites 6 and 7. This evidence is consistent with the  
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44 level of anthropogenic disturbance, that characterize the Nestore catchment.  
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51 The left tributaries, Caina (site 7) and Genna (site 8) streams, flow in urbanized and agricultural  
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53 areas draining civil sewage, run-off from agricultural and wastewater from livestock farms. In  
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55 particular the site 8 is located about 2 km downstream from the wastewater treatment plant  
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57 (biodigester) from the swine farms (40,000 heads, at full capacity). The sites 2 and 3 presented an  
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305 EF=1.6 for Zn and Cr, respectively, above the threshold of 1.5 for anthropogenic disturbance. This  
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306 condition is probably a consequence of the wastewater of a glass factory located upstream of these  
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307 sites.

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308 The baselines calculated (sites 1, 9 and 10) using the regression technique were dependent on the  
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309 reference elements selected (Table 3 and Figure 1s). The impossibility of finding a suitable  
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310 reference element (i.e. Al, Fe or V) for Pb, Se and Cr may indicate a widespread contamination of  
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311 all sites of Nestore river basin by external sources, such as atmospheric deposition of Pb and Se  
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312 produced by the combustion of fossil fuel and by the use of metal contaminated fertilizers (Se, Pb  
313 and Cr) in agricultural activities (Jiang et al. 2013; Belon et al. 2012; Nacke et al. 2013). Moreover,  
314 the weaker relationships observed (i.e. Co, Mn, and Zn) could be due to the partial digestion method  
315 used, because the elements firmly bound in silicate structures are not easily dissolved by this  
316 procedure.

317 The mean values obtained for each metal by linear regression were used as baselines allowing to  
318 identify the anthropogenically disturbed sites. Mean metal concentrations (Table 1) higher than the  
319 baseline values were observed for Ca at sites 6, 7 and 8, for Cu at sites 2, 3, 6, 7 and 8, for Mn at  
320 sites 7 and 8, for Ni at sites 2 and 6, for Sr at sites 7 and 8, for Co and Zn at all sites.

321 To estimate the level of accordance between the two statistical methods used, we correlated for the  
322 sites 2-8 the mean enrichment factors versus the ratios between the mean metal concentrations and  
323 the mean baseline values (Table 4 and Figure 3). The good regression coefficients obtained (with  
324 the exception of Ni, for which both methods identified no or minor enrichment at all sites) indicated  
325 that the baseline values found by the regression technique were in agreement with the calculated

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326 enrichment factors and confirmed a major level of disturbance for sites 6, 7 and 8, minor for sites 2  
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327 and 3 respect to the least disturbed sites 4 and 5.  
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328 In addition, the mean enrichment factors were also calculated using the upper continental crust  
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329 (UCC) as reference background levels and Al, as reference element (Table 5). Compared to UC the  
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1530 sediment mean composition revealed a significant enrichment in Ca, Cu, Ni, Pb, and Zn in respect  
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1831 to Al amounts (Table 4). Sites 7-10 were also enriched in Sr, while sites 1, 7 and 8 showed some  
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2132 Mn excess. Comparison between the sampling sites showed in fact a severe enrichment in Ca at all  
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2333 the Nestore tributaries (sites 7-10), and some moderately severe and severe enrichment of the same  
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2634 element along the Nestore river (e.g., site 4, 5 and 6). Cu and Pb, in turn, were strongly enriched  
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2935 only at site 8, though they showed moderately severe enrichment also at site 6, 7 and 5, the latter  
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3236 with reference only to lead. Moderately severe enrichment values were also revealed for Zn at site  
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3537 2, 6, 7 and 8. A moderate enrichment was detected at all sites for Ni, at sites 1, 7 and 8 for Mn and  
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3838 at sites 7-10 for Sr.  
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4139 In summary, the mean enrichment factors calculated using the upper continental crust values were  
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4340 much higher than those calculated with our new approach for all metals (except for Se) in all sites,  
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4641 including sites 1, 9 and 10. The latter, characterized by a high ecological quality, should have  
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4942 shown only minor enrichments and this therefore demonstrated the inadequacy of the use of the  
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5243 UCC content as reference background levels for Nestore river basin sediments.  
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## 60 **Conclusions**

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Geochemical baseline concentrations of various metals (Ca, Co, Cr, Cu, Mn, Ni, Pb, Se, Sr, V and Zn) in surface sediments of Nestore river basin were estimated by the methods of enrichment factors and normalization process (regression technique). Sites located within the basin and characterized by high ecological quality were used as reference points.

According to the calculated enrichment factors, sites 6 (Nestore river), 7 (Caina stream) and 8 (Genna stream) were the most contaminated sites. EF values greater than 1.5 were observed for Ca, Co, Cu Mn, Pb, Sr, and Zn in sediments of site 8 and for Cu, Pb and Zn at sites 6 and 7. The sites 2 and 3 presented EF values equal to 1.6 for Zn and Cr, respectively.

The baseline values found by the regression technique were in agreement with the calculated enrichment factors and confirmed a major level of disturbance for sites 6, 7 and 8 and minor for sites 2 and 3.

These results are consistent with the presence and the geographical distribution of industrial, zootechnical and agricultural activities in the area of the study (ARPA Umbria 2010).

On the other side the enrichment factors calculated using UCC content as reference background levels were usually much higher ( $1.1 \leq EF \leq 22$ ) than those obtained with our approach ( $0.2 \leq EF \leq 3.2$ ), also for sites 1, 9 and 10 characterized by a high ecological quality.

In conclusion, our results showed that sediments with high ecological quality can be considered suitable reference points for baseline studies. They can also be used to assess the metal pollution level in river basins characterised by intensive and widespread anthropogenic activities.

Since the values of ecological quality are acquired relatively easily and inexpensively, our approach could be applied in regional monitoring and remediation programs to identify in a quick and cheap

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368 way the reference points for the determination of the metal geochemical baselines in order to  
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369 recognize with a best accuracy anthropogenically disturbed areas in riverine system.  
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## FIGURE CAPTIONS

**Fig. 1** Nestore river basin (Umbria, Central Italy) and location of the sampling sites

**Fig. 2** Correlation of the mean Enrichment Factors vs ratios between the mean metal concentrations and the mean baseline values for sites 2-8 of the Nestore river basin

## TABLE CAPTIONS

**Table 1** Mean concentrations (mg/kg)  $\pm$  standard deviation of metals in sediments of the Nestore river basin sites

**Table 2** Mean Enrichment factors of metals in sites 2-8 of the Nestore river basin sites

**Table 3** Linear regression equations for various heavy metals on the reference elements and the corresponding calculated baseline concentrations (mg/kg) in the sediments of the Nestore river basin

**Table 4** Ratios between the mean metal concentrations and the mean baseline values for sites 2-8 of the Nestore river basin

**Table 5** Mean Enrichment factors of metals in sites 1-10 of the Nestore river basin sites calculated using the Upper Continental Crust as the reference background levels and Al, as the reference element

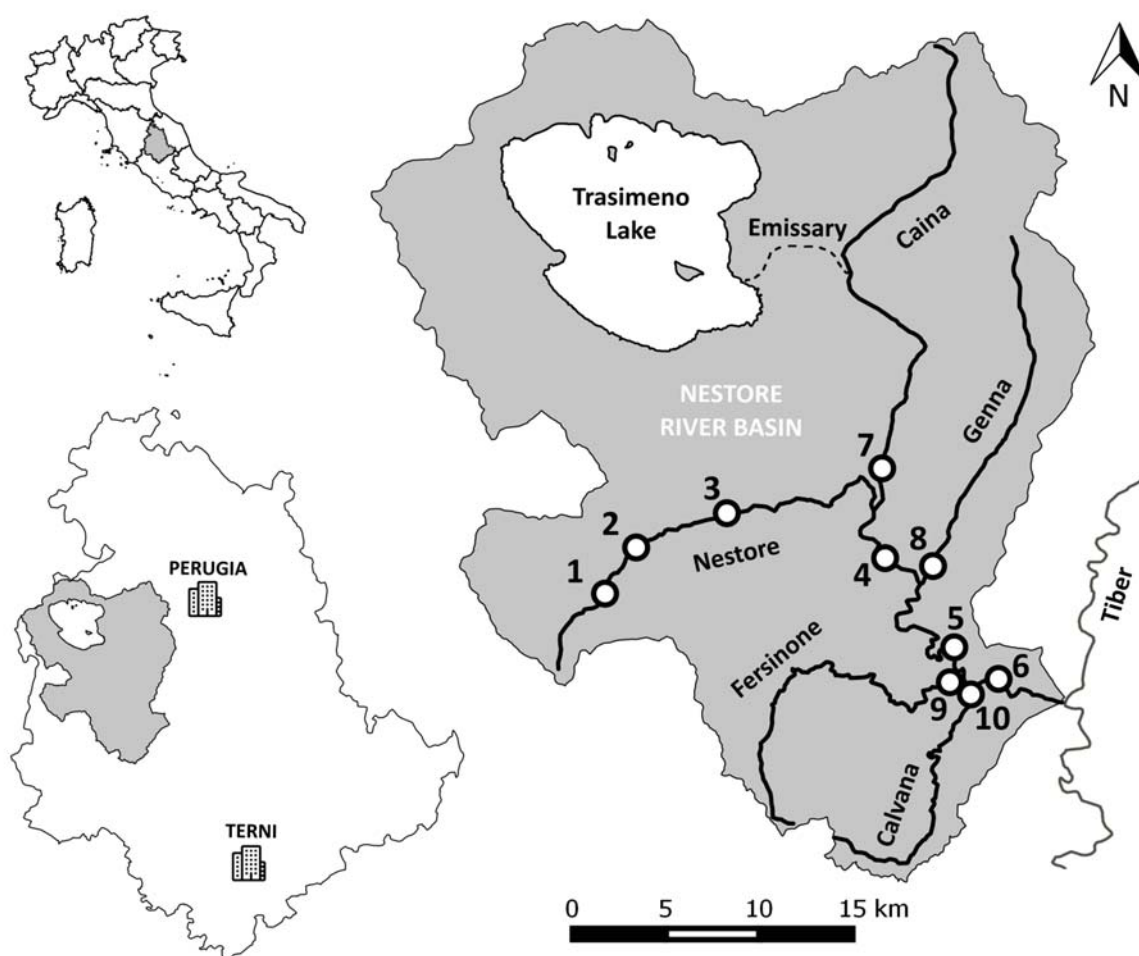
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Fig. 1 Graphic Program: QGIS 2.14 Essen



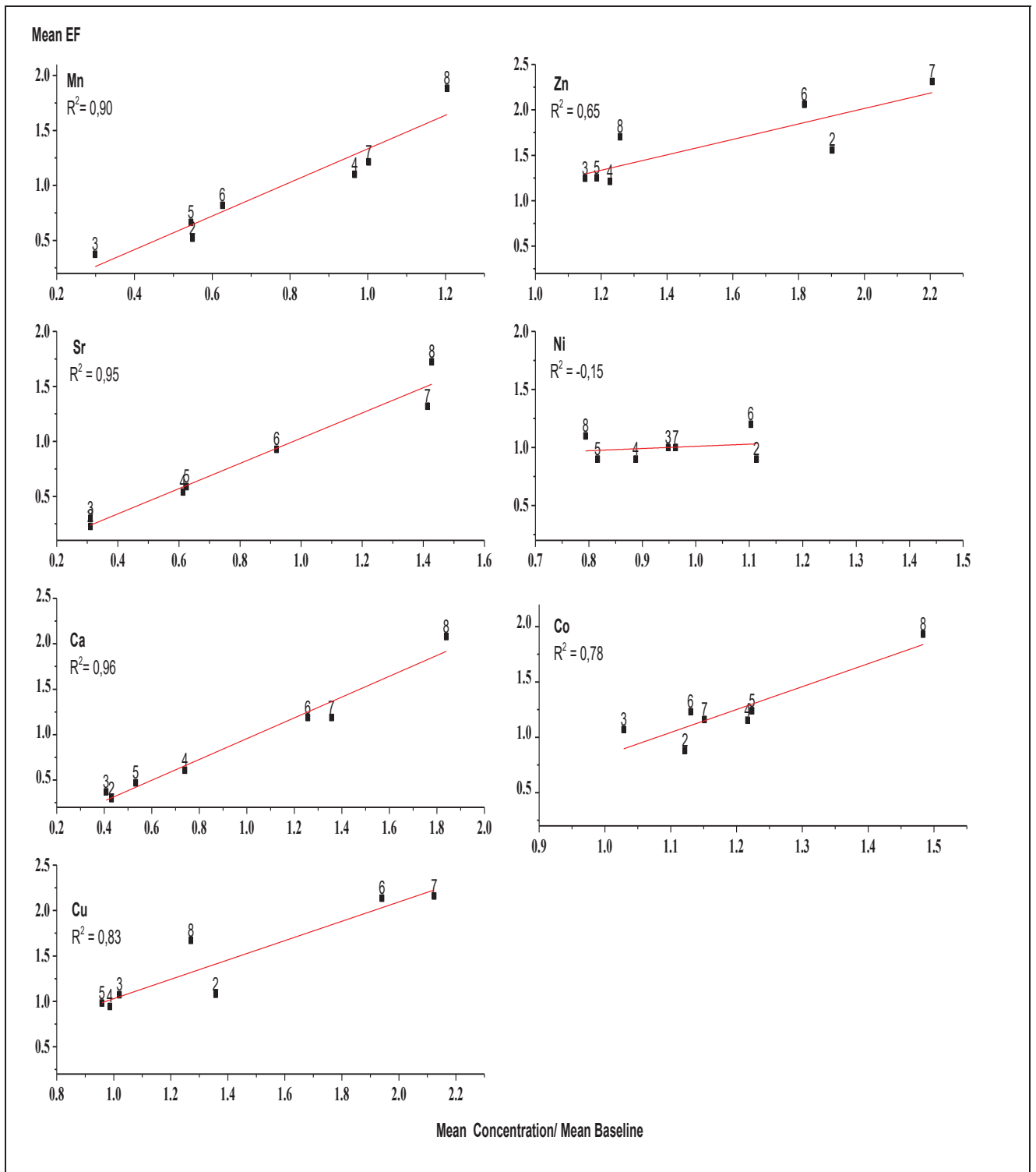
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**Fig. 2** Graphic Program: OriginPro 9.0 64bit



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Metal/Site	1	2	3	4	5
Al	20000 ± 1500	27300 ± 50100	20700 ± 2200	22700 ± 1800	21200 ± 7100
Ca	27200 ± 17800	31400 ± 5400	29800 ± 6800	53900 ± 11900	38800 ± 25300
Cd	1.1 ± 1.0	1.6 ± 1.0	1.3 ± 1.1	1.3 ± 1.1	1.7 ± 1.3
Co	7.4 ± 2.8	7.8 ± 1.8	7.1 ± 1.2	8.4 ± 1.3	8.5 ± 1.4
Cr	28.8 ± 7.2	38.3 ± 9.5	37.1 ± 16.2	15.8 ± 1.0	12.5 ± 3.4
Cu	9.8 ± 2.9	23.1 ± 9.5	17.4 ± 7.1	16.8 ± 7.1	16.3 ± 5.2
Fe	19200 ± 2500	26000 ± 6100	19900 ± 2600	21400 ± 2700	19200 ± 6300
Mn	617.3 ± 676.1	264.4 ± 212.2	143.7 ± 53.6	465.0 ± 228.7	262.6 ± 153.1
Mo	<1.95	<1.95	<1.95	<1.95	<1.95
Ni	42.5 ± 3.4	53.1 ± 15.2	45.3 ± 5.6	42.4 ± 5.2	39.0 ± 2.5
Pb	17.9 ± 6.9	25.0 ± 15.0	23.0 ± 8.5	22.0 ± 11.0	19.9 ± 12.3
Sb	1.4 ± 0.6	1.7 ± 0.7	1.5 ± 0.4	1.4 ± 0.2	1.4 ± 0.0
Se	3.1 ± 1.4	3.9 ± 0.4	2.5 ± 0.7	2.1 ± 1.0	2.4 ± 1.2
Sr	28.2 ± 6.0	59.6 ± 23.4	59.6 ± 35.9	117.7 ± 14.5	119.9 ± 48.3
V	33.6 ± 6.8	45.5 ± 10.4	31.9 ± 2.7	31.6 ± 7.0	36.7 ± 18.9
Zn	51.3 ± 8.8	129.2 ± 59.7	78.2 ± 18.6	83.3 ± 10.2	80.6 ± 14.4

Metal/Site	6	7	8	9	10
Al	19700 ± 1300	21300 ± 5300	16500 ± 1100	24600 ± 1100	22400 ± 2700
Ca	91600 ± 61000	98800 ± 12700	134000 ± 18100	145800 ± 8500	91400 ± 47300
Cd	2.0 ± 1.4	1.6 ± 1.1	2.1 ± 1.7	1.5 ± 1.3	1.8 ± 1.8
Co	7.8 ± 2.6	8.0 ± 2.0	10.3 ± 0.9	6.3 ± 1.6	7.3 ± 2.7
Cr	24.1 ± 6.9	18.6 ± 3.1	18.2 ± 1.3	19.7 ± 0.7	23.9 ± 2.1
Cu	33.1 ± 9.8	36.2 ± 14.2	21.6 ± 6.5	22.6 ± 5.1	29.4 ± 5.8
Fe	19800 ± 1300	21000 ± 5000	15700 ± 1000	23700 ± 1400	22600 ± 2600
Mn	301.2 ± 260.7	482.3 ± 109.7	579.3 ± 278.8	243.8 ± 68.4	275.4 ± 53.3
Mo	<1.95	<1.95	<1.95	<1.95	<1.95
Ni	52.6 ± 13.3	45.9 ± 6.3	37.9 ± 4.8	49.5 ± 4.4	55.4 ± 8.2
Pb	29.4 ± 19.5	33.5 ± 15.6	39.8 ± 20.3	22.6 ± 12.3	23.7 ± 10.7
Sb	1.5 ± 0.1	1.5 ± 0.2	1.5 ± 0.2	<1.4	<1.4
Se	3.3 ± 0.6	3.0 ± 0.7	1.8 ± 0.7	3.8 ± 1.2	4.7 ± 1.1
Sr	176.5 ± 45.5	271.3 ± 29.5	274.0 ± 35.4	406.3 ± 44.0	338.7 ± 54.7
V	32.3 ± 11.4	34.1 ± 10.7	22.2 ± 3.5	40.7 ± 4.3	42.7 ± 11.9
Zn	123.4 ± 25.7	149.8 ± 66.1	85.4 ± 15.0	77.1 ± 7.4	79.9 ± 22.6

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<b>EF</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>	<b>EF mean</b>
<b>Ca</b>	0.3	0.4	0.6	0.5	1.2	1.2	2.1	0.9
<b>Co</b>	0.9	1.1	1.2	1.2	1.2	1.2	1.9	1.2
<b>Cr</b>	1.3	1.6	0.6	0.5	1.1	0.8	1.0	1.0
<b>Cu</b>	1.1	1.1	0.9	1.0	2.1	2.2	1.7	1.4
<b>Fe</b>	1.0	1.0	1.0	0.9	1.0	1.0	1.0	1.0
<b>Mn</b>	0.5	0.4	1.1	0.7	0.8	1.2	1.9	0.9
<b>Ni</b>	0.9	1.0	0.9	0.9	1.2	1.0	1.1	1.0
<b>Pb</b>	1.2	1.5	1.3	1.2	2.0	2.1	3.2	1.8
<b>Se</b>	0.9	0.7	0.6	0.7	1.0	0.8	0.7	0.8
<b>Sr</b>	0.2	0.3	0.5	0.6	0.9	1.3	1.7	0.8
<b>V</b>	0.9	0.9	0.8	1.0	0.9	0.9	0.8	0.9
<b>Zn</b>	1.6	1.2	1.2	1.3	2.1	2.3	1.7	1.6



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Table 3. Linear regression equations for various metals on the reference elements and the corresponding calculated baseline concentrations (mg/kg) in the sediments of the Nestore river basin

Element	Formula	R <sup>2</sup>	Baselines		
			Min	Max	Mean
Ca	= -379220 + 208900*Al	0.82	11100	153000	73840
Co	= -0.3 + 1890*V	0.48	5.4	11.2	6.9
Cu	= -14.4 + 8070*V	0.73	6.5	34.5	17.0
Mn	= 3150 -1270*Fe	0.57	196	1630	481.1
Ni	= 15.2 + 8330*V	0.80	38.2	68.9	47.7
Sr	= -1100 + 590*Al	0.65	24.2	479.1	191.9
Zn	= -57.8 + 58.4*Fe	0.48	41.7	118.3	67.9

\* correlations were statistically significant at  $p < 0.05$ .

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<b>Metal/Site</b>	<b>2</b>	<b>3</b>	<b>4</b>	<b>5</b>	<b>6</b>	<b>7</b>	<b>8</b>
<b>Ca</b>	0.4	0.4	0.7	0.5	1.3	1.4	1.8
<b>Co</b>	1.1	1.0	1.2	1.2	1.1	1.2	1.5
<b>Cu</b>	1.4	1.0	1.0	1.0	1.9	2.1	1.3
<b>Mn</b>	0.5	0.3	1.0	0.5	0.6	1.0	1.2
<b>Ni</b>	1.1	0.9	0.9	0.8	1.1	1.0	0.8
<b>Sr</b>	0.3	0.3	0.6	0.6	0.9	1.4	1.4
<b>Zn</b>	1.9	1.2	1.2	1.2	1.8	2.2	1.3

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EF	1	2	3	4	5	6	7	8	9	10	EF mean
<b>Ca</b>	3.5	3.1	4.0	6.5	6.0	12.2	13.6	21.8	15.9	10.3	9.7
<b>Co</b>	1.8	1.3	1.6	1.8	2.0	1.9	1.8	3.0	1.2	1.5	1.8
<b>Cr</b>	1.4	1.4	1.8	0.7	0.6	1.2	0.9	1.1	0.8	1.1	1.1
<b>Cu</b>	1.6	2.6	2.8	2.4	2.7	5.4	5.7	14.0	3.0	4.3	4.4
<b>Fe</b>	2.2	2.2	2.2	2.2	2.1	2.3	2.3	2.2	2.2	2.3	2.2
<b>Mn</b>	4.4	1.2	0.9	2.7	2.0	2.0	3.1	4.8	1.3	1.6	2.4
<b>Ni</b>	3.9	3.5	4.1	3.4	3.6	4.9	4.1	4.2	3.7	4.6	4.0
<b>Pb</b>	4.2	4.1	5.3	4.5	4.9	7.1	7.8	11.5	4.4	5.2	5.9
<b>Se</b>	0.3	0.2	0.2	0.2	0.2	0.3	0.2	0.2	0.2	0.3	0.2
<b>Sr</b>	0.3	0.5	0.7	1.2	1.5	2.0	3.1	3.8	3.8	3.5	2.1
<b>V</b>	1.3	1.2	1.2	1.1	1.3	1.2	1.2	1.0	1.2	1.4	1.2
<b>Zn</b>	2.9	5.2	4.4	4.2	4.5	7.1	8.2	5.9	3.6	4.1	5.0