

Connecting governance interventions to ecosystem services provision: a social-ecological network approach

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Abstract:	<p>1. The fulfilment of the benefits resulting from services provided by nature requires an integrated framework that combines appropriate ecosystem service governance with spatially-explicit models of service provision.</p> <p>2. Here, we propose using a social-ecological network approach to develop a "landscape governance framework" that identifies how different types of governance can act on supply, demand, and flow of ecosystem services through changes in landscape structure and connections.</p> <p>3. Starting from undesirable situations where demand exceeds supply, we exemplify the application of this conceptual model considering hierarchical (e.g., creation of protected areas), market (e.g., payments for environmental services) and community-based (e.g., enhancing links between stakeholders) governance approaches.</p> <p>4. We show how interventions associated with each of these approaches act in distinct ways to regulate different components of the service provision chain in heterogeneous landscapes. Filling such knowledge gaps can help identify appropriate governance interventions depending on factors that limit provision: restricted supply, demand, or flow.</p> <p>5. The application of the landscape governance framework entails challenges related to availability of data and limited understanding of key underlying mechanisms. However, it opens important new research</p>

	questions at the interface between governance and ecosystem services, with great potential as a tool for landscape management that aims to achieve ecosystem service sustainability.



Connecting governance interventions to ecosystem services provision: a social-ecological network approach

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27 **ABSTRACT**

- 28 1. The fulfilment of the benefits resulting from services provided by nature requires an
- 29 integrated framework that combines appropriate ecosystem service governance with
- 30 spatially-explicit models of service provision.
- 31 2. Here, we propose using a social-ecological network approach to develop a “landscape
- 32 governance framework” that identifies how different types of governance can act on
- 33 supply, demand, and flow of ecosystem services through changes in landscape structure
- 34 and connections.
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- 36 application of this conceptual model considering hierarchical (e.g., creation of protected
- 37 areas), market (e.g., payments for environmental services) and community-based (e.g.,
- 38 enhancing links between stakeholders) governance approaches.
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- 40 to regulate different components of the service provision chain in heterogeneous
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- 43 5. The application of the landscape governance framework entails challenges related to
- 44 availability of data and limited understanding of key underlying mechanisms. However, it
- 45 opens important new research questions at the interface between governance and
- 46 ecosystem services, with great potential as a tool for landscape management that aims to
- 47 achieve ecosystem service sustainability.

48 **KEY-WORDS**

49 Ecosystem Services Governance; Ecosystem Services Supply, Demand and Flows; Landscape

50 Governance; Social-Ecological Network; Spatial Planning; Sustainability.

1. INTRODUCTION

Humanity is facing unprecedented sustainability challenges, such as adapting to and mitigating climate change while ensuring enough potable water, food and energy for a growing population (IPBES, 2019). To face these challenges, solutions will have to take full advantage of the benefits that nature provides to people through ecosystem services provision (TEEB, 2010) so as to reverse recent trends in loss and degradation of these services (IPBES, 2019). For this to occur, we need governance systems capable of dealing with ecosystem service management at multiple scales, from local to global (Scholes et al., 2013), especially those considering the spatially-explicit implications of landscape management. Much of our knowledge of ecosystem services, landscape functioning and environmental governance, however, is still scattered across different disciplines and research fields, limiting its full application to sustainable landscape management. We need urgently to integrate our understanding of the functional mechanisms of ecosystem service provision at landscape scales, with insights into the governance interventions that maximise their benefits to people. Here, we propose a spatially-explicit conceptual framework that connects governance approaches to ecosystem service provision. In this “landscape governance framework” we conceptualise landscapes as social-ecological networks that link social networks of ecosystem service demand with ecological networks of ecosystem service supply (Bodin et al., 2017, 2019; Dee et al. 2017). Using this framework, we conceptually explore how and where different types of governance interventions act on components of the network (including supply and demand nodes and their connections representing ecosystem service flow), which, in turn, allows identification of when and what type of intervention might most usefully improve ecosystem services delivery.

73 We particularly focus on the landscape scale, acknowledging the complexity of land ownership and
74 governance at this mesoscale (Görg, 2007), because it is at this scale - as well as at local scales - at
75 which management interventions of ecosystem service supply are possible (Maseyk et al., 2017;
76 Spake et al., 2019). The importance of landscape-level processes is well-documented for many
77 ecosystem services (Castro et al., 2014; Müller, de Groot, & Willemsen, 2010), but integrated
78 social-ecological processes for governance interventions at these scales are lacking.

79
80 Ample evidence suggests that both landscape composition (cover and heterogeneity of the
81 different types of landscape units) and configuration (i.e., parameters related to the spatial
82 arrangement of landscape units) affect the provision of many ecosystem services. For example,
83 edge effects can alter the potential sequestration of carbon (Melito, Metzger, & de Oliveira, 2017),
84 habitat isolation and proximity affect the provision of both pollination (Saturni, Jaffé, & Metzger,
85 2016) and pest control services (Librán-Embid, de Coster, & Metzger, 2017). Landscape
86 composition and heterogeneity can also affect water provision (Qiu & Turner, 2015) as well as
87 quality (Uriarte et al., 2011), or regulation of sediment erosion (Chaplin-Kramer et al., 2016). Both
88 landscape composition and configuration of different land use types and land-use intensity can
89 often be managed to improve provision of ecosystem services (Spake et al., 2019). Further, the
90 intensity and spatial location of human demand for ecosystem services across landscapes will also
91 influence the access to and provision of these services (Burkhard et al., 2012). Expansion of areas
92 of demand in a way that also reduces supply is common and widely documented. For example, the
93 expansion of agriculture often involves an increase in areas of demand for pollination and pest
94 control services, but the consequent reduction and fragmentation of native vegetation areas
95 surrounding croplands can reduce supply through the degradation of habitat quality for the

96 organisms that offer those services (Kremen, Williams, & Thorp, 2002). Therefore, by
97 homogenizing the landscape, flows between areas of supply and demand can be reduced, and the
98 provision of services undermined (Landis et al., 2008; Schulp, Lautenbach, & Verburg, 2014;
99 Watson et al., 2019). For many ecosystem services, demand and supply areas are distinct and
100 under different governance arrangements (Mitchell et al., 2015). Therefore, the provisioning of
101 ecosystem services requires flows through the landscape that connect demand with supply
102 (Fisher, Turner, & Morling, 2009; Serna-Chavez et al., 2014). Landscape-level processes can thus
103 affect the supply, the demand, or the flow, with effects on supply and flows being the most
104 investigated (Aquilué et al., 2020).

105

106 The links between governance and ecosystem services have also been extensively explored
107 (Gómez-Baggethun & Muradian, 2015; Primmer et al., 2015; Vatn, 2010, 2018). *Ecosystem services*
108 *governance* refers to the processes by which a range of actors (e.g., government, resource users,
109 environmental groups and private entities) make decisions that influence the use of ecosystem-
110 derived goods and services. It may be defined as the institutionalisation of mechanisms for
111 collective decision-making and collective action with respect to natural resource management
112 (Muradian & Rival, 2013). In this context, ecosystem services governance involves policy,
113 legislation, law enforcement, decision-making processes, property rights and market distributions,
114 which may be complemented by partnerships between public and private sectors. Yet, given the
115 complex nature of social-ecological systems, ecosystem service governance has several challenges.
116 These include: (1) ecosystem service governance has to deal with a diversity of institutions that
117 have historically evolved around and on top-of each other, which may lead to overlap and
118 incoherence among them; (2) it involves very 'heterogeneous actors' with competing interests,

asymmetric bargaining power, and different value systems and preferences, which makes it difficult to prioritize actions relating to ecosystem services; (3) there is substantial 'fragmentation of knowledge' among different scientific disciplines or between scientific and practical knowledge, which needs to be integrated and combined through a co-production or transdisciplinary approach (Mauser et al., 2013) to be strengthened; and (4) the highly dynamic nature of natural processes in social-ecological systems requires adaptive governance to allow for learning and responding to environmental and social change (Loft, Mann, & Hansjürgens, 2015).

The need to incorporate landscape processes within ecosystem services governance is partially reflected in *landscape governance* research (Görg, 2007). This research stresses the relevance of the spatial dimension for governance processes. Landscape governance deals with the interconnections between socially constructed spaces and the "natural" conditions of places. This includes questions of how different governance decisions affect ecosystem services (Görg, 2007). Issues of institutional fit (i.e., mismatches between institutions and the landscape to which they apply) usually arise when landscape and governance are considered simultaneously (Ekstrom & Young, 2009; Treml et al., 2015). These issues have been addressed in an innovative fashion in studies that use social–ecological network analysis (SENA) (Bodin & Tengö, 2012; Dee et al., 2017; Guerrero et al., 2015; Sayles & Baggio, 2017). However, the spatial analysis of governance institutional arrangements is often a missing element of landscape sustainability science (Cumming & Epstein, 2020).

Despite the existence of analytical approaches linking landscape structure to ecosystem services, and governance to ecosystem services, an approach that considers how governance can affect

ecosystem services through their effect on landscape and social-ecological network structures is yet to be developed. A reason for this may be that the ‘human’ scales of landscape governance and ecosystem services demand do not necessarily align with the ecological scales of ecosystem services provision (Mitchell et al., 2015; Scholes et al., 2013). By drawing on ecosystem services concepts, social-ecological networks analysis, ecosystem services governance, and landscape governance scholarship, we develop a conceptual framework that links different types of governance interventions on landscape structure and the spatial social-ecological networks that determine landscape-scale ecosystem service provision. The development of such a framework is guided by the need to understand how landscape governance can improve the provision of ecosystem services through their effects on supply, demand and flows of ecosystem services. We envisage this framework will support ecosystem service users to interrogate the mechanisms by which interventions affect ecosystem service provision in complex landscapes, and help decision-makers select the most appropriate interventions depending on the structure of the social-ecological network. In other words, our framework is well suited to identifying ‘problematic situations’ where there are likely to disconnects between governance, and supply and demand of ecosystem service.

Herein we present the proposed framework and explore basic governance interventions that affect landscape structure, network structure and ecosystem services provision. We then illustrate the application of the framework by using examples of governance interventions in existing landscapes and discuss practical implications and future applications of the framework.

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165 **2. THE CONCEPTUAL LANDSCAPE GOVERNANCE FRAMEWORK**

166 Drawing on Ostrom (2007), we conceptualise the provision of ecosystem services as a social-
167 ecological system involving complex and dynamic human-ecosystem interactions. These
168 interactions involve networks of areas of supply (where the service is generated) and areas of
169 demand, which are linked through flows of species, humans or matter to areas of human demand
170 (Fisher et al., 2009). When the flows allow human demand to be directly or indirectly connected,
171 generating a benefit, then the provision of an ecosystem service occurs. Links can occur through
172 flows of species and matter (most provisioning and regulating services) out of areas of supply, or
173 through human movement to the areas of supply (e.g., recreational or cultural services).

174
175 Our social-ecological system comprises three main interconnected components: *governance*
176 *interventions*, which affect how *actors* interact with the landscape, therefore affecting *ecosystem*
177 *services provision*. These elements and their interactions are influenced by the broad *governance*
178 *system* and the *landscape* in which they are embedded (Fig. 1).

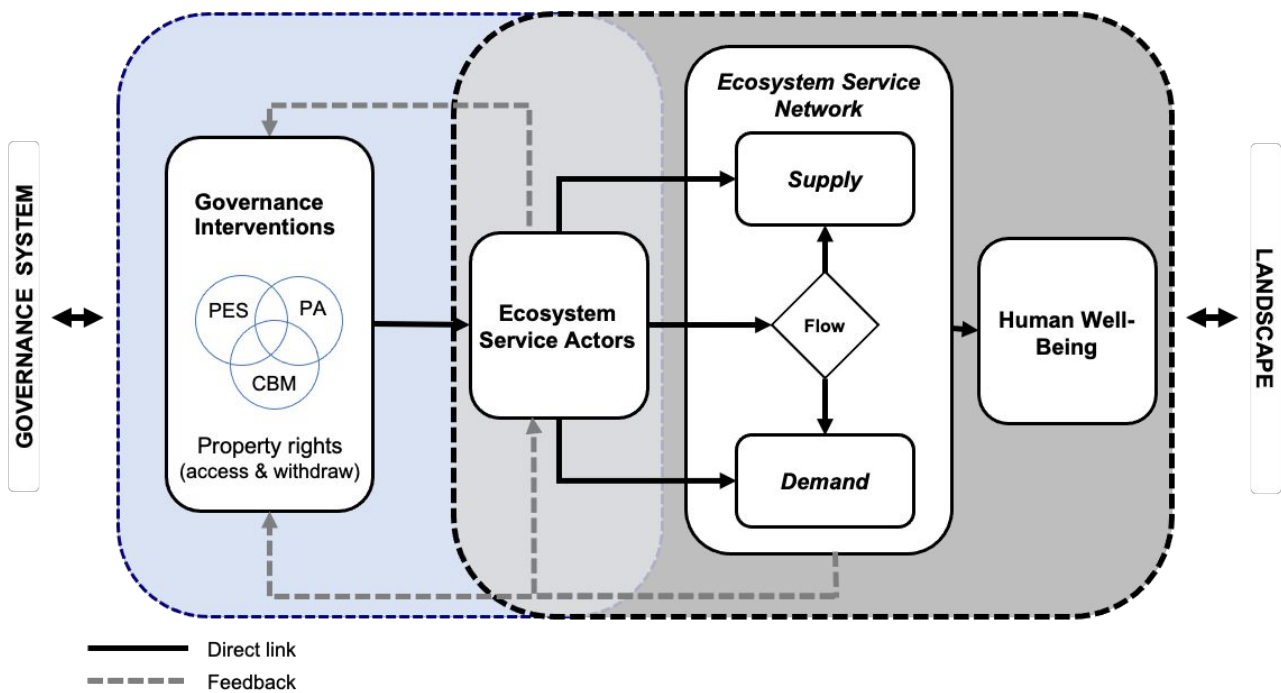


Figure 1. The proposed social-ecological framework relating governance interventions to ecosystem service provision network (and then to human well-being) through ecosystem service actors' effects on supply, flow and demand of ecosystem services. The *governance system* is the broader context, in which governance interventions are designed and implemented. The *landscape* is the mosaic of supply and demand nodes, interlinked (or not) by flows (depending on the landscape structure, ecosystem type and flows behaviour), resulting in a social-ecological network of ecosystem service provision. Several *feedbacks* are expected (represented by dotted lines). PES: payments for ecosystem services; PA: protected areas; CBM: community-based management.

In this context, *actors* are individuals or organisations (e.g., government, resource users, business and environmental groups) demanding services or whose activities (policy-making, resource use and management) affect the landscape, and thus its capacity to provide ecosystem services. Actors can affect the provision of ecosystem services in different ways, directly or indirectly modifying the supply, the different types of flows (between areas of supply and demand, supply and supply, or demand and demand) or create demand for ecosystem services. These transformations occur often through interventions, at different scales, in landscape composition

199 and configuration, or by modification in land use intensity of land use (Spake et al., 2019). For
200 example, actors can manage supply areas (e.g. deciduous forests) to increase their quality (e.g.
201 reducing disturbance, controlling invasive species, introducing native species, setting aside areas
202 for conservation purposes), or even to create (by restoration) new supply patches. Changes in
203 landscape composition and configuration can also affect flows. For example, these interventions
204 could aim to increase the density of supply-demand interfaces, or create corridors or network
205 infrastructure to facilitate flow between supply and demand areas (Aristizábal & Metzger, 2019).
206 Actors could also act directly on demand, reducing or controlling the demand areas to match an
207 adequate balance between supply and demand in the landscape (e.g. by enabling increased
208 demand for regulating services by creating a forest-agricultural matrix landscape in formerly 'pure'
209 forested landscapes; Mitchell et al., 2015).

210

211 Different *environmental governance interventions* linked to respective changes in governance
212 structures (Vatn, 2015), can be associated with different governance modes: namely hierarchies,
213 markets, community-based approaches and hybrids (Lemos & Agrawal, 2006; Fig. 2). *Hierarchies*
214 are based on command-and-control approaches implemented in a top-down fashion through
215 existing authority and power structures. These include mandatory arrangements that impose
216 restrictions on land use (e.g., laws and regulations and the designation of protected areas). An
217 example would be the European Water Framework Directive and its transposition into national
218 laws. *Market-based approaches* are based on financial incentives such as payments for ecosystem
219 services (e.g. the *Pagos por Servicios Ambientales*- Program in Costa Rica; Sattler et al., 2013;
220 Wunder, 2008) or agri-environmental programs (e.g. the European Union's Common Agricultural
221 Policy; Schomers & Matzdorf, 2013) that reward land users for adopting more environmentally

friendly land management. *Community-based approaches* are typically based on self-organisation and collaboration among resource users (Cox, Arnold, & Tomás, 2010; Ostrom, 2009; Villamayor-Tomas & García-López, 2018), like the Citizen Foundation in the Spreewald region in Germany. *Hybrids* comprise combinations of these governance modes. They include, for example: community-based environmental management (CBEM, e.g., Muradian & Rival, 2012; Sattler et al., 2016; Vatn, 2010), where users and governments share responsibilities in ecosystem services governance; community-developed PES (e.g., Schröter et al., 2018); and collaborative AEPs (e.g., Franks, 2010; Prager, Reed, & Scott, 2012; Westerink et al., 2017), which combine hierarchies and markets (Fig. 2). An example is the Community Blue Carbon Program on the Osa Pensinsula in Costa Rica, which combines market, community management and hierarchies (Schröter, B.; Meyer, C.; Mann, C.; Sattler, 2019).

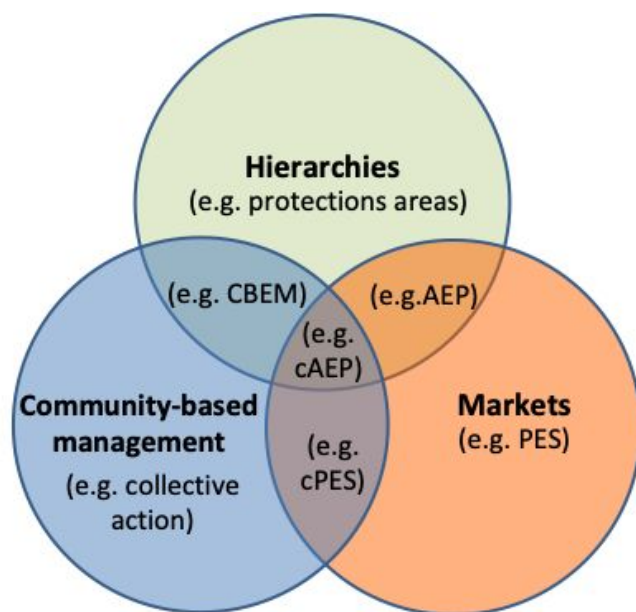


Figure 2. Representation of governance interventions according to different governance modes (hierarchies, community and markets). PES = payments for ecosystem services, cPES =

community-carried PES, CBEM = Community-based environmental management, AEP = agri-environmental programs, cAEP = collaborative AEP.

The choice and effectiveness of a given governance intervention will depend on which part of the service provision chain (supply, demand, or flow) actors aim to influence. As we explain in the following section, each type of intervention affects a different component of the interactions, e.g. hierarchies and market interventions affect supply and flows between areas of supply and between supply and demand nodes, while community-based management interventions are related to demand nodes and flows between demand-demand and demand-supply nodes.

The links from governance interventions, to actors and ecosystems service provision depend on *property rights*, which determine the actions that actors are authorised to take, such as access and withdrawal, management, exclusion and alienation (Galik & Jagger, 2015; Schlager & Ostrom, 1992), which in turn affects ecosystem service provision (Table 1). Variation in access to ecosystem services due to governance interventions is critical for ecosystem service management of (e.g. Daw et al., 2015), but is often excluded from ecosystem service modelling.

Table 1: Bundles of natural resource property rights (after Galik & Jagger, 2015).

Right	Description	Effects on the ecosystem service provision
Access	The right to enter a defined physical property	Limits supply-demand flows for some users and enlarges it for others
Withdrawal	The right to obtain products of a	Limits supply-demand flows for some

	resource	users and enlarges it for others
Management	The right to regulate internal use pattern and transform the resource by making improvements	Limits or authorizes users who can manage supply locations (i.e. nodes)
Alteration	The right to change the set of goods and services provided by a resource	Limits or authorizes users who can manage supply locations (i.e. nodes)
Exclusion	The right to determine who will have an access right and how such right may be transferred	Limits supply-demand flows (i.e. links) for some users and enlarges it for others
Alienation	The right to sell or lease some or all management, alteration and exclusion rights	Limits supply-demand flows (i.e. links) for some users and enlarges it for others

256

257 The choice of governance interventions also depends on the type of goods associated with
 258 ecosystem services, which can be differentiated based on two attributes pertaining to the private-
 259 public nature of such services: *rivalry* and *excludability*. Rivalry refers to whether the use of a given
 260 service reduces the amount of that service for others to use. Excludability refers to whether the
 261 users of a given service can be excluded by physical or institutional means (Fisher et al., 2009;
 262 Ostrom, 2005). These attributes – together with the type of ecosystem service, its intended use
 263 and associated property rights – determine if such goods are public (non-excludable and non-
 264 rival), common or open access (non-excludable and rival), club (excludable and non-rival) or
 265 private (excludable and rival) (Costanza, 2008). Most provisioning services are rival and excludable,
 266 while most regulating and cultural services are non-rival and non-excludable. In a few cases, we

267 can have other combinations (e.g., cultural services in private lands are excludable but non-rival;
268 some provisioning services, like deep-sea fisheries are rival but non-excludable). In this context,
269 interventions based on hierarchies (e.g., protected areas) are usually used to address ecosystem
270 services behaving as public goods, markets when ecosystem services behave as private goods and
271 community-based management for common or open-access.

272

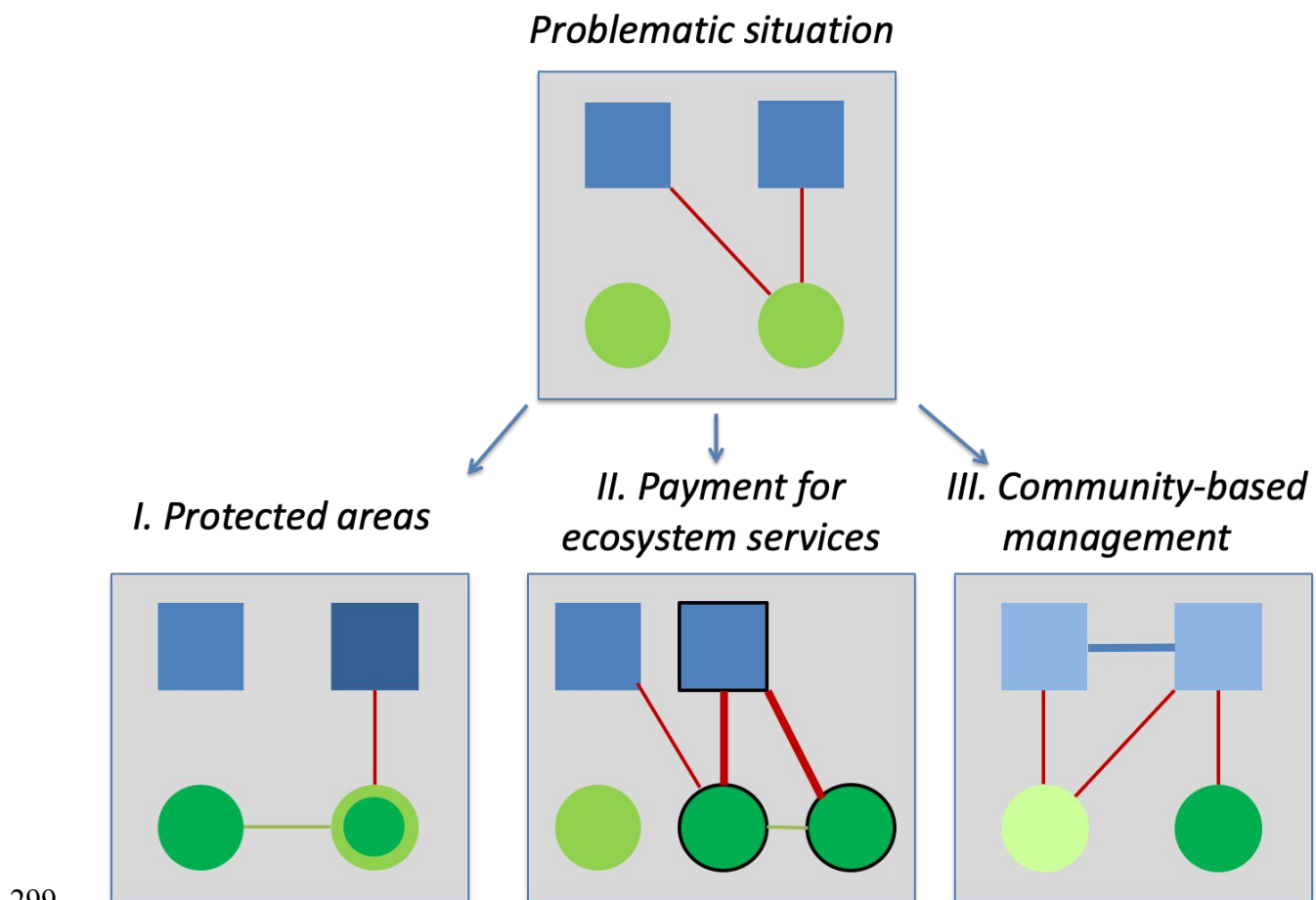
273 This landscape governance framework builds upon previous conceptual models of social-ecological
274 systems (Barnaud et al., 2018; Lescourret et al., 2015; Vialatte et al., 2019) and network
275 approaches (Bodin et al., 2019; Dee et al., 2017), by incorporating spatially-explicit ecosystem
276 services supply and demand nodes and ecosystem service flows. This approach innovates from
277 previous ones by allowing an explicit understanding of the effects of landscape-level processes on
278 service provision. Particularly, it enables characterisation of the effect of spatial location and
279 proximity on the network. The landscape governance framework also innovates by linking the
280 types of governance, which are known to be main drivers of system change, with networks of
281 supply and demand. The allows the exploration of: i) where and how governance interventions
282 and property rights act in the network; ii) what are the implications of local (e.g. node) actions on
283 the whole network; and iii) where and what interventions should be employed to optimize the
284 network for the provision of a given service to secure ecological fit in a first place and social-
285 ecological fit in the long run (Epstein et al., 2015).

286

287 **3. USING GOVERNANCE INTERVENTIONS TO RESOLVE ECOSYSTEM SERVICES UNDERSUPPLY**

288 Based on the framework developed above, we examine how different governance interventions
289 can help solve ‘problematic situations’ related to insufficient provision of ecosystem services due

290 to disconnects between governance and supply and demand nodes. We consider a common
 291 problematic situation that can be represented as a social-ecological network (Fig. 3), where two
 292 demand nodes (such as two agricultural plots or villages) are using a service from the same supply
 293 node (such as a forest), and no interactions exist between supply nodes and between demand
 294 nodes. This situation can be problematic because there is a lack of coordination among the actors
 295 (the demand nodes) and lack of connectivity between the supply nodes. This can potentially lead
 296 to under supply of the ecosystem service and overexploitation of the ecosystem services by the
 297 demand nodes (Bodin, 2017). Different governance interventions and associated property rights
 298 can be used to improve this situation as illustrated in the following three narratives (Table 2).



300 **Figure 3. Network representations of supply (green circles) and demand nodes (blue squares)**
 301 **and their links (representing flow) considering the three different narratives, based on different**
 302 **governance interventions, applied to the initial problematic situation where demand can exceed**

303 **the supply for ecosystem services.** *Protected areas* essentially improve supply quality
304 (represented as darker green), and also by connecting supply areas. The improvement of supply
305 can also allow the fulfilment of a higher demand (represented as darker blue). *Payment for*
306 *ecosystem services* allows the improvement of supply, the creation of new supply nodes
307 connected to the demanders involved in the payment scheme (outlined in black), and stronger
308 links between supply and demand (represented by thicker red links). *Community-based*
309 *management* allows higher levels of collaboration among demanders and could lead to a
310 reduction in the level of demand (light blue). This could both result locally in a reduction or
311 increment of supply (light and dark green), depending on the different restrictions in access and
312 withdrawal rights.

313

314 *Table 2. Expected effects of different governance interventions and property rights on ecosystem*

315 *services supply, demand and flow network, as showed in Fig. 3. Coloured arrows indicate trends of*

316 *change (stable, increasing, decreasing)*

Intervention	Property rights	Type of Ecosystem Service	Supply (number of nodes)	Supply (quality or amount)	Supply-Supply (green-green) links	Supply-Demand (green-blue) links	Demand-Demand (blue-blue) links
Protected Areas	Access, withdrawal	Provisioning	→	↑	↑	↓	→
Protected Areas	Access, withdrawal	Cultural, regulating	→	↑	↑	↑	→
Payments for Ecosystem Services	Alienation	Cultural, regulating	↑	↑	↑	↑	→
Collective actions	Access, withdrawal, management, alteration, exclusion	Provisioning	→	↓ → ↑	→	↑	↑

317

318

319 *Narrative 1: Protected areas*

320 Protected areas illustrate a clear example of a hierarchy governance model. Besides protecting

321 biodiversity, they often aim to increase the benefits from provisioning (e.g. water supply),

322 regulating (e.g. climate regulation, erosion control) and, in the case of public protected areas,

323 cultural (e.g. outdoor recreation, aesthetic value) services. Access and withdrawal rights in

324 protected areas can impose certain restrictions on land use to increase ecosystem service
325 provision. Typically, they introduce spatial zoning comprising a core zone with the highest level of
326 restriction (often total protection where no access or land use is allowed) and adjoining zones
327 where the level of restriction is gradually lowered towards the fringe, depending on the
328 designated category (IUCN, 2013; Box 1).

329

330 In view of the problematic situation established above, protected areas can promote several
331 changes in the social-ecological network structure (Fig. 3I). First, we expect an *increase in the*
332 *quality of the supply* (indicated by the nodes in dark green shades in Fig. 3I) through limited access
333 and use restrictions, especially in the zones with higher levels of restriction (see example in Box 1).
334 Protected areas can also potentially *increase or reinforce the links between supply areas* (green-
335 green links) due to conservation or restoration of functional links between protected areas, such
336 as creation of corridors or improvements in the matrix permeability (Saura, Bodin, & Fortin, 2014).
337 Second, this increase in supply can have a positive feedback effect on demand (indicated by the
338 nodes in dark blue shades in Fig. 3I) as people become more aware or interested in visiting these
339 areas due to their improved natural quality and higher recreational value (cultural services), or
340 because a supply resource became more available or suitable for use (e.g. water or other natural
341 resources; see example in Box 1). Alternatively, some supply-demand links can be severed or
342 restricted to allow full biodiversity protection. For example, withdrawal rights might be withheld
343 for provisioning services in protected areas, or limited access rights may prevent people from
344 visiting highly protected core zones (disconnected supply node in Fig. 3I) - this can potentially have
345 major negative implications for local communities (e.g. Golden et al., 2011; Naidoo et al., 2019).

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348 BOX 1 - Protected Areas: Biosphere Reserve Spreewald, Germany

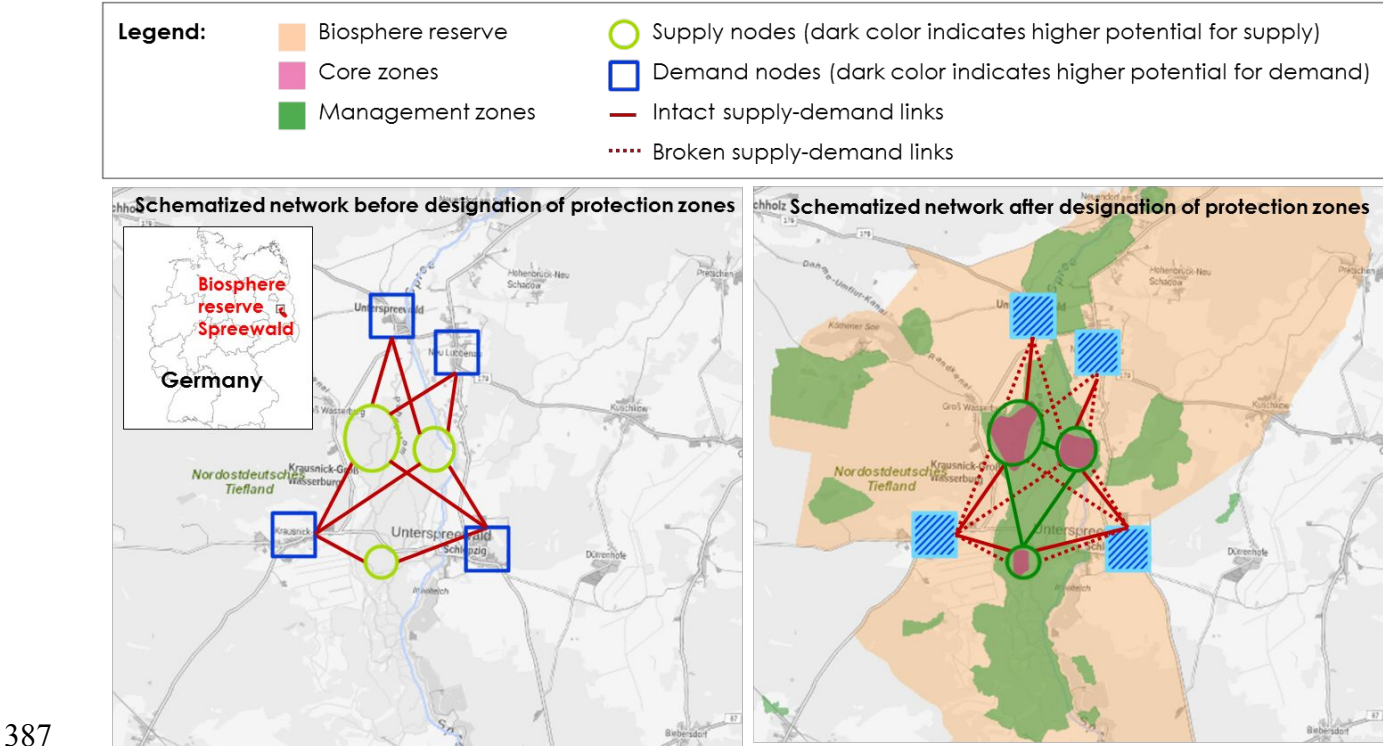
349 Biosphere reserves represent one category of protection areas (IUCN, 2013). At present, globally,
350 there are 669 biosphere reserves designated in 120 countries, with 17 in Germany. As an example,
351 the [biosphere reserve of Spreewald](#) protects the unique cultural landscape of the Spree inland
352 river delta. Important ecosystem services include protection of biodiversity and habitats, flood
353 prevention and recreational services as the region attracts more than four million visitors annually.
354 The area covers about 475 km² with roughly 50,000 inhabitants in two bigger cities and 37 smaller
355 villages.

356 To reconcile nature protection with sustainable human land use a zoning concept was introduced
357 with the designation of the biosphere reserves in 1990. It differentiates between core (ca. 3%),
358 management (ca. 19%) and development (ca. 78%) zones. The highest protection applies to the
359 core zones supporting free rein of natural processes and prohibiting any form of land use. The
360 management zones provide buffers between core and developing zones, but still imply a number
361 of land use restrictions which limits demand for ecosystem services. By contrast, in the
362 development zones, land uses by agriculture, forestry, fisheries or tourism are possible without
363 major restrictions.

364 The figure below exemplifies how the social-ecological network related to the biosphere reserves
365 was affected by the designation. Supply nodes are shown in green and represent examples of the
366 current locations of different core and management zones in the lower Spreewald. Demand nodes
367 are shown in blue and represent different settlements in the biosphere reserves where potential
368 beneficiaries are based who can benefit from different ecosystem services provided through the
369 supply nodes either directly (in-situ, e.g. by visitation) or indirectly (ex-situ, e.g. by consuming
370 produce from there).

371 Before the designation in 1990 (left map), free access and different forms of land use in the core
372 zones were possible (symbolized by intact supply-demand links), but resulted in lower habitat
373 quality of these areas (light green colour of supply nodes).

374 After the designation (right map), imposed restrictions allowed for an increase in habitat quality
375 (dark green nodes). For instance, since visitors were no longer allowed to access the core zones
376 (symbolized by broken links) to hike, bike, or canoe in order to enjoy local biodiversity, wildlife
377 disturbances could be prevented. In addition, a development zone (indicated by white outline)
378 created an additional buffer zone around the core zones. However, the zoning concept also
379 increased the provision of other ecosystem services beneficial to the local population, e.g. through
380 renaturation of hydrological processes in the core zones water retention and flood prevention was
381 improved (symbolized by the newly established supply-demand links and the functional links
382 between supply nodes). Striped demand nodes indicate partly negative (decreased recreational
383 services) and positive (increased regulation services) effects on demand. This example was chosen
384 to highlight that protection areas do not per se increase supply and thus allow for satisfying more
385 demand, but that this depends on the spatial configuration of the protection zones and the
386 ecosystem service in question.



388 **Box Figure 1.** The social-ecological network related to the biosphere reserves of Spreewald,
389 Germany, before and after the designation of protection zones.

390

391

392 *Narrative 2: Payments for ecosystem services*

393 Payments for ecosystem services illustrate a market governance model. They aim to connect

394 potential ecosystem service suppliers (or sellers) with potential ecosystem service demanders (or

395 buyers) using contractual arrangements (e.g., Wunder, 2008). In some cases, ecosystem service

396 buyers are the direct beneficiaries of the ecosystem service provided (e.g., privately negotiated

397 payments for ecosystem services); in others, especially when the benefits are public, the

398 government may act as the ecosystem services buyer on behalf of society at large (e.g., EU's agri-

399 environmental programs, farm bill programs in the US). In any case, the sellers must have

400 alienation rights, such as the right to sell or lease some or all management, alteration and

401 exclusion rights associated with the ecosystem service.

402

403 The following changes in the social-ecological network structure are expected as a result of

404 payments for ecosystem services (Fig. 3II). First, given that payments for ecosystem services aim to

405 spur additional ecosystem service provision (criterion of additionality; Wunder, 2005), there

406 should be an *overall increase in ecosystem service supply*, which can be obtained by creating

407 additional supply nodes (indicated by the new light green node in Fig. 3II), expanding existing

408 supply nodes, or by increasing supply quality (indicated by the nodes in dark green shades in Fig.

409 3II) (see Box 2 for an example). Payments for ecosystem services also encourage land users to

410 *create links* (e.g., corridors) *between supply nodes*, thus increasing supply connectivity. Second,

411 given the increase in ecosystem service supply, payments for ecosystem services should allow

412 more benefit for buyers through stronger links (represented by thicker red links in Fig. 3II)

413 between supply and demand areas (Box 2). Typically, the buyers are motivated to invest in
414 payments for ecosystem services so they can enjoy a better quality or a higher quantity of the
415 ecosystems services, while at the same time ensuring exclusive access to this resource (preventing
416 free-riding, Martino & Amos, 2015). However, payments have also been shown to reduce
417 ecosystem service protection by undermining social and cultural norms through marketization
418 (Gómez-Baggethun & Ruiz-Pérez, 2011). It is important to note that not all nodes and links in the
419 landscape are affected by these interventions, since only some potential suppliers are willing to
420 participate in the payment for ecosystem services scheme (black outlined nodes in Fig. 3II).

421

422

423 **BOX 2 - Payment for Ecosystem Services: promoting higher water supply in Brazilian private**
424 **properties**

425 Payments for Ecosystem Services (PES) are probably the most widely used economic instrument to
426 promote the proper use of an ecosystem service or good, stimulating its conservation and more
427 efficient use (Farley & Costanza, 2010). This instrument is often used to promote carbon stocks or
428 sequestration, or to protect water resources by ensuring water supply, both in terms of quantity
429 and quality (Balvanera et al., 2012).

430

431 PES in Brazil have been used to protect springs and aquifer recharge areas (Guedes, F.B.,
432 Seehusen, 2011; Richards et al., 2015) in the Brazilian Atlantic Forest region, one of the world's
433 most threatened biodiversity hotspots (Rezende et al., 2018). Payments are made to landowners
434 carrying out erosion control, conservation, and forest restoration activities. These programs have
435 existed since 2005, with more than 200 landowners benefiting since then.

436

437 The figure below shows an area in this region between Extrema and Joanópolis municipalities,
438 before (2003) and after (2019) the beginning of PES (2005). This region includes about 100
439 properties, within an area of approximately 2000 ha (~5 x 4 km). An important change in the

440 structure of the landscape is the increase in areas of regenerated forests, and eucalyptus
441 plantations over pastureland, which is still the predominant land use.

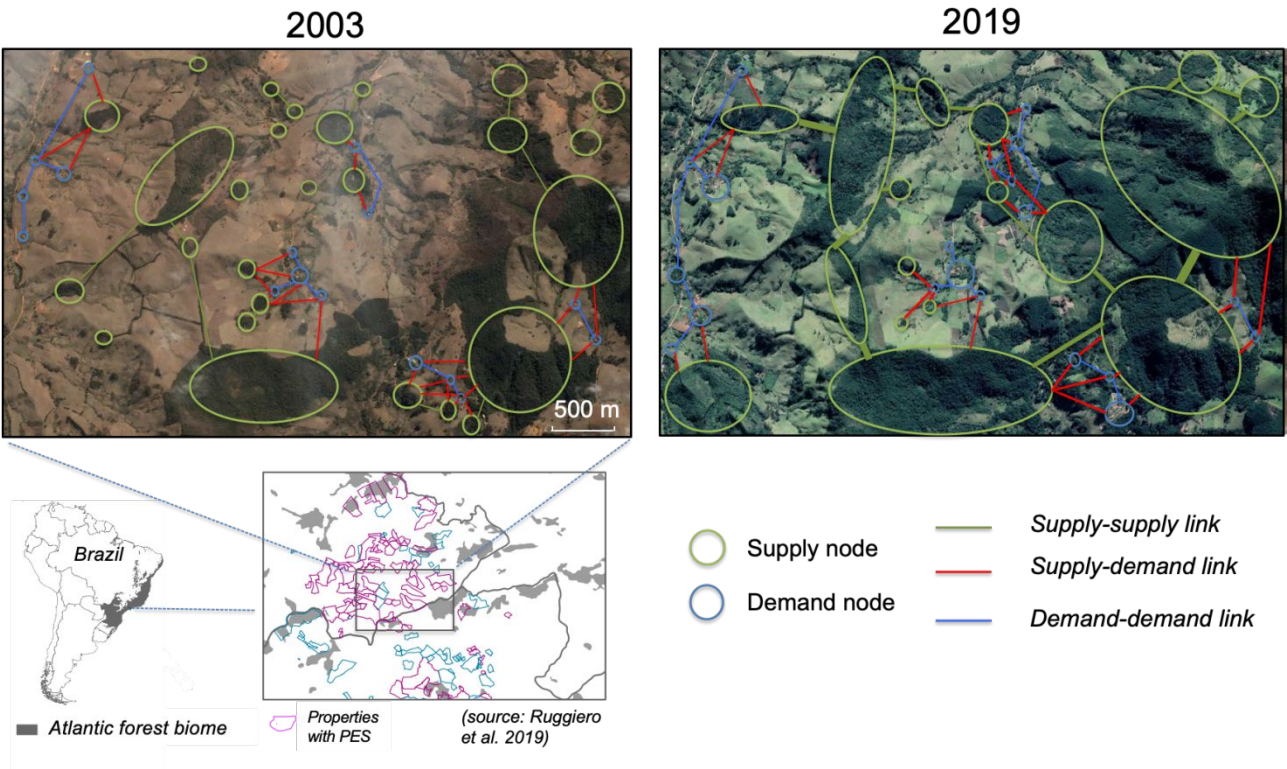
442

443 Hypothesised social-ecological networks are represented in a simplified way in the figure below,
444 with patches of native forest being the supply nodes (green nodes), and residential areas (isolated
445 houses or groups of houses) being the demand nodes (blue nodes). The connections among supply
446 nodes (green links) were defined by the existence of a structural connection (forest corridors), and
447 the connections between demand nodes (blue links) were defined by the road network. The
448 connections between supply and demand nodes (red links) were arbitrarily defined by proximity
449 (nodes within 500 m were considered as connected) and the strength of the connection (thickness
450 of the links) is directly linked to the quantity or quality of the supply.

451

452 The PES in this example strongly drove forest regeneration, as shown by counterfactual analyses
453 (Ruggiero et al., 2019). There was also an increase in the number of supply nodes, in addition to an
454 expansion in the size of existing nodes, which contributed to an increase in the number and
455 strength of links between supply and demand areas (red links). There was an increase in demand
456 for water due to a growing population, but this was less than the increase in supply. In general, the
457 network became more complex and connected, with more numerous and intense links between
458 supply and demand nodes, and a resultant increase in the provision of ecosystem services. This
459 illustrates a successful example of PES scheme in improving a social-ecological service provisioning
460 network.

461



Box Figure 2. Hypothesised social-ecological networks of supply and demand areas in an Atlantic forest region, SE Brazil, before and after the implementation of a Payment for Ecosystem Services scheme.

Narrative 3: Community-based management

Community-based management illustrates a network governance model. It involves self-organisation and collective action on the part of ecosystem service users to design and review the rules governing ecosystem service use and management (Ostrom, 1990). These include rules for monitoring and sanctioning users in case of non-compliance.

474 In view of the reference problematic situation, community-based management influences the
475 social-ecological network structure by *creating strong links among demanders* (blue links in Fig.
476 3III), who will then create rules on how much can be withdrawn from the ecological system and
477 restricting overall usage (*reducing demand*) to meet supply capacity. Demand is thus adjusted to
478 the ability of the ecosystems to provide ecosystem services (see Box 3 for an example). This
479 implies that not all actual demand may always be fulfilled as user access and withdrawal rights are
480 negotiated and designed to avoid exceeding supply (indicated by the light blue colour of the
481 demand nodes in Fig. 3III) in order to prevent the ‘tragedy of the commons’ (Hardin, 1968). Strict
482 rules are necessary to avoid overuse (Ostrom, 1990). Access and withdrawal rights affecting
483 restrictions will be tailored to the local conditions. Therefore, different locations are expected to
484 feature different restrictions (indicated by nodes in light and dark green shades in Fig. 3III).
485 Successful community-based management depends on trust and reciprocity, and the match
486 between ecosystem service use and efforts to maintain long-term supply is perceived as fair by
487 ecosystem service users (Ostrom, 1990).

488

489 **BOX 3 – Community-based Planning and Management: ‘Urban Green Space, Brisbane, Australia’.**

490 In cities, competition between land for urban development and land for green space, whose
491 ecosystem services are essential for wellbeing and health, is intense. The wide range of
492 stakeholders involved in the management of urban green spaces means a collaborative approach
493 to green space planning and management is often required (Aronson et al., 2017). In Brisbane,
494 Australia, there is a long history of community-based management of greenspaces that has been
495 an enabler of restoration activities across the city (e.g., [Habitat Brisbane](#)). This has also facilitated
496 improved linkages between, for example, community groups, other organisations, and the City
497 Council.

498

499 The Oxley Creek Catchment is a catchment (watershed) within Brisbane, that contains important
500 ecosystem service values, including hydrological values, bird habitats, and recreational
501 greenspaces. The catchment has been heavily degraded in the past, but since the 1990s,
502 governance arrangements have aimed to integrate local community-based management and
503 action with regional planning approaches through an Integrated Catchment Management Program
504 (Patterson, 2016) and, more recently, a new Oxley Creek Transformation Masterplan.

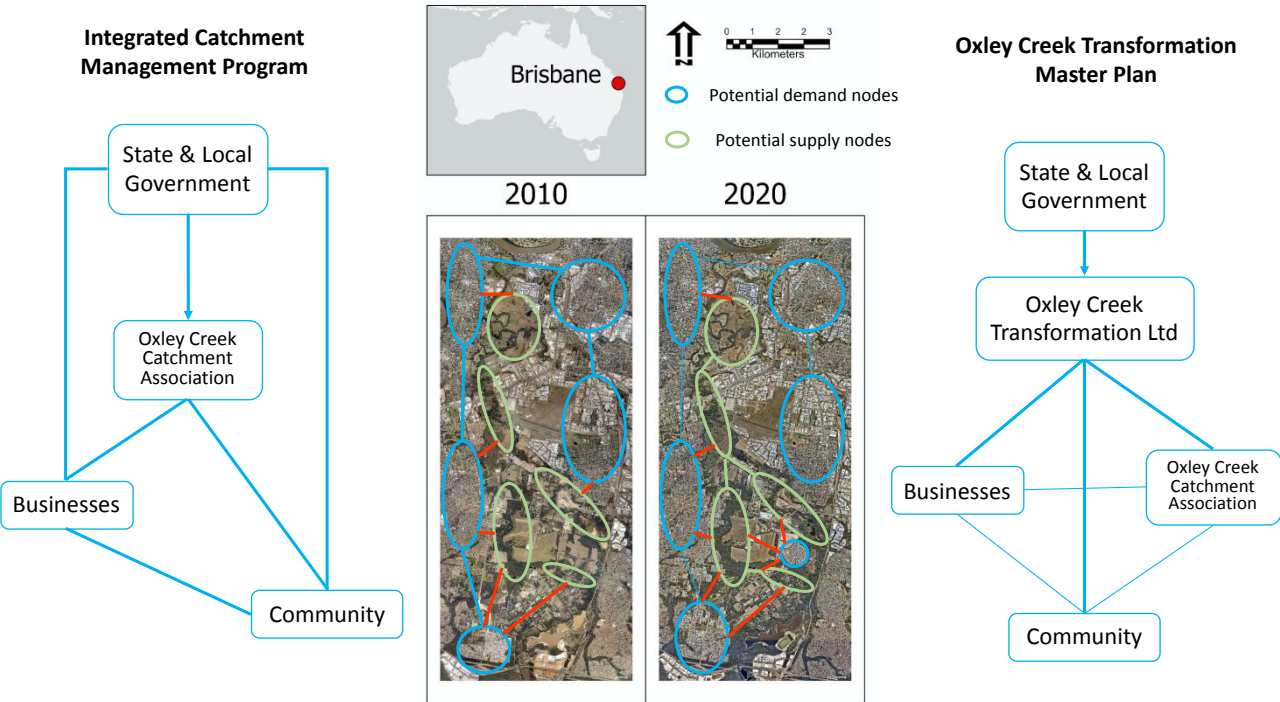
505

506 Since the 1990s the Integrated Catchment Management Program has been coordinated by the
507 Oxley Creek Catchment Association (<http://www.oxleycreekcatchment.org.au/>); a community-
508 based association aimed at developing partnerships with State and Local Government, the
509 community, and businesses. This has helped to generate collaborative governance and, through
510 key management and restoration projects, enhance interaction between local communities and
511 greenspaces within the catchment. Yet, ongoing urban develop has continued to erode ecosystem
512 service values in some parts of the catchment. In 2017, Brisbane City Council established the Oxley
513 Creek Transformation Ltd and developed the Oxley Creek Transformation Masterplan
514 (<https://oxleycreek.com.au/master-plan>) with \$100 million of funding over 20 years. Although a
515 somewhat more top-down, or hybrid, approach to community-based management, the focus
516 remains on building collaborative governance and enhancement of links with the community,
517 while taking a broader regional planning approach. The Oxley Creek Transformation Masterplan
518 has a particular focus in improving connectivity along the catchment.

519

520 The figure below shows the northern part of the Oxley Creek Catchment. It also conceptualises
521 what the network between supply and demand nodes may have looked like under the Integrated
522 Catchment Management Program in 2010 (see map for 2010). Here we emphasise where the key
523 greenspaces (supply areas) are, and where local demand from both residents and businesses for
524 ecosystem services may have been concentrated. We also emphasise potential supply-demand
525 links and demand-demand links that the Integrated Catchment Management Program aimed to
526 promote. Since 2010 there has been some new urban development in the catchment resulting
527 new demand nodes and so likely some degradation of the ecosystem service values (see southern
528 part of the map for 2020). The new Oxley Creek Transformation Masterplan focusses on enhancing
529 connectivity along the creek and this is conceptualised by new supply-supply links shown in the

2020 map. Finally, because the Oxley Creek Transformation Masterplan is more top-down than the previous governance arrangement, this could erode collaboration between ecosystem service users, so we deemphasise the demand-demand links slightly with narrower lines in the 2020 map. This example is used to illustrate how the network approach can be used to conceptualise how the social-ecological networks relevant for ecosystem service provision can be influenced by the specific approach to community-based management.



537

538 **Box Figure 3. Hypothesised effect of alternative community-based management approaches on**
539 **social-ecological networks for ecosystem service provision in the Oxley Creek Catchment,**
540 **Brisbane, Australia.**

541

542

543

4. IMPLICATIONS AND FUTURE PERSPECTIVES

The Millennium Ecosystem Assessment (2005) concluded that many ecosystem services were showing worrying declines in many parts of the world, and in some cases the provision of services may be threatened or seriously compromised. Fourteen years later, the recent IPBES Assessment Reports showed that these concerning trends have not abated - and in some cases, they have worsened (IPBES, 2019). Without intervention, these services are at risk of being lost, generating large social and environmental costs, economic losses, damage to people's wellbeing and health, or even human life risks. Urgent action is, therefore, needed to mitigate or halt these declines.

The [landscape governance framework](#) aims to underpin planning of more effective and efficient actions to improve ecosystem service provision. The proposed framework can be used as a boundary object or concept (Mollinga, 2010), to facilitate the communication of the different actors involved in ecosystem service provision, including landowners, government, NGOs, researchers, among others. This framework brings together two sets of knowledge that evolved independently, which until now had not been discussed together: on the one hand, the models that relate landscape structure to ecosystem service provision, considering concomitantly supply, demand and flows; and on the other hand, models of landscape governance, which allow us to understand how interventions act in the landscape. By combining these two sets of knowledge, our framework allows exploration of the functional mechanisms that link landscapes to services, and governance to the landscape, providing a first general model to better understand how governance affects service provision through changes in landscape structure. By using the ecosystem service concept as a link between landscape and governance institutional arrangements, we can fill some of the research gaps stated by Cumming & Epstein (2020), e.g.

567 looking at landscape as a filter that relates landscape attributes to the fitness of governance
568 institutional arrangements.

569

570 The quantitative operationalization of this network approach is a major challenge, both in terms of
571 mathematical formulation and data availability. Although conceptually there have been major
572 advances in network theory, the application of the network approach to ecosystem services is still
573 incipient (Dee et al., 2017). This challenge is even greater when we consider services as a meta-
574 network, formed by ecological networks linked to socioeconomic networks (Dee et al., 2017), and
575 all of this extended to multiple ecosystem services (i.e., multiple meta-networks) that overlap in
576 the same geographic space in multifunctional landscapes (Vialatte et al., 2019).

577

578 To be applied, this conceptual model requires a clear identification of which components of the
579 ecosystem service chain is limiting or threatening its provision: is it insufficient supply, excessive
580 demand, insufficient or excessive flow, or a combination of these factors? Unfortunately, this type
581 of diagnosis in a spatially explicit manner is rarely used with most previous spatial studies
582 focussing on simple representations of the local balance between supply and demand (e.g.,
583 Burkhard et al., 2012). Integration of the cascade model of ecosystem service provision (Potschin
584 & Haines-Young, 2011) with spatial social-ecological network models may provide a way forward
585 to identify the key limiting factors. Once the limiting factors have been identified, it is possible to
586 plan or create scenarios for changing the landscape or the behaviour of the ecosystem services
587 actors to reverse the problem, and then identify what type or set of governance is best suited to
588 achieve this change.

589

590 An important application of our framework is that it can be used to generate hypotheses in terms
591 of solutions to undersupply stemming from different limiting factors. For example, if the problem
592 is excessive demand driven by lack of communication or competition between actors demanding a
593 service, community-based governance may be the most appropriate. On the other hand, if the
594 main problem is in the supply of services, whether in terms of quality or quantity, actions to
595 improve, conserve or restore supply areas should be stimulated, either through hierarchies or
596 market governance. If the problem is the lack of flow between supply and demand, investments
597 may be needed to increase the connectivity of these flows in the landscape (e.g., by expanding
598 access route infrastructure to green areas, water supply networks, corridors for the movement of
599 species), which can in turn be driven by hierarchies or market governances. On the other hand, if
600 there is excessive flow, potentially leading to a future undersupply through overexploitation, other
601 actions should be taken to regulate the use of the service. This might involve restricting access or
602 establishing quotas, which can be facilitated by community-based management, economic
603 incentives, or protected area implementation. In short, the theoretical [landscape governance](#)
604 [framework](#) developed here allows us to link governance directly to each element of ecosystem
605 service provision embedded within spatially-explicit conceptualisation of landscapes. In doing so, it
606 enables us to identify potential solutions for managing landscapes based on an understanding of
607 the factors that are limiting or threatening the provision of this service.

608

609 There are many challenges for the use and application of the proposed conceptual model in real
610 situations. Testing the proposed effects of the selected governance interventions with real-world
611 data will require substantial spatial data, from which we can infer the location, quality and
612 quantity of service supply. To account for demand and flow, a combination of qualitative and

613 quantitative data can be used, e.g. combining GIS data with social network data, structured in-
614 depth-interviews or document analysis. Because in most cases data availability will be limited or
615 incomplete, the use of indicators or proxies will be necessary (Eigenbrod et al., 2010; Syrbe &
616 Walz, 2012). Including areas of demand and supply, and the flow that connects them, adds
617 complexity to the analysis. However, it also allows us to identify where the synergies or trade-off
618 between services are (in supply, demand, flow, or combinations of these components), and thus
619 identify the main bottlenecks that threaten the provision of the set of services. Only from this
620 knowledge will it be possible to identify which set of governance interventions will most
621 effectively improve the sustainability of multiple services.

622

623 The definition of the appropriate scale (e.g. spatial extent) for the analyses (i.e. the “scale of
624 effect”, *sensu* Jackson & Fahrig, 2012) of governance-landscape-services relationships is also a
625 crucial consideration during the implementation of the suggested framework. In principle, this
626 scale is not known a priori, and may vary depending on the type of ecosystem service, their
627 underlying mechanisms, the type of organisms involved, the type of governance, and other
628 aspects of the system. Furthermore, the scale for the analysis of the effects of governance on
629 supply may not be the same as that for flows or demand (Eigenbrod, 2016), which means that
630 multiple scales should be considered simultaneously for an adequate understanding of the effects
631 of governance intervention on the whole service provision chain. We think that the proposed
632 framework is flexible enough to consider, in a spatially-explicit way, the effect of the composition
633 or configuration of the landscape at multiple scales (as nested networks, for example), but the
634 more precise identification of which scales should be considered is a crucial challenge to be

635 explored case by case, according to the peculiarities of the study system and the types of
636 governance to be used.

637

638 Further, the framework has to be broadened. So far, we have considered a subset of problematic
639 situations in which demand exceeds supply. Therefore, a next step would be to look for
640 governance interventions for different problematic situations. Underpinned with empirical data, it
641 would also be possible to address questions derived from the framework, such as, how both direct
642 and feedback links are affected by different governance interventions; do links in the supply chain
643 have different strength over each component, and how an imbalance in the links affect the output
644 of certain governance interventions. In a similar vein, there are other governance interventions
645 (beyond those examined here) that could be considered in future applications of the framework.

646

647 Another necessary expansion of our framework will be the consideration of bundles of ecosystem
648 services. A central landscape sustainability challenge is to deal with multifunctional landscapes,
649 and to ensure the persistence of a set of services demanded by different user groups. In this
650 sense, it is not enough to understand the limiting factors for the provision of a single ecosystem
651 service - it is necessary to understand how the landscape affects a set of services, to know which
652 areas of supply are common to more than one service, which actions synergistically affect the flow
653 of more than one service, and how the demand for these multiple services occurs. Many papers
654 already consider this issue of trade-offs or synergy of multiple services (Bennett, Peterson, &
655 Gordon, 2009; Cord et al., 2017; Dade et al., 2019; Raudsepp-Hearne, Peterson, & Bennett, 2010;
656 Vialatte et al., 2019), particularly identifying common drivers of different services (Spake et al.,
657 2017), but by using our framework, these trade-offs could be understood in terms of the spatial

658 components of supply, demand, and flow (as previously suggested by Crouzat et al., 2016)), and
659 the governance interventions that affect each. This could allow identification of which governance
660 interventions reduce the risk of trade-offs among different ecosystem services, or indeed, harness
661 the potential for synergies.

662

663 The proposed governance interventions could also be improved by better including the demand
664 (actors) links, and by varying the quality of links between supply and demand nodes (Brisbois & de
665 Loë, 2016; Vallet et al., 2020). For example, there could be stronger and weaker ties depending on,
666 for example, access to a supply node. This can be complemented by studies on time series to
667 examine the consequences of system changes. In the German example of Spreewald (Box 1), the
668 German reunification in 1990 changed the conditions in favour to establish a protected area for
669 the region. In the Brazilian case study (Box 2), the budget cuts for ecosystem services support
670 under the Bolsonaro government may also change landscape structure and therefore the supply
671 and demand network. In the Brisbane case study (Box 3) changes in the community-based
672 management structure to more hierarchical or hybrid approach may influence the quality and type
673 of connections among demand nodes over time.

674

675 Last, as mentioned above, the choice of governance interventions depends, in addition to supply,
676 demand and flow considerations, on bundles of property rights and the nature of goods associated
677 with ecosystem services within the landscape. Our framework is, however, yet to consider how
678 the spatial structure and diversity of existing institutional arrangements (e.g., different types of
679 land tenure within a given landscape) may influence the design, adoption and performance of
680 governance interventions in addressing problematic situations (Cumming & Epstein, 2020).

681

682 Although we apply the network component of our framework qualitatively, there is a rich
683 literature on the quantitative analysis of ecological networks (Guimarães, 2020). Harnessing this
684 quantitative analytical potential would allow more rigorous identification of the critical
685 interactions among network components and nodes that might predict ecosystem service
686 outcomes and identify potential solutions (Bodin et al., 2019; Carriger, Yee, & Fisher, 2019). The
687 success of interventions could be assessed by quantifying changes in network structure (promoted
688 by, for example, government-led interventions) to changes in ecosystem service provision, before
689 and after the interventions (as illustrated in the three boxes), and comparing those changes to
690 counterfactual situations. By enabling a mechanistic understanding of ecological and
691 socioeconomic processes in network operation, the network approach allows a better
692 understanding of the effects of management and governance interventions on the ecosystems
693 service provision network (Dee et al., 2017). The next step is to develop the quantitative network
694 analysis to complement our framework.

695

696 Despite the difficulties of translating the [landscape governance framework](#) into real situations, this
697 challenge and that of expanding the model in the ways we propose, represents exciting new
698 avenues of research and an opportunity for collaborative and synergistic research among
699 landscape and ecosystem service researchers with governance researchers. Exploring this new
700 field of knowledge will bring a better understanding of governance-landscape-services
701 relationships, which should consequently lead to more effective interventions to mitigate or even
702 reverse current trends of ecosystem services loss.

703

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718

719 **CONFLICT OF INTEREST**

720 The authors have no conflict of interest to declare.

721

722 **AUTHORS' CONTRIBUTIONS**

723 All authors conceived and designed the framework, discuss data, ideas and materials. JPM, CS and
724 JRR contributed with case studies. JPM, PF, CS and JRR led the writing of the manuscript. All
725 authors contributed critically to the drafts and gave final approval for publication.

726

727 **DATA AVAILABILITY STATEMENT**

728 No original empirical data were used for this manuscript.

729

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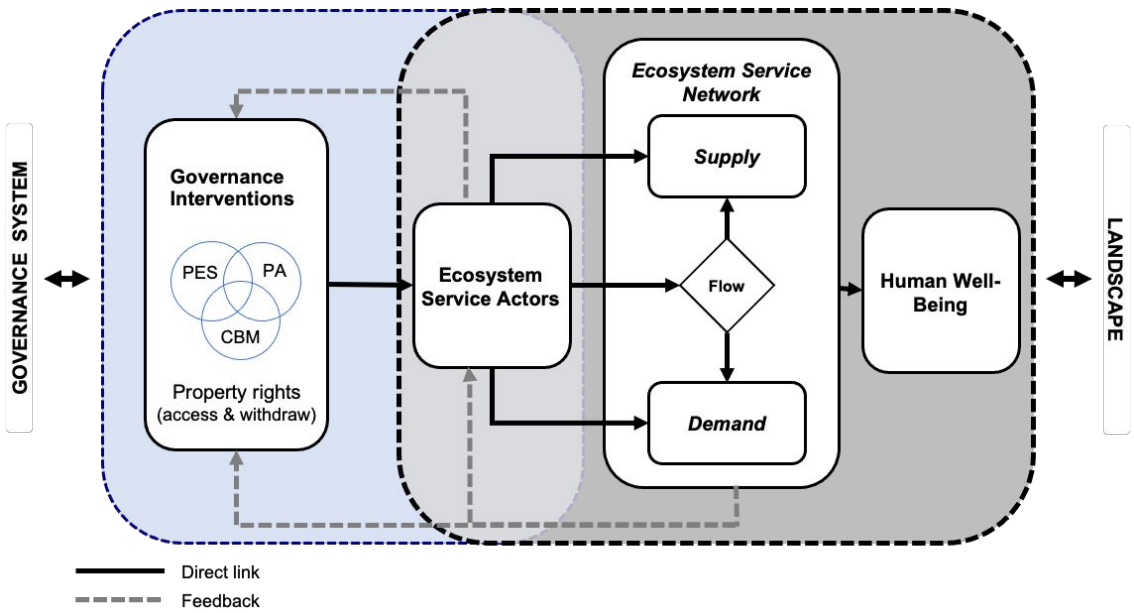
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Connecting governance interventions to ecosystem services provision networks

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Human well-being is highly dependent on the benefits provided by nature, also known as ecosystem services. These services, nevertheless, are threatened by the loss and degradation of ecosystems, both managed and unmanaged. Halting and eventually reversing this trend will require more efficient ways to manage our landscapes. In this paper, we integrate social science research on landscape governance and natural science research on the mechanisms that regulate ecosystem services provision into a conceptual framework of ecosystem services landscape governance. The proposed “landscape governance framework” allows to link different types of governance interventions, e.g. creation of protected areas (PA), payments for ecosystem services (PES), and community-based management (CBM), with changes in the landscape structure, and thus in areas of supply, demand and flows within ecosystem service provision networks. This allows us to identify where and how interventions act on the landscape and on the services provision networks. This, in turn, facilitates the identification of appropriate actions for different problematic situations (undersupply, overdemand or insufficient flow to connect areas of supply and demand). The proposed framework can also be used to identify critical links and nodes in the networks, as well as the level of interdependence between the components, to determine the resilience and vulnerability of the whole network. This framework combines knowledge of the social and natural sciences into a unifying and widely applicable framework, which forms a basis for stimulating research in the field of spatial governance of ecosystem services. Its aim is to contribute towards more sustainable landscape management and, as a result, improved human well-being.



The proposed ‘landscape governance framework’ relating governance interventions to ‘ecosystem services provision network’ (and then to human well-being) through ecosystem service actors’ effects on supply, flow and demand of ecosystem services.