

## Selecting Appropriate 3D Scanning Technologies for Prosthetic Socket Design and Transtibial Residual Limb Shape Characterisation

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## **ABSTRACT:**

*Introduction:* Plaster casting and manual rectification represent the benchmark prosthetic socket design method. 3D technologies have increasing potential for prosthetic limb design and fabrication, especially for enhancing access to these services in low resource settings. However, the community has a responsibility to verify the efficacy of these new digital technologies. This study's motivation was to establish benchmarking data to assess digital shape capture technologies, specifically for clinically-relevant residual limb shape and landmark capture for limb survey and socket design. The objective was therefore to assess the repeatability of plaster casting *in vivo*, and to compare this with three clinically-used 3D scanners.

*Materials and Methods:* A comparative reliability assessment of casting and 3D scanning was conducted in eleven participants with established transtibial amputation. For each participant, two positive moulds were cast by a prosthetist and digitised using a white light 3D surface scanner. Between casts, each participant's residual limb was scanned. The deviation between scan volumes, cross-sections and shapes was calculated.

*Results:* 95% of the clinically-relevant socket shape surface area had a deviation between manual casts <2.87mm (S.D. 0.44mm), and the average deviation was 0.18mm (S.D. 1.72mm). The repeatability coefficient of casting was 46.1ml (3.47%) for volume, and 9.6mm (3.53%) for perimeters. For all clinically-meaningful measures, greater reliability was observed for the Omega scanner, and worse for the Sense and iSense scanners, although it was observed that the Sense scanner performance was comparable to casting (95<sup>th</sup> percentile shape consistency).

*Conclusions:* This study provides a platform to appraise new clinical shape capture technologies in the context of best practice in manual plaster casting, and starts the conversation of which 3D scanning devices are most appropriate for different types of clinical use. The methods and benchmark results may support prosthetists in acquiring and applying their clinical experience, as part of their continuing professional development.

## INTRODUCTION

A prosthetic limb user's functional outcome depends fundamentally upon a comfortable and robust human-prosthesis interface<sup>1</sup>, which most commonly features a personalised socket. This is especially important after transtibial amputation where individuals may attempt to be more active than those with higher-level amputations, and where the socket-limb load transfer is particularly influenced by the underlying bony anatomy. A variety of transtibial socket design strategies exist, most notably patella-tendon bearing (PTB) and total surface bearing (TSB) approaches. According to PTB principles the residual limb is loaded proportionally to the load tolerance of the underlying soft tissue and bony areas. Despite studies on different aspects of transtibial sockets and residual limbs, there is a lack of knowledge to enable consistent manufacturing of a comfortable socket and optimal alignment without the need for iterative socket fittings<sup>2</sup>.

Plaster casting and manual rectification is considered the benchmark shape capture and socket design method. It remains the technique whereby the majority of sockets are designed prior to conventional manufacturing routes<sup>3</sup>, creating a standard against which new technologies should be measured. A Plaster of Paris (POP) wrap cast is manually applied over the residual limb with the aim to capture a modified shape of the soft tissues. Prosthetists shape the POP during casting for the PTB socket using their hands, to create main areas of load bearing in the patella tendon, and where the tibia flares medio-laterally towards the tibial plateau. This shape is used to produce a positive mould, which is subsequently rectified according to the tissue load tolerance design principles described above. These procedures can be highly individual and are based on the experience, skill, and preference of the individual prosthetists and their patients<sup>4</sup>.

CAD/CAM technologies (Computer Aided Design / Computer Aided Manufacturing) are established in some communities for residual limb shape capture, prosthetic socket design and fabrication. CAD/CAM leaves a perpetual digital design record, and although earlier technologies did not improve repeatability or clinical efficiency compared to conventional plaster methods,<sup>5,6</sup> technological developments now show high consistency and outcome<sup>7,8</sup>. Perceived disadvantages include a clinician learning curve and high capital equipment costs, although the development of lower cost 3D scanning devices has been proposed in an attempt to overcome this barrier<sup>9,10</sup>. Other low-cost devices have been proposed for socket reproduction, including smartphone-based photogrammetry<sup>11</sup>. However there is relatively little evidence in the scientific literature for the accuracy or reliability of these lower cost devices, either in absolute terms or in comparison to clinically meaningful benchmarks. Benchmark measures might be taken from research on manual plaster methods, the traditional and most frequently used approach. The consistency of plaster cast rectification has been investigated in terms of the location and depth of focal rectifications<sup>4</sup>, and the influence of prior activity on the residual limb's volume and shape<sup>12</sup>. Others have compared hands-off vs. hands-on casting methods in terms of the cast shape radius in a manikin model<sup>13</sup>, and the cast shape volume and length<sup>14</sup>. However, more understanding is needed regarding the benchmark metrics relating to the reliability of both clinically-relevant shape metrics and volumetric parameters, against which to compare 3D scanning technologies.

This study's motivation was to establish benchmarking data to assess digital shape capture technologies. Therefore, the objective was to conduct an *in vivo* assessment of the repeatability of plaster casting specifically for residual limb shape capture (i.e. pre-rectification), relevant to limb survey and socket design, employing high accuracy and resolution CAD/CAM scanning and digitised shape analysis techniques<sup>9,15,16</sup>. The selected approach was to investigate a comprehensive set of clinically-relevant residual limb shape characterisation metrics, for highest relevance to clinicians. The work was approached from a global challenges research perspective, where people may seek lower cost

technologies to improve P&O access in low resource settings (LRS), at the potential expense of accuracy and reliability. Therefore, the study was conducted with prosthetists in an ISPO-certified Cambodian P&O school and clinic.

## MATERIALS AND METHODS

An assessment of the reliability of transtibial residual limb casting was conducted, for comparison to the reliability of 3D scanning with three different devices. Approval was granted by institutional (ERGO 25100) and national ethics boards (Cambodian National Ethics Committee for Health Research 073NECHR). Participants were recruited by convenience sampling from a single prosthetics centre. All participants' residual limbs were cast twice during one session, by one of two ISPO-certified prosthetists (authors AT and KB). Negative plaster casts were produced according to the prosthetists' normal practice when producing a PTB, supracondylar suspended socket (Figure 1 A-F), with the participants seated and the knee in slight flexion ( $\sim 5^\circ$ , Figure 1 A). Both prosthetists adopted the same approach. The prosthetists then converted their negative casts into positive moulds and performed very light surface abrasion using wire mesh, to remove any flecks of plaster remaining after removing the negative cast (Figure 1 G). The positive mould shapes were digitised using a structured white light surface scanner (Go!SCAN (Creaform Inc., Lévis, Canada)), which was previously shown to have a surface height accuracy of  $0.2 \text{ mm} \pm 0.07 \text{ mm}$  (mean  $\pm$  standard deviation error) on a similar object<sup>9</sup>. In a separate session on the same half-day, each participant's residual limb was scanned by two observers (ASD and PRW), to produce 3D .stl surface mesh files (Figure 1 H). The study used three scanners in a randomised order: the Creaform Go!SCAN device (equivalent to structured white light Omega scanner, Ohio WillowWood Company, Mt. Sterling, USA), the 2<sup>nd</sup> generation Sense scanner and the iSense / Structure Sensor (3DSystems, Rock Hill, USA). The participants were scanned in the same position as they were cast. Both casting and scanning sessions allowed approximately 15 minutes between doffing the socket and casting or scanning, according to the clinic's normal procedure. Cast pairs and scan pairs were conducted back to back, with minimal time between measurements. Typically the casting session took no longer than 30 minutes per participant, and the scanning session no longer than 15 minutes.



Figure 1: Participants were cast seated, with a cellophane wrap on their residual limb (A, B). Indentations were marked by palpation either side of the patellar tendon (C) and at the supracondylar level (D). After doffing (E) the posterior shelf and flare for knee flexion was formed with additional plaster (F). Positive moulds were produced and lightly abraded (G). Between casts, the limb was scanned directly (H).

According to established methods<sup>9,15,17</sup>, in the AmpScan open-source software package<sup>18</sup>, pairs of scan files were compared. The shapes were aligned in 3D space, using both manual and automated approaches. Rigid registration was used to match the pairs of positive mould scans over each other, to assess the pairwise deviation. The shapes were sliced serially from the distal tip to the supracondylar ridge at 1% intervals, and the volume and cross-sectional profile dimensions were calculated using the enclosed cross-sectional area of each slice, its perimeter length, and the maximal widths in the coronal and sagittal planes.

Shape deviation was analysed further using a 'height' deviation, presenting the surface-surface normal deviation data following the visualisation standard set by Sanders and Severance<sup>19</sup>. Deviations were mapped between the pairs of repeat manual casts and scans, to assess reliability. Deviations were also mapped between each cast and a direct scan of the participant's residual limb, to illustrate the prosthetists' landmarking strategy, but these patterns were not analysed quantitatively.

Quantitative analysis was conducted in several ways, all using MATLAB (MathWorks, Natick, USA), including:

1. Surface shape repeatability was characterised by calculating average and 95<sup>th</sup> area-percentile surface height deviation between aligned cast or scan pairs (i.e. 95% of the surface area deviated between scans by this value or less).
2. An Intraclass Correlation Coefficient (ICC) was calculated for reliability<sup>20,21</sup> for the eleven volume pairs, and for eleven pairs of perimeter measures from the mid-length of each shape. The ICC(1,1) equation was used for intra-rater repeatability of casting, and the ICC(3,1) equation for inter-rater reproducibility of scanning.
3. Bland Altman plots<sup>22</sup> were used to assess mean and within-subject differences between volume and perimeter measurements, indicating potential bias and changes in variance with measurement size, producing study population mean and standard deviation values for each. One volume measure for each participant was plotted, and 9 perimeters (at 10% intervals over the proximal 90%).
4. Where the Bland Altman plots showed no changes in bias or variance with measurement size, a repeatability coefficient (CR) was calculated as  $\sqrt{2} \times 1.96 \times$  the standard deviation, to give the boundary within which a repeat measurement would lie with 95% probability<sup>23</sup>.
5. Finally, to provide context to clinically relevant calliper and tape measurements, the pairwise mean absolute difference, root mean squared difference and Pearson correlation coefficients were calculated from the width and perimeter profiles along the shape lengths.

## RESULTS

Eleven people with established (>2yrs), unilateral transtibial amputation were recruited and provided informed, written consent. All were male and had their amputation following traumatic injury resulting from landmine or road traffic accident; ten had unilateral amputation, and one bilateral.

The cast shapes included a degree of modification of the limb shape in several key regions (Figure 2A&B), which was similar for all participants. These included focal indentation either side of the patella tendon (ref. Figure 1C), medial and lateral supracondylar indentation (ref. Figure 1D), and relief posteriorly for the hamstring (ref. Figure 1F). Positive shape change was observed distally at the scar site and anteriorly over the tibia (i.e. the cast was larger than the limb in these areas).

Comparison of the repeat casts from the prosthetists revealed a high level of reliability (Figure 2 left). Greatest surface height deviation between casts was observed in regions where the most substantial shape modifications were introduced during casting. The largest deviations were observed on the proximal-posterior aspect of the cast where additional plaster is added after it is removed from the residual limb (ref. Figure 1F), and flared slightly by the prosthetist's hand in order to provide relief for the hamstrings in the eventual socket. The other notable region of deviation between cast shapes was on the distal posterior aspect associated with the calf muscles and scar site.

### *Quantitative Analysis 1: Surface Height Deviation Between Casts and Scans:*

The cast pairs were compared quantitatively (Table 1). There was no apparent systematic error or bias between first and second casts (across participants, mean surface height error -0.18 mm, range from -0.70 to +0.55 mm). Consistency between cast pairs of local shape capture and modification is represented by the standard deviation in surface height over the surface area (across participants, mean 1.72 mm, range 1.07 to 2.16 mm). On average across the participants, 95% of the shape surface area had an absolute deviation between casts of <3.60 mm (S.D. 0.81 mm). In addition, 95% of the surface area most clinically relevant to socket-limb loading during stance (patella tendon to distal tip of residual limb) had a deviation between casts of <2.87 mm (S.D. 0.44 mm).

The scanned shapes represented a non-contact characterisation of the residual limb shape, i.e. without any soft tissue manipulation or pre-rectification landmarking. Comparison of the repeat scans revealed differing reliability between devices (Figure 2 right), and less spatial trend in surface height deviation was observed between scans than between casts. The scan pairs were compared quantitatively (Table 1). The Omega scanner was more reliable than casting, the Sense scanner was similar and the iSense scanner less reliable. Systematic error or bias between first and second scans for any device was small compared to the scanner's corresponding consistency (standard deviation in surface height deviation over the surface area of 0.60 mm for Omega, 1.19 mm for Sense and 1.57 mm for iSense). On average across the participants, 95% of the surface area from patella tendon to distal tip (including the main functional load-bearing portions of the residual limb during stance loading) had a deviation between casts of <1.04 mm (S.D. 0.29 mm) for Omega, <2.63 mm (S.D. 0.41 mm) for Sense and <3.53 mm (S.D. 0.92 mm) for iSense.

		Pairwise Cast Deviations /mm			Pairwise Go!SCAN / Omega Scan Deviations /mm		Pairwise Sense Scan Deviations /mm		Pairwise iSense Scan Deviations /mm	
Participant	Mean Cast Volume (l)	Raw mean (s.d.)	Absolute 95 <sup>th</sup> percentile		Raw mean (s.d.)	Absolute 95 <sup>th</sup> percentile	Raw mean (s.d.)	Absolute 95 <sup>th</sup> percentile	Raw mean (s.d.)	Absolute 95 <sup>th</sup> percentile
			Full Surface	Patella Tendon to Distal Tip						
1	1.299	0.55 (2.16)	4.05	3.21	-0.02 (0.39)	0.71	-0.57 (1.04)	2.14	-0.01 (2.34)	4.40
2	1.477	0.34 (2.15)	4.60	3.24	0.10 (0.54)	1.05	1.02 (1.03)	2.87	-	-
3	1.649	0.31 (1.52)	3.48	2.80	0.00 (0.69)	1.34	0.21 (1.21)	2.54	0.45 (2.02)	3.68
4	1.109	-0.18 (1.17)	2.75	2.34	0.07 (0.34)	0.74	-0.61 (1.11)	2.42	-1.76 (2.16)	5.22
5	1.296	-0.70 (1.94)	4.31	3.43	-0.20 (0.42)	0.94	-0.04 (0.84)	1.78	-0.30 (1.39)	2.86
6	1.010	-0.14 (1.95)	4.74	3.04	0.09 (0.49)	0.96	0.69 (1.44)	3.16	0.51 (1.53)	2.77
7	1.628	0.08 (1.54)	3.27	3.01	-0.16 (0.42)	0.86	-0.01 (1.51)	2.68	-0.74 (1.69)	3.56
8	1.299	-0.56 (1.95)	4.09	2.95	-0.15 (0.81)	1.42	-0.59 (1.07)	2.68	-0.52 (1.69)	3.93
9	0.807	-0.37 (1.66)	3.22	3.19	0.07 (0.52)	1.00	0.13 (1.26)	2.60	-0.17 (1.39)	2.95
10	1.383	-0.21 (1.07)	2.36	2.10	0.05 (0.42)	0.81	-0.98 (1.44)	3.14	0.11 (2.01)	3.86
11	1.154	0.01 (1.75)	2.70	2.28	-0.10 (1.51)	1.58	0.99 (1.13)	2.89	0.03 (1.01)	2.02
<b>Mean (s.d.)</b>	<b>1.283 (0.255)</b>	<b>-0.18 (1.72)</b>	<b>3.60 (0.81)</b>	<b>2.87 (0.44)</b>	<b>-0.02 (0.60)</b>	<b>1.04 (0.29)</b>	<b>0.02 (1.19)</b>	<b>2.63 (0.41)</b>	<b>-0.22 (1.57)</b>	<b>3.53 (0.92)</b>

Table 1: Reliability of residual limb cast and scan surface height measurement expressed as mean (s.d.) raw deviation and 95<sup>th</sup> percentile absolute deviation between cast or scan pairs, by area.

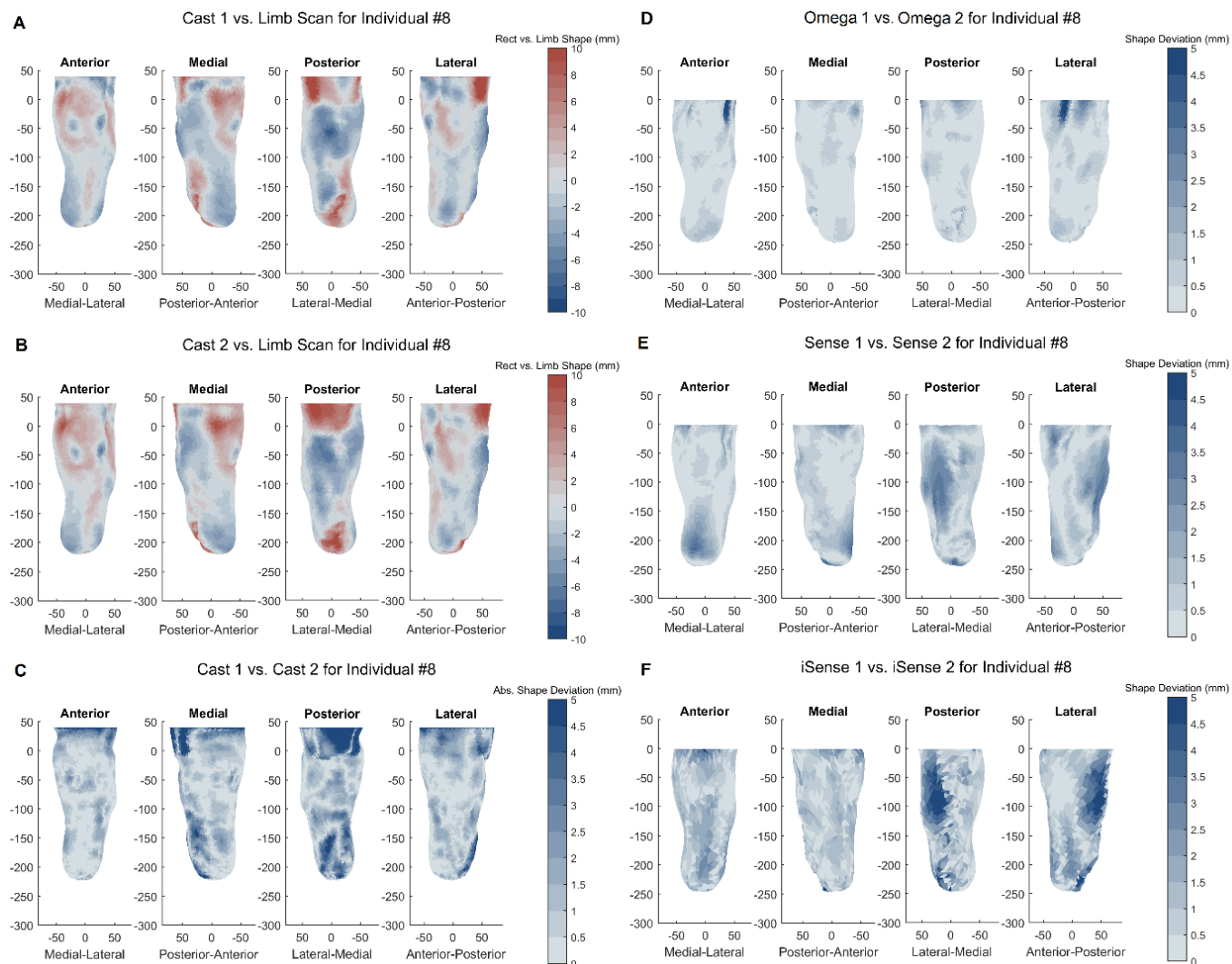


Figure 2: Scan surface deviation plots for one example participant: casts 1 and 2 vs. limb scan (A&B), and absolute deviation between cast 1 vs. cast 2 (C). Absolute deviations between scans are plotted for the Omega (D), Sense (E) and iSense (F) scanners.

#### *Quantitative Analysis 2-4: Volume and Serial Section Perimeter Deviation Between Casts*

The intra-prosthetist residual limb casting repeatability (Table 2) was calculated from raw cast volume data, and perimeters at 10% increments along the cast length, represented on Bland-Altman plots (Figure 3, Figure 4). Casting and all scanners had very high ICC(1,1) scores, above 0.977. No volume or perimeter bias was observed between first and second casts or scans with any device. The standard deviation of pairwise volume differences of 16.6 ml equates to a repeatability coefficient of 46.1 ml or 3.47% (Figure 3 top left). The repeatability coefficient for cast perimeters was 9.6 mm or 3.53% (Figure 4 top left). For both measures, casting lay between the Omega and Sense scanners for repeatability, with the iSense scanner less repeatable.



	Reliability Test (Cast 1 vs. Cast 2 or Scan 1 vs. Scan 2)	Absolute /ml		Relative /%		ICC	t-test p-Value
		Pairwise Difference (mean $\pm$ SD)	Repeatability Coefficient	Pairwise Difference (mean $\pm$ SD)	Repeatability Coefficient		
Volume	Cast	-1.1 $\pm$ 16.6	46.1	-0.17 $\pm$ 1.25	3.47	0.998*	<0.001
	Go!SCAN / Omega	-1.0 $\pm$ 8.8	24.3	-0.02 $\pm$ 0.65	1.81	0.999	<0.001
	Sense	27.8 $\pm$ 28.5	78.8	2.02 $\pm$ 1.89	5.23	0.994	<0.001
	iSense	21.0 $\pm$ 44.2	122.4	1.67 $\pm$ 3.23	8.94	0.980	<0.001
Perimeter	Cast	0.46 $\pm$ 3.46	9.60	0.15 $\pm$ 1.27	3.53	0.997*	<0.001
	Go!SCAN / Omega	0.08 $\pm$ 1.77	4.91	0.00 $\pm$ 0.61	1.69	0.999	<0.001
	Sense	4.04 $\pm$ 5.53	15.32	1.33 $\pm$ 1.81	5.03	0.994	<0.001
	iSense	-0.10 $\pm$ 7.24	20.07	0.09 $\pm$ 2.56	7.10	0.978	<0.001

Table 2: Intra-rater reliability statistics on volume and perimeter measures obtained from digital measures from Omega scans of cast pairs (n=11), and direct limb scan pairs by Omega (n=11), Sense (n=11) and iSense (n=10). \* Cast-cast intra-rater reliability used equation ICC(1,1); scan-scan inter-rater reliabilities used equation ICC(3,1).

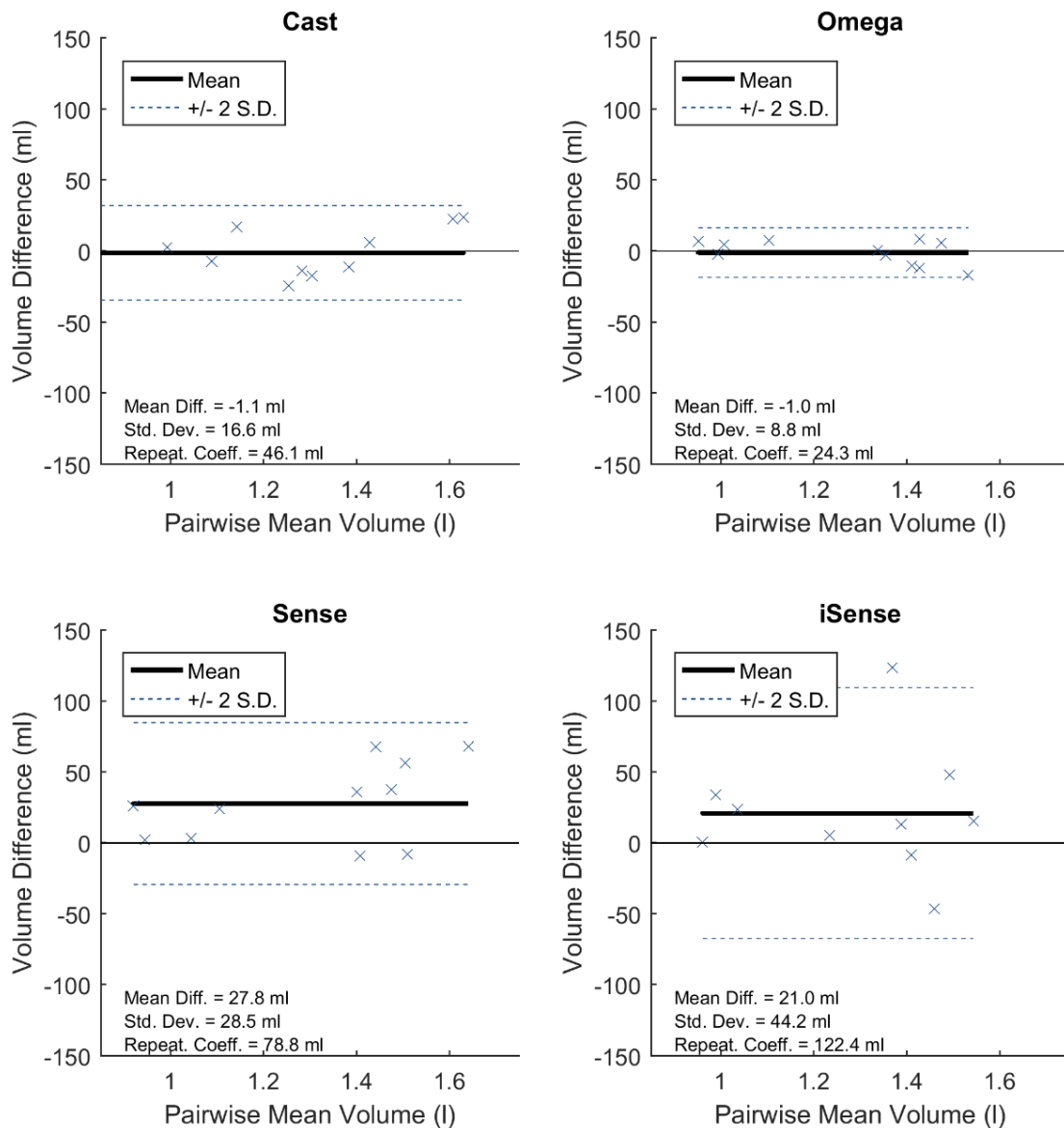


Figure 3: Bland-Altman plots of pairwise difference in volume measures for casting and the three scanners (one measure per limb).

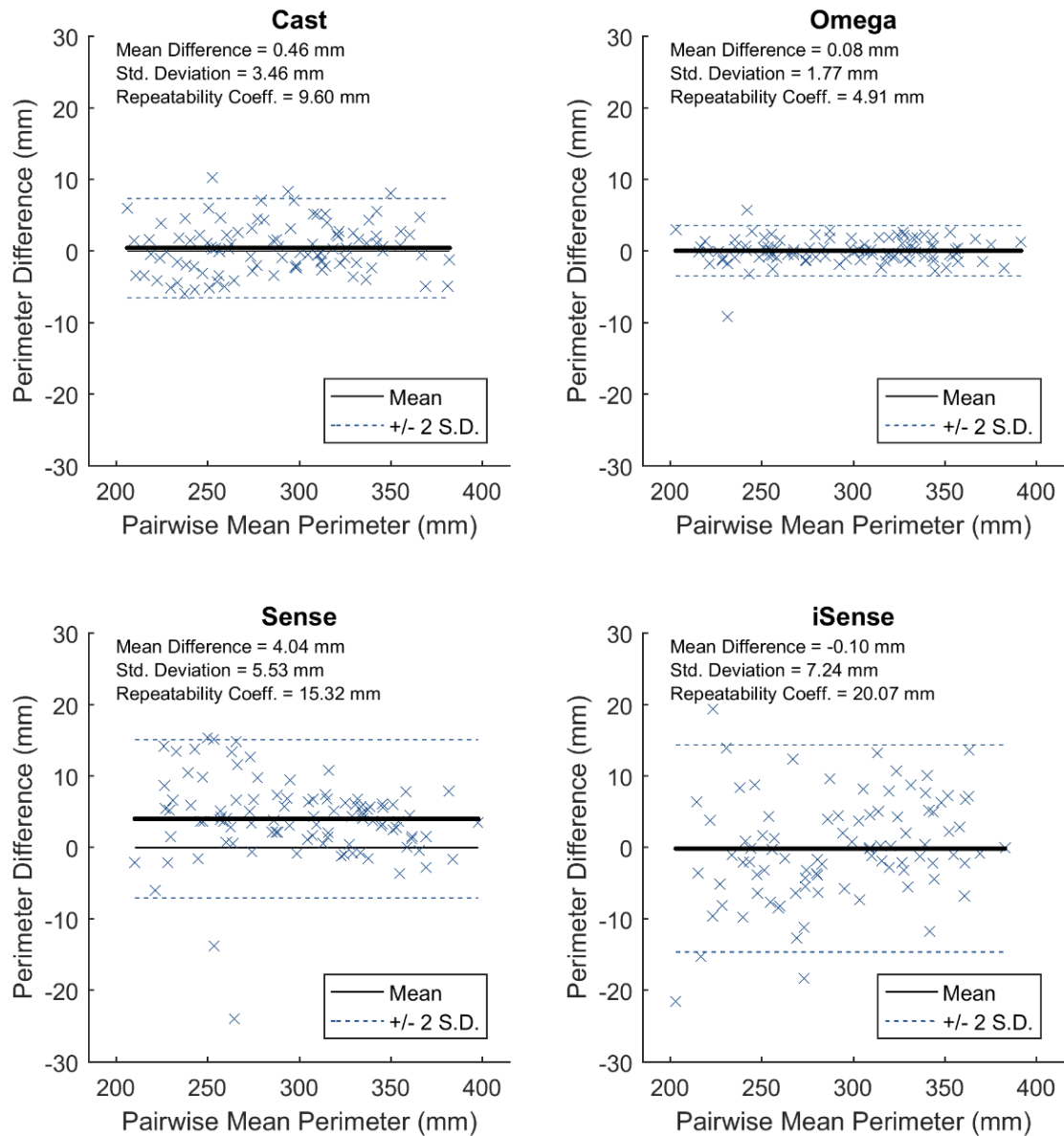


Figure 4: Bland-Altman plots of pairwise difference in perimeter measures for casting and the three scanners (9 measures per limb, at 10% intervals along length).

#### *Quantitative Analysis 5: Width and Perimeter Profile Deviation Between Casts*

Width and perimeter profiles along the residual limb length were used for further inspection of the shape deviation between casts and scans (Figure 5, Table 3). All cast 1 vs. cast 2 measures were highly correlated ( $r = 0.993$ - $0.999$ ), and all width measurements deviated by less than 3.25 mm. Slightly greater difference between measures was observed for the coronal (M-L) compared to sagittal width (A-P) measurements. This may be attributed to the residual limb having lower flexibility in the A-P direction than the M-L direction, owing to the bony tibial crest. Conversely, no difference was observed between coronal and sagittal width reliability for the non-contact scan-based measurements. The Sense and iSense scanners had similar median and interquartile range deviations, both larger than casting, and the Omega scanner was again observed to be the most reliable tool.

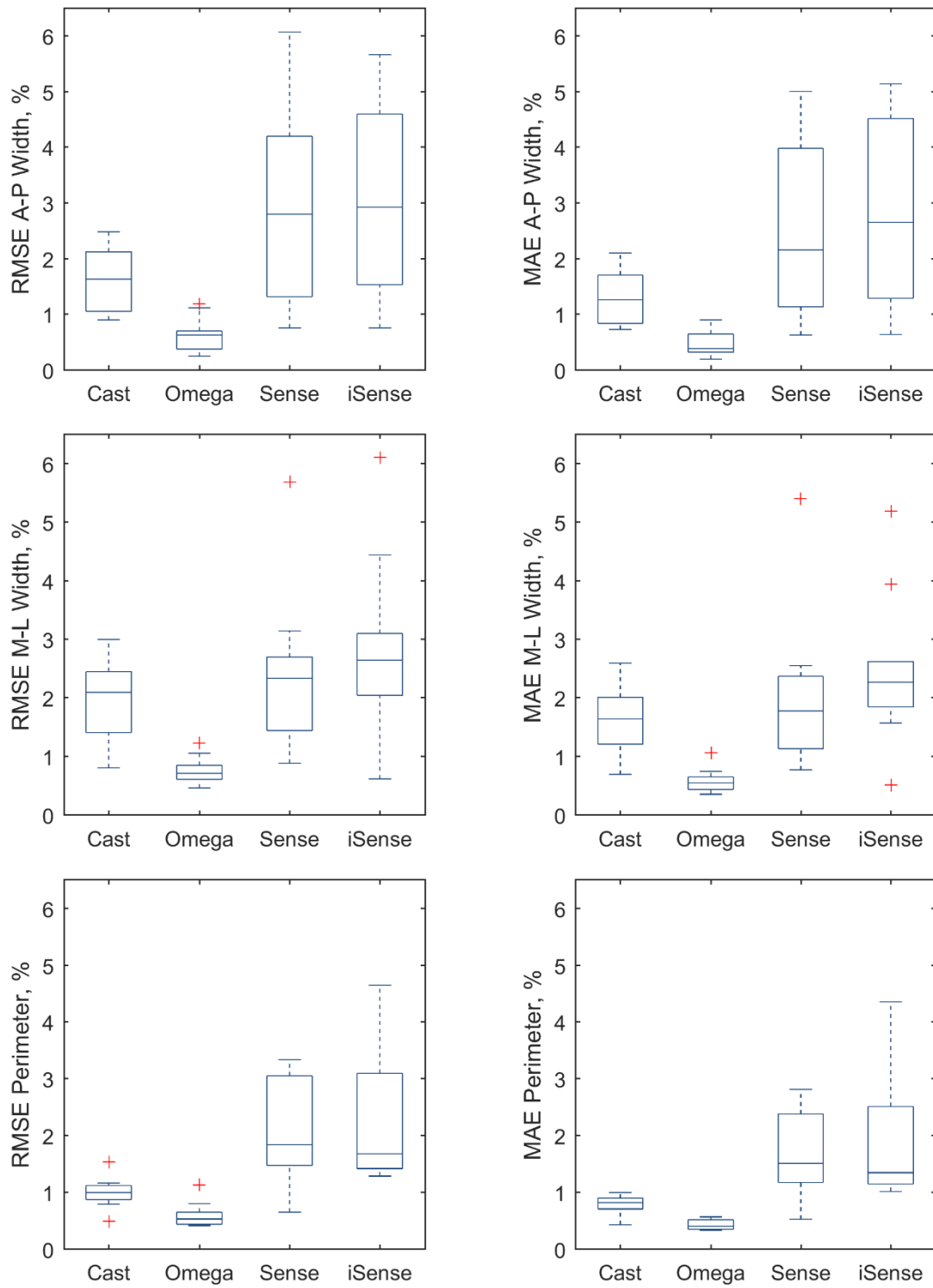


Figure 5: Intra-rater reliability of casting and scanning for clinically relevant measures of sagittal and coronal plane widths, and perimeters, expressed by box and whisker plots.

	Measure (Cast 1 vs. Cast 2)	Absolute Difference /mm		Relative Difference /%		Pearson Correlation Coefficient
		Root Mean Squared	Mean Absolute	Root Mean Squared	Mean Absolute	
Sagittal Width (Anterior-Posterior)	Cast	1.355 (1.098 - 1.722)	1.055 (0.863 - 1.448)	1.634 (1.158 - 2.051)	1.266 (0.927 - 1.660)	0.997 (0.996 - 0.999)
	Go!SCAN / Omega	0.553 (0.391 - 0.729)	0.427 (0.310 - 0.521)	0.634 (0.383 - 0.682)	0.383 (0.327 - 0.613)	1.000 (0.999 - 1.000)
	Sense	2.311 (1.347 - 3.663)	2.005 (1.101 - 3.228)	2.802 (1.451 - 4.171)	2.159 (1.136 - 3.949)	0.999 (0.998 - 1.000)
	iSense	2.519 (1.663 - 3.580)	2.238 (1.428 - 3.197)	2.930 (1.701 - 4.559)	2.659 (1.416 - 4.337)	0.999 (0.999 - 0.999)
Coronal Width (Medial-Lateral)	Cast	2.123 (1.312 - 2.420)	1.630 (1.069 - 1.992)	2.101 (1.465 - 2.420)	1.643 (1.236 - 2.005)	0.994 (0.993 - 0.995)
	Go!SCAN / Omega	0.735 (0.646 - 0.829)	0.507 (0.468 - 0.677)	0.714 (0.635 - 0.811)	0.550 (0.458 - 0.631)	0.999 (0.998 - 1.000)
	Sense	2.042 (1.446 - 2.516)	1.635 (1.186 - 2.179)	2.337 (1.476 - 2.700)	1.779 (1.205 - 2.352)	0.998 (0.996 - 0.999)
	iSense	2.516 (2.009 - 3.048)	2.213 (1.798 - 2.655)	2.651 (2.112 - 3.070)	2.267 (1.898 - 2.594)	0.997 (0.995 - 0.998)
Perimeter	Cast	3.121 (2.673 - 3.589)	2.324 (1.948 - 2.472)	1.003 (0.885 - 1.116)	0.823 (0.728 - 0.898)	0.998 (0.997 - 0.999)
	Go!SCAN / Omega	1.477 (1.310 - 1.870)	0.786 (0.465 - 1.047)	0.534 (0.450 - 0.638)	0.410 (0.356 - 0.498)	0.999 (0.999 - 1.000)
	Sense	5.088 (4.213 - 8.023)	4.616 (3.733 - 6.377)	1.841 (1.551 - 2.974)	1.511 (1.201 - 2.260)	0.997 (0.998 - 0.999)
	iSense	5.043 (4.329 - 8.000)	3.404 (2.382 - 5.474)	1.684 (1.447 - 2.849)	1.350 (1.153 - 2.327)	0.996 (0.995 - 0.997)

Table 3: Intra-rater reliability statistics for clinically-relevant measures of width and cross-section perimeter profiles. Data were non-parametric, so presented as median (interquartile range).

## DISCUSSION

This study set out to conduct a new, high-resolution assessment of the repeatability of plaster casting, using gross volume and detailed shape metrics, specifically in the context of clinically relevant residual limb shape capture. The intention was to provide stringent but appropriate benchmarking data for reliability of other technologies including 3D scanners and pressure casting. Exemplar measures were conducted for three 3D scanners in current clinical and research use, selected to cover a range from established, high accuracy devices to low cost consumer products. Participants with transtibial amputation were recruited to assess manual plaster cast and CAD/CAM methods in prosthetic device design and fabrication. In an effort to contribute most clearly to the clinical community the present study's results are presented in a consistent manner to the standards of data visualisation established by Sanders and Severance<sup>19</sup>, and a consensus of the statistical methods presented by Seminati et al<sup>17</sup> and Kofman et al<sup>24</sup>.

Considering consistency in both detailed shape measures and gross volume and perimeter measures, the high specification Omega scanner was more reliable than casting, and the Sense scanner was similar or less reliable. The iSense scanner was the least reliable in all tests. Considering clinically-comparable measures of residual limb width and perimeter profiles, the Sense and iSense scanners had similar deviations, both larger than casting, and the Omega scanner was again observed to be the most reliable tool.

To provide clinical context, we can compare casting variability to clinically manageable volume changes. Lilja and Öberg<sup>25</sup> proposed that the volume change corresponding to donning one (+5%) and two stockings (+10%) is clinically significant, as a new socket is typically prescribed once two stockings are required. Sanders and colleagues reported that a limb volume change of ~6% (simulated by a uniform  $\pm 1.8$  mm socket surface offset)<sup>26</sup> may produce clinically detectable effects on gait, quality of fit, comfort and satisfaction measures. In the present study the repeatability coefficients of casting volume and perimeter were both ~3.5%, below these indicated limits for clinical significance. All these measurements' ICC scores also comfortably exceeded the 0.90 threshold for clinically-relevant reliability<sup>27</sup>. These values were also below that reported for volume change upon muscle activation during casting, with a mean deviation of +5.5% (range -4.2% to +14.2%)<sup>28</sup>. A recent study identified similar variability in volume and perimeter measures for transtibial sockets produced by casting and the

Biosculptor CAD/CAM method, for a single individual<sup>29</sup>, and the absolute volume reliability measures were slightly better than achieved using water displacement<sup>30</sup>.

Considering the more detailed shape measures, the mean surface height deviation in serial castings was larger in 6 of the 11 participants than the +0.25 mm socket manufacturing bias (mean radial error) reported by Sanders et al<sup>31</sup> as clinically noticeable (Table 1). However, the present data include the more variable residual limb tip, and deviation was less than the thickness of a 1-ply sock<sup>32</sup> in all cases. The mean height error was smaller than the +0.25 mm bias for all 11 participants with the Omega scanner, but larger for 7/11 participants with the Sense and for 6/10 participants with the iSense. This study's high resolution shape deviation mapping extends prior casting reliability investigations which considered global metrics including volume, length and cross section area<sup>13,14</sup> to compare casting methods, and analysis of rectifications *subsequent* to the shape capture itself<sup>4</sup>. The most notable prior application of these shape deviation mapping techniques to casting was conducted by Sanders et al, addressing the specific question of the influence of the time delay between an activity protocol and casting<sup>12</sup>.

Considering other clinical measurement tools, the width and perimeter measurement reliability for casting was in the same range or slightly lower than the calliper, tape measure and anthropometer data presented by Geil<sup>33</sup>. Shape and volume reliability data were comparable to those obtained from existing CAD scanning technologies<sup>9,17,24,34</sup> and the lower cost scanners were comparable to smartphone photogrammetry for digitising sockets<sup>11</sup>. The standard deviation and 95<sup>th</sup> percentile surface height difference between casts, indicative of the greatest local variability in shape capture, were similar to the focal rectification consistency data reported by Convery et al<sup>4</sup>.

The present study's main limitation is a relatively small convenience sample with restricted inclusion criteria, representing amputation due to trauma only (landmines and road traffic accidents), and as such may have limited generalisability. Indeed, it should be noted that the presented data are relevant to transtibial residual limb casting only, and may not be directly applied to other amputation levels or orthoses that have greater reliance upon bony prominences. A recent review<sup>35</sup> concluded that shape capture tools "require more consistent 'gold standards'" and highlighted a lack of CAD assessments on residual limbs, where most prior work has used models. This study offers *in vivo* benchmarks, and the participant cohort represents a stringent benchmarking test for new technologies, as several of the more established participants displayed slender, long residual limbs with clear bony prominences, and would be expected to produce more consistent contact-based shape measurements than individuals with more fleshy residual limbs.

This study only included two prosthetists, although one was a recent graduate and the other had 18 years' clinical experience. Further, we did not address inter-prosthetist variability, as it is likely that different prosthetists would each produce differently shaped sockets that would achieve acceptable user comfort. The study also considers variability arising from plaster casting for residual limb shape capture and landmarking for subsequent rectification, but not variability in the final rectification features. The presented data are intended for use in appraisal of other shape capture methods; consistency of subsequent rectifications may be compared to the results presented by Convery et al<sup>4</sup>. As a final key limitation, it is acknowledged that the relationship between socket comfort and socket fit is not understood<sup>35</sup>, and assessing user satisfaction with sockets produced by the different approaches was outside this study's remit.

In a low resource clinical context, important discussion should consider the specific use of 3D scanning and other CAD/CAM technologies. There are risks associated with embedding these digital technologies in established manual plaster-based socket design and fabrication workflows, and with present CAD/CAM technologies the crucial sustainable maintenance, servicing and replaceability factors

of Appropriate Technologies may not yet be met<sup>36</sup>. There are different use cases for these 3D technologies short of adopting a full CAD/CAM workflow, such as i) replication of a well-fitting but damaged or lost manual socket<sup>11</sup>, ii) detailed residual limb volume and shape surveying, and iii) remote assessment for individuals who cannot easily attend P&O clinics. Regarding socket replication, the ‘well-fitting’ caveat is key, as the residual limb’s volume and shape are known to change over time as well as fluctuate, and it is uncommon for sockets to wear out. Anecdotally, some clinicians perform residual limb shape capture by plaster casting and then proceed to digitise the cast by 3D scanning, prior to CAD rectification and CNC fabrication. Different levels of scanning accuracy and reliability will be necessary for these different cases, with greatest accuracy required for socket replication and cast digitisation. Lower specification devices may offer benefits instead for enhancing low resource setting P&O service access for people living in remote communities, but not at the expense of providing an accurate, well-fitting socket. There is a moral imperative to ensure that only appropriate technology is deployed, which has been tested and validated for the particular P&O service in question, irrespective of geographical or financial constraints. Furthermore, it is essential that these digital methods and devices, high or low cost, are not seen as a replacement for clinician training. As a fundamental principle of ethical research and development, all new technologies must be proven before clinical use, as we must prove the prosthetic devices themselves.

This study’s results support the established body of evidence around plaster casting as the benchmark of prosthetic socket design, whereby expert prosthetists apply their skill and experience, and against which novel technologies should be measured. Three case-study devices in current clinical use were compared, and different use-cases proposed. Appraisal of new technologies should consider quantitative accuracy and reliability metrics alongside clinic workload measures (e.g. number of socket attempts and appointments)<sup>5</sup>, qualitative usability factors<sup>24</sup> and ultimately prosthesis user outcome measures<sup>8,14</sup> and acceptability scoring<sup>37</sup>. This study presents one element of the data to support such appraisal and selection of appropriate technologies. The shape capture and measurement method may also support prosthetists in measuring their plasterwork skills as part of their training and continuing professional development.

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Data availability Supporting data are openly available from the University of Southampton repository at <https://doi.org/10.5258/SOTON/D0381>

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